

## 1 *Abstract*

2 Many objectives motivate ecological restoration including improving vegetation condition,  
3 increasing the range and abundance of threatened species, and improving aggregate measures  
4 of biodiversity such as richness and diversity. While ecological models have been used to  
5 examine the outcomes of ecological restoration, there are few attempts to develop models to  
6 account for multiple, potentially competing objectives. We develop the first predictive model  
7 that integrates a vegetation-focused state-and-transition model with species distribution  
8 models for birds. We demonstrate how this integrated model can be used to identify effective  
9 restoration options for vegetation and bird species under a constrained budget. For example,  
10 using a typical agricultural land management scenario from south-eastern Australia, we  
11 demonstrate how the optimal management actions for promoting the occurrence of the Brown  
12 Treecreeper, an iconic threatened species, may be suboptimal for meeting vegetation  
13 condition objectives. This highlights that any ‘preferred’ management decision depends on  
14 the value assigned to the different objectives. An exploration of sensitivity to value  
15 weightings highlighted that ‘no management’ or ‘weed control’ were most likely to be the  
16 best management options to meet multiple objectives in the scenario we explored. We thus  
17 illustrate an approach to using the model outputs to explore trade-offs between bird and  
18 vegetation objectives. Our approach to exploring management outcomes and trade-offs using  
19 integrated modelling and structured decision support approaches has wide application for  
20 conservation management problems in which trade-offs exist between competing objectives.

## 21 *Introduction*

22 The assessment of restoration efforts requires well defined restoration goals that can be  
23 measured over space and through time (Miller & Hobbs 2007). However, ecological  
24 restoration often has multiple objectives encompassing several ecosystem components, and  
25 this can make identifying optimal restoration decisions complicated. In many cases it is

26 assumed that managing for one objective will lead to favourable outcomes for other  
27 objectives (Thorpe & Stanley 2011). For example, improving vegetation condition is a  
28 common objective in management plans. However, this may be because the condition of  
29 vegetation is both fundamentally valued in its own right, and/or because the condition of  
30 habitat is assumed to be a proxy, or a means, to achieving outcomes for many plant and  
31 animal species (Thomson et al. 2009). Failure to explicitly identify the link between proxy or  
32 means objectives and fundamental objectives can hinder efforts to determine the optimal  
33 management actions or assess the efficacy of restoration (Keeney 2002).

34 Even with clear specification of objectives, high uncertainty and a general paucity of  
35 information means that the decision making process for restoration implementation is prone  
36 to inconsistent approaches and is often poorly justified (Keeney 2002). Predicting the  
37 outcomes of restoration actions for multiple objectives is a challenging proposition because  
38 ecological systems respond differently to biotic and abiotic factors over space and time  
39 (Martin et al. 2012). Modelling expected outcomes can aid the decision making process by  
40 ensuring that restoration proceeds logically, consistently and transparently (Starfield &  
41 Blelock 1986), such that the assumptions, knowledge gaps and trade-offs underlying the  
42 decisions are made clear and can be updated over time (McCann et al. 2006).

43 Plants and animals are rarely evaluated together in restoration because considering them  
44 together is difficult and expertise often divides along taxonomic lines. State-and-transition  
45 models are popular frameworks for intuitively depicting the relationship between restoration  
46 efforts and vegetation or habitat condition (Bestelmeyer et al. 2004; Rumpff et al. 2011).  
47 These models are typically qualitative and use expert opinion in addition to, or in place of,  
48 empirical data on responses to restoration. While models of restoration outcomes for fauna  
49 are scarce (e.g. Howes et al. 2010), species distribution models (SDMs) have been used to  
50 provide quantitative insights into the possible responses of fauna to restoration (e.g. Robinson

51 2006). State-and-transition models and species distribution models are often seen as  
52 alternative, rather than complementary, approaches to examining the efficacy of restoration.

53 We develop the first example of a predictive model that integrates a vegetation focused state-  
54 and-transition model with species distribution models for fauna. We demonstrate our  
55 approach with a case study on the box-ironbark woodlands in northern Victoria. This area is  
56 managed predominantly by the Goulburn Broken Catchment Management Authority  
57 (GBCMA), who list the following biodiversity objectives: 1) improve the quality of 90% of  
58 existing (2005) native vegetation by 10% by 2030; and 2) improve outcomes for threatened  
59 species (Miles *et al.* 2010). We based faunal objectives on birds because the GBCMA  
60 supports several conservation initiatives for birds (Miles *et al.* 2010; GBCMA 2013). The  
61 Goulburn Broken catchment is home to the eastern subspecies of the brown treecreeper  
62 (*Climacteris picumnus*), which is classified as near threatened on the Advisory List of  
63 Threatened Vertebrate Fauna in Victoria, as well as several species of bird known to be in  
64 decline (IUCN 2015), including the white-plumed honeyeater (*Lichenostomus penicillatus*),  
65 olive-backed oriole (*Oriolus sagittatus*) and restless flycatcher (*Myiagra inquieta*).  
66 Furthermore, the GBCMA states that maintaining species diversity is important because  
67 “species provide genetic and other resources that are of inestimable economic value (e.g.  
68 tourism, forestry and agriculture), provide indirect benefits to humanity through ‘ecosystem  
69 services’ that are essential to our survival, and are of scientific interest and aesthetic  
70 importance” (Miles *et al.* 2010, p58).

71 We develop a decision support tool that integrates a quantitative state-and-transition model of  
72 vegetation responses to restoration in the Goulburn Broken catchment (Rumpff *et al.* 2011)  
73 with species distribution models from the same region (Yen *et al.* 2011). The integrated  
74 model predicts the outcomes of management actions on multiple restoration objectives, and  
75 allows us to test the common, but rarely tested, assumption that restoring vegetation

76 condition will improve outcomes for fauna. By demonstrating restoration outcomes for  
77 multiple objectives, the model also provides a clear account of any trade-offs among  
78 objectives. We present a multicriteria decision analytic approach to exploring trade-offs  
79 between multiple objectives using the outputs from the model. This enables decision-makers  
80 to transparently explore the relative merits of alternative management strategies that balance  
81 outcomes according to stated preferences (weightings) for different objectives.

