

1 **Associations between nutritional energy delivery,**  
2 **bioimpedance spectroscopy and functional outcomes in**  
3 **survivors of critical illness**

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29 accountable for ensuring the integrity and accuracy of the work, and read and  
30 approved the final manuscript.

31  
**This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as [doi: 10.1111/JHN.12659](https://doi.org/10.1111/JHN.12659)**

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32 **Keywords:** Nutrition support, indirect calorimetry, enteral nutrition, muscle  
33 strength, bioimpedance spectroscopy and critical care.

34

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#### 41 **Acknowledgments**

42 The authors would like to thank Audrey Tierney, Adrienne Forsyth their  
43 contribution to the manuscript as well as the staff of the Royal Melbourne  
44 Hospital Intensive Care Unit, Physiotherapy and Nutrition Departments; the  
45 participants for their contribution to the study.

46

47

#### 48 **Conflicts of interests**

49 K. Fetterplace has received conference, travel grants and/or honoraria from  
50 Baxter, Fresenius Kabi and Nestle Health Science (not related to this study).  
51 A. M. Deane or his institution have received honoraria or project grant funding  
52 from Baxter, Fresenius Kabi, GSK, Medtronic and Takeda (Not related to this  
53 study). The other authors have no potential conflicts to declare.

54

55

#### 56 **Funding source**

57 The Melbourne Health Foundation Grant in Aid: Allied Health (2011)

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Article type : Original Research

## **Associations between nutritional energy delivery, bioimpedance spectroscopy and functional outcomes in survivors of critical illness**

### **Abstract**

*Background:* Patients who survive critical illness frequently develop muscle weakness that can impact quality of life; nutrition is potentially a modifiable risk factor. The purpose of this study was to explore associations between cumulative energy deficits (using indirect calorimetry and estimated requirements), nutritional and functional outcomes.

*Methods:* Prospective single centre observational study of 60 Intensive Care Unit (ICU) patients, who were mechanically ventilated for at least 48 hours. Cumulative energy deficit was determined from artificial nutrition delivery compared to targets. Measurements included: (i) at recruitment and ICU discharge, weight, fat free mass (bioimpedance spectroscopy) and malnutrition (Subjective Global Assessment score B/C); (ii) at awakening and ICU discharge, physical function (Physical Function in Intensive Care Test-scored) and muscle strength (Medical Research Council sum-score (MRC-SS)). ICU-acquired weakness was defined as an MRC-SS < 48/60.

*Results:* The median [IQR] cumulative energy deficit compared with estimated targets to ICU day 12 was 3648 [2514 – 5650] kcal. Adjusting for body mass index, age and severity of illness; cumulative energy deficit (per 1000kcal) was independently associated with greater odds of ICU-acquired weakness (OR 2.1, 95%CI 1.4-3.3, p=0.001) and malnutrition (OR 1.9, 95%CI 1.1-3.2, p=0.02). In similar multivariable linear models, cumulative energy deficit was associated with reductions in fat free mass (-1.3kg, 95%CI -2.4 to -0.2,

34 p=0.02) and physical function scores (0.6 points, 95%CI -0.9 to -0.3,  
35 p=0.001).

36 *Conclusion:* Cumulative energy deficit from artificial nutrition support was  
37 associated with reduced functional outcomes and greater loss of fat free mass  
38 in ventilated ICU patients.

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41

## 42 **Introduction**

43 Patients who survive critical illness frequently develop muscle weakness,  
44 which has been termed Intensive Care Unit (ICU)-acquired weakness (ICU-  
45 AW). Not only is ICU-AW associated with diminished physical function and  
46 lower health-related quality of life but it is also associated with increased ICU  
47 and hospital length of stay (LOS), health-care costs and mortality<sup>(1-3)</sup>. Optimal  
48 nutrition may attenuate ICU-AW however; there is a paucity of evidence in this  
49 area<sup>(1, 4, 5)</sup>.

50

51 The optimal nutrition provision for critically ill patients to improve outcomes is  
52 uncertain<sup>(6, 7)</sup> and the composition of nutrition formulae is currently the subject  
53 of research<sup>(1, 8-10)</sup>. Observational studies have reported energy deficits during  
54 critical illness are associated with adverse outcomes; such as increased  
55 mortality, ICU LOS and period of mechanical ventilation<sup>(11-14)</sup>. However recent  
56 randomised clinical trials comparing permissive under-, trophic-, standard- or  
57 full-feeding have not identified any link between energy deficit and mortality<sup>(15-  
58 17)</sup>.

59

60 In all of these large randomised clinical trials nutrition prescriptions were  
61 based on predictive equations, which reflects standard clinical practice<sup>(13, 18)</sup>.  
62 However, predictive equations are inaccurate estimates of daily energy  
63 expenditure during critical illness when compared to 'gold-standard'  
64 measurements of energy expenditure using indirect calorimetry<sup>(19)</sup>.  
65 Accordingly, the use of predictive equations to assess energy deficits may  
66 contribute to inconsistencies between studies regarding energy deficit and  
67 associated outcomes<sup>(19, 20)</sup>.

68

69 The primary objective of this study was to determine the cumulative energy  
70 deficit from artificial nutrition support using both calculated predictive  
71 equations and repeated measured energy expenditure (MEE). The secondary  
72 objectives were to explore associations between cumulative energy deficit  
73 and nutritional outcomes (change in body weight and fat free mass and the  
74 development of malnutrition) and functional outcomes (muscle strength and  
75 physical function) at ICU discharge.

76

## 77 **Methods and materials:**

### 78 **Study Design and Setting**

79 This prospective single center observational cohort study was conducted in  
80 the mixed medical-surgical-trauma ICU of a tertiary-referral Australian  
81 hospital. Between 2012 and 2014 consecutive weekday admission patients  
82 were screened for eligibility. Initial written informed consent was obtained from  
83 the person responsible, with continuation of consent obtained subsequently  
84 from competent participants. Ethical approval was obtained from the  
85 Melbourne Health Human Research Ethics Committee (project number:  
86 2012.060)<sup>(21)</sup>. Reporting of this study follows the Strengthening the Reporting  
87 of Observational Studies in Epidemiology (STROBE) guidelines<sup>(22)</sup>.

