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Author/s:

Horne, AC;Szemis, JM;Webb, JA;Kaur, S;Stewardson, MJ;Bond, N;Nathan, R

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Informing Environmental Water Management Decisions: Using Conditional Probability Networks to Address the Information Needs of Planning and Implementation Cycles

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1 **Informing environmental water management decisions:**
2 **using conditional probability networks to address the**
3 **information needs of planning and implementation**
4 **cycles**

5

6 **Avril C. Horne^{1*}, Joanna M. Szemis¹, J. Angus Webb¹, Simranjit Kaur¹, Michael**
7 **J. Stewardson¹, Nick Bond² and Rory Nathan¹**

8 ¹. Department of Infrastructure Engineering, The University of Melbourne, Parkville, Victoria 3010,
9 Australia

10 ². The Murray-Darling Freshwater Research Centre, La Trobe University, PO Box 821, Wodonga,
11 Victoria 3689, Australia

12 *email: avril.horne@unimelb.edu.au, phone: +61 3 8344 1585

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16 **Abstract**

17 One important aspect of adaptive management is the clear and transparent documentation
18 of hypotheses, together with the use of predictive models (complete with any assumptions)
19 to test those hypotheses. Documentation of such models can improve the ability to learn
20 from management decisions and supports dialogue between stakeholders. A key challenge is
21 how best to represent the existing scientific knowledge to support decision-making. Such
22 challenges are currently emerging in the field of environmental water management in
23 Australia, where managers are required to prioritize the delivery of environmental water on
24 an annual basis, using a transparent and evidence-based decision framework. We argue that
25 the development of models of ecological responses to environmental water use needs to
26 support both the planning and implementation cycles of adaptive management. Here we
27 demonstrate an approach based on the use of Conditional Probability Networks (CPNs) to
28 translate existing ecological knowledge into quantitative models that include temporal
29 dynamics to support adaptive environmental flow management. It equally extends to other
30 applications where knowledge is incomplete, but decisions must still be made.

31 **Keywords:** Environmental flow, instream flow, adaptive management, conditional
32 probability network, ecological response, influence diagram, active management

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40 **1 Introduction**

41 River ecosystems worldwide are complex and highly diverse, supporting a range of species
42 and ecological processes. However, increased demand for water (e.g. for agricultural and
43 domestic purposes) and river regulation has significantly impacted their integrity and
44 sustainability (Bunn and Arthington, 2002). Water managers are grappling with the
45 challenge of allocating water among environmental and consumptive uses in a sustainable
46 manner (Richter, 2014).

47 Environmental water¹ is increasingly recognized within legislation and embedded within
48 water resource planning processes (Le Quesne et al., 2010). It has historically been provided
49 through long-term planning processes, policies and legislation, with numerous methods
50 developed to assist in defining, for example, e.g caps on abstraction, pumping conditions on
51 water users and/or storage operation rules (Horne et al., in press). In some rivers, water
52 plans specify a water ‘right’ or allocation that must be actively managed to achieve
53 environmental outcomes, for example, by delivering an environmental flow at a particular
54 time of year. This ongoing and active management of environmental water presents novel
55 challenges (O'Donnell and Garrick, in press). The creation of Environmental Water Rights in
56 Australia provides a notable example, where managers must make ongoing within-year
57 decisions concerning which particular environmental asset/s to target and when and how to
58 release water from storage to achieve this (CEWO, 2013, Horne et al., 2017). Environmental
59 water managers have responsibility to manage this water to achieve the best possible
60 outcome for selected environmental endpoints (Horne et al., 2010). Managers make these
61 ongoing management decisions with multiple and sometimes competing objectives and
62 amid scientific and climatic uncertainty (Connell and Grafton, 2011).

¹ We use the term environmental water here to encompass all water legally available to the environment through the array of possible allocation mechanisms.

63 Adaptive management is particularly suited to management challenges such as
64 environmental water management where “*knowledge is incomplete, and when, despite*
65 *inherent uncertainty, managers and policy makers must act*” (Allen and Garmestani, 2015).
66 Adaptive management was first conceived for natural resource management by Holling
67 (1978), and centers on the concept of learning through experience to improve management.
68 There are two separate (although related) interpretations of adaptive management
69 discussed in the natural resource management literature (Allen and Garmestani, 2015). The
70 first highlights technical or scientific matters, such as testing scenario modeling of systems
71 (Rivers-Moore and Jewitt, 2007, Williams, 2011) and field-scale experimentation (Pollard et
72 al., 2011). The second works with theories and practice of participatory learning and
73 decision making (Stringer et al., 2006), social learning (Blackmore and Ison, 2012), evaluation
74 (Bryan et al., 2009), and governance (Ison et al., 2013a). Both interpretations are valid and
75 useful, and in practice, adaptive management is effective when it acts as a framework within
76 which these interpretations can be integrated. This paper focuses on the science of
77 environmental water management and adaptive management rather than the institutional
78 and governance aspects. However, we acknowledge that in practice, effective adaptive
79 management must integrate both interpretations of adaptive management (Ison et al.,
80 2013b).
81 Webb et al. (in press-b) suggest that the different approaches to adaptive management
82 share three qualities: “*they are **purposeful and deliberate**, they are characterized by **careful***
83 ***documentation processes**, and they are **designed to promote learning that translates to***
84 ***action**”. This usually requires a model that links alternative management actions to*
85 *management objectives (Allan and Stankey, 2009b), which represents what we know and*
86 *what we assume or predict (Allen and Garmestani, 2015, Williams and Brown, 2014). A*
87 *documented model, complete with its inherent uncertainties, plays an essential role in*
88 *understanding how a system behaves and in building consensus and understanding between*

89 those involved in the management process (Walters, 1986). In the case of environmental
90 water management, the model aims to link flow delivery decisions to achieving
91 environmental objectives **that were established based on community values and ecosystem**
92 **services in the river.**

93 There are two types of models that can make a contribution to adaptive management of
94 environmental water (Kingsford et al., 2011, Stewardson and Rutherford, 2008). The first is
95 an explicitly defined conceptual (or mental) model of “... *how a system operates and of the*
96 *effects of anthropogenic processes ... to remove ambiguity*” (Kingsford et al., 2011, p1196).
97 This type of model describes the key drivers and processes including the effects of
98 anthropogenic influences. Such models can assist with co-learning by multiple stakeholders
99 (Kingsford et al., 2011) by exposing different understanding of system behavior. The second
100 type is a quantitative predictive model that is used **by managers** to evaluate alternate
101 management scenarios and can be in the form of a decision support system. The
102 relationships in this predictive model should be consistent with the conceptual model but is
103 likely to deal with a reduced range of responses and processes. This paper is focused
104 specifically on this second type of model, to support an adaptive management approach to
105 active management of environmental water.

106 There has been considerable growth in the number of scientific publications examining the
107 environmental effects of flow alteration (Beven and Alcock, 2012, Liebman, 1976), and
108 increasingly, these articles refer to “management” or “decision making” (JA Webb, unpubl.)
109 However, there are considerable challenges in developing predictive models to support
110 environmental water management based on the best available scientific knowledge
111 (Acreman, 2005). Many active environmental water management decisions are based on
112 expert judgments that draw from experts’ cumulative experience and understanding of
113 current literature (Stewardson and Webb, 2010). Typically, experts either provide a
114 preferred environmental water scenario or evaluate environmental outcomes from

115 alternate environmental water management scenarios. The difficulties with this approach
116 are: the expert’s reasoning is often not transparent, making it difficult to test and to
117 consider additional scenarios without recourse to the expert; and, related to this, the
118 assessment is not repeatable with a different set of experts. This is particularly a problem in
119 cases where managers want to search for improved management options and also to
120 update evaluations as time progresses. It is this key challenge that is the focal point of this
121 paper.

