Stevens, Victoria; American Cancer Society,	
McCullough, Marjorie; American Cancer Society, Epidemiology and	
Surveillance Research Department,	
Weinstein, Stephanie; National Cancer Institute, Division of Cancer	
Epidemiology and Genetics	
Albanes, Demetrius; National Cancer Institute, Division of Cancer	
Epidemiology and Genetics	
Ziegler, Regina: National Cancer Institute, Division of Cancer Epidemiolog	av
and Genetics	,,
Freedman, Neal: National Cancer Institute, Division of Cancer Enidemiolo	vn
and Genetics	97
Landbammer Arnulf: Norwegian University of Science and Technology	
HINT Received Annual Control Department of Dublic Health and Nursing Equility	,
a for the second control of the second control of the second seco	′
University of Science and Technology, HUNT	.
Riveen, Kristian, Norwegian University of Science and Technology, How	
Research Centre, Department of Public Health and Nursing, Faculty of	
Medicine and Health Science	
Nass, Marit; Norwegian University of Science and Technology, HUNT	
Research Centre, Department of Public Health and Nursing, Faculty of	
Medicine and Health Science	
Sesso, Howard; Brigham and Women's Hospital Division of Preventive	
Medicine; Harvard T.H. Chan School of Public Health, Department of	
Epidemiology	
Buring, Julie; Brigham and Women's Hospital and Harvard Medical Schoo	I,
Dept of Ambulatory Care and Prevention; Harvard T.H. Chan School of	
Public Health, Department of Epidemiology	
Lee, I-Min; Harvard School of Public Health, Department of Epidemiology	;
Harvard School of Public Health, Department of Epidemiology	
Gaziano, Michael; Brigham and Women's Hospital; VA Boston Healthcare	
System	
Severi, Gianluca; Human Genetics Foundation; COMUE Universite Paris-	
Saclay	
Zhang, Xuehong; Harvard Medical School, Medicine; Brigham and Wome	n's
Hospital,	
Stampfer, Meir; Brigham and Women's Hospital, ; Harvard Medical Scho	ol,
Medicine	
Han, Jiali; Harvard Medical School, Channing Laboratory, Medicine-Bright	am
and Women's Hospital	
Smith-Warner, Stephanie; Harvard T.H. Chan School of Public Health,	
Department of Epidemiology	
Zeleniuch-Jacquotte, Anne; New York University School of Medicine,	
Environmental Medicine	
Le Marchand, Loic; University of Hawaii Cancer Center, Epidemiology	
Program	
Yuan, Jian-Min; University of Pittsburgh, Epidemiology	
Wang, Renwei; University of Pittsburgh, Epidemiology	
Butler, Lesley ; University of Pittsburgh, Epidemiology	
Koh, Woon-Puay; National University of Singapore. Department of	
Epidemiology and Public Healthand Family Medicine	
Gao, Yu-Tang; Shanghai Jiaotong University - Shanghai Cancer Institute	
Department of Epidemiology	
Rothman, Nathaniel: National Cancer Institute, Div of Cancer Enidemiolog	av
& Genetics:	51
Fricson, Urlika: Lund University Department of clinical sciences	
Sonestedt Emily: Lunds Universitet Department of clinical sciences	
Visvanathan Kala: 34 Johns Honkins Bloomberg School of Public Health	
and Johns Honkins Sidney Kimmel Comprehensive Center, School of	
Medicine	
Jones Miranda - 34 Johns Hankins Bloomhord School of Public Haalth ar	hd
Joines, minariua, 54. Joinis Ropkins Divolitiery School of Public Redicing	u
Politis Hopkins Siuney Kinnier Comprehensive Center, School of Medicine	
	.ie

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the <u>Version of record</u>. Please cite this article as <u>doi:10.1002/ijc.31215</u>.

	University, Institute of Genetic Medicine Brennan, Paul; International Agency for Research on Cancer, Genetic Epidemiology Group Johansson, Mattias; International agency for research on cancer, Ulvik, Arve; Bevital AS
Key Words:	Functional vitamin B6 marker ; 3-hydroxykynurenine:xanthurenic acid; Lung cancer cohort consortium, Lung Cancer

anuso S Author

# SCHOLARONE<sup>™</sup> Manuscripts

1 Impaired functional vitamin B6 status is associated with increased

2 risk of lung cancer

- 3
- 4 Research Article
- 5

# 6 Authors

7 Despoina Theofylaktopoulou<sup>1</sup>, Øivind Midttun<sup>2,\*</sup>, Per M. Ueland<sup>1,3</sup>, Klaus Meyer<sup>2</sup>, Anouar Fanidi<sup>4,5</sup>, Wei Zheng<sup>6</sup>, Xiao-Ou Shu<sup>6</sup>, Yong-Bing Xiang<sup>6,7</sup>, 8 Ross Prentice<sup>8</sup>, Mary Pettinger<sup>8</sup>, Cynthia A. Thomson<sup>9</sup>, Graham G Giles<sup>11,12</sup>, 9 Allison Hodge<sup>11,12</sup>, Qiuyin Cai<sup>6</sup>, William J. Blot<sup>6</sup>, Jie Wu<sup>6</sup>, Mikael Johansson<sup>12</sup>, 10 Johan Hultdin<sup>13</sup>, Kjell Grankvist<sup>13</sup>, Victoria L. Stevens<sup>14</sup>, Marjorie M. 11 McCullough<sup>14</sup>, Stephanie J. Weinstein<sup>15</sup>, Demetrius Albanes<sup>15</sup>, Regina 12 Ziegler<sup>15</sup>, Neal D. Freedman<sup>15</sup>, Arnulf Langhammer<sup>16</sup>, Kristian Hveem<sup>16</sup>, Marit 13 Næss<sup>16</sup>, Howard D. Sesso<sup>17,18,19</sup>, J. Michael Gaziano<sup>18</sup>, Julie E. Buring<sup>17,19</sup>, I-14 Min Lee<sup>17,19</sup>, Gianluca Severi<sup>21,22</sup>, Xuehong Zhang<sup>23</sup>, Meir J. Stampfer<sup>23,24,25</sup>, 15 Jiali Han<sup>24</sup>, Stephanie A. Smith-Warner<sup>24,25</sup>, Anne Zeleniuch-Jacquotte<sup>26</sup>, Loic 16 le Marchand<sup>27</sup>, Jian-Min Yuan<sup>28,29</sup>, Renwei Wang<sup>28</sup>, Lesley M. Butler<sup>28,29</sup>, 17 Woon-Puay Koh<sup>30</sup>, Yu-Tang Gao<sup>31</sup>, Nathaniel Rothman<sup>32</sup>, Ulrika Ericson<sup>33</sup>, 18 Emily Sonestedt<sup>33</sup>, Kala Visvanathan<sup>34</sup>, Miranda R. Jones<sup>34</sup>, Caroline 19 Relton<sup>35,36</sup>, Paul Brennan<sup>4</sup>, Mattias Johansson<sup>4</sup>, Arve Ulvik<sup>2</sup> 20

