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Prospective evaluation of antibody response to *Streptococcus gallolyticus* and risk of colorectal cancer

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Abbreviations

CI – Confidence interval

CRC – Colorectal cancer

EPIC – European Prospective Investigation into Nutrition and Cancer

MFI – Median fluorescence intensity

OMP – Outer membrane protein

OR – Odds ratio

SGG – *Streptococcus gallolyticus* subspecies *gallolyticus*

Novelty and Impact

This study presents the serological analysis of an association of *Streptococcus gallolyticus* subspecies *gallolyticus* (SGG) with CRC in a prospective setting with samples from the large European Prospective Investigation into Nutrition and Cancer (EPIC) study. We found for the first time an association of antibody responses to SGG proteins with CRC risk already detectable with pre-diagnostic samples. The presented results support that SGG serology might provide a specific marker for risk of developing CRC.

Abstract

The gut microbiome is increasingly implicated in colorectal cancer (CRC) development. A subgroup of patients diagnosed with CRC show high antibody responses to *Streptococcus gallolyticus* subspecies *gallolyticus* (SGG). However, it is unclear whether the association is also present pre-diagnostically. We assessed the association of antibody responses to SGG proteins in pre-diagnostic serum samples with CRC risk in a case-control study nested within a prospective cohort.

Pre-diagnostic serum samples from 485 first incident CRC cases (mean time between blood draw and diagnosis 3.4 years) and 485 matched controls in the European Prospective Investigation into Nutrition and Cancer (EPIC) study were analyzed for antibody responses to eleven SGG proteins using multiplex serology. Odds ratios (OR) and 95 % confidence intervals (CI) were estimated using multivariable conditional logistic regression models.

Antibody positivity for any of the eleven SGG proteins was significantly associated with CRC risk with 56% positive controls compared to 63% positive cases (OR: 1.36, 95% CI: 1.04-1.77). Positivity for two or more proteins of a previously identified SGG 6-marker panel with greater CRC-specificity was also observed among 9% of controls compared to 17% of CRC cases, corresponding to a significantly increased CRC risk (OR: 2.17, 95% CI: 1.44-3.27).

In this prospective nested case-control study we observed a positive association between antibody responses to SGG and CRC development in serum samples taken pre-diagnostically. Further work is required to establish the possibly etiological significance of these observations and whether SGG serology may be applicable for CRC risk stratification.

Introduction

Colorectal cancer (CRC) is among the most frequently diagnosed cancers worldwide with an incidence of 746,000 new cases among men and 614,000 new cases among women in 2012¹.

Inflammation is thought to be among the major etiological risk factors for the development of CRC, and is a possible mechanism through which bacterial infections might contribute to carcinogenesis². Changes in the local intestinal tissue can compromise the colonic barrier integrity resulting in a “leaky gut”³. Certain bacteria may opportunistically infect the intestinal tissue and potentially induce an immune response, although they usually act as commensals⁴.

An interesting candidate in this respect might be the intestinal commensal *Streptococcus gallolyticus* subspecies *gallolyticus* (SGG), formerly known as *Streptococcus bovis* biotype I.

In the 1970’s it was found that infective endocarditis caused by bacteria belonging to the *S. bovis* complex⁵⁻⁷, and later more specifically by the subspecies SGG⁸, co-occurred with the presence of colorectal adenoma. A systematic review of CRC case series by Boleij et al. in 2011 showed that 60% of *S. bovis*-infected individuals in the reviewed studies had a concomitant colorectal adenoma/carcinoma and that SGG-infection was specifically responsible for this association compared to other *S. bovis* subtypes⁹. It is hypothesized that intestinal lesions are the entry port for the commensal SGG to the blood stream enabling the bacterium to turn pathogenic and cause bacteremia or endocarditis¹⁰. Antibodies against the infecting SGG may serve as markers for the presence of colorectal neoplasia. A significant association between SGG antibody response and presence of CRC has been observed in several studies, but to date these have been exclusively case-control designs with prevalent CRC cases¹¹⁻¹⁴.