122 The aim of this paper is to highlight the information needs for active management of
123 environmental flows, and propose an approach for documenting this information. In this
124 paper we introduce the concept of using Conditional Probability Networks (CPNs) as flexible
125 and adaptive models in this context. The paper does not aim to detail the technical method,
126 but rather, to illustrate the conceptual links between the information needs for adaptive
127 management of environmental water, and the representation of ecological knowledge. We
128 demonstrate the utility of this conceptual approach by applying it to a case study problem,
129 management of Environmental Water Rights in Australia. We begin by discussing the
130 planning and implementation cycles for environmental water management, and the types of
131 information required to inform each process (Section 2). Importantly, this discussion
132 recognizes that the challenges environmental water managers are addressing—and thus
133 their information needs—differ depending on whether the allocation mechanism for
134 environmental water is established through the long-term resource plans, or whether it
135 requires ongoing implementation and active management. For the case of active
136 environmental water management, we suggest that CPNs are a sound approach to
137 predictive modeling to support implementation decisions for environmental water (Section
138 3). They apply available information including data-based models, and the knowledge of
139 expert and other stakeholders. As with any model of this nature, while CPNs aim to inform

140 the decision making process, the decision making process itself remains in the realm of
141 managers and stakeholders.

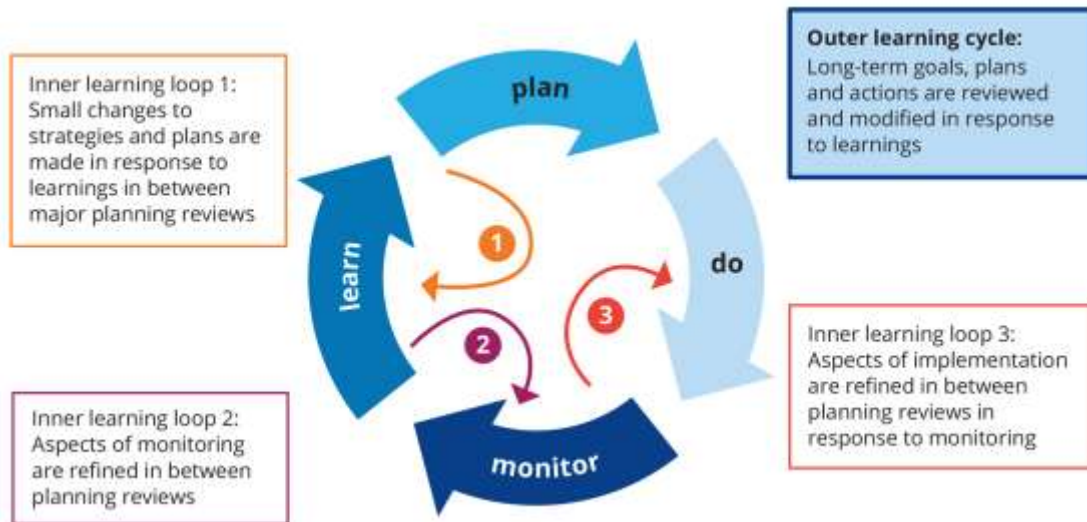
142 **2 Environmental water planning and implementation**

143 We can consider environmental water management within an adaptive management
144 framework as having two distinct, yet interconnected, cycles. These cycles correspond to the
145 ‘outer’ and ‘inner’ loops of the adaptive management cycle (see Figure 1).

- 146 ▪ A planning (or deliberative) cycle (5 to 10 years) centers on objective or target setting,
147 and understanding the resource problem and decision architecture (i.e. identification of
148 management options, predictions of management outcomes and design of evaluation)
149 (Williams and Brown, 2014). It usually involves a wider scale institutional review and
150 includes transformative planning in response to fundamental changes in the underlying
151 knowledge of system behavior (Eberhard et al., 2009, Williams and Brown, 2014). This
152 cycle corresponds to the ‘outer loop’ of the adaptive management cycle.
- 153 ▪ An implementation (or iterative) cycle (normally 1 year), which centers on incremental
154 changes to management decisions due to technical learning as a result of ongoing
155 program implementation (Williams and Brown, 2014). This phase adopts the
156 information from the planning phase within an ongoing learning cycle.

157 There will be institutional and social learning that occurs at both the planning and
158 implementation cycles. This will include tools, systems and institutions in place to help
159 inform and support the process for decision making (Campbell et al., 2016). The focus of
160 this paper is on scientific or ecological learning. Importantly, the scientific information and
161 conceptual models that inform the planning decisions must be internally consistent with the
162 conceptual models used to inform the implementation cycle.

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Figure 1 The adaptive management cycle showing the planning (outer loop) and implementation (inner loops) cycles (Source: Webb et al., in press-a)

169 The historic focus of environmental water management on the longer term planning cycle
170 has required input from scientists to establish environmental water regimes, passing flow
171 rules, or set caps (Tharme, 2003). Updates to flow recommendations have generally
172 occurred on a longer time scale that more closely matches the outer loop of the adaptive
173 management cycle.

174 The more recent establishment of actively managed water reserves requires environmental
175 water managers to make ongoing and active decisions about how to release water from
176 storage to achieve particular environmental outcomes. Decisions are often different from
177 year to year and take advantage of the incremental changes in knowledge more generally
178 associated with the inner loop of the adaptive management cycle. There is often within-
179 year planning that happens at this incremental level to plan annual priorities and individual
180 releases (Docker and Johnson, in press, Doolan et al., in press, O'Donnell and Garrick, in
181 press).

182 Achieving maximum value from an allocation of environmental water requires information
183 to inform trade-off decisions between watering at one location or time over another, or to
184 target one ecological endpoint over another. There may also be linked flow events, such as

185 flows to trigger both spawning and recruitment (Crook et al., 2006). Delivered in isolation
186 from one another the benefits of such events will be greatly diminished, but in some years
187 there may be insufficient water available to deliver both. Where environmental water is
188 provided through a mechanism that requires active management, the manager needs to
189 consider the merits of providing one flow event without the other, or which flow event, and
190 to what level of fulfillment, to provide (Horne et al., 2010).

191 When considering the type of scientific information needed to inform the planning and
192 implementation cycles of environmental water management, both cycles would be
193 improved through the use of models that:

- 194 ▪ link the decisions available (for example to release environmental water at different
195 spatial and temporal scales) to the objectives being managed for (conceptual model);
196 and
- 197 ▪ provide quantitative information to the extent that it shows benefits of one option over
198 another (quantitative model)

199 However, the resolution or *granularity* of information required differs between the two
200 cycles. During planning, a recommendation will be to deliver a particular flow event (e.g. a
201 spring ‘fresh’ or high-flow event). During implementation, the decision concerns the precise
202 timing of when flow is required relative to releases for other users in that season (or
203 between seasons), and also allows the flexibility to adjust the peak magnitude of an event or
204 the duration of the event. Transparent and detailed information on the marginal return of a
205 decision (for example, whether delivery of half the water would provide half the benefit)
206 thus becomes important for implementation. As it will not necessarily be possible to
207 provide the complete desired environmental flow regime in all years, making the best use of
208 this water will require an understanding of the benefits or risks of providing one component
209 of the flow regime without (or instead of) another, or providing one flow component but at

210 less than the recommended volume. It requires more detailed information on the links
211 between the decisions available and the management objectives.

