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Phase II trial evaluating the feasibility of interdigitating folfox with chemoradiotherapy in locally advanced and metastatic rectal cancer

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Background: Patients (pts) with metastatic rectal cancer and symptomatic primary, require local and systemic control. Chemotherapy used during chemoradiotherapy (CRT) is adequate for radiosensitisation, but suboptimal for systemic control. The aim of this phase II study was to assess tolerability, local/systemic benefits, of a novel regimen delivering interdigitating intensive chemotherapy with radical CRT.

Methods: Eligible pts had untreated synchronous symptomatic primary/metastatic rectal cancer. A total of 12 weeks of treatment with split-course pelvic CRT (total 50.4 Gy with concurrent oxaliplatin and 5-FU infusion) alternating with FOLFOX chemotherapy. All pts staged with CT, MRI and FDG-PET pre and post treatment.

Results: Twenty-six pts were treated. Rectal primary MRI stage: T3 81% and T4 15%. Liver metastases in 81%. Twenty-four pts (92%) completed the 12-week regimen. All patients received planned RT dose, and for both agents over 88% of patients achieved a relative dose intensity of >75%. Grade 3 toxicities: neutropenia 23%, diarrhoea 15%, and radiation skin reaction 12%. Grade 4 toxicity: neutropenia 15%. FDG-PET metabolic response rate for rectal primary 96%, and for metastatic disease 60%.

Conclusions: Delivery of interdigitating chemotherapy with radical CRT was feasible to treat both primary and metastatic rectal cancer. High completion and response rates were encouraging.

Patients who present with locally advanced rectal cancer (LARC) with metastatic disease present a difficult clinical challenge. These patients are usually symptomatic from their primary tumour, although co-existing distant disease will progress requiring systemic therapy. Owing to these competing elements, a common dilemma is

thus whether to recommend local treatment to avoid uncontrolled pelvic disease, or commence first-line systemic chemotherapy.

Resection of the primary tumour can lead to significant post-operative complications while chemoradiotherapy (CRT), with radiosensitising infusional 5-FU, deals with the local symptoms.

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However, the 5-FU dose used is sub-therapeutic for metastatic control (O'Connell *et al*, 1994; Crane *et al*, 2001). Local therapies can also significantly delay the commencement of palliative chemotherapy, during which time the patient's condition may deteriorate, reducing their subsequent therapeutic options including metastectomy.

Systemic chemotherapy alone may provide distant disease regression, but with only short-term control of the primary, which may require subsequent salvage radiotherapy (RT). Systemic chemotherapy alone or in the neoadjuvant setting has been evaluated in this setting, with regimens such as oxaliplatin-5-FU (with or without monoclonal antibodies), achieving radiological response rates ranging from 30 to 45% (Stelzner *et al*, 2008; Chua *et al*, 2010; Suarez *et al*, 2011; Glynne-Jones *et al*, 2012).

To increase the effectiveness of RT on LARC and to optimise systemic control there have been efforts to incorporate oxaliplatin within the 5-FU-based CRT regimens, given its radiosensitisation and activity in the adjuvant/advanced disease settings. Of the five phase III trials evaluating the added benefit of oxaliplatin in preoperative CRT (Gerard *et al*, 2010; Aschele *et al*, 2011; Roh *et al*, 2011; Rodel *et al*, 2012; Schmoll *et al*, 2013), only two had demonstrated increased pathological response rates in favour of oxaliplatin, but with increased toxicity (Gerard *et al*, 2010; Rodel *et al*, 2012). The improved pathological response rates in favour of oxaliplatin here may reflect the lower RT dose (Gerard *et al*, 2010), or inadequate 5-FU backbone (Rodel *et al*, 2012), in the control arms of these studies. It must also be noted that in all these phase III trials, low-dose oxaliplatin was used, predominantly as a radiosensitiser, rather than delivering systemic doses. Nevertheless, lower rates of intra-abdominal metastases were discovered at surgery in patients treated with oxaliplatin (Gerard *et al*, 2010; Aschele *et al*, 2011; Rodel *et al*, 2012), suggesting that adding oxaliplatin may improve control of micrometastatic disease.

The reason for this lack of benefit of oxaliplatin with CRT is unclear. The added toxicity, necessitating dose reductions and treatment interruptions, may have led to undertreatment and tumour cell repopulation during treatment breaks (Martin and Bekaii-Saab, 2013). Given these issues, modified schedules of combining oxaliplatin in the treatment of LARC or LARC concurrent with metastases are warranted to optimise both local and systemic control (An *et al*, 2013; van Dijk *et al*, 2013).

