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Author/s:

Barrow, TM;Doo, NW;Milne, RL;Giles, GG;Willmore, E;Strathdee, G;Byun, HM

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## Analysis of retrotransposon subfamily DNA methylation reveals novel early epigenetic changes in chronic lymphocytic leukemia

Timothy M. Barrow,<sup>1</sup> Nicole Wong Doo,<sup>2,3</sup> Roger L. Milne,<sup>2,4,5</sup>  
Graham G. Giles,<sup>2,4,5</sup> Elaine Willmore,<sup>6</sup> Gordon Strathdee<sup>7</sup>  
and Hyang-Min Byun<sup>7</sup>

<sup>1</sup>Faculty of Health Sciences and Wellbeing, University of Sunderland, Sunderland, UK;

<sup>2</sup>Cancer Epidemiology Division, Cancer Council Victoria, Melbourne, Australia;

<sup>3</sup>Concord Hospital, University of Sydney, Sydney, Australia; <sup>4</sup>Center for Epidemiology and

Biostatistics, Melbourne School of Population and Global Health, The University of

Melbourne, Melbourne, Australia; <sup>5</sup>Precision Medicine, School of Clinical Sciences at

Monash Health, Monash University, Clayton, Australia; <sup>6</sup>CR UK Drug Discovery Unit,

Translational and Clinical Research Institute, Newcastle University, Newcastle upon Tyne,

UK and <sup>7</sup>Newcastle University Center for Cancer, Biosciences Institute, Newcastle

University, Newcastle upon Tyne, UK

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### ABSTRACT

Retrotransposons such as LINE-1 and *Alu* comprise >25% of the human genome. While global hypomethylation of these elements has been widely reported in solid tumours, their epigenetic dysregulation is yet to be characterised in chronic lymphocytic leukemia (CLL), and there has been scant consideration of their evolutionary history that mediates sensitivity to hypomethylation. Here, we developed an approach for locus- and evolutionary subfamily-specific analysis of retrotransposons using the Illumina Infinium Human Methylation 450K microarray platform, which we applied to publicly-available datasets from CLL and other haematological malignancies. We identified 9,797 microarray probes mapping to 117 LINE-1 subfamilies and 13,130 mapping to 37 *Alu* subfamilies. Of these, 10,782 were differentially methylated ( $P_{\text{DMS}} < 0.05$ ) in CLL patients ( $n=139$ ) compared with healthy individuals ( $n=14$ ), with enrichment at enhancers ( $P=0.002$ ). Differential methylation was associated with evolutionary age of LINE-1 ( $r^2=0.31$ ,  $P=0.003$ ) and *Alu* ( $r^2=0.74$ ,  $P=0.002$ ) elements, with greater hypomethylation of older subfamilies (L1M, *AluJ*). Locus-specific hypomethylation was associated with differential expression of proximal genes, including *DCLK2*, *HK4*, *ILRUN*, *TANK*, *TBCD*, *TNFRSF4B* and *TXNRD2*, with higher expression of *DCLK2* and *TNFRSF4B* associated with reduced patient survival. Hypomethylation at nine loci was highly frequent in CLL (>90% patients) but not observed in healthy individuals or other leukaemias, and was detectable in blood samples taken prior to CLL diagnosis in 9 of 82 individuals from the Melbourne Collaborative Cohort Study. Our results demonstrate differential methylation of retrotransposons in CLL by their evolutionary heritage that modulates expression of proximal genes.

### Correspondence:

TIMOTHY BARROW  
timothy.barrow@sunderland.ac.uk

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### Introduction

Retrotransposons, including long interspersed elements (LINE) and short interspersed elements (SINE), comprise more than 25% of the human genome. The most widely studied LINE and SINE are LINE-1 (L1) and *Alu* respectively, which can be further categorised into subfamilies according to sequence variants that inform upon their evolutionary heritage: L1 can be broadly categorised into L1M (mammalian-specific, oldest), L1P (primate-specific, intermediate) and L1H (human-specific, youngest) subfamilies; and *Alu* into *AluJ* (oldest), *AluS* (intermediate) and *AluY* (youngest).<sup>1,2</sup> Retrotransposons are considered to be mobile within the human genome, but the ability to retrotranspose has been lost in the oldest L1 and *Alu* subfamilies due to sequence changes over time, while, among others,

members of the L1PA, L1HS, *AluS* and *AluY* families have retained this ‘jumping’ ability.<sup>3</sup> Of these, the *AluY* subfamily is the most active,<sup>4</sup> while there are approximately 68 L1 sequences in the human genome that remain active in retrotransposition.<sup>5</sup> *De novo* retrotransposition of an *Alu* element has been estimated to occur in 1 in every 21 births, and an L1 element in 1 in 212 births.<sup>6</sup> Somatic retrotransposition is largely suppressed through epigenetic mechanisms that silence expression of these elements, but hypomethylation of L1 and *Alu* has been reported in response to a range of environmental exposures, including benzene<sup>7</sup> and tobacco,<sup>8</sup> with differential sensitivity to environmental pollutants according to the evolutionary age of the subfamily.<sup>9</sup>

Deregulation of retrotransposon methylation is common in many cancers<sup>10</sup> and is associated with patient prognosis.<sup>11</sup> It can serve to activate the expression of oncogenes, such as through locus-specific hypomethylation events and transcription from alternative transcriptional start sites located within retrotransposon elements.<sup>12</sup> At the genome-wide level, it can also lead to chromosomal instability and the subsequent acquisition of genetic defects,<sup>13,14</sup> with important consequences for patient prognosis. Hypomethylation of these elements is associated with their re-activation and can lead to retrotransposition events, which are frequent in colorectal, lung, prostate and breast cancers (47–93% of tumors)<sup>15</sup> and are biased towards hypomethylated regions of the genome.<sup>16</sup> Retrotransposition can initiate carcinogenesis in epithelial tissues<sup>17</sup> and has been identified in adenomas, metaplasia and histologically-normal tissue surrounding tumors.<sup>18,19</sup>

The epigenome in chronic lymphocytic leukemia (CLL) is characterised by widespread hypomethylation at the point of diagnosis<sup>20</sup> and continues to be reshaped during disease progression, with epigenetic changes associated with genetic evolution.<sup>21</sup> However, to date there has been scant study of the role of retrotransposons in the development and progression of leukemia, with only pyrosequencing-based analysis of single L1 and *Alu* subfamilies performed.<sup>22,23</sup> In this study, we used the Illumina Infinium HumanMethylation 450 BeadChip microarray platform (HM450K) to analyse locus- and subfamily-specific changes in the methylation of retrotransposons in CLL. We identified the HM450K probes mapping to retrotransposons and employed these to analyse the methylation of subfamilies by their evolutionary age. Sites identified as differentially methylated in CLL were analysed in other B-cell malignancies to assess their specificity to the disease, and in pre-diagnostic baseline samples from cohort study participants who went on to develop CLL to determine whether they are detectable prior to diagnosis.

## Methods

### Publicly-available HM450K and gene expression microarray datasets

Differentially methylated retrotransposon loci were identified in a discovery cohort of 139 CLL patients and CD19<sup>+</sup> B-cells from 14 healthy individuals from the study of Kulis *et al.*,<sup>20</sup> obtained through the International Cancer Genome Consortium (European Genome-phenome Archive accession number: EGAS00001000272; *Online Supplementary Table S1*). Validation was performed in a cohort of 24 CLL patients attending clinic in North-East England (*Online Supplementary Table S2*). Ethical

approval for the validation study was granted by the North East - Newcastle & North Tyneside 1 Research Ethics Committee (REC reference number 17/NE/0361). All donors provided written informed consent.

Leading hits were analyzed in publicly-available datasets available through Gene Expression Omnibus (GEO). Details of studies and participants are summarized in the *Online Supplementary Table S1*. Data from healthy individuals was obtained from the studies of Hannum *et al.* (n=656; GSE40279),<sup>24</sup> the European Prospective Investigation into Cancer and Nutrition (EPIC, n=329; GSE51057)<sup>25</sup> and the Young Finns Study (n=184; GSE69270).<sup>26</sup> DNA was extracted from whole blood (Hannum *et al.*)<sup>24</sup> or buffy coat (EPIC and Young Finns Study) and therefore represent unfractionated leukocyte preparations. Examination in other haematological malignancies was performed using data from patients with acute lymphoblastic leukemia (ALL) (n=797; GSE47051),<sup>27</sup> chronic myeloid leukemia (CML) (n=12; GSE106600),<sup>28</sup> acute myeloid leukaemia (AML) (n=68; GSE62298),<sup>29</sup> and lymphoma (n=31; GSE42372).<sup>30</sup> Analysis of methylation by hematological cell type was achieved using data from the discovery cohort and the studies of Reinius *et al.* (n=6; GSE35069)<sup>31</sup> and Lee *et al.* (n=4-6; GSE45461).<sup>32</sup>

Correlations between retrotransposon DNA methylation and the expression of proximal genes were examined within the discovery cohort.<sup>20</sup> Comparison of gene expression in normal CD19<sup>+</sup> B cells (n=32) and CLL (n=188) was performed using gene expression microarray data from GSE50006.

### Pre-diagnostic samples from the Melbourne Collaborative Cohort Study

Leading hits unique to CLL patients were examined in prospective samples taken up to 18 years prior to diagnosis in order to reveal potential early epigenetic changes in disease development. HM450K data from 82 prospective CLL cases and 82 age-matched controls within the Melbourne Collaborative Cohort Study (MCCS) were used to examine DNA methylation changes prior to the diagnosis of CLL. The study protocol and performance of HM450K microarrays have been previously described.<sup>33</sup>

### Identification of microarray probes mapping to retrotransposon subfamilies

HM450K probes mapping to CpG sites within retrotransposons were identified using the Data Integrator function of the UCSC Genome Browser<sup>34</sup> with annotation from RepeatMasker<sup>35</sup> for the human genome build GRCh37/hg19 (*Online Supplementary Methods*).