212 Another important element of managing environmental water rights is that decisions each
213 year will vary depending upon antecedent conditions. Longer-term planning for
214 environmental water has tended to use average recurrence intervals for flow events or
215 pulses (Shenton et al., 2012). The sequencing of flow events over time, coupled with the
216 resilience and recovery trajectories of particular ecological endpoints, are particularly
217 important for active management and the inter-annual link between flow release decisions
218 (Anderson et al., 2006). This sort of ongoing implementation, in contrast to longer-term
219 planning, has the advantage of being able to adjust the environmental flow regime in a
220 dynamic way to account for feedbacks and ecological transition state (Overton et al., 2014,
221 Shenton et al., 2012).

222 There is an extensive and rapidly building body of research linking flow alteration to
223 ecological outcomes (Arthington, 2012). However, individually, these studies tend to focus
224 on one particular aspect of the flow regime (a spawning pulse, or low flow) and its
225 relationship to one particular ecological endpoint (e.g. King et al., 2009, Webb et al., this
226 issue). These results may be able to be used as the type of flow-ecology relationships
227 required by the ELOHA method (Poff et al., 2010), but they are limited by their ‘bivariate’
228 nature (one flow component vs. one simple response). Attempts to formally combine
229 different flow-ecology response curves for more complex ecological responses (e.g. fish
230 responses to multiple flow components) have primarily used geometric mean or the most
231 limiting factor (Bryan et al., 2013, Marsh et al., 2007). There are also examples of decision
232 support tools that allow combination methods based on expert judgment (Young et al.,
233 2003). A key limitation in these approaches to date is the failure to recognize the
234 interdependencies between individual elements of the flow regime, and interactions
235 between species (Lester et al., 2011). For longer term planning processes, expert panels

236 synthesize information to suggest a required flow regime (Gippel et al., 2009, Stewardson
237 and Webb, 2010, Tharme, 2003). However, there is rarely an explicitly documented model
238 produced through this process. While this approach to synthesizing knowledge has been
239 effective for longer-term planning processes, we believe that the shorter temporal scales,
240 more detailed process representations, and finer grain of ecological knowledge required to
241 inform the implementation cycle of environmental water management (all detailed above)
242 mean that explicitly documented conceptual and quantitative models are required.

243 **3 Conditional Probability Networks (CPNs) to represent flow management-ecology**
244 **outcomes**

245 A CPN represents the probabilistic cause effect relationships between driver or decision
246 variables (in this case, the environmental flow release decisions) and one or more
247 objectives. The CPN network is represented by a series of nodes (state variables) and links
248 (the causal relationships among those variables). For each node there is a conditional
249 probability table with a finite set of input states and output states. These probabilities define
250 the outcome of that node given the condition of the nodes that feed into it (Hart and
251 Pollino, 2009). A CPN can therefore be used to represent the assumed or predicted causal
252 link between a management decision and an environmental management objective. The
253 node-link network represents the conceptual model relating flow management decisions to
254 environmental outcomes, while the conditional probability tables for each node-link provide
255 the quantitative model for how particular elements of the system will behave, and the
256 dependency of those behaviors on other components of the system.

257 Bayesian Networks are probably the most familiar application of conditional probability
258 networks (Pearl, 2000). We use the term CPN in order to recognize that this node-link
259 structure backed by conditional probability tables has a far wider set of applications than
260 their use within Bayesian network software programs such as Netica®. For example, such

261 models can be directly coded into numerical optimization procedures to help identify
262 preferred management decisions (Horne et al., 2017).

263 The benefits of using a CPN include that they (Henderson et al., 2008, Cain, 2001, Reckhow,
264 2003):

- 265 ▪ show cause-effect relationships through a simple graphical structure;
- 266 ▪ are easily constructed, extended and modified;
- 267 ▪ allow the conditional probabilities between variables to be constructed using either
268 observed data, other models, or expert knowledge (or any combination of these);
- 269 ▪ are an accessible and intuitive modeling approach; and
- 270 ▪ allow for temporal dynamics through inclusion of nodes representing antecedent
271 conditions.

272 In developing a CPN, there will be aspects of this network that have been well studied, while
273 other aspects will be hypotheses of how the system behaves. The conditional probability
274 tables that define the statistical relationship between two nodes can be populated from a
275 number of sources. Where extensive data are available, algorithms exist to populate a CPN
276 directly. Where data are limited, expert knowledge can be used to parameterize
277 relationships. Traditional and local knowledge can also be incorporated. There are a
278 number of formal expert elicitation methods developed for this purpose (Speirs-Bridge et
279 al., 2010, de Little et al., 2012). In both cases, the information will improve over time and
280 through the adaptive management cycle. One of the recognized benefits of CPNs is the ease
281 with which this variety of knowledge sources can be combined, and later readily updated.
282 The source of information can be clearly documented. This provides a clear framework for
283 updating and refining a model over time, as (for example) data-driven relationships are able
284 to update or replace expert-driven relationships. The CPN does not overcome the need for
285 expert judgment in situations where there is no data-driven model. However, the CPN is a

286 permanent record of those expert judgments in a format that informs the needs of decision
287 makers. When well implemented, adaptive management can facilitate learning through a
288 structured dialogue between scientists and managers (Ladson, 2009). This begins with the
289 documentation of the predictive model and discussion of the decision architecture.
290 Developing a CPN that includes information on the sources of knowledge used to develop
291 model structure and relationships, the relative importance and interaction between
292 different flow components and management outcomes, and the uncertainties of model
293 predictions, is can provide this documentation.

294 *3.1 Demonstration CPN: Australian Grayling*

295 The Yarra River, Victoria, Australia is a system where an environmental water entitlement is
296 actively managed. The environmental flows study for the Yarra River establishes a number
297 of objectives for environmental water releases. Among these, is the maintenance of a
298 healthy Australian grayling (*Prototroctes maraena*) population. Australian grayling is an
299 endangered fish species that inhabits coastal rivers in south-east Australia (Koster et al.,
300 2013). Its life history is strongly tied to flow regimes, and so it is a common target of
301 environmental flow programs (Koster, this issue, Webb et al., this issue).

302 A CPN for Australian grayling populations was developed for the Yarra River, using expert
303 elicitation. This model represents the management decisions available to the Yarra River
304 Environmental Water Manager and how these link to the environmental flow objectives.
305 This is not an attempt to model the complete environmental system, nor other management
306 activities that may occur in the catchment. The aim is to capture the key factors that would
307 improve or limit achievement of environmental flow objectives through the environmental
308 water management options available. Should there be an exogenous catchment process
309 (such as a point source of pollution in the river) this could be incorporated into a CPN.