We previously applied multiplex serology, a fluorescent bead-based high-throughput technology allowing serological typing of several antigens in one reaction¹⁵, to analyze

antibody responses to eleven SGG proteins in a German CRC case-control study we showed that positivity to two or more proteins of a SGG 6-marker panel (Gallo0272, Gallo0748, Gallo1675, Gallo2018, Gallo2178 and Gallo2179) was associated with a 1.8-fold (95% CI: 1.07-3.06) increased risk for CRC (n=318) compared to controls (n=228)¹⁶. The 6-marker panel demonstrated a higher specificity for CRC risk compared to positivity towards any one of the eleven SGG proteins included in the multiplex serology panel¹⁶. These previous findings were based on traditional case-control designs where blood samples were obtained post-diagnosis. It is currently unknown whether any antibody responses to SGG are associated with CRC development at various time points prior to diagnosis, i.e. whether SGG infection is merely a consequence of the disease or is in some way involved in CRC etiology¹⁷.

In the current study, we evaluated whether antibody responses to SGG proteins, as measured by multiplex serology, in pre-diagnostic serum samples were associated with the risk of CRC, using serum samples of a case-control subset (485 cases and 485 matched controls) of participants of the European Prospective Investigation into Cancer and Nutrition (EPIC) study.

Methods

Study population, case ascertainment and control selection

EPIC is a multinational cohort to investigate the relation between diet, lifestyle and environmental factors with cancer incidence. A detailed description of study design has been published elsewhere¹⁸. Briefly, 521,468 participants, aged 35 to 70 years, were enrolled from 10 different European countries (Denmark, France, Greece, Germany, Italy, Netherlands, Norway, Spain, Sweden, United Kingdom) between 1992 and 2000.

Dietary and lifestyle data as well as biological samples, including serum, were collected at enrollment. The blood collection and processing protocols were standardized across the study

centers and blood processing and separation was conducted prior to freezing. Serum samples were stored at the International agency for research on cancer (IARC, Lyon, France) at -196°C. For multiplex serology analyses, serum samples were shipped on dry ice to the German Cancer Research Center, Heidelberg.

The nested CRC case-control study analyzed here included pre-diagnostic serum samples from 492 incident CRC cases (primary tumors, C18-C20 as by the 10th Revision of the International Statistical Classification of Diseases, Injury and Causes of Death) and 492 matched controls. Controls were selected by incidence density sampling from all cohort members alive and free of cancer at the time of matching. Cases and controls were matched 1:1 by age at blood collection (± 6 month to ± 2 years), sex, study center, time of the day at blood collection (± 2 to 4 hours interval), fasting status at blood collection ($< 3/3-6$ hours); among women by menopausal status, and among premenopausal women, by phase of menstrual cycle and hormone replacement therapy use at time of blood collection. After exclusion of 7 case-control pairs due to technical errors during detection, a total of 485 first incident CRC cases (colon $n=432$, rectum $n=53$) were identified that had a mean time between blood draw and diagnosis of 3.4 years (range 0.4 to 8.5 years)

SGG multiplex serology

Serum samples were analyzed for antibody responses against SGG in a final sample dilution of 1:1000 using multiplex serology. The method is described in detail elsewhere^{15, 16}. Briefly, eleven SGG antigens (strain UCN34, Table 1) were bacterially expressed as recombinant GST-X-tag fusion proteins and each antigen was affinity-purified on a different bead set marked with a distinct internal fluorescent color (SeroMap, Luminex Corp., Austin, TX, USA). These differently loaded bead sets were mixed to form a suspension antigen array for serum presentation. A Luminex xMAP (Luminex Corp., Austin, TX, USA) analyzer identified the bead set and simultaneously quantified bound serum IgA, IgM and IgG

antibodies by a reporter fluorescent conjugate, Streptavidin-R-Phycoerythrin. The level of antibody response was given as median fluorescence intensity (MFI) on at least 100 beads per set. Net MFI values were generated by subtraction of bead-background and GST-tag background MFI values.