In this regard, the phase II trial reported here evaluates, in patients with symptomatic LARC and metastatic disease, a novel programme of oxaliplatin-5-FU-based chemotherapy interdigitating with pelvic CRT. This protocol aims to concurrently treat both local and metastatic disease while maintaining chemotherapy dose intensity and minimising toxicity. The primary end point was the tolerability rate, defined as the percentage of patients who were able to complete (reached week 11) the planned treatment programme and who did not require a treatment break for toxicity. Secondary end points were tumour response rates of primary and metastatic disease.

PATIENTS AND METHODS

Eligibility criteria. Eligible patients met the following criteria: (1) untreated and pathologically proven rectal adenocarcinoma with distant metastasis that potentially would benefit from CRT (based on local symptoms) and systemic chemotherapy, (2) lower border of tumour ≤ 15 cm from anal verge, (3) age ≥ 18 years, (4) ECOG performance status 0–2, (5) adequate organ function (absolute neutrophil count $> 1.5 \times 10^9 l^{-1}$, haemoglobin $> 100 g l^{-1}$, platelets $> 100 \times 10^9 l^{-1}$, creatinine clearance $\geq 55 ml min^{-1}$, bilirubin $\leq 2.0 \times$ upper limit of normal (ULN), ALT $\leq 5 \times$ ULN), (6) life expectancy ≥ 3 months, (7) no symptomatic peripheral neuropathy, and (8) signed informed consent.

Patients were excluded based on the following criteria: (1) prior pelvic RT, (2) febrile intercurrent illness or infection, (3) history of myocardial infarction within the previous 6 months or unstable cardiac disease or any other medical condition likely to compromise the safe treatment delivery or be potentially exacerbated by these modalities, (4) concurrent treatment with other anti-cancer therapy, (5) locally recurrent rectal cancer.

Institutional ethics review committee approval was obtained from all sites.

Treatment plan

Chemotherapy interdigitating with split-course pelvic chemoradiotherapy. The protocol therapy comprised of 12 weeks of treatment with pelvic CRT interdigitating with FOLFOX chemotherapy. The pelvic CRT consisted of 50.4 Gy, 1.8 Gy per fraction, 5 days per week, split into 2 equally divided courses, given with concurrent oxaliplatin plus infusional 5-FU, interdigitating with 3 courses of FOLFOX chemotherapy. The FOLFOX regimen was based on an Australian trial (Goldstein *et al*, 2005), and the oxaliplatin and 5-FU dose in CRT was based on a local phase I trial (Loi *et al*, 2005). The split-course radiation was used to reduce both the acute radiation toxicity and the impact of accelerated repopulation during RT. To maintain chemotherapy exposure during the radiation-free break, a course of chemotherapy was delivered.

The 12-week treatment programme is outlined as below (refer to Figure 1):

Weeks 1–2: 1 cycle FOLFOX chemotherapy (oxaliplatin $100 mg m^{-2}$ per 2 h, leucovorin $200 mg m^{-2}$ per 2 h, then 5-FU $400 mg m^{-2}$ bolus day 1, then 5-FU continuous infusion (CI) $2.4 g m^{-2}$ per 46 h.

Weeks 3–5: pelvic RT 25.2 Gy/1.8 Gy fractions for 5 days in each of weeks 3 and 4 and for 4 days in week 5; with $85 mg m^{-2}$ oxaliplatin day 1 week 3, plus 5-FU CI $200 mg m^{-2}$ per day on the days of RT (Monday to Friday).

Weeks 6–7: FOLFOX chemotherapy, as above, day 1, week 6.

Weeks 8–10: pelvic RT as above for 5 days in each of weeks 8 and 9 and for 4 days in week 10; $85 mg m^{-2}$ oxaliplatin day 1 week 8, plus 5-FU CI $200 mg m^{-2}$ per day on the days of RT (Monday to Friday).

Weeks 11–12: FOLFOX chemotherapy, as above, on day 1, week 11.