- 21 \* Corresponding author:
- 22 email: Bjorn.Midttun@uib.no, phone: +47 55 97 46 04.
- 23 Adress: Postboks 7804, 5020 Bergen Norway
- 24
- 25 1. Department of Clinical Science, University of Bergen, Norway
- 26 **2**. Bevital AS, Bergen, Norway
- Laboratory of Clinical Biochemistry, Haukeland University Hospital,
   Bergen, Norway
- 4. Genetic Epidemiology Group, International Agency for Research onCancer, Lyon, France
- 31
   5. MRC Epidemiology Unit, University of Cambridge, Cambridge, United Kingdom
- 6. Division of Epidemiology, Department of Medicine, Vanderbilt
  Epidemiology Center, Vanderbilt-Ingram Cancer Center, Vanderbilt
  University School of Medicine, Nashville, USA
- Department of Epidemiology, Shanghai Cancer Institute, Renji
   Hospital, Shanghai Jiaotong University School of Medicine, Shanghai,
   China
- B. Division of Public Health Sciences, Fred Hutchinson Cancer researchCenter, Seattle, USA

41 9. Health Promotion Sciences, Mel and Enid Zuckerman College of Public
42 Health, University of Arizona, Tucson, Arizona, USA
43 10. Cancer Epidemiology Centre, Cancer Council Victoria, Melbourne,
44 Victoria, Australia
45 11. Centre for Epidemiology and Biostatistics, Melbourne School of
46 Population and Global Health, University of Melbourne, Victoria,
4/ Australia
48 12. Department of Radiation Sciences, Oncology, Umea University, Umea,
49 SWeden
50 13. Department of Medical Biosciences, Office Oniversity, Office, Sweden
51 14. Epidemiology Research Program, American Cancer Society, Atlanta,
52 GA, USA
53 15. Division of Cancer Epidemiology and Genetics, National Cancer
54 Institute, National Institutes of Realth, Bethesua, Marylanu, USA
55 FIO. HONT Research Centre, Department of Public Health and Nursing,
50 Faculty of Medicine and Health Science, NTNO, Norwegian Oniversity
57 Of Science and Technology, 110nunenii, Norway
58 17. Division of Preventive Medicine, Brignam and Women's Hospital,
59 DOSIOII, IMA, USA
60 10. Division of Aging, Brigham and Women's Rospital, Boston, WA USA
61 I9. Department of Epidemiology, Harvard T.H. Charl School of Public
62 20 VA Boston Healthcare System Boston MALISA
64 21 Human Genetics Foundation (HuGeF) Torino Italy
65 22 CESP (U1018 INSERM) Facultés de médecine Université Paris-Sud
66 LIVSO Université Paris-Saclay Villeiuif France
67 23 Channing Division of Network Medicine Department of Medicine
68 Brigham and Women's Hospital and Harvard Medical School, Boston,
69 MA. USA
70 24. Department of Epidemiology, Harvard T. H. Chan School of Public
71 Health, Boston, MA, USA
72 25. Department of Nutrition, Harvard T.H. Chan School of Public Health,
73 Boston, MA, USA
74 26. Department of Population Health, New York University School of
75 Medicine, USA
76 27. Epidemiology Program, University of Hawaii Cancer Center, Honolulu,
77 HI, USA
78 28. Division of Cancer Control and Population Sciences, University of
79 Pittsburgh Cancer Institute, Pittsburgh, Pennsylvania, USA
80 29. Department of Epidemiology, Graduate School of Public Health,
81 University of Pittsburgh, Pittsburgh, Pennsylvania, USA
30. Duke-NUS Medical School, Singapore and Saw Swee Hock School of
83 Public Health, National University of Singapore, Singapore
54 31. Department or Epidemiology, Shanghai Cancer Institute, Shanghai
55 Jiaolong University, Shanghal, Unina 96 22 Division of Concer Enidemiology & Consting Occupational and
52. Division of Gancer Epidemiology & Genetics, Occupational and 87 Environmental Epidemiology Branch National Cancer Institute:
88 Rockville USA
80 33 Department of clinical sciences Malmö Lund University Sweden
by bepartment of onnoal solences maine, Eand Oniversity, Oweden

90 91 92 93 94 95	34. Johns Sidne 35. Institu 36. MRC Medic	Hopkins Bloomberg School of Public Health and Johns Hopkins y Kimmel Comprehensive Center, School of Medicine, USA ute of Genetic Medicine, Newcastle University, Newcastle, UK Integrative Epidemiology Unit, School of Social & Community cine, University of Bristol, Bristol, UK
96	Abbreviatio	ns
97	PLP	pyridoxal 5'-phosphate
98	HK:XA	3-hydroxykynurenine:xanthurenic acid
99	СІ	confidence interval
100	EPIC	European Prospective Investigation into Cancer and Nutrition
101	ATBC	Alpha-Tocopherol, Beta-Carotene Cancer Prevention
102	OR	odds ratio
103	LC3	Lung Cancer Cohort Consortium
104		
105	Keywords:	Pyridoxal 5'-phosphate; Functional vitamin B6 marker ; 3-

106 hydroxykynurenine:xanthurenic acid; Lung cancer cohort consortium

# 107 **Novelty and Impact**

- 108 Low vitamin B6 status, assessed by circulating pyridoxal 5'-phosphate (PLP),
- 109 has been associated with increased risk of lung cancer. However, factors
- 110 other than vitamin B6 status may contribute to lower PLP, possibly
- 111 confounding its association with lung cancer. In the present study we
- demonstrated, by using a novel functional biomarker of B6 status that
- 113 impaired functional vitamin B6 status was associated with increased risk of
- 114 lung cancer, especially squamous cell carcinoma.