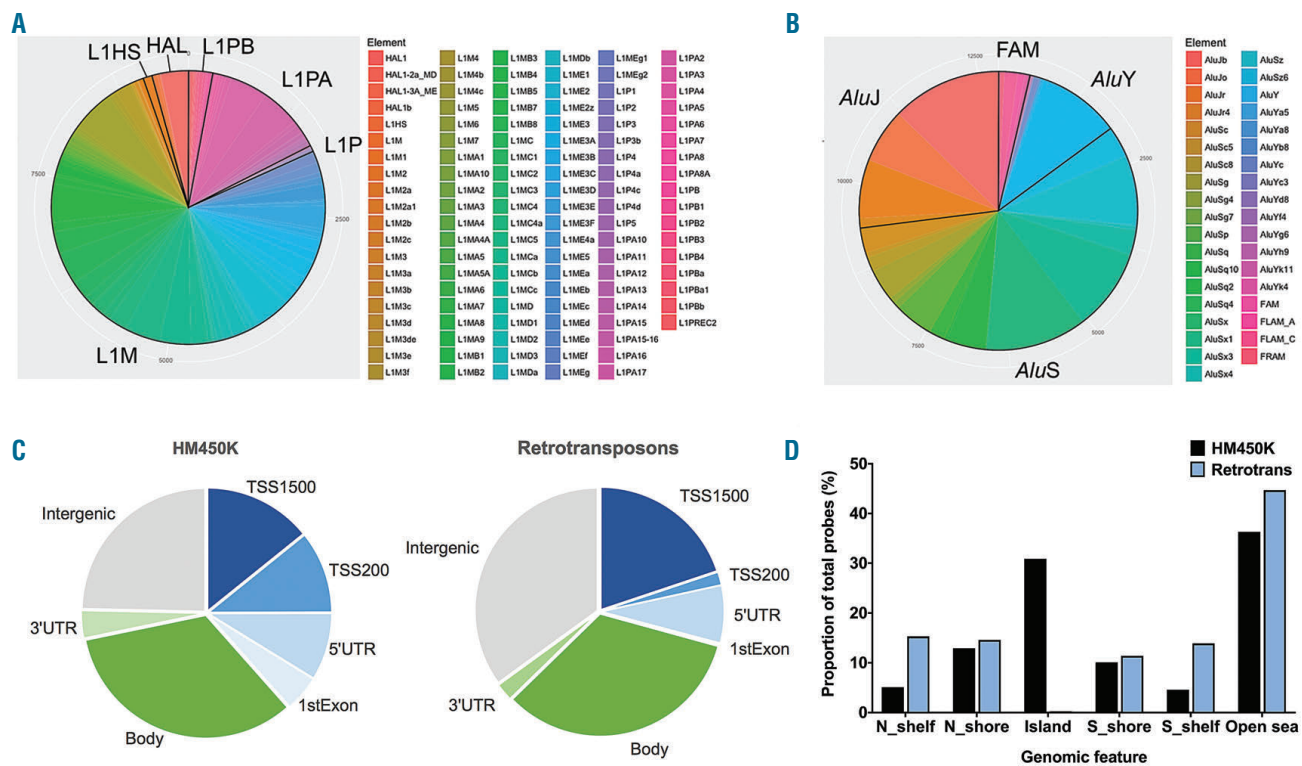
### Statistical analyses

Analysis of methylation change by subfamily evolutionary age was performed by linear regression using estimates of time since sequence amplification (millions of years ago, MYA) from the studies of Kapitonov *et al.*<sup>36</sup> and Khan *et al.*<sup>37</sup> Differentially methylated loci in CLL were identified by t-test, with correction for false discovery rate (FDR) by the Benjamini-Hochberg method. All statistical analyses were performed in R (version 3.4.0) using the ggplot2, heatmap2, qqman and corrplot packages, and GraphPad Prism (version 7.0b).

## Results

### Distribution of HM450K probes mapping to retrotransposons

We identified 22,927 probes mapping to retrotransposons, 9,797 of which mapped to 117 L1 subfamilies and



**Figure 1. Distribution of HM450K probes mapping to L1 and *Alu* elements.** (A-B) The number of probes mapping to each subfamily are displayed. A total of 9,797 probes map to 117 L1 subfamilies, and 13,130 probes to 37 *Alu* subfamilies. Their categorisation into old (L1M, *AluJ*), intermediate (L1P, L1PB, *AluS*), young (L1HS, L1PA, *AluY*) and related (HAL, FAM) subfamilies are indicated. (C-D) Distribution of probes mapping to retrotransposons ('Retrotransposons';  $n=22,927$ ) by genomic feature (C) and in relation to CpG islands (D), in comparison to all probes on the HM450K array ('HM450K';  $n=485,512$ ). Annotation for genomic features and CpG islands was extracted from the Illumina annotation file. TSS1500  $\leq$  1500 bp upstream of the transcription start site; TSS200  $\leq$  200 bp upstream of the transcription start site; UTR: untranslated region; Body: gene body; N: north, upstream of a CpG island; S: south, downstream of a CpG island.

13,130 to 37 *Alu* subfamilies. L1 elements were categorised into oldest (L1M, mammalian-wide), intermediate (L1P, primate-specific) and youngest (L1HS, human-specific and L1PA, primate-amplified) subfamilies. *Alu* elements were categorised into oldest (*AluJ*), intermediate (*AluS*) and youngest (*AluY*) subfamilies.<sup>1</sup>

The distribution of subfamilies revealed a high proportion mapping to older L1 (L1M) and intermediate *Alu* (*AluS*) subfamilies (Figure 1A–B). A total of 7,581 probes map to L1M elements, 364 to L1P, 1,435 to L1PA, 62 to human-specific L1HS, and 355 to HAL1. For *Alu*, 3,563 probes map to *AluJ* elements, 7,626 to *AluS*, 1,468 to *AluY*, 73 to FAM, 310 to FLAM, and 90 to FRAM. A comprehensive list of probes by subfamily is provided in the *Online Supplementary Table S3*. The relative proportions of all *Alu* probes mapping to the *AluJ* (28%), *AluS* (60%) and *AluY* (12%) subfamilies did not significantly differ from their natural abundance in the human genome (26%, 62% and 13% respectively;  $P>0.70$ , Fisher's exact test) as identified by RepeatMasker.<sup>35</sup> Similarly, the proportion of L1 probes mapping to the L1M (80%), L1P (4%) and L1HS/L1PA (16%) subfamilies did not significantly differ from their frequency in the human genome (81%, 6% and 13% respectively;  $P>0.70$ ). Therefore, the HM450K platform offers a representative means to interrogate retrotransposon methylation in the human genome.

In comparison to all >450,000 probes on the HM450K microarray, retrotransposon probes show relative enrichment for mapping to intergenic regions, and depletion of probes mapping to within 200 bases of transcriptional

start sites (TSS200) and first exons (Figure 1C). Additionally, there is substantial depletion of probes mapping to CpG islands, with relative enrichment at north and south shelves (Figure 1D).

### Retrotransposon elements are highly methylated in normal B cells

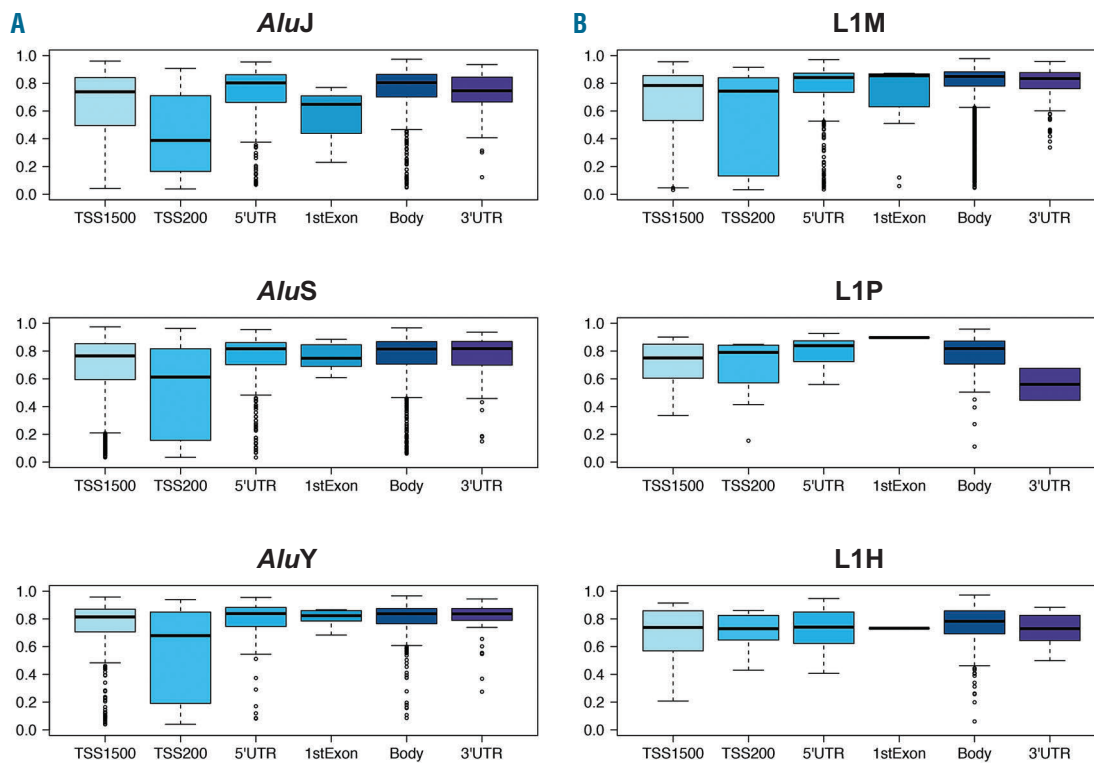
Retrotransposon elements predominantly showed high levels of methylation in normal CD19<sup>+</sup> B cells, but displayed significant methylation variability by genomic location and by evolutionary age of the subfamily (Figure 2). Those CpG sites mapping to *Alu* sequences showed a highly significant trend of increasing methylation from the older to younger elements ( $P<2.2\times 10^{-16}$ , ANOVA), with mean methylation levels ( $\beta \pm$  standard deviation [SD]) of  $0.72\pm 0.21$  for *AluJ*,  $0.74\pm 0.18$  for *AluS*, and  $0.78\pm 0.17$  for *AluY*. L1 elements did not display such a linear trend by evolutionary age, with the mean methylation of L1P elements ( $\beta$ :  $0.73\pm 0.19$ ) lower than those of L1M ( $0.76\pm 0.20$ ) and L1H/PA ( $0.76\pm 0.14$ ) ( $P<0.008$ , ANOVA).