88

### 89 **Patient selection**

90 Inclusion criteria were: age  $\geq$  18 years; mechanical ventilation commenced  
91 within 48 hours of ICU admission and likely to be required  $>$  48 hours, and an  
92 estimated minimum stay in the ICU of at least five days. Exclusion criteria  
93 were: major trauma necessitating a specific period of immobilisation; new  
94 neurological insult, such as spinal cord injury or stroke; poor pre-morbid  
95 mobility, defined as inability to walk independently with or without a gait aid;  
96 inability to communicate in English; did not have medical insurance cover;  
97 ICU re-admission; or if the attending physician did not support inclusion.

98

### 99 **Protocol**

100 Standard care for all participants included nutritional assessment by a dietitian  
101 within 48 hours of admission, with estimation of their nutritional  
102 requirements<sup>(23)</sup>. Commercially available enteral and parenteral formulas were  
103 utilised, which were prescribed based on clinical need as assessed by the  
104 dietitian or ICU physician. Enteral feeding followed the standard unit nutrition  
105 protocol, which encouraged early initiation of nutritional support within 24  
106 hours of admission and specified prokinetic drug administration if gastric  
107 residual volumes were greater than 300ml<sup>(24)</sup>. All study participants also  
108 received respiratory and rehabilitation interventions provided by  
109 physiotherapists.

110

111 Baseline demographic data including age, gender, admission diagnosis,  
112 severity of illness (Acute Physiology And Chronic Health Evaluation  
113 (APACHE) II score) and the Nutrition Risk in Critically ill score (NUTRIC) were  
114 recorded<sup>(25)</sup>. Daily nutritional energy delivery from enteral and parenteral  
115 nutrition (not including other energy sources) and nutritional outcome data  
116 were collected on participants until ICU discharge or day 30 of the ICU  
117 admission, whichever came first.

118

### 119 **Nutritional outcomes**

120 Nutritional outcomes were collected at baseline and ICU discharge. Weight  
121 was determined via bed scales (Hill-Rom<sup>®</sup>, Indiana USA) for most patients; if  
122 the weight was not available an estimated weight was used for the nutrition  
123 prescription. Height was estimated using ulna length<sup>(20)</sup> and body mass index  
124 (BMI) in kg/m<sup>2</sup> was calculated. A dietitian measured the mid upper arm  
125 circumference (cm)<sup>(26)</sup> and assessed nutritional status using the Subjective  
126 Global Assessment (SGA)<sup>(27, 28)</sup>, with a score of B or C considered as  
127 malnourished. Serum albumin (g/L) and transthyretin (prealbumin)  
128 concentrations (milligram/L) were measured, with the normal ranges being 35-  
129 50 g/L and 180-360 milligram/L respectively<sup>(28)</sup>.

130

### 131 **Estimated Energy Requirements**

132 Estimated energy requirements were calculated by the dietitian at baseline  
133 using both the standard weight-based equations of 25-30kcal/kg per day<sup>(29)</sup>

134 and the Schofield equation with appropriate stress factors, in line with  
135 standard practice in Australia<sup>(30, 31)</sup>. For overweight participants ideal body  
136 weight (IBW) was used and for obese participants with a BMI greater than 32  
137 kg/m<sup>2</sup>, an obesity adjusted weight was used (IBW + 25% (actual body weight -  
138 IBW))<sup>(32)</sup>. The nutrition prescription (prescribed energy target) was determined  
139 based on the dietitian assessment of the estimated energy requirements,  
140 using their clinical judgment of which estimation was most reflective of the  
141 participant's requirements.

142

143

#### 144 **Measured Energy Expenditure**

145 Measured energy expenditure (MEE) was determined via indirect calorimetry,  
146 in patients with no contraindication, using the Deltatrac® II Metabolic Cart  
147 (Datex-Ohmeda, Helsinki, Finland)<sup>(33)</sup>. MEE was undertaken by a trained  
148 physician and completed whilst the participant was mechanically ventilated,  
149 on the day of recruitment and on days three and five after enrolment.  
150 Standard methods were used; all expired gas was collected from the  
151 expiratory port of the ventilator, the measures were continued for 30 minutes  
152 and summary data were recorded<sup>(34)</sup>. Participants were excluded from MEE if  
153 they: had an intercostal catheter with an air leak; were receiving a fraction of  
154 inspired oxygen > 0.6; were receiving extracorporeal membrane oxygenation;  
155 or were in infective isolation. Nutrition support was not stopped during MEE  
156 and there was no restriction on the participant's movement prior to MEE.  
157 Metabolic Cart outputs recorded, included oxygen consumption (VO<sub>2</sub>) mL/min,  
158 carbon dioxide production (VCO<sub>2</sub>) mL/min, calculated respiratory quotient  
159 (RQ) and MEE in kcal/day, using the Weir equation. The average MEE was  
160 determined from the mean of available repeated measurements.

161

#### 162 **Cumulative Energy Deficit**

163 Each participant's cumulative energy deficit from artificial nutrition support  
164 was calculated daily for a maximum of 12 ICU days based on *a priori*  
165 determination that substantial changes to nutritional tolerance were unlikely  
166 after this time<sup>(35, 36)</sup>. Daily energy provision was measured for all participants  
167 receiving any enteral or parenteral nutrition, not including energy from other

168 sources. Daily energy deficit was determined by deducting the energy  
169 delivered from prescribed energy target determined by the dietitian and  
170 secondly from the average MEE. The daily energy deficit was summed for the  
171 total cumulative energy deficit. The nutritional adequacy was assessed by  
172 dividing the daily energy delivery, including the day of admission and the day  
173 of discharge if it was greater than eight hours, by the prescribed requirements  
174 or the average MEE and expressing as a percentage.

