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# **Confirmation of Correct Tracheal Tube Placement in Newborn Infants**

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#### **Author's contribution:**

Georg M. Schmölzer: Dr. Schmölzer conceptualized and designed the study, carried out the initial literature search and analysis of available literature, drafted the initial manuscript, and approved the final manuscript as submitted.

Megan O'Reilly: Dr. O'Reilly carried out the initial literature search and analysis of available literature, reviewed and revised the manuscript, and approved the final manuscript as submitted.

Peter G. Davis: Dr. Davis performed a literature search and analysis of available literature, reviewed and revised the manuscript, and approved the final manuscript as submitted.

Po-Yin Cheung: Dr. Cheung performed a literature search and analysis of available literature, reviewed and revised the manuscript, and approved the final manuscript as submitted.

Charles Christoph Roehr: Dr. Roehr conceptualized and designed the study, performed a literature search and analysis of available literature, reviewed and revised the manuscript, and approved the final manuscript as submitted.

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74

75 **Abbreviations:**

76 NICU - Neonatal intensive care unit

77 DR - Delivery room

78 CO<sub>2</sub> - Carbon dioxide

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**Abstract**

Tracheal intubation remains a common procedure during neonatal intensive care. Rapid confirmation of correct tube placement is important because tube malposition is associated with serious adverse outcomes. The current gold standard test to confirm tube position is a chest radiograph, however this is often delayed until after ventilation has commenced. Hence, point of care methods to confirm correct tube placement have been developed. The aim of this article is to review the available literature on tube placement in newborn infants. We reviewed books, resuscitation manuals and articles from 1830 to the present with the search terms “Infant, Newborn”, “Endotracheal intubation”, “Resuscitation”, “Clinical signs”, “Radiography”, “Respiratory Function Tests”, “Laryngoscopy”, “Ultrasonography”, and “Bronchoscopy”. Various techniques have been studied to help clinicians assess tube placement. However, despite 85 years of clinical practice, the search for higher success rates and quicker intubation continues. Currently, chest radiography remains the gold standard test to confirm tube position. However, rigorous evaluation of new techniques is required to ensure the safety of newborn infants.

## 97 **Background**

98 Tracheal intubation remains a common procedure in the neonatal intensive care unit  
 99 (NICU) and the delivery room (DR)<sup>1-5</sup>. The insertion of a tube into the trachea to  
 100 inflate the lungs of newborn infants has been routinely practiced for almost a century.  
 101 James Blundell described digital intubation in 1834 as “Inserting a tracheal catheter  
 102 guided along the fingers, through which the accoucheur could inflate the infant’s  
 103 lungs with his own breath”<sup>6</sup>. However, it took almost a century until Flagg described  
 104 his technique of introducing a metal tube into the trachea, using a small  
 105 laryngoscope incorporating an electric light<sup>7</sup>. Blaikley and Gibberd later suggested  
 106 using a rubber catheter instead of the rigid metal tube in 1935<sup>8</sup>. Until the 1970s,  
 107 tracheal intubation was considered a simple procedure once the anatomy and the  
 108 manipulation of the laryngoscope were understood<sup>9,10</sup>. With the introduction of chest  
 109 radiography, tube position became clearly visible and malposition of the tracheal tube  
 110 was able to be detected<sup>11</sup>.

111  
 112 The current gold standard for confirming tube position is a chest radiograph, however  
 113 this is often delayed until after ventilation via the tube has been commenced<sup>11</sup>. Rapid  
 114 confirmation of correct tracheal tube placement at the point of care is important  
 115 because tube malposition is associated with serious adverse outcomes, including  
 116 hypoxemia, pneumothorax, lung collapse and death<sup>1-3,12-15</sup>. This has led to the  
 117 development of new methods to confirm correct tube placement. Various techniques  
 118 have been studied to enable i) correct tube placement and ii) optimal position within  
 119 the trachea (Table 1). These techniques include i) clinical signs, ii) measurement of  
 120 tube length based on parameters of body size or gestational age, iii) fiber optic  
 121 techniques, iv) respiratory function, iv) chest radiograph, vi) capnography, vii)  
 122 ultrasonography, and viii) magnetic detection. However, rates of correct tube  
 123 placement, particularly for junior medical staff, are less than 50% and accidental  
 124 esophageal intubation is common<sup>1,2,5,16</sup>.

125  
 126 The aim of this article was to review the available literature about tracheal tube  
 127 placement in neonates. Critical issues that must be addressed when correctly placing  
 128 a tracheal tube include i) correct placement of the tube in the trachea and not the  
 129 esophagus, ii) proper depth of the tube and iii) time to complete the procedure. The  
 130 article describes intubation in the NICU and DR. All the available techniques and  
 131 devices described can be used in both the NICU and DR during emergency and non-  
 132 emergency intubations.

133

## 134 **Search strategy**

135 We reviewed books, resuscitation manuals and articles from 1830 to the present with  
136 the search terms “Infant, Newborn”, “Tracheal intubation”, “Resuscitation”, “Clinical  
137 signs”, “Radiography”, “Respiratory Function Tests”, “Laryngoscopy”,  
138 “Bronchoscopy”, and “Ultrasonography”. All languages were included. The full search  
139 strategies for PubMed, EMBASE and PubMed Central are detailed in Appendix 1.

