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11 **Chromosome-level genome of the peach fruit moth**12 ***Carposina sasakii* (Lepidoptera: Carposinidae) provides a**13 **resource for evolutionary studies on moths**

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28 **Short title:** Chromosome-level genome of *Carposina sasakii*

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## 30 Abstract

31 The peach fruit moth (PFM), *Carposina sasakii* Matsumura, is a major phytophagous orchard  
32 pest widely distributed across Northeast Asia. Here, we report the chromosome-level  
33 genome for the PFM, representing the first genome for the family Carposinidae, from the  
34 lepidopteran superfamily Copromorpha. The genome was assembled into 404.83 Mb  
35 sequences using PacBio long-read and Illumina short-read sequences, including 275 contigs,  
36 with a contig N50 length of 2.62 Mb. All contigs were assembled into 31 linkage groups  
37 assisted by the Hi-C technique, including 30 autosomes and a Z chromosome. BUSCO  
38 analysis showed that 98.3% of genes were complete and 0.4% of genes were fragmented,  
39 while 1.3% of genes were missing in the assembled genome. In total, 21,697 protein-coding  
40 genes were predicted, of which 84.80% were functionally annotated. Because of the  
41 importance of diapause triggered by photoperiod in PFM, five circadian genes in the PFM as  
42 well as in the other related species were annotated, and potential genes related to diapause  
43 and photoperiodic reaction were also identified from transcriptome sequencing. In addition,  
44 manual annotation of detoxification gene families was undertaken and showed a higher  
45 number of glutathione S-transferase (GST) gene in PFM than in most other lepidopterans, in  
46 contrast to a lower number of uridine diphosphate (UDP)-glycosyltransferase (UGT) gene,  
47 carboxyl/cholinesterases (CCE) gene and cytochrome P450 monooxygenase (P450) gene,  
48 suggesting different detoxication pathways in this moth. The high-quality genome provides  
49 a resource for comparative evolutionary studies of this moth and its relatives within the  
50 context of radiations across Lepidoptera.

51 **Keywords:** Insect; *de novo* assembly; annotation; detoxification; diapause

52

## 53 Introduction

54 The peach fruit moth (PFM), *Carposina sasakii* Matsumura (Lepidoptera: Carposinidae,  
55 superfamily Copromorpha), is a major phytophagous orchard pest of fruit such as apple,  
56 pear, peach, apricot and jujube from the families of Rosaceae and Rhamnaceae (**Fig. 1**). The  
57 hatched larvae directly bore into fruit to feed, causing losses in fruit production. PFM is one

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58 of the most severe borers on deciduous fruit in northeast Asia. It is also considered a  
59 potential risk to fruit production in most parts of the world, although PFM is currently  
60 restricted to northeast Asia and far east Russia (Kwon et al., 2018; Wang et al., 2017).

61 One possible of reason for the currently restricted distribution of PFM is its sensitivity  
62 to environmental factors. PFM has evolved diapause to cope with cold winter conditions  
63 and to synchronize its phenology with host plants (Toshima et al., 1961). Both long-day and  
64 short-day photoperiods induce diapause in the last instar of PFM larvae, resulting in a  
65 diapausing cocoon (Hua et al., 1998; Huang et al., 1976). The life cycle of PFM can be  
66 univoltine or bivoltine, depending on photoperiods encountered and environmental factors  
67 like humidity (Chiba & Kobayashi, 1985; Kim et al., 2000; Sato & Ishitani, 1976).

68 Temperature also affects the occurrence of PFM through effects on the development rate  
69 and the emergence of the overwintering generation from diapause (Kim et al., 2001; Zhang  
70 et al., 2016).

71 The effects of environmental factors as well as photoperiod on the life history of PFM  
72 provides an opportunity to investigate the genomic basis of adaptation to temperate  
73 environments in the Copromorphoidea superfamily and across Lepidoptera more generally.  
74 Candidate genes involved in climatic adaptation could then also be investigated at the  
75 geographic level, given that a combination of mtDNA and microsatellite variation indicates  
76 strong genetic differentiation among geographical populations of the PFM across its native  
77 range in China (Wang et al., 2017). Although adaptation to climate changes has been found  
78 in lepidopteran species (Fält-Nardman et al., 2016; Quezada García et al., 2015; van Asch et  
79 al., 2013; Yamanaka et al., 2008), genes underlying climate adaptation remain to be  
80 identified (Hoffmann, 2017). Analysis of genomic variation among populations may help to  
81 identify putative loci and processes under selection (Hoffmann & Sgro, 2011) and predict  
82 the evolutionary adaptation under climate changes (Hoffmann & Sgro, 2011).

83 The highly variable life history of PFM on different host plants may reflect different  
84 host-associated biotypes as supported by an analysis of esterase isozyme patterns (Hua &  
85 Hua, 1995) and random amplified polymorphic DNA (RAPD) (Xu & Hua, 2004), although this

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86 is not yet been confirmed by direct studies on population differentiation in PFM (Kwon et al.,  
87 2017; Wang et al., 2015). Previous studies have shown that detoxification and  
88 chemosensory genes are associated with host adaptation of insects (Heckel, 2018; Rane et  
89 al., 2019; Wan et al., 2019). Understanding the genomic features of PFM may provide useful  
90 points to investigate host plant adaptation and reveal the genetic basis related to the  
91 potential invasiveness of PFM as well as other fruit boring pests (Kirk et al., 2013).

92 Except for genetic variations, the insect can adapt to the varied environment by  
93 plasticity, which often involves the expression change of related genes (Sgro et al., 2016).  
94 Transcriptome analysis provides an efficient way to identify the differentially expressed  
95 genes. Although the transcripts can be assembled *de novo*, a reference genome-guided  
96 analysis may help to make the analysis more precise.

97 Well-assembled genomes are increasingly becoming available as resources for tracing  
98 evolutionary adaptation across the Lepidoptera. Already there are substantial genomic  
99 resources for many moths (Chen et al., 2019; Cheng et al., 2017; Kanost et al., 2016; Lange  
100 et al., 2018; Ma et al., 2020; Pearce et al., 2017; Wan et al., 2019; Xia et al., 2004; Xiang et  
101 al., 2018; Xiao et al., 2020; You et al., 2013; Zhang et al., 2020) and butterflies (Ahola et al.,  
102 2014; Cong et al., 2015; Dasmahapatra et al., 2012; Lu et al., 2019; Nishikawa et al., 2015;  
103 Zhan et al., 2011) which are being used in comparative analyses to link genomic changes to  
104 phenotypes like the detoxification of compounds encountered in hosts (Rane et al., 2019).  
105 Available genomes provide abundant reference points for investigating evolution across the  
106 Lepidoptera, although most species sequences so far are from the Papilionoidea,  
107 Noctuoidea, Bombycoidea and Pyraloidea, with less genomic information available for the  
108 Carposinidae (Copromorpha) despite the importance of this group as agricultural pests.

109 In the present study, we report on a chromosome-level genome of PFM, which was *de*  
110 *novo* assembled based on sequences obtained from the PacBio and Illumina platforms and  
111 assembled at the chromosome level with the Hi-C technique. We compare features of the  
112 PFM genome with those of eleven other moths, focusing particularly on detoxification and  
113 chemosensory gene families important in host adaptation and pesticide resistance,

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114 contributing to ecological niches occupied by species (Rane et al 2019). As an initial study  
115 using the newly assembled genome, we investigate transcriptomic changes induced by  
116 long-day and short-day photoperiods that induce diapause in PFM larvae, and we identify  
117 genes involved in these responses which are critical to climatic adaptation by PFM.