Analysis by genomic region revealed that those retrotransposons mapping to <200 bp upstream of TSS showed the greatest variability in methylation levels, while those mapping to 5'UTR and first exon regions displayed the least (Figure 2). Furthermore, at these regions L1M elements displayed greater variability than younger L1H/L1PA elements, while *AluY* similarly displayed less variability of methylation patterns in comparison with the older *AluJ* sequences.

**Table 1.** Leading differentially methylated retrotransposon loci in chronic lymphocytic leukemia.

Probe ID	Element	chr	Gene	Genomic feature	Island status	Enhancer	Healthy	CLL	$\Delta\beta$	PFDR
cg20820557	<i>AluSx</i>	6:53453216				TRUE	0.86	0.16	0.70	$1.88 \times 10^{-104}$
cg04258086	L1ME3B	16:85966544					0.84	0.26	0.58	$3.83 \times 10^{-87}$
cg05922591	<i>AluY</i>	19:55174624	<i>LILRB4</i>	Body			0.81	0.22	0.59	$1.44 \times 10^{-78}$
cg17505852	L1M4b	22:30003602	<i>NF2</i>	Body	S_Shelf		0.87	0.18	0.69	$3.81 \times 10^{-78}$
cg11665613	FRAM	1:12268883	<i>TNFRSF1B</i>	3'UTR		TRUE	0.86	0.28	0.58	$6.35 \times 10^{-73}$
cg00981250	HAL1b	6:144330345	<i>PLAGL1</i>	TSS1500	S_Shore		0.88	0.32	0.56	$2.09 \times 10^{-72}$
cg16564946	L1PA16	6:32304275	<i>C6orf10</i>	Body			0.84	0.29	0.55	$2.97 \times 10^{-70}$
cg10795552	L1MB7	5:138605898			N_Shelf		0.86	0.26	0.60	$1.89 \times 10^{-69}$
cg22894805	L1MB8	15:41983772	<i>MGA; MIR626</i>	Body; TSS200			0.79	0.25	0.54	$3.28 \times 10^{-68}$
cg17342709	<i>AluSg</i>	2:235319934				TRUE	0.84	0.18	0.65	$2.48 \times 10^{-66}$
cg27020349	<i>AluSx1</i>	6:144643174	<i>UTRN</i>	Body		TRUE	0.93	0.25	0.68	$6.10 \times 10^{-66}$
cg08641155	<i>AluSp</i>	16:70469236	<i>ST3GAL2</i>	5'UTR	N_Shelf		0.82	0.34	0.48	$2.48 \times 10^{-64}$
cg18406010	<i>AluSx1</i>	14:51137625			S_Shelf		0.85	0.39	0.46	$4.56 \times 10^{-63}$
cg02575319	L1M5	16:81602666	<i>CMIP</i>	Body		TRUE	0.88	0.30	0.58	$9.92 \times 10^{-63}$
cg10474404	L1MB7	3:129148046	<i>C3orf25</i>	TSS1500	S_Shore	TRUE	0.80	0.32	0.49	$2.85 \times 10^{-62}$
cg25995870	L1MC5	19:38571162	<i>SIPAL3</i>	5'UTR	N_Shore		0.84	0.38	0.46	$1.07 \times 10^{-61}$
cg04312999	L1MEd	21:45399399	<i>AGPAT3</i>	Body	N_Shelf		0.87	0.33	0.54	$2.75 \times 10^{-61}$
cg09149541	<i>AluSx1</i>	22:19898335	<i>TXNRD2</i>	Body	S_Shelf		0.88	0.39	0.49	$3.12 \times 10^{-61}$
cg18286080	L1MC4a	6:30291349	<i>HCG18</i>	Body	N_Shelf		0.87	0.34	0.52	$1.68 \times 10^{-59}$
cg12115081	L1PB3	4:151038390	<i>DCLK2</i>	Body		TRUE	0.85	0.28	0.56	$4.25 \times 10^{-59}$
cg14266770	L1MB5	9:136721182	<i>VAV2</i>	Body		TRUE	0.85	0.34	0.51	$4.90 \times 10^{-58}$
cg19679081	<i>AluSq2</i>	12:14514672			N_Shelf		0.84	0.34	0.50	$2.08 \times 10^{-57}$
cg22813097	<i>AluY</i>	19:18629910	<i>ELL</i>	Body	N_Shelf		0.83	0.40	0.42	$5.92 \times 10^{-57}$
cg16386046	L1MD2	16:89394863	<i>ANKRD11</i>	5'UTR			0.81	0.34	0.47	$2.17 \times 10^{-56}$
cg17084653	<i>AluSx</i>	22:26822031			N_Shelf		0.82	0.39	0.43	$1.14 \times 10^{-55}$
cg23985408	L1ME3	5:171410890	<i>FBXW11</i>	Body		TRUE	0.86	0.35	0.51	$1.69 \times 10^{-55}$
cg07134930	L1MEe	2:240176050	<i>HDACA</i>	Body		TRUE	0.80	0.26	0.54	$3.00 \times 10^{-55}$
cg02948444	L1MB7	17:80741558	<i>TBCD</i>	Body	S_Shore		0.88	0.40	0.48	$3.33 \times 10^{-54}$
cg25947773	L1M4b	2:223771010	<i>ACSL3</i>	5'UTR		TRUE	0.83	0.34	0.48	$3.45 \times 10^{-53}$
cg16273734	<i>AluSq2</i>	1:181087919				TRUE	0.84	0.44	0.40	$3.01 \times 10^{-52}$
cg16348358	FLAM_C	1:32731477	<i>LCK</i>	5'UTR		TRUE	0.81	0.38	0.43	$7.64 \times 10^{-52}$
cg10664272	<i>AluJo</i>	2:85638053	<i>CAPG</i>	TSS1500	N_Shelf		0.90	0.49	0.42	$3.92 \times 10^{-51}$
cg17713912	HAL1	7:103449892	<i>RELN</i>	Body		TRUE	0.81	0.31	0.50	$7.45 \times 10^{-51}$
cg07293188	L1MB7	3:38209834	<i>OXSRI</i>	Body	S_Shelf		0.88	0.43	0.45	$3.20 \times 10^{-50}$
cg04211501	L1ME3B	16:85966435					0.90	0.11	0.79	$3.99 \times 10^{-50}$
cg13988440	L1MC2	11:69240805					0.82	0.21	0.61	$9.69 \times 10^{-50}$
cg10180165	L1MB3	17:40810558	<i>TUBG2</i>	TSS1500	N_Shore		0.80	0.51	0.29	$1.13 \times 10^{-49}$
cg23840797	HAL1b	6:144330232	<i>PLAGL1</i>	TSS1500	S_Shore		0.81	0.30	0.51	$1.14 \times 10^{-48}$
cg14985591	<i>AluSz</i>	10:126274649	<i>LHPP</i>	Body	N_Shelf		0.86	0.44	0.41	$1.29 \times 10^{-48}$
cg11848483	L1MB5	8:144543485	<i>ZC3H3</i>	Body			0.89	0.37	0.52	$1.63 \times 10^{-48}$
cg20959920	L1MC4	17:75238948			N_Shelf		0.86	0.46	0.41	$3.75 \times 10^{-47}$
cg27447753	L1MB3	2:162047157	<i>TANK</i>	Body			0.82	0.49	0.34	$1.08 \times 10^{-46}$
cg26924822	<i>AluJb</i>	4:26332762	<i>RBPJ</i>	Body		TRUE	0.75	0.36	0.39	$2.62 \times 10^{-46}$
cg21518709	L1MEd	18:3063385			N_Shelf		0.84	0.43	0.41	$2.75 \times 10^{-46}$
cg10163122	<i>AluJo</i>	6:34633132	<i>C6orf106</i>	Body		TRUE	0.83	0.38	0.44	$3.80 \times 10^{-46}$
cg23177739	L1ME3C	10:71087363	<i>HK1</i>	Body		TRUE	0.85	0.47	0.38	$6.27 \times 10^{-46}$
cg12079885	L1ME5	12:125328475	<i>SCARB1</i>	Body	S_Shelf		0.90	0.62	0.28	$1.51 \times 10^{-45}$
cg15129876	<i>AluSz</i>	11:82865068			N_Shelf		0.83	0.47	0.36	$2.09 \times 10^{-45}$
cg23876355	<i>AluSz</i>	17:47723651	<i>SPOP</i>	5'UTR		TRUE	0.85	0.46	0.38	$8.21 \times 10^{-45}$
cg09153458	L1MEg	4:979307	<i>SLC26A1; IDUA</i>	Body; TSS1500	N_Shore		0.83	0.33	0.50	$4.56 \times 10^{-44}$

The 50 most significantly differentially methylated loci between chronic lymphocytic leukemia (CLL) patients (CLL, n=139) and normal CD19+ B cells from healthy individuals ('Healthy', n=14). Mean methylation levels ( $\beta$ ) among the healthy individuals and CLL patients are provided, with the mean change in methylation ( $\Delta\beta$ ) and FDR-adjusted *P*-values. The genomic location ('chr'), genomic feature, relation to CpG islands and enhancer regions from the Illumina annotation file are provided for each locus.