115

#### 116 Abstract

117

118 Circulating vitamin B6 levels have been found to be inversely associated with 119 lung cancer. Most studies have focused on the B6 form pyridoxal 5'-120 phosphate (PLP), a direct biomarker influenced by inflammation and other 121 factors. Using a functional B6 marker allows further investigation of the 122 potential role of vitamin B6 status in the pathogenesis of lung cancer. We 123 prospectively evaluated the association of the functional marker of vitamin B6 124 status, the 3-hydroxykynurenine:xanthurenic acid ratio (HK:XA), with risk of 125 lung cancer in a nested case-control study consisting of 5,364 matched case 126 control pairs from the Lung Cancer Cohort Consortium (LC3). We used 127 conditional logistic regression to evaluate the association between HK:XA and 128 lung cancer, and random effect models to combine results from different 129 cohorts and regions. High levels of HK:XA, indicating impaired functional B6 130 status, were associated with an increased risk of lung cancer, the odds ratio 131 comparing the fourth and the first quartiles (OR 4th vs 1st) was 1.25 [95% 132 confidence interval, 1.10-1.41]. Stratified analyses indicated that this 133 association was primarily driven by cases diagnosed with squamous cell 134 carcinoma. Notably, the risk associated with HK:XA was approximately 50% 135 higher in groups with a high relative frequency of squamous cell carcinoma, 136 i.e. men, former and current smokers. This risk of squamous cell carcinoma 137 was present in both men and women regardless of smoking status.

138

139 140

# 141 Introduction

Lung cancer is the most common cause of cancer related death, 142 143 contributing to almost 20% of all cancer deaths worldwide <sup>1</sup>. The four major histological types of lung cancer are adenocarcinomas, squamous cell 144 145 carcinomas, large cell carcinomas, and small cell carcinomas. The most 146 important risk factor for lung cancer is smoking, but the strength of the association depends on the type of lung cancer<sup>2</sup>. Some lung cancer types 147 148 like small cell and squamous cell carcinomas occur almost exclusively due 149 to smoking, while others, like adenocarcinomas, also occur frequently in non-smokers<sup>2</sup>. 150

151 Vitamin B6 may play a role in carcinogenesis, since it is involved in 152 DNA synthesis, methylation, and repair <sup>3</sup>, chromosomal stability <sup>4</sup> and 153 oxidative stress <sup>5</sup>. Indeed, circulating B6 measured as pyridoxal 5'-154 phosphate (PLP) was found to be inversely associated with lung cancer risk in two earlier case control studies, nested in prospective cohorts <sup>67</sup>, but in a 155 156 recent analysis within the Lung Cancer Cohort Consortium (LC3), vitamin 157 B6 was found to be only marginally associated with cancer risk in former and current smoking men<sup>8</sup>. 158

However, circulating levels of the vitamin B6 measure used in these papers, the widely used PLP, are influenced by factors other than vitamin B6 status. These factors include inflammation, alkaline phosphatase activity, low serum albumin and renal function <sup>9</sup>, and reduce the usefulness of PLP as a marker of vitamin B6 status. 164 A recently established functional marker of vitamin B6 status is the 165 ratio of circulating levels of two metabolites in the kynurenine pathway of 166 tryptophan metabolism, 3-hydroxykynurenine (HK) and xanthurenic acid 167 (XA), i.e. HK:XA [4]. The conversion of HK to XA is catalyzed by the PLP-168 dependent enzyme kynurenine aminotransferase, while the formation of HK does not require PLP<sup>10</sup>. The substrate-product ratio HK:XA has been 169 170 shown to increase in B6 deficient individuals and reduced to normal levels after supplementation with B6<sup>10</sup>. 171

Given the drawbacks of PLP as a marker of vitamin B6 status, the aim of the present study was to use the functional vitamin B6 marker HK:XA to further investigate the role of vitamin B6 status as a predictor of lung cancer risk. The study used data from over 5,000 cases-controls pairs from the Lung Cancer Cohort Consortium (LC3), nested within 20 prospective cohorts from the USA, Europe, Asia and Australia.

178

#### 179 Methods

#### 180 **Study population**

All prospective cohort studies within the National Cancer Institute (NCI) Cancer Consortium were invited to participate in the study. Twenty cohorts, from USA (11 cohorts), Europe (total of 4 cohorts from Norway, Sweden, and Finland), Asia (4 cohorts consisting of Chinese populations residing in Shanghai and Singapore) and Australia (1 cohort), fulfilled the inclusion criteria (having cryopreserved baseline plasma or serum samples, and being members of the US National Cancer Institute (NCI) Cohort Consortium in

- 188 2009) and accepted to participate. Details on design of the cohorts and their
   189 follow-up procedures have been previously published <sup>8</sup>.
- 190

# 191 Selection of cases and controls

Lung cancer cases were defined on the basis of the International 192 193 Classification of Diseases for Oncology, Second Edition (ICD-O-2) and 194 included invasive cancers coded as C34.0-C34-9. From the 11,399 incident 195 lung cancer cases with pre-diagnostic blood samples, 5,545 cases were 196 selected by oversampling never and former smoking cases. For each case, 197 one control was randomly chosen from risk-sets consisting of all cohort 198 members alive and free of cancer (except non-melanoma skin cancer) at the 199 time of diagnosis of the index case. Matching criteria were cohort, sex, date of 200 blood collection, and date of birth. Controls were also matched by smoking 201 status at time of blood collection in 5 categories; never smokers, short and 202 long term quitters among former smokers (<10 years, ≥10 years since 203 quitting), and light and heavy smokers among current smokers (< 15,  $\geq$ 15) 204 cigarettes per day). In total, 5,364 lung cancer case-control pairs were eligible 205 for inclusion after excluding cases who were not correctly matched on 206 smoking status (n=124), who had insufficient plasma sample volume for 207 analysis of biomarkers (n=42), or had a revised date of diagnosis prior to 208 blood draw (n=13)<sup>8</sup>.