## 141 **Tracheal vs. esophageal tube placement**

142 Various techniques have been studied to determine correct tube placement including  
143 i) clinical signs, ii) capnography, iii) respiratory function, iii) fiber optic techniques, and  
144 iv) video laryngoscopy, which are described in detail below.

### 146 *Clinical signs*

147 International resuscitation guidelines recommend clinical signs and CO<sub>2</sub> detectors to  
148 confirm correct tube placement<sup>17</sup>. Clinical signs of correct tube placement include a  
149 prompt increase in heart rate, chest wall movement, visualization during direct  
150 laryngoscopy of the tube passing through the vocal cords, presence of breath sounds  
151 in the axillae and absence of breath sounds in the epigastrium and condensation in  
152 the tube during expiration<sup>17</sup>. Direct laryngoscopy to observe passage of the tube  
153 through the vocal cords was first described by Roberts in 1949<sup>9</sup>. Condensation of  
154 water vapor in the tube lumen, although less likely with esophageal intubation, can  
155 occur and hence is not a reliable sign<sup>18</sup>. In 1964, Doss reported his experience in an  
156 essay on “Resuscitation of the Newborn”, stating that “The chest is auscultated while  
157 blowing into the tube to verify that the latter is in the trachea”<sup>10</sup>. Bednarek et al., in  
158 1975, reported 9/14 intubation of the right main bronchus: Four were without  
159 complications, four caused atelectasis of varying degrees and one resulted in  
160 bilateral pneumothoraces<sup>15</sup>. “Chest rise” is another clinical sign used to identify  
161 correct tube placement. Doss described his observation of unilateral chest rise to  
162 identify unilateral main bronchus intubation; “If only the right lung expands the tube is  
163 withdrawn a little”<sup>10</sup>. More important, movement of the chest wall simulating  
164 ventilation of the lungs can be seen with an esophageal tube<sup>18</sup>. Observational studies  
165 in the delivery room during mask ventilation have shown that judgment of chest rise  
166 is inaccurate<sup>21,22</sup>. Several studies have reported that recognition of esophageal  
167 intubation, using clinical signs alone, may take several minutes<sup>2,4,5,18,19</sup>. In summary,  
168 a number of clinical signs aim to identify correct tube placement but none have been  
169 systematically studied. The best indicator that the tube is in the trachea remains the  
170 clinical response when the patient shows a prompt increase in heart rate<sup>17,20</sup>.

171  
 172 *Exhaled Carbon Dioxide (CO<sub>2</sub>)*  
 173 CO<sub>2</sub> is exhaled from the lungs at concentrations much higher than present in air. It  
 174 can be detected using colorimetric devices or measured quantitatively using main-  
 175 stream, side-stream or micro-stream devices<sup>3-5,19,23-28</sup>. Measuring exhaled CO<sub>2</sub> by  
 176 infrared gas analysis to monitor patients during anesthesia was first described in the  
 177 1960s<sup>23,24</sup> (Table 1). However, using end-tidal CO<sub>2</sub> to detect correct tube placement  
 178 was first described in an animal model by Murray in 1983 and in human patients by  
 179 Linko in 1983<sup>25,26</sup>. International resuscitation guidelines recommend clinical  
 180 assessment and exhaled CO<sub>2</sub> to confirm correct tube placement immediately after  
 181 intubation<sup>17</sup>. Although CO<sub>2</sub> devices are frequently used to assess tube placement<sup>29-32</sup>,  
 182 false negative results may occur, particularly when cardiac output is low<sup>33</sup> or when  
 183 the infant is in severe respiratory failure and the inflation pressure is not high enough  
 184 to ventilate the lungs<sup>5,34</sup>.

185  
 186 Disposable semi-quantitative colorimetric CO<sub>2</sub>-detectors are recommended in  
 187 international guidelines. With each inflation and expiration a pH-sensitive chemical  
 188 indicator undergoes color change (e.g. from purple to yellow), reflecting the change  
 189 in CO<sub>2</sub> concentration in the gas passing through it. Garey et al. reported the tidal  
 190 volume threshold achieve color change at the Pedi-Cap with >0.72 mL<sup>27</sup>. An absence  
 191 of color change suggests that the tube is not in the trachea. However, false negative  
 192 findings may occur as listed above. Evidence comes from one observational and one  
 193 prospective cohort study (Table 2). Aziz et al. compared the Pedi-Cap® (Nelcor  
 194 Puritan Bennett, Pleasanton, CA) with clinical evaluation and radiography findings for  
 195 tracheal intubation in 45 newborn infants (24 (53%) in the DR and 21 (47%) in the  
 196 NICU) who required tracheal intubation. In that study, the Pedi-Cap® correctly  
 197 identified 30 tracheal tube placements and 12 esophageal tube placements (Table  
 198 2). However, in three cases a false negative result (no color change) was displayed<sup>4</sup>.  
 199 Schmölzer et al. compared flow signals with the Pedi-Cap® in 35 intubations (Table  
 200 2). The flow signal correctly identified all tube placements correctly, whereas the  
 201 Pedi-Cap® failed to change color on 12 occasions when the tube was correctly  
 202 placed<sup>5</sup>. Colorimetric CO<sub>2</sub>-detectors may mislead clinicians intubating very preterm  
 203 infants in the delivery room and may fail to change color despite correct tube  
 204 placement in up to one third of cases<sup>5</sup>.

