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9	Carfilzomib, dexamethasone and daratumumab in relapsed or refractory multiple
10	myeloma: results of the phase III study CANDOR by prior lines of therapy
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12	Running title: Prior lines and therapy analysis of CANDOR
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29 Corresponding author: Prof. Hang Quach, Department of Clinical Haematology, St. Vincent's 30 Hospital and Department of Medicine, University of Melbourne, 41 Victoria Parade, Fitzroy VIC 31 3065; Email: hang.quach@svha.org.au 32 Manuscript word count (excluding tables/figures and references): 1143 33 Tables/Figures: 2 (limit 2) 34 35 References: 15/15 36 Keywords: multiple myeloma, cancer, clinical trials, clinical studies 37 Bortezomib- and lenalidomide-based therapies have become standard frontline treatment for 38 39 multiple myeloma (MM)¹ resulting in many patients being refractory to bortezomib or 40 lenalidomide at first relapse.^{2,3} This may negatively impact the efficacy of later lines of therapy 41 (LOT)⁴ and makes optimal sequencing of MM therapies challenging. 42 43 Carfilzomib is a second-generation proteasome inhibitor approved in combination with 44 dexamethasone (Kd), and also with lenalidomide (KRd), and daratumumab (KdD) for patients 45 with relapsed/refractory MM (RRMM).^{5,6} Clinically meaningful improvements in progression-46 free survival (PFS) and overall survival have been observed with KRd vs. Rd (ASPIRE) and Kd 47 vs. Vd (ENDEAVOR), regardless of the type or number of prior LOT, indicating the superiority of carfilzomib-based regimens relative to previous standards of care in patients with RRMM 48

49 previously exposed to lenalidomide or bortezomib.^{7–11} Improved clinical outcomes have also

- 50 been observed in several MM trials combining daratumumab, an anti-CD38 monoclonal
- 51 antibody, with standards of care, including proteasome inhibitors.^{12,13} In the phase III,
- 52 randomised, open-label CANDOR trial, KdD improved PFS vs. Kd (median PFS not reached vs.
- 53 15.8 months; hazard ratio [HR] 0.63; 95% confidence interval [CI] 0.46–0.85; two-sided
- 54 P=0.0027) in patients with RRMM (42% of whom were lenalidomide-exposed).¹²

55

- 56 Given the challenges of effectively treating patients with RRMM and the common use of
- 57 frontline bortezomib and lenalidomide, we performed a pre-planned subgroup analysis of
- 58 CANDOR to evaluate efficacy and safety by number of prior LOT (1 vs. \geq 2), as well as a post
- 59 hoc analysis of patients with previous exposure or refractory status to bortezomib/ixazomib or

- 60 lenalidomide. The study design and patient eligibility criteria for the CANDOR trial
- 61 (NCT03158688) have been previously described¹² and are summarized in the Supplement.
- 62
- A total of 466 patients were randomised 2:1 to either KdD (n=312) or Kd (n=154) between 13
- June 2017 and 25 June 2018 (data cut-off: 14 July 2019).¹² Across both arms, 43% had one prior
- 65 LOT, 57% had ≥2 prior LOT, 42% were lenalidomide-exposed, 33% were lenalidomide-
- 66 refractory, 91% were bortezomib/ixazomib-exposed, and 33% were bortezomib/ixazomib-
- 67 refractory. Baseline characteristics were generally balanced between arms across subgroups
- 68 (Table S1).
- 69

70 Results from the subgroup analyses were generally consistent with the overall PFS HR of the 71 study. Among patients with one prior LOT, the PFS HR (95% CI) for KdD vs. Kd was 0.68 72 (0.40-1.14) compared to 0.61 (0.42-0.88) for ≥ 2 prior LOT (Fig 1). For patients with one prior 73 LOT, the PFS HR was 0.90 (0.48-1.70) for lenalidomide-naive patients, 0.30 (0.10-0.86) for 74 lenalidomide-exposed patients, and 0.11 (0.02–0.52) for lenalidomide-refractory patients. ORR was 90.2% vs. 76.1% (odds ratio [OR] 2.90; 1.30–6.46) among patients with one prior LOT 75 compared to 79.9% vs. 73.6% (OR 1.43; 0.78–2.60) for ≥2 prior LOT (Fig 2A). For patients 76 77 with one prior LOT, minimal residual disease (MRD)-negative complete response (CR) rate was 78 16.5% vs. 1.5% (OR 13.08; 1.72–99.31) compared to 9.5% vs. 1.1% (OR 9.03; 1.18–68.97) for 79 ≥ 2 prior LOT (Fig 2A).