Due to lack of an appropriate serological gold standard for comparison with our assay, the cut-off definition for SGG antibody positivity was arbitrary, as described elsewhere¹⁶. The distribution of antibody responses (MFI) to all eleven SGG proteins among controls was skewed towards low MFI, especially when compared to the outer membrane protein (OMP) of *Helicobacter pylori* (*H. pylori*), analyzed in the same experimental setting (Fig. 1): the upper quartile of antibody responses does not exceed 100 MFI for any of the SGG antigens, whereas this antibody level was exceeded by 50% of the control sera to *H. pylori* OMP. Among controls, we compared the frequency of individuals with the highest antibody responses (upper 10th percentile) to each protein with the frequency of individuals exceeding the same level of antibody response among cases. The technical minimum cut-off was 30 MFI (Table 1). Overall SGG positivity was defined as samples giving a high response to any of the eleven SGG proteins to allow for individual immune responses and infection with different strains. In a previous case-control study, we showed that refining the algorithm for overall SGG positivity to two or more proteins in a 6-marker panel (Gallo0272, Gallo0748, Gallo1675, Gallo2018, Gallo2178, Gallo2179) strengthened the association with CRC¹⁶. This algorithm was also applied here as a second definition for SGG positivity.

Statistical analyses

Risk factors for SGG positivity among controls were analyzed using Chi-squared-tests. We estimated the association of incident CRC with antibody responses to individual SGG proteins, positivity to any of the eleven SGG proteins, or 2 or more proteins of the 6-marker panel¹⁶ using conditional logistic regression models to compute odds ratios (OR) and 95%

confidence intervals (95% CI). A p-value below 0.05 was considered statistically significant. Statistical models were first run conditioned on the matching factors, and subsequently with multivariable adjustment for the following variables: level of education attainment, BMI, smoking status and level of alcohol consumption [g/day] at baseline assessment. Missing observations in these variables were included in the model as individual category to save statistical power. The resulting risk estimates did not substantially differ from those calculated without further adjustment (supplementary table S1). Sensitivity analyses were carried out excluding cases diagnosed within two years after blood draw to assess the potential for reverse causation.

Explorative sub-group analyses were conducted by sex, age at blood draw applying interaction analyses, as well as by anatomical sub-site.

All statistical analyses were performed with SAS version 9.4 (SAS Institute).

Results

Study characteristics and risk factors for SGG positivity

There were no significant differences between cases and controls in any of the baseline characteristics (Table 2).

The comparison of SGG positive versus negative control subjects did not identify any major determinants of SGG positivity (Supplementary table S2).

Association of antibody responses to SGG with CRC risk

The risk of developing CRC was significantly increased with positivity to any of the eleven SGG proteins (OR: 1.36, 95% CI: 1.04-1.77), and also positivity to individual SGG proteins Gallo0272 (OR: 1.59, 95% CI: 1.06-2.40), Gallo0748 (OR: 1.49, 95% CI: 1.02-2.16) and

Gallo2178 (OR: 3.01, 95% CI: 1.49-6.08) (Table 3). Positivity for two or more proteins of the previously identified 6-marker panel (Gallo0272, Gallo0748, Gallo1675, Gallo2018, Gallo2178 and Gallo2179)¹⁶ was also significantly associated with increased CRC risk (OR: 2.17, 95% CI: 1.44-3.27) with 9% positive controls compared to 17% positive cases.

To assess the potential impact of reverse causation, we performed a sensitivity analysis excluding those cases diagnosed within 2 years after blood draw and their respective controls (Table 3). The association for positivity to any of the eleven SGG proteins (OR: 1.38, 95% CI: 1.02-1.87) as well as positivity to two or more proteins of the 6-marker panel (OR: 2.07, 95% CI: 1.29-3.31) with CRC risk was generally unaltered. Positivity to individual proteins Gallo0272 (OR: 1.87, 95% CI: 1.15-3.05) and Gallo2178 (OR: 3.28, 95% CI: 1.25-8.57) retained statistical significance while Gallo0748 lost significance but with little change in the magnitude of the risk estimate (OR: 1.40, 95% CI: 0.90-2.18).