Radiation technique. The pelvic CRT consisted of 50.4 Gy, 1.8 Gy per fraction, 5 days per week, split into 2 equally divided courses. The radiation clinical target volume (CTV) for the first 45 Gy included the primary rectal cancer, perirectal and internal iliac nodes, mesorectum, pelvic side walls and presacral space, with the upper border at the sacral promontory. The CTV was reduced after 45 Gy to include gross disease with a 1-cm margin. Megavoltage RT (6–18 MeV) and multiple field techniques (3 fields: PA + 2 laterals, or 4 fields) were used. All fields were treated daily. Port films were performed weekly. Belly-board and customised

Weeks	1	2	3	4	5	6	7	8	9	10	11	12
RT			5 fr ^a	5 fr	4 fr			5 fr	5 fr	4 fr		
Chemo	FOLFOX ^b		Ox-5FU+RT ^c			FOLFOX		Ox-5FU+RT		FOLFOX		

^a fr: Radiation fraction

^b FOLFOX chemotherapy: oxaliplatin $100 mg m^{-2}$ per 2 hours, leucovorin $200 mg m^{-2}$ per 2 hours, then 5-FU $400 mg m^{-2}$ bolus day 1, then 5-FU continuous infusion (CI) $2.4 g m^{-2}$ per 46 hours, weeks 1, 6, and 11

^c Ox-5FU+RT: Oxaliplatin $85 mg m^{-2}$ day 1, 5FU CI, $200 mg m^{-2}$ per day on days of pelvic RT, weeks 3–5, and 8–10.

Figure 1. Treatment schema.

shielding were used to minimise toxicity. Treatment planning was performed with computerised dosimetry. ICRU-50 and ICRU-62 were followed. Verification images were performed weekly.

Treatment modification during chemotherapy interdigitating with split-course pelvic chemoradiotherapy. For the commencement of each course of FOLFOX and during CRT, on day 1 of weeks 3 and 8 of chemotherapy with oxaliplatin + 5-FU CI, patients were required to have: ANC $> 1.5 \times 10^9 l^{-1}$ and platelets $> 100 \times 10^9 l^{-1}$ and had recovered from treatment-related non-haematological toxicities to grade 0–2. During CRT, on day 1 of weeks 4, 5, 9 and 10 of chemotherapy with 5-FU CI only, patients were required to have ANC $\geq 1.0 \times 10^9 l^{-1}$ and platelets $\geq 100 \times 10^9 l^{-1}$.

Chemotherapy dose reductions/omissions were made based on haematological and non-haematological toxicities. Chemotherapy was withheld for grade 3 or 4 clinically significant treatment-related non-haematological toxicities and recommenced with a dose reduction once there had been recovery to grade 0–2. Radiotherapy, together with chemotherapy, was suspended if the patient experienced treatment-related grade 3 or 4 radiation-associated toxicities. If recovery of toxicity to grade 2 or less had not occurred after 2 weeks of delay, treatment was ceased.

As the primary end point was feasibility, treatment was not to be delayed due to toxicity, rather the relevant agent was omitted until the adverse event had resolved, followed by subsequent dose modification. Omitted treatment was not made up for and thus all treatment was completed within the planned 12 weeks.

Patient evaluations

Pre-treatment evaluation: Within 4 weeks of trial entry, patients underwent clinical evaluation (history, physical examination, ECOG performance status assessment, neurological examination) as well as digital rectal examination, sigmoidoscopy and tumour biopsy. Blood samples were taken for full-blood examination, biochemistry (urea and electrolytes, liver function tests (ALP, ALT, serum bilirubin) and carcinoembryonic antigen levels). Baseline staging was via CT scan chest, abdomen and pelvis, MRI pelvis, and FDG-PET scan.

Evaluations during treatment: Before each dose of FOLFOX and weekly during CRT (weeks 1, 3, 6, 8 and 11) patients underwent clinical evaluation (as above), toxicity assessment and blood examination as above.

Evaluations following completion of therapy: Patients underwent clinical evaluation (as above), toxicity assessment and were restaged with CT scan of chest, abdomen and pelvis, FDG-PET and pelvic MRI.

Statistical analysis

Prospectively defined end points

Primary end point: The tolerability rate was defined as the percentage of patients who were able to complete (reached week 11) the planned treatment programme and who did not require a treatment break for toxicity. Secondary tolerability end points were: (1) actual dose intensity ($mg m^{-2}$ per week): the total dose per body surface area divided by the duration of drug treatment (the number of weeks between start and finish of chemotherapy plus 14 days); (2) relative dose intensity (%): the ratio of the actual dose intensity to the planned dose intensity. The planned dose intensity being defined as being equal to 100%; (3) relative treatment duration: the ratio of the actual duration of treatment to the planned duration of treatment.

