

Transient childhood wheeze is associated with less atopy in adolescence

Running Title: Transient childhood wheeze and atopy

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Abstract

Background

26 The relationships between childhood wheeze phenotypes and subsequent allergic conditions other
27 than asthma, including hayfever, eczema, and sensitization have not been widely reported. We
28 aimed to investigate this relationship up to late adolescence.

29 Methods

30 Using five childhood wheeze phenotypes defined from 620 children in a high-atopy risk birth
31 cohort (Melbourne Atopy Cohort Study), we investigated their relationships with sensitization,
32 eczema, hay fever, and fractional exhaled nitric oxide (FeNO) at ages 12 and/or 18 years using
33 logistic and linear regression models.

34 Results

35 “Early Persistent wheeze” was associated with increased risk of eczema (odds ratio 3.69; 95% CI
36 1.23,11.12) and sensitization (4.52; 1.50,13.64) at 12 years. “Intermediate Onset wheeze” was
37 associated with increased risk of eczema at 12 years (2.57;1.11,5.97), hay fever at 12 (2.87;
38 1.44,5.74) and 18 years (2.19;1.20,4.02), sensitization at 12 (2.25;1.17,4.34) and 18 years
39 (2.46;1.18,5.12), and raised FeNO at 18 years. “Late Onset wheeze” was associated with increased
40 risk of hay fever at 12 (5.18;1.11,24.20) and 18 years (4.20;1.03,17.11) and sensitization at 12
41 years (3.27;0.81,13.27). In contrast, “Early Transient wheeze” was associated with reduced risk of
42 eczema (0.44 ;0.20,0.96), hay fever (0.57; 0.33,0.99) and sensitization (0.59; 0.35,0.99) at 18
43 years and a lower FeNO compared with “Never/Infrequent wheezers”.

44 Conclusions

45 Persistent wheeze phenotypes were associated with allergic outcomes up to 18 years with
46 “Intermediate Onset wheeze” being the most atopic group. In contrast, “Early Transient wheezers”
47 had less risk of allergic outcomes at 18 years. This protective effect may reassure parents of wheezy
48 infants and young children.

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55

56 Key-words: Wheeze, Asthma, Atopy, Nitric Oxide, Childhood, Adolescence

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59 **Impact Statement**

60 Children with persistent wheeze are more likely to have other allergic manifestations in later
61 childhood and adolescence. In contrast early transient wheeze was associated with less allergic
62 phenomena, suggesting that early life viral respiratory infection may be important for immune
63 development and prevention of allergic disease. The finding that transient wheeze may be protective
64 for future allergic disease and atopy may be a reassuring message to parents with wheezing infants
65 and young children.

66

67

68 **Introduction**

69 Childhood wheeze is responsible for a large global burden of disease, with 11.6% of all six-seven
70 year old children affected.¹ Prevalence is particularly high in early childhood, with one third of
71 children affected before the age of three years. Estimates of the prevalence of any episode of
72 wheeze from birth up to the age of six years may be higher; up to 48% in Arizona, America² and
73 68% in Valencia, Spain³, although in other countries and regions where wheeze and asthma are less
74 prevalent, this figure may be lower.

75 Wheeze is a cardinal symptom of asthma, but is heterogeneous in terms of aetiology and prognosis.⁴
76 There is increasing interest in accurate classification of childhood wheeze phenotypes and their
77 prognoses.^{2,5-8} While most early childhood wheeze is transient, resolving without subsequently
78 developing into asthma, approximately a third of early life wheezers have persistent disease and
79 asthma in later childhood.⁹ Further research seeks to determine which children with early life
80 wheeze will develop asthma and whether early life exposures may influence this development.

81 Inhaled anti-inflammatory medications are effective in controlling wheeze and there is some
82 inconclusive evidence that they may help preserve lung function¹⁰⁻¹³ although a recent systematic
83 review found little evidence for long term disease modifying effects.¹⁴ However, these agents may
84 have serious side effects,^{15,16} so it is important to be able to distinguish benign transient wheeze in
85 early childhood from phenotypes that have long term implications.

86 Our finding, from analysis of previously defined wheeze phenotypes⁶, that early transient wheeze is
87 benign with respect to later respiratory health¹⁷ agrees with some¹⁸, but not all previous
88 research.^{5,7,8,19,20} Different findings may relate to variation in criteria for classification of early
89 childhood wheeze groups. Our analysis, where wheeze was recorded prospectively 18 times in the
90 first two years of life, is likely to have detected more episodes of mild wheeze than other studies,
91 where wheeze was only recorded at six or 12-month intervals⁵.