Explorative subgroup analyses

Positivity for two or more proteins of the 6-marker panel was associated with only a minor fraction of CRC cases (17%). We assessed whether particular subgroups showed different risk associations for CRC. Analyses stratified by age at blood draw and sex did not reveal any statistically significant difference between the subgroups.

Separate analyses by colon or rectal sub-site showed different associations (Fig 2). Positivity to two or more proteins of the 6-marker panel was associated with a 10-fold increased risk of rectal cancer (95% CI: 1.05-95.78) and a much lower, but also statistically significant, near two-fold higher risk for colon cancer (OR: 1.96, 95% CI: 1.28-3.00). However, it is important to note that the number of rectal cancers was small (n=53) resulting in wide confidence intervals and imprecision of the risk estimate.

Discussion

In this CRC case-control study nested within the prospective multinational EPIC cohort we found that antibody responses to SGG proteins, in particular to two or more proteins seropositive among a 6-marker panel (Gallo0272, Gallo0748, Gallo1675, Gallo2018, Gallo2178 and Gallo2179) were significantly associated with risk of developing CRC.

These findings replicate and expand previous findings from two case-control studies with CRC cases from Spain (multicenter case-control study (MCC Spain))¹⁴ and an independent German study¹⁶. In MCC Spain, an association of prevalent CRC with antibody responses to SGG protein Gallo2178 alone and Gallo2178 in combination with Gallo2179 was found¹⁴. In the German case-control study, the SGG multiplex serology panel was extended to eleven SGG proteins. Positivity to any of these proteins was associated with prevalent CRC. Seropositivity for at least two proteins from a 6-marker panel subset (Gallo0272, Gallo0748, Gallo1675, Gallo2018, Gallo2178 and Gallo2179) was more specifically associated with CRC (19% SGG positives) compared to controls (11% of SGG-positives)¹⁶.

It is currently unknown whether SGG infects colon tissue before or after initiation of tumor development. However, it is hypothesized that the commensal SGG enters the bloodstream through a leaky epithelium, arising due to various environmental exposures, or along the processes of CRC development⁴. This hypothesis is supported by observations showing the presence of SGG already in early colorectal lesions, including polyps and adenoma^{11, 12, 16, 19}.

Here, we offer the first prospective observational evidence to support early involvement of SGG in colon carcinogenesis by showing that antibody responses to SGG were more frequently present in subjects who later developed CRC even more than two years after blood draw than those who remained disease-free during the same time-frame. The natural history of CRC is characterized by the progressive development of neoplasia of the colon mucosa and can take up to 10-15 years from an initial polyp to tumor diagnosis. Therefore, it is likely that

a number of individuals in this study, who developed CRC, already had a precancerous lesion at the time of recruitment into the cohort, but were undiagnosed and likely asymptomatic.

Although we have no data on CRC screening to estimate the numbers with existing polyps, it is likely to be comparable to other European population studies, such as for Germany where the detection rate of non-advanced and advanced adenoma was 22.3% and 9.0%, respectively, among males and 14.9% and 5.2%, respectively, among females above age 55 years²⁰. As only a minority of adenomas progress to cancer, a similar proportion of the controls would also be expected to have some form of colorectal adenoma at blood draw that had not progressed to malignant disease by the end of follow-up. Thus, the finding that antibody responses to SGG appear prior to cancer diagnosis raises the question whether SGG infection is a potential etiological factor in the transition of an adenoma to malignant disease and whether its detection could help stratify the risk for tumor progression from a precancerous lesion. However, we were unable to directly address this question within the limitations of our study. Studies by Abdulamir et al. found pro-inflammatory cytokine profiles in human CRC tissue positive for SGG DNA and support the hypothesis of an involvement of SGG in tumor progression^{11, 21}. A recent study comprehensively showed that SGG promotes proliferation of colon cancer cells in vitro and tumor development in a mouse model overall supporting a role of SGG in colonic tumorigenesis²². Our observations will hopefully stimulate further epidemiological studies with CRC screening data and mechanistic investigations of the potential SGG induced transformation of benign polyps to more advanced disease states.