209

#### 210 **Biochemical analyses**

Analysis of all serum or plasma samples was performed in the Bevital A/S laboratory (http://www.bevital.no) in Bergen, Norway. Concentrations of

HK, XA, PLP and cotinine, a marker of recent nicotine exposure <sup>11</sup> were 213 determined using a liquid chromatography-tandem MS assay <sup>12</sup>, and C-214 reactive protein (CRP) was analysed by immuno-MALDI-MS<sup>13</sup> in batches of 215 216 Quality control procedures included 6 calibration plasma, 2 86 samples. 217 control plasma, and 1 blank sample (water) in each batch. All blood samples 218 were stored at -80°C or lower until analysis and cases and their matched 219 controls were analyzed together within the same batches in random order, 220 with laboratory staff blinded to case-control status. Further details on the 221 biochemical analyses have been published elsewere<sup>8</sup>.

222

#### 223 Statistical analysis

We used conditional logistic regression (conditioning on individual case 224 225 sets) to calculate the odds ratios (OR) with 95% confidence intervals (CI) for 226 lung cancer according to levels of HK:XA. The analysis was adjusted for 227 smoking intensity using quartiles of cotinine concentrations based on the 228 distribution of cotinine among current smokers. We performed analyses within each cohort, comparing the fourth to the first quartile (OR 4th vs 1st) of HK:XA. 229 230 Results were combined for each region (United States [USA], Europe, Asia, 231 Australia), and for the overall study population by using random effects 232 models. Heterogeneity across subgroups was quantitatively assessed by the Q-test and I<sup>2</sup> index<sup>14</sup>. 233

We further performed stratified analyses for sex, smoking category (never, former, and current smokers), histology of lung cancer (by HK:XA tertiles), and time between blood sample collection and diagnosis. Due to the large differences in vitamin status between regions<sup>15</sup>, quartiles (or tertiles) of

# John Wiley & Sons, Inc.

238 concentrations for each biomarker were based on the distribution among 239 controls by region. We additionally used conditional logistic regression for 240 calculating the odds ratio for lung cancer across quartiles by region and for 241 the total population, using the first quartile as reference. Quartiles were 242 included as a continuous variable to calculate p for trend.

243 In supplementary analysis stratified by histology in addition to smoking 244 status or sex we included HK:XA as a continuous variable, using the base-2 245 logarithm (log2) of the biomarker in a conditional logistic regression model. 246 Estimates from this model may be interpreted as the relative risk associated 247 with a doubling in circulating biomarker concentration. Partial Spearman 248 correlations adjusted for age and sex were used to describe the association 249 between HK:XA and PLP, and both biomarkers with cotinine. All statistical analyses were conducted using R 3.2.2 for Macintosh <sup>16</sup>. The package 250 "survival"17 was used for conditional logistic regression, and package 251 "metafor" for forestplots <sup>18</sup>. 252

253

#### 254 **Results**

#### 255 **Study population**

The final study population included 5,364 lung cancer cases and 5,364 matched controls, with a median age of 62 years at blood sample collection (Table 1). Median time between blood draw and lung cancer diagnosis was 6.3 years. Of the total study population, 46% of the participants were women. At baseline, nearly half of the participants were current smokers, and one fourth were former, and never smokers, respectively (Table 1). Due to different inclusion criteria in the original cohorts, five cohorts (Health 263 Professionals Follow-up Study, Physicians Health Study, ATBC, The 264 Shanghai Cohort Study and The Shanghai Mens' Health Study) included only 265 men, and five cohorts (WHI, NYUWHS, WHS, NHS and SWHS) only women 266 (Figure 1). The prevalence of smoking also differed substaintially between 267 cohorts (Figure 1).

268

#### 269 Determinants of HK:XA within the LC3

270 HK:XA varied somewhat across regions, (median values ranging from 271 2.88 to 3.28 among controls) with the lowest level among Australian controls 272 and the highest among Europeans. Larger variations were observed for 273 plasma PLP, with the highest concentrations in the controls from US cohorts 274 (median 49.9 nmol/L) and the lowest concentrations among the European 275 cases, at 28.1 nmol/L. We observed an inverse relation between HK:XA and 276 PLP (Spearman rho =-0.37), while smoking was essentially not associated 277 with HK:XA (rho =0.11), but was inversely related to plasma PLP (rho =-0.30) 278 (all p<0.001).

279

#### 280 **HK:XA and lung cancer**

Random effects models were used to investigate the relation of HK:XA with risk of lung cancer across geographic regions because the heterogeneity by cohort varied significantly across the geographic regions (Supplemental Table S1). Overall, high levels of HK:XA (4th vs. 1st quartile) were associated with a 25% increased risk for lung cancer (Figure 2). However, results differed across regions with positive associations observed in Europe, with an odds ratio comparing the fourth and the first quartiles (95% confidence interval) of 1.43 (1.06, 1.95), and the USA 1.31 (1.05, 1.62), but no association in Asia or
Australia (Figure 2). Results were similar when using quartiles based on the
distribution of each region, instead of cohort specific cut-offs (Supplemental
Table S2).

The weakest associations were observed in cohorts that included only women. When those cohorts (The Women's Health Intiative, The New York University Women's Health Study, Women's Health Study and Nurses Health Study) were excluded, the association of HK:XA with risk of lung cancer in the USA was similar, 1.41 (1.15, 1.46), to that seen in Europe. Additional adjustment for CRP, a marker for systemic inflammation, did not attenuate the risk association for HK:XA (data not shown).