205  
 206 Systems with either main-stream, side-stream or micro-stream measurement of end-  
 207 tidal CO<sub>2</sub> have been described<sup>3,19,28</sup> (Table 2). Repetto et al. compared end-tidal CO<sub>2</sub>



with clinical assessment in the NICU and DR. The median times required for capnographic and clinical determination of tracheal intubation were 9sec vs. 35sec, and for esophageal intubation 9sec vs. 30sec<sup>19</sup>. Roberts et al. compared side-stream capnography with clinical assessment in 100 intubations in 55 infants<sup>3</sup>. Capnography rapidly and correctly identified esophageal tube placements in 39 of 40 infants (mean 1.6 sec). Capnography failed to identify successful tracheal intubation on only one occasion. Clinical indicators of esophageal position were much slower (mean 97.1sec) and failed to identify successful tracheal intubation in 5 of 60 cases<sup>3</sup>. In comparison, Hosono et al. demonstrated that compared to clinical assessment, micro-stream CO<sub>2</sub>-detectors correctly identified all tube placements, whereas clinical assessment failed to identify three of eleven esophageal tube placements<sup>28</sup>. We need to emphasize that although micro-stream CO<sub>2</sub>-detectors can be used to identify correct tube placement, inexperience and lack of knowledge about the displayed waveforms may lead to misinterpretation of the signals. Therefore, anyone using this device must be trained to interpret capnography waveform signals (Figure 1). In addition, all CO<sub>2</sub> devices may display false negative results particularly when cardiac output is low or when the infant is in severe respiratory failure and the inflation pressure is not sufficient to ventilate the lungs. Although observational studies have evaluated side-stream or micro-stream CO<sub>2</sub>-detectors, neither have been evaluated in randomized trials in neonates.

#### *Respiratory Function Monitor*

In a Scottish medical negligence case from 1973, John Robinson first described the use of airway pressure and gas flow waves to identify correct tube placement<sup>35</sup> (Table 1). Robinson reported that tidal volume measurements are feasible and suggested that airway pressure and gas flow should be used to differentiate tracheal from esophageal tube placement (Figure 1)<sup>35</sup>. Almost any respiratory function monitor can be used to measure and display airway pressure, gas flow and tidal volume<sup>36</sup>. A flow sensor placed between the tube and the ventilation device can be used to determine tube placement<sup>37</sup>. The operator should look for inspiratory and expiratory gas flow waves. If gas flow can be observed in both directions, the tube is in the trachea. If only inspiratory gas flow is observed, the tube is most likely in the esophagus. Recently, Schmölzer et al. compared the gas flow signals to a colorimetric CO<sub>2</sub>-detector in an ovine model of neonatal resuscitation<sup>37</sup>. Both colorimetric CO<sub>2</sub>-detector and flow signal identified all tube placements correctly. However, the colorimetric CO<sub>2</sub>-detector required at least three and up to 10 inflations to identify tube location compared to one to two inflations with the flow sensor. The

available evidence during neonatal resuscitation derives from two observational studies in the DR<sup>2,5</sup> (Table 2). O'Donnell et al. compared clinical assessment (n=33 infants) and flow signal (n=7 infants) for identification of correct tube placement<sup>2</sup>. Clinical assessment of tube position took 39sec compared to 19sec using a flow signal. Schmölzer et al. compared the gas flow sensor to a colorimetric CO<sub>2</sub>-detector during 35 intubation attempts of 20 infants during neonatal resuscitation<sup>5</sup>. In 21 (60%) intubations, both methods correctly identified successful tube placement, and in 3 (9%) intubations both methods indicated the tube was not in the trachea. However, in the remaining 11 (31%) intubations the colorimetric CO<sub>2</sub>-detector failed to change color despite the flow wave indicating correct placement. This study suggests that colorimetric CO<sub>2</sub>-detectors may fail to change color despite correct tube placement in up to one third of cases and therefore may mislead clinicians intubating very preterm infants in the DR. However, neither gas flow nor airway pressure monitoring can distinguish tube placement in the trachea from one of the main bronchi, which is a major limitation. Recently, in an animal model, electrical impedance tomography was shown to correctly identify tube misplacement in the bronchial tree<sup>38</sup>. This device is currently best regarded as a research tool and is not available to clinicians. Substantial training in the use of this technology is required to ensure correct interpretation of the signals produced.