## 82 *Materials and methods*

### 83 Case study area

84 Our case study was located in the box-ironbark forest and woodlands of northern Victoria,  
85 Australia. The area comprises the Victorian Riverina (including the Goulburn Broken  
86 Catchment) and experiences hot dry summers and cool, wet winters (average temperatures of  
87 15 to 21°C and 3 to 9°C respectively) with approximately 360- 672mm of rainfall per annum  
88 (DEPI 2016). Much of this region has been converted to agricultural lands and the remaining  
89 native vegetation is highly fragmented (Bradshaw 2012). Our definition of vegetation  
90 condition was based on the cover and richness of the mid- and under-storey vegetation weed  
91 cover, stem density and recruitment (Rumpff et al. 2011; Appendix S2), which are commonly  
92 used to characterise vegetation condition in Australia (Parkes et al. 2003).

### 93 Methodological overview

94 We developed a combined state-and-transition, species distribution model (ST-SDM) to  
95 predict vegetation and faunal (bird) outcomes of restoration in the Goulburn Broken  
96 woodlands. This approach considers how restoration influences several major biodiversity  
97 measures: vegetation condition, bird species richness, and bird species' occurrences. The  
98 state-and-transition model (STM) (Rumpff et al. 2011) predicts changes in vegetation

99 attributes following management interventions, and we linked these predictions of vegetation  
100 attributes to species' occupancy probabilities and species richness via a species distribution  
101 model (SDM) (Yen et al. 2011). We then used multicriteria decision analysis methods to  
102 demonstrate how a single 'best' restoration action might be identified based on the outputs of  
103 our combined ST-SDM (Driscoll et al. 2016) (Fig. 1). We describe our general process here;  
104 full details of the STM and SDM are in Appendices S2–S5.

### 105 State-and-Transition Model

106 We used a state-and-transition model, constructed as a Bayesian network, to characterise  
107 changes in multiple vegetation attributes as a function of management and other  
108 environmental variables (Howes et al. 2010; Ticehurst et al. 2011; Rumpff et al. 2011).  
109 Bayesian networks are graphical models that depict probabilistic dependencies and comprise  
110 nodes that represent variables, and directional links that represent statistical (probabilistic)  
111 relationships between nodes (Pearl 1986; McCann et al. 2006). Bayesian networks are well  
112 suited to the integration of existing, often diverse, models and complex hypotheses (McCann  
113 et al. 2006).

114 We modified an existing Bayesian network following Rumpff et al. (2011). The original  
115 Bayesian network had nodes for vegetation attributes to represent recruitment, richness and  
116 cover of the mid and under-storey, weed cover, and immature and mature stem density. To  
117 reflect the habitat requirements of the bird species in our case study, we added nodes to  
118 reflect percentage tree cover within 500 m of the site, and total understorey cover, which was  
119 a composite of nodes for native and exotic understorey cover. In conjunction with nodes  
120 describing management actions, site history and environmental conditions, these state  
121 variable nodes interact to determine vegetation condition in the future.

122 Vegetation condition was classified into seven discrete ‘states’ (reference, simplified,  
123 oldfield, derived, thicket, native pasture, and exotic pasture). These states represent different  
124 combinations of values at the vegetation attribute nodes (e.g. shrub species richness, mature  
125 stem density). As with state variables, additional management actions were integrated into the  
126 original model as probabilistic nodes to reflect common management strategies for birds  
127 typical for the region (D.R., see Appendix S2 for details on Bayesian network construction).  
128 We constructed our Bayesian network model in *Netica* (Norsys 2010) (see Appendix S4 for  
129 the *Netica* model file).

### 130 Species distribution models

131 We make static predictions about the vegetation variables associated with bird occupancy and  
132 richness by fitting a random forest species distribution model, which uses binary recursive  
133 partitioning to assign the response variable to a particular class based on a set of predictor  
134 variables, (Breiman 2001). The random forest model makes no *a priori* assumptions about  
135 relationships between the predictors and response variables, and can account for complex  
136 interactions among predictor variables (Breiman 2001). We fitted random forest models to  
137 data on occupancy of birds, with one model for each species (following Yen et al. 2011, code  
138 available in Appendix S3).

139 From the adapted vegetation condition model, we included the number of immature stems per  
140 hectare, the number of mature stems per hectare, the number of shrub species, the percentage  
141 tree cover within 500m, and the percentage cover of understorey vegetation in a site as  
142 predictor variables in the fitted SDMs. We standardized predictor variables to zero mean and  
143 unit variance.

144 We used the percentage reduction in deviance as a measure of model fit. For a regression  
145 model with binary outcome, the deviance is  $-2 \sum [y_i \log(p_i) + (1 - y_i) \log(1 - p_i)]$ , where  $y_i$  is

146 the observed presence or absence of a given species in site  $i$  and  $p_i$  is the fitted probability of  
147 occurrence of that species in site  $i$ . We calculated the null deviance ( $\text{dev}_{\text{null}}$ ) from an  
148 intercept-only generalized linear model with logit link. We calculated percentage reduction in  
149 deviance as  $(\text{dev}_{\text{null}} - \text{dev}_{\text{rf}}) / \text{dev}_{\text{null}}$ , where  $\text{dev}_{\text{rf}}$  is the deviance of the fitted random forest  
150 model. We recorded the area under the receiver operating characteristic curve (AUC) as an  
151 estimate of out-of-sample model predictive performance for occurrence models and used the  
152  $r^2$  value (based on Pearson's  $r$ ) between observed and predicted species richness to  
153 characterise model fit for models of species richness. We fitted random forest models with  
154 the random forest package in R (Breiman 2001; Liaw & Wiener 2002) and calculated AUC  
155 values using the pROC package (Robin et al. 2011).

#### 156 Integrated ST-SDM

157 By using a subset of the state variables from the STM as predictors for birds in the SDM, we  
158 were able to develop an integrated model that could predict outcomes for birds as well as  
159 vegetation. We used the integrated ST-SDM to predict outcomes under four commonly used  
160 management interventions: no action; planting a  $20 \times 800$  m buffer; fencing; weed control;  
161 and fencing and weed control. Weed control in this instance was defined as any extensive  
162 weed control technique (e.g., a boom sprayer) using residual herbicides (Schirmer & Field  
163 2000; Rumpff et al. 2011).

164 Our ST-SDM generated predictions for the cover, richness or density of individual vegetation  
165 attributes (state variables), and for the probability of a site being in a particular condition  
166 state. We also recorded predicted probabilities of occurrence for four species: brown  
167 treecreeper, olive-backed oriole, restless flycatcher, and white-plumed honeyeater. We  
168 estimated species richness as the total number of species present from a total of 129 species  
169 (see Appendix S1). In order to estimate species richness, we summed the number of species

170 modelled to occur at each site, defining a species as present when its predicted probability of  
171 occurrence was greater than 0.7. As the results were not substantially different when  
172 averaged over a range of thresholds, this cut-off was chosen as achieved the closest  
173 correspondence between observed and predicted bird species richness in the training dataset.