175

176

177

### 178 **Fat free mass change**

179 Fat free mass was measured using the tetra-polar-configured multifrequency  
180 SFB7 bioimpedance device (AU/NZ; ImpediMed™ Limited, Pinkenba,  
181 Australia)<sup>(37)</sup>. This device uses bioimpedance spectroscopy to determine total  
182 body water, extracellular fluid and intracellular fluid and subsequently  
183 calculates fat free mass and fat mass<sup>(37)</sup>. Use of this device has been  
184 validated in the critically ill<sup>(38,39)</sup>. Measurements were taken when the  
185 participants were supine in bed, after single use gel electrodes were placed  
186 on one ipsilateral foot and hand<sup>(37,39)</sup>. Fat free mass was determined  
187 immediately after enrolment and at ICU discharge.

188

### 189 **Muscle strength and physical function**

190 Muscle strength was assessed using the Medical Research Council sum-  
191 score (MRC-SS) with a score of less than 48/60 considered indicative of ICU-  
192 AW<sup>(40,41)</sup>. Physical function was measured using the Physical Function in  
193 Intensive Care Test-scored (PFIT-s)<sup>(42)</sup>. Muscle strength and physical function  
194 testing were all conducted by physiotherapy staff and assessments were  
195 performed at ICU awakening<sup>(43)</sup> and ICU discharge (see Appendix 1).

196

### 197 **Participant outcomes**

198 Twenty-eight day mortality, ICU LOS, hospital LOS, length of mechanical  
199 ventilation, days of sedation (defined as greater than eight hours on any  
200 sedative drug), duration of sepsis determined using the American College of



201 Chest Physicians Criteria<sup>(44)</sup> and discharge destination were collected,  
202 censored at day 60.

203

#### 204 **Statistical Analyses**

205 Participant demographics, cumulative energy deficit, nutritional and functional  
206 outcomes are reported as mean (standard deviation) (SD) or median [inter-  
207 quartile range] [IQR] as appropriate. Comparisons between outcome  
208 measures from baseline to ICU discharge used paired t-tests or Wilcoxon  
209 signed-rank tests as appropriate. Multivariable generalised linear regression  
210 analyses explored associations between cumulative energy deficit  
211 (prescribed energy targets) and continuous outcomes, including weight, fat  
212 free mass and physical function scores at discharge. The confounding  
213 variables which were adjusted for in these models included age, APACHE II  
214 score, BMI and baseline measures where applicable. Logistic regression was  
215 performed for the binary outcomes of ICU-AW and malnutrition, adjusted for  
216 the same confounding variables, with effect estimates reported as odds ratios  
217 with 95% confidence intervals. Sensitivity analyses was performed for the  
218 outcome of ICU-AW missing values, were imputed as first having ICU-AW  
219 and then not having ICU-AW. Energy deficits calculated from prescribed  
220 energy targets were used in the full analyses in preference to energy deficits  
221 calculated from MEE, to minimise the risk of bias as this was the most  
222 complete data set. The generalised linear regression models that were finally  
223 applied were checked using standard diagnostics, including tests of influence  
224 and specification of included variables. Protein provision was collected and  
225 reported however not analysed in relation to outcomes as this was not  
226 planned at the time of designing the study and therefore analysing these data  
227 post-hoc would risk incorrect inferences. ..

228

229 A two-sided *p* value of <0.05 was set for statistical significance for all tests,  
230 with no adjustment for multiplicity. SPSS (IBM® SPSS® Statistics Premium  
231 Grad Pack Version 22.0) and Stata (StataCorp. 2017. Stata Statistical  
232 Software: Release 15. College Station, TX: StataCorp LLC, 2017) were used  
233 to perform the data analyses.

234

235 At the time of designing this study no data were known which assessed  
236 muscle strength in relation to energy deficit in a critically-ill population. This  
237 observational study selected a pragmatic sample size of 60 participants.

238

## 239 **Results**

### 240 **Participants**

241 Five hundred and forty-three participants were eligible (Figure 1) after  
242 exclusions, 140 (26%) met inclusion criteria and 60 of these (43%) agreed to  
243 participate and were enrolled. Of the enrolled participants 57 (95%) remained  
244 in ICU until day five, 43 (72%) had at least one MEE measurement and 48  
245 (80%) had a muscle strength assessment at ICU discharge.

246

247 Figure 1. Consort diagram

248

### 249 **Participant Characteristics**

250 Demographic and clinical characteristics are provided in Table 1. The  
251 participants had a mean (SD) age of 58 (16) years, median [IQR] BMI of 28  
252 [24-31] kg/m<sup>2</sup>, mean APACHE II score of 23 (7.5) and mean NUTRIC score of  
253 4.6 (2.1).

254

255

### 256 **Nutrition provision**

257 Nutrition provision is summarised in Table 2. The majority of participants were  
258 enterally fed (n = 58, 97%), for a median of 5 [3.0 – 8.8] days and had a mean  
259 energy delivery of 1182 (443) kcal per day from artificial nutrition support.

260

#### 261 *Energy requirements*

262 The median [IQR] estimated energy requirements were 1800 kcal/day [1675 –  
263 2025] (weight based equation) and 1952 kcal/day [1733 – 2240] (Schofield  
264 equation, with a median stress factor of 1.3 [1.2-1.3]). The median prescribed  
265 energy targets, based on the estimated requirements, were 1950 kcal/day  
266 [1763 – 2160].

267

268 Measured energy expenditure (MEE) was performed in 43 (72%) participants.  
269 At baseline (n=36) the median [IQR] MEE was 1695 [1377 – 1882] kcal and  
270 the average of up to 3 time points (n=43) was 1690 [1400 – 1895] kcal. There  
271 was moderate overall agreement between the MEE and the prescribed daily  
272 energy targets, with the former showing a mean bias of -219 kcal (95% CI -  
273 307 to -132) compared to the prescribed daily energy targets ( $r = 0.536$ ,  $p$   
274  $< 0.005$ )<sup>(45)</sup>. (Supplementary Figure 1. Bland Altman plot of agreement  
275 between prescribed energy targets and measured energy expenditure).