264

#### 265 *Fiber Optic Devices*

A fiber optic laryngoscope/ bronchoscope for endotracheal intubation was first described by Stiles in 1972<sup>39</sup> (Table 1). These devices emit light, and development of the ultrathin bronchoscope has made flexible endoscopic intubation for the neonate and small infant possible. Advantages include: i) easy identification of the carina or the esophagus and ii) intubation can be performed either via nasal or oral route, high correlation with chest radiograph<sup>39-42</sup>. However, limitations and disadvantages include i) desaturation during the procedure, ii) time required to visualize the vocal cords, and iii) special training which is necessary to operate the flexible fiberscope efficiently in emergency settings<sup>39-42</sup>.

Fiber optic devices have been used to i) intubate newborn infants in the NICU<sup>43</sup> and ii) assess correct tracheal tube placement<sup>40,41</sup>. Finer et al. described non-emergency endoscopic intubation of newborn infants in the NICU using a bronchoscope<sup>43</sup>. The majority of those infants were intubated prior to surgery or to investigate upper airway abnormalities. There was evidence of transient hypoxemia during the introduction of the bronchoscope into the trachea, but no infant had an oxygen saturation lower than 85%<sup>43</sup>. The longest part of the procedure was time required to

visualize the vocal cords adequately, and the most difficult aspect was the introduction of the tip of the bronchoscope into the trachea<sup>43</sup>. Although fiber optic tracheal intubation is a reliable method of neonatal intubation and used in the NICU, devices are not readily available in emergency situations. For this reason the technique has not been adopted for delivery room intubations. This has led to the development of fiber optic stylets, including viewing windows at the top of the shaft stylet, miniature screens, and video laryngoscopes<sup>44</sup>.

#### *Video Laryngoscopy*

Video laryngoscopy provides a view from the tip of the laryngoscope blade during conventional direct laryngoscopy. These devices may use fiber optic image transmission to a miniature screen or have an embedded small camera head. Advantages of video laryngoscopes include: i) the image and light bundle does not interfere with the operator's view of the airway, so the standard technique of laryngoscopy remains possible, and ii) the light provided by the fiber optic bundle is safe and does not cause burns<sup>45-49</sup>. Disadvantages include: i) increased weight of the laryngoscope handle, and ii) failure of the light source, although this can occur with any laryngoscope<sup>45-49</sup>. In the pediatric age group, video-assisted intubation was first reported by Weiss in 2000 (Table 1)<sup>45</sup>. In 100 children aged from 3 months to 14.3 years the trachea was successfully intubated on the first attempt without arterial oxygen desaturation. Vanderhal et al. reported their preliminary experience using a video laryngoscope<sup>46</sup>. Although three intubations required more than three attempts with the video laryngoscope, the overall number of intubation attempts was reduced<sup>46</sup>. Trevisanuto et al. reported video guided intubation using the GlideScope<sup>®</sup> in five newborn infants<sup>47</sup>. Intubation with the GlideScope<sup>®</sup> was successful in three of the five cases, however in two cases direct laryngoscopy was performed after two failed attempts with the GlideScope<sup>®</sup><sup>47</sup>. A randomized trial compared success rate of correct tube placement for the GlideScope<sup>®</sup> video laryngoscope with direct laryngoscopy<sup>48</sup> and reported no difference between the two devices<sup>48</sup>. Although, video laryngoscopes appear to be efficient and safe, randomized trials are required to compare each video laryngoscope with a conventional direct laryngoscope, with the other video laryngoscopes, and with the other types of intubation devices.

#### **Correct tube position within the Trachea**

Various techniques have been studied to determine optimal position within the trachea including i) chest radiograph, ii) measurement of tube length based on

parameters of body size or gestational age, iii) external digital tracheal palpation, iv) fiber optic techniques, and v) ultrasonography.

320

### 321 *Chest Radiograph*

322 A chest radiograph can be used to confirm correct tube position within the trachea,  
323 which should be just below the level of the vocal cords and well above the carina.  
324 Various techniques have been described to achieve tube positioning above the  
325 carina prior to X-ray confirmation, but tube displacement, particularly into the right  
326 main bronchus, remains common<sup>11,50</sup>. While the current gold standard to assess  
327 correct tube placement is chest radiography, no study has formally investigated the  
328 use of chest radiographs to confirm tracheal tube placement in either the NICU or  
329 DR<sup>11</sup>. Guidelines for the interpretation of chest X-ray to assess correct tube  
330 placement vary. Usually, the lower limit for the position of the tube is measured in  
331 relation to the carina. The tip should be between 0.2 to 2 cm above the  
332 carina<sup>11,13,15,51</sup>. The medial border of the clavicles has been used in studies as  
333 reference point, however the position of the clavicles can range from the 4<sup>th</sup> cervical  
334 vertebrae to the 2<sup>nd</sup> thoracic vertebrae on chest radiographs<sup>51</sup>. In addition, studies  
335 have shown that head extension or flexion from the neutral position can move a  
336 tracheal tube by an average of 0.7 cm in either direction in newborns<sup>51</sup>. Hence using  
337 the 1<sup>st</sup> thoracic vertebrae has been suggested to be an alternative reference point.

