**The relationship between interfragmentary movement and cell
differentiation in early fracture healing under locking plate fixation**

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ABSTRACT

Interfragmentary movement (IFM) at the fracture site plays an important role in fracture healing, particularly during its early stage, via influencing the mechanical microenvironment of mesenchymal stem cells within the fracture callus. However, the effect of changes in IFM resulting from the changes in the configuration of locking plate fixation on cell differentiation has not yet been fully understood.

In this study, mechanical experiments on surrogate tibia specimens, manufactured from specially formulated polyurethane, were conducted to investigate changes in IFM of fractures under various locking plate fixation configurations and loading magnitudes. The effect of the observed IFM on callus cell differentiation was then further studied using computational simulation.

We found that during the early stage, cell differentiation in the fracture callus is highly influenced by fracture gap size and IFM, which in turn, is highly sensitive to locking plate fixation configuration. The computational model predicted that a small gap size (e.g. 1mm) under a relatively flexible configuration of locking plate fixation (larger bone-plate distances and working lengths) could experience excessive strain and fluid flow within the fracture site, resulting in excessive fibrous tissue differentiation and delayed healing. By contrast, a relatively flexible configuration of locking plate fixation was predicted to improve cartilaginous callus formation and bone healing for a relatively larger gap size (e.g. 3mm).

If further confirmed by animal and human studies, the research outcome of this paper may have implications for orthopaedic surgeons in optimising the application of locking plate fixations for fractures in clinical practice.

Keywords: Fracture healing; Mesenchymal stem cell differentiation; Locking plate fixation; Mechanical testing; Computational simulation; Osteoporosis

1. INTRODUCTION

Bone fractures, particularly those associated with osteoporosis, have become one of the most prevalent trauma conditions seen daily in clinical practice in an era of demographic ageing. The costs and impairment in quality of life resulting from fractures are significant. The success of fracture repair is heavily dependent on the balance between stability and biology at the fracture site. However, current fracture fixation strategies mainly focus on stability at the expense of biology, leading to a situation in which the optimal balance between stability and biology remains poorly understood [1].

Interfragmentary movement (IFM) and geometry of the fracture site both significantly influence fracture healing [2-5]. The degree of IFM depends on the type and stiffness of the fracture fixation and the mechanical loading applied to the fracture. While rigid fixation suppresses the IFM and results in insufficient callus formation and delayed healing, a relatively flexible fixation can provide a certain degree of IFM that encourages callus formation [6]. However too much flexibility may result in unstable fixation, leading to excessive IFM and delayed fracture healing [2,5]. This suggests that there should be an optimal balance between stability and biology. In addition, fracture gap size is another important factor that influences the healing process [7]. For a given IFM, the fracture callus between a small fracture gap size generally experiences a larger stress and strain compared with that between a relatively large gap size [8,9].

IFM is largely controlled by the configuration of fixation devices. Recently, the application of locking plate fixation has become increasingly popular in surgical treatment of fractures [10]. In contrast to the conventional compression plate, the locking plate fixation aims to provide the fracture site with a certain degree of IFM that is essential for indirect healing, [11]. In addition, locking plate fixation stabilizes the fracture by means of locking screws, eliminating the necessity of contact between bone and plate, thereby preserving

periosteal blood supply beneath the plate [12]. The locking plate fixations can be suitable for number of fractures including complex periarticular fractures, comminuted metaphyseal or diaphyseal fractures, osteoporotic fractures as well as fracture mal-union and pathological bones. Locking plate fixations can also be a good option for metaphyseal fractures of long bone in which the intramedullary nailing has a high risk of misalignment [13,14,12]. However, there are several studies suggesting that the locking plate fixations are not flexible enough to reliably promote indirect healing [1,15,16,9]. In addition, IFM induced by locking plate fixation is not uniform across the fracture gap [i.e. IFM is much smaller at the near cortex (cortex adjacent to plate) compared with the far cortex], and so results in asymmetric and inconsistent tissue formation, which is unfavourable to fracture healing [16].

In this study, we conducted a series of mechanical experiments involving surrogate tibia specimens and locking plate fixations to investigate the characteristics of IFM at fracture site under various locking plate fixation configurations. The effect of experimentally observed IFM on the mesenchymal stem cell differentiation pattern in the early stage of healing was then investigated using our recently developed computational model of fracture healing [17], which has the capability of linking changes in the configuration of the fixation system to changes in cellular mechanical microenvironments, and ultimately the mesenchymal stem cell differentiation pattern in the early stage of fracture healing.