## 118 **Materials and methods**

### 119 ***Sample collection and rearing***

120 We established a laboratory strain of PFM from 30 larvae collected from an apple orchard in  
121 the Beijing area of China in July 2018. This strain was maintained for five generations on  
122 apple (*Malus pumila* Mill) in the laboratory under  $25 \pm 1$  °C, a relative humidity of  $75 \pm 5\%$ ,  
123 and a photoperiod of 15L : 9D (ND, normal-day condition). Eggs were laid on filter paper and  
124 moved to ripe apples before hatching. Larvae developed in the apples and the last (fifth)  
125 instar larvae left the fruit to pupate on prepared sawdust. Samples used in genome  
126 sequencing and RNA-seq were from this strain.

127 In order to induce diapause in larvae, we moved batches of newly hatched larvae to  
128 long-day and short-day photoperiodic conditions before they bored into apple for feeding.  
129 The long-day condition (LD) was set to a photoperiod of 22 L : 2 D, while the short-day  
130 condition (SD) was set to a photoperiod of 8 L : 16 D (Hua et al., 1998; Huang et al., 1976).  
131 Both treatments were conducted under  $25 \pm 1$  °C, and a relative humidity of  $75 \pm 5\%$ . The  
132 last instar larvae leaving the fruit were collected and stored in RNAlater at  $-80$  °C  
133 (Sigma-Aldrich, St. Louis, USA) for subsequent RNA-seq library construction.

### 134 ***Genome sequencing***

135 We extracted genomic DNA from 12 male pupae using MagAttract HMW DNA kit (Qiagen,  
136 Hilden, Germany) for Illumina library and PacBio library. The paired-end Illumina library with  
137 insert sizes of about 500 bp, was constructed using VAHTS™ Universal DNA Library Prep Kit  
138 for Illumina® V2 (Vazyme, Nanning, China) and sequenced on an Illumina Novaseq platform  
139 to obtain 150-bp paired-end reads. The raw reads generated were filtered by the software  
140 Trimmomatic v0.38 (Bolger et al., 2014). After filtering, we obtained 31.02 Gb of short clean

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141 reads (coverage: 77.24X). The sequencing data was used to estimate the genome size,  
142 heterozygosity and rate of duplication and polish *de novo* assemblies.

143 For long-read sequencing, SMRTbell libraries were constructed with Sequel®  
144 Sequencing Kit 3.0 (Pacific Biosciences, Menlo Park, CA, USA). Long DNA fragments of  
145 approximately 20 kb were sequenced on a PacBio Sequel sequencer (Pacific Biosciences,  
146 Menlo Park, CA, USA). Four SMRT cells were processed and 55.52 Gb subreads (mean  
147 subread length: 18.13 kb, subread N50 length: 32.84 kb, coverage: 138.2X) were obtained  
148 for contig-level genome assembly.

149 To assist the chromosome-level assembly, we used the Hi-C (High-throughput  
150 chromosome conformation capture) technique to capture genome-wide chromatin  
151 interactions (Belaghzal et al., 2017). Twenty 5<sup>th</sup> instar larvae were ground in 2%  
152 formaldehyde for cross-linking of cellular protein. Chromatin was digested with restriction  
153 enzyme *MboI* overnight. Then, the DNA ends were flatted, marked with biotin-14-dCTP and  
154 ligated with bridge linker. The samples were digested with proteinase K and purified by  
155 phenol-chloroform extraction. Biotins on unligated DNA fragments ends were removed with  
156 T4 DNA polymerase. Fragments were sheared into 200-600 base pairs using an S220  
157 Focused-ultrasonicator (Covaris, U.S.). Biotin marked DNA fragments were enriched using  
158 streptavidin C1 magnetic beads. Illumina library was constructed from the enriched  
159 fragments using VAHTS™ Universal DNA Library Prep Kit for Illumina® V2 (Vazyme, Nanning,  
160 China) and sequenced on an Illumina Novaseq platform to obtain 150-bp paired-end reads.  
161 After removing the low-quality reads, 1,509 million clean reads were retained (coverage:  
162 559.3X).

### 163 **Genome survey**

164 We used the k-mer method to survey the genome features of the PFM. The k-mer count  
165 histogram was obtained from Illumina paired-end sequencing data using Jellyfish v2.99  
166 (Marçais & Kingsford, 2011) with 17, 21, 25 and 35 mers. Genome size, heterozygosity and  
167 rate of duplication were estimated by GenomeScope v1.0 (Vurture et al., 2017).

### 168 **Genome assembly and evaluation**

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169 Long reads generated from PacBio sequencing were corrected and assembled using CANU  
170 version 1.8 (Koren et al., 2017) with default parameters. The initial assembly was polished  
171 using Pilon v1.22 (Walker et al., 2014) with short reads from Illumina paired-end sequencing  
172 for three times. Two haplotypes in part of the genome might be assembled as separate  
173 primary contigs due to the high degree of heterozygosity (Roach et al., 2018). To corrected  
174 these possible allelic contigs, we reassigned the polished assembly using the pipeline Purge  
175 Haplotigs to identify pairs of contigs that are syntenic and removed one of them (Roach et  
176 al., 2018), resulting in a contig-level genome.

177 Clean reads sequenced from the Hi-C library were aligned to the contig-level genome  
178 with an end-to-end algorithm implemented in Bowtie v2.3.5 (Langmead & Salzberg, 2012)  
179 according to the HiC-Pro strategy (Langmead & Salzberg, 2012; Servant et al., 2015). The  
180 Juicer v1.5 and 3D *de novo* assembly (3D-DNA) pipelines were used to assemble the contigs  
181 into a chromosome-level genome (Dudchenko et al., 2017; Durand et al., 2016). The  
182 completeness of the genome was evaluated through the analysis of single-copy orthologs  
183 (Simao et al., 2015), implemented in Benchmarking Universal Single-Copy Orthologs (BUSCO)  
184 v3.0.2 (Simao et al., 2015), based on the insecta\_odb9 database (1,658 genes). Synteny  
185 between PFM and *Cydia pomonella* (Lepidoptera: Tortricidae) (Assembly accession:  
186 GCA\_003425675.2) (Wan et al., 2019) and *Spodoptera litura* (Assembly accession:  
187 GCF\_002706865.1) (Cheng et al., 2017) genomes were analyzed using TBtools v0.58 (Chen  
188 et al., 2020).

### 189 **Transcriptome sequencing and assembly**

190 To provide evidence of transcripts for genome structure annotation, we conducted RNA-seq  
191 for four developmental stages of egg, larva, pupae, and adults (male and female) reared  
192 under normal conditions as described above. To identify the differentially expressed genes  
193 between normal (ND) and diapausing larvae, we constructed another two RNA-seq libraries  
194 for the long-day (LD) and short-day (SD) induced 5<sup>th</sup> instar larvae. In total, 7 RNA-seq  
195 libraries were constructed, including one for eggs, three for larvae, one for pupae, one for  
196 male adults and one for female adults of PFM. One individual collected from each

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197 stage/treatment was used (except for eggs, for which about 100 eggs were used) for  
198 RNA-seq and one library was constructed for each treatment. All libraries were prepared  
199 using VAHTSTM mRNA-seq V2 Library Prep Kit for Illumina according to the manufacturer's  
200 instructions (Vazyme, NanJing, China) and sequenced on an Illumina Novaseq platform to  
201 obtain 150-bp paired-end reads. After removing the low quality reads with Trimmomatic  
202 v0.38 (Bolger et al., 2014), the reads were mapped to the chromosome-level genome using  
203 Hisat v2.2.0 (Kim et al., 2019) and assembled with StringTie v2.1.2 (Pertea et al., 2015).  
204 FPKM (Fragments Per Kilobase per Million) values of each annotated gene in each RNA-seq  
205 were estimated with cufflinks v2.2.1 (Kim et al., 2013).