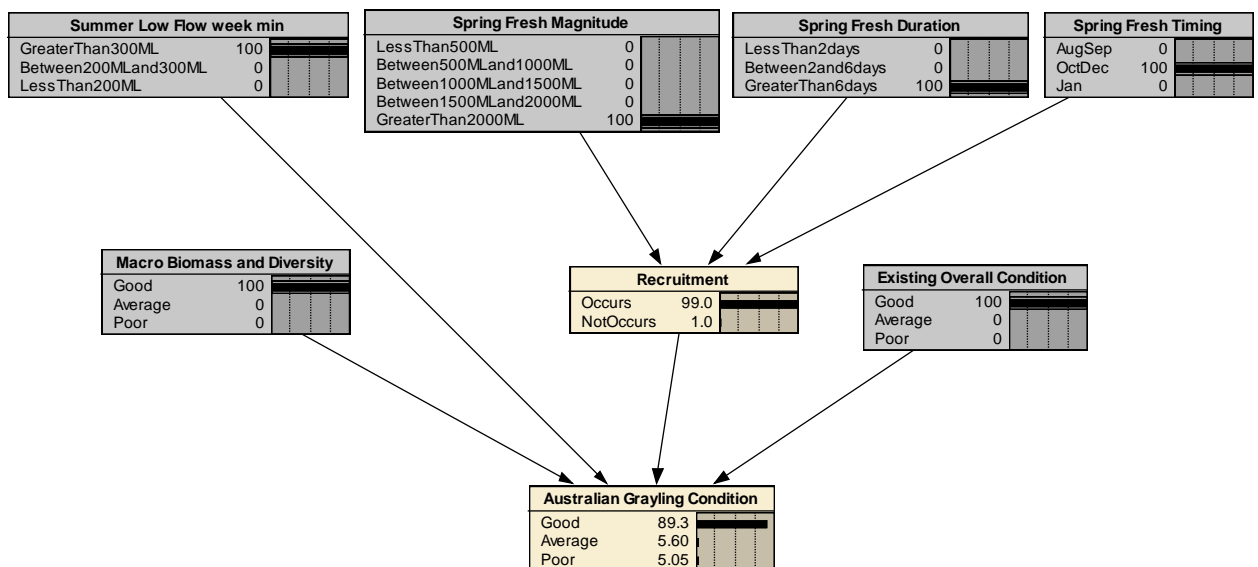
310 However, the Yarra River Environmental Water manager did not identify any such factors as
311 important for flow release decisions in this catchment.

312 The conceptual model (or influence diagram) is presented in figure 2, with full conditional
313 probability tables provided in supplementary material. The expert panel populated these
314 conditional probability tables based on their knowledge of the flow-ecology relationships in
315 the river. The links and nodes extend from the management decisions (decision nodes at
316 the top of the figure) through to the management goals (utility nodes at the bottom of the
317 figure). There are two separate management goals for Australian grayling: firstly, to support
318 spawning and migration; and secondly, to improve population condition within the Yarra
319 River (described as poor, average or good condition). The management decisions are the
320 flow components that are provided, which are represented by nodes for summer low flow,
321 and for the magnitude, duration and timing of Spring and Autumn fresh (high flow) events
322 (Figure 2). Each of these nodes contains a number of different possible states, allowing for
323 example, for a fresh to be provided at a lower threshold or duration than the full
324 environmental flow recommendation. It is the combination of the node-link network and
325 the number of discrete possible states for each node that provide the granularity required
326 for active management within the implementation cycle.

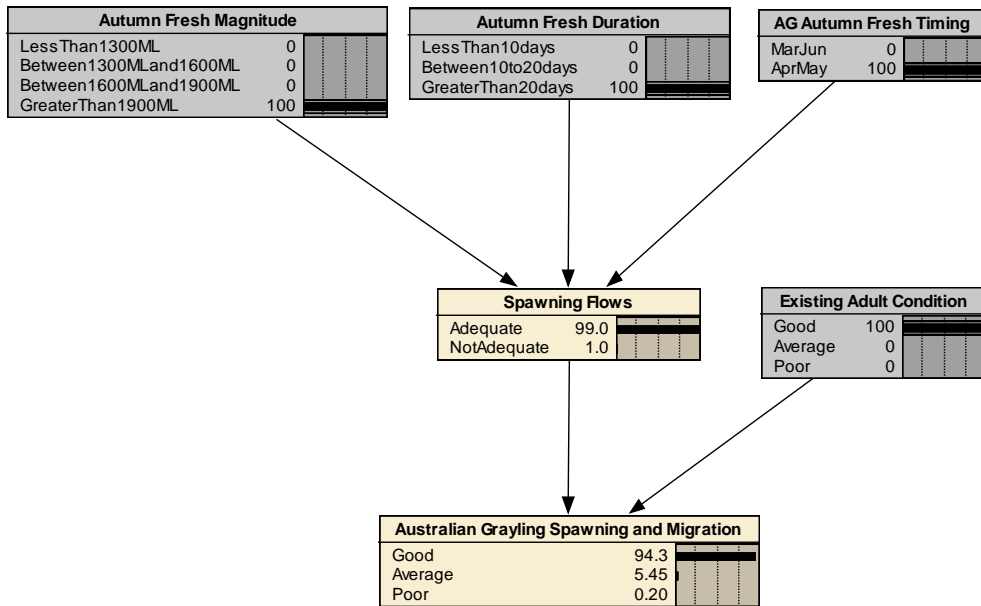
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328 The CPNs show the relative importance of one flow component over another. Consider for
329 example the provision of a spring fresh to promote juvenile migration into the system from
330 the marine environment. Figure 3 shows that, based on current understanding, the
331 magnitude of the event is more important than the duration (with a reduced magnitude
332 leading to a reduction in likelihood of good condition from 89% to 58% as opposed to 77%
333 caused by a decrease in duration). These differences have clear management implications:
334 when where there is a shortfall in the water available to provide a complete spring fresh,

335 current knowledge suggests that the Environmental Water Manager would do best to
 336 provide the full magnitude of the Spring Fresh event at the expense of its duration.
 337
 338 Within the implementation cycle, successive trialing of different watering regimes in
 339 different years can be used to generate new knowledge and adjust the CPN. An
 340 Environmental Water Manager may release a spring fresh at the recommended magnitude
 341 but with a reduced duration and find that the Australian grayling population response is less
 342 than expected. This knowledge can be incorporated into the CPN to inform subsequent
 343 release decisions. Within the implementation cycle, these adjustments would be made
 344 through adjustments to the values in the probability tables. However, it may be that due to
 345 a series of monitoring results through the implementation cycle, a new planning cycle would
 346 require a review of the node-link structure to reflect a change in our understanding of the
 347 relevant flow components. While similar learning is possible through an expert panel
 348 process alone, the documentation and structured review required by developing a CPN is
 349 likely to improve the efficiency and effectiveness of the adaptive management process.



