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Author/s:

Akanda, ZZ;Neeson, PJ;John, T;Barnett, S;Hanna, GG;Miller, A;Jennens, R;Siva, S

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A narrative review of combined stereotactic ablative radiotherapy and immunotherapy in metastatic non-small cell lung cancer

Zarique Z. Akanda¹, Paul J. Neeson^{2,3}, Thomas John⁴, Stephen Barnett^{5,6}, Gerard G. Hanna^{1,2}, Alistair Miller⁷, Ross Jennens⁴, Shankar Siva^{1,2}

¹Division of Radiation Oncology and Cancer Imaging, Peter MacCallum Cancer Centre, Melbourne, Australia; ²Sir Peter MacCallum Department of Oncology, The University of Melbourne, Melbourne, Australia; ³Division of Cancer Research, Peter MacCallum Cancer Centre, Melbourne, Australia; ⁴Division of Medical Oncology, Peter MacCallum Cancer Centre, Melbourne, Australia; ⁵Division of Thoracic Surgery, Austin Health, Heidelberg, Australia; ⁶Austin Health Department of Surgery, The University of Melbourne, Melbourne, Australia; ⁷Division of Respiratory Medicine, The Royal Melbourne Hospital, Melbourne, Australia

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Correspondence to: Shankar Siva, PhD, MBBS, FRANZCR. Division of Radiation Oncology and Cancer Imaging, Peter MacCallum Cancer Centre, 305 Grattan Street, Melbourne, VIC 3000, Australia. Email: Shankar.Siva@petermac.org.

Abstract: Immune checkpoint inhibitors (ICIs) have significantly improved overall survival (OS) in metastatic non-small cell lung cancer (m-NSCLC). However, not all patients with m-NSCLC benefit from ICIs, and resistance to ICIs is an emerging challenge. The tumour microenvironment (TME) is immunosuppressive, and provides a myriad of mechanisms to facilitate escape of cancer cells from immune surveillance. The TME may also dampen the response to ICIs by inhibiting T cell effector responses. The poor prognosis of m-NSCLC has led to investigation of ICIs combined with other treatments with the intention of modulating the TME and sensitizing tumours to the effects of ICIs. Stereotactic ablative radiotherapy (SABR) in combination with ICIs is an area of intense interest. SABR is thought to evoke a pro-immunogenic response in the TME, with the capacity to turn a “cold”, unresponsive tumour to “hot” and receptive to ICI. In addition to improved local response, SABR is postulated to produce a heightened systemic immune response when compared to conventional radiotherapy (RT). Preclinical studies have demonstrated a synergistic effect of SABR + ICIs, and clinical studies in m-NSCLC showed safety and promising efficacy compared to systemic therapies alone. To optimize ICI + SABR, ICI choice, combinations, dosing and length of treatment, as well as sequencing of ICI + SABR all require further investigation. Appropriate sequencing may depend on the ICI(s) being utilized, with differing sites of metastases possibly eliciting differing immune responses. Single versus multisite radiation is controversial, whilst effects of irradiated tumour volume and nodal irradiation are increasingly recognized. Taken together, there is strong preclinical and biological rationale, with emerging clinical evidence, supporting the strategy of combining SABR + ICIs in m-NSCLC.

Keywords: Stereotactic body radiation therapy (SBRT); non-small cell lung cancer (NSCLC); immune checkpoint inhibitors (ICIs); toxicity; radioimmunotherapy

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Introduction

Non-small cell lung cancer (NSCLC) accounts for 85% of all lung cancers, and is the leading cause of cancer related mortality (1). Prior to the advent of immunotherapy, historically metastatic NSCLC (m-NSCLC) carried a poor prognosis with 5-year survival rates of approximately 6% (1). Fifty percent of patients have metastatic disease at diagnosis (1). Tumours have a variety of mechanisms for escaping immune surveillance including up regulation of immune checkpoint molecules (2). Immune checkpoint molecules act as regulators of the immune system; they suppress ongoing effector T-cell activation, thereby reducing the potential for autoimmunity following chronic antigen presentation (2). The advent of immune checkpoint inhibitors (ICIs) transformed the treatment landscape of m-NSCLC. Current approved ICIs target blockade of programmed cell death protein 1 (PD-1) or its ligand (PD-L1), as well as cytotoxic T-lymphocyte-associated protein 4 (CTLA4). ICIs have demonstrated significant overall survival (OS) benefits and progression free survival (PFS) benefits in m-NSCLC, while having a more tolerable toxicity profile than traditional chemotherapy regimens (3-12). While predictive biomarkers for ICI benefit are not perfect, currently tumours with high expression of PD-L1 ($\geq 50\%$) appear to derive the best responses and survival improvements (13). However, this represents a limited subgroup of the population of patients with m-NSCLC. Tumour mutational burden (TMB) was also being explored as a biomarker for ICI response, but remains controversial (13).