206 We analyzed the differentially expressed gene (DEG) among the 5<sup>th</sup> instar larvae reared  
207 at different photoperiods (SD, ND and LD). The ND was used as a control, while the SD and  
208 LD were compared to the ND. Due to the lack of biological replicate, we used cufflinks v2.2.1  
209 (Kim et al., 2013) in the assessment of DEGs, which allowed one repeat in the analysis.  
210 Because we analyzed the DEGs for the 5<sup>th</sup> instar larvae, which is the last developmental  
211 stage before diapause, we assumed that the fold change of DEGs related to diapause should  
212 be large. Thus, we used a fold-change  $\geq 2$  and q-value  $\leq 0.05$  as the cutoffs of significant  
213 DEGs between samples. For significantly expressed genes, up-regulated or down-regulated  
214 genes in both comparisons (ND vs. LD and ND vs. SD) were considered as genes related to  
215 diapause, while the FPKM values specifically high in the SD or LD condition were considered  
216 as light-induced genes. Gene expression visualization of DEGs was conducted with the  
217 *Pheatmap* R package.

#### 218 **Repeat element and non-coding RNA annotation**

219 Repeats and transposable element families in the PFM genome were first detected by  
220 RepeatMasker v4.0.7 pipeline (Tarailo-Graovac & Chen, 2009) against the Insecta repeats  
221 within RepBase Update (<http://www.girinst.org>) and Dfam database (20170127), with  
222 RMBlast v2.10.0 as a search engine. The noncoding RNAs (ncRNA) were annotated by  
223 aligning the genomic sequence against RFAM v14.2 (<http://rfam.xfam.org/>) with BLASTN.

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224 The tRNAs and rRNAs were predicted by tRNAscan-SE and RNAmmer (Lagesen et al., 2007;  
225 Lowe & Eddy, 1997).

### 226 **Protein-coding gene annotation and filtering**

227 We annotated protein-coding genes using *ab initio*, RNA-seq-based, and homolog-based  
228 methods in the MAKER v2.31.10 genome annotation pipeline (Cantarel et al., 2008).  
229 Augustus v3.2.3 (Stanke & Waack, 2003) and SNAP v2013-02-16 (Korf, 2004) were used for  
230 the *ab initio* gene prediction. For Augustus, we used the retrained parameters obtained in  
231 the above BUSCO analysis of genome assembly by invoking the Augustus retraining option.  
232 In the first round of annotation, we ran MAKER by providing transcriptome assemblies of  
233 PFM, protein sequences from eight lepidopteran species (*Bombyx mori*, *Trichoplusia ni*,  
234 *Ostrinia furnacalis*, *Bombyx mandarina*, *Galleria mellonella*, *Spodoptera litura*, *Helicoverpa*  
235 *armigera*, *Plutella xylostella*) and the Augustus model as evidence. The GFF3 file of first  
236 round annotation was used to train parameters of SNAP. In the next three rounds of  
237 annotation, GFF3 from the last round, Augustus and SNAP models were used as evidence.

238 The annotation results from the MAKER pipeline were filtered by using gene expression  
239 evidence, functional annotation results and Annotation Edit Distance (AED) value. Genes  
240 that had a FPKM value great than 0 in any RNA-seq were considered as real genes and  
241 retained in further analysis. Functional domains for proteins were identified using  
242 InterproScan 5.34-74.0 (Jones et al., 2014) against Pfam database v32.0 (El-Gebali et al.,  
243 2019). The gene models were filtered based on domain content and evidence support  
244 following Campbell et al. (2014). Finally, the annotations with AED < 0.75 were removed  
245 (Campbell et al., 2014).

246 Functions of the protein-coding genes and their GO (Gene Ontology) and KEGG (Kyoto  
247 Encyclopedia of Genes and Genomes) items were annotated using the software  
248 eggNOG-Mapper v1.0.3 (Huerta-Cepas et al., 2017), a tool for fast functional annotation of  
249 novel sequences using precomputed eggNOG-based orthology assignments, against the  
250 database EggNOG v5.0 (Huerta-Cepas et al., 2019).

### 251 **Orthology identification and phylogenetic inference**

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252 Protein-coding genes from another 11 species of Lepidoptera as well as two species of  
253 Coleoptera and two species of Diptera were obtained from the NCBI genomes database for  
254 comparative analysis (**Table 1**). Orthologs were identified using OrthoFinder version 2.2.7  
255 (Emms & Kelly, 2015) under default parameters. The phylogenetic tree was inferred in the  
256 OrthoFinder pipeline with an approximately-maximum-likelihood method implemented in  
257 FastTree v2.1.10 (Price et al., 2009) based on a concatenated multiple sequence alignment  
258 (MSA) of single-copy genes. The most likely category for each site was set using a Bayesian  
259 approach with a gamma prior. Amino acid sequences were aligned in MAFFT v7.450 (Kato  
260 & Standley, 2013) with the G-INS-I algorithm.

#### 261 **Manual annotation of circadian genes**

262 We further manually annotated well-studied circadian genes: *period* (PER), *timeless* (TIM),  
263 *Clock* (CLK), *cycle* (CYC) and cryptochrome (CRY), using BLAST v2.2.31 (Altschul et al., 1990).

264 Reference protein sequences of insect circadian genes were obtained from the Uniprot  
265 database. Conserved domains within proteins were annotated against the conserved  
266 domain database (Lu et al., 2020). Circadian genes of the other 15 insect species were  
267 annotated in the same way. For a common domain of three genes (CLK, PER and CYC), a  
268 neighbor-joining tree was constructed using MEGA7 (Kumar et al., 2016) with 500 bootstrap  
269 replicates.

#### 270 **Manual annotation of detoxification and chemosensory gene families**

271 We manually annotated five detoxification gene families and four chemosensory gene  
272 families, including cytochrome P450 monooxygenase (P450s), glutathione S-transferase  
273 (GSTs), carboxyl/cholinesterases (CCEs), uridine diphosphate (UDP)-glycosyltransferases  
274 (UGTs), ATP-binding cassette (ABC) transporters, gustatory receptors (GR), ionotropic  
275 receptors (IR), odorant-binding proteins (OBP), olfactory receptors (OR) genes. We used the  
276 bioinformatic pipeline BITACORA (Vizueta et al., 2019) to conduct HMMER v3.3 (Finn et al.,  
277 2011) and BLAST v2.2.31 (Altschul et al., 1990) analyses under a full mode. Hits were filtered  
278 with a default cutoff E-value of 10e-5. The HMMs of P450 were downloaded from Pfam  
279 v32.0 (El-Gebali et al., 2018), while other HMMs of detoxification gene families were

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280 created by HMMER v3.3 (Finn et al., 2011). Orthologs from *Bombyx mori* and *D.*  
281 *melanogaster* were used as evidence. The annotated genes were further filtered manually  
282 based on gene length and the presence of conserved domains. The longest transcripts of  
283 alternative splicing was kept. Genes with a length shorter than 80 amino acids were  
284 removed. Orthologs were aligned with the G-INS-I algorithm implemented in MAFFT v7.450  
285 (Kato & Standley, 2013). A neighbor-joining tree was constructed for each gene family  
286 using MEGA7 (Kumar et al., 2016) with 500 bootstrap replicates.