Secondary end points: Toxicity rates were graded using the NCI Common Terminology Criteria for Adverse Events v3.0 (CTCAE, 12 December 2003, <http://ctep.cancer.gov/forms/CTCAEv3.pdf>). Anatomical response (including pelvic and distant disease response rates were measured separately by CT/MRI, assessed using RECIST 1.0 criteria (Therasse *et al*, 2000), and by PET criteria at baseline and at 16 weeks. The PET metabolic response was assessed by two methods: (1) based on standardised uptake value (SUV) assessment according to the recommendations of the EORTC PET Study Group (Young *et al*, 1999). A SUV metabolic response in a lesion was defined as either a complete or partial metabolic response (CMR or a PMR) (2) and qualitatively or visually as previously described (Hicks, 2005). A visual metabolic response in a lesion was defined as either a CMRV or a PMRV. Both criteria were based on baseline and 16-week studies displayed side by side with normalised liver uptake.

Continuous variables were summarised using median and range. Qualitative variables were summarised by means of counts and percentages. All the confidence intervals were exact 95% confidence intervals.

Post hoc analysis of surgery post completion of planned therapy. The trial protocol ended 4 weeks after completion of the planned treatment programme. Thus the trial's prospectively defined end points (described above) had not included data collection with regard to the number of patients who underwent resection of their primary and metastectomy. These data were thus extracted from a retrospective review of the patients' records.

RESULTS

Patient cohort. Overall, ~40% of all rectal cancer patients referred to both centres were eligible for this trial and 85% percent of these were enrolled. The patients demographics are summarised in Table 1. Overall, there were 26 patients recruited. The majority had MRI-staged T3 rectal cancer (81%), and within 10 cm from the anal verge (85%). All patients had metastatic disease. Hepatic metastases were present in 81% (46% alone).

Treatment tolerability

Radiation therapy. All patients received the planned dose of 50.4 Gy; however, 25 (96%) received as specified in the protocol. In the remaining patient, the concurrent infusional 5-FU was ceased during week 4 due to grade 2 chest pain, possibly ischaemic. Chemotherapy was ceased and RT continued at 5×1.8 Gy per week until 50.4 Gy per 28 fractions.

Chemotherapy. The chemotherapy delivery parameters are summarised in Table 2. As described above, there was no protocol allowance for treatment to be delayed due to toxicity. The relevant agent was omitted, until the adverse events had resolved, followed by subsequent dose modification.

Overall, 16 or 62% of the cohort had completed the planned therapy duration (i.e., 11 weeks) without a chemotherapy dose interruption. Hence 10 of the 26 patients (38%) had a chemotherapy omission at different phases of treatment: in week 3 (the commencement of first block CRT), by grade 1 ($n=1$) and grade 4 neutropenia ($n=1$); in week 6 (post first block of CRT), due to grade 1 thrombocytopenia ($n=1$); in week 8 (the commencement of the second block of CRT) due to grade 3 ($n=4$) and grade 4 neutropenia ($n=2$) and grade 2 thrombocytopenia ($n=1$); in week 10 (last week of second block of CRT) by grade 2 thrombocytopenia and malignancy-related pulmonary embolism ($n=1$); and in week 11 due to grade 1 fever resulting in hospital admission ($n=1$). Overall,

Table 1. Patient demographics (n = 26)

Parameter	n	% (95% CI)
Gender		
Male	18	69 (48–86)
Female	8	31 (14–52)
Age		
Mean = 61 years		
Range = 33 and 82 years		
T stage		
T2	1	4 (0–20)
T3	21	81 (61–93)
T4	4	15 (4–35)
N stage		
Stage 0	2	8 (1–25)
Stage 1	10	38 (20–59)
Stage 2	14	54 (33–73)
Distance of the lower border of the tumour from the anal verge		
0–5 cm	7	27 (12–48)
6–10 cm	15	58 (37–77)
11 cm or more	4	15 (4–35)
Site of metastasis		
Liver only	12	46 (27–67)
Extra-pelvic lymph node and lung	1	4 (0–20)
Lung only	1	4 (0–20)
Liver and extra-pelvic lymph node	2	8 (1–25)
Liver and lung	7	27 (12–48)
Extra-pelvic lymph node only	3	12 (2–30)
Liver overall	21	81 (61–93)
Extra-pelvic lymph node overall	6	23 (9–44)
Lung overall	9	35 (17–56)
Serum hepatic biochemistries		
Bilirubin (median, range) ($\mu\text{mol l}^{-1}$)	8 (4–38)	
ALP (median, range) (U l^{-1})	88 (60–774)	
ALT (Median, Range) (U l^{-1})	18 (6–110)	
Carcinoembryonic antigen (CEA)		
Median	24.55	
Range	(1.7–922.7)	