299

#### 300 Analyses stratified by sex and smoking

301 In analysis stratified by sex the overall association between HK:XA and 302 lung cancer risk was primarily seen among men, with a 50% increased risk of 303 lung cancer when comparing fourth vs. first guartile (Figure 3). No significant 304 association was observed for women, (p heterogeneity = 0.01 and  $l^2$  = 62.4%, 305 Supplemental Table S3). Smoking habits differed between sexes, with the 306 proportion of never smokers much higher among women (Figure 1). A similar 307 effect modification was present for smoking categories, with the association 308 between HK:XA and lung cancer limited to current and former smokers (p for heterogeneity =0.18,  $I^2$  = 30.1%, Supplemental Table S4) (Figure 4). 309

310

#### 311 Histology of lung cancer

Histology of lung cancer differed according to smoking status, with squamous cell carcinoma being more common among current and former smokers (28% and 20% respectively, compared to 6% among never smokers) and in men compared to women (29% vs. 10%). In analysis according to histology of lung cancer in the overall population, HK:XA was related to an increased risk for squamous cell carcinoma OR (95%CI) 1.42 (1.10, 1.82) for 318 3<sup>rd</sup> vs. 1<sup>st</sup> tertile, but not with other histological types (data not shown).

319 This association with HK:XA and squamous cell carcinoma was 320 consistently present in subgroup analysis by both sex and smoking status. 321 Specifically, for a continuous log2 model, representing a doubling of HK:XA 322 concentrations, the OR (95%CI) was 1.20 (1.02, 1.41) in men, 1.59 (1.20, 323 2.10) in women (Supplemental Figure S2). In current smokers the OR (95% 324 CI) was 1.22 (1.02, 1.46), in former smokers 1.37 (1.08, 1.73), and in never 325 smokers 1.59 (0.90, 2.80), even though in this last group the confidence 326 interval was quite wide due to the low number of cases (Supplemental figure 327 S1).

328

#### 329 **Time to diagnosis**

In analysis stratified by time to diagnosis, the association was limited to participants who were diagnosed with lung cancer within 36 months from blood draw,  $OR_{log2}$  (95%Cl) 1.43 (1.27, 1.61) for a doubling in the concentration of HK:XA. No significant association between HK:XA and lung cancer risk was observed for those with a longer time between blood draw and diagnosis (p for heterogeneity <0.001).

336

### 337 **Discussion**

#### 338 Main findings

339 High levels of HK:XA, indicating an impaired functional vitamin B6 340 status, were associated with an increased risk of lung cancer. In stratified 341 analysis the risk of lung cancer was approximately 50% higher for those in the 342 highest category of HK:XA in men, and in former and current smokers, but not 343 significant in women or never smokers. In analysis stratified by histology 344 HK:XA was associated with an increased risk of squamous cell carcinoma, 345 but not other histological types. When histopathology subtype of lung cancer 346 was considered, a consistent association was found for squamous cell 347 carcinoma regardless sex and smoking status. The lack of association of 348 HK XA with overall lung cancer among women and never smokers could be at 349 least partly attributed to the low number of cases of squamous cell carcinoma 350 in those strata of the present study population.

351

#### 352 **Comparison with previous findings**

Overall, our findings are in agreement with published results on the B6 vitamer PLP and cancer risk <sup>19</sup>, even though stronger inverse associations were noted in relation to lung cancer in the EPIC <sup>6</sup> and ATBC <sup>7</sup> studies. Concordant with the current study, we recently observed an inverse association of PLP with lung cancer risk in LC3, an association that was primarily confined to former and current smoking men <sup>8</sup>.

We observed a positive association between HK:XA and risk of squamous cell carcinoma, but no significant association with other histological types of lung cancer. This observation is also in line with a previous

observation of an inverse association between plasma PLP and risk of cancer
 primarily classified as squamous cell carcinoma <sup>8</sup>.

364 In EPIC an inverse association of PLP on lung cancer was also 365 observed in never smokers, but the number of cases that were never smokers 366 was low  $(n=96)^{6}$ , and this results should be viewed with caution.

In a previous cohort study where PLP and HK:XA were simultaneously assessed as predictors of cancer no clear association was found for any of the two markers. However, this study had limited statistical power due to the small number of cases ( $n_{cases}$ =85)<sup>20</sup>.

371

#### 372 HK:XA as a marker of vitamin B6 status and predictor of lung cancer

373 There are consistent reports on plasma PLP as a predictor of cancer in the lungs <sup>6, 7</sup> and other organs <sup>19</sup>. Plasma PLP is the most commonly used 374 375 marker of vitamin B6 status, but plasma PLP concentrations are reduced by 376 several factors linked to lung cancer carcinogenesis or progression, such as smoking <sup>21</sup>, inflammation measured as CRP <sup>22-24</sup>, and increased level of 377 alkaline phosphatase <sup>25</sup>. On the other hand, inflammation and elevated 378 379 alkaline phosphatase (ALP) are not associated with impaired vitamin B6 380 availability in tissues <sup>9</sup>.

Smoking is associated with lower levels of PLP, and vitamin B6 status gradually improves over years after smoking cessation <sup>26</sup>. In contrast, smoking shows no or a weak association with the HK:XA ratio <sup>10</sup>, an observation confirmed in the present study. In the current study, cases and controls were matched for smoking status and we additionally adjusted for smoking intensity, using circulating cotinine concentrations. We cannot

> John Wiley & Sons, Inc. This article is protected by copyright. All rights reserved.

exclude residual confounding by smoking, but since the association between
HK:XA and lung cancer was also present in former smokers, confounding by
smoking is unlikely.

390 CRP is inversely associated with plasma PLP <sup>27, 28</sup> but shows a weak 391 positive association with HK:XA <sup>10</sup>. After additional adjustment for CRP, the 392 risk estimates of HK:XA and lung cancer remained essentially the same, 393 suggesting no or minor confounding from inflammation. Elevated ALP may 394 reduce PLP through conversion to pyridoxal (PL) <sup>9</sup>, but HK and XA are not 395 substrates for ALP, and one would not expect any direct effects from ALP on 396 the plasma levels of these metabolites.

397 Similarly to the findings on PLP in the LC3 study <sup>8</sup>, the association 398 between HK:XA and lung cancer was stronger among participants with a short 399 time between blood draw and diagnoses.

400 Therefore, it is possible that the observed association between HK:XA 401 and risk may reflect impaired vitamin B6 status due to pre-clinical changes in 402 lung cancer.

403

#### 404 **Strengths and limitations of the study**

The present study is based on a an unprecedented sample of 5,364 pre-diagnostic blood samples from lung cancer cases with comparable control samples recruited in 20 prospective cohorts from around the world. The prospective study design minimizes the risk of reverse causality and selection bias. The use of a centralized laboratory with a stringent quality control protocols and cases and matched controls analyzed together minimizes any technical differential bias, and an overrepresentation of never and former smokers provided adequate power for stratified analysis. By using a functional
marker that is largely independent on factors that are related to circulating
PLP, we found a clear inverse relation of vitamin B6 status with risk of lung
cancer.