92 There were conflicting findings concerning the long-term implications of early transient wheeze and
93 the lack of evidence for associations with other common allergic conditions including eczema,
94 hayfever and sensitization. We aimed to investigate associations between our previously defined
95 childhood wheeze phenotypes (“Never/Infrequent wheeze”, “Early Transient wheeze”, “Persistent
96 wheeze”, “Intermediate Onset wheeze” and “Late Onset wheeze”, and eczema, hayfever and
97 biomarkers including skin prick testing to common food and aero-allergens and exhaled nitric oxide
98 up to 18 years.

99

100 **Methods**

101 **Participants**

102 The Melbourne Atopy Cohort Study (MACS) is a longitudinal birth cohort. From 1990-1994, we
103 enrolled 620 children, with a family history of allergic disease, whilst in utero, and have followed
104 them to 18 years. Methods, baseline characteristics, follow-up times and data/samples collected have
105 been described elsewhere.²¹ Although originally conceived as an RCT trialing the association of
106 infant formulas with allergic disease (registered retrospectively with the Australian and New Zealand
107 Clinical Trials Registry [ACTRN12609000734268]), MACS has been utilized as a prospective birth
108 cohort. The Mercy Maternity Hospital Ethics Committee approved initial study phases. The 18-year
109 follow-up was approved by the University of Melbourne and Royal Children’s Hospitals Ethics
110 Committees. All mothers and children (when of consent age) provided written informed consent.

111 Exposure phenotypes defined using data collected in the first seven years of life

112 Childhood wheeze phenotypes were defined previously.⁶ Briefly, we identified five independent
113 wheeze phenotypes from wheezing patterns from the age of four weeks to seven years (wheeze
114 recorded 23 times). Names of classes were based on temporal patterns. Latent class probabilities for
115 the five identified classes were: “Never/infrequent wheeze” 47 % (n=290); “Early Transient wheeze”
116 26%(n=160); “Early Persistent wheeze” 5% (N=33); “Intermediate Onset wheeze” 19% (n=115); and

117 “Late Onset wheeze” 3% (n=33). Never/infrequent wheezers had a low probability of wheeze at all
118 23 Timepoints (Prob <0.1). Early transient wheezers had an early increase in wheeze probability
119 between 6 months and 2 years (Prob 0.25-0.3) but after 2 years the wheeze probability was low. Early
120 persistent wheezers had a relatively high probability of wheeze at all timepoints. Intermediate onset
121 wheezers had increasing probability of wheeze from 18 months, and late onset wheezers started to
122 wheeze at around 4 years of age.

123 Outcome data collected at age 12 and 18 years

124 Skin Prick Testing (SPT)

125 Trained research personnel conducted tests at ages six, 12 and 24 months, and 12 and 18 years. Up
126 to 12 years, cow’s milk, egg white, peanut, house dust-mite, rye grass pollen and cat dander [Bayer,
127 Spokane, WA, USA] were used. At 18 years, additional allergens tested were *Alternaria tenuis*,
128 *Penicillium notatum*, *Homodendrum cladosporioides*, mixed grass pollen, cashew and shrimp.
129 Details of methods were previously published.²² Positive SPT was defined as a wheal response
130 with a mean diameter ≥ 3 mm.

131 Fractional exhaled nitric oxide (FENO)

132 Exhaled NO was collected at 18-years by an off-line method [HypAir™ FENO, Médisoft, P.A.E de
133 Sorinnes, Belgium]. NO deplete air was inhaled and then expired at 50ml/sec. FENO concentration
134 was measured in parts per billion (ppb). Up to five blows were performed for reproducible values
135 (two readings within ten % if values >20ppb, or within 15% if below 20ppb).

136 Current eczema and hay fever

137 Eczema by six months was defined as participants’ report of a doctor’s consultation for eczema, or
138 any rash treated with steroid creams by six months (excluding rashes confined to scalp and nappy
139 area). Current eczema and hay fever at 12 and 18 years were defined from questionnaire responses
140 for occurrence and treatment in past 12 months.