## 287 **Results and discussion**

### 288 **Features of the assembled genome**

289 The genome size of PFM is estimated to be 338.52-352.59 Mb through k-mer analysis  
290 depend on the k-mers used ( $k = 17, 21, 25, 35$ ). The k-mer distributions showed double  
291 peaks, indicating that this genome has a high rate of duplication and heterozygosity. The  
292 estimated heterozygosity ranges from 1.06% to 1.15% and the rate of duplication range  
293 from 1.95% to 2.06% (**Fig. 2a**). The high heterozygosity of the PFM genome might be caused  
294 by the pooling of the samples for short-read sequencing. The heterozygosity of PFM is  
295 similar to the *Thrips palmi* (1.01% to 1.32%) (Guo et al., 2020) and the caddisfly *Stenopsyche*  
296 *tienmushanensis* (1.05%-1.10%) (Luo et al., 2018), which were also sequenced from pooling  
297 DNA of multiple individuals. The heterozygosity of PFM is higher than beet armyworm  
298 *Spodoptera exigua* (0.59%) (Zhang et al., 2019), the invading fall webworm (0.75% and  
299 0.83%) (Wu et al., 2018) and *Cydia pomonella* (0.6%) (**Wan et al., 2019**), which were  
300 estimated from one individual or multiple individuals of sibling mating lines.

301 At the contig level, we assembled the PFM genome into 404.83 Mb sequences,  
302 including 275 contigs, with a contig N50 length of 2.62 Mb. Based on contig interaction  
303 frequency calculated from the pairs aligned to the contigs, the 275 contigs were clustered  
304 into 31 linkage groups (**Fig. 2b**). The longest contig group was 19.1 Mb while the shortest  
305 one was 6.30 Mb, with an N50 of 14.39 Mb. BUSCO analysis showed that 98.3%  
306 (single-copied gene: 97.4%, duplicated gene: 0.9%) of 1,658 genes were identified as

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307 complete, 0.40% of genes were fragmented, while 1.3% of genes were missing in the  
308 assembled genome. The genome comprised 36.88% GC base pairs.

309 Synteny analysis showed that the PFM, *S. litura* and *C. pomonella* genome have a highly  
310 conserved gene order (**Fig. 2c**). Chromosome 01 of PFM was identified as the Z chromosome  
311 based on its high synteny to the Z chromosome of *S. litura* (**Fig. 2c**). Chromosome 04 of PFM  
312 shows high synteny to chromosome 02 of *S. litura*, which fused with Z chromosome in *C.*  
313 *pomonella*, forming the neo-Z chromosome (chromosome 01) (Wan et al., 2019). In  
314 conclusion, PFM has similar chromosomes as *S. litura*, including 30 autosomes and a Z  
315 chromosome. The female-specific W chromosome of PFM was not determined in this  
316 genome, since male pupae were used for sequencing. Identification of W chromosome is  
317 challenged by its high degenerate, being gene-poor and repeat-rich in Lepidoptera (Bergero  
318 & Charlesworth, 2009; Wan et al., 2019). The chromosome-level assembly of the PFM  
319 genome provides resources for understanding chromosome evolution in the Lepidoptera  
320 (**Ahola et al., 2014**).

### 321 **Genome annotation**

322 We identified 27,598 protein-coding genes in the 1st round of MAKER annotation. BUSCO  
323 analysis revealed 91.5% of the evaluated single-copy genes were identified as complete.  
324 After three rounds of MAKER annotation, the number of genes increased to 50,676, while  
325 the proportion of complete single-copy genes was up to 95.0%. After filtering based on gene  
326 expression analysis, functional domains and AED values, 21,695 genes remained. BUSCO  
327 analysis showed that 94.8% (single-copied gene: 90.5%, duplicated gene: 4.3%) of the  
328 evaluated single-copy genes were identified as complete, 3.6% of the genes were  
329 fragmented, and 1.6% of the genes were missing in the annotated gene set. In total, 18,397  
330 genes (84.80%) were functionally annotated, of which 5,303 (24.44%) and 3,829 (17.65%)  
331 genes were annotated to GO terms and KEGG KOs respectively. We predicted 53 rRNAs,  
332 11,076 tRNAs, 20 small nuclear RNAs, and 48 micro RNAs in the PFM genome based on  
333 Rfam databases.

334 In total, 35.70 Mb (8.95%) of the genome was identified to be repeat DNA. Overall,  
335 179,142 transposable elements (TEs, 35.14 Mb), including 139,294 retroelements (16,818  
336 short interspersed nuclear elements (SINEs), 107,497 long interspersed nuclear elements

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337 (LINEs) and 14,979 long terminal repeats (LTR)) and 39,848 DNA transposons were identified  
338 (Table 1, Table S1). The PFM genome shows the lowest repeat DNA among seven  
339 chromosome-level assembled genomes from the Lepidoptera (Table 1).

#### 340 **Orthology and phylogenetic relationships of lepidopterans**

341 OrthoFinder assigned 320,821 genes (93.41% of total) to 15,076 orthogroups for the 16  
342 species compared. Fifty percent of the assigned genes were in orthogroups with 28 or more  
343 genes (G50 was 28) and were contained in the largest 3,174 orthogroups (O50 was 3,174).

344 There were 947 single-copy genes with 364,262 reliable sites retained for phylogenetic  
345 inference. The topology is congruent with previously inferred phylogenetic relationships of  
346 Lepidoptera, in which no representative of the Copromorpha was included (Wan et al.,  
347 2019). Current molecular phylogenetic studies have not resolved the phylogenetic  
348 relationship between Copromorpha and Papilionoidea (Mitter et al., 2017). Our result  
349 supports the notion that PFM from the Copromorpha forms a sister-group relationship  
350 to the butterfly *D. plexippus* (Papilionoidea), rather than a sister group between  
351 Copromorpha/Papilionoidea and Pyraloidea + (Noctuoidea + Bombycoidea) (Fig. 3).

352 We investigated orthogroups shared by PFM and four species of Lepidoptera,  
353 representing different clades of the phylogenetic tree of Lepidoptera (Fig. S1). There were  
354 7,827 orthogroups (60.5% of 12,938 orthogroups) shared by all five lepidopteran species  
355 and 1,549 orthogroups shared by four species except for *C. pomonella*. We identified 357  
356 orthogroups specific to PFM, fewer than that of *B. mori* (406), but higher than other three  
357 lepidopteran species (Fig. S1).

#### 358 **Evolution of circadian genes**

359 Five core genes of the circadian clock were annotated in the PFM genome and the other  
360 reference insect species. The PER gene was not found in currently assembled genomes of  
361 *Cydia pomonella* and *Anoplophora glabripennis* (Fig. 4). Two types of CRY gene were  
362 annotated in 16 species, mammalian-type cryptochrome (CRY-m) and *Drosophila* type  
363 cryptochrome (CRY-d). CRY-d is a UV- and blue-light photoreceptor and is not considered as  
364 a core component of the circadian clock in *D. melanogaster* (Goto, 2013). In circadian clock  
365 model established from *Danaus plexippus* (L.), CRY-m function as a transcriptional repressor  
366 instead as a photoreceptor (Yuan et al., 2007; Zhu et al., 2008), and it was incorporated into  
367 the core component of the circadian clock as a negative regulator, like PER and TIM. For

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368 most of the 16 insects, two types of CRY genes were found, while only CRY-m was found in  
369 two Coleoptera species, and only CRY-d was found in *D. melanogaster*. In the wasp *Nasonia*  
370 *vitripennis*, only CRY-m was found and TIM does not exist in the genome (Schurko et al.,  
371 2010). In the honey bee *Apis merifella*, TIM was lost (Rubin et al., 2006). In the PFM, FPKM  
372 values of CRY-m were higher than CRY-d in each stage, indicating that CRY-m may be a  
373 major element in the circadian clock of PFM. These considerable differences in the gene  
374 composition of the circadian clock indicate a diverse circadian clock model among insects.