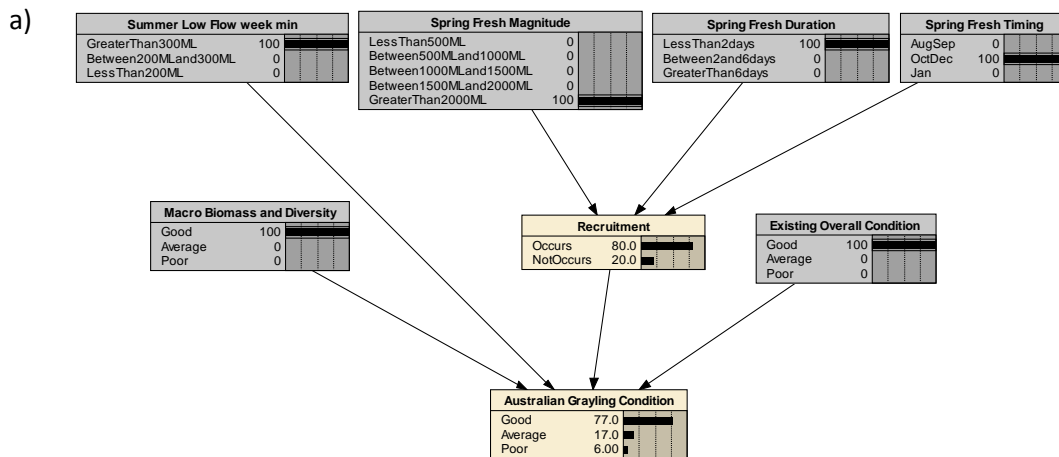
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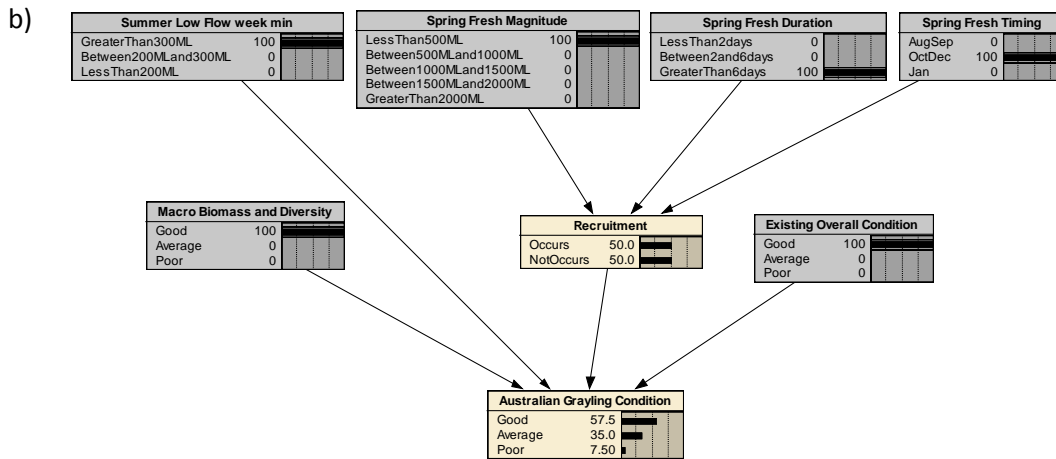


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353 **Figure 2** Example CPNs for Australian grayling where low management decisions are represented by the
 354 decision nodes (Summer low flow weekly minimum, Spring fresh magnitude, duration and timing, autumn
 355 fresh magnitude, duration and timing). Management endpoints are represented by the utility nodes (
 356 Australian Grayling Condition – panel (a) and Australian grayling spawning and migration – panel (b)), and
 357 antecedent conditions are represented by the intermediate nodes (existing condition and existing regional
 358 condition). The links (conditional probability tables) between nodes are presented in supplementary material.
 359 The graphic shown here is the calculation of outcomes (based on the conditional probability tables) for a
 360 particular combination of flow management decisions and an assumption of antecedent condition.

361





362

363 **Figure 3 Changes to Australian grayling condition in the Yarra River assuming (a) the Spring Fresh is provided at**
 364 **a shorter duration (with the node “Spring Fresh Duration” highlighting a duration of less than 2 days) (b) the**
 365 **Spring Fresh is provided at a lower magnitude (with the node “Spring Fresh Magnitude” being less than**
 366 **500ML).**

367 A key requirement for the implementation cycle is the inclusion of ecological antecedent
 368 condition and response and recovery time for ecological endpoints. The CPN includes nodes
 369 that represent the existing condition of the population to represent the effect of the
 370 previous year’s population on the outcome for the current year. It is expected that the
 371 outcome for the Australian grayling population to a particular flow decision will vary
 372 depending on the population’s initial ecological state. The CPN developed for the Yarra
 373 River indicates that if the recommended environmental flows are released, and the existing
 374 condition is good, there is a high probability of remaining in a good state. In comparison,
 375 where the initial condition is poor, providing the same set of flow components leads to a
 376 very different outcome - a high chance (69%) that the condition will move from poor to
 377 average. This means that returning the Australian grayling population to good health will
 378 require adequate flows over multiple years. The inclusion of the “existing condition” node
 379 accounts for the varying condition of an ecological endpoint over time.

380 **4 Discussion and conclusion**

381 While there have been significant gains in our knowledge of flow-ecology relationships,
 382 there remains a challenge in translating and combining this knowledge to inform

383 environmental flow management decisions. This is particularly the case for active
384 management, where the need for ongoing decisions necessitates a finer grain of ecological
385 knowledge and process representation compared to longer-term planning decisions.
386 In Australia, legislation requires that the implementation of environmental water rights
387 occurs with the best available science (Water Act 2007). The current approach of using
388 expert panels to synthesize existing knowledge of different parts of the ecological picture
389 certainly has the potential to use best available knowledge, but does not guarantee it. More
390 importantly, it could be improved from the perspective of providing transparency and rapid
391 learning through more formal adaptive management. Clear documentation would allow
392 knowledge to be more readily shared, provide a permanent record of why certain actions
393 were taken, and facilitate ongoing discussion and analysis (Allan and Stankey, 2009a, Koster,
394 this issue, Webb et al., this issue).

395 The CPN modeling method presented in this paper provides a promising approach to
396 tackling these challenges, particularly in the context of making explicit (and providing a
397 connection between) the predictive models that inform the planning and implementation
398 cycles of environmental water management. It provides flexibility to incorporate multiple
399 information sources, is readily updateable, and allows representation of the temporal
400 sequencing of seasonal environmental water management decisions. It extends previous
401 CPN approaches used to examine environmental flows (e.g. Shenton et al., 2011) by
402 including positive population feedbacks and dynamic population behavior through time.

403 The case study demonstrated how a CPN can be developed to meet the requirements of
404 both the planning and implementation cycles of environmental water management. The
405 adaptive process of reviewing and updating the model has not yet occurred in the Yarra
406 River. However, the process undertaken documented for the first time the interaction and
407 relevant importance of different flow components for meeting the single objective of
408 improved Australian grayling populations. This will inform flow release tradeoffs when there

409 is not enough environmental water to deliver recommended flows in full. The process of
410 developing the CPN and discussions through the expert elicitation process also clearly
411 highlighted areas of the conceptual model and probabilistic relationships where knowledge
412 is more limited and further research is required. A similar approach could be applied in other
413 systems, using the environmental water manager to identify the boundaries and elements
414 that influence their management decisions. The case study applied CPNs to a single fish
415 species as one management objective of environmental flows in the Yarra River. The same
416 approach and concepts could be applied to the wider suite of environmental flow
417 management objectives. It could also be extended to incorporate other management
418 strategies within the catchment to address environmental drivers other than flow.
419 Importantly, the CPN approach represents existing knowledge in a format that meets the
420 needs of resource managers at both the planning and implementation scales. The process
421 of formally documenting the CPN helps clarify the thought process around how flow
422 decisions are made, and ensures common understanding across those participating in the
423 flow management process. Adaptive management theory tells us that by documenting the
424 predictive models and the known uncertainties, and by recording the performance of
425 predictions against observed outcomes, the models and consequent decisions can be
426 improved over time (Webb et al., in press-a). Adaptive management requires that both the
427 logic that leads to a management decision, and the uncertainty in the information, be
428 documented to allow the learning cycle to improve future management decisions. It is
429 therefore important that any predictive model is considered a “working model” and a
430 systematic approach is in place to review and update the model as new knowledge becomes
431 available. For CPNs, as adaptive learning proceeds with monitoring and evaluation of
432 ecological responses, the conditional probability relationships among nodes can be updated
433 using Bayes’ rule (Pearl, 2000), thereby taking maximum advantage of both existing and new
434 knowledge. Over time, we would expect the uncertainty in conditional probability