**Figure 2. Methylation of L1 and *Alu* elements by genomic feature in normal CD19<sup>+</sup> B cells.** Methylation ( $\beta$ ) of retrotransposon probes by *Alu* (A) and L1 (B) subfamilies and genomic feature, as reported by the Illumina annotation file. Retrotransposon probes were categorised as those mapping to within 1,500 or 200 bases of the transcriptional start site (TSS1500, TSS200), the 5' untranslated region (5'UTR), first exon (1<sup>st</sup> exon), gene body (Body) and 3' untranslated region (3'UTR). Lines indicate median values, boxes the interquartile range (IQR), whiskers the highest and lowest values within 1.5\*IQR, and outliers are displayed as individual points.

### Differential methylation of retrotransposon subfamilies by evolutionary age

To identify changes in retrotransposon methylation in CLL, we analysed a publicly-available dataset of 139 CLL patients and normal CD19<sup>+</sup> B-cells from 14 healthy individuals,<sup>20</sup> serving as the discovery cohort. Our analysis revealed that L1 and *Alu* elements are hypomethylated in CLL in a subfamily-specific manner. Greater changes in methylation were observed in the older *AluJ* subfamilies (mean  $\Delta\beta$ : -0.04) than in the intermediate age *AluS* ( $\Delta\beta$ : -0.03) and youngest *AluY* subfamilies ( $\Delta\beta$ : -0.02) (Figure 3A;  $P_{\text{trend}} < 0.0001$ , ANOVA). L1 elements displayed a similar trend for greater hypomethylation among the oldest L1M subfamilies (mean  $\Delta\beta$ : -0.06) in comparison with the intermediate L1P ( $\Delta\beta$ : -0.05) and young L1HS & L1PA ( $\Delta\beta$ : -0.02) subfamilies ( $P_{\text{trend}} < 0.0001$ , ANOVA), but displayed considerably greater variability by individual subfamily (Figure 3B).

Analysis of subfamilies by age of insertion into the human genome revealed a clear trend of greater hypomethylation of *Alu* elements in those integrated earliest (Figure 3C;  $r^2 = 0.74$ ,  $P = 0.002$ ). A similar but more subtle trend was also observed for hypomethylation of L1 subfamilies by time since integration (Figure 3D;  $r^2 = 0.31$ ,  $P = 0.018$ ).

### Locus-specific hypomethylation of retrotransposons in CLL

Epigenome-wide analysis of locus-specific retrotransposon methylation in the same 139 CLL patients and 14 healthy individuals revealed 10,782 loci distributed widely across the genome to be differentially methylated

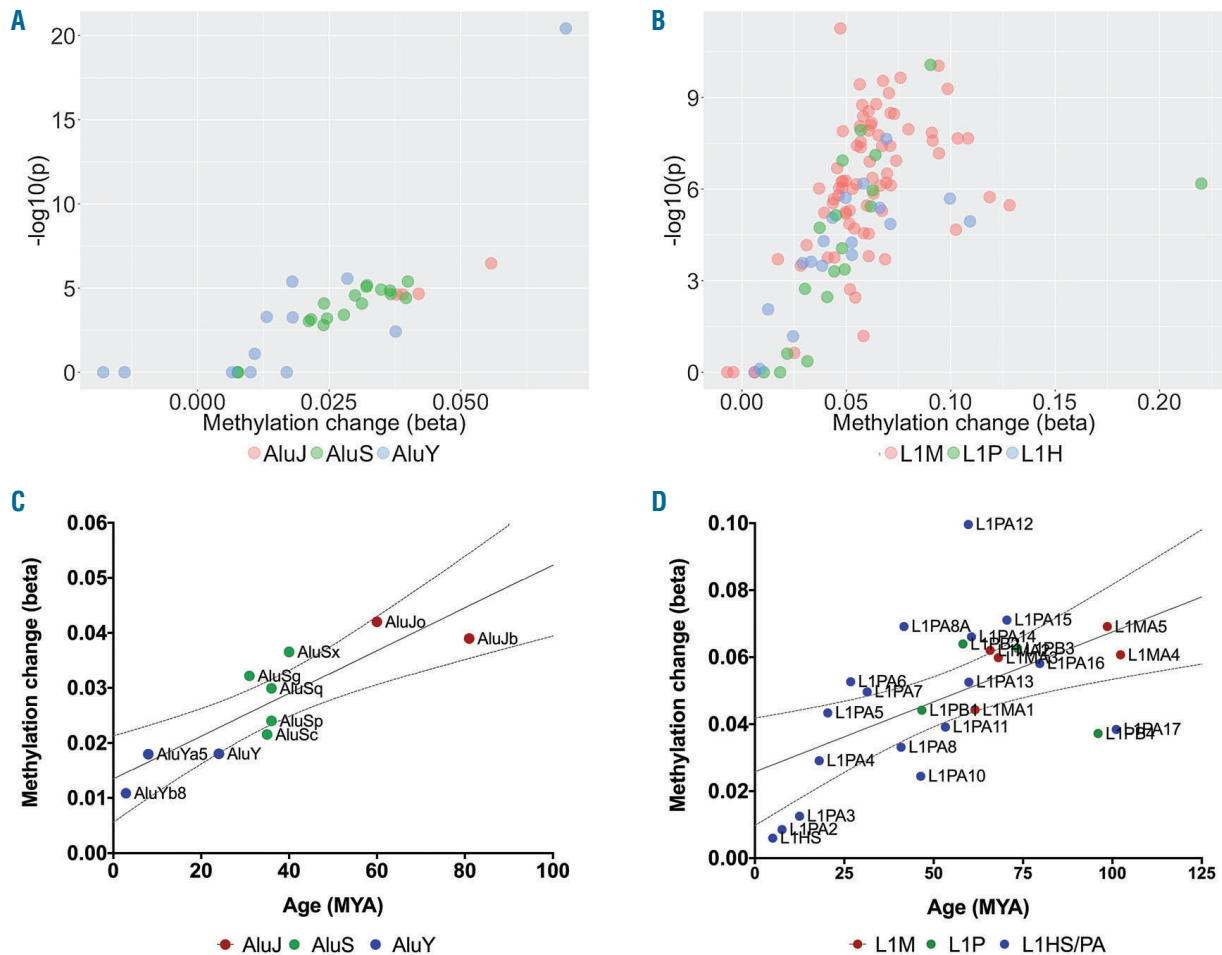
in CLL ( $P_{\text{max}} < 0.05$ ; Figure 4A), with 5,896 mapping to *Alu* elements and 4,886 to L1 elements. Of these, 9,670 (89.7%) were hypomethylated and 1,112 (10.3%) were hypermethylated (Figure 4B-C), with differential methylation primarily occurring at sites that are highly methylated in normal B cells ( $> 0.7$  for 7,295 loci). The differentially methylated loci showed enrichment at enhancer regions ( $P = 0.002$ , Fisher's exact test), but no significant enrichment at CpG islands, shelves or shores ( $P = 0.10$ -0.73). Unsupervised clustering identified distinct patterns of retrotransposon methylation in CLL in comparison with normal B cells, and an association with *IGHV* mutational status among CLL patients (Figure 4D).

The magnitude of hypomethylation was frequently large, with 43 of the 50 most significant loci displaying changes in methylation ( $\Delta\beta$ ) of  $> 0.40$  (Table 1). At these 50 leading loci, methylation was uniformly high in normal CD19<sup>+</sup> B cells from healthy individuals (range  $\beta$ : 0.71–0.95), while hypomethylation was highly frequent in CLL and more common amongst patients with unmutated *IGHV* (Figure 4E).

We noted that single base changes (SNP) have been identified at the C or G position of target CpG sites for 43 of these 50 most significantly differentially methylated loci, but with extremely low minor allele frequencies ( $< 0.01$  for 41 of the 43 loci) in European populations (Online Supplementary Table S4).

### Analysis in a validation cohort

To confirm our findings in the discovery cohort, we performed epigenome-wide analysis of retrotransposon



**Figure 3. Differential methylation of L1 and *Alu* subfamilies in chronic lymphocytic leukemia by evolutionary age.** (A-B) Mean change in methylation ( $\Delta\beta$ ) for probes mapping to each of the 37 *Alu* (A) and 117 L1 (B) subfamilies by the FDR-adjusted *P*-value. (C-D) Mean methylation change ( $\Delta\beta$ ) of *Alu* (C) and L1 (D) subfamilies by time since integration into the genome (millions year ago, MYA). Mean and 95% Confidence Intervals (95% CI) are displayed.

methylation in a validation cohort of 24 CLL patients (*Online Supplementary Table S2*), in comparison to the same 14 healthy individuals from the discovery cohort. A total of 9,488 retrotransposon loci were differentially methylated in the validation CLL cohort ( $P_{\text{FDR}} < 0.05$ ) with a very high level of correlation in the magnitude of methylation changes observed in the discovery and validation cohorts relative to normal CD19<sup>+</sup> B cells ( $\rho = 0.76$ ,  $P < 2.2 \times 10^{-16}$ , *Online Supplementary Figure S1*). Of the 50 leading loci in the discovery cohort (Table 1), 41 were differentially methylated in the validation cohort ( $P_{\text{FDR}} < 0.0000001$ ) with changes in methylation ( $\Delta\beta$ ) of  $> 0.40$ ; the probes for the other nine loci were missing from the validation cohort dataset and could not be examined (*Online Supplementary Table S5*).