338

### 339 *Estimation of correct endotracheal tube insertion*

340 Several formulae have been proposed to achieve correct tube placement within the  
341 trachea<sup>12,52-57</sup>. In 1987 Yates et al. developed a formula based on tube lengths  
342 recorded in their study (internal diameter x 3 + 2 cm)<sup>52</sup>. However, this formula has not  
343 been tested in further studies. In 1979, Tochen described a simple formula to  
344 determine the depth of tube insertion<sup>53</sup>. The "tip-to-lip" distance or "7-8-9 rule",  
345 estimates the depth (cm) of tube insertion to be  $[1.17 * \text{infant's weight (in kg)} +$   
346  $5.58]$ <sup>53</sup>. Using this formula an infant weighing 1kg would be intubated to a depth of  
347 7cm, a 2kg infant to a depth of 8cm, and a 3kg infant to a depth of 9cm<sup>53</sup>. However,  
348 several studies have shown that the Tochen's 7-8-9 rule led to incorrect tube  
349 placement in almost half of the infants studied. Peterson et al. studied the accuracy  
350 of the 7-8-9 rule for tube placement in 75 consecutively intubated infants of less than  
351 32 weeks gestation<sup>54</sup>. Although correct tube placement was confirmed in infants >  
352 750g body weight, tube depth was overestimated in infants < 750g<sup>54</sup>. Amariljo et al.  
353 also assessed the reliability of the 7-8-9 rule during oro-tracheal intubation in 31  
354 infants < 1000g<sup>12</sup>. In almost half of the infants (47%), the rule resulted in incorrect

355 tube placement when checked by chest radiograph<sup>12</sup>. Furthermore, Kempley et al.  
 356 recently re-examined Tochen's rule and reported that it assumes a linear relationship  
 357 between tube and body length and body weight<sup>56,57</sup>. The relationship, although linear  
 358 for gestational age, is non-linear for body weight<sup>57</sup>. Furthermore, the rule does not  
 359 apply for naso-tracheal intubation.

360

#### 361 *External digital tracheal palpation*

362 The practice of tube palpation dates back to the time of its first use in 1834 by British  
 363 obstetrician, James Blundell, who used an tracheal tube to resuscitate an infant<sup>6</sup>.  
 364 Blundell noted that following digital intubation "the tracheal pipe can be felt on the  
 365 front of the neck whether the instrument is lying in the trachea or esophagus"<sup>6</sup>.  
 366 Bednarek et al. in 1975 reported that tracheal tube position could be confirmed using  
 367 digital suprasternal palpation in neonates and small infants<sup>15</sup>. Once the tube is  
 368 placed and the tip can be gently palpated in the suprasternal notch the position is  
 369 considered correct. Two studies compared external digital tracheal palpation to direct  
 370 laryngoscopy<sup>15,58</sup>. Bednarek et al. reported that infants in the palpation group had  
 371 significantly more accurate tube placements, significantly lower rates of  
 372 complications, and no adverse effects compared to direct laryngoscopy<sup>15</sup>. In a  
 373 randomized controlled trial, Jain et al. showed that digital palpation correctly  
 374 predicted tube position on chest radiograph in 70% of included infants<sup>58</sup>. Digital  
 375 palpation resulted in a small, non-significant improvement in rates of correct  
 376 placement compared with standard weight based criteria<sup>58</sup>. The authors concluded  
 377 that suprasternal palpation appears to be a simple and teachable method of  
 378 confirming tracheal tube position in neonates when chest radiograph is unavailable,  
 379 and may be especially helpful during neonatal resuscitation prior to surfactant  
 380 administration.

381

#### 382 *Fiber Optic Devices*

383 Fiber optic devices have been used to i) intubate newborn infants in the NICU<sup>43</sup> and  
 384 ii) assess correct tracheal tube placement.<sup>40,41</sup> Vigneswaran et al. studied the use of  
 385 a 1.8mm fiberoptic bronchoscope for confirmation of tracheal tube position in 20  
 386 intubated infants<sup>40</sup>. They concluded that the bronchoscopic technique of determining  
 387 tracheal tube position is as safe and accurate as the radiologic technique (correlation  
 388 0.91)<sup>40</sup>. Shinwell et al. reported their experience in 65 infants<sup>41</sup> and reported that the  
 389 accuracy of bronchoscopic measurement of tracheal tube tip position improved  
 390 markedly with user experience and correlated very well (0.96) with chest  
 391 radiograph<sup>41</sup>. Although fiber optic tracheal intubation is a reliable approach for

neonatal intubation and used in the NICU, devices are not readily available in emergency situations.