#### 174 Examining vegetation condition as a proxy for faunal outcomes

175 Bayesian networks contain conditional probability tables that include information on the  
176 dependent variables (e.g. bird richness or occurrence) for every combination of states of the  
177 predictor variables (e.g. high, medium and low % weed cover). In order to determine whether  
178 vegetation condition is a good proxy for bird occurrence and richness, we extracted  
179 conditional probability tables from the ST-SDM Bayesian network and found the site(s) with  
180 the combination of variables that resulted in the maximum species richness and probability of  
181 the four target species (brown treecreeper, white-plumed honeyeater, olive-backed oriole,  
182 restless flycatcher) occurring. In cases where not all of the predictor variables strongly  
183 influenced occurrence or richness, multiple combinations of predictor variable states yielded  
184 the maximum probability of occurrence or species richness. We identified the vegetation  
185 condition states that were able to provide vegetation with these characteristics (e.g. which  
186 vegetation condition states can have a moderate immature stem density, low mature stem  
187 density, very low midstorey richness, very low grass cover and any level of tree cover- as our  
188 SDMs results show is preferred by the brown treecreeper). We wanted to determine whether  
189 the vegetation condition states which are optimal for bird species occurrence or richness  
190 match those considered 'high' vegetation condition (i.e. reference or simplified).

#### 191 Model Validation

192 We validated our combined ST-SDM using an independent data set from the Goulburn  
193 Broken catchment. These data recorded vegetation variables and bird occurrences in 1993



194 and 2011, which matched the 5–10 year timeframe of our model. A subset of these sites were  
195 actively managed, either with a 20 × 800 m planted buffer or with a fence to remove stock.

196 We used this independent data set to test whether our model reliably predicted vegetation  
197 condition, bird species richness, and occurrence of declining bird species under three  
198 management interventions: no action, planting buffer vegetation, and fencing. We tested the  
199 predictive ability of our SDMs, the STM and, finally our integrated ST-SDM.

200 To test our bird occurrence SDMs, we calculated the area under the receiver operating curve.  
201 We tested the SDM estimates of bird species richness by obtaining an  $r^2$  value for the  
202 correspondence between observed and predicted bird species richness.

203 To test our vegetation condition STM, we input information from our validation dataset about  
204 the initial site variables and the management actions undertaken. We compared the vegetation  
205 condition post-management according to our validation dataset with the modelled average  
206 predicted probability that a site would be classified into each vegetation condition state (e.g.  
207 oldfield, exotic pasture, or derived).

208 To test our ST-SDM, we entered the initial site variables and management actions as before  
209 and compared the predicted probability of bird species occurring at sites where the species  
210 was observed to occur in the post-management validation data, versus sites where they did  
211 not occur. One would expect that a reliable model would predict higher probabilities of  
212 occurrence at sites where the species occurred than at sites where the species was absent.

### 213 Predictions of restoration effectiveness

214 To demonstrate how our integrated ST-SDM could support decisions with multiple  
215 objectives, we generated model predictions over a 5–10 year period. We assumed restoration  
216 was implemented at a site in oldfield condition, stocked continuously with sheep at a low

217 density, which is a typical works site in the study region (Appendix S5). We assumed  
218 adequate rainfall at all restoration sites. We focused on three performance measures that  
219 reflect the management objectives of the GBCMA: 1) avoid a reduction in vegetation  
220 condition (vegetation condition falling into exotic pasture, native pasture, derived, or thicket  
221 states); 2) maximise the probability of occurrence for threatened and declining species; and 3)  
222 maximise the richness of bird species at the site. We compared the relative costs of  
223 restoration actions (Schirmer and Field 2000) as an additional component in the assessment  
224 of ‘best’ restoration options.

### 225 Multicriteria decision analysis

226 Different stakeholders will prefer changes in some objectives more than others, and so there  
227 may not be a shared ‘preferred’ decision (Keeney 2002). In practice, these values would be  
228 elicited from key stakeholders or decision makers. In the absence of elicited weights, we  
229 examined the sensitivity of the decision score to weighting.

230 To facilitate multicriteria decision analysis, we used multi-attribute utility theory (Driscoll et  
231 al. 2016). This involved combining model outputs with weights that reflect the relative  
232 importance of changes in each of the measurable objectives (bird species richness, brown  
233 treecreeper, white-plumed honeyeater, restless flycatcher and olive-backed oriole occurrence,  
234 probability of reduced vegetation condition). Each performance measure was scaled such that  
235 the worst outcome for that objective was assigned a value of zero and the best outcome was  
236 assigned a value of 1.

237 We used linear additive utility functions to identify the ‘best’ restoration under all possible  
238 combinations of weights (Driscoll et al., 2016). We simulated 10,000 random uniform  
239 weights for each performance measure, and assigned each performance measure a weighting  
240 between 0 (no value) and 1 (most preferred). We present a frequency distribution (Fig. 3) that

241 shows how often the randomly simulated values resulted in each restoration strategy being  
242 considered the ‘best’.

## 243 *Results*

### 244 Species distribution models

245 The species distribution models for bird species richness, white-plumed honeyeater, restless  
246 flycatcher, olive-backed oriole and brown treecreeper occurrence were integrated with the  
247 vegetation condition model. Models for the occurrence of the white-plumed honeyeater,  
248 restless flycatcher and olive-backed oriole predicted the training data well with all internal  
249 AUC values exceeding 0.7 (table 1). These models also explained a reasonable amount (14-  
250 37%) of the deviance in the data. The model for brown treecreeper occurrence predicted and  
251 fit the data less well; only explaining 2% of deviance and having an internal AUC of 0.68.  
252 Models of species richness fitted the data poorly, with an  $r^2$  value of 0.024 for the  
253 relationship between observed and modelled species richness.

254 [table 1 approximately here]

### 255 Vegetation condition as a proxy for fauna

256 The integrated model (Fig. 2) revealed that the brown treecreeper, olive-backed oriole,  
257 restless flycatcher and white-plumed honeyeater have different habitat preferences,  
258 particularly when it comes to mature stem density, shrub richness and grass cover. Therefore,  
259 the state of vegetation condition that supports the highest species richness and occurrence for  
260 these species varies. Oldfield was always a preferred vegetation condition state but species  
261 were also variously prevalent in native pasture, exotic pasture, derived and simplified  
262 condition states (see Table 2).