There was only one blood sample available for measurement of biomarkers for each participant, so the association between HK:XA and lung cancer may be attenuated due to regression dilution bias. It is possible that depending on the time of the blood draw and the length of study follow-up, the single measurement may not represent the exposure period most relevant for lung cancer development. Lastly, information on the histology of lung cancer was missing for 34 % of the participants.

423

#### 424 **Conclusions**

425 Our findings provide evidence for an inverse association of functional 426 vitamin B6 status and risk of lung cancer, especially squamous cell 427 carcinoma. This expands our understanding beyond what can be concluded 428 from the modest relation observed for the direct vitamin B6 marker PLP<sup>8</sup>, 429 circulating levels of which is influenced by factors other than vitamin B6 430 status, in this same study. It is recommended that future studies strive for a sample size large enough to provide the power necessary for analysis 431 432 stratified by duration of follow-up, smoking and histology given the potential 433 differences of the role of vitamin B6 in pathogenesis and progression of 434 different histological cancer types.

435

#### 436 Acknowlegements

437

438 The Lung Cancer Cohort Consortium (LC3) was supported by NIH / NCI grant 439 1U01CA155340-01 and Australian National Health and Medical Research Committee 440 grant 1050198. SWHS was/is supported by R37 CA070867 and UM1 CA182910, 441 SMHS by R01 CA082729 and UM1 CA173640 from the U.S. National Cancer 442 Institute. SCCS is supported by R01 CA092447 and U01 CA202979 from the U.S. 443 National Cancer Institute. The Multiethnic Cohort Study was funded in part by grant 444 U01 CA164973. The ATBC Study is supported by the Intramural Research Program 445 of the U.S. National Cancer Institute, National Institutes of Health, and by U.S. Public 446 Health Service contract HHSN261201500005C from the National Cancer Institute, 447 Department of Health and Human Services. CLUE thank the participants and staff for 448 their contributions, as well as the Maryland Cancer Registry, Center for Cancer 449 Surveillance and Control, Department of Health and Mental Hygiene, 201 W. Preston 450 Street, Room 400, Baltimore, MD 21201, http://phpa.dhmh.maryland.gov/cancer, 451 410-767-4055. CLUE acknowledge the State of Maryland, the Maryland Cigarette 452 Restitution Fund, and the National Program of Cancer Registries of the Centers for 453 Disease Control and Prevention for the funds that support the collection and 454 availability of the cancer registry data. The Prostate Lung Colorectal Ovarian Cancer 455 Screening Trial (PLCO) is supported by contracts from the Division of Cancer 456 Prevention and intramural research funding from the Division of Cancer 457 Epidemiology and Genetics, National Cancer Institute, U.S. National Institutes of 458 Health (NIH), Department of Health and Human Services (DHHS). PLCO was 459 supported by the National Institutes of Health (NIH) grants, UM1CA167552, 460 UM1CA186107, P01CA87969, and R01CA49449. The content is solely the 461 responsibility of the authors and does not necessarily represent the official views of 462 the NIH. CR is supported by CRUK (C18281/A19169) and the Medical Research 463 Council Integrative Epidemiology Unit at the University of Bristol with funds from 464 the MRC (MC UU 12013/2) and the University of Bristol. The funding 465 organizations had no role in design and conduct of the study; collection, management, 466 analysis, and interpretation of the data; preparation, review, or approval of the 467 manuscript. 468

#### **Competing interests** 469

470 LMB is an employee of Genetech Inc. as of September 16.

- 471
- 472

# 473

474 References

- 475
- 476

477 1. Ferlay J SI, Ervik M, Dikshit R, et al. Cancer Incidence and Mortality 478 Worldwide: IARC CancerBase No. 11. GLOBOCAN 2012 v1.0.: Lyon, France: 479 International Agency for Research on Cancer 2013.

480 2. Khuder SA. Effect of cigarette smoking on major histological types of 481 lung cancer: a meta-analysis. *Lung Cancer* 2001;31: 139-48.

482 3. Ames BN. DNA damage from micronutrient deficiencies is likely to be a 483 major cause of cancer. Mutat Res 2001;475: 7-20.

484 4. Ames BN, Wakimoto P. Are vitamin and mineral deficiencies a major 485 cancer risk? Nat Rev Cancer 2002;2: 694-704.

486 5. Wondrak GT, Jacobson EL. Vitamin B6: Beyond Coenzyme Functions. In: 487 Stanger O. Water Soluble Vitamins: Clinical Research and Future Applicationed. 488 Dordrecht: Springer Netherlands, 2012: 291-300.

489 6. Johansson M, Relton C, Ueland PM, et al. Serum B vitamin levels and risk 490 of lung cancer. JAMA 2010;303: 2377-85.

491 7. Hartman TJ, Woodson K, Stolzenberg-Solomon R, et al. Association of 492 the B-vitamins pyridoxal 5'-phosphate (B(6)), B(12), and folate with lung cancer 493 risk in older men. Am J Epidemiol 2001;153: 688-94.

494 8. Fanidi A, Muller D, Yuan JM, et al. Circulating Folate, Vitamin B6 and 495 Methionine in relation to Lung Cancer Risk in the Lung Cancer Cohort 496 Consortium (LC3). Journal of the national cancer institute 2017; In press.

497 9. Ueland PM, Ulvik A, Rios-Avila L, et al. Direct and Functional 498 Biomarkers of Vitamin B6 Status. Annu Rev Nutr 2015;35: 33-70.