2. MATERIAL AND METHODS

As illustrated in Fig.1, firstly, an experimental protocol was presented to measure the IFM at the near and far cortex of a transverse fracture under different configurations of locking plate fixation [i.e. namely the Bone-Plate Distance (BPD) and the plate Working Length (WL)]. Secondly, the actual geometry of the fractured surrogate tibia specimens under locking plate fixation was imported into our developed computational model of fracture

healing [18,19,17] using CT scan imaging and 3D model reconstruction techniques to investigate the effect of the changes in the configuration of the fixation system on the cellular mechanical microenvironments, and ultimately cell differentiation pattern in the early stage of healing. This research focused on the early stage of bone healing (i.e. first week after surgical operation) as studies have suggested that the mechanical conditions at the fracture site in this stage is particularly of critical importance to the entire healing process [20-25]. At this stage, mesenchymal stem cells in the fracture callus commit to chondrogenic or osteogenic fate [22], and are therefore especially sensitive to their mechanical microenvironment [21].

2.1.Mechanical testing of surrogate bone specimens

Surrogate bone specimens

Twenty surrogates of tibial bone specimens manufactured by Synbone (Malans, Switzerland) were used for mechanical testing. The purpose of using surrogate bone tibia instead of cadaver specimens was to eliminate inter-specimen variability in the analysis of the influence of BPD and WL on the fracture IFM. As shown in Table 1, the twenty surrogates were divided into four groups (five specimens in each group) with different LCP configurations in each group. The surrogates, 387 mm long with 27 mm shaft diameter, were manufactured from specially formulated polyurethane foam comprising an inner cancellous bone and an outer shell of cortical bone, replicating the geometrical and mechanical properties of real adult human tibia (compressive Young's modulus was around 1500 MPa). The use of surrogates could eliminate the effect of bone model sample variability in this study.

Implants

Twenty DePuy Synthes standard 4.5mm broad Locking Compression Plate (LCP) with locking screws (Oberdorf, Switzerland) were used in this study. The plates were 206 mm long, 17.5 mm wide and 5.2 mm thick with 11 holes, while the locking screws were 40 mm long with 4.5 mm core diameter. Both the plates and the screws were manufactured from surgical grade stainless steel. The LCPs were applied medially on the tibia diaphysis surrogates to stabilize an induced transverse osteotomy. All the screws were tightened to 4 Nm and the BPD was adjusted by temporary spacers during the specimen preparation. After applying the LCP on the surrogates, a transverse fracture gap was created in front of the central hole of the plate. A transverse fracture gap was investigated in this study as it is one of the most common types of shaft fractures adopted in animal models [3,26,4]. As illustrated in Table 1, four configuration groups of locking plate fixation were studied to explore the effect of both BPD and WL on the IFM at the near and far cortex of the fracture site. To compare the different configurations of locking plate fixation, the standard osteotomy model [27] with the screws placed in the first, third and fifth holes from the fracture site and BPD of 2 mm was considered as a control model.

Mechanical testing protocol

The fracture models were tested under axial compression with a material testing system (Instron 5569A; Instron, Canton, Massachusetts). The distal end of the tibia surrogate specimens were rigidly fixed by a lathe chuck and the axial compressive load was applied on the tibia intercondylar eminence to allow free rotation (Fig.2.a). This set-up simulates the physiological loading conditions applied on tibia through knee and ankle [28,29]. Four configuration groups of five specimens were tested and a quasi-static axial compressive load of 100, 150 and 200 N was applied to the specimens respectively. These loading conditions

represent the allowable partial weight bearing following surgical operation [30]. The magnitude of IFM at the near and far cortex of the fracture was measured by two digital callipers with 0.01 mm resolution (Kincrome, Victoria, Australia).

Statistical analysis

For each level of applied load, the IFM at the near and far cortex of the four experimental groups were cross-compared using *t*-test to detect any significant differences. Our power calculations demonstrated that five tibia surrogate specimens in each sub-group would be able to achieve a significance level of 0.05 with a power of 98%. The statistical analysis was performed using MATLAB (R2010, The MathWorks, Inc., Natick, MA, USA).