263 [Table 2 approximately here]

264 Model predictions

265 Performance measures (occurrence of brown treecreeper, white-plumed honeyeater, olive-  
266 backed oriole and restless flycatcher, species richness, and likelihood of reduced vegetation  
267 condition) and cost differ under five common restoration strategies (Table 3). It is evident  
268 that there is a trade-off between objectives; no single restoration strategy is best for all  
269 performance measures. For example, the highest species richness occurs when the site  
270 undergoes weed control but this is the worst option for increasing the probability of white-  
271 plumed honeyeater occurrence. In contrast, fencing is the best management option for white-  
272 plumed honeyeaters but this is quite expensive (\$904 per hectare) while the cost of no action  
273 is 0 and is only slightly less beneficial.

274 [Table 3 approximately here]

275 Model Validation

276 The SDMs predicted the occurrence of species in the validation dataset reasonably well (table  
277 1), particularly in the case of the white-plumed honeyeater (AUC 0.7) and the olive-backed  
278 oriole (AUC 1.0). Bird species richness was predicted poorly, with an  $r^2$  value of 0.0003  
279 between predicted and observed species richness in the validation dataset.

280 The STM predicted vegetation condition reasonably well under the three management  
281 histories: no action, planting a buffer and fencing to remove stock (Table 4). Only three  
282 vegetation condition states were observed: oldfield, exotic pasture and derived. The model  
283 predicted that sites were likely to fall into one of the three observed vegetation condition  
284 states, or into a native pasture condition state. Sites predicted to be in the oldfield condition  
285 state had a 0.36 probability of being observed in an oldfield condition state, a 0.45 probability

286 of being observed in an exotic pasture condition state and a 0.34 probability of being  
287 observed in a derived condition state.

288 [table 4 approximately here]

289 The STM was less able to predict all of the state variables that control vegetation condition  
290 and bird species richness and occurrence.  $r^2$  values for the agreement between observed and  
291 predicted tree cover, weed cover, native understorey cover and midstorey richness, immature  
292 and mature stem density ranged from 0.0003 to 0.08.

293 The integrated ST-SDM predicted the effect of initial vegetation condition and management  
294 actions on bird occurrences and richness poorly. Bird species occurrences were also poorly  
295 predicted, with an  $r^2$  value of 0.04 between observed and predicted bird species richness. The  
296 integrated ST-SDM predicted that each bird species would occur approximately as frequently  
297 in sites where the species were observed as compared with sites where the species did not  
298 occur based on our validation dataset. For example, the model predicted probability of  
299 restless flycatcher occurrence was 0.44 at sites where it was found in the validation dataset  
300 and 0.46 at sites where it was not found in the validation dataset (see appendix S6 for further  
301 details).

### 302 Multicriteria decision analysis – sensitivity to weighting

303 When varying randomly simulated weights for each variable (cost, species richness,  
304 vegetation condition etc.) to calculate decision scores, we found that no action (43.1%),  
305 fencing to remove stock (9.5%), weed control (36.7%) and fencing with weed control  
306 (10.7%) were all considered to be optimal management strategies for multiple objectives  
307 (Fig. 3). Our analyses also indicated that planting a buffer was never the best sole

308 management action to best meet the management objectives under the time frame (5-10  
309 years) considered in this case-study (i.e. a dominated alternative).

310 [figure 3 approximately here]

311 *Discussion*

312 Model utility

313 This study is one of few to use an integrated modelling approach that explicitly models the  
314 multiple outcomes sought by managers investing in restoration. We achieved this by  
315 integrating two popular modelling techniques in conservation and natural resource  
316 management: state-and-transition models and species distribution models. Alone, species  
317 distribution models can compare habitat preference among species but do not provide any  
318 guidance about how to manage an area given these habitat preferences, taking into account  
319 the relative costs and expected outcomes of competing management options. In ecology,  
320 state-and-transition models have been predominantly used to predict the response of  
321 vegetation to management actions, typically only accounting for objectives focused on  
322 vegetation. This precludes analysis of trade-offs when additional objectives exist. By  
323 developing a combined ST-SDM we demonstrate a method that can be applied to inform  
324 managers about the impacts of restoration actions on multiple objectives that is predisposed  
325 to updating as more data becomes available, in the spirit of passive adaptive management  
326 (Duncan & Wintle 2008). Teaming integrated model outputs with multicriteria decision  
327 analysis can assist managers to identify a preferred restoration strategy, given the expected  
328 benefits to multiple objectives arising from candidate restoration options, and the preferences  
329 of managers and stakeholders for different outcomes that are embodied in weighting scheme  
330 employed within the analysis.

331 The first key question addressed by our study was whether there are trade-offs between  
332 restoration objectives. In our case study, the brown treecreeper preferred sites with lower  
333 mature stem density, midstorey richness, and grass cover than the white-plumed honeyeater,  
334 indicating likely trade-offs between good outcomes for brown treecreepers and white-plumed  
335 honeyeaters when choosing restoration actions (table 2). In the scenario we tested (an oldfield  
336 site stocked with a low density of sheep), weed control was the best strategy for brown  
337 treecreepers (probability of occurrence,  $p_{occ} = 0.81$ ) but was the worst strategy for white-  
338 plumed honeyeaters ( $p_{occ} = 0.17$ ) (table 3).

339 The second key question we address is whether high vegetation condition corresponds to  
340 good faunal outcomes. Under the case study tested, the objective of maintaining vegetation  
341 condition is best achieved by fencing to remove stock and conducting weed control.  
342 However, this is the most expensive option and has poor outcomes for the restless flycatcher,  
343 and sub-optimal outcomes for species richness and the occurrence of the other target species  
344 (Table 3). The results of our analysis indicate that, at least in the case study presented (a  
345 grazed oldfield site within the Goulburn Broken Woodlands), there are trade-offs between  
346 preserving species diversity and threatened species, and improving vegetation condition. This  
347 may be because, in this case study, the variables associated with high vegetation condition  
348 do not strongly determine faunal habitat suitability. However, high levels of degradation in  
349 our study area might have led to local extinction of those species with a strong preference for  
350 high condition vegetation (such as the turquoise parrot or painted honeyeater), inhibiting our  
351 ability to detect a relationship between high condition vegetation and positive faunal  
352 outcomes. In any case, it is important to explore the assumption that high condition  
353 vegetation will provide the best outcome for fauna, especially if restoring vegetation  
354 condition is actually a means objective for the fundamental objective of restoring the habitat

355 suitability for fauna. The ST-SDM framework provided in this study provides the opportunity  
356 to undertake such an exploration.