Abbreviations: ALP = alkaline phosphatase; ALT = alanine transaminase; CI = confidence interval.

only two patients had two dose omissions due to myelosuppression.

The proportion of patients who achieved the planned dose intensity for oxaliplatin and 5-FU was 54% (95% CI (33–73%)) and 58% (95% CI (37–77%)), respectively (Table 2). It must be noted that for both agents, over 88% of patients achieved a relative dose intensity of >75%. In terms of the primary end point (i.e., tolerability rate defined as the percentage of patients that completed the planned treatment programme), 24 of the 26 patients reached week 11 of the treatment, which corresponds to 92% of the cohort (95% CI (75–99%)).

Toxicity. Table 3 summarises the number of patients experiencing a grade 3 or higher toxicity related to treatment. Table 4 summarises all treatment-related adverse events experienced at

Table 2. Chemotherapy dose-delivery details (n = 26)

Parameter	n	% (95% CI)
Oxaliplatin		
Oxaliplatin with dose intensity as planned (mg m^{-2} per week)	14	54 (33–73)
Oxaliplatin with relative dose intensity ≥ 0.75	23	88 (70–98)
Leucovorin		
Leucovorin with dose intensity as planned (mg m^{-2} per week)	18	69 (48–86)
Leucovorin with relative dose intensity ≥ 0.75	22	85 (65–96)
5-FU		
5-FU with dose intensity as planned (mg m^{-2} per week)	15	58 (37–77)
5-FU with relative dose intensity ≥ 0.75	24	92 (75–99)
Treatment delivery		
Treatment delay due to toxicity ^a	3	12 (2–30)
Treatment dose omitted	10	38 (20–59)

Abbreviations: CI = confidence interval; 5-FU = 5-fluorouracil.

^aThere was no provision in the protocol for treatment to be delayed due to toxicity, rather the relevant agent was to be omitted until the adverse events were overcome, with subsequent dose modification. However, on three occasions there were protocol deviations involving treatment delay, refer to Results.

least once by patients. The most common adverse events experienced were diarrhoea, fatigue, sensory neuropathy and neutropenia.

Overall, 19 (73%) of the 26 patients had at least one grade 3 or more toxicity. The most frequent grade 3+ toxicity was neutropenia: 6 patients (23%) with grade 3 and 4 patients (15%) with grade 4. There were no episodes of febrile neutropenia.

Overall radiation-induced skin reaction and diarrhoea were limited to grade 3 (12% and 15%, respectively) and radiation-induced proctitis was limited to grade 2 (8 episodes). Fatigue and neuropathy were also common, but only grade 3 in 2 patients and 1 patient respectively.

Radiological and functional imaging responses

Primary disease response. The radiological and functional imaging response is summarised in Table 5. Primary tumoural response was assessed in all 26 patients by FDG-PET and in 25 by MRI. No patients had a radiological (MRI) CR in the primary, however, 72% had a radiological PR. In terms of FDG-PET metabolic response, 31% (8 out of 26) had a CMRv. Overall, only one patient did not have at least a partial metabolic response in the primary according to either SUV or visual metabolic response criteria.

Metastatic disease response. The response in metastatic lesions was evaluated in 25 patients by FDG and in 23 by CT scan. Three patients had non-evaluable disease at baseline hence not enabling response assessment using RECIST criteria. Response rates in metastatic lesions were 48% by CT criteria, 52% by visual metabolic response (CMRv 24%) and 60% by SUV metabolic response (CMR 16%) criteria.