2.2.Computational modelling of early stage fracture healing

Our recently developed computational model of fracture healing [17] was used to investigate the influence of the change of IFM (measured at Section 2.1) resulting from the change of fixation configuration, on the cellular mechanical microenvironment and ultimately cell differentiation pattern during the early stage of healing. As shown in Fig.2.b, the 3D geometry of the bone fracture model used in the computational analysis consisted of a fractured tibia specimen with different gap sizes (i.e. 1mm and 3mm), locking plate fixation with various configurations (i.e. BPD=0, 2, 4 mm and WL= 30, 65, 100mm) and a soft fracture callus. The construction of a complete 3D geometry of bone fracture model involved the following steps:

Step 1: The 2D CT scan images of the surrogate tibia used in the mechanical testing were obtained by using a CT scanner (Siemens definition flash dual source dual energy) at Epworth Richmond Hospital (Richmond, Victoria, Australia) with 0.6 pitch number and 0.7 mm resolution. The effective slice spacing (resolution) of 0.7 mm to 1 mm is commonly

applied for CT scan images acquisition required for 3D geometry reconstruction of macroscopic tissues such as bone [31,32], since it can result in high quality 3D geometry without leading to computational instability. Applying higher resolutions can result in creation of unsmooth surfaces leading to unnecessary small mesh sizes resulting in computational instability in the finite element model [33].

Step 2: The 3D geometry of the tibia was reconstructed from the CT scan images by using commercial medical image processing software Mimics 14.11 (Materialise, Haasrode, Belgium) [34].

Step 3: The 3D geometry of locking plate fixations used in the mechanical testing was reconstructed and applied on the tibia model created in Step 2 by using commercial CAD software Solidworks (Dassault Systemes, MA, USA). In addition, a 1mm and 3mm transverse fracture gap were applied in the 3D model to investigate the effect of different gap sizes on the mechanical microenvironment of the fractures. A 3D fracture callus was also created at the fracture site by assuming a callus index of 1.1 (i.e. the callus diameter divided by bone diameter). The callus index of 1.1 was selected following the study of Horn et al. [35] who measured the callus size of a group of patients with tibial fracture stabilized by locking plates.

Step 4: Finally, the complete 3D geometry of bone fracture model constructed in Step 3 was imported into our previously developed computational model [17] for numerical analysis.

The fracture callus was modelled using the Theory of Porous Media [36-38] which is commonly used to simulate the mechanical behaviour of soft biological tissues (e.g. cartilage) [38-40]. In addition to the fracture callus, bone marrow and cortical bone were also modelled as a poroelastic tissue [41]. The material properties of the tissues applied in the computational model are presented in Table 2. The external boundaries of all the tissues, i.e. the fracture

callus, cortical bone and marrow, were assumed to be impermeable to fluid flow [41]. The interface between the screw and the bone was defined fully bonded in the finite element model.

The cortical bone, marrow and callus were meshed with 11446, 6115 and 2595 second-order tetrahedral elements respectively (Fig.2.c). The relative tolerance of 10^{-4} m for displacement and 10 Pa for pressure was applied in the solver. The values of tolerances and mesh sizes were obtained by a convergence analysis when the difference between current and subsequent solutions was less than 2%. The total Lagrange formulation with material coordinate system was applied in the model to account for large strains.

To replicate the experiment, the protocol used in the mechanical testing was adopted in the computational model. The distal part of the tibia was fixed in the model and the proximal part was subjected to 100, 150 and 200 N axial compressive ramp load in 0.5s. The IFM at the near and far cortex was numerically calculated and compared with results of the mechanical testing. It is reasonable to assume that the IFM measured in the experiments is approximately equal to in vivo IFM in the early stages of callus formation when callus is composed of granulation tissue. After reproducing the mechanical testing results, the mechanical microenvironment of early fracture callus (i.e. octahedral shear strain and fluid flow) was calculated and finally the initial cell differentiation was predicted.