Both primary and secondary resistance to ICI poses therapeutic challenges and precise mechanisms of resistance remain unclear. The importance of the immune system in primary and secondary resistance is being recognised for both an initial and sustained response to ICI. The tumour microenvironment (TME) may be driven towards an immunosuppressive phenotype, and tumour cells may undergo adaptive changes to evade immunoregulation (14). Given the poor prognosis of m-NSCLC, and resistance to ICIs, alternative strategies are required to improve the number of patients who can derive benefit. Strategies to modulate the immune system, in combination with ICIs are of current interest.

Radiotherapy (RT) in combination with ICIs has emerged as an area of considerable interest in m-NSCLC. A retrospective analysis of the KEYNOTE 001 study revealed that patients who received RT prior to commencing

Pembrolizumab demonstrated significantly increased OS and PFS compared to patients who did not (15). RT, in particular stereotactic ablative radiotherapy (SABR) has many postulated systemic immunomodulatory effects. This will be the subject of this review. One outcome of SABR is the abscopal effect; where-by regression of non-irradiated tumours at sites distant from irradiated tumour is observed (16). In extreme and rare examples of the abscopal effect, complete tumour regression at distant sites has been observed (16). This phenomenon is likely a result of a systemic immune response elicited by RT. Preclinical data suggests that RT and ICIs work synergistically (17-19), whilst limited clinical trials have observed improved outcomes in m-NSCLC treated with SABR + ICIs (20-22) (*Table 1*). Several phase II and III trials examining SABR + ICIs (*Table 2*) in m-NSCLC are in progress. Many questions regarding optimal combination therapy remain unanswered. In this narrative review, we examine the current state of immunotherapy in m-NSCLC, the immunomodulatory effects of RT, and the toxicity profile of RT/SABR + ICIs. Furthermore, we examine the impact of RT dosing, sequencing, and sites of radiation on combination therapy with ICIs. We present the following article in accordance with the Narrative Review reporting checklist (available at <http://dx.doi.org/10.21037/tlcr-20-1117>).

Current state of play for ICI and NSCLC

In the absence of targetable mutations, ICIs are a fundamental component of treatment of m-NSCLC and have emerged as a backbone of first line therapy. Most approved agents target blockade of PD1 and PD-L1: including Nivolumab, Pembrolizumab, Atezolizumab, and Durvalumab. Anti-CTLA4 therapy has not demonstrated benefit as monotherapy, and combination of anti-PD-1 and anti-CTLA4 has been the subject of a further clinical trial in m-NSCLC (11).

The benefits of ICIs were first demonstrated in two key randomized phase III trials (Checkmate 17 and 57), which established the anti-PD-1 antibody Nivolumab as a standard of care (SOC) in second line treatment of m-NSCLC. A significant OS benefit was demonstrated compared to Docetaxel for both squamous and non-squamous cell tumours, as well as PFS benefits in updated 3-year follow up data (3-5). The Keynote 10 trial demonstrated OS benefit of Pembrolizumab over Docetaxel as a second line agent, with high PD-L1 tumour expressing groups (PD-L1 $\geq 50\%$)

Table 1 Published prospective studies examining ICI alone versus ICI combined with RT, exclusively in m-NSCLC