375 Domains of circadian genes were conserved among the 16 species (**Fig. 4**). The PAS  
376 domains are widely present in photoreceptors and circadian proteins of many eukaryotes  
377 (**Taylor & Zhulin, 1999**). For insects investigated in this study, *CLK*, *CYC* and *PER* genes have  
378 two PAS domains. In previous studies, the two PAS domains were defined as PAS-A and  
379 PAS-B. In this study, PAS-A is equivalent to PAS and PAS-fold (**Fig. 4 and Fig. 5**). Besides PAS  
380 domains, *CLK* and *CYC* genes have other common domains bHLH before two PAS domains  
381 (**Fig. 4**). Both *CRY-d* and *CRY-m* gene have a PhrB domain, and *TIM* gene has a TIMELESS  
382 domain (**Fig. 4**). We constructed phylogenetic relationships among the PAS domains for the  
383 16 species. The phylogenetic tree of PAS domains revealed six clades, corresponding to two  
384 PAS domains of three genes (*CLK*, *CYC* and *PER*) (**Fig. 5**).

#### 385 **Gene expression in diapause and non-diapause PFM**

386 Compared with larvae that developed under a normal day photoperiod, 11 genes were  
387 significantly up-regulated and 9 genes were down-regulated in larvae that developed under  
388 long-day or short-day photoperiods (**Table S2, Fig. S2**). Genes highly expressed in  
389 pre-diapause larvae (SD and LD photoperiod) included genes encoding CUSOD2 (CS\_07203),  
390 an enzyme that destroys radicals, and that plays an important role in diapause and cold  
391 tolerance of insect (Bi et al., 2014; He et al., 2013; Isobe et al., 2006; Kim et al., 2010; Sim &  
392 Denlinger, 2011; Zhao & Shi, 2009). We identified a cytochrome P450 gene (CS\_20496)  
393 showing weak expression in pre-diapause larvae and high expression in the other stages,  
394 which was also found in diapausing larvae of the wild silk moth, *Antheraea yamamai* (Yang  
395 et al., 2008).

396 We identified 44 genes specifically up-regulated under a long-day photoperiod, and 14  
397 genes specifically up-regulated under a short-day photoperiod (**Table S2, Fig. S2**). Four

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398 genes (CS\_04235, CS\_05017, CS\_15183, CS\_01854) related to digestion of proteins were  
399 up-regulated in larvae developing under a long-day photoperiod. This is congruent with  
400 previous reports suggesting that the photoperiod had significant effects on digestive  
401 enzyme activity (Espinosa-Chaurand et al., 2017; Ramzanzadeh et al., 2016; Shan et al., 2008;  
402 Subala & Shivakumar, 2017). The functional link of many of these genes to diapause is not  
403 really clear. The circadian genes, which are important in diapause in another moth (Kozak et  
404 al., 2019), did not show significant changes for larvae under different photoperiods.

#### 405 **Evolution of detoxification and chemoreceptor genes**

406 We manually identified 95 P450s, 76 GSTs, 63 CCEs, 27 UGTs, and 93 ABCs in the PFM  
407 genome (**Table 2; Fig. S3**). PFM had the lowest number of UGT genes and CCE genes, along  
408 with two other moths located at basal lineages of the Lepidoptera. The number of P450  
409 genes in PFM are the second lowest, only slightly higher than *Bombyx mandarina*. The  
410 number of ABCs genes in PFM are at an intermediate level. We found that the PFM had the  
411 highest number of GST genes. These results suggest that PFM may have a unique way of  
412 detoxication with reduced importance of UGT and P450 when compared to the other moths.  
413 This may have implications for pesticide responses in PFM give that these detoxification  
414 genes can respond in different ways to various pesticides in moths (Hu et al., 2019).

415 The chemosensory system plays an important role in locating food, shelter, mates, and  
416 oviposition sites (Wan et al., 2019). We manually annotated several well-studied gene  
417 families of the chemosensory system; we identified 41 GRs, 49 IRs, 56 OBPs, and 176 ORs in  
418 the PFM genome (**Table 2; Fig. S4**). PFM had the lowest number of GR genes and the  
419 second-lowest number of IR genes, OBP genes, and OR genes following the *C. pomonella*  
420 when compared to the other lepidopterans. Both PFM and *C. pomonella* have a lower  
421 number of chemoreceptor genes. The PFM and *C. pomonella* mainly feed on apple and pear,  
422 with a narrow host range. Females of these two moths lay eggs on the surface of their host  
423 fruits, and the hatched larvae bore into the fruit directly without long-time searching  
424 behavior (van der Geest & Evenhuis, 1991). The narrow host range and feeding behavior of  
425 larva may explain the lower number of chemoreceptor genes in their genomes.

#### 426 **Conclusions**

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427 We assembled the chromosome-level genome for the PFM using PacBio long-read and Hi-C  
428 technology. This is the first assembled genome for the superfamily Copromorpha. This  
429 novel genomic resource allowed us to explore possible genes in PFM associated with  
430 adaptation to environmental factors. We identified five core genes relating to the circadian  
431 rhythm in PFM and annotated models for each gene. Using the genome as a reference, we  
432 investigated DEGs related to the diapause of OFM, which may point to candidate genes.  
433 Given the expression of long-day and short-day diapause by PFM, this moth species will be a  
434 useful model to further investigate adaptive shifts involving diapause, particularly by  
435 combining genomic information with intraspecific comparisons across geographic gradients  
436 (Ragland et al., 2019). The assembled genome provides a resource for further comparative  
437 studies of moths and butterflies, particularly with respect to life cycle evolution and parallel  
438 evolution in detoxification and chemosensory functions.

#### 439 **Data Availability Statement**

440 The Whole Genome assembly has been deposited in the Genome repository of NCBI  
441 (accession numbers: CP053148-CP053178) under BioProject PRJNA627116. Raw reads  
442 obtained for genome assembly were deposited in the Sequence Read Archive (SRA)  
443 repository (accession numbers: SRR12328811 and SRR12336732). Scripts used for  
444 assembling and annotating the genome and sequences of manually annotated gene families  
445 were deposited in the Dryad repository (<https://doi.org/10.5061/dryad.m0cfxpp1j>).

#### 446 **Author contributions**

447 Shu-Jun Wei conceived and designed the study; Jin-Cui Chen and Ya-Jun Gong conducted  
448 the collection and rearing of the insect; Li-Jun Cao conducted the molecular works; Li-Jun  
449 Cao, Wei Song, Lei-Yue, Shao-Kun Guo, and Shu-Jun Wei analyzed the data; Li-Jun Cao,  
450 Shu-Jun Wei, and Ary Hoffmann discussed the results and wrote the manuscript.