394

### 395 *Ultrasound*

396 In 1986 Slovis et al. demonstrated that real-time ultrasound could be used to verify  
397 correct tube position in newborn infants<sup>59</sup> (Table 1). Slovis et al. used the aortic arch  
398 as a reference point and showed that tube position could be accurately determined in  
399 18/21 (85%) intubations<sup>59</sup>. Lingle et al. showed similar results using the same  
400 technique<sup>60</sup>. Galicinao et al. used two views with a linear transducer in infants and  
401 children to verify correct tube placement<sup>61</sup>. Compared to physical examination +  
402 colorimetric devices + chest radiography, bedside ultrasound was much faster<sup>61</sup>.  
403 Dennington et al. (Table 2) reported good correlation between ultrasound and  
404 radiograph measurements with most values being within 0.5 cm<sup>62</sup>. Advantages of  
405 ultrasonography over radiography include: i) reduced radiation, ii) less handling,  
406 particularly in critically ill infants, iii) potential to determine the tube position in the  
407 delivery room particularly for early surfactant delivery and iv) earlier detection of  
408 complications from malposition<sup>59-62</sup>. Disadvantages of ultrasonography are i) the  
409 specialized skills required, ii) difficulties to correctly identify anatomical landmarks  
410 and iv) lack of widespread availability<sup>59-62</sup>. These observational studies demonstrate  
411 that ultrasound can be used to visualize tracheal tube position in infants. However, 1-  
412 2 specially trained investigators performed all ultrasound evaluations in the reported  
413 studies, which is a major limitation of these studies. And therefore it is not applicable  
414 for daily clinical use. Randomized control trials are required before implementation of  
415 this technique.

416

### 417 **Duration of the procedure**

418 Guidelines of the Neonatal Resuscitation Program developed by the American  
419 Academy of Pediatrics recommend that the intubation procedure is completed within  
420 30 seconds<sup>17</sup>. Two observational studies reported the times to intubate preterm  
421 infants in the DR using a laryngoscope<sup>2,63</sup>. Overall, 50-60% of intubation attempts  
422 were successful, with the majority occurring within 30 seconds<sup>2,63</sup>. Interestingly, two  
423 studies reported that digital intubation was significantly faster compared to intubation  
424 using a laryngoscope<sup>64,65</sup>. However, digital intubation is not routinely used during  
425 neonatal intubation.

426 Several studies have reported that experience significantly increased success rate of  
427 tracheal intubation<sup>1,2,16,63</sup>. O'Donnell et al. reported a significant difference between  
428 grades of doctors, with more senior doctors intubating more rapidly<sup>2</sup>. However, the

429 study by Lane et al. reported that fellows were on average 10 seconds faster than 1<sup>st</sup>  
430 year residents or consultants<sup>63</sup>. The main concern is the decrease exposure of  
431 endotracheal intubation during residency and fellowship training<sup>1,16</sup>. Leone et al.  
432 reported that the mean number of intubation attempts per resident throughout  
433 training decreased from 38 ( $\pm 19$ ) in 1994 to 12 ( $\pm 6$ ) in 2002<sup>1</sup>. In addition, non-  
434 invasive methods of respiratory support has been advocated which further reduces  
435 the number of intubation attempts<sup>66,67</sup>. Manikin studies reported significant  
436 improvement in the time to visualize the vocal cords with the video laryngoscope  
437 compared to direct laryngoscopy<sup>68</sup>. However, success rate were similar within the  
438 groups<sup>68</sup>. In comparison, a randomized trial comparing intubation time for the  
439 GlideScope<sup>®</sup> video laryngoscope with direct laryngoscopy reported no difference in  
440 intubation time or success rate of intubation between the devices<sup>48</sup>.

441

## 442 **Conclusions**

443 Currently chest radiography remains the accepted standard test to confirm tube  
444 position. An increase in heart rate is the best indication of effective ventilation.  
445 Exhaled CO<sub>2</sub> may give misleading, false negative results and readings should be  
446 interpreted in conjunction with clinical signs. Respiratory function monitors, video  
447 laryngoscopes, fiber optic devices and ultrasound to confirm tube position are  
448 promising methods but require evidence from clinical trials before recommendations  
449 can be made for their use in clinical practice.

450

451 **Figure legend:**

452 **Figure 1:** Figure 1A: Esophageal and tracheal flow waves to identify correct tube  
453 placement comparison described by Robinson 1973<sup>35</sup>. In comparison airway  
454 pressure, gas flow, tidal volume and end-tidal CO<sub>2</sub> waves from a preterm infant born  
455 at 29 weeks gestation requiring intubation in the delivery room. Figure 1B -  
456 Esophageal intubation: Gas flow towards the infant is present. However, no  
457 expiratory gas flow, tidal volume or end-tidal carbon CO<sub>2</sub> is displayed. Figure 1C –  
458 Tracheal intubation: Gas flow towards and away from the infant is present. In  
459 addition, tidal volume or end-tidal CO<sub>2</sub> are displayed.

460 Figure 1A: Reproduced from [Respiratory recording from the oesophagus,  
461 Robinson<sup>35</sup>], Br Med J. 1974; 4 (5938), 225, 2012] with permission from BMJ  
462 Publishing Group Ltd.

463 Figure 1B & 1C: Reproduced from [Monitoring during neonatal transport, O'Reilly<sup>71</sup>,  
464 Emergency Medicine 2012, epub] with permission from O'Reilly et al.