Surgery post completion of planned therapy. On *post hoc* review of patient records: overall 6 of the 24 patients entered into the trial, underwent rectal surgery post completion of the planned treatment programme, either for palliation to optimise local control ($n = 4$) or in a curative setting ($n = 2$). Of the 6, 3 underwent abdominal-perineal resection and the other 3 anterior resections. All patients

Table 3. Details of patients who experienced a grade 3 or higher toxicity related to treatment

Parameter ^a	n	% (n = 26)
Laboratory		
Haemoglobin	1	4
White cell count	6	23
Neutrophils	10	38
ALP	1	4
Gastrointestinal		
Haemorrhage, rectum	1	4
Diarrhoea	4	15
Pain – anorectal	3	12
Pain – rectum	2	8
Rash/desquamation/radiation dermatitis	4	16
General		
Neuropathy: sensory	1	4
Dizziness	1	4
Fatigue	2	8
Vomiting	1	4
Nausea	1	4
Pneumonia	1	4
Thrombosis/thrombus/embolism	1	4
At least one grade 3 toxicity		
	19	73
Abbreviations: ALP = alkaline phosphatase; CTCAE = Common Terminology Criteria for Adverse Events. ^a CTCAE V3.0 adverse event term.		

had evidence of pathological treatment response in the resected rectal primary, including 1 patient with a complete pathological response and 2 patients with only residual isolated tumour cells in the resected specimen. Two patients (including the 1 patient who achieved a complete pathological response in the resected primary) underwent simultaneous or sequential liver metastectomy, respectively.

Of the 6 patients who underwent tumoural resection, 2 are still alive without evidence of disease ~5 years post surgery.

DISCUSSION

Patients with metastatic rectal cancer with a locally advanced primary *in situ* represent a complex oncological problem. The standard approaches to deal with local symptoms provide inadequate systemic exposure for distant disease control. Novel regimens concurrently addressing both local and systemic disease are urgently warranted.

In this regard, the phase II trial reported here evaluated a novel programme of oxaliplatin–5-FU interdigitating with pelvic CRT to concurrently address both local and distant disease. The split-course CRT, with chemotherapy in the RT-free windows, as evaluated here, theoretically achieves several aims. First, it reduces the extent of accelerated repopulation induced after the first 2 weeks of standard fractionated long-course RT (Tarnawski *et al*, 2002). Second, shorter intensive CRT regimens are associated with reduced toxicity and greater probability of local control (Ritter, 1999). Finally, the chemotherapy in the RT-free windows can potentially be delivered at full systemic doses to provide continued control of metastatic disease. The utility of split-course RT in rectal cancer has

Table 4. All related adverse events (CTCAE V3.0) experienced at least once by patients

Adverse event ^a	CTC grade events				No. of patients experiencing any grade toxicity
	1	2	3	4	
Laboratory					
Haemoglobin	19	3	1	0	19
WCC	19	12	6	0	21
Neutrophils	14	9	7	4	17
Platelets	15	2	0	0	15
ALP	6	2	1	0	6
ALT	13	3	0	0	13
Bilirubin	3	1	0	0	4
Gastrointestinal					
Anorexia	2	1	0	0	2
Constipation	7	3	0	0	9
Diarrhoea	19	10	4	0	21
Haemorrhage, GI – rectum	2	0	1	0	3
Mucositis/stomatitis	11	2	0	0	12
Nausea	19	5	1	0	19
Pain – anus	3	1	1	0	4
Pain – perineum	2	0	0	0	2
Pain – rectum	5	1	2	0	7
Proctitis	14	8	0	0	15
Vomiting	8	3	1	0	9
General					
Confusion	0	0	1	0	1
Cystitis	2	1	0	0	2
Dizziness	4	0	1	0	5
Dysuria	2	0	0	0	2
Fatigue	20	11	2	0	22
Fever (non-neutropenic)	4	0	0	0	4
Alopecia	4	0	0	0	4
Incontinence, urinary	0	1	0	0	1
Infection: pneumonia	0	0	1	0	1
Infection: genito-urinary tract	0	2	0	0	2
Neurology – oral-laryngo-pharyngeal dysesthesia	5	2	0	0	7
Neuropathy: motor	0	1	0	0	1
Neuropathy: sensory	24	4	1	0	24
Neuralgia/peripheral nerve	1	0	0	0	1
Pain – bladder	8	0	0	0	8
Rash/desquamation	5	0	1	0	5
Radiation dermatitis	12	4	3	0	12
Rash: hand-foot skin reaction	2	1	0	0	3
Taste alteration (dysgeusia)	8	0	0	0	8
Thrombo-embolism	0	0	1	0	1
Urinary frequency/urgency	9	5	0	0	10
Urinary retention	1	1	0	0	2
Voice changes/dysarthria	2	0	0	0	2
Weight loss	1	0	0	0	1
Abbreviations: ALP = alkaline phosphatase; ALT = alanine transaminase; CTC = Common Terminology Criteria; GI = gastrointestinal; WCC = white cell count. ^a CTCAE V3.0 adverse event term.					