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The PFS HR (95% CI) was 0.71 (0.45–1.12) for lenalidomide-naive patients, 0.53 (0.34–0.82) 81 82 for lenalidomide-exposed patients, and 0.47 (0.29–0.78) for lenalidomide-refractory patients (Fig 83 1). The ORR was 87.8% vs. 75.0% (OR 2.41; 95% CI 1.23–4.69) for lenalidomide-naive patients, 78.9% vs. 74.3% (OR 1.29; 0.65–2.54) for lenalidomide-exposed patients, and 79.8% 84 85 vs. 72.7% (OR 1.48; 0.69–3.20) for lenalidomide-refractory patients (Fig 2B). The MRDnegative CR rate was 13.2% vs. 2.5% (OR 5.95; 1.37–25.74) for lenalidomide-naive patients, 86 87 11.4% vs. 0% (OR non-estimable [NE]; NE-NE) for lenalidomide-exposed patients, and 13.1% 88 vs. 0% (OR NE; NE–NE) for lenalidomide-refractory patients (Fig 2B).

89

- 90 The PFS HR (95% CI) was 0.58 (0.17–2.06) for bortezomib/ixazomib-naive patients, 0.62 (0.45–
- 91 0.85) for bortezomib/ixazomib-exposed patients, and 0.84 (0.52–1.36) for bortezomib/ixazomib-
- 92 refractory patients (Fig 1). The ORR was 95.7% vs. 82.4% (OR 4.71; 95% CI 0.45–49.94) for
- 93 bortezomib/ixazomib-naive patients, 83.4% vs. 73.7% (OR 1.79; 1.10–2.92) for
- bortezomib/ixazomib-exposed patients, and 79.0% vs. 69.1% (OR 1.68; 0.80–3.55) for
- 95 bortezomib/ixazomib-refractory patients (Fig 2C). The MRD-negative CR rate was 21.7% vs.
- 96 0% (OR NE; NE–NE) for bortezomib/ixazomib-naive patients, 11.8% vs. 1.5% (OR 9.00; 2.13–
- 97 38.03) for bortezomib/ixazomib-exposed patients, and 7.0% vs. 1.8% (OR 4.07; 0.49–33.93) for
- 98 bortezomib/ixazomib-refractory patients (Fig 2C).
- 99

100 Best overall responses by LOT and prior bortezomib/ixazomib or lenalidomide

101 exposure/refractory status are shown in **Tables S2-4**.

102

103 Rates of any grade adverse events (AEs), grade \geq 3 AEs, AEs leading to carfilzomib or

104 daratumumab discontinuation, and deaths due to AEs were generally consistent across subgroups105 for KdD and Kd (summarized in Table S5).

106

107 The primary analysis of CANDOR demonstrated a clinically meaningful improvement in PFS, 108 ORR, and MRD-negative CR with KdD vs. Kd in patients with RRMM with 1–3 prior LOT.¹² In 109 this subgroup analysis of CANDOR, efficacy and safety results were generally consistent with 110 the benefit of KdD over Kd observed for the overall analyses in the intention-to-treat population. 111 At a median follow-up of ~17 months, PFS HRs for KdD vs. Kd ranged from 0.47–0.84 across 112 subgroups, comparable to the statistically significant PFS HR of 0.63 observed in the CANDOR 113 primary analysis. MRD-negative CR rates were higher for the KdD group than the Kd group, 114 regardless of previous drug exposure/refractory status. 115

116 Significant challenges exist when treating RRMM, given that efficacy diminishes with each

- 117 subsequent treatment.¹⁴ Effective treatments are needed at first relapse to achieve deep and
- 118 durable responses before further resistance develops. Our analysis showed a consistent benefit
- 119 with PFS HRs favouring KdD vs. Kd regardless of prior treatment, consistent with other studies

120 showing the value of adding agents with distinct mechanisms of action to established doublets to

121 overcome treatment resistance and enhance clinical efficacy in RRMM.¹⁵

122

123 Due to widespread use of frontline lenalidomide therapy for MM, there is also a need for 124 effective and tolerable lenalidomide-free regimens for later lines. Our subgroup analysis of 125 CANDOR showed evidence that PFS HRs favoured KdD vs. Kd, with median PFS not reached 126 in both lenalidomide-exposed and lenalidomide-refractory patients treated with KdD after a 127 median follow-up of ~ 17 months. These findings align with results from the overall population 128 in the primary CANDOR analysis, which also reported that median PFS was not reached with 129 KdD,¹² and compare favourably with the median PFS reported for other lenalidomide-free 130 regimens in lenalidomide-exposed or -refractory populations.² 131 132 In the safety analysis, rates of grade \geq 3 AEs and serious AEs were consistent for KdD and Kd 133 across subgroups. As in the primary CANDOR population, there were no new cardiovascular 134 safety risks with the addition of daratumumab to carfilzomib-dexamethasone in this analysis by 135 prior treatment. 136 Although subgroups were prespecified, the CANDOR study was not statistically powered for 137 138 subgroup analyses and results should be interpreted with caution. 139 In conclusion, prior therapy subgroup analyses results were generally consistent with the 140 141 favourable benefit-risk profile of KdD in the CANDOR primary analysis. These results uphold 142 KdD as an important treatment option for patients with RRMM, including for those with 143 previous exposure or resistance to bortezomib/ixazomib or lenalidomide. 144 145 Acknowledgements 146 Medical writing support was provided by Sachi Yim, PhD, and Andrew Gomes, PhD, of 147 Ashfield MedComms, an Ashfield Health Company, and was funded by Amgen, Inc. All authors 148 reviewed the manuscript, approved the final version, and support this publication. 149 150 **Author contributions**