357 An integrated ST-SDM coupled with multicriteria decision analysis can enable managers to  
358 simultaneously predict the effect of restoration strategies on a number of objectives at a site  
359 scale and select the restoration strategy that provides the best outcomes given their values.

360 We used this model to explore how preferred restoration strategies might vary when  
361 objectives are weighted differently according to different hypothetical stakeholder values. In  
362 a real-world context, stakeholders may value changes in a threatened or declining species  
363 very highly, while others may be more focussed on enhancing vegetation condition. Our  
364 sensitivity to weighting results show that no management strategy was consistently dominant  
365 across all objectives in the example we tested, over the time-frame considered (Fig. 3).

366 However, our analysis did highlight an action (planting buffer vegetation) that never provides  
367 the best outcomes for objectives (i.e dominated action). Consequently, four strategies may  
368 satisfy stakeholder objectives (no action, fencing to remove stock, weed control, and fencing  
369 and weed control). The optimal management strategy at any given site will depend on the  
370 values of the relevant stakeholders.

### 371 Model development and integration

372 Information about the efficacy of restoration is limited and is usually based on or  
373 supplemented by expert opinion due to limited resources for the collection, storage and  
374 analysis of monitoring data. An adaptive management framework supported by a quantitative  
375 process model can facilitate the integration of existing knowledge with new information to  
376 evaluate and improve restoration practices (Duncan & Wintle 2008).

377 We demonstrate the first stages of adaptive management by validating the model's predictive  
378 ability for an independent data set. This validation process identified components of the



379 model that performed poorly, impeding the choice of an optimal course of management. For  
380 example, the model predicted vegetation condition reasonably well, but did not predict  
381 vegetation state variables or bird outcomes well (table 1, appendix S6). Poor performance in  
382 validation tests was not entirely surprising, as the validation data were collected in different  
383 years and with different sampling methodologies. Poor model predictions may also be due to  
384 the highly non-stationary effects of the millennium drought (Haslem et al. 2015), which  
385 affected one of the data collection periods. In addition to possibly changing the relationship  
386 between vegetation and bird occurrence (Haslem et al. 2015), the millennium drought may  
387 have affected the growth and senescence of vegetation (Fensham & Holman 1999) such that  
388 it does not match what is expected in the STM. This may explain why our ST-SDM predicted  
389 bird occurrence poorly even though our SDMs performed well for some species (Table 1,  
390 Appendix S6). Environmental stochasticity is problematic for all predictive models and it is  
391 difficult to compare datasets collected using different years, methods or locations, let alone  
392 predict from one to another. We will focus here on the limitations of our methodology, which  
393 may have contributed to the poor predictive ability of ST-SDM.

394 The Rumpff et al. (2011) STM contained eight state (vegetation) variables but not all of these  
395 were sampled for Yen et al. (2011) or in the validation dataset. In order to link the STM with  
396 the Yen et al. (2011) SDMs, and to validate the model, we restricted the variables used in the  
397 SDMs to those that were common to the training and validation data sets and that could  
398 reasonably be included in the STM. This resulted in the omission of several variables that are  
399 known to predict bird occurrence and richness, including midstorey cover (which was absent  
400 in the validation data) and habitat fragmentation (which was absent from the STM and  
401 validation data) (Yen et al. 2011; Garrard et al. 2012). The omission of these two variables  
402 may account for the model's poor ability to predict bird species richness with  $r^2$  values of  
403 0.024 and 0.0003 for the correlation between predicted and observed bird species richness in

404 the training and validation data respectively. Mismatched variable sets are likely to be  
405 problematic whenever models created for different purposes are combined. The integration of  
406 state-and-transition models and species distribution models will work best when the data  
407 collected for SDMs match the variables in the STM, or vice versa.

408 Having identified these shortcomings, the integrated model would improve if utilised within  
409 an adaptive management framework. This would necessitate the further development of the  
410 conceptual model underlying the ST-SDM, in terms of structure and parameterisation,  
411 supported by data collection and modelling across a broader range of vegetation variables  
412 over a longer period of time. Fortunately, our modelling approach is well suited to iterative  
413 and ad-hoc or opportunistic addition of additional data or incorporation of changes in expert  
414 knowledge.

415 Irrespective of the model's current predictive performance, we have illustrated a modelling  
416 framework that could be used to quantify expected management outcomes, characterise and  
417 explore uncertainty, and examine potential trade-offs among multiple objectives. Our model  
418 highlighted the possible pitfalls of assuming that high vegetation condition would mean good  
419 outcomes for birds, an important consideration when trying to improve bird richness or  
420 occurrence. Ongoing validation and improvement of the model will help to ensure that the  
421 assumptions and knowledge gaps influencing decisions are identified and improved over  
422 time, providing better management outcomes. To our knowledge, this paper is the first to  
423 combine state-and-transition models and species distribution models to predict the impact of  
424 restoration strategies on multiple objectives. When paired with multicriteria decision analysis,  
425 our model provides a powerful approach to identifying restoration priorities that reconcile  
426 empirical evidence and stakeholder preferences for outcomes given competing objectives.  
427 Given the broad availability of species distribution models and the possibility of developing

428 state-and-transition models from expert opinion, this modelling approach could be applied to  
429 wide variety of contexts to rapidly inform multi-objective management decisions.

430 *Supporting Information*

431 A list of species included in random forest trees to estimate species richness (Appendix S1),  
432 details of the integrated model construction and node states (Appendix S2), code used to run  
433 random forest trees (Appendix S3), a Netica file containing the full model (Appendix S4),  
434 details of the model scenario (Appendix S5) and an analysis of the predictive ability of model  
435 components (Appendix S6) are available online. The authors are solely responsible for the  
436 content and functionality of these materials. Queries (other than absence of the material)  
437 should be directed to the corresponding author.