not been reported, and thus it is unclear whether such an approach is associated with a negative impact on outcome in terms of local control or survival. There are several examples in other cancer

Table 5. Imaging response for primary and metastases

Response assessment ^a	CR		CR + PR	
	n	% (95% CI)	n	% (95% CI)
Primary				
MRI response (n = 25) ^b	0	0 (0–14)	18	72 (51–88)
FDG-PET visual MR (n = 26) ^c	8	31 (14–52)	25	96 (80–100)
FDG-PET SUV MR (n = 26) ^d	1	4 (0–20)	25	96 (80–100)
Metastases				
CT response – CT (n = 23) ^b	2	9 (1–28)	11	48 (27–69)
FDG-PET visual MR overall (n = 25) ^c	6	24 (9–45)	13	52 (31–72)
FDG-PET SUV MR overall (n = 25) ^d	4	16 (5–36)	15	60 (39–79)

Abbreviations: CI = confidence interval; CR = complete response; CT = computerised tomography; FDG-PET = 18-fluorodeoxyglucose positron emission tomography; MR = metabolic response; MRI = magnetic resonance imaging; PR = partial response; SUV = standardised uptake value.

^aMR = metabolic response; CR = complete response; PR = partial response.

^bRECIST criteria (Therasse *et al*, 2000).

^cAs previously described (Hicks, 2005).

^dAs per recommendations of the EORTC PET Study Group (Young *et al*, 1999).

types, associated with reduced toxicity while maintaining efficacy (Pradier *et al*, 2004; Metcalfe *et al*, 2010; Fukuda *et al*, 2012; Gogna *et al*, 2012). The high metabolic response rates of the primary rectal cancer reported here support that this strategy warrants further study, but it should also be noted that split-course radiation was reported to have a negative impact in head and neck (Daoud *et al*, 2007; Miszczyk *et al*, 2014), gynaecological (Pedersen *et al*, 1994), and anal cancers (John *et al*, 1996).

The primary end point of the trial reported here was tolerability rate: defined as the percentage of patients who completed (reached week 11) the planned treatment programme and who did not require a treatment break for toxicity. Overall, 24 (92%) of the 26 patients reached week 11 of the treatment. As well, 88% of patients achieved a relative chemotherapy dose intensity of >75% (Table 2). All patients received the planned radiation dose, whereas 10 patients (38%) had at least one chemotherapy treatment omission, the majority for myelosuppression. The chemotherapy dose intensity achieved was a function of the prospectively defined haematological parameter thresholds for the commencement of systemic therapy both as a single modality or in combination with RT. The thresholds represented our institutional practices and are similar to those used in the phase I trial of oxaliplatin and infusional 5-FU, with pelvic RT reported from our centre (Loi *et al*, 2005).

A direct comparison of the dose delivery and toxicities with this regimen relative to the other reported oxaliplatin-based CRT regimens is difficult for several reasons including: (i) the use of a split course of oxaliplatin-5-FU CRT, (ii) the impact of full-dose FOLFOX given before the start of CRT and in the RT-free window, and (iii) the modest cohort size. With these caveats, it appears that the achieved dose intensity was similar to that in the reported phase III trials. In the STAR-01 phase III trial (n = 747), patients with resectable LARC were randomised to pelvic radiation (50.4 Gy per 28 fractions) with infusional 5-FU (225 mg m⁻² per day) either alone or with oxaliplatin (60 mg m⁻² per week 6 ×) (Aschele *et al*, 2011). Overall, 66% of patients in the oxaliplatin arm received all six weekly doses, and 75% had a delivered/planned dose ratio of ≥80% (Aschele *et al*, 2011). The phase III CAO/ARO/AIO-04 trial randomised patients with resectable LAR to 5-FU-based CRT (50.4 Gy plus infusional 5-FU 1000 mg m⁻², days 1–5 and 29–33), vs the same RT with infusional 5-FU (250 mg m⁻² days 1–14 and 22–35) and oxaliplatin (50 mg m⁻² per week 4 ×). During the CRT, 85% of the 606 patients in the oxaliplatin-5-FU CRT arm

had full-dose chemotherapy, and 94% had the full-dose RT (Rodel *et al*, 2012).