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## Tables

**Table 1** Features of chromosome-level genomes in the Lepidoptera

Features	Csas	Cpom	Tni	Bmor	Slit	Mcin	Hmel
Genome size (Mb)	399.04	772.89	368.2	431.7	438.32	393	269
Karyotype	2n=64	2n=56	2n=54	2n=56	2n=62	2n=62	2n=42
No. contigs	275	2221	26,605	15,018	13,636	49,851	NA
No. scaffolds	NA	1717	6181	7397	3597	8262	3807
No. CHR*	31A+Z+W	27A+Z+W	26A+Z+W	27A+Z	30A+Z	30A+Z	20A+Z
Contig N50 (kb)	2620	862.49	621.9	15.5	68.35	13	51
Scaffold N50 (Mb)	NA	8.92	14.2	3.7	0.915	0.119	0.277
BUSCO genes (%)	98.20%	98.5	97.8	97.7	98.3	91.5	97.4
Repeat (%)	11.33	42.87	20.5	43.6	31.83	28	24.94
G+C (%)	36.96	37.43	35.6	37.3	36.5	33.0	NA
No. genes	23,227	17,184	14,043	14,623	15,317	16,667	12,669

*Csas*, *Carpasina sasakii*; *Cpom*, *Cydia pomonella*; *Tni*, *Trichoplusia ni*; *Bmor*, *Bombyx mori*; *Slit*, *Spodoptera litura*; *Mcin*, *Melitaea cinxia*; *Hmel*, *Heliconius melpomene*; \* A represents auto chromosome; Z and W represent sex chromosomes; NA, not available. Data for all species except for *Csas* were summarized by Wan et al. (2019).

**Table 2** Number of genes in five detoxification families across species of Lepidoptera

Species	P450	GST	CCE	ABC	UGT	GR	IR	OBP	OR	Reference
Pxyl	163	55	85	219	38	68	61	91	225	You et al. (2013)
Csas	95	76	63	93	27	41	49	56	176	This study
Cpom	136**	30**	73**	47**	30**	65**	39**	50**	85**	Wan et al. (2019)
Dple	107	35	73	76	47	74	62	68	253	Zhan et al. (2011)
Tni	143	51	122	71	68	85	74	91	274	Chen et al. (2019)
Slit	182	66	153	223	64	107	62	87	261	Cheng et al. (2017)
Harm	122	57	105	76	54	120	71	79	257	Song et al. (2015)
Bman	94	37	94	64	48	69	56	74	218	Xiang et al. (2018)
Bmor	156	51	149	108	50	85	57	93	243	Xia et al. (2004)
Msex	164	66	137	103	51	89	76	79	261	Kanost et al. (2016)
Gmel	137	44	75	72	58	95	81	64	295	Lange et al. (2018)
Ofur	126	48	115	112	46	93	67	75	270	Ma et al. (2020)

\*\*, data from Wan et al. (2019); The other data were manually identified in our study. Pxyl, *Plutella xylostella*; Csas, *Carposina sasakii*; Cpom, *Cydia pomonella*; Dple, *Danaus plexippus*; Tni, *Trichoplusia ni*; Slit, *Spodoptera litura*; Harm, *Helicoverpa armigera*; Bman, *Bombyx mandarina*; Bmor, *Bombyx mori*; Msex, *Manduca sexta*; Gmel, *Galleria mellonella*; Ofur, *Ostrinia furnacalis*. P450, Cytochrome P450 monooxygenase; GST,

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glutathione S-transferase; CCEs, carboxyl/cholinesterases; UGT, uridine diphosphate-glycosyltransferases; ABC, ATP-binding cassette transporters; GR, gustatory receptors; IR, ionotropic receptors; OBP, odorant-binding proteins; OR, olfactory receptors.

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## Figure legends

**Fig. 1** Eggs (A), larva (B), cocoons (C) and adult (D) of the peach fruit moth *Carposina sasakii* (A-D) and the damage symptoms to apple (E-G). The hatched larva bores into apple usually near the calyx with white secreta near the boring hole (E); the damaged apple showing shrinkage (F); damage from larvae boring and developing in the apple (G).

**Fig. 2** Genome features of *Carposina sasakii*. (a) GenomeScope analysis of genome size, heterozygosity and duplicate rate using k-mers (K = 17) count histogram, indicating a genome size of 338.52 Mb, a heterozygosity of 1.06%, and a duplication rate of 2.06%; (b) Genome-wide all-by-all Hi-C interaction identified 31 linkage groups; (c) Synteny between *Carposina sasakii* (Csas) and *Cydia pomonella* (Cpom) and *Spodoptera litura* (Slit) genomes reveal highly conserved gene order and chromosomal fusion or split events in the three moths.

**Fig. 3** Phylogenetic tree of PFM with 15 insect genomes including 11 other Lepidoptera. The phylogeny was inferred from 947 single-copy genes with 364,262 reliable sites by an approximately-maximum-likelihood method. All nodes received bootstrap support of 100.

**Fig. 4** Schematic arrangement of the domains of five circadian genes including *period* (PER), *timeless* (TIM), *Clock* (CLK), *cycle* (CYC) and cryptochrome (CRY-m, CRY-d) in *Carposina sasakii* and other 15 insects. Boxes in different color show different domains, while numbers under boxes show the position of domains on protein sequences. Species and their taxonomic status are shown on the left: Tcas, *Tribolium castaneum*; Agla, *Anoplophora glabripennis*; Agam, *Anopheles gambiae*; Dmel, *Drosophila melanogaster*; Pxy, *Plutella xylostella*; Cpom, *Cydia pomonella*; Csas, *Carposina sasakii*; Dple, *Danaus plexippus*; Tni, *Trichoplusia ni*; Slit, *Spodoptera litura*; Harm, *Helicoverpa armigera*; Bmor, *Bombyx mori*; Bman, *Bombyx mandarina*; Msex, *Manduca sexta*; Gmel, *Galleria mellonella*; Ofur, *Ostrinia furnacalis*.

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**Fig. 5** Phylogenetic relationships of two PAS domains in three circadian genes: *period* (*PER*), *Clock* (*CLK*) and *cycle* (*CYC*). Each tip is labeled by the name of domain, gene and species. Abbreviations of species are same as in Fig. 4. Six clades shaded in different color reveal two domains of three genes, while one domain of *CLK* gene has two different types among species. Tips in red show the position of *Carposina sasakii*.

### Supplemental Information

**Table S1** Classification of repeat sequences identified in the *Carposina sasakii* genome

**Table S2** Gene expression of diapause and day length related genes across different developmental stages

**Fig. S1** Orthogroups shared by five Lepidoptera species of *Carposina sasakii*, *Cydia pomonella*, *Bombyx mori*, *Ostrinia furnacalis* and *Helicoverpa armigera*.