276

### 277 **Cumulative energy deficit**

278 When energy delivery from nutrition support was compared to estimated  
279 prescribed energy targets (n=60), the median [IQR] daily nutritional energy  
280 deficit was 281 [193 – 435] kcal/day (Figure 2) and the cumulative energy  
281 deficit was 3648 [2514 – 5650] kcal. The mean nutritional energy adequacy  
282 using the prescribed energy targets over the 12 days was 64% (22).

283

284 **Figure 2.** Daily energy deficit from nutrition support versus estimated  
285 prescribed energy targets and MEE

286

287 Comparing energy delivery from artificial nutrition support with MEE the  
288 median [IQR] daily nutritional energy deficit was 172 [42 – 362] kcal and the  
289 cumulative energy deficit to ICU day 12 was 2234 [541 – 4710] kcal. The  
290 mean nutritional energy adequacy using MEE over the 12 days was 74% (26).

291

### 292 **Nutritional outcomes**

293 From baseline to ICU discharge there were significant reductions in weight, fat  
294 free mass and mid upper arm circumference (Table 3). Serum transthyretin  
295 concentrations increased significantly over the ICU stay, however albumin  
296 concentrations were similar between time points (Table 3). Where malnutrition  
297 was assessed at baseline and discharge (n = 50) there was an observed  
298 increase in the proportion of participants who were malnourished at discharge  
299 compared to admission (baseline 12 (24%), discharge 18 (36%), (McNemar's  
300 exact  $p = 0.03$ ).

301

302 **Table 3.** Nutritional outcomes

303

### 304 **Muscle strength and physical function**

305 Muscle strength at discharge was measured in 48 (80%) participants. Of the  
306 12 participants who did not have this measured nine died prior to discharge  
307 and the others were unable to complete the test due to inability to follow  
308 commands. The prevalence of weakness at awakening was 23 (38%) and at  
309 ICU discharge amongst survivors was 10 (21%). Physical function at ICU  
310 discharge was measured in 49 (82%) survivors, with a mean (SD) PFIT-s  
311 interval score of 6.5 (2.1) out of 10.

312

313

### 314 **Associations between cumulative energy deficit and outcomes**

315 Participants with energy deficits from artificial nutrition support below  
316 prescribed targets, were observed to have a greater risk of ICU-AW and  
317 malnutrition. Per 1000kcal cumulative energy deficit, there was approximately  
318 a two-fold increased risk of both ICU-AW [OR 2.1 (95%CI 1.4-3.3), p=0.001]  
319 and malnutrition [OR 1.9 adjusted for baseline malnutrition (95%CI 1.1-3.2),  
320 p=0.02] at ICU discharge. Likewise, adjusted for baseline, subjects were  
321 observed to lose on average 1.3kg (95%CI 0.2-3.4, p=0.02) fat free mass per  
322 1000kcal cumulative deficit. A moderate association was observed between  
323 reduced physical function at ICU discharge and cumulative energy deficit, with  
324 mean physical function score decreasing by 0.6 points (95%CI 0.3 – 0.9, p  
325 =0.001) per 1000kcal deficit. There was no strong evidence of an association  
326 between weight loss and nutritional energy deficit. When MEE was used to  
327 calculate energy deficit a similar result was found for the development of ICU-  
328 AW (n=31) [OR 1.9 (95%CI 1.1-3.4), p=0.021] (Supplemental Table 1).

329

### 330 **Table 4.** Nutritional energy deficit and associated outcomes

331

332 There was no strong association observed between cumulative energy deficit  
333 from artificial nutrition support and length of stay, length of mechanical  
334 ventilation or mortality (Table 1. includes medical outcome data).

335

336 **Discussion**

337 In a critically ill population this study evaluated energy deficit from artificial  
338 nutrition support compared to measured energy expenditure and estimated  
339 energy requirements and concurrently assessed fat free mass, muscle  
340 strength and physical function. In this mechanically ventilated cohort, the  
341 mean cumulative energy deficit was approximately 200 kcal smaller per day  
342 when measured energy expenditure was used compared to estimated  
343 prescribed energy targets. Cumulative energy deficit from artificial nutrition  
344 support was found to be associated with an increased prevalence of ICU-AW  
345 and malnutrition, reduced physical function scores at ICU discharge and  
346 greater loss of fat free mass over the ICU stay.

347  
348 The observed energy deficit in this cohort appeared to be slightly lower than  
349 some previously reported multi-center studies, where critically ill patients meet  
350 a mean of 60 percent of their prescribed energy targets<sup>(6, 46, 47)</sup>. In this study  
351 the mean amount of energy provided from nutrition support compared to  
352 estimated energy targets was 64% however when MEE was used it improved  
353 to 74%. Cumulative energy deficit has been previously reported to be  
354 associated with poorer outcomes, such as lower rates of discharge to home,  
355 increased infection rates, reduced ventilator free days and higher mortality  
356 rates<sup>(11, 48, 49)</sup>. These were not observed in the present study however direct  
357 comparisons cannot be made due differences in accounting for non-nutritional  
358 energy provision.

359  
360  
361 Indirect calorimetry is infrequently used as part of routine clinical practice to  
362 determine energy targets, due to the high cost, time and expertise required<sup>(13,</sup>  
363 <sup>50)</sup>. Predictive equations are reported to be inaccurate, with weight based  
364 equations being the least accurate<sup>(19)</sup>. Our finding again showed there was  
365 only moderate agreement between prescribed estimated energy requirements  
366 and measured energy expenditure. This difference in energy deficit may  
367 support the use of indirect calorimetry to more accurately assess nutritional  
368 adequacy and its impact on outcomes.