438

439

440 *Literature Cited*

- 441 Bestelmeyer BT, Herrick JE, Brown JR, Trujillo DA, Havstad KM. 2004. Land management  
442 in the American southwest: a state-and-transition approach to ecosystem complexity.  
443 *Environmental Management* **34**:38–51. Springer New York.
- 444 Bradshaw CJA. 2012. Little left to lose: deforestation and forest degradation in Australia  
445 since European colonization. *Journal of Plant Ecology* **5**:109–120.
- 446 Breiman L. 2001. Random Forests. *Machine Learning* **45**:5–32.
- 447 Driscoll DA, Bode M, Bradstock RA, Keith DA, Penman TD, Price OF. 2016. Resolving  
448 future fire management conflicts using multicriteria decision making. *Conservation*  
449 *Biology* **30**:196–205.
- 450 Duncan DH, Wintle BA. 2008. Towards adaptive management of native vegetation in  
451 regional landscapes. Page in C. Pettit, W. Cartwright, I. Bishop, K. Lowell, D. Pullar,  
452 and D. Duncan, editors. *Landscape analysis and Visualisation*. Springer.
- 453 Fensham RJ, Holman JE. 1999. Temporal and spatial patterns in drought-related tree dieback  
454 in Australian savanna. *Journal of Applied Ecology* **36**:1035–1050.
- 455 Garrard GE, McCarthy MA, Vesk PA, Radford JQ, Bennett AF. 2012. A predictive model of  
456 avian natal dispersal distance provides prior information for investigating response to  
457 landscape change. *The Journal of Animal Ecology* **81**:14–23.
- 458 GBCMA. 2013. Goulburn Broken Regional Catchment Strategy 2013-2019. Shepparton.
- 459 Haslem A, Nimmo DG, Radford JQ, Bennett AF. 2015. Landscape properties mediate the  
460 homogenization of bird assemblages during climatic extremes. *Ecology* **96**:3165–3174.
- 461 Howes AL, Maron M, McAlpine CA. 2010. Bayesian networks and adaptive management of  
462 wildlife habitat. *Conservation Biology* **24**:974–83.

- 463 IUCN. 2015. IUCN Red List of Threatened Species. Version 2015-4.
- 464 Keeney RL. 2002. Common mistakes in making value trade-offs. *Operations Research*  
465 **50**:935–945.
- 466 Liaw A, Wiener M. 2002. Classification and regression by randomForest. *R News* **2**:18–22.  
467 Available from url: <http://CRAN.R-project.org/doc/Rnews/>.
- 468 Martin TG, Burgman MA, Fidler F, Kuhnert PM, Low-Choy S, McBride M, Mengersen K.  
469 2012. Eliciting expert knowledge in conservation science. *Conservation Biology* **26**:29–  
470 38.
- 471 McCann RK, Marcot BG, Ellis R. 2006. Bayesian belief networks: applications in ecology  
472 and natural resource management. *Canadian Journal of Forest Research* **36**:3053–3063.
- 473 Miles C, McLennan R, Keogh V, Stothers K. 2010. Biodiversity strategy for the Goulburn  
474 Broken Catchment, Victoria. Shepparton.
- 475 Miller JR, Hobbs RJ. 2007. Habitat restoration. Do we know what we're doing? *Restoration*  
476 *Ecology* **15**:382–390.
- 477 Norsys. 2010. Netica. Norsys Software Corp.
- 478 Parkes D, Newell G, Cheal D. 2003. Assessing the quality of native vegetation: The “habitat  
479 hectares” approach. *Ecological Management & Restoration* **4**:S29–S38. Available from  
480 <http://doi.wiley.com/10.1046/j.1442-8903.4.s.4.x>.
- 481 Pearl J. 1986. Fusion, propagation, and structuring in belief networks. *Artificial Intelligence*  
482 **29**:241–288.
- 483 Robin X, Turck N, Hainard A, Tiberti N, Lisacek F, Sanchez J-C, Müller M. 2011. pROC: an  
484 open-source package for R and S+ to analyse and compare ROC curves. Page 77 *BMC*  
485 *Bioinformatics*.

- 486 Robinson D. 2006. Is revegetation in the Sheep Pen Creek area, Victoria, improving Grey-  
487 crowned Babbler habitat? *Ecological Management and Restoration* **7**:93–104.
- 488 Rumpff L, Duncan DH, Vesk P, Keith D, Wintle B. 2011. State-and-transition modelling for  
489 adaptive management of native woodlands. *Biological Conservation* **144**:1224–1236.  
490 Elsevier Ltd.
- 491 Schirmer J, Field J. 2000. The cost of revegetation final report. Canberra.
- 492 Starfield AM, Blelock AL. 1986. Building Models for Conservation and Wildlife  
493 Management. Interaction Book Company, Edina.
- 494 Thomson J, Moilanen A, Vesk P, Bennett A. 2009. Where and when to revegetate: a  
495 quantitative method for scheduling landscape reconstruction. *Ecological Applications*  
496 **19**:817–28.
- 497 Thorpe AS, Stanley AG. 2011. Determining appropriate goals for restoration of imperilled  
498 communities and species. *Journal of Applied Ecology* **48**:275–279.
- 499 Ticehurst J., Curtis A, Merritt WS. 2011. Using Bayesian networks to complement  
500 conventional analyses to explore landholder management of native vegetation.  
501 *Environmental Modelling & Software* **26**:52–65. Elsevier Ltd.
- 502 Yen JDL, Thomson JR, Vesk PA, Mac Nally R. 2011. To what are woodland birds  
503 responding? Inference on relative importance of in-site habitat variables using several  
504 ensemble habitat modelling techniques. *Ecography* **34**:946–954.
- 505
- 506

507 *Tables*

508 Table 1: occurrence model fits and predictive performance based on the training data and

509 validation data

<b>Species</b>	<b>Internal AUC</b>	<b>% Deviance Explained</b>	<b>Validation AUC</b>
white-plumed honeyeater	0.88	37	0.70
brown treecreeper	0.68	2	0.50
olive-backed oriole	0.75	14	1.00
restless flycatcher	0.79	17	0.58

510

511

512 Table 2: Node states for vegetation attributes that maximise bird species occurrence and

513 species richness

	<b>Brown treecreeper</b>	<b>Olive-backed Oriole</b>	<b>Restless Flycatcher</b>	<b>White- plumed honeyeater</b>	<b>Species richness</b>
<b>Immature Stem Density (stems/ha)</b>	Moderate (186-548)	Low (0-186)	Moderate (186-548)	Low (0-186)	Moderate (186-548)
<b>Mature Stem Density (stems/ha)</b>	Low (4-6)	High – Very high (12+)	Mid – Very high (6+)	High – Very high (12+)	Very Low (0-4)
<b>Shrub Richness (# species)</b>	Very Low (0-2)	High (10-15)	Very Low (0-2)	High (10-15)	Moderate (3-10)
<b>Grass Cover (%)</b>	Very Low (0-10)	Moderate – Very high (30+)	High – Very high (50+)	Moderate – Very high (30+)	Very Low (0-10)
<b>Tree cover (%)</b>	Any (0-100)	Any (0-100)	Any (0-100)	Any (0-100)	Any (0-100)
<b>Vegetation Condition</b>	Oldfield Native Pasture Derived	Oldfield Native Pasture Simplified Exotic Pasture Derived	Oldfield Native Pasture Exotic Pasture Derived	Oldfield Native Pasture Simplified Exotic Pasture Derived	Oldfield Native Pasture Simplified Derived