435 relationships to be reduced, with a consequent improvement in the precision of decision
436 making informed by these models. **Future research could aim to at both understanding and**
437 **reducing this uncertainty through a combination of models and field work.** There may also
438 be improvements in our understanding of the requisite level of complexity of such models.
439 This corresponds to multiple cycles of the inner adaptive management loop (Figure 1).
440 Decision support tools are becoming more prevalent in environmental management, but
441 representing ecological endpoints within these models remains a key challenge (Horne et al.,
442 2016). The CPN approach lends itself to inclusion in these types of tools as it employs a
443 direct link between decision variables and endpoints and quantifies the relative importance
444 of different causal factors, both of which can be used to inform decisions. Another potential
445 application of CPNs is the representation of ecological outcomes for decision models
446 attempting to compare consumptive uses (i.e. economically productive) of natural resources
447 and environmental outcomes (for example, Grafton et al., 2011). While we have
448 concentrated here on the use of CPNs as a conceptual and numerical modeling tool for
449 environmental water management, the potential range of applications is much wider, and
450 indeed extends to any adaptive management (environmental or otherwise) situation where
451 decisions must be made, but for an endpoint for which knowledge is incomplete.

452 **5 Acknowledgements**

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637 **7 Supplementary material**

638 Demonstration Conditional Probability Tables for Australian Grayling in the Yarra River
 639 catchment.

640 *7.1 Australian Grayling Condition*

641 **Table 1 Conditional Probability table for probability of recruitment of Australian Grayling**

Spring Fresh Timing	Spring Fresh Duration	Spring Fresh Magnitude	Probability of recruitment	
			Occurs	Does not occur
Aug-Sept	< 500ML	< 2 days	0.10	0.90
Aug-Sept	500ML-1000ML	< 2 days	0.15	0.85
Aug-Sept	1000ML-1500ML	< 2 days	0.20	0.80
Aug-Sept	1500ML-2000ML	< 2 days	0.25	0.75
Aug-Sept	> 2000ML	< 2 days	0.30	0.70
Aug-Sept	< 500ML	2-6 days	0.20	0.80
Aug-Sept	500ML-1000ML	2-6 days	0.35	0.65
Aug-Sept	1000ML-1500ML	2-6 days	0.40	0.60
Aug-Sept	1500ML-2000ML	2-6 days	0.45	0.55
Aug-Sept	> 2000ML	2-6 days	0.70	0.30
Aug-Sept	< 500ML	> 6 days	0.30	0.70
Aug-Sept	500ML-1000ML	> 6 days	0.55	0.45
Aug-Sept	1000ML-1500ML	> 6 days	0.60	0.40
Aug-Sept	1500ML-2000ML	> 6 days	0.65	0.35
Aug-Sept	> 2000ML	> 6 days	0.80	0.20
Oct-Dec	< 500ML	< 2 days	0.30	0.70
Oct-Dec	500ML-1000ML	< 2 days	0.55	0.45
Oct-Dec	1000ML-1500ML	< 2 days	0.60	0.40
Oct-Dec	1500ML-2000ML	< 2 days	0.65	0.35
Oct-Dec	> 2000ML	< 2 days	0.80	0.20
Oct-Dec	< 500ML	2-6 days	0.40	0.60
Oct-Dec	500ML-1000ML	2-6 days	0.65	0.35
Oct-Dec	1000ML-1500ML	2-6 days	0.70	0.30
Oct-Dec	1500ML-2000ML	2-6 days	0.75	0.25
Oct-Dec	> 2000ML	2-6 days	0.90	0.10
Oct-Dec	< 500ML	> 6 days	0.50	0.50
Oct-Dec	500ML-1000ML	> 6 days	0.75	0.25
Oct-Dec	1000ML-1500ML	> 6 days	0.80	0.20
Oct-Dec	1500ML-2000ML	> 6 days	0.85	0.15
Oct-Dec	> 2000ML	> 6 days	0.99	0.01
Jan	< 500ML	< 2 days	0.10	0.90
Jan	500ML-1000ML	< 2 days	0.15	0.85
Jan	1000ML-1500ML	< 2 days	0.20	0.80
Jan	1500ML-2000ML	< 2 days	0.25	0.75
Jan	> 2000ML	< 2 days	0.30	0.70
Jan	< 500ML	2-6 days	0.20	0.80
Jan	500ML-1000ML	2-6 days	0.35	0.65
Jan	1000ML-1500ML	2-6 days	0.40	0.60
Jan	1500ML-2000ML	2-6 days	0.45	0.55
Jan	> 2000ML	2-6 days	0.70	0.30
Jan	< 500ML	> 6 days	0.30	0.70
Jan	500ML-1000ML	> 6 days	0.55	0.45
Jan	1000ML-1500ML	> 6 days	0.60	0.40
Jan	1500ML-2000ML	> 6 days	0.65	0.35

Jan	> 2000ML	> 6 days	0.80	0.20
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Table 2 Conditional Probability table for condition of Australian Grayling