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- 151 All authors (HQ, AN, OS, CPV, KK, TF, AS, SZU, SG, KS, SD, KW, MO, AZK, MVM)
- 152 participated in the conception and design of the study, analysis and interpretation of data, the
- 153 writing of the manuscript, and the decision to submit for publication. Patient data were collected
- 154 by HQ, AN, OS, CPV, KK, TF, AS, SZU, SG, KS, SD, KW, and MVM.
- 155

156 **Conflicts of interest**

157 HQ reports grants from Celgene and Amgen; consultancy and/or membership on an advisory 158 committee from Takeda, GlaxoSmithKline, Karyopharm, Celgene and Janssen; and free drug for 159 investigator-initiated study from Sanofi. AN reports consultancy and/or membership on an 160 advisory committee for Spectrum Pharmaceuticals, Bristol-Myers Squibb, Adaptive 161 Biotechnologies, Amgen, Celgene, Takeda, GlaxoSmithKline and Janssen. OS has no conflicts 162 to report. **CPV** has received honoraria from Johnson & Johnson, Celgene, Amgen and Takeda. 163 **KK** reports research funding from Celgene and has received honoraria from Takeda, Celgene, 164 Amgen and Janssen. TF served as a consultant or advisor for Janssen, Celgene, Amgen, Takeda, 165 Karvopharm and Oncopeptides, and served on the speakers' bureau for Janssen, Celgene and Takeda. AS has received honoraria and research funding from Celgene. SZU reports grants and 166 167 personal fees from Amgen, Celgene, Sanofi, Seattle Genetics, Janssen, Takeda and SkylineDX; 168 personal fees from Abbvie and MundiPharma; and grants from BMS and Pharmacyclics. SG has 169 no conflicts to report. KS reports research funding from Ono; honoraria and research funding 170 from BMS; and honoraria from Takeda, Janssen and Celgene. SD has no conflicts to report. KW 171 reports honoraria, consultancy fees and research funding from Amgen, Celgene, Janssen and 172 Sanofi; honoraria and consultancy fees from BMS, Adaptive Biotech and Takeda; honoraria 173 from GSK; and consultancy fees from Juno. MO and AZ-K report employment and equity 174 ownership in Amgen. M-VM reports consulting fees from Janssen, Celgene, Amgen, Takeda, 175 AbbVie, GSK, Pharmamar, Adaptive and EDOMundipharma.

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179 data collection/analysis/interpretation, writing of the clinical study report and the decision to

180 submit the paper for publication. All authors had full access to all the data in the study and had

181 final responsibility for the decision to submit for publication.

182

183 Supporting information

184 Additional supporting information may be found online in the Supporting Information section at

- 185 the end of the article.
- 186 Supplementary Methods
- 187 Table S1. Baseline characteristics by subgroup.
- 188 Table S2. Best overall response by number of prior lines of therapy.
- 189 Table S3. Best overall response by prior lenalidomide exposure.
- 190 Table S4. Best overall response by prior bortezomib/ixazomib exposure.
- 191 Table S5. Safety summary by subgroup.

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234 Figure legends

- 235 Fig 1. PFS in prior treatment subgroups. CI, confidence interval; HR, hazard ratio; Kd,
- 236 carfilzomib and dexamethasone; KdD, carfilzomib, dexamethasone and daratumumab; NE, not
- 237 estimable; PFS, progression-free survival. *Medians were estimated using the Kaplan-Meier
- 238 method; corresponding 95% CIs were estimated. [†]HRs and corresponding 95% CIs were
- 239 estimated using a stratified Cox proportional-hazards model. [‡]Two-sided p values were
- 240 calculated using Gail and Simon interaction tests. §Based on the Interactive Voice and Web
- 241 Response System at the time of randomisation. Five patients in the prior bortezomib/ixazomib
- subgroups were exposed to ixazomib (KdD, n=2; Kd, n=3).
- 243
- Fig 2. ORR and MRD-negative CR rates by (A) number of prior lines of therapy, (B) prior
- 245 lenalidomide exposure and (C) prior bortezomib/ixazomib exposure. CR, complete response; Kd,
- 246 carfilzomib and dexamethasone; KdD, carfilzomib, dexamethasone and daratumumab; MRD,
- 247 minimal residual disease; NE, non-estimable; ORR, overall response rate. All p values are 1-
- sided and derived from Fisher's exact test. *Defined as achievement of CR (including stringent
- complete response) per IMWG-URC by IRC and MRD-negative status as assessed by NGS (10⁻⁵
- 250 sensitivity) at 12 months. [†]Five patients in the prior bortezomib/ixazomib subgroups were
- 251 exposed to ixazomib (KdD, n=2; Kd, n=3).
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