141

142 Covariates

143 Parental smoking, parental asthma, pets at birth, birth order, gender and parental education were
144 defined by responses to the baseline questionnaire (at birth). Parental education was used as a
145 marker of socioeconomic status and defined as one or two parents versus neither parent having
146 studied at a tertiary level. Parental smoking was defined as one or both parents reporting current

147 smoking at baseline. “Heavy parental smoking” was defined as ≥ 10 cigarettes/day for either or
148 both parents.

149 Lower respiratory tract infection (LRTI) was defined by parental reports of doctor visits for LRTI
150 (reported every four weeks during the child’s first year). Breastfeeding \geq three months was any
151 breastfeeding at or beyond three months regardless of other food intake.

152 Statistical analysis

153 Two-sample comparison of proportion tests (z-tests) were used to identify differential follow-up.
154 Associations between wheezing classes defined by LCA⁶ with questionnaire definitions of hay fever
155 and eczema, and sensitization at 12 and 18 years were estimated using logistic regression (Stata,
156 release 11.0, Stata Corporation, College Station, TX, USA), with weights equal to the probability of
157 membership of each wheeze phenotype for each child. Models were adjusted for sex, LRTI by one
158 year, breastfeeding \geq three months, heavy parental smoking, parental asthma, allergen sensitization
159 at one year, eczema by six months, first in birth order, dog in the home at child’s birth, and parental
160 education. Associations between wheeze phenotypes and FENO were performed using linear
161 regression of natural log transformed data adjusted for age, height and sex. Confounders included
162 in this model were similar to other models except for early allergen sensitization. The analysis was
163 repeated with current sensitization included, as this is known to be a determinant of FENO.²³
164 Adjustment for initial formula allocation did not change estimates.

165

166 Results

167 Participant characteristics

168 Parents of participants represented a high socio-economic status group with 72% of couples (one or
169 both parents) educated at tertiary level. Most parents (85%) were born in Australia. There were
170 375 (60%) participants who responded to questionnaires at 12 years and 411 (66%) at 18 years with
171 both questionnaire and expired NO data. Those with missing data were more likely to have parents
172 who smoked and were not tertiary educated at baseline.²¹

173 Hay fever and Eczema at ages 12 and 18 years (Table 1)

174 “Early Transient wheezers” had evidence of reduced risk of both current eczema and current hay
175 fever at 18 years when compared with “Never/Infrequent wheezers.”(Table 1). There was some
176 weaker evidence of increased risk of eczema at 18 years for persistent wheezers and reduced risk
177 for both intermediate and late onset wheezers “

178

179 “Intermediate Onset” and “Late Onset wheeze” phenotypes were associated with an increased risk
180 of current hay fever at both 12 and 18 years, when compared with “Never/Infrequent wheezers”.
181 Although “Early Persistent” and “Intermediate Onset wheeze” phenotypes were associated with
182 increased risk of current eczema at 12 years, there was no association for any wheeze phenotype
183 with current eczema at 18 years.

184 Allergen sensitization at 12 and 18 years by wheeze phenotype (Table 2)

185 “Early Transient wheezers” had a reduced risk of sensitization when compared to “Never/Infrequent
186 wheezers” at 18 years. The risk of allergen sensitization was increased in “Intermediate Onset
187 wheezers” at both ages: 12 years and 18 years when compared to “Never/Infrequent wheezers” and
188 in “Early Persistent wheezers” at age 12

189 Fractional exhaled nitric oxide (FENO) at 18 years by wheeze phenotype

190 The “Early Transient wheeze” phenotype was associated with lower FENO at age 18 when
191 compared with “Never/infrequent wheezers”. (Figure 1). The “Intermediate Onset wheeze”
192 phenotype was associated with an increased risk of raised FENO at 18 years when compared with
193 the “Never/Infrequent wheeze” phenotype.

194

195 **Discussion**

196 Finding a link between transient wheeze in early childhood and reduced risk of later allergic disease
197 is novel. At 18 years of age, “Early Transient wheezers” had reduced risks of hay fever and eczema
198 along with lower FENO levels and lower risk of sensitization, when compared with
199 “Never/Infrequent wheezers.” In contrast, both “Intermediate Onset” and “Late Onset” wheeze
200 phenotypes were associated with increased risk of current hay fever at 12 and 18 years. The
201 “Intermediate Onset” wheeze phenotype was also associated with an increased risk of sensitization
202 and higher exhaled nitric oxide.