Author	Phase	N	Design	ICI	Dose (Gy/tx)	ORR (%)	Median PFS (months)	Median OS (months)	G3 toxicity ≥ 3 (%)
Baum <i>et al.</i> , 2019	Single arm, II	45	Sequential: ablative therapy to all lesions (≤ 4); Pembro 4–12 weeks after ablative therapy	Pembro	SABR	N/A	6.6 vs. 19 (historical control vs. experimental), $P=0.05$	N/A	13.3
Theelan <i>et al.</i> , 2019	II	76	Sequential: SABR to single lesion (must have ≥ 2 lesions); Pembro to commence 7 days post completion of SBRT	Pembro	24/3	18 vs. 36 (ICI vs. ICI + RT), $P=0.07$	1.9 vs. 6.6 (ICI vs. ICI + RT), $P=0.19$	7.6 vs. 15.9 (ICI vs. ICI + RT), $P=0.16$	17%
Formenti <i>et al.</i> , 2018	Single arm, I/II	39	Concurrent: ICI commenced with SABR; single metastatic lesion radiated	Ipi	30/5 or 28.5/3	33%	N/A	13.0	38%

ICI, immune check point inhibitor; RT, radiotherapy; m-NSCLC, metastatic non-small cell lung cancer; OS, overall survival; PFS, progression free survival; Pembro, Pembrolizumab; Ipi, Ipilimumab; SBRT, stereotactic body radiation therapy; SABR, stereotactic ablative radiotherapy.

also demonstrating PFS over Docetaxel (6).

The success and improved toxicity profile of immunotherapy lead to the investigation of immunotherapy as monotherapy in treatment naïve patients with m-NSCLC. This was investigated in Keynote 024 and Keynote 042 trials, which examined the use of Pembrolizumab compared to chemotherapy. Keynote 24 specifically examined patients with PD-L1 $\geq 50\%$, with a near doubling of OS demonstrated in updated 3-year data (7,8). This durability in survival was paired with a decreased toxicity profile compared to chemotherapy. The results further reinforced the importance of high PD-L1 status, as in Keynote 042, only this subgroup demonstrated a survival benefit (8).

The benefit of ICIs in high PD-L1 expressing tumours is well established. However, this subset comprises ~30% (13) of patients with m-NSCLC. Subsequent studies investigated the combination of immunotherapy and chemotherapy, as a means of sensitizing tumours to immunotherapy. This approach was examined in the Keynote 189 and Keynote 407 studies, which compared Pembrolizumab and chemotherapy to chemotherapy alone. The results of these studies showed OS benefit in all PD-L1 subgroups (9,10) and established Pembrolizumab in combination with chemotherapy as first line SOC for both squamous and non-squamous NSCLC. A different approach examined in the checkmate 227, and more recent 9LA trials (NCT03215706), where the combination of Ipilimumab (an anti-CTLA4 inhibitor) with Nivolumab (an anti-PD-1 inhibitor) was used either alone or in combination with chemotherapy and compared to chemotherapy alone. Survival benefit was demonstrated even in PD-L1 negative tumours, suggesting a potential role for dual agent immunotherapy (11). ICIs have also been explored in the adjuvant setting in the PACIFIC trial where the addition of Durvalumab after definitive chemoradiation for stage III disease resulted in significant OS and PFS benefit (12).

Modulation of the immune system to enhance the efficacy of ICIs has also been explored in m-NSCLC with agents other than chemotherapy. In the large phase III multi-center international IMpower 150 trial, Atezolizumab (A), an anti-PD-L1 agent; Bevacizumab (B), an anti-vascular endothelial growth factor agent (anti-VEGF); and combinations with chemotherapy (C) were compared (ABC vs. BC vs. AC). This investigation was unique as it was a recent trial that included patients with driver mutations (EGFR and ALK) where ICI has historically not been effective. Data of ABC vs. BC is available, with the ABC arm demonstrating PFS benefits even in patients with driver

Table 2 Current ongoing phase II and above trials examining immunotherapy and radiation combinations exclusively in m-NSCLC

Study	Phase	N	Immunotherapy	Radiation	Design	Primary outcome	Institution
NCT03705403 (ImmunoSABR)	II	126	L19-IL2	24 Gy/3	Sequential RT→ICI	PFS	Multiple, Western Europe
NCT03867175	III	116	Pembroluzimab	SABR, 3–10 fractions	Concurrent	PFS	Wake Forest Cancer Centre, USA
NCT03176173 (RRADICAL)	II	130	Pembroluzimab, Nivolumab, Atezolizumab	SABR, max 10 fractions	Sequential ICI→RT	PFS	Stanford, USA
NCT03391869 (LONESTAR)	III	270	Nivolumab and Ipilimumab combined	*	Sequential ICI→RT→ICI	OS	MD Anderson, USA
NCT03965468 (CHESS)	II	47	Darvalumab	SABR, max 10 fractions	Sequential ICI→RT	PFS	Multiple, Western Europe
NCT03044626 (FORCE)	II	130	Nivolumab	20 Gy/5	Sequential ICI→RT	ORR	AIO-Studien-gGmbH, Germany