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- 648

761 **Timeline: Evolution of endotracheal tube placement in newborn infants**

- 1834 J. Blundell describes digital intubation and external digital tracheal palpation for correct tube placement for the treatment of Asphyxia Neonatorum<sup>6</sup>
- 1895 A. Kirstein invented the modern laryngoscope<sup>69</sup>
- 1921 Sir I. Magill recommends the sniffing position for endotracheal intubation<sup>70</sup>
- 1928 P.J. Flagg describes his technique of introducing a metal tube into the trachea using a small electrically lighted laryngoscope<sup>7</sup>
- 1935 J.B. Blaikley and G.F. Gibberd suggest to use a rubber catheter instead of the rigid tube<sup>8</sup>
- 1949 H. Roberts recommends "When intubation is required in an asphyxiated newborn infant, the endotracheal tube should be passed though the vocal cord by direct laryngoscopy"<sup>9</sup>
- 1964 A.D. Doss describes: "The chest is auscultated while blowing into the tube to verify that the latter is in the trachea"<sup>10</sup>
- 1964 Chest wall assessment to assess main bronchus intubation is described by A.D. Doss: "If only the right lung expands the tube is withdrawn a little"<sup>10</sup>
- 1966 Carbon dioxide monitoring during anesthesia is described by GW Burton<sup>23</sup>
- 1971 L.R. Kuhns firstly describes tracheal tube position in infants using chest radiograph<sup>11</sup>
- 1972 C. Stiles describes flexible fiber optic laryngoscope<sup>39</sup>
- 1974 J. Robinson describes the assessment of tube placement using respiratory function<sup>35</sup>
- 1979 - Calculations to correctly position an oropharyngeal and  
onwards nasopharyngeal tube<sup>12,52-55,57</sup>
- 1983 First report of capnography for detection of accidental esophageal intubation in adults by K. Linko<sup>26</sup>
- 1986 First study describing Ultrasound to confirm correct tube position<sup>59</sup>
- 1990 Magnetically detectable tracheal tubes<sup>14</sup>
- 1992 - Studies investigating colorimetric, main, side and micro-stream CO<sub>2</sub>-  
onwards detectors<sup>2-5,19,28</sup>
- 2000 M. Weiss describes the video laryngoscopy technique for the intubation of newborns<sup>45</sup>
- 2006 - Studies examining respiratory functions to detect correct tube  
onwards placement<sup>2,5</sup>
- 2007 - Studies examining ultrasound to detect correct tube placement<sup>61,62</sup>  
onwards

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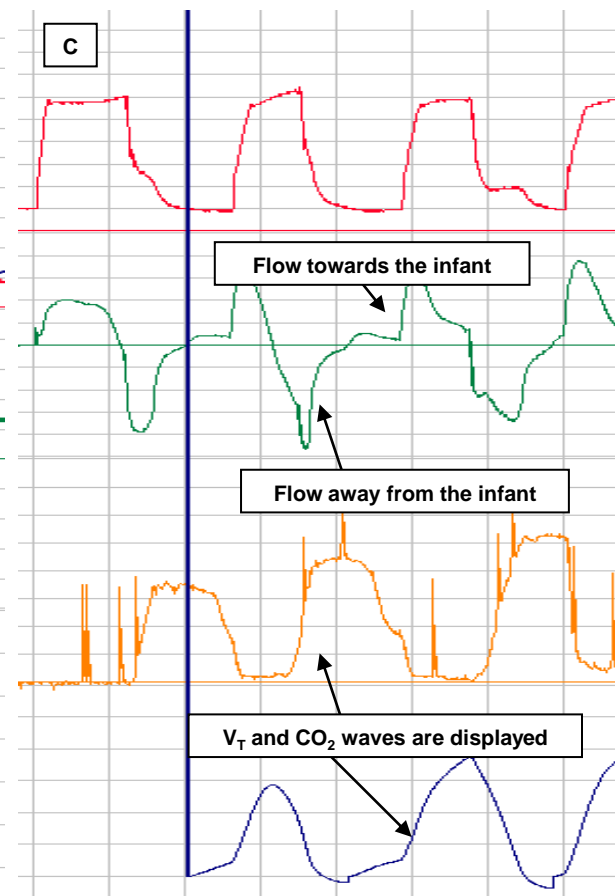
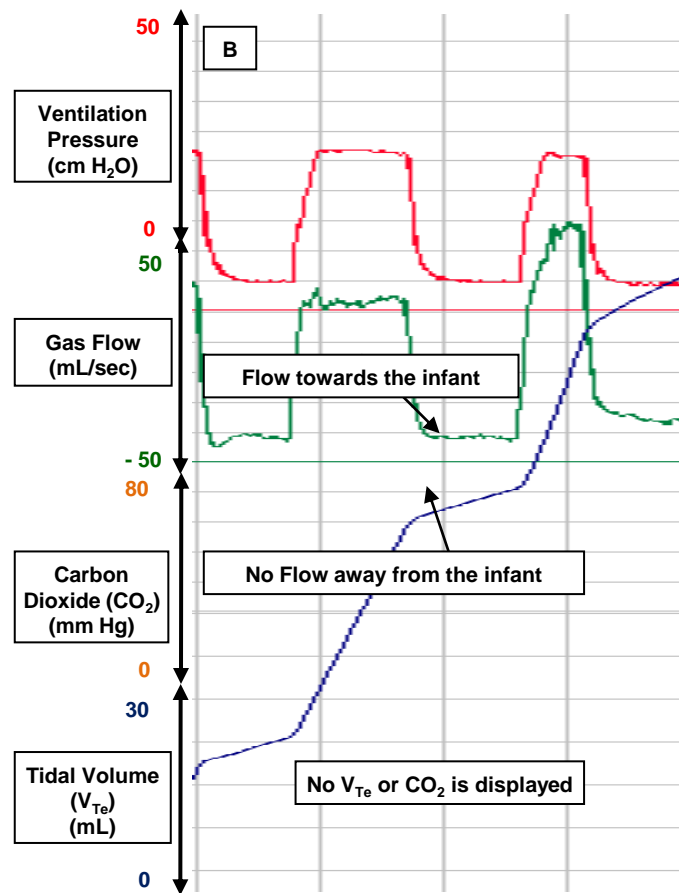
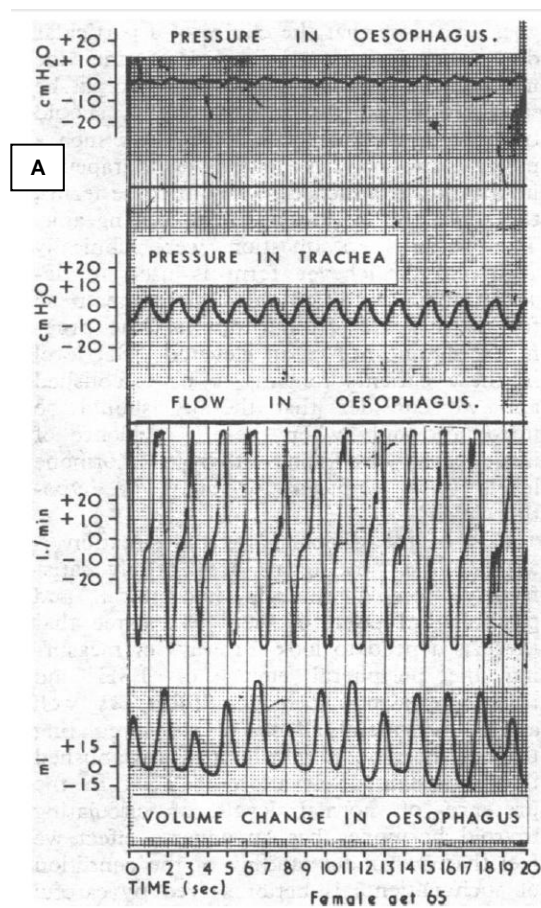
764