203 Our current findings with respect to the childhood wheeze phenotypes who continue to wheeze are
204 similar to the findings of others.^{5,19,20} However, our findings with respect to “Early Transient
205 wheeze” are novel and differ from the existing literature. Early transient wheeze characterized by
206 Martinez et al. in the Tucson study, using age cut-offs of three and six years, was associated with
207 reduced lung function and atopy in later life.¹⁹ Similarly, the Avon Longitudinal Study of Parents
208 and Children (ALSPAC) and the Prevention and Incidence of Asthma and Mite Allergy (PIAMA)
209 cohorts, that also used latent class analysis to identify wheeze phenotypes, found impaired lung

210 function in children with early transient wheeze.^{5,20} However, it is likely that methods for
211 classifying childhood wheeze phenotypes in these three cohorts differed from ours, specifically in
212 the determination of who was included in the early transient wheeze phenotype.

213 The Tucson study began with a group of children who visited their physician with a wheezy illness
214 in the first 3 years of life, as opposed to our birth cohort, which enrolled children based on a family
215 history of atopic disease.² The ALSPAC and PIAMA cohorts recorded the presence of wheeze only
216 2-3 times in the first 3 years,⁵ compared to our cohort where it was documented up to 19 times.
217 Although wheeze data in ALSPAC and PIAMA were measured prospectively, by asking parents to
218 recall wheezing episodes over 6-12 months, these studies are likely to have predominantly
219 identified children with more severe and frequent wheeze, compared to the group identified in our
220 study where the occurrence of wheeze was ascertained every 4 weeks. Our group of children with
221 “Early Transient wheeze” is more likely to include children with mild and less frequent transient
222 wheezing episodes.

223 Differences in the associations of wheeze phenotypes with other allergic diseases or biomarkers
224 may give clues to differing aetiologies or pathogenesises. The finding that “Early Transient
225 wheezers” had reduced risks of current eczema, hay fever and sensitisation at 18 years may be
226 explained by the microbial diversity hypothesis and early education of the immune system through
227 exposure to a diverse microbiological environment.^{24,25} Children exposed to more infectious agents
228 in early life may benefit through development of a more robust, less allergic immune response. This
229 theory is supported by our previous finding that “Early Transient wheezers” more commonly
230 attended childcare at an early age⁶, where they would have been so exposed.

231 The results concerning allergen sensitization at ages 12 and 18 years also differed between
232 phenotypes. The “Intermediate Onset” phenotype was most consistently associated with an
233 increased risk of sensitization at both 12 and 18 years. “Early Persistent wheeze” was associated
234 only with an increased risk of sensitization at 12 years. Again, these differences may point towards
235 the pathogenesis of wheeze in each phenotype. The “Intermediate Onset wheezers”, who show the
236 greatest association, may indeed be an “atopic” phenotype. This hypothesis is supported by the
237 early life associations outlined in our previous work, where this phenotype was uniquely associated
238 with both food and aeroallergen sensitization in early life along with early life eczema.⁶

239 The findings concerning FENO are strongly related to and reflect the findings on sensitization.
240 Exhaled nitric oxide is a measure of eosinophilic airway inflammation,²⁶ but there is also evidence
241 that sensitization is an independent predictor of raised FENO.^{23,27} The relationship between wheeze
242 phenotypes and FENO was investigated in the PIAMA cohort²⁸. At 8 years of age, the authors
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243 found raised FENO in their Intermediate and Persistent wheeze phenotypes, but no association for
244 the Transient wheeze group. Our findings into early adulthood suggest that the “Intermediate Onset
245 wheeze” phenotype is a primarily atopic wheeze phenotype as distinct from the other phenotypes.
246 In addition, “Early Transient wheeze” is not only a benign condition, as suggested by our previous
247 work, but is associated with protection against subsequent allergic disease and sensitization. These
248 relationships between wheeze phenotypes and atopy may change over time, with the protective
249 effect of “Early Transient wheeze” being more pronounced in later life, particularly given that these
250 associations were not identified at age 12 in this cohort.

251 It is clinically important to determine whether early wheezers are likely to be transient or become
252 persistent. Treatment with asthma medications is beneficial for symptoms and exacerbations,
253 although there is no evidence for preservation of long term (lifetime) lung function from existing
254 short-term trials. However, identification of children with early transient wheeze may lead to
255 reduction in potentially unnecessary treatment with possible side effects. Currently, despite
256 predictive indices and known risk factors for wheeze persistence,²⁹ prediction of those who will
257 continue to wheeze remains inexact. More work is required using existing longitudinal cohorts for
258 determining and validating predictive models using advanced modelling techniques.