3. RESULTS AND DISCUSSION

Fig.3 illustrates the IFM at the near and far cortex of the four configuration groups obtained in the mechanical testing. For all the four configurations under external loading from 100-200N, it can be seen that IFM is much smaller at the near cortex in comparison with that at the far cortex ($p < 0.001$). For example, as shown in Fig.3a, for control model (C1) and 100 N applied loading, IFM at the far cortex is more than four times larger than that at

the near cortex. The small IFM at the near cortex of the fractures stabilized by rigid configurations of locking plate fixation could potentially result in delayed healing [9]

In addition, the non-uniform gap motion (i.e. the different IFM at the near and far cortex) can result in asymmetric and inconsistent callus formation which is unfavourable for healing [16]. In order to quantify and compare the uniformity of IFM between the fractures under different fixation configurations, the ratio of IFM at the far cortex (IFM_{FC}) to IFM at the near cortex (IFM_{NC}) is illustrated in Fig.4. A smaller ratio of IFM_{FC}/IFM_{NC} indicates that the IFM is more uniform across the fracture gap. The mechanical testing results show that the increase of BPD and WL in combination with axial loading magnitude could not only increase the IFM at both the near and far cortex (Fig.3, Table 3) but also decrease their difference (Fig.4, Table 4), resulting in a more uniform IFM.

The results show that the increase of BPD alone (C2, 100N) could increase IFM at the near cortex by 46% ($p<0.05$) and the increase of WL alone (C3, 100N) increases the IFM by 130% ($p<0.05$). Furthermore, simultaneous increase of BPD and WL (i.e. C4, 100N) has a collective effect, resulting in 218% increase in IFM at the near cortex ($p<0.05$). Most importantly, the increase becomes more significant when the external loading is high (C4, 200N), i.e. increasing IFM at the near cortex by 668% and decreasing the ratio of IFM_{FC}/IFM_{NC} by 25% at the same time ($p<0.05$).

The mechanical testing results from our study suggest that the adjustment of the configuration of internal fixation (e.g. BPD and WL) in conjunction with the magnitude of loading could alter the IFM distribution at fracture site. The influence of the IFM distribution on the mesenchymal stem cell differentiation pattern within the fracture callus was further investigated using the developed computational model. Although the fracture callus was not included in the mechanical experiments, it can be reasonably assumed that the IFM observed

in the experiments is approximately equal to the IFM of the fracture during the early stage when the callus is composed of granulation tissue with very low stiffness. Fig.5.a compares the IFM at the near cortex and far cortex between the mechanical testing measurements and computational model predictions for control group (C1). It can be seen that the numerical results fit the experimental data very well, with normalized root-mean-square deviation (NRMSD) of 2.8% and 4.2% for near cortex and far cortex respectively.

After validation of the computational model prediction of IFM against the mechanical experiment results, the model was employed to investigate the effect of flexibility of locking plate fixation on bone healing. The model simulated the early stage of bone healing based on mechanoregulation theory proposed by Prendergast, et al. [42,43]. According to this mechanoregulation theory, cell differentiation within the callus is modulated by two mechanical stimuli [i.e. octahedral shear strain of the tissue (γ) and interstitial fluid flow (v)], and has been shown to have the most accurate prediction of cell differentiation among the proposed theories [44]. This theory predicts cell differentiation pattern based on mechanical stimuli index “S” ($S = \gamma/a + v/b$; $a = 0.0375$ and $b = 3 \mu\text{m/s}$). A high magnitude of mechanical stimuli in the early stage ($S > 3$) results in fibroblast differentiation. An intermediate S ($1 < S \leq 3$) favours chondroblast differentiation, and low mechanical stimuli $S \leq 1$ leads to osteoblast differentiation. Fig.5.b illustrates the computational model prediction of early-stage cell differentiation at transverse cross-section of the callus (i.e. section A-A indicated in Fig.2.b) for C1, 150N, gap size=3 mm. It demonstrates that the developed model could predict the spatial dependency of cell differentiation across the fracture gap. Fig.6 illustrates the computational model prediction of the mechanical stimuli index (S) and dominant cell differentiation at the near and far cortex of the fractures stabilized by different configurations of the locking plate fixation under physiological loading conditions post-

surgery. It can be seen that the magnitude of S as well as the callus cell differentiation are highly dependent on both the fracture gap size and the flexibility of locking plate fixation.