*, radiation treatment dose not available. m-NSCLC, metastatic non-small cell lung cancer; SABR, stereotactic ablative radiotherapy; ICI, immune checkpoint inhibitor; RT, radiotherapy; PFS, progression free survival; OS, overall survival.

mutations (23). Bevacizumab was postulated to inhibit the immunosuppressive effects of VEGF (24), and improve the efficacy of Atezolizumab.

Mechanism of resistance

Despite the success of immunotherapy in NSCLC, both primary and secondary resistance remains challenging. The broad principle of ICIs mechanism of action is promotion of the anti-tumour effects of T-cells. Thus, the success of ICIs is dependent on an effective anti-tumour immune response. Chen and Mellman have described the steps in this response, in what they have termed the cancer-immune cell cycle (24). An effective anti-tumour immune response requires adequate release of tumour associated antigens (TAAs) and subsequent antigen presentation for priming, activation and proliferation of tumour-specific T-cells in the tumour draining lymph node. Tumour-specific effector T-cells traffic to the tumour, where they attack tumour cells presenting cognate antigen and induce tumour cell death. The TME and adaptive responses by tumours can disrupt any step(s) in this process, and may limit the efficacy of ICIs.

A lack of immunogenicity and insufficient neo-antigens is thought to contribute to *de novo* resistance to ICIs in m-NSCLC. An analysis by Rizvi *et al.* demonstrated an improved PFS and overall response rate (ORR) in patients receiving Pembrolizumab with a higher TMB, irrespective

of PD-L1 expression (25). Additionally, in the Checkmate 227 trial, patients with high TMB receiving combination ICIs demonstrated significantly improved PFS compared to chemotherapy alone, in contrast there was no benefit in patients with low TMB (11). However, no OS benefit was seen based on TMB (11). An analysis of relapsed NSCLC patients receiving ICIs demonstrated a loss of neoantigens (26). Additionally, tumours can undergo adaptive changes to evade the immune system through disruption of antigen presentation such as down regulation of major histocompatibility complex class I (MHC-I), and mutations of IFN-gamma signalling required for production of chemokines to traffic effector T-cells to sites of tumour (27). IFN-gamma induces tumour associated macrophages (TAMs) to secrete chemokine motif ligands (CXCL): CXCL9, CXCL10, and CXCL11, which traffic effector T-cells to tumour in response to the CXC3/CXCL9–11 pathway (28). These factors can contribute to both primary resistance (patients who have no initial response to ICI) and secondary resistance (patients who are not able to sustain a response to ICI).

The TME itself dampens anti-tumour immune response through immunosuppressive cytokines and cells. Important immunosuppressive cells include myeloid derived suppressor cells (MDSC) and regulatory CD4+ T-cells (T-regs). Clinically, the presence of MDSC has been associated with poorer response to ICIs (29) as well as promotion of cancer

survival and invasion (30). The TME is infiltrated by T-regs, which suppress effector T-cell functions by secreting inhibitory cytokines including IL-10, TGF- β , and IL-35. T-regs also express immune checkpoint molecules CTLA4, PD-1 and LAG-3 to dampen APC responses, sequester IL-2 and produce peri-cellular adenosine (31). All these mechanisms require local cell proximity. To enable this T-regs secrete chemokines CCL3 and CCL4 which attract CCR5+ effector T-cells (31). The presence of pre-existing CD8+ T-cells in the TME correlate with response to ICIs in m-NSCLC (32,33). The role of tissue resident memory T-cells (T_{RM}) in cancer immune surveillance is also being recognised (34). They are a group of non-circulating T-cells located in peripheral tissue and inhibit solid tumour growth locally. In particular CD8+ T_{RM} , which are characterized by co-expression of the integrin CD103+ (34). The presence of CD8+CD103+ T_{RM} have been associated with survival and enhanced tumour-infiltrating lymphocytes (TILs) in clinical isolates of NSCLC (35). However, the TME may make these cells dysfunctional (36). In addition to immune cells, there is growing evidence that non-immune stromal cells within the TME play an important role in ICI resistance. For example, recent studies by Dominguez *et al.* and Kieffer *et al.*, demonstrated that cancer associated fibroblast gene signatures were significantly increased in NSCLC ICI non-responders (37,38). Since loss of neoantigens during clonal evolution, and pro-regulatory TME changes are established mechanisms of resistance, alternative therapeutic strategies (such as radiation) to reinvigorate the immune recognition should be considered.