Recruitment	Summer Low Flow	Macroinvertebrate condition	Existing Australian Grayling condition	Probability of condition		
				Good	Average	Poor
Occurs	Good	Good	Good	0.90	0.05	0.05
Occurs	Good	Good	Average	0.80	0.15	0.05
Occurs	Good	Good	Poor	0.20	0.70	0.10
Occurs	Good	Average	Good	0.85	0.10	0.05
Occurs	Good	Average	Average	0.75	0.20	0.05
Occurs	Good	Average	Poor	0.15	0.60	0.25
Occurs	Good	Poor	Good	0.80	0.15	0.05
Occurs	Good	Poor	Average	0.65	0.25	0.10
Occurs	Good	Poor	Poor	0.10	0.50	0.40
Occurs	Average	Good	Good	0.85	0.10	0.05
Occurs	Average	Good	Average	0.75	0.20	0.05
Occurs	Average	Good	Poor	0.15	0.60	0.25
Occurs	Average	Average	Good	0.75	0.20	0.05
Occurs	Average	Average	Average	0.25	0.70	0.05
Occurs	Average	Average	Poor	0.05	0.25	0.70
Occurs	Average	Poor	Good	0.70	0.25	0.05
Occurs	Average	Poor	Average	0.55	0.35	0.10
Occurs	Average	Poor	Poor	0.01	0.19	0.80
Occurs	Poor	Good	Good	0.00	0.00	1.00
Occurs	Poor	Good	Average	0.00	0.00	1.00
Occurs	Poor	Good	Poor	0.00	0.00	1.00
Occurs	Poor	Average	Good	0.00	0.00	1.00
Occurs	Poor	Average	Average	0.00	0.00	1.00
Occurs	Poor	Average	Poor	0.00	0.00	1.00
Occurs	Poor	Poor	Good	0.00	0.00	1.00
Occurs	Poor	Poor	Average	0.00	0.00	1.00
Occurs	Poor	Poor	Poor	0.00	0.00	1.00
Does not occur	Good	Good	Good	0.25	0.65	0.10
Does not occur	Good	Good	Average	0.05	0.60	0.35
Does not occur	Good	Good	Poor	0.00	0.05	0.95
Does not occur	Good	Average	Good	0.15	0.60	0.25
Does not occur	Good	Average	Average	0.05	0.40	0.55
Does not occur	Good	Average	Poor	0.00	0.05	0.95
Does not occur	Good	Poor	Good	0.05	0.55	0.40
Does not occur	Good	Poor	Average	0.00	0.20	0.80
Does not occur	Good	Poor	Poor	0.00	0.05	0.95
Does not occur	Average	Good	Good	0.70	0.20	0.10
Does not occur	Average	Good	Average	0.05	0.85	0.10
Does not occur	Average	Good	Poor	0.00	0.05	0.95
Does not occur	Average	Average	Good	0.65	0.25	0.10
Does not occur	Average	Average	Average	0.05	0.75	0.20
Does not occur	Average	Average	Poor	0.00	0.05	0.95
Does not occur	Average	Poor	Good	0.60	0.20	0.20
Does not occur	Average	Poor	Average	0.00	0.70	0.30
Does not occur	Average	Poor	Poor	0.00	0.05	0.95
Does not occur	Poor	Good	Good	0.00	0.00	1.00
Does not occur	Poor	Good	Average	0.00	0.00	1.00
Does not occur	Poor	Good	Poor	0.00	0.00	1.00
Does not occur	Poor	Average	Good	0.00	0.00	1.00

Does not occur	Poor	Average	Average	0.00	0.00	1.00
Does not occur	Poor	Average	Poor	0.00	0.00	1.00
Does not occur	Poor	Poor	Good	0.00	0.00	1.00
Does not occur	Poor	Poor	Average	0.00	0.00	1.00
Does not occur	Poor	Poor	Poor	0.00	0.00	1.00

644

645 7.2 Australian Grayling Spawning and Migration

646 Table 3 Conditional Probability table for probability of spawning flows for Australian Grayling

Autumn Fresh Timing	Autumn Fresh Duration	Autumn Fresh Magnitude	Probability of spawning flow	
			Occurs	Does not occur
March/June	< 10 days	< 1300 ML	0.10	0.90
March/June	< 10 days	1300 - 1600 ML	0.15	0.85
March/June	< 10 days	1600 - 1900 ML	0.25	0.75
March/June	< 10 days	> 1900 ML	0.30	0.70
March/June	10-20 days	< 1300 ML	0.20	0.80
March/June	10-20 days	1300 - 1600 ML	0.35	0.65
March/June	10-20 days	1600 - 1900 ML	0.45	0.55
March/June	10-20 days	> 1900 ML	0.60	0.40
March/June	> 20 days	< 1300 ML	0.30	0.70
March/June	> 20 days	1300 - 1600 ML	0.55	0.45
March/June	> 20 days	1600 - 1900 ML	0.65	0.35
March/June	> 20 days	> 1900 ML	0.70	0.30
April/May	< 10 days	< 1300 ML	0.20	0.80
April/May	< 10 days	1300 - 1600 ML	0.45	0.55
April/May	< 10 days	1600 - 1900 ML	0.65	0.35
April/May	< 10 days	> 1900 ML	0.70	0.30
April/May	10-20 days	< 1300 ML	0.40	0.60
April/May	10-20 days	1300 - 1600 ML	0.70	0.30
April/May	10-20 days	1600 - 1900 ML	0.85	0.15
April/May	10-20 days	> 1900 ML	0.80	0.20
April/May	> 20 days	< 1300 ML	0.50	0.50
April/May	> 20 days	1300 - 1600 ML	0.80	0.20
April/May	> 20 days	1600 - 1900 ML	0.90	0.10
April/May	> 20 days	> 1900 ML	0.99	0.01

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648 Table 4 Conditional Probability table for condition of Australian Grayling

Spawning flow	Existing Australian Grayling condition	Probability of Australian Grayling Spawning and Migration		
		Good	Average	Poor
Adequate	Good	0.95	0.05	0.00
Adequate	Average	0.70	0.20	0.10
Adequate	Poor	0.10	0.70	0.20
Not adequate	Good	0.30	0.60	0.10
Not adequate	Average	0.05	0.15	0.80
Not adequate	Poor	0.00	0.05	0.95

649

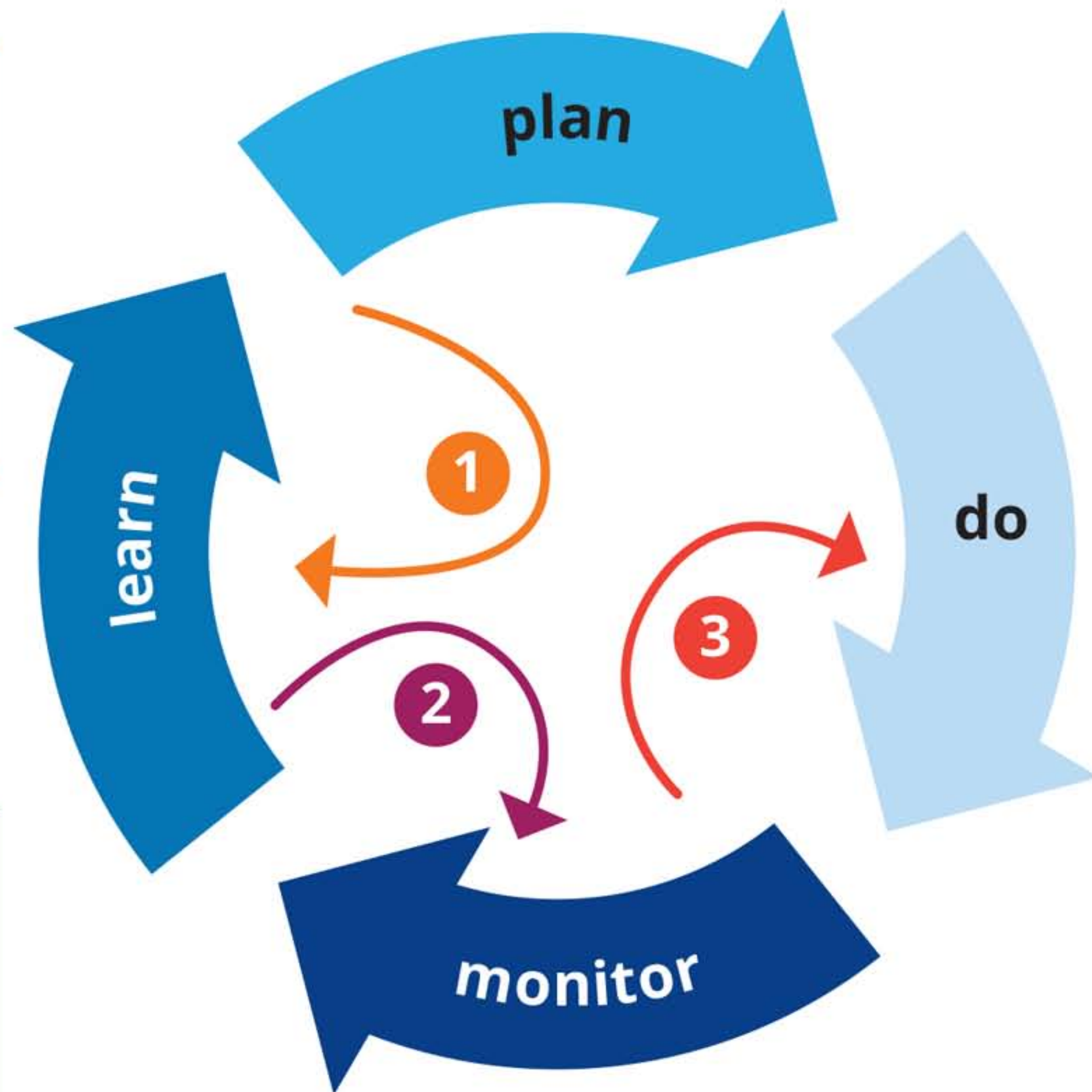
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662 </funding-group>
663
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Inner learning loop 1:
Small changes to strategies and plans are made in response to learnings in between major planning reviews