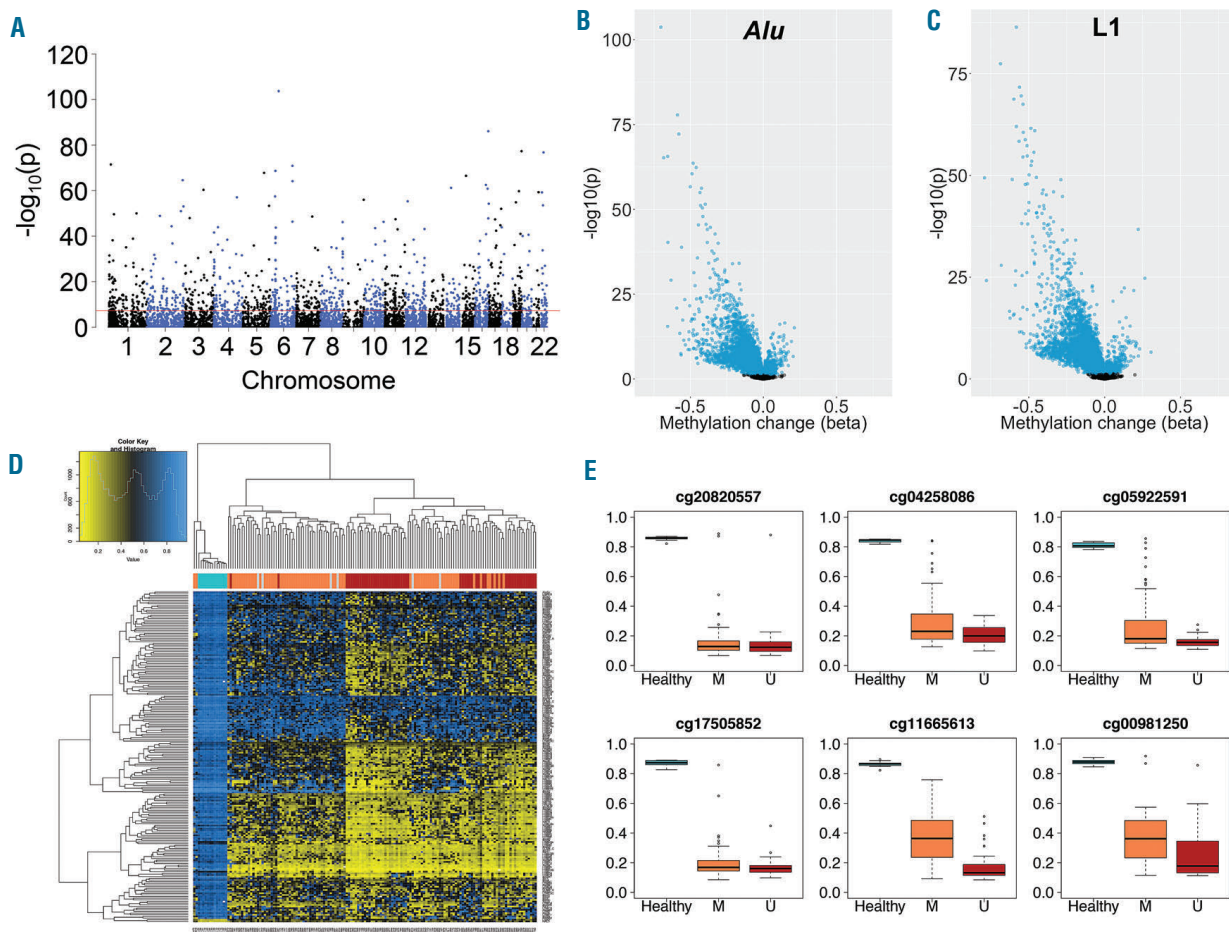
#### DNA methylation of retrotransposon loci during B cell differentiation

Most epigenetic changes observed in CLL mirror those that occur during the process of B-cell development.<sup>21</sup> In order to account for differential methylation that may be the product of B-cell maturation or proliferation, as opposed to being unique to CLL, we utilised publicly-available DNA methylation microarray data from CD19<sup>+</sup> CD27<sup>-</sup> IgD<sup>+</sup> naïve B cells ( $n = 3$ ) and CD19<sup>+</sup> CD27<sup>+</sup> IgA/G<sup>+</sup>

class-switched memory B cells ( $n = 3$ ) available from the study of Kulis *et al.*<sup>20</sup> Of the 10,782 loci previously identified as differentially methylated in CLL, 8,898 (82.5%) did not display substantial changes in methylation ( $\Delta\beta > 0.2$ ) during B-cell maturation. Importantly only one of the leading 50 loci (Table 1), cg13988440, displayed such a change. Therefore, in contrast to many other studies of the CLL epigenome, our analysis has predominantly revealed changes in DNA methylation that are specific to the disease.

#### Effect of locus-specific hypomethylation upon proximal gene expression

In order to explore the functional consequences of locus-specific retrotransposon hypomethylation events, we examined the effects of differential methylation at the leading 50 loci (Table 1) upon the expression of proximal genes using expression microarray data from the same 139 CLL patients within the discovery cohort. Thirty-seven of the leading 50 hits from the discovery cohort map to genes, with the other 13 mapping to intergenic regions that are  $> 2$  kb from the nearest transcriptional start site (Table 1). Of the 37 hits mapping to genes, differential methylation at 29 of the loci was confirmed in the validation cohort (Figure 5A-B; *Online Supplementary Figure 1*).



**Figure 4. Locus-specific differential methylation of retrotransposon elements in chronic lymphocytic leukemia.** (A) Manhattan plot of retrotransposon loci showing differential methylation between chronic lymphocytic leukemia (CLL) patients ( $n=139$ ) and normal  $CD19^+$  B cells from healthy individuals ( $n=14$ ). The threshold (red line) indicates  $P < 5 \times 10^{-8}$ . (B-C) Volcano plots for probes mapping to *Alu* (B) and L1 (C) elements. The change in methylation ( $\Delta\beta$ ) and  $P$ -value ( $-\log_{10}(p)$ ) are displayed, with significantly different loci ( $P_{adj} < 0.05$ ) highlighted in blue. (D) Heatmap displaying unsupervised clustering of the 200 most significantly differentially methylated loci. The colour scale indicates methylation level, from low (yellow) to high (blue). Healthy individuals (turquoise,  $n=14$ ) and CLL patients with mutated (orange,  $n=75$ ), unmutated (dark red,  $n=57$ ) or unknown (grey,  $n=6$ ) *IGHV* status are indicated. (E) Methylation of the six most significantly differentially methylated loci in healthy individuals (turquoise,  $n=14$ ) and CLL patients with *IGHV* mutated (orange,  $n=75$ ) and unmutated (dark red,  $n=57$ ) disease. Lines indicate median values, boxes the interquartile range (IQR), whiskers the highest and lowest values within  $1.5 \times IQR$ , and outliers are displayed as individual points.

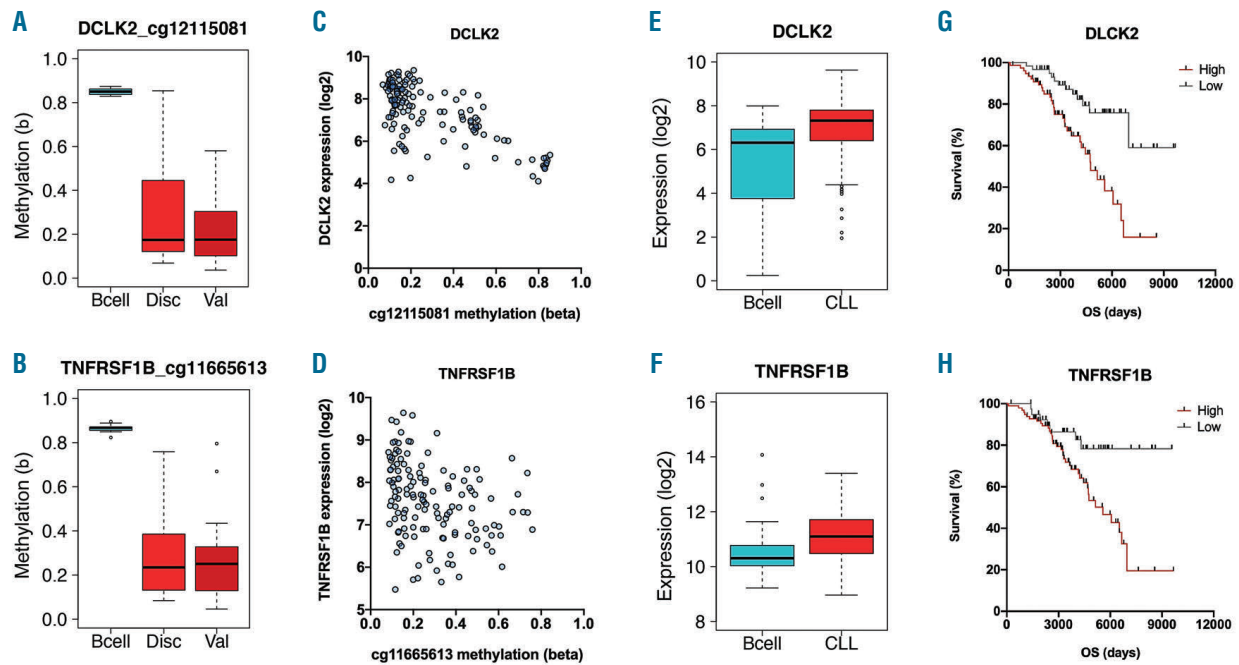
Methylation at 7 of these 29 loci was correlated with expression of the proximal gene (Figure 5C–D;  $P_{\text{rank}} < 0.05$ , Spearman rank correlation), illustrating the capacity for retrotransposon hypomethylation to modulate gene transcription. These seven genes were *C6orf106* (*ILRUN*), *DCLK2*, *HK1*, *TANK*, *TBCD*, *TNFRSF1B* (*TNFR2*) and *TXNRD2* (Online Supplementary Table S6). All showed negative correlations between methylation and expression ( $\rho = -0.25$  to  $-0.59$ ), with the exception of *TBCD* which demonstrated a positive correlation ( $\rho = 0.25$ ). Each of these seven genes displayed uniformly low levels of methylation at their promoter regions, thereby potentially facilitating retrotransposon-mediated regulation of gene expression (Online Supplementary Figure S2). In an independent cohort of 188 CLL patients and  $CD19^+$  B cells isolated from 32 healthy individuals, all seven genes were determined to be differentially expressed in CLL (Figure 5E–F;  $P_{\text{rank}} < 0.05$ , Mann Whitney U test).