Locking plate fixation aims at indirect fracture healing with callus formation [16,14]. Therefore, during the early stage of healing, chondroblast differentiation in the fracture site is favourable as it results in cartilaginous callus formation and subsequently indirect healing in the next stages [45]. In addition, the differentiation of osteoblasts in the fracture gap under the locking plates might not result in direct osteonal bone union (i.e. direct healing) [45,9,46], as this requires the degree of interfragmentary motion to be kept as low as possible during the period of fracture healing, and this can only reliably be achieved by interfragmentary compression and using a rigid fixation system (i.e. compression plating). Further, the study of Mckibbin [47] showed that direct osteonal bone union is an extremely slow and mechanically inferior healing process involving cortical bone remodelling. Thus, under locking plate fixations, the early-stage differentiation of osteoblasts in the fracture gap may result in delayed healing. Furthermore, although certain amounts of fibrous tissue formation through fibroblast differentiation can stiffen the fracture callus, and so stabilize the fracture site [45,9], too much fibrous tissue formation, resulting from excessive strain and interstitial fluid flow may result in delayed healing or non-union [45].

It can be seen from Fig.6 that a small fracture gap size (e.g. 1mm) under relatively flexible configurations of locking plate fixation could lead to excessive strain and fluid flow within the fracture site resulting in excessive fibrous tissue differentiation and delayed healing. By contrast, a relatively flexible configuration of locking plate fixation seems to benefit fracture healing under a relatively large gap size (e.g. 3mm). The computational simulation results are consistent with the study of Perren [8], which shows that cells within a small fracture gap experience larger strain than those within a large fracture gap. Clinical studies indicate that a very small fracture gap experiences very large strain and consequently

delayed healing or non-union [11]. Consistent with clinical data [11,48], our computational simulation results suggest that a successful indirect healing is achievable when: (1) a relatively flexible fixation is applied on the fracture and (2) a relatively large fracture gap (i.e. 2-3 mm) is left after fracture reduction. The computational simulation results suggest a correlation between fracture gap size and flexibility of locking plate fixation, indicating that a relatively flexible configuration of locking plate fixation is favourable for a relatively larger fracture gap. The results suggest that there should be an optimal fracture gap size and configuration of locking plate fixation for better healing outcomes. For example, chondroblast differentiation could happen at both the near and far cortex of the fracture with 3 mm gap size stabilized by 4.5mm broad LCP with WL=65 mm and BPD= 2 mm. Studies show that the differentiation of chondroblast in the early stage of healing encourages cartilage tissue formation, which promotes indirect bone union [45].

Limitations

The current study has some limitations. For example, an axial compressive load of 100N-200N was applied on the proximal end of the fractured tibia (i.e. knee joint load). This loading condition represents the allowable partial weight bearing following surgical operation in the early stage of healing [30]. To simplify the complex problem, the muscle loadings were not included in the mechanical testing and the computational model, and only the effect of knee joint loading as the largest load applied on the bone during walking [28] were considered. In addition, the computational model results need to be further validated by animal experiments and clinical studies before it can be implemented for the development of patient-specific surgical treatment strategies. Moreover, it should be mentioned that the influence of patient age and genetic factors were not specifically included in this study.

4. CONCLUSION

In this study, we investigated the effect of the flexibility of locking plate fixation on callus mesenchymal stem cell differentiation in the early stage of fracture healing using mechanical testing in conjunction with computational modelling. The mechanical testing results suggest that the IFM at the near cortex of the fractures under rigid configurations of locking plate fixation can be too small to promote callus formation and bone healing. The results of the computational model suggest that the early-stage mesenchymal stem cell differentiation is highly influenced by the fracture gap size and locking plate fixation configuration.

Our simulation results indicated that the combination of gap size and fixation configuration has to be carefully chosen for fracture healing treatment. For example, under a small gap size (e.g. 1mm), a relatively flexible fixation configuration (*e.g.* BPD=2 mm and WL=65 mm) could lead to excessive stress and strain at fracture site, whereas the same configuration would benefit callus formation through endochondral bone formation under a large gap size (i.e. 3mm). The developed model will allow orthopaedic surgeons to design patient-specific surgical solutions by establishing a rigorous scientific relationship between the configuration of the fixation system and the biological processes of healing, and thereby achieve optimal fracture healing outcomes.