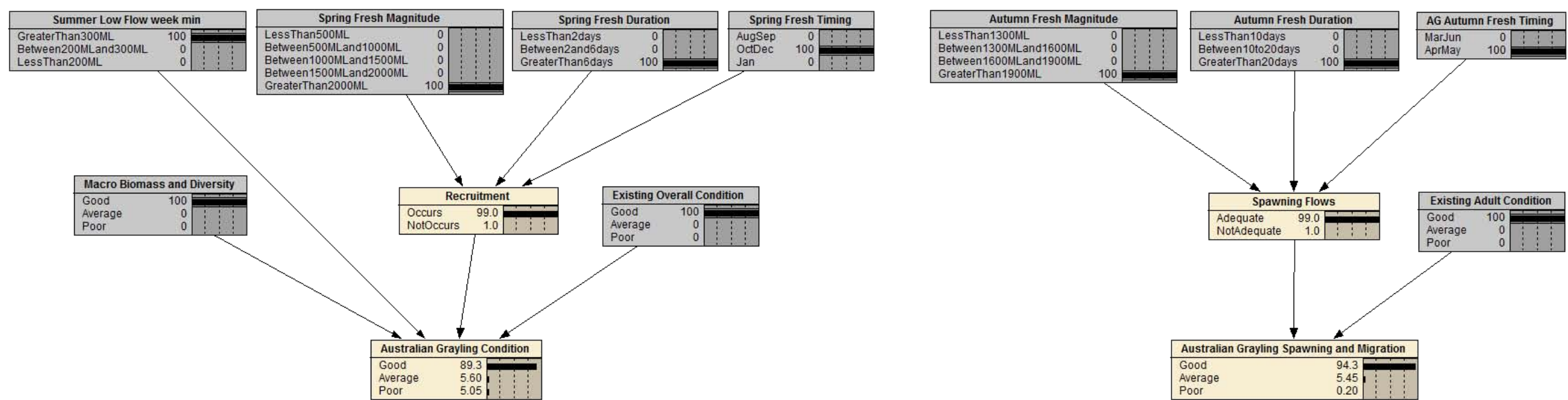


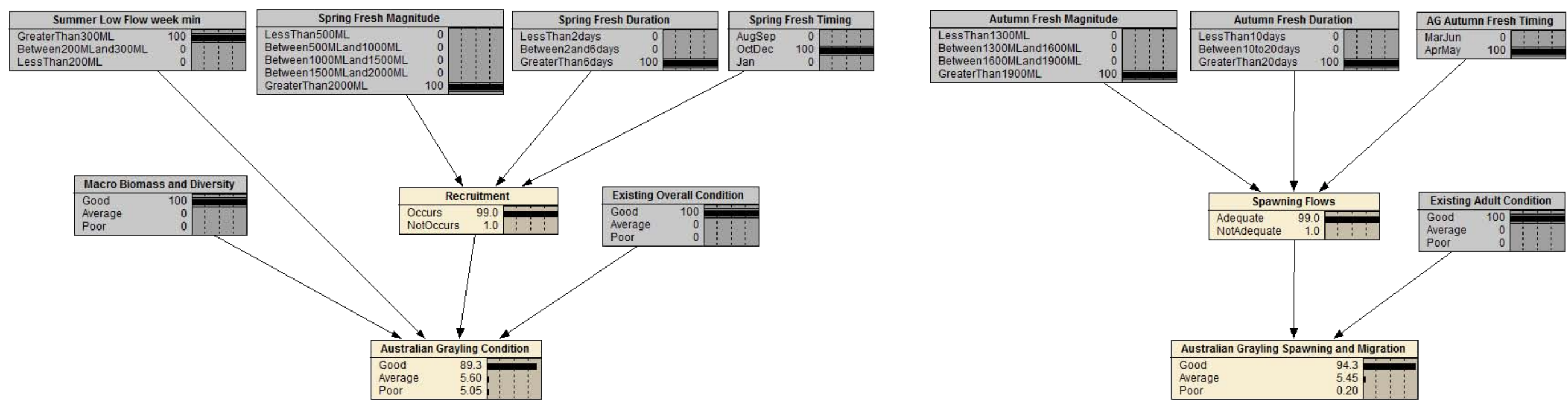
Inner learning loop 2:
Aspects of monitoring are refined in between planning reviews

Outer learning cycle:

Long-term goals, plans and actions are reviewed and modified in response to learnings

Inner learning loop 3:
Aspects of implementation are refined in between planning reviews in response to monitoring





Summer Low Flow week min		
GreaterThan300ML	100	
Between200MLand300ML	0	
LessThan200ML	0	

Spring Fresh Magnitude		
LessThan500ML	0	
Between500MLand1000ML	0	
Between1000MLand1500ML	0	
Between1500MLand2000ML	0	
GreaterThan2000ML	100	

Spring Fresh Duration		
LessThan2days	100	
Between2and6days	0	
GreaterThan6days	0	

Spring Fresh Timing		
AugSep	0	
OctDec	100	
Jan	0	

Macro Biomass and Diversity		
Good	100	
Average	0	
Poor	0	

Recruitment		
Occurs	80.0	
NotOccurs	20.0	

Existing Overall Condition		
Good	100	
Average	0	
Poor	0	

Australian Grayling Condition		
Good	77.0	
Average	17.0	
Poor	6.00	

Summer Low Flow week min		
GreaterThan300ML	100	
Between200MLand300ML	0	
LessThan200ML	0	

Spring Fresh Magnitude		
LessThan500ML	100	
Between500MLand1000ML	0	
Between1000MLand1500ML	0	
Between1500MLand2000ML	0	
GreaterThan2000ML	0	

Spring Fresh Duration		
LessThan2days	0	
Between2and6days	0	
GreaterThan6days	100	

Spring Fresh Timing		
AugSep	0	
OctDec	100	
Jan	0	

Macro Biomass and Diversity		
Good	100	
Average	0	
Poor	0	

Recruitment		
Occurs	50.0	
NotOccurs	50.0	

Existing Overall Condition		
Good	100	
Average	0	
Poor	0	

Australian Grayling Condition		
Good	57.5	
Average	35.0	
Poor	7.50	