Immune responses to radiation

The anti-tumour effects of radiation have traditionally been viewed as a local response to DNA damage, resulting in tumour necrosis, mitotic catastrophe, autophagy, apoptosis, and cell senescence (39). There is growing evidence of the ability of radiation to elicit a systemic immune response through engagement of the adaptive immune system. This concept is referred to as immunogenic cell death (ICD) (40). Radiation induced ICD ultimately results in a coordinated anti-tumour response by allowing for antigens to be presented for T-cell priming and eventual trafficking to tumour sites (see *Figure 1*).

Cell death from RT results in the release of danger associated molecular patterns (DAMPs) and liberation of TAAs. DAMPs facilitate the recruitment and maturation of antigen presenting cells (APCs), which subsequently

results in the cross presentation and priming of effector T-cells in draining lymph nodes (41). Key DAMPs that have been described in ICD include calreticulin, ATP, and high mobility group box 1 (HMGB1) (42). Calreticulin is expressed on the surface of tumour cells as a stress response to cellular damage. It is highly phagocytic, promoting the uptake of TAAs by APCs (43). In response to necrosis, the nuclear protein HMGB1 is released extracellularly and can bind toll-like receptor 4 on DCs. This induces DC maturation and trafficking to the tumour draining lymph node, where antigen is cross-presented for effective T-cell priming (44). Moreover, type I IFN is released after radiation, and plays a role in licensing DCs for improved priming of tumour specific T-cells in draining lymph nodes leading to tumour control (45). Additionally, radiation induces increased expression of MHC-I on tumour cells, allowing for effector T-cells to mediate anti-tumour effects through increased tumour cell recognition (46). The expression of MHC-I can be increased in a dose dependent manner to RT (46). As well as chemokine expression, radiation promotes extravasation and margination of immune cells through increased endothelial cell expression of intercellular adhesion molecules (I-CAMS), which are up regulated in response to inflammatory mediators from RT damage to tissues (47). I-CAMS are ligands for lymphocyte function-associated antigen 1 (LFA-1), an integrin found on trafficking T-cells (48). The upregulation of I-CAMS enable strong adhesion of effector T-cells to endothelium, and facilitates extravasation (48). Another pathway garnering interest is the stimulator of interferon gene (cGAS-STING) axis. Activation of this pathway results in the expression of type I IFN, which plays an important role for DC activation and effector T-cell priming (45,49). Cytosolic double stranded DNA (dsDNA) is sensed by cGAS, which results in a signal cascade to activate the adaptor protein STING to induce type I IFN. Cellular damage from radiation provides a source of dsDNA to activate this pathway (50).

Dose, fractionation, and immunogenicity

The precise mechanisms whereby radiation induces immunogenicity are still being elucidated, however preclinical evidence to date suggests dose and fractionation schemes alter immunogenicity. Although the optimal dose and fractionation remain unclear, it is evident that ablative doses of radiation are more immunogenic than conventional fractionation (19,50-53). It is postulated that a dose between 10-12 Gy per fraction strikes a balance between suboptimal