369

370 Data evaluating the relationships between energy deficits and muscle mass  
371 are sparse and somewhat conflicting<sup>(51)</sup>. In this study, it was observed that  
372 there was substantial change in fat free mass over the ICU stay, and when  
373 adjusted for baseline fat free mass, greater energy deficit from artificial  
374 nutrition support was associated with greater fat free mass loss. Few previous  
375 studies have used BIS to assess change in fat free mass and associations  
376 with energy deficits<sup>(51)</sup>, and therefore comparisons with other studies are  
377 difficult. Using subjective measures, the administration of early parenteral  
378 nutrition, which improved energy delivery appeared to reduce muscle  
379 wasting<sup>(52)</sup>. However in contrast, in a small sub-analysis of a large  
380 randomised clinical trial (EPaNIC) greater energy delivery via early parenteral  
381 nutrition did not lead to any difference in muscle loss, when assessed using  
382 qualitative computed tomography (CT) analysis<sup>(53)</sup>. There was however,  
383 deterioration in muscle quality observed, with increased intramuscular water  
384 and lipid content in the group who received early parenteral nutrition, over a  
385 seven-day period in the ICU<sup>(54)</sup>. Observational studies have also reported  
386 conflicting results; similar to the present study one found that nutritional  
387 adequacy based on estimated energy targets was the only predictor of muscle  
388 loss, assessed using CT analysis<sup>(55)</sup> and in contrast the other found that  
389 energy balance made no difference to the rate of muscle loss, assessed using  
390 ultrasound<sup>(56)</sup>.

391  
392 The impact of acute energy deficit on muscle strength and physical function in  
393 the critically ill is uncertain<sup>(5)</sup>. In the present study 21% of survivors had ICU-  
394 AW and the mean PFITs was 6.5 (2.1) out of 10 at ICU discharge; Greater  
395 energy deficit was associated with an increasing risk of developing ICU-AW  
396 and lower physical function scores at ICU discharge. Additionally multivariable  
397 analysis suggested that participants with higher BMIs and APACHE II scores  
398 had a higher risk of developing ICU-AW. . This is in contrast to another sub-  
399 analysis of EPaNIC which reported that lower calorie deficit was associated  
400 with greater ICU-AW at awakening (107 (34%) late PN group versus 127  
401 (43%) early PN group,  $p = 0.03$ ) and slower rates of recovery, however there  
402 was no difference in the rates of ICU-AW at ICU discharge (78 (26%) late PN  
403 group versus 91 (31%) in the early PN group,  $p = 0.15$ )<sup>(57)</sup>. However, in a

404 nested cohort study within the EDEN trial, trophic feeding for the first five days  
405 of ICU admission when compared to standard care increased early calorie  
406 deficit but did not affect physical function scores using the SF-36 at 12-  
407 months but did result in a greater proportion of patients admitted to a physical  
408 rehabilitation facility (57 (23%) trophic feeding group versus 30 (14%)  
409 standard care,  $p = 0.01$ )<sup>(58)</sup>.

410

411 The differences in the findings between observational studies and recent  
412 interventional trials for both muscle mass changes and functional outcomes  
413 may be explained by the timing of nutrition support, the route of delivery and  
414 the composition of the nutrition provided<sup>(8, 9)</sup>, as well as the methodology and  
415 timing of the outcome measures. Further research is required to explore the  
416 effect of different methods of nutrition delivery and substrates to minimise  
417 muscle wastage as well as standardising the methods to assess muscle mass  
418 and functional outcomes.

419

420 The strengths of this study include that both measured energy expenditure  
421 and estimated prescribed energy targets were used to calculate energy deficit  
422 and that simultaneously several other nutrition-associated and patient-  
423 centered outcomes were assessed, including fat free mass, muscle strength  
424 and physical function. Additionally muscle strength was measured in 80  
425 percent of the cohort. Study limitations included that this was a single centre  
426 observational study with a relatively small sample size of 60 participants;  
427 therefore, there is the potential for bias and many of the outcome variables  
428 are subjective, including the functional outcome measures and the diagnosis  
429 of malnutrition. Also importantly the calculation of energy deficits did not  
430 include non-nutritional calories or energy provided from oral intake. In  
431 addition, measured energy expenditure was only completed in a subset of  
432 participants, and as such only 43 participants were included in the MEE  
433 cumulative energy deficit analysis and given the missing MEE data,  
434 associations between calorie deficit calculated from MEE and outcomes were  
435 not performed. The use of clinical measures to assess muscle strength, the  
436 diagnoses of ICU-AW and physical function limits findings to a cohort of  
437 patients who survived critical illness and who were able to obey commands.

438 However we attempted to control for this by undertaking sensitivity analysis  
439 for the outcome of ICU-AW, and when all missing results were imputed as  
440 participants being 'weak' the overall conclusion remained unchanged. This  
441 study was not powered to determine important effects on patient-centered  
442 outcomes, such as mortality, and it did not explore associations between  
443 protein deficits and outcomes. Finally, due to the observational design of this  
444 study only associations and not causality, could be reported.

445

#### 446 **Conclusion**

447 Cumulative energy deficit from artificial nutrition support was lower when  
448 measured energy expenditure was used compared to prescribed energy  
449 targets. Cumulative energy deficit from artificial nutrition support was  
450 observed to be associated with the development of ICU acquired weakness,  
451 malnutrition, reduced physical function at ICU discharge and greater loss of  
452 fat free mass. Large well-designed randomised controlled trials, exploring the  
453 role of protein and absolute energy delivery, that include muscle mass and  
454 functional outcomes are warranted and required to confirm these results.

455

#### 456 **Transparency Declaration**

457 The lead author affirms that this manuscript is an honest, accurate, and  
458 transparent account of the study being reported. The reporting of this work is  
459 compliant with STROBE guidelines. The lead author affirms that no important  
460 aspects of the study have been omitted and that any discrepancies from the  
461 study as planned, which was approved by the Melbourne Health Human  
462 Research Ethics Committee (project number: 2012.060) have been explained.

463

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## 618 **Figure1. Consort Diagram**

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620

621 Abbreviations: Eligible – patients who met all inclusion criteria at the time of  
622 screening, – exclusion criteria = unable to mobilise - included major trauma  
623 necessitating a period of immobilisation; new neurological insults; and poor pre-  
624 morbid mobility (unable to mobilise independently with or without a gait aid). ICU –  
625 intensive care unit, not an Australian citizen –non citizens were excluded as they are  
626 ineligible for Medicare should their participation in the study result in the need for  
627 additional medical care. MEE – measured energy expenditure.