514

515



516 Table 3: Outcomes of restoration options at an oldfield site. Reduced condition is the  
 517 probability of the site moving from oldfield to a lower vegetation condition, species names  
 518 refer to probability of occurrence, species richness is in number of species, cost is per hectare  
 519 in AUD

	Species Richness	White-plumed Honeyeater	Brown Treecreeper	Olive-backed Oriole	Restless Flycatcher	Reduced condition	Tree cover (%)	Cost
<b>No Action</b>	0.092	0.316	0.657	0.266	0.589	0.889	9	\$0
<b>Buffer</b>	0.092	0.316	0.657	0.266	0.589	0.889	11	\$904
<b>Fence</b>	0.091	0.319	0.655	0.266	0.590	0.742	9	\$904
<b>Weed Control</b>	0.141	0.170	0.806	0.258	0.466	0.784	9	\$178
<b>Fence, Weed control</b>	0.133	0.251	0.759	0.237	0.484	0.498	9	\$1,082

520

521 Table 4: Comparison of observed vegetation condition with mean predicted vegetation  
 522 condition. Grey cells indicate that that a vegetation condition was not observed

		Predicted						
		Reference	Oldfield	Native Pasture	Simplified	Exotic Pasture	Thicket	Derived
Observed	Reference							
	Oldfield	0	35.94	6.07	0	43.30	0	14.70
	Native Pasture							
	Simplified							
	Exotic pasture	0	45.39	8.27	0	25.07	0	21.30
	Thicket							
	Derived	0	33.81	11.25	0	27.51	0	25.15

523

524 *Figure Legends*

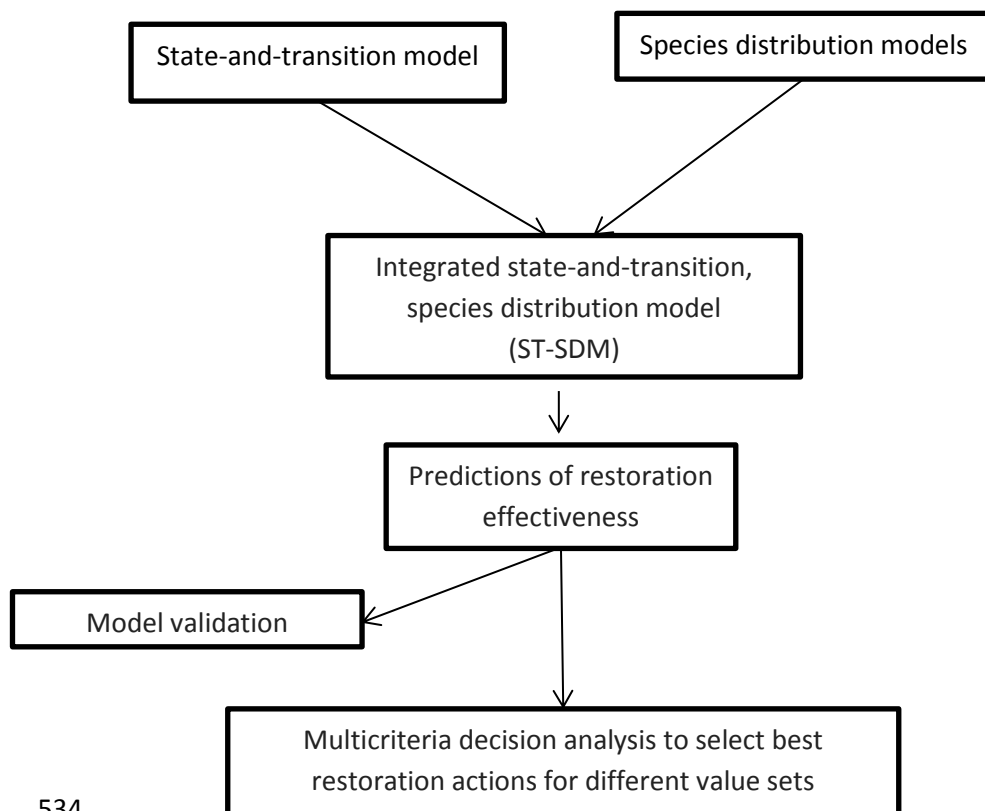
525 Fig. 1: Depiction of the methodology used in this study

526 Fig. 2: The integrated state-and-transition, Species Distribution Model showing the altered  
527 nodes in light grey, the new nodes in white and the nodes that remained unchanged from the  
528 original STM in dark grey.

529 Fig. 3: Frequency distribution of best management action under 10,000 simulated weightings  
530 for 1) no action, 2) buffer planting, 3) fencing, 4) weed control and 5) fencing and weed  
531 control