499 10. Ulvik A, Theofylaktopoulou D, Midttun O, et al. Substrate product 500 ratios of enzymes in the kynurenine pathway measured in plasma as indicators 501 of functional vitamin B-6 status. Am J Clin Nutr 2013;98: 934-40. 502 11. Seccareccia F, Zuccaro P, Pacifici R, et al. Serum Cotinine as a Marker 503 of Environmental Tobacco Smoke Exposure in Epidemiological Studies: The 504 Experience of the MATISS Project. Eur J Epidemiol 2003;18: 487-92. 505 12. Midttun Ø, Hustad S, Ueland PM. Quantitative profiling of biomarkers 506 related to B-vitamin status, tryptophan metabolism and inflammation in human 507 plasma by liquid chromatography/tandem mass spectrometry. Rapid 508 *Communications in Mass Spectrometry* 2009;23: 1371-9. 509 13. Meyer K, Ueland PM. Targeted Quantification of C-Reactive Protein 510 and Cystatin C and Its Variants by Immuno-MALDI-MS. Anal Chem 2014;86: 511 5807-14. 512 14. Higgins JP, Thompson SG. Quantifying heterogeneity in a meta-513 analysis. Stat Med 2002;21: 1539-58. 514 15. Midttun O, Theofylaktopoulou D, McCann A, et al. Circulating 515 concentrations of biomarkers and metabolites related to vitamin status, one-516 carbon and the kynurenine pathways in US, Nordic, Asian, and Australian 517 populations. Am J Clin Nutr 2017;105: 1314-26. 518 16. Team RC. R: A language and environment for statistical computing. R 519 Foundation for Statistical Computing, Vienna, Austria, 2105. 520 17. T T. A Package for Survival Analysis in S\_. version 521 2.38, <URL: https://CRAN.R-project.org/package=survival>. 2015. 522 18. Viechtbauer W. Conducting meta-analyses in R with the metafor 523 package. Journal of Statistical Software 2010; 36: 1-48. 524 19. Mocellin S, Briarava M, Pilati P. Vitamin B6 and Cancer Risk: A Field 525 Synopsis and Meta-Analysis. J Natl Cancer Inst 2017;109. 526 20. Zuo H, Ueland PM, Eussen SJ, et al. Markers of vitamin B6 status and 527 metabolism as predictors of incident cancer: the Hordaland Health Study. Int J 528 Cancer 2015;136: 2932-9. 21. Doll R, Hill AB. Lung Cancer and Other Causes of Death in Relation to 529 530 Smoking. Br Med J 1956;2: 1071-81. 531 22. Shiels MS, Katki HA, Hildesheim A, et al. Circulating Inflammation 532 Markers, Risk of Lung Cancer, and Utility for Risk Stratification. J Natl Cancer Inst 533 2015:107. 534 23. Allin KH, Nordestgaard BG. Elevated C-reactive protein in the 535 diagnosis, prognosis, and cause of cancer. Crit Rev Clin Lab Sci 2011;48: 155-70. 536 24. Bittoni MA, Focht BC, Clinton SK, et al. Prospective evaluation of C-537 reactive protein, smoking and lung cancer death in the Third National Health and 538 Nutrition Examination Survey. Int J Oncol 2015;47: 1537-44. 539 25. Buccheri G, Ferrigno D. Prognostic factors in lung cancer: tables and 540 comments. Eur Respir J 1994;7: 1350-64. 541 26. Ulvik A, Ebbing M, Hustad S, et al. Long- and Short-term Effects of 542 Tobacco Smoking on Circulating Concentrations of B Vitamins. Clin Chem 543 2010;56: 755-63. 544 27. Sakakeeny L, Roubenoff R, Obin M, et al. Plasma Pyridoxal-5-545 Phosphate Is Inversely Associated with Systemic Markers of Inflammation in a 546 Population of U.S. Adults. / Nutr 2012;142: 1280-5.

547 28. Shen J, Lai C-Q, Mattei J, et al. Association of vitamin B-6 status with 548 inflammation, oxidative stress, and chronic inflammatory conditions: the Boston 549 Puerto Rican Health Study. *Am J Clin Nutr* 2010;91: 337-42.

550

5 Auth

Table 1. Baseline and clinical characteristics of study participants overall and according to region<sup>1</sup>

	Overall		Asian cohorts		Australian cohort		European cohorts		USA cohorts	
	Controls (n=5364)	Cases (n=5364)	Controls (n=1775)	Cases (n=1775)	Controls (n=354)	Cases (n=354)	Controls (n=835)	Cases (n=835)	Controls (n=2400)	Cases (n=2400)
Characteristics	· · · · · · · · · · · · · · · · · · ·	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,
Age <sup>2</sup> (years)	62 (47-75)	62 (47-75)	62 (46-74)	62 (46-74)	61 (45-67)	61 (45-67)	60 (45-71)	60 (45-71)	64 (48-78)	64 (48-78)
) Sex										
Men	2908 (54%)	2908 (54%)	1229 (69%)	1229 (69%)	213 (60%)	213 (60%)	475 (57%)	475 (57%)	991 (41%)	991 (41%)
Women	2456 (46%)	2456 (46%)	546 (31%)	546 (31%)	141 (40%)	141 (40%)	360 (43%)	360 (43%)	1409 (59%)	1409 (59%)
Smoker										
Never	1327 (25%)	1327 (25%)	602 (34%)	602 (34%)	49 (14%)	49 (14%)	107 (13%)	107 (13%)	569 (24%)	569 (24%)
5 Former	1518 (28%)	1518 (28%)	176 (10%)	176 (10%)	145 (41%)	145 (41%)	190 (23%)	190 (23%)	1007 (42%)	1007 (42%)
<sup>7</sup> Current	2519 (47%)	2519 (47%)	997 (56%)	997 (56%)	160 (45%)	160 (45%)	538 (64%)	538 (64%)	824 (34%)	824 (34%)
Biomarkers										
HK:XA	2.98 (1.39-7.70)	3.13 (1.44-8.49)	3.01 (1.52-7.09)	3.10 (1.58-7.98)	2.88 (1.45-6.68)	3.00 (1.34-7.55)	3.08 (1.58-7.08)	3.28(1.64-9.13)	2.93 (1.27-8.24)	3.13 (1.29-8.98
HK (nmol/L)	36.6 (20.3-70.6)	37.1 (20.1-74.5)	38.7 (21.9-81.6)	39.6 (22.4 -85.4)	36.0 (22.1-65.8)	37.8 (21.3-69.3)	37.2 (21.6-63.9)	38.3 (23.1-67.2)	34.8 (18.9-65.2)	34.7 (18.2-66.2)
2 XA (nmol/L)	12.4 (4.6-29.1)	11.9 (4.3-28.7)	13.5 (5.4-29.9)	13.0 (5.1 -29.2)	12.4 (4.9-26.5)	12.9 (5.2-29.4)	11.9 (5.1-26.5)	11.4 (4.5-27.1)	11.8 (4.2-29.4)	11.1 (3.9-29.1)
<sup>3</sup> PLP (nmol/L)	37.1 (13.9-197)	35.1 (12.5-204)	30.8 (12.3-118)	28.9 (11.0-114)	31.3 (14.3-110)	31.3 (14.2-207)	30.9 (13.1-101)	28.1 (12.5-104)	49.9 (16.4-271)	47.6 (15.2-266)
<sup>1</sup> Clinical characte	eristics									
Age at diagnosis (	(years)	69.8 (53.6-82.0)		69 (52-80)		70 (56-78)		68 (53-81)		70 (55-83)
7 Time to diagnosis	<sup>3</sup> (years)	6.3 (1.0-16.0)		5.8 (0.7-16.5)		9.7 (1.3-16.2)		10.0 (1.8-16.1)		5.2 (1-15.5)
B Histology										
Earge cell carci	noma	174 (3%)		16 (1%)		31 (9%)		15 (2%)		112 (5%)
) Small cell carcin	noma	492 (9%)		99 (6%)		47 (13%)		103 (12%)		245 (10%)
Squamous cell	carcinoma	836 (16%)		319 (18%)		67 (19%)		162 (19%)		291 (12%)
Adenocarcinom	na	2056 (39%)		615 (35%)		153 (43%)		260 (31%)		1034 (43%)
Missing / Unkno	own	1806 (34%)		726 (41%)		56 (16%)		295 (19%)		735 (31%)