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Tables:

Table 1 Configurations of locking plate fixation used in the mechanical testing

Configuration	Number of surrogate specimens	Bone-plate distance (BPD)	Working length (WL)
C1 (control)	5	2 mm	30 mm
C2	5	4 mm	30 mm
C3	5	2 mm	100 mm
C4	5	4 mm	100 mm

Table 2 Material properties of tissues used in this study, ^aMcCartney et al. [49], ^bLacroix, Prendergast [41]

Parameter	Granulation tissue	Marrow	Cortical bone
Young's modulus (MPa)	0.05 ^a	2 ^b	20000 ^b
Poisson's Ratio	0.17 ^b	0.17 ^b	0.3 ^b
Porosity	0.8 ^b	0.8 ^b	0.04 ^b
Permeability (m ⁴ /Ns)	10 ⁻¹⁴ ^b	10 ⁻¹⁴ ^b	10 ⁻¹⁷ ^b
Fluid compression modulus (MPa)	2300 ^b	2300 ^b	2300 ^b
Solid compression modulus (MPa)	2300 ^b	2300 ^b	13920 ^b

Table 3 Percent increase of IFM relative to control (C1, 100N) for different configurations of locking plate fixation and load magnitudes

Configuration	Percent increase of IFM relative to control (C1, 100N)					
	Near cortex			Far cortex		
	100N	150N	200N	100N	150N	200N
C1	control	40% (<i>p</i> <0.05)	119% (<i>p</i> <0.05)	control	26% (<i>p</i> <0.05)	82% (<i>p</i> <0.05)
C2	46% (<i>p</i> <0.05)	148% (<i>p</i> <0.05)	255% (<i>p</i> <0.05)	No significant increase (<i>p</i> =0.07)	53% (<i>p</i> <0.05)	113% (<i>p</i> <0.05)
C3	130% (<i>p</i> <0.05)	305% (<i>p</i> <0.05)	438% (<i>p</i> <0.05)	38% (<i>p</i> <0.05)	130% (<i>p</i> <0.05)	200% (<i>p</i> <0.05)
C4	218% (<i>p</i> <0.05)	412% (<i>p</i> <0.05)	668% (<i>p</i> <0.05)	80% (<i>p</i> <0.05)	169% (<i>p</i> <0.05)	295% (<i>p</i> <0.05)

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495 Table 4 Percent decrease of IFM_{FC}/IFM_{NC} relative to control (C1, 100N) for different
 496 configurations of locking plate fixation and load magnitudes

Configuration	Percent decrease of IFM _{FC} /IFM _{NC} relative to control (C1, 100N)		
	100N	150N	200N
C1	control	No significant decrease ($p=0.07$)	No significant decrease ($p=0.06$)
C2	10% ($p<0.05$)	15% ($p<0.05$)	18% ($p<0.05$)
C3	21% ($p<0.05$)	23% ($p<0.05$)	20% ($p<0.05$)
C4	23% ($p<0.05$)	24% ($p<0.05$)	25% ($p<0.05$)

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Fig.1 Schematic diagram illustrates methodology of this study

Fig.2 (a) Mechanical testing system used in this study (Instron 5569A; Instron, Canton, Massachusetts). (b) Bone fracture model geometry indicating working length (WL), bone-plate distance (BPD). (c) Finite element model of tibia fracture with the incorporation of fracture callus

Fig.3 The experimental measurements for IFM at the near and far cortex of the fractures stabilized by different configurations of locking plate fixation: (a) BPD=2 mm, WL=30 mm (control), (b) BPD=4 mm, WL=30 mm (C2), (c) BPD=2 mm, WL=100 mm (C3) and (d) BPD=4 mm, WL=100 mm (C4)

Fig.4 The ratio of IFM at far cortex (IFM_{FC}) to IFM at near cortex (IFM_{NC}) for different configurations of locking plate fixation

Fig.5 (a) Comparison of the computational model result with that from the experimental measurements (C1, control). (b) Computational model prediction of cell differentiation at transverse cross-section of the callus during the early stage of healing depending on octahedral shear strain and interstitial fluid flow of the granulation tissue (C1, 150N, gap size=3 mm)

Fig.6 The average mechanical stimuli index (S) and dominant cell differentiation during the early stage of healing, at near cortex (NC) and far cortex (FC) for the fractures with 1mm and 3mm gap size respectively stabilized by 4.5mm broad locking plate fixation