259 The strengths of this work include the wealth of early life data and long follow-up time to 18 years,
260 when 66% of the original cohort participated, and the use of Latent Class Analysis to define
261 wheezing classes. Children whose parents had not attended university and/or were smokers were
262 under-represented at 18 years. As this study investigated a high-risk cohort, the associations found
263 may differ in children with no family history of allergic disease. However, these findings may still
264 be applicable to a large proportion of the Australian population, as the prevalence of atopic
265 disorders in Australian families is high (65% of all children)³⁰. Although there were extensive data,
266 and relatively few dropouts for studies of this type, participant numbers were modest, making some
267 subgroup analyses difficult and reducing the power to detect associations. This is apparent in the
268 relationship between wheeze classes and eczema at 18 years. Although the point estimates for these
269 relationships provided some evidence of increased or decreased risk, the width of the confidence
270 intervals indicated that power may be insufficient to determine these relationships fully.

271 The differences between childhood wheeze phenotype associations with sensitization, exhaled nitric
272 oxide, eczema and hayfever, highlights the underlying differences in pathophysiology between
273 phenotypes. Findings from this analysis together with our previous observation that transient
274 wheeze in early childhood did not influence subsequent lung function provide evidence that “Early
275 Transient wheeze” is a benign disorder in this high-risk cohort. Early transient wheeze may indicate

276 the presence of childhood viral infections that are potentially protective against allergic disease
277 through Th1 immune mechanisms. In contrast, children who continue to wheeze are at higher risk
278 of not only asthma and reduced lung function growth as documented previously, but also of
279 sensitization and hay fever up to age 18 years. Efforts should be made to determine host,
280 environment, viral and pharmacological factors which direct early life respiratory viral encounters
281 towards transient rather than persistent wheeze.

282 .

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292 Authors and Contributors

293 All authors meet the ICMJE requirements of authorship. All authors, except SZ, made substantial
294 contributions to the study conception, implementation, conduct and/or protocols and data collection.
295 CL conceived and developed the analysis with input from SD, AL, and MA, and with substantial
296 input to the statistical analysis by SZ. All authors contributed to the interpretation of the data. The
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380 **Tables**381 **Table 1. Hay fever and Eczema at ages 12 and 18 years by wheeze phenotype - Odds Ratios**382 **(95% Confidence Intervals)**

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	Wheeze Phenotypes				
	<u>Never/Infre</u>	<u>Early Transient</u>	<u>Early Persistent</u>	<u>Intermediate Onset</u>	<u>Late Onset</u>
Current symptoms/disease at age 12 years (In the past 12 months) (N=375)					
Eczema	1(ref)	1.09 (0.50, 2.34)	3.69 (1.23, 11.12)*	2.57 (1.11, 5.97)*	3.26 (0.59, 18.09)
Hay fever	1(ref)	0.90 (0.50, 1.63)	1.37 (0.51, 3.71)	2.87 (1.44, 5.74)*	5.18 (1.11, 24.20)*
Current symptoms/disease at age 18 years (In the past 12 months) (N=411)					
Eczema	1(ref)	0.44 (0.20, 0.96)*	1.37 (0.38, 5.00)	0.83 (0.37, 1.83)	0.34 (0.07, 1.65)
Hay Fever	1(ref)	0.57 (0.33, 0.99)*	1.21 (0.44, 3.35)	2.19 (1.20, 4.02)*	4.20 (1.03, 17.11)*

384 Adjusted for gender, lower respiratory tract infection by 1 year, breastfeeding for at least 3 months,
 385 heavy parental smoking, parental asthma, allergen sensitization at 1 year (3mm), eczema by 6
 386 months, first born, dog in home at birth and parental tertiary education *p<0.05

387

388 **Table 2 Association of wheeze phenotypes to any allergen sensitization at 12 and 18 years-**389 **Odds Ratios (95% Confidence Intervals)**

Allergen sensitization	Wheeze phenotypes				
	<u>Never/Infrequent</u>	<u>Early Transient</u>	<u>Early Persistent</u>	<u>Intermediate Onset</u>	<u>Late Onset</u>
12 years (200/366)	1(ref)	0.78 (0.46, 1.33)	4.52 (1.50, 13.64)*	2.25 (1.17, 4.34)*	3.27 (0.81, 13.27)*

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18 years (269/396)	1(ref)	0.59 (0.35, 0.99)*	2.38 (0.66, 8.57)	2.46 (1.18, 5.12)*	2.10 (0.53, 8.23)
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Wheal sizes > 3mm considered positive

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Adjusted for gender, lower respiratory tract infection by 1 year, breastfeeding for at least

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3months, heavy parental smoking, parental asthma, allergen sensitization at 1 year (3mm),

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eczema by 6 months, first born, dog in home at birth and parent tertiary education *p<0.05.