764 **Table 2: Comparison of available studies**

Study	Design	Comparison	Number of intubations	Results	PP
<b>External digital tracheal palpation</b>					
Jain <sup>58</sup>	Randomized trial	Chest radiograph vs. suprasternal digital tracheal palpation	54	Digital palpation correctly predicted tube position by chest radiograph in 70%	75%
<b>Exhaled Carbon dioxide</b>					
Aziz <sup>4</sup>	Observational	Clinical Assessment vs. PediCap <sup>®</sup>	45	CO <sub>2</sub> correctly identified 30/33 tracheal, 12/12 esophageal tubes, 3/33 tubes wrongly identified by the PediCap <sup>®</sup> as in the esophagus, clinical assessment correctly identified all tubes (33 tracheal, 12 esophageal)	100%
Repetto <sup>19</sup>	Observational	Clinical Assessment vs. Main-stream end-tidal CO <sub>2</sub>	27	CO <sub>2</sub> and clinical assessment correctly identified 16/16 tracheal, 11/11 esophageal tubes	100%
Roberts <sup>3</sup>	Observational	Clinical Assessment vs. Side-stream end-tidal CO <sub>2</sub>	100	CO <sub>2</sub> correctly identified 59/60 tracheal, 40/40 esophageal intubations, and failed to identify 1 tracheal intubation; clinical assessment failed to identify 36/40 esophageal intubation	62%
Hosono <sup>28</sup>	Observational	Clinical Assessment vs. Micro-stream end-tidal CO <sub>2</sub>	54	CO <sub>2</sub> correctly identified 40/40 tracheal, 14/14 esophageal tubes; clinical assessment failed to identify 3/14 esophageal intubation	93%
<b>Respiratory Function Monitor</b>					
Schmölzer <sup>5</sup>	Observational	Flow sensor vs. PediCap <sup>®</sup>	35	Flow sensor correctly identified 32/32 tracheal, 3/3 esophageal tubes; PediCap <sup>®</sup> correctly identified 21/32 tracheal, 3/3 esophageal intubations; 11/32 tubes wrongly identified by the PediCap <sup>®</sup> as in the esophagus	100%
<b>Ultrasound</b>					
Lingle <sup>60</sup>	Observational	Ultrasound vs. Chest radiograph	9	Placement of 4 tubes correctly identified, 2 misplacement	Too small
Dennington <sup>62</sup>	Observational	Ultrasound vs. Chest radiograph	29	Placement of 29 tubes correct identified	No analysis

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Figure 1







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