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## 631 **Table 1. Participant demographic and clinical characteristics**

632

633

634 Abbreviations: BMI; Body Mass Index, Kg; kilograms, m<sup>2</sup>; meters squared, APACHE  
635 II; Acute Physiology And Chronic Health Evaluation II, NUTRIC Score; The Nutrition  
636 Risk in Critically ill, IQR; interquartile range, SD; Standard deviation, ICU-AW;  
637 Intensive care acquired weakness, LOS; Length of stay and MV; Mechanical  
638 ventilation. Values are presented as median [interquartile range] unless stated.

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642 **Table 2.** Nutrition Provision

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645 Abbreviations: EN; Enteral Nutrition, PN; Parenteral Nutrition, NBM; Nil by Mouth,  
646 NS; nutrition support, SD; standard deviation, values are presented as median  
647 [interquartile range] unless stated.

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650

651 **Table 3.** Nutritional outcomes

652

653

654 Abbreviations: Kg; Kilograms, BIS; Bioimpedance spectroscopy, FFM; Fat Free  
655 Mass, MUAC; Mid Upper Arm Circumference, g/L; grams per litre. The mean  
656 difference was determined using a paired t-test.

657

658

659 **Figure 2.** Daily energy deficit from nutrition support using estimated  
660 prescribed energy targets and measured energy expenditure

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662

663 Abbreviations: MEE; Measured energy expenditure, error bars indicate interquartile  
664 range.

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668 **Table 4.** Cumulative nutritional energy deficit and associated outcomes

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670

671 Abbreviations: BMI; Body Mass Index centered at 30 kg/m<sup>2</sup>, Age centered at 60  
672 years, APACHE II; Acute Physiology And Chronic Health Evaluation II, centred at 25,  
673 FFM; Fat free Mass. Logistic regression analysis models were used for ICU-Acquired  
674 weakness and Malnutrition. Linear regression analysis models were used for fat free  
675 mass and physical function.

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1 Table 1. Participant demographic and clinical characteristics

|   |  |
|---|--|
| Age years, mean (SD)                      | 58 (16)  |
| Gender male, n (%)                        | 33 (55)  |
| BMI kg/m <sup>2</sup> , median [IQR]      | 28 [24-31]   |
| APACHE II score, mean (SD)                | 23 (7.5)   |
| NUTRIC Score, (n=49), mean (SD)           | 4.6 (2.1)  |
| Admission category, n (%)                 | Medical: 34 (57)<br>Emergency Surgery: 21 (35)<br>Elective Surgery: 5 (8)  |
| Admission Diagnosis, n (%)                | Cardiac arrest: 14 (23)<br>Respiratory failure: 8 (13)<br>Trauma: 7 (12)<br>Cardiovascular surgery: 7 (12)<br>Gastrointestinal surgery: 7 (12)<br>Gastrointestinal non-surgery: 6 (10)<br>Sepsis: 4 (7)<br>Endocarditis: 2 (3)<br>Other: 5 (8) |
| ICU-AW diagnosis (awakening), n (%)       | 23 (38)  |
| ICU LOS, days                             | 7.0 [4.0-12.0]   |
| Hospital LOS, days                        | 19.0 [13.0-30.8]   |
| Length of MV, days                        | 4.0 [3.0-8.0]  |
| Sedation duration, days                   | 3.5 [2-7.0]  |
| Sepsis duration, days                     | 3.0 [0-6.8]  |
| 28 day mortality, n (%)                   | 13 (22)  |
| Discharge destination of survivors, n (%) |  |
| Home                                      | 29 (48)  |
| Rehabilitation                            | 14 (23)  |
| Other (including residential care)        | 4 (7)  |

2 Abbreviations: BMI; Body Mass Index, Kg; kilograms, m<sup>2</sup>; meters squared, APACHE  
 3 II; Acute Physiology And Chronic Health Evaluation II, NUTRIC Score; The Nutrition  
 4 Risk in Critically ill, IQR; interquartile range, SD; Standard deviation, ICU-AW;  
 5 Intensive care acquired weakness, LOS; Length of stay and MV; Mechanical  
 6 ventilation. Values are presented as median [interquartile range] unless stated.

1 Table 2. Nutrition Provision

2

|  |                    |
|--|--------------------|
| EN provided, n (%)                                     | 58 (97)            |
| PN provided n (%)                                      | 11 (18)            |
| Days of EN   | 5.0 [3.0 - 8.8]    |
| Days of PN (when provided)                             | 6.0 [5.0-9.3]      |
| Days NBM   | 1 [0-1]            |
| Days on oral intake                                    | 2 [0-3]            |
| Time from admission to initiating NS, hours, mean (SD) | 20 (13)            |
| Duration of NS interruption, hours                     | 16 [6.0 - 31]      |
| Duration of NS interruption, days                      | 2 [1-3]            |
| Prescribed estimated energy, kcal/day                  | 1950 [1763 – 2160] |
| Energy delivered, kcal/day                             | 1182 (443)         |
| Energy delivered, kcal/kg/day                          | 16 (6.1)           |
| Energy adequacy, % of prescribed, mean (SD)            | 64 (22)            |
| Estimated protein requirements, g/kg/day               | 1.3 [1.2-1.3]      |
| Protein provided, g/kg/day, mean (SD)                  | 0.58 (0.25)        |
| Protein adequacy, % of estimate, median [IQR]          | 61 [44-69]         |

3 Abbreviations: EN; Enteral Nutrition, PN; Parenteral Nutrition, NBM; Nil by Mouth,  
 4 NS; nutrition support, SD; standard deviation, values are presented as median  
 5 [interquartile range] unless stated.