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Figure legends

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Figure 1 Fractional exhaled nitric oxide (adjusted means & 95%CI) by wheeze phenotype at

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18 years

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Adjusted for age, and height at time of testing, gender, lower respiratory tract infection by 1 year,

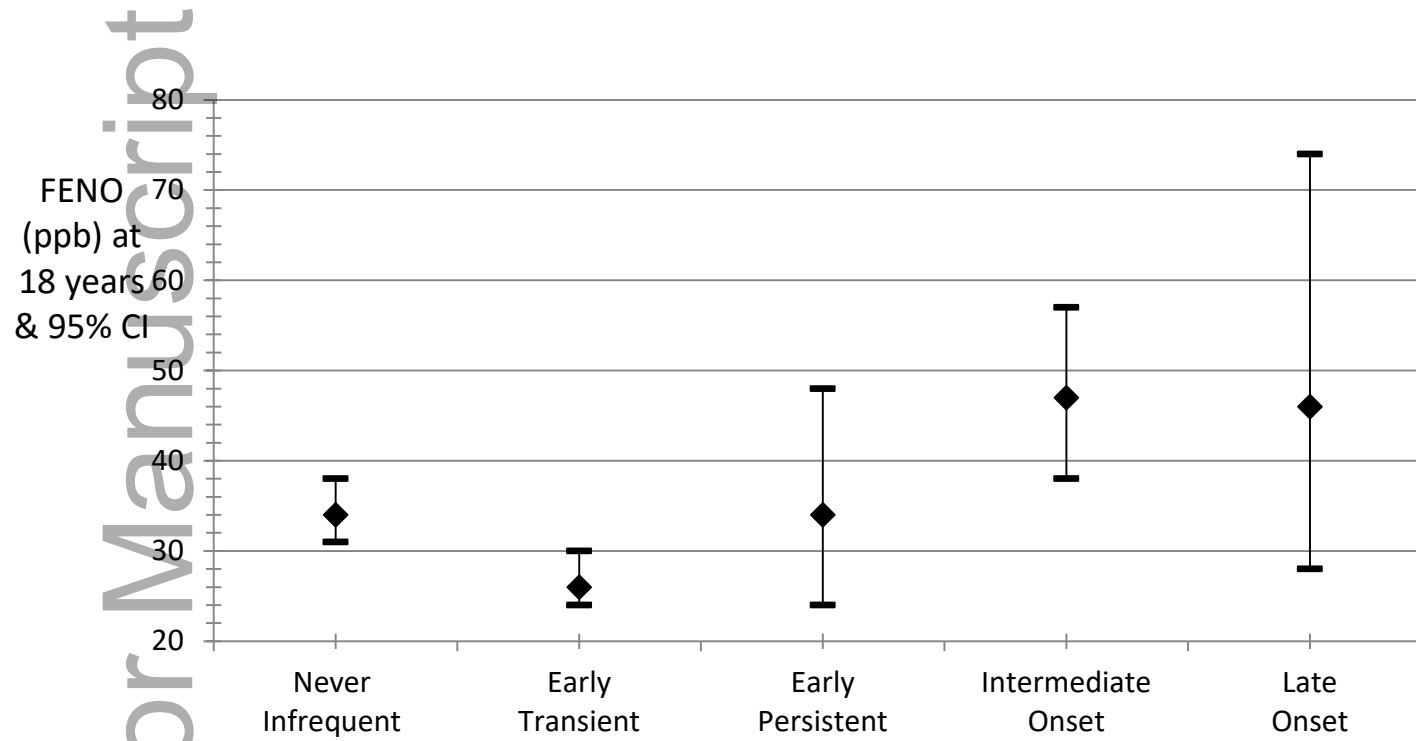
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breastfeeding for at least 3 months, heavy parental smoking, parental asthma, eczema by 6 months,

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first born, dog in home at birth and parent tertiary education

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