In order to examine the clinical relevance of these genes, we examined their expression in the discovery cohort in relation to patient outcomes. High expression of *DCLK2* and *TNFRSF1B* were associated with reduced patient sur-

vival (Figure 5G–H;  $P_{\text{rank}} < 0.05$ , log-rank test), while high expression of *HK1*, *ILRUN*, *TANK*, and *TBCD* were associated with improved patient survival. In order to examine whether the associations with impaired patient prognosis were driven by *IGHV* status, analysis was then performed separately for *IGHV* mutated ( $n=75$ ) and unmutated ( $n=57$ ) patients, where status was known. Among *IGHV* mutated patients, significant associations with survival were retained for *ILRUN* and *TANK* expression ( $P_{\text{rank}} < 0.05$ ), while *DCLK2* ( $P_{\text{rank}} = 0.0532$ ) approached significance. *HK1*, *TBCD* and *TNFRSF1B* no longer achieved statistical significance in this restricted patient group (all  $P_{\text{rank}} < 0.13$ ). Among *IGHV* unmutated patients, significant associations were present for expression of *TANK* ( $P_{\text{rank}} = 0.0210$ ) and *TNFRSF1B* ( $P_{\text{rank}} = 0.0005$ ).

#### Stability of retrotransposon methylation across hematopoietic cell types

It is recognised that studies of DNA methylation in blood samples must take into account changes in the relative proportions of the cell types.<sup>30</sup> We therefore examined our 10 leading loci (Table 1) in publicly-available HM450K



**Figure 5. Impact of retrotransposon methylation upon the expression of proximal genes.** (A-B) Methylation at cg12115081 (A) and cg11665613 (B) in normal CD19<sup>+</sup> B cells ('Bcell'; n=14) and chronic lymphocytic leukemia (CLL) patients within the discovery cohort ('Disc'; n=139) and validation cohort ('Val'; n=24). (C-D) Correlation between retrotransposon methylation and proximal gene expression for cg12115081 and *DCLK2* expression (C) and for cg11665613 with *TNFRSF1B* expression (D). (E-F) Expression of *DCLK2* (E) and *TNFRSF1B* (F) in normal CD19<sup>+</sup> B-cells (n=32) and CLL patients (n=188) in an independent cohort. (G-H) Kaplan-Meier plots for patient overall survival stratified by expression level (high/low) of *DCLK2* (G) and *TNFRSF1B* (H) in the Discovery cohort.

datasets from isolated hematopoietic cell types to reveal differences in retrotransposon methylation by leukocyte cell type. We employed data obtained from samples of whole blood, peripheral blood mononuclear cells, CD16<sup>+</sup> neutrophils, eosinophils, CD14<sup>+</sup> monocytes, multipotent progenitors, pre-B cells, immature B cells, CD19<sup>+</sup> B cells, CD4<sup>+</sup> T-cells, CD8<sup>+</sup> T cells, and CD56<sup>+</sup> natural killer cells (each n=6). Nine of the loci (cg20820557, cg04258086, cg05922591, cg17505852, cg11665613, cg00981250, cg16564946, cg10795552, and cg17342709) were highly methylated across all cell types and displayed little variation between them (Figure 6A-B). In contrast, cg22894805 showed high methylation in lymphoid cells but low levels in myeloid cells (Figure 6C).

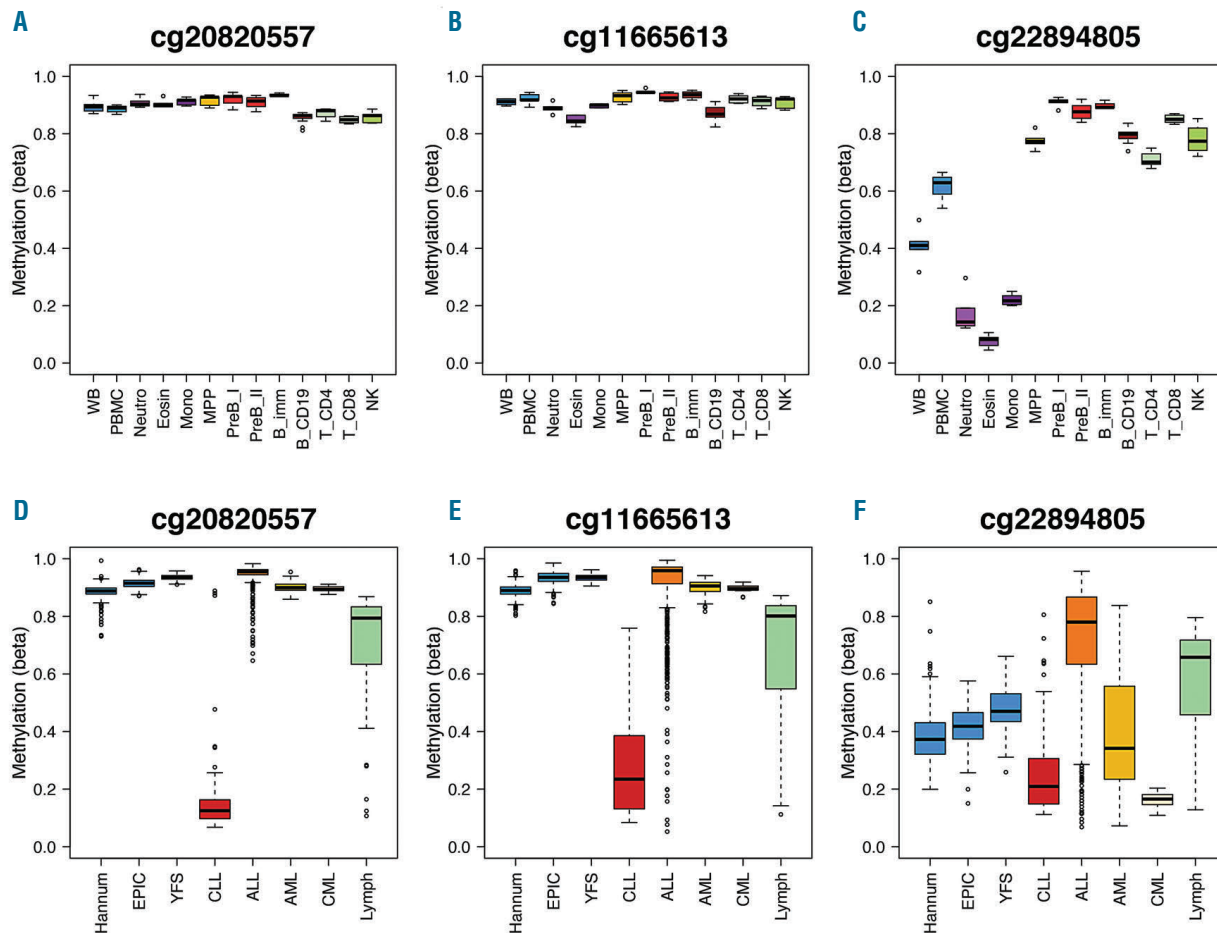
### Specificity of differential retrotransposon methylation to CLL

In order to determine whether the observed changes are specific to CLL or may also be present in other lymphoid and myeloid malignancies, we analysed the 10 most significantly altered loci (Table 1) in publicly-available HM450K datasets from healthy individuals and patients with other hematological malignancies. At each of these 10 loci we had observed mean methylation levels ( $\beta$ ) of <0.32 in CLL patients in comparison to >0.79 in normal CD19<sup>+</sup> B cells.

First, to assess the variability in methylation of these loci in the general population, we leveraged three large-scale studies of blood samples from a total of 1,169 healthy individuals: the Hannum *et al.* study of human ageing (n=656);<sup>24</sup> the European Prospective Investigation into Cancer and Nutrition (EPIC, n=329);<sup>25</sup> and the Young Finns study (n=184).<sup>26</sup> Our analysis confirmed the loci to be almost exclusively highly methylated in healthy indi-

viduals (Figure 6D-E). Eight of the loci (cg20820557, cg04258086, cg05922591, cg17505852, cg11665613, cg00981250, cg10795552, and cg17342709) displayed mean methylation levels ( $\beta$ ) of 0.86–0.96 in each of the three cohorts of healthy individuals, with low variability across the populations (Online Supplementary Table S7). cg16564946 was also highly methylated but displayed more variation within the cohorts (mean  $\beta$ : 0.74±0.11 – 0.79±0.07). Methylation at cg22894805 measured in these unfractionated leukocyte samples was lower than previously observed in isolated CD19<sup>+</sup> B-cells (Table 1 and Online Supplementary Table S7) due to variation in methylation by leukocyte cell type (Figure 6C), but was still different in each study compared to CLL patients (all  $P < 0.0001$ ; Mann Whitney U test).

In order to determine whether the identified loci may also be differentially methylated in other hematological malignancies, we examined datasets from patients with ALL (n=797),<sup>27</sup> CML (n=12),<sup>28</sup> AML (n=68),<sup>29</sup> and lymphoma (diffuse large B-cell lymphoma and Burkitt's lymphoma, n=31).<sup>30</sup> Hypomethylation was predominantly confined to CLL at nine of the loci (cg20820557, cg04258086, cg05922591, cg17505852, cg11665613, cg00981250, cg16564946, cg10795552, and cg17342709), with mean methylation ( $\beta$ ) levels of >0.74 in ALL, CML and AML (Figure 6D-E and Online Supplementary Table S7). Mean methylation levels were uniformly lower in lymphoma ( $\beta$ : 0.61–0.73), with up to 23% of patients having methylation levels of <0.40 at the loci. In contrast to the other nine loci, and in concordance with our analysis by hematopoietic cell type, cg22894805 had low methylation levels in myeloid malignancies (AML, CML) and higher levels in lymphoid malignancies (ALL, lymphoma) (Figure 6F).