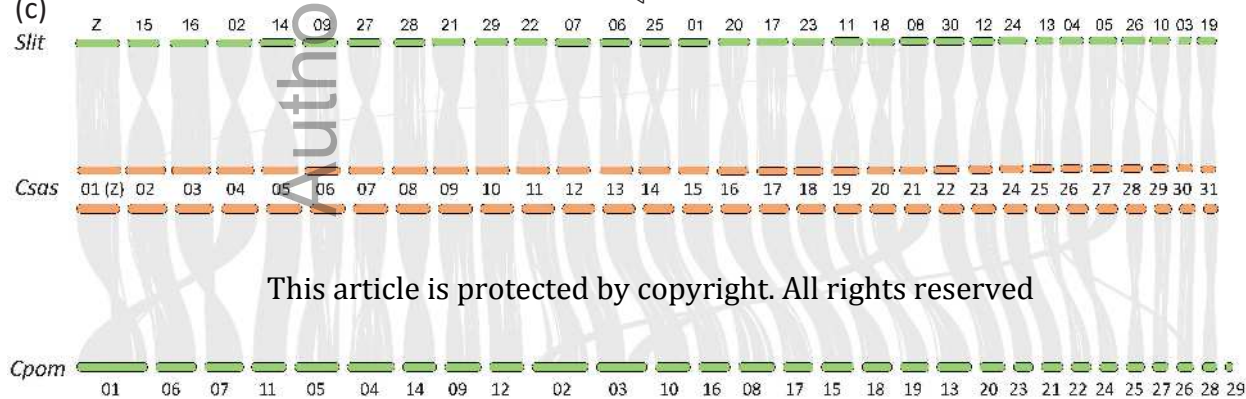
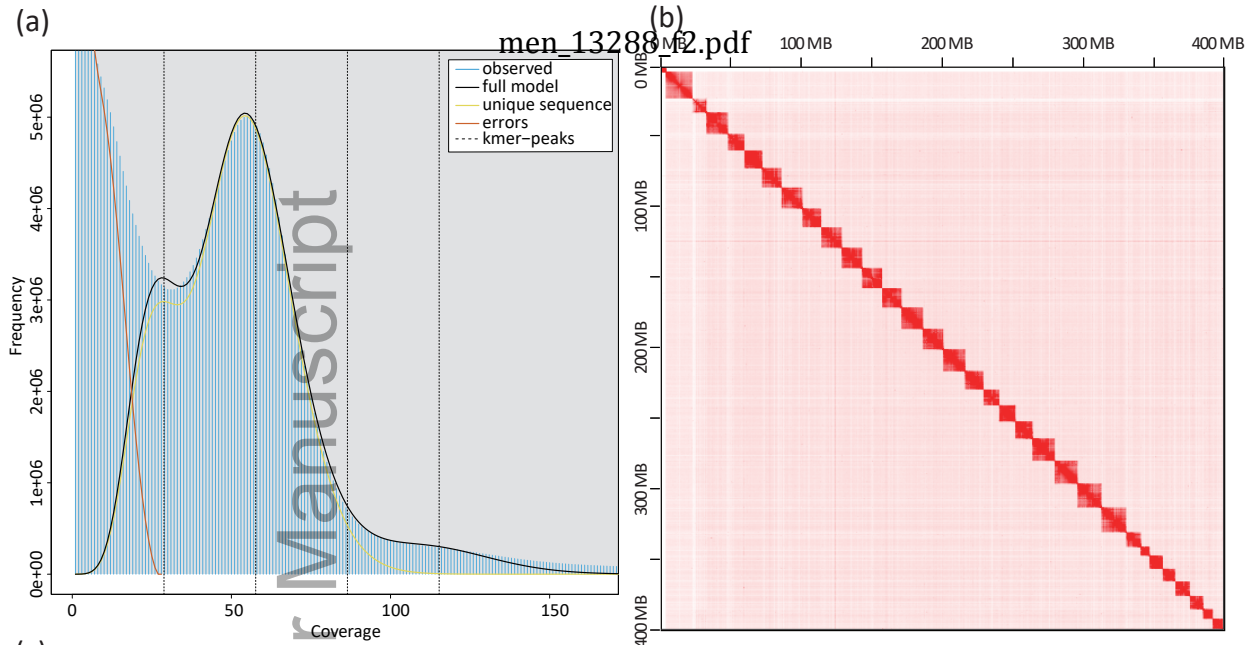
**Fig. S2** Gene expression of diapause and day length related genes across different developmental stages based on *Carposina sasakii* transcriptome data

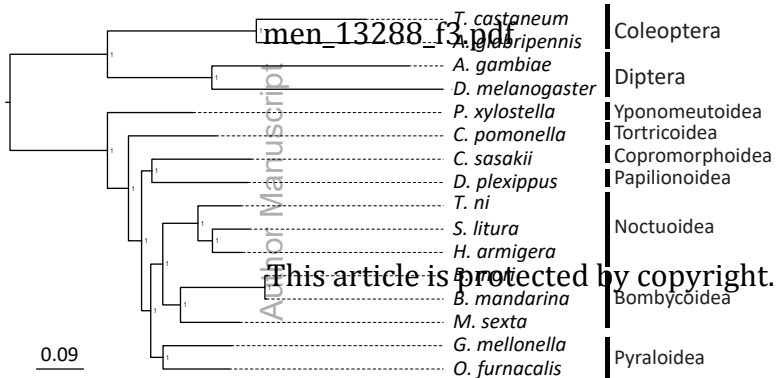
**Fig. S3** Phylogenetic relationships of *Carposina sasakii* ATP-binding cassette (ABC) transporter gene families (a), carboxyl/cholinesterases (CCEs) (b), glutathione S-transferase (GSTs) (c), cytochrome P450 (P450) (d) and UDP-glycosyltransferases (UGTs) (e) in comparison with *Bombyx mori* and *Cydia pomonella*.

**Fig. S4** Phylogenetic relationships of *Carposina sasakii* gustatory receptors (GR) (a), ionotropic receptors (IR) (b), odorant-binding proteins (OBP) (c), olfactory receptors (OR) (d) in comparison with *Bombyx mori*



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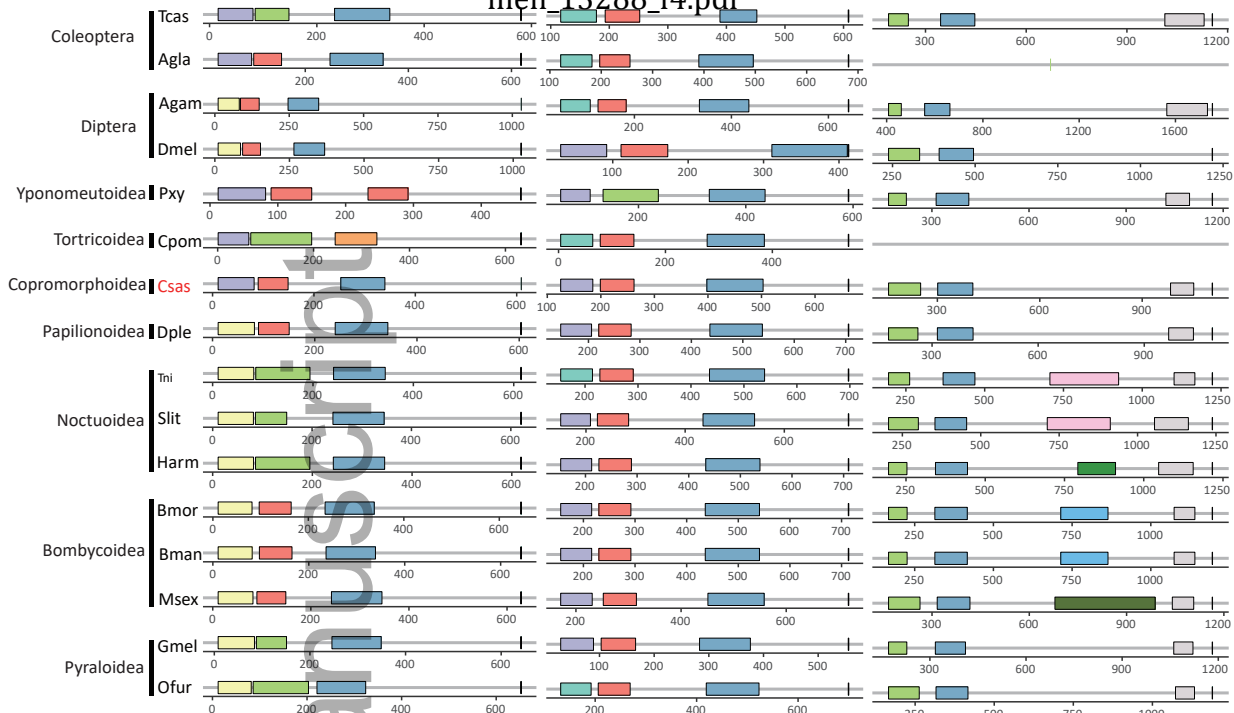