Author



1 Table 3. Nutritional outcomes

2

| Weight, kg                | 45 | 85 (22)  | 82 (19)  | -3.0 (-5.2 to - 0.7) | 0.01   |
|---------------------------|----|----------|----------|----------------------|--------|
| BIS FFM, kg               | 45 | 69 (19)  | 62 (19)  | -7.7 (-10 to -5.0)   | <0.001 |
| MUAC, cm                  | 49 | 34 (5.3) | 32 (5.3) | -1.9 (-2.3 to -1.4)  | <0.005 |
| Transthyretin<br>millig/L | 32 | 108 (35) | 153 (17) | 46 (11 to 81)        | 0.01   |
| Albumin g/L               | 50 | 27 (5.2) | 26 (4.6) | -0.73 (-2.3 to 0.7)  | 0.31   |

3 Abbreviations: Kg; Kilograms, BIS; Bioimpedance spectroscopy, FFM; Fat Free  
4 Mass, MUAC; Mid Upper Arm Circumference, g/L; grams per litre. The mean  
5 difference was determined using a paired t-test.

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1 **Table 4. Cumulative nutritional energy deficit and associated outcomes**

| Variable                       | Univariate analysis  |               |         | Multivariable Sensitivity analysis                |                |         |
|--------------------------------|--|---------------|---------|---|----------------|---------|
|                                | OR   | 95% CI        | P value | OR  | 95% CI         | P value |
| Calorie deficit (per 1000kcal) | 2.2  | 1.3- 3.7      | <0.01   | 2.1   | 1.4-3.3        | 0.001   |
| BMI ( $\geq 30\text{kg/m}^2$ ) | 6.2  | 1.1- 35       | 0.04    | 3.6   | 0.9- 5.2       | 0.08    |
| Age (> 60 years)               | 1.0  | 0.2- 4.6      | 1.0     | 2.0   | 0.5- 8.2       | 0.35    |
| APACHE II (> 25)               | 0.62   | 0.1 -3.5      | 0.59    | 5.0   | 1.1- 23        | 0.04    |
| Variable                       | Univariate analysis adjusted for baseline malnutrition diagnosis |               |         | Multivariable analysis adjusted for all variables |                |         |
|                                | OR   | 95% CI        | P value | OR  | 95% CI         | P value |
| Calorie deficit (per 1000kcal) | 1.6  | 1.1-2.4       | 0.01    | 1.9   | 1.1- 3.2       | 0.02    |
| BMI ( $\geq 30\text{kg/m}^2$ ) | 0.55   | 0.10 - 2.9    | 0.48    | 0.31  | 0.03 - 3.4     | 0.34    |
| Age (> 60 years)               | 2.   | 0.50- 17      | 0.23    | 2.4   | 0.32- 18       | 0.40    |
| APACHE II (> 25)               | 1.1  | 0.21-5.8      | 0.10    | 2.9   | 0.34- 26       | 0.33    |
| Variable                       | Univariate analysis adjusted for Baseline FFM                    |               |         | Multivariable analysis adjusted for all variables |                |         |
|                                | effect   | 95% CI        | P value | effect  | 95% CI         | P value |
| FFM (Baseline), kg             | 0.93   | 0.80 to 1.1   | <0.001  | 0.91  | 0.8 to 1.1     | <0.001  |
| Calorie deficit (per 1000kcal) | -1.1   | -2.2 to -0.08 | 0.04    | -1.3  | -2.4 to -0.21  | 0.02    |
| BMI ( $\geq 30\text{kg/m}^2$ ) | 2.2  | -4.1 to 8.5   | 0.49    | 2.1   | -4.1 to 8.1    | 0.51    |
| Age (> 60 years)               | -0.71  | -6.2 to 4.7   | 0.80    | 0.24  | -5.1 to 5.5    | 0.91    |
| APACHE II (> 25)               | -2.5   | -11 to 9.8    | 0.38    | -4.0  | -9.5 to 1.6    | 0.15    |
| Variable                       | Univariate analysis  |               |         | Multivariable analysis adjusted for all variables |                |         |
|                                | effect   | 95% CI        | P value | effect  | 95% CI         | P value |
| Calorie deficit (per 1000kcal) | -0.6   | -0.9 to -0.2  | 0.002   | -0.59   | -0.92 to -0.26 | 0.001   |
| BMI ( $\geq 30\text{kg/m}^2$ ) | -1.9   | -3.7 to -0.15 | 0.03    | -2.0  | -3.5 to -0.38  | 0.02    |
| Age (> 60 years)               | -1.1   | -2.9 to 0.71  | 0.23    | -1.2  | -2.7 to 0.38   | 0.14    |