The antigens selected for SGG multiplex serology include proteins predicted to be present at the cell wall of the bacterium or to be secreted^{23, 24}. Pilus proteins Gallo2178 and Gallo2179, both included in the 6-marker panel, were previously shown to be potential virulence factors in endocarditis and for infection of colon tumor tissue by mediating attachment to collagen in tissue^{10, 25}. Functions of the other proteins had been so far only predicted by sequence

comparison to proteins of other bacteria and include enzymatic (Gallo0112A/B, Gallo0748, Gallo0933, Gallo2018) as well as adhesion functions (Gallo0272, Gallo0577, Gallo1570). The function of Gallo1675 is unknown²⁶. Future studies should focus on this 6-marker panel as it is a stronger marker for CRC risk than being positive to any of the eleven proteins included in the multiplex serology (OR: 2.17 vs OR: 1.36, respectively).

Stratification by age and sex did not reveal statistically significant differences. However, the small sub-group sample sizes may have limited the analysis. Secondary sub-group analysis by anatomical sub-site suggested a stronger cancer risk association for the rectum versus the colon with SGG antibody responses. This observation is highly interesting and warrants further investigation, but is limited due to small number of rectal cancer cases (n=53) included in the present analysis. The disparity between the number of colon and rectal cancer cases analyzed in this study are due to limited availability of biological samples for the required laboratory analyses in this sub-set of EPIC CRC cases.

Key advantages of this study are its prospective setting, multi-center design and the use of a detailed, validated biomarker approach to assess SGG exposures. A main limitation is the small sample size, being based on a subset of CRC cases in the EPIC cohort with available biological samples for the required SGG biomarker analyses. Furthermore, the SGG exposures assessed here reflect levels at recruitment into the cohort upon blood collection and so may not pertain to longer term exposures. An additional potential limitation applicable to all observational studies is the possibility for residual or uncontrolled confounding. Although, the EPIC data have been very well measured and validated, the possibility of residual confounding cannot ever be wholly discounted. Uncontrolled confounding is unlikely because the multivariate adjusted model presented here addressed a large number of potentially important confounding variables. Nevertheless, in the absence of further confirmation of these

findings from a larger series of CRC cases from EPIC or from other prospective cohorts, caution in the interpretation of the findings is necessary.

In conclusion, this study provides the first exploration in a prospective setting of the association between SGG infection and risk of CRC development. Our observations indicate a positive association of antibody responses to SGG proteins with CRC risk, taking into account other important confounding factors. SGG infection, possibly acquired through lifestyle exposures leading to colonic epithelial barrier dysfunction, may be an important etiological component of CRC development. Thus, antibody responses to SGG proteins may be indicative for individuals at increased risk for developing CRC.

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Tables:

Table 1: Antigens included in SGG (strain UCN34) multiplex serology and antigen specific cut-offs.

Name	Putative function	Antigen specific cut-off (MFI)
Gallo0112A	Fructan hydrolase N-terminus	30
Gallo0112B	Fructan hydrolase C-terminus	30
Gallo0272*	Glucan binding protein C domain	192
Gallo0577	Cell-wall protein with CnaB domain	185
Gallo0748*	Cell-envelope proteinase A	96
Gallo0933	Tannase	175
Gallo1570	<i>Pil2</i> pilus subunit	185
Gallo1675*	Cell wall protein of unknown function	36
Gallo2018*	Putative cell wall protein involved in bacteriocin synthesis	95
Gallo2178*	<i>Pil1</i> pilus subunit (major pilin)	30
Gallo2179*	<i>Pil1</i> pilus subunit (collagen-binding domain)	118

* antigens included in 6-marker panel

Table 2: Baseline characteristics of the CRC case-control study nested within EPIC