In this trial, the most frequent toxicity was neutropenia: 4 patients (15%) had grade 4 neutropenia but without febrile neutropenia. Overall, there was no grade 4 non-haematological toxicity and <15% of patients had grade 3 radiation-induced skin reaction, or grade 3 diarrhoea (Table 5). The STAR-01 phase III trial, observed in the oxaliplatin arm 15% grade 3 diarrhoea and with 5% grade 3/4 radiation dermatitis (Aschele *et al*, 2011). In the phase III CAO/ARO/AIO-04 trial, grade 3–4 diarrhoea was observed in 12% of patients treated with oxaliplatin-5-FU CRT (Rodel *et al*, 2012). These observations were supported by a smaller phase II trial of CRT using oxaliplatin 60 mg m⁻² per week and 5-FU (200 mg m⁻² per day over five days 5 ×), combined with 45 Gy per 25 fractions (Fontana *et al*, 2013).

In this trial, only 6 of the 24 patients had undergone resection of their rectal cancer and/or metastases (Results section), hence pathological response data are very limited to generate any firm conclusions. However, the imaging response rates for both the primary and metastatic disease with this intensive novel regimen reported here was promising. The overall radiological (MRI) response rate for the primary was 72%. There are limited and inconsistent data regarding the value of rectal MRI for restaging post CRT and hence is not considered a routine procedure. The interpretation of the post-CRT MRI tumour signal needs to distinguish between fibrosis and residual-treated tumour (Patel *et al*, 2012a,b). A recent meta-analysis evaluated 33 studies (n = 1556 patients) to obtain performance values of restaging MRI for LARC post neoadjuvant treatment. For tumour stage, the mean sensitivity was 50.4%, and the mean specificity 91.2% (van der Paardt *et al*, 2013). In one multicentre prospective database study, 285 patients had restaging MRI and CT post CRT. MRI could not predict T stage (kappa = 0.212) or nodal involvement (kappa = 0.336). Most pertinently, MR imaging was unable to detect a complete pathological response (kappa = 0.021) (Hanly *et al*, 2013).

The primary rectal (complete or partial metabolic) FDG-PET response was 96% (either visual or SUV), with 31% having a CMRv. A retrospective study from our centre (n = 78) had evaluated the value of FDG-PET response post CRT using a 5-FU-based neoadjuvant therapy (Yeung *et al*, 2011). Post CRT, 47% of patients had a CMR, 33% a PMR, however, only 5% had a complete pathological response. Despite this, PET metabolic response was strongly predictive of survival (Yeung *et al*, 2011). In the phase I trial of oxaliplatin-5-FU-based pelvic CRT from our centre described above, FDG-PET showed metabolic responses in 11 of the 12 primary tumours assessed (Loi *et al*, 2005).

In the trial reported here, the overall radiological response for the metastatic disease was 48%, whereas the overall PET metabolic response rate was 60% (CR rate 16%). The overall radiological response rate was similar to the published literature for the FOLFOX regimen in metastatic colorectal cancer, where rates of ~50% have been reported (de Gramont *et al*, 2000; Cassidy *et al*, 2004; Goldstein *et al*, 2005). It must be noted that the structural and functional imaging results observed in the trial reported here are preliminary and are not reinforced by pathological response data or disease control parameters (local recurrence, disease-free survival, etc).

We have thus demonstrated that this novel regimen of split-course CRT with concurrent oxaliplatin-5-FU and FOLFOX chemotherapy in the RT-free window is tolerable, deliverable and has demonstrated promising structural and functional imaging response rates for both primary and metastatic disease. There are several ways of optimising the regimen further to increase the control of the systemic disease while maintaining local control, including the utility of initial induction chemotherapy prior to CRT (Shin *et al*, 2011; Cercek *et al*, 2013; Fernandez-Martos *et al*,

2014), the utility of an irinotecan-5-FU backbone (Glynn-Jones *et al*, 2007; Nakamura *et al*, 2014), or biological agents (Crane *et al*, 2010; Gasparini *et al*, 2012; van Dijk *et al*, 2013). The regimen reported here is now being evaluated with the addition of bevacizumab and in patients with locally advanced disease without metastases (TROG 0901).

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

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