532

533 *Figures*

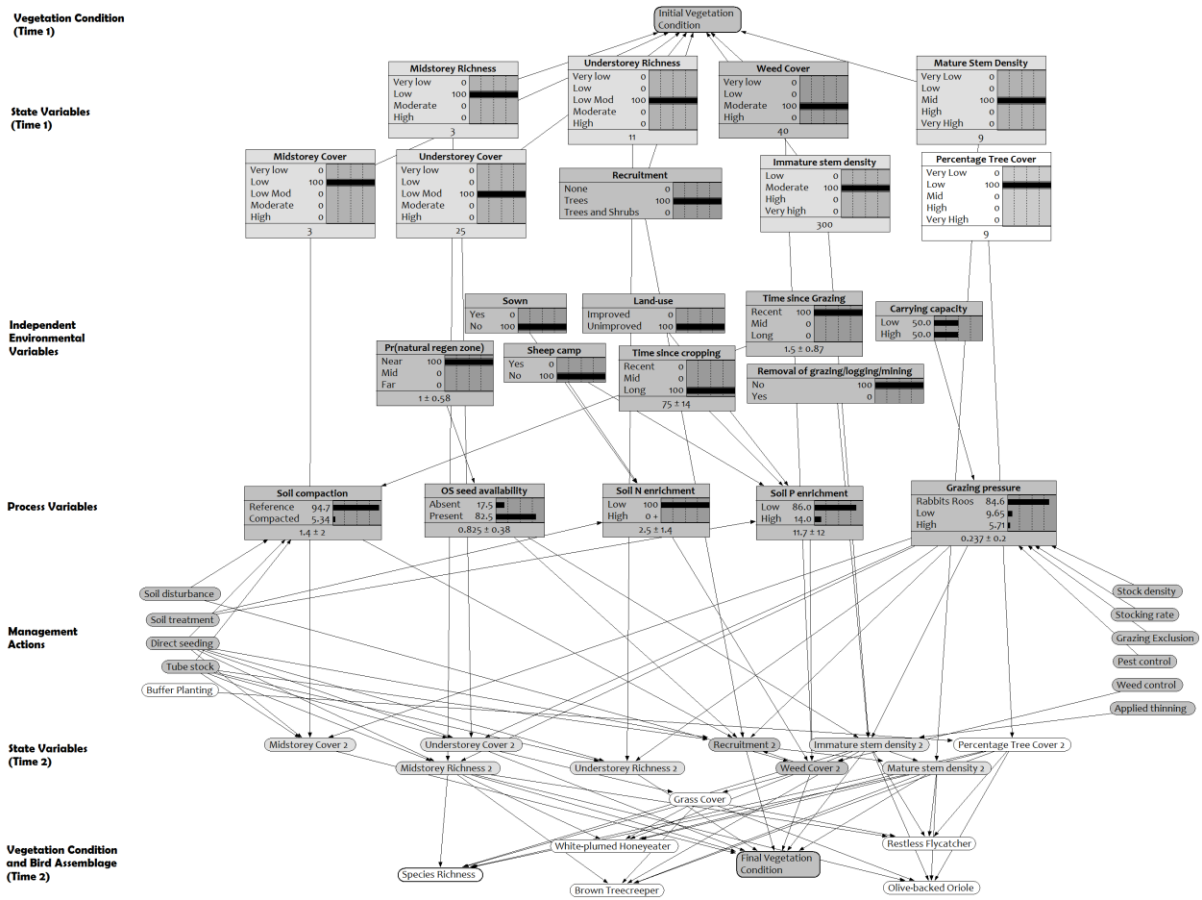


534

535 Fig. 1

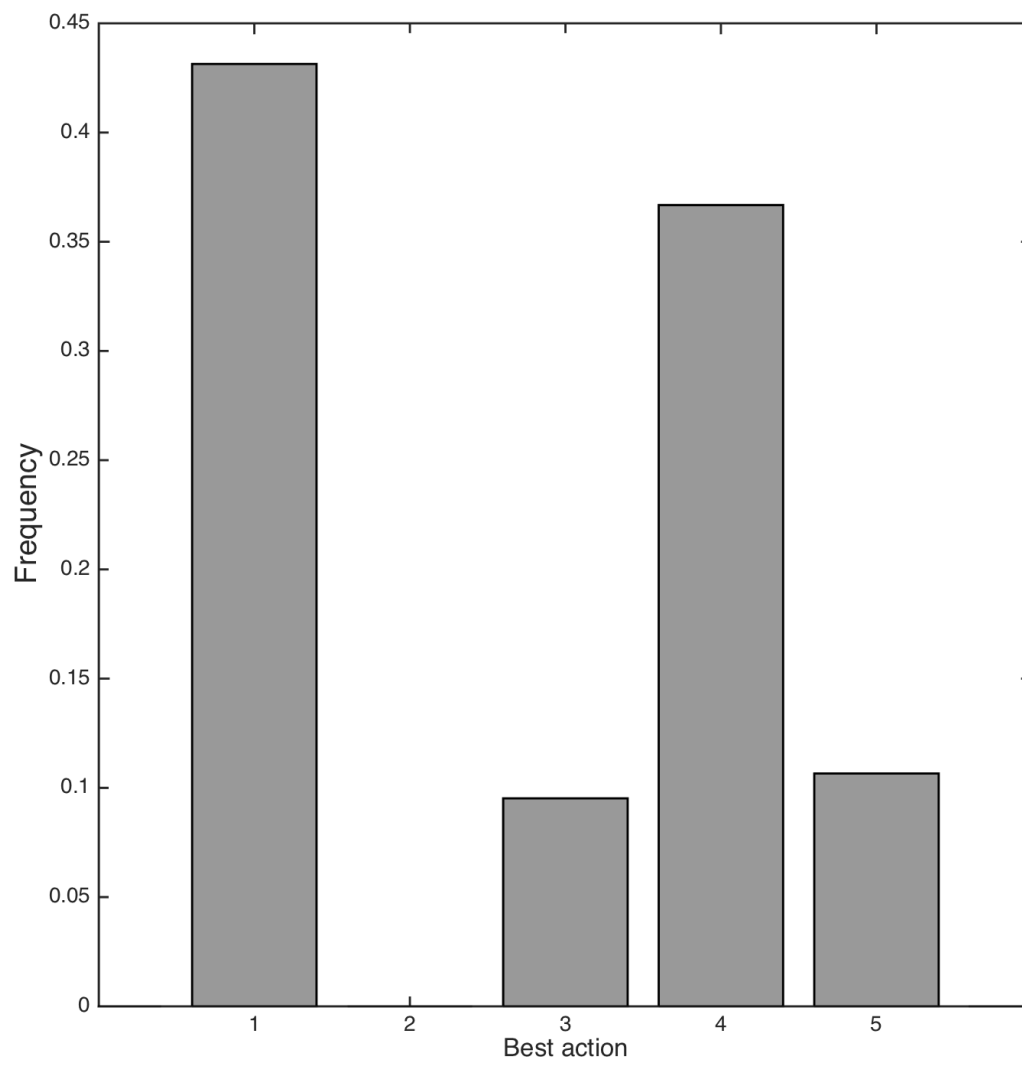
536

537



538

539 Fig. 2



540

541 Fig. 3

542



Minerva Access is the Institutional Repository of The University of Melbourne

**Author/s:**

Fraser, H; Rumpff, L; Yen, JDL; Robinson, D; Wintle, BA

**Title:**

Integrated models to support multiobjective ecological restoration decisions

**Date:**

2017-12-01

**Citation:**

Fraser, H., Rumpff, L., Yen, J. D. L., Robinson, D. & Wintle, B. A. (2017). Integrated models to support multiobjective ecological restoration decisions. *CONSERVATION BIOLOGY*, 31 (6), pp.1418-1427. <https://doi.org/10.1111/cobi.12939>.

**Persistent Link:**

<http://hdl.handle.net/11343/216796>

**File Description:**

Accepted version