<sup>1</sup>Characteristics are presented as n (%) for discrete variables and median (5<sup>th</sup>, 95<sup>th</sup> percentile) for continuous variables

<sup>2</sup> At blood collection

<sup>3</sup> Time from blood draw to diagnosis

HK:XA, 3-hydroxykynurenine:xanthurenic acid; PLP, pyridoxal 5'-phosphate

John Wiley & Sons, Inc.



	Cases	Controls	Odds Ratio [95% Cl
Asia			
SCHS	422	422	1.45 [0.96, 2.19]
SCS	513	513	0.98 [0.62, 1.55]
SMHS	421	421	1.02 [0.66, 1.57]
SWHS	419	419	0.98 [0.65, 1.50]
RE Model		-	1.10 [0.89, 1.36]
Australia			
MCCS Europe	354	354	⊣ 1.14 [0.72, 1.81]
АТВС	200	200	0.98 [0.56, 1.72]
HUNT	193	193	2.05 [1.09, 3.84]
MDCS	198	198	1.37 [0.73, 2.59]
NSHDS	244	244	1.61 [0.93, 2.80]
RE Model		-	1.43 [1.06, 1.95]
USA			
CLUE	191	191 -	▶ 1.64 [0.87, 3.08]
CPS	182	182	1.13 [0.63, 2.04]
HPFS	155	155	▶ 1.61 [0.78, 3.29]
MEC	174	174	2.45 [1.25, 4.80]
NHS	345	345	1.01 [0.65, 1.58]
NYU	171	171	0.63 [0.33, 1.20]
PHS	81	81 -	
PLCO	450	450	1.36 [0.92, 2.00]
SCCS	226	226	<b></b> ► 2.20 [1.21, 3.99]
WHI	241	241	1.17 [0.67, 2.04]
WHS	184	184	1.42 [0.74, 2.73]
RE Model		-	1.31 [1.05, 1.62]
RE Model f	or all Studies	•	1.25 [1.10, 1.41]
		0.5 1 1.5	2 3
		Odds Ratio for 4th vs. 1st. quar	rtile of HK:XA

Auth

John Wiley & Sons, Inc.

#### Odds Ratio [95% CI] Cases Controls Men Asia 1.41 [1.11, 1.79] 1229 1229 Australia 1.55 [0.90, 2.67] 213 213 Europe 475 475 1.41 [0.97, 2.07] 1.58 [1.20, 2.08] USA 991 991 **RE Model** 1.48 [1.26, 1.73] Women Asia 0.84 [0.59, 1.20] 546 546 0.81 [0.41, 1.57] Australia 141 141 Europe 1.28 [0.80, 2.04] 360 360 USA 0.89 [0.71, 1.10] 1409 1409 **RE Model** 0.91 [0.77, 1.08] ſ 0.5 1.5 2 1 3 Odds Ratio for 4th vs. 1st. quartile of HK:XA

Author

John Wiley & Sons, Inc.

# Cript

	Cases	Controls		Odds Ratio [95% Cl
Current smokers				
Asia	997	997	H	1.30 [0.97, 1.74]
Australia	160	160 H		1.04 [0.51, 2.10]
Europe	538	538	<b>⊢</b>	1.81 [1.19, 2.74]
USA	824	824	<b>⊢</b>	1.51 [1.11, 2.05]
RE Model			-	1.44 [1.20, 1.72]
Former smokers				
Asia	176	176		1.81 [0.92, 3.56]
Australia	145	145	<b>⊢</b> I	1.23 [0.59, 2.54]
Europe	190	190	<b>⊢</b> →	2.33 [1.23, 4.39]
USA	1007	1007	ı <b>⊢_∎</b> ı	1.23 [0.94, 1.61]
RE Model				1.48 [1.08, 2.03]
Never smokers				
Asia	602	602	⊢ <b></b>	0.84 [0.59, 1.20]
Australia	49	49 ┥	>	0.77 [0.19, 3.13]
Europe	107	107 ┥		1.06 [0.40, 2.82]
USA	569	569	⊢ <b>⊢</b> ∎−−−−1	1.16 [0.80, 1.70]
RE Model			-	0.98 [0.75, 1.27]
RE Model for All Stu	dies		•	1.30 [1.11, 1.52]
		0.5		3
		Odde Patio UK:	$X \cap A$ vs $\cap A$ adjusted for an	okina
				loking

Odds Ratio HK:XA Q4 vs.Q1 adjusted for smoking