**Figure 6. Differentially methylated loci in other haematological malignancies and leukocyte cell types.** (A-C) Methylation ( $\beta$ ) of three loci by blood cell type. Publicly available datasets from whole blood (WB,  $n=6$ ), peripheral blood mononuclear cells (PBMC,  $n=6$ ), CD14<sup>+</sup> neutrophils (Neutro,  $n=6$ ), eosinophils (Eosin,  $n=6$ ), CD14<sup>+</sup> monocytes (Mono,  $n=6$ ), multipotent progenitor cells (MPP,  $n=6$ ), Pre-B I and Pre-B II cells (both  $n=6$ ), immature B cells (B\_imm,  $n=4$ ), CD19<sup>+</sup> B cells ( $n=14$ ), CD4<sup>+</sup> and CD8<sup>+</sup> T cells (both  $n=6$ ), and CD56<sup>+</sup> natural killer cells (NK,  $n=6$ ). Lines indicate median values, boxes the interquartile range (IQR), whiskers the highest and lowest values within 1.5\*IQR, and outliers are displayed as individual points. (D-F) Methylation ( $\beta$ ) of the same three loci in publicly available datasets from three studies of healthy individuals (Hannum *et al.*<sup>24</sup>,  $n=656$ ; EPIC,  $n=329$ ; the Young Finns Study (YFS),  $n=184$ ), CLL patients within the discovery cohort ( $n=139$ ), and patients with ALL ( $n=797$ ), AML ( $n=68$ ), CML ( $n=12$ ), and diffuse large B-cell and Burkitt's lymphomas (Lymph,  $n=31$ ).

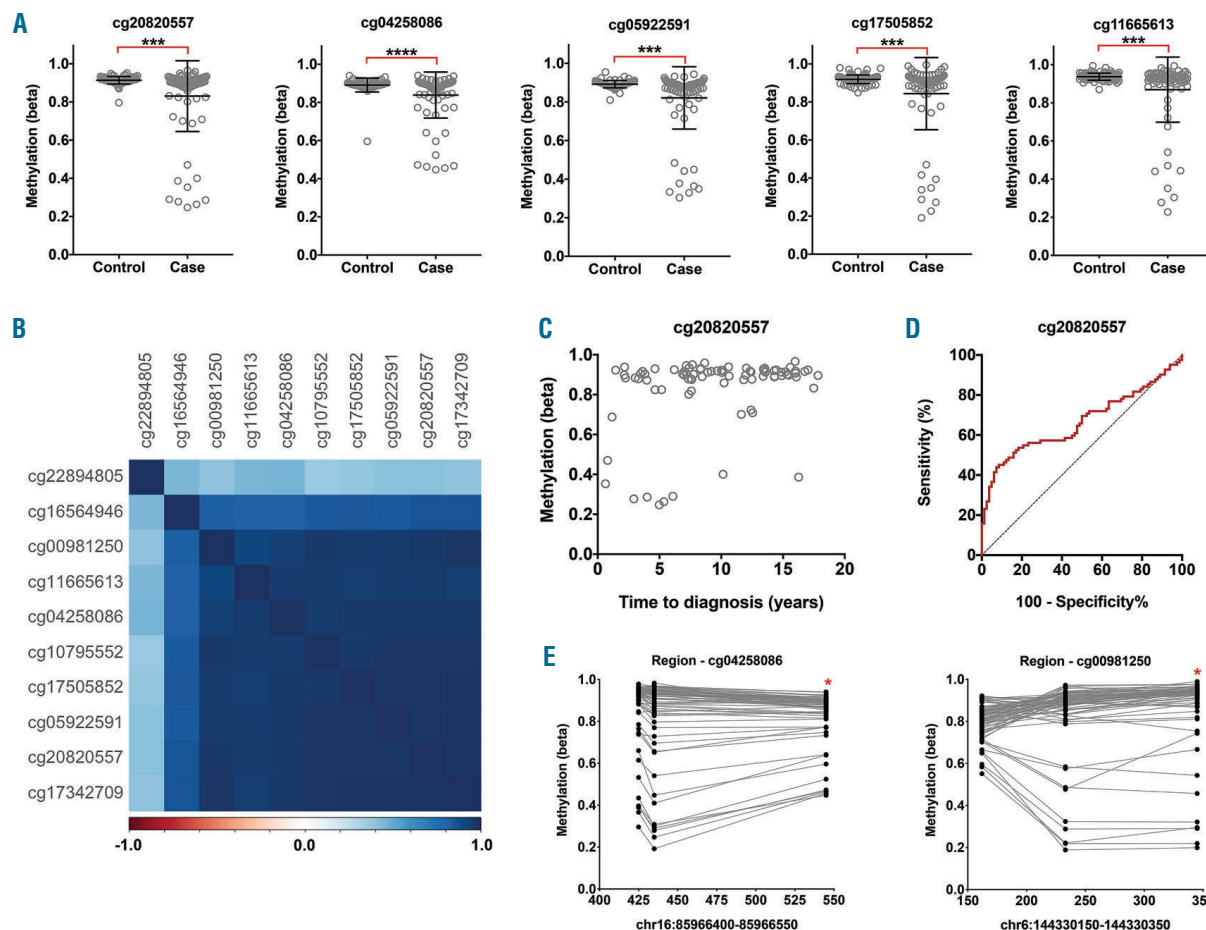
Together, our results indicate that the largest observed differences in retrotransposon methylation are highly specific to CLL and are not the product of changes in cell type proportions, including hypomethylation at cg11665613 that is associated with reactivation of TNFRSF1B expression.

#### Locus-specific hypomethylation of retrotransposons prior to diagnosis

We sought to establish whether these epigenetic changes might constitute early events that are detectable prior to patient diagnosis. We analysed methylation of the 10 leading loci in blood samples taken from 82 individuals up to 18 years prior to their being diagnosed with CLL and 82 individually matched controls who remained free of disease at the same age at follow-up. For nine of the loci (cg20820557, cg04258086, cg05922591, cg17505852, cg11665613, cg00981250, cg16564946, cg10795552, and cg17342709) lower methylation levels were prospectively associated with the diagnosis of CLL (all  $P < 0.003$ , Mann Whitney U test). Additionally, there was weak evidence of an association for cg22894805 ( $P = 0.0501$ ). Reduced methylation levels were, however, confined to 9 of the 82

CLL cases, with the remainder displaying normal, high levels of methylation at each locus (Figure 7A). Methylation across the 10 loci was very highly correlated (Figure 7B), with the same nine individuals displaying hypomethylation at each locus. There was weak evidence of a positive association between methylation and time to diagnosis ( $P_{\text{rank}} = 0.06-0.12$ ) at all loci except for cg10795552 ( $P_{\text{rank}} = 0.51$ ). Notably, hypomethylation was more commonly present among cases diagnosed  $< 7$  years after blood draw (7 of 24, 29%) than those diagnosed more than 7 years after (2 of 58, 3%) (Figure 7C). ROC curve analysis identified that a methylation cut-off of  $\beta = 0.90$  for cg2080557 provides 45% sensitivity and 91% specificity (area under curve [AUC] = 0.66,  $P = 0.0003$ ) in predicting diagnosis with CLL (Figure 7D).

In order to determine whether hypomethylation is highly localised or more regional, we examined methylation at other CpG sites captured by the HM450K array that are within 200 bp of the target loci. Only two of these loci had regional CpG sites in close proximity that are captured by the array: two CpG sites within 119 bp of cg04258086 (cg04211501 and cg06951664); and two CpG sites up to 184 bp downstream of cg00981250 (cg23840797 and



**Figure 7. Hypomethylation of retrotransposon loci prior to chronic lymphocytic leukemia diagnosis.** Analysis of 10 retrotransposon loci observed to be hypomethylated in chronic lymphocytic leukemia (CLL) patients, analysed by HM450K in prospective samples taken from future CLL patients within the Melbourne Collaborative Cohort Study. (A) Methylation ( $\beta$ ) of five loci in 82 future CLL patients (Case) and 82 age-matched individuals who remained free of the disease (Control) ( $***P < 0.005$ ,  $****P < 0.0001$ ). (B) Correlation in methylation between the ten loci among the 82 future CLL cases. The colour scale indicates negative (red) and positive (blue) correlations. (C) Correlation between methylation ( $\beta$ ) of cg20820557 and time to diagnosis (years) in the 82 future CLL cases. (D) ROC curve analysis for cg20820557 in the prediction of CLL diagnosis among 82 future CLL cases and 82 age-matched healthy controls who remained free of the disease (area under curve [AUC]=0.66,  $P=0.0003$ ). (E) Regional analysis of methylation ( $\beta$ ) at HM450K probes mapping to within 200 bases of cg04258086 and cg00981250 (indicated by asterisks, \*). Methylation at loci within the same patient are indicated by connecting lines.

cg18593039). For both regions, the neighbouring CpG sites mapped to within the same retrotransposon element as the target (L1ME3B and HAL1 respectively). Methylation at the neighbouring CpG sites was observed to be highly similar to that of the target loci (Figure 7E), demonstrating that the observed epigenetic changes are not confined to a single CpG site.