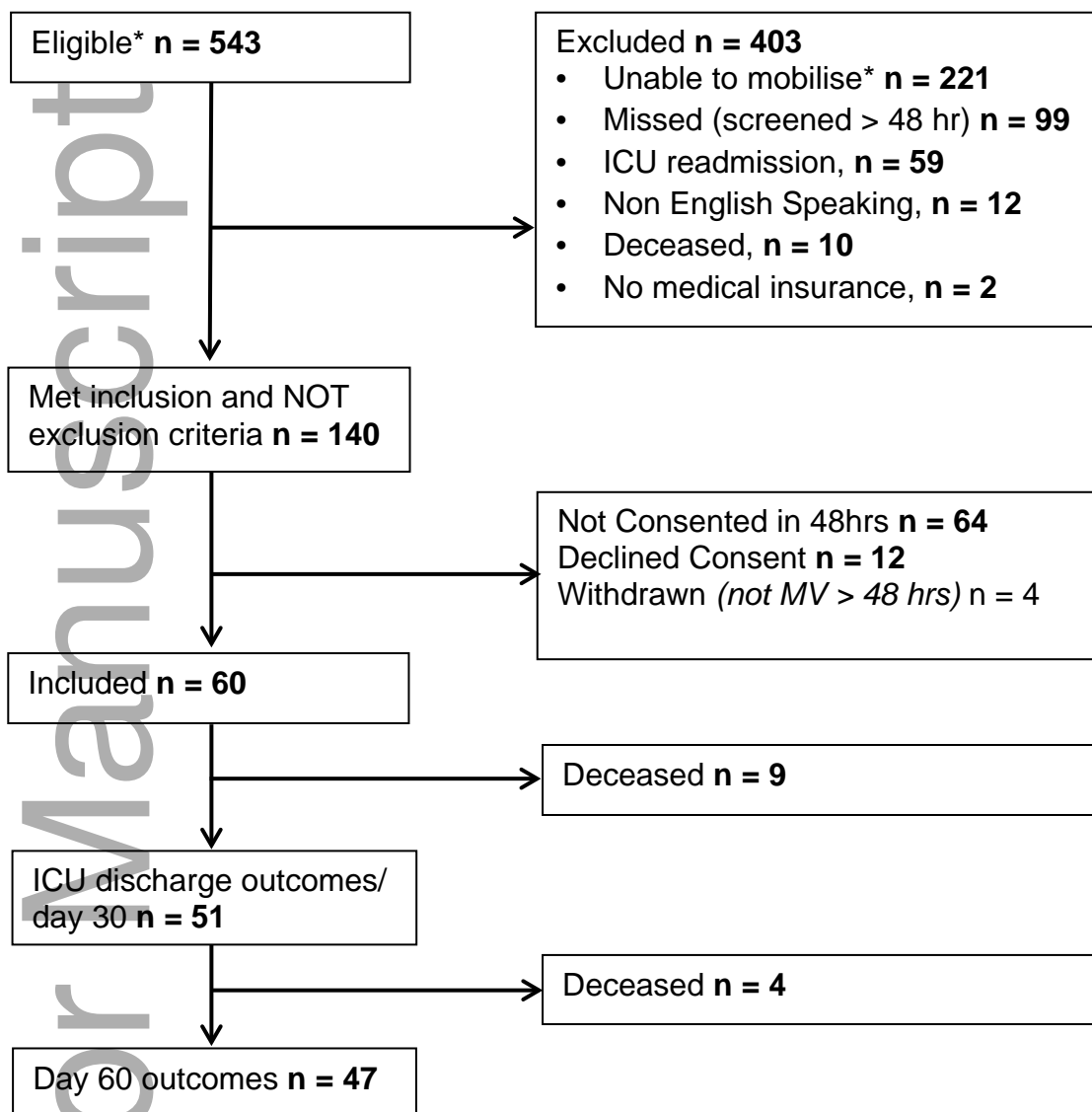
|                  |       |             |      |      |              |      |
|------------------|-------|-------------|------|------|--------------|------|
| APACHE II (> 25) | -0.31 | -2.2 to 1.6 | 0.75 | -1.1 | -2.8 to 0.54 | 0.18 |
|------------------|-------|-------------|------|------|--------------|------|

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Abbreviations: BMI; Body Mass Index centered at 30 kg/m<sup>2</sup>, Age centered at 60 years, APACHE II; Acute Physiology And Chronic Health Evaluation II, centred at 25, FFM; Fat free Mass. Logistic regression analysis models were used for ICU-Acquired weakness and Malnutrition. Linear regression analysis models were used for fat free mass and physical function.

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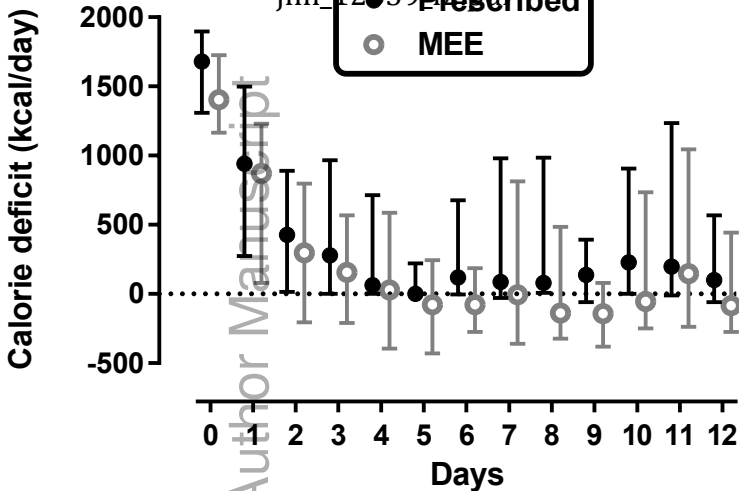
Figure1. Consort Diagram



Abbreviations: Eligible – patients who met all inclusion criteria at the time of screening, exclusion criteria = unable to mobilise - included major trauma necessitating a period of immobilisation; new neurological insults; and poor pre-morbid mobility (unable to mobilise independently with or without a gait aid). ICU – intensive care unit, not an Australian citizen –non citizens were excluded as they are ineligible for Medicare should their participation in the study result in the need for additional medical care. MEE – measured energy expenditure.

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|                       |    |    |    |    |    |    |    |    |    |    |    |    |    |
|-----------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|
| <b>Prescribed (n)</b> | 41 | 60 | 59 | 52 | 44 | 35 | 30 | 23 | 20 | 17 | 15 | 12 | 11 |
| <b>Measured (n)</b>   | 30 | 43 | 42 | 39 | 33 | 28 | 24 | 19 | 17 | 14 | 14 | 12 | 11 |



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Fetterplace, K; Beach, LJ; Maclsaac, C; Presneill, J; Edbrooke, L; Parry, SM; Rechnitzer, T; Curtis, R; Berney, S; Deane, AM; Denehy, L

**Title:**

Associations between nutritional energy delivery, bioimpedance spectroscopy and functional outcomes in survivors of critical illness

**Date:**

2019-12-01

**Citation:**

Fetterplace, K., Beach, L. J., Maclsaac, C., Presneill, J., Edbrooke, L., Parry, S. M., Rechnitzer, T., Curtis, R., Berney, S., Deane, A. M. & Denehy, L. (2019). Associations between nutritional energy delivery, bioimpedance spectroscopy and functional outcomes in survivors of critical illness. *JOURNAL OF HUMAN NUTRITION AND DIETETICS*, 32 (6), pp.702-712. <https://doi.org/10.1111/jhn.12659>.

**Persistent Link:**

<http://hdl.handle.net/11343/285794>

**File Description:**

Accepted version