CLK

CYC

PER

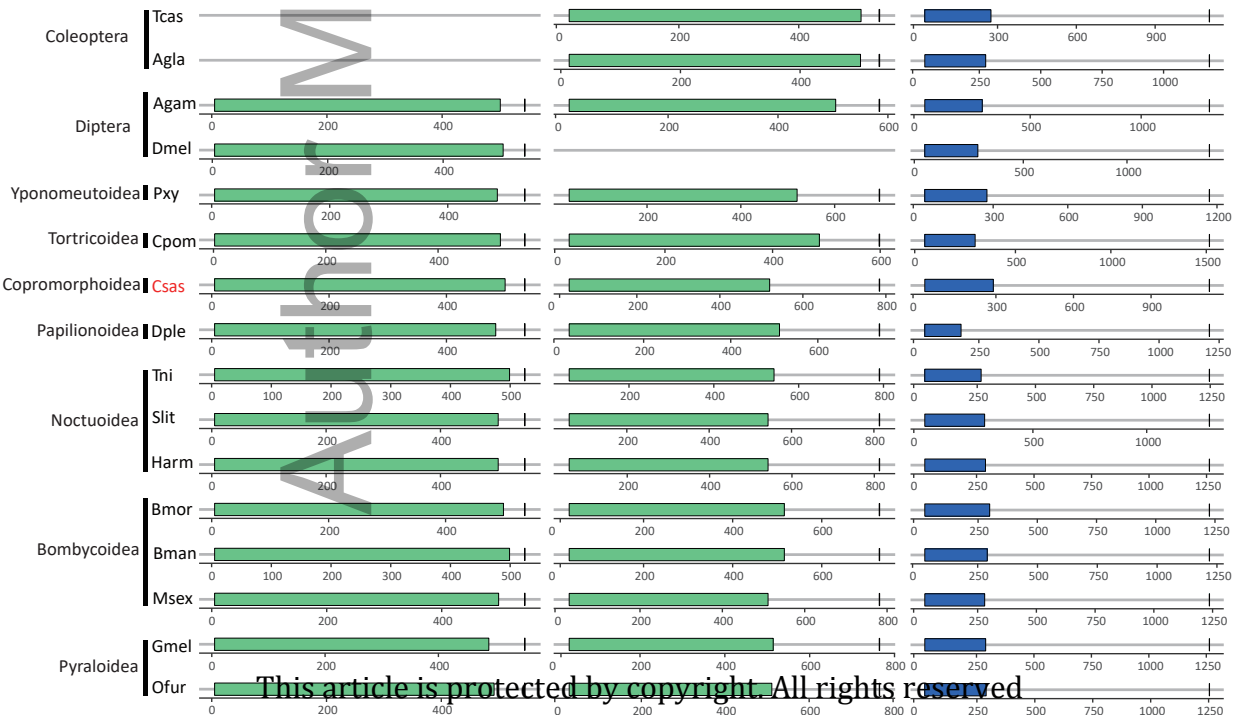
men\_13288\_f4.pdf



CRY-d

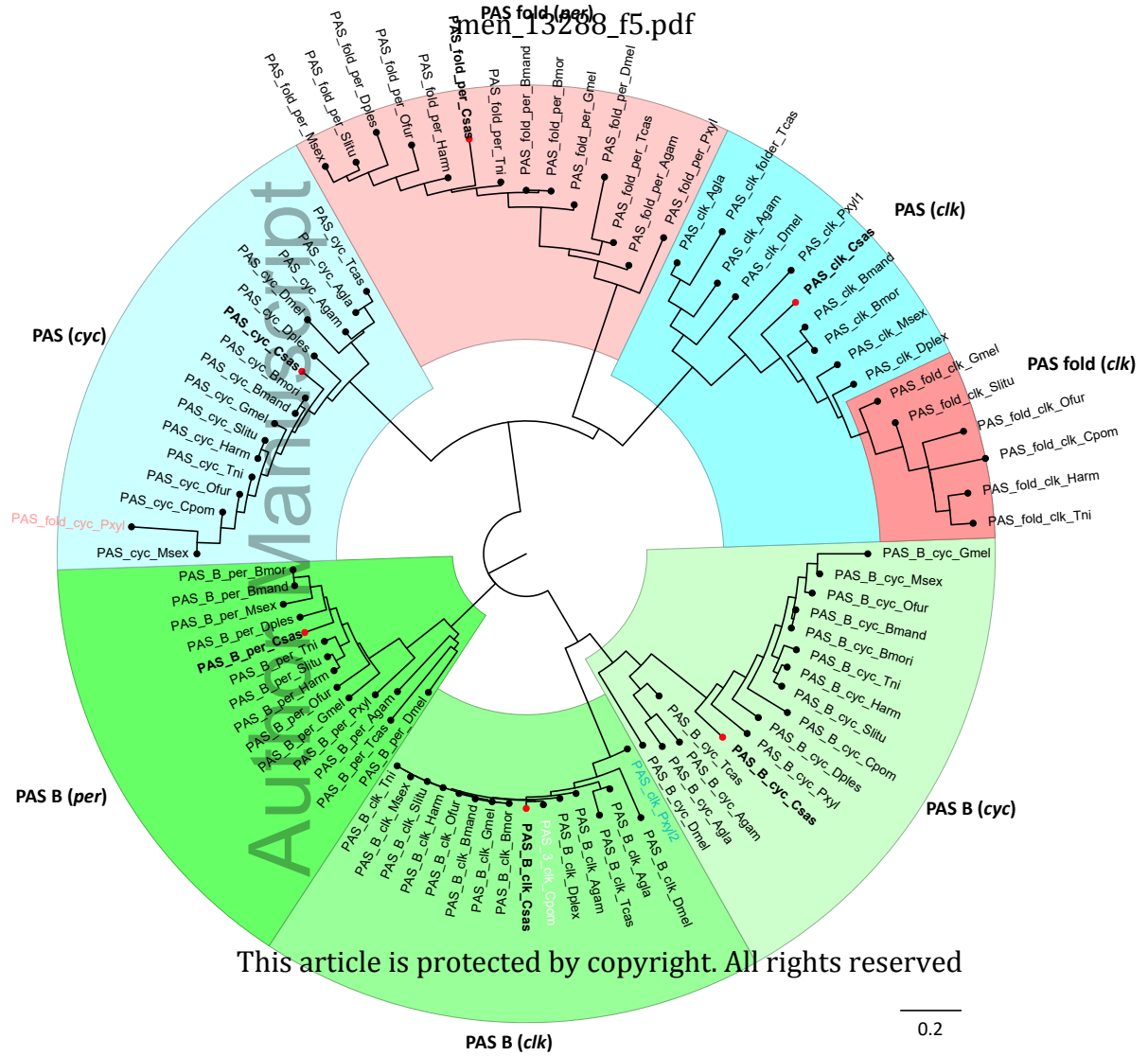
CRY-m

TIM



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