## Discussion

To the best of our knowledge, our study is the first to utilise the Illumina Infinium microarray platform to analyse locus- and subfamily-specific methylation of retrotransposons in human disease. The potential for this approach has previously been demonstrated through use of the HM27K and HM450K microarray platforms to analyse L1 and *Alu* elements categorised as young (L1H, *AluY*), intermediate (L1P, *AluS*) and old (L1M, *AluI*) in healthy tissues<sup>39</sup> and a lymphoblastoid cell line.<sup>40</sup> We have built on this to identify, for the first time, differential methylation of LINE-1 and *Alu* elements by their evolu-

tionary heritage in leukemia. Importantly, our analysis has identified novel epigenetic changes specific to CLL that are highly frequent among patients, that modulate the expression of proximal genes, and that can be detected prior to diagnosis in some individuals. Together, our results suggest that epigenetic dysregulation of retrotransposons may be implicated in the development and progression of CLL.

There remains significant ignorance regarding the genetic and epigenetic origins and evolution of CLL. It has recently been demonstrated that the genome and epigenome show co-evolution during disease progression.<sup>21,41</sup> This co-evolution predominantly consists of hypomethylation away from promoters and transcriptional start sites at regions that are predominantly highly methylated in normal B cells<sup>21</sup> which, hence, may describe general loss of epigenome maintenance as opposed to site-specific selection for gene silencing or activation. Our study has revealed hypomethylation of retrotransposon elements in a subfamily-specific manner which, in accordance with the findings of Oakes *et al.*,<sup>21</sup> primarily occurs away from CpG islands in regions that are highly methy-

lated in normal B cells. Our findings may thus throw light on the underlying cause of this epigenetic evolution in CLL. Interestingly, we observed that this hypomethylation is significantly enriched at enhancer regions, which may implicate the dysregulation of retrotransposons in the transcriptional re-wiring of CLL cells.

To date there have only been two studies of retrotransposon methylation in CLL, both of which reported associations with genetic instability. Fabris *et al.*<sup>22</sup> reported significant hypomethylation of L1 and *Alu* elements that was associated with 17p deletions, while Hoxha *et al.*<sup>23</sup> reported associations between L1 and *Alu* methylation with telomere length. This is corroborated by recent findings that telomere length is associated with del(17p), del(11q) and mutations in *ATM* and *SF3B1*,<sup>42</sup> together linking methylation of retrotransposons, telomere length and the acquisition of genetic abnormalities in CLL. This is further supported by the extensive evidence linking loss of retrotransposon methylation to their activation and genetic instability in solid tumours.<sup>13,14</sup> However, the studies by Fabris *et al.*<sup>22</sup> and Hoxha *et al.*<sup>23</sup> used a pyrosequencing-based approach that was limited to the L1HS and *AluSx* subfamilies, on account of their relative abundance in the genome and subsequent suitability as surrogate markers of global DNA methylation patterns. Such an approach does not facilitate locus-specific analysis. While providing novel information about the disease, these two studies were unable to address the full complexity of retrotransposon DNA methylation in CLL. Indeed, we have demonstrated the utility and necessity of performing subfamily- and locus-specific analysis to fully appreciate their implication in malignancies. Comprehensive analysis of retrotransposons by high-throughput sequencing approaches is highly challenging due to the difficulty in mapping sequencing reads containing repetitive sequences to singular genomic regions, requiring tailored bioinformatics approaches such as RetroSeq.<sup>43</sup> Hence, the approach that we have developed, to analyse retrotransposons using the widely-utilised HM450K platform, enables a comparatively simple and cost-effective means to perform this demanding work.

We have utilised this locus-specific analytical approach to reveal that hypomethylation of retrotransposons can modulate the expression of proximal genes in CLL. In particular, we identified hypomethylation of an L1PB3 sequence within the second intron of *DCLK2* and a *FRAM* sequence within the 3'UTR of *TNFRSF1B* that are inversely associated with expression of the genes, both of which are overexpressed in CLL and for which higher expression levels are associated with reduced patient survival. *TNFRSF1B* encodes a member of the TNF-receptor superfamily that interacts with *TRAF2* to promote cell proliferation and survival via stimulation of the non-canonical NF- $\kappa$ B pathway, and it is known to be overexpressed in CLL.<sup>44,45</sup> Myeloid cell lines treated with the DNMT inhibitor 5-aza-2'-deoxycytidine show increased expression of *TNFRSF1B*,<sup>46</sup> and our study has demonstrated that hypomethylation of the *FRAM* element within the 3'UTR is associated with increased expression of the gene. While many studies have focussed primarily on epigenetic changes at promoter and enhancer regions, DNA methylation at 3'UTR has been shown to be associated with differential gene expression and patient outcomes in solid tumours,<sup>47</sup> although the underlying mechanisms have not been elucidated. Increased expression of the *TNFRSF1B*

promotes cell survival and resistance to apoptosis,<sup>48</sup> which may explain our observation of worse patient prognosis with high *TNFRSF1B* expression.

In contrast, the function of *DCLK2* in CLL biology is less clear. This gene encodes a regulator of microtubule polymerisation, and while family members have been identified as potential prognostic markers in gastric and colorectal cancers,<sup>49,50</sup> *DCLK2* has not previously been reported as differentially methylated or expressed in CLL. Further work is required to establish the functional role of this gene in the disease. We also report that hypomethylation of an intronic *AluSx1* element within *TXNRD2* was associated with increased expression of the gene in CLL. While we observed no association with patient survival, a germline variant within 300 bp of this *Alu* element has been reported to be associated with shorter time to first treatment.<sup>51</sup> The gene encodes a thioredoxin reductase that promotes malignant cell survival by providing protection against reactive oxygen species,<sup>52</sup> and targeting these enzymes by use of chemotherapeutic agents such as auranofin may be effective in the treatment of CLL.<sup>53</sup>

In addition to the impact on the expression of proximal genes, epigenetic dysregulation of L1 and *Alu* elements may further be implicated in CLL biology through retrotransposition events. Recent work elsewhere has identified enrichment of transposable elements in the transcriptome of CLL patients, but without being able to identify the underlying cause.<sup>54</sup> Our study has revealed widespread locus-specific epigenetic dysregulation of retrotransposon elements in the genome, which may serve to explain their increased expression in CLL, but the full implications of these observations remains to be elucidated. Hypomethylation of retrotransposon elements leads to their re-activation<sup>55</sup> and potential retrotransposition into the genome. Somatic retrotransposition has been widely studied in solid tumours and is a highly frequent event in cancers of the lung, colon, breast and prostate.<sup>15</sup> However, to date there have been no studies of this phenomenon in leukemias. Our findings, together with those observations elsewhere of reactivation of transposable elements<sup>54</sup> and association of retrotransposon methylation with the acquisition of 17p deletions,<sup>22</sup> indicate that the study of this phenomenon may help to identify novel genetic drivers of the disease. The most frequently mutated driver genes (*NOTCH1*, *SF3B1*, *TP53*, *MYD88* and *BIRC3*) are mutated in only 2-12% of CLL patients,<sup>56</sup> and large-scale studies have been unable to identify driver mutations in 25-40% of patients,<sup>57,58</sup> underlining the need for further research in this area. While aberrant methylation was more frequently observed in the older and (presumed) inactive subfamilies such as L1M, hypomethylation of active *AluY* and L1HS elements was also identified, with two *AluY* sequences on chromosome 19 amongst the leading hits from our analysis.

We noted that rare genetic variants have been reported at the target CpG sites for many of the leading hits from this study. Genome-wide association studies have identified more than 20 genetic susceptibility loci for CLL,<sup>59</sup> yet together these loci only account for 25% of heritable risk<sup>60</sup> and therefore genetic predisposition to CLL remains to be fully explained. SNPs are enriched within *Alu* elements,<sup>61</sup> and such variants at CpG sites can lead to their elimination and thus loss of methylation and reactivation. However, the extremely low minor allele frequencies for the variants mapping to the 50 leading loci and our obser-

vation of normal methylation in most individuals prior to their CLL diagnosis suggest that these loci do not correspond to inherited predisposition to the disease. It nonetheless remains to be established whether the observed hypomethylation could originate through the acquisition of genetic changes during disease initiation, as the high frequency of methylated CpG sites within L1 and *Alu* sequences results in a high point mutation rate through the deamination of methylated-cytosine.

As our study has primarily involved analysis of publicly-available datasets, we have been unable to sequence regions of interest to examine regional changes in DNA methylation and detect genetic variants that may be implicated in the observed changes. Furthermore, we have not been able to examine whether these epigenetic changes lead to re-expression of the elements and somatic retrotransposition. However, our study has significant strengths. We have developed an approach that enables the locus-specific interrogation of L1 and *Alu* element methylation across the genome using the widely-utilized HM450K platform, thereby facilitating its ready application to studies elsewhere. We have also comprehensively examined the leading hits from our analysis, including examination in 1,169 healthy individuals to determine the frequency of hypomethylation in the general population, fractionated leukocytes to identify cell type-specific differences, and other hematological malignancies to determine the specificity of the observed changes to CLL.

In summary, we have identified locus- and subfamily-specific hypomethylation of L1 and *Alu* elements that is highly frequent and specific to CLL, modulates the expression proximal genes, and was observable in a proportion of future CLL cases prior to their diagnosis. Further work is required to elucidate how these epigenetic changes may be implicated in leukaemogenesis and to

investigate somatic retrotransposition as a potential driver of CLL.

### Data availability

The datasets used in this study are publicly-available through the European Genome-phenome Archive (<https://www.ebi.ac.uk/ega/home>) and the Gene Expression Omnibus repository (<https://www.ncbi.nlm.nih.gov/geo/>). Accession numbers for all datasets used in this study can be found within *Online Supplementary Table S1*.

### Disclosures

*No Conflicts of interest to disclose.*

### Contributions

*TB and HB conceived the study; TB identified HM450K loci mapping to retrotransposons and performed all data analysis; NWD, RM and GG provided the HM450K data from the MCCS and EW and GS provided HM450K data from the validation cohort; TB and HB performed the data interpretation, with input from NWD, RM, GG, EW and GS; TB and HB drafted the manuscript, which was then revised by all authors. All authors had final approval of the submitted manuscript.*

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