		Controls (n=485) n (%)	Cases (n=485) n (%)
Sex	female	247 (51)	247 (51)
	male	238 (49)	238 (49)
Age at blood draw, years	≤55	120 (25)	121 (25)
	>55-60	124 (25)	122 (25)
	>60	241 (50)	242 (50)
	Mean (range)	60 (37-77)	59 (37-77)
Country	France	11 (2)	11 (2)
	Italy	101 (21)	101 (21)
	Spain	82 (17)	82 (17)
	United Kingdom	134 (28)	134 (28)
	The Netherlands	70 (14)	70 (14)
	Greece	11 (2)	11 (2)
	Germany	76 (16)	76 (16)
Education	≤primary school	212 (45)	215 (46)
	Technical/professional	115 (25)	95 (21)
	≥secondary school	142 (30)	153 (33)
	missing	16	22
BMI	<25	167 (34)	160 (33)
	25-29.9	238 (49)	220 (45)
	≥30	80 (16)	105 (22)
Smoking status	never	234 (48)	202 (42)
	former	154 (32)	183 (38)
	current	95 (20)	96 (20)
	missing	2	4
Alcohol intake at baseline (g/day)	<6	229 (47)	213 (44)
	6-20	127 (26)	127 (26)
	>20	129 (27)	144 (30)
	missing	0	1

Table 3: Antibody responses to SGG proteins in relation to CRC incidence in a nested case-control study within EPIC

	All				Diagnosed more than 2 years after blood draw			
	Positive n (%)		OR ¹	95% CI	Positive n (%)		OR ¹	95% CI
	Controls n=485	Cases n=485			Controls n=355	Cases n=355		
Gallo0112A	33 (7)	37 (8)	1.09	0.64-1.84	22 (6)	23 (6)	1.08	0.55-2.11
Gallo0112B	28 (6)	26 (5)	0.96	0.55-1.67	15 (4)	16 (5)	1.13	0.55-2.32
Gallo0272	47 (10)	67 (14)	1.59	1.06-2.40	32 (9)	51 (14)	1.87	1.15-3.05
Gallo0577	47 (10)	49 (10)	1.03	0.67-1.59	34 (10)	36 (10)	1.05	0.64-1.72
Gallo0748	50 (10)	74 (15)	1.49	1.02-2.16	37 (10)	51 (14)	1.40	0.90-2.18
Gallo0933	49 (10)	44 (9)	0.92	0.59-1.43	37 (10)	38 (11)	1.05	0.64-1.73
Gallo1570	47 (10)	52 (11)	1.13	0.72-1.76	36 (10)	41 (12)	1.19	0.72-1.96
Gallo1675	48 (10)	51 (11)	1.08	0.70-1.67	38 (11)	39 (11)	1.09	0.67-1.76
Gallo2018	47 (10)	54 (11)	1.24	0.81-1.89	38 (11)	43 (12)	1.22	0.77-1.95
Gallo2178	12 (2)	31 (6)	3.01	1.49-6.08	7 (2)	17 (5)	3.28	1.25-8.57
Gallo2179	47 (10)	64 (13)	1.48	0.97-2.24	34 (10)	44 (12)	1.47	0.90-2.40
Any SGG protein	273 (56)	306 (63)	1.36	1.04-1.77	201 (57)	224 (63)	1.38	1.02-1.87
≥2 of 6-marker panel ²	45 (9)	83 (17)	2.17	1.44-3.27	36 (10)	60 (17)	2.07	1.29-3.31

¹Conditional logistic regression model with multivariable adjustment for BMI, education, smoking and alcohol intake at baseline; ²Gallo0272, Gallo0748, Gallo1675, Gallo2018, Gallo2178, Gallo2179

Figure 1: Antibody responses (MFI) to individual SGG proteins and H. pylori OMP in controls. Boxes represent 25th to 75th percentiles, whiskers 10th to 90th percentiles. Solid lines show the medians. Dots represent all data points lying outside the 10th and 90th percentile. The antigen-specific cut-offs are represented by the whisker at the 90th percentile.

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Figure 2: OR and 95% CI for antibody responses to two or more proteins of the SGG 6-marker panel in relation to CRC incidence, overall and in separate conditional logistic regression models A) by sex and age at blood draw; P interaction: p-value for interaction analysis of the respective variables with SGG and CRC B) by tumor site after adjustment for BMI, education, smoking and alcohol status. The arrowhead is applied if the confidence interval exceeded the displayed axis range.

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