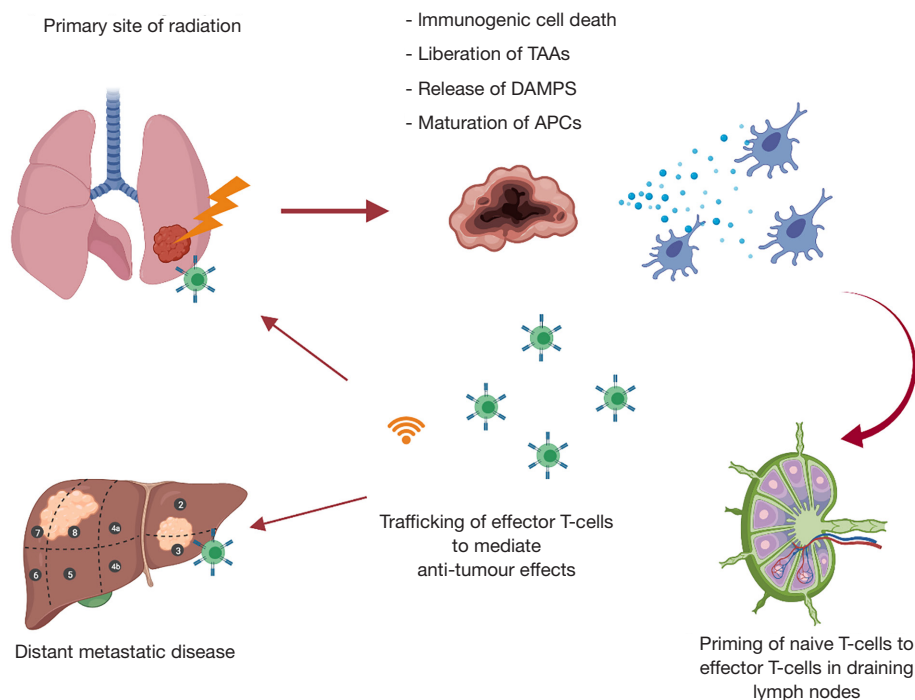


Figure 1 Illustration outlining mechanisms of a systemic immune response elicited from radiotherapy. Radiotherapy results in immunogenic cell death (ICD), subsequent release of danger associated molecular patterns (DAMPs), and liberation of tumour associated antigens (TAAs). DAMPs promote transcription of antigen presentation machinery, and maturation of antigen presenting cells (APCs). The release of TAAs allow for priming and activation of effector T-cells to mediate anti-tumour effects. DAMPs also result in the production of chemokines which traffic activated effector T-cells to mediate anti-tumour killing at both the primary irradiated site, and distal sites that share the same antigenic profile and secreting appropriate chemokines.

dosing, and potential immunosuppressive effects of highly ablative doses (>15 Gy) such as enhancement of T-regs (54) and interference of the cGAS STING pathway (55,56). It is notable, however, that high dose SABR as monotherapy is associated with the rarer form of abscopal effects with complete regression of distant sites, more readily than conventional fractionation (16,57,58). In six reported cases of this phenomenon in NSCLC, four cases utilized a combination of SABR with ICIs (59). SABR lends itself as an attractive pairing with ICIs. Whether multi-fraction or single fraction SABR is optimal for engaging systemic responses in humans remains an unanswered question. Recent phase 1 and 2 clinical trials combining SABR, delivered in multiple fractions, with ICIs have shown an acceptable safety profile with promising efficacy (20-22).

Sequencing of RT and ICI

The optimal sequencing of RT and ICIs is yet to be

definitively described in the clinic. However preclinical data suggests that ICIs are most effective when given concurrently, or in close sequence with RT to avoid delayed antigen presentation in an immune tolerant environment. The proposed mechanism of synergy is also dependent on ICI being utilized. Anti-PD-1/PD-L1 is thought to potentiate the activation of new T-cells and reinvigorate exhausted T-cells. Anti-CTLA4 acts in lymph nodes to inhibit T-regs, as well as rescuing co-stimulatory signals such that T-cells can respond to antigen presentation (60). Thus, these mechanisms theoretically act synergistically with RT induced T-cell activation and proliferation to create a more robust immune response.

Dovedi *et al.* compared RT with concurrent and a sequential delay of 7 days before administration of anti-PD-L1 treatment. Anergy of effector T-cells and inferior survival benefit was observed in the sequential treatment group (17). This may be a result of ablation of newly proliferated and reinvigorated effector T-cells. Sequencing

with RT and anti-CTLA4 has been studied in several animal models as well, with data suggesting that anti-CTLA4 therapy is more effective when administered prior to RT (61,62). In a study by Young *et al.*, SABR (20 Gy $\times$ 1) was delivered with anti-CTLA4 administered 7 days prior, 1 day after, and 5 days after SABR. Survival benefit was best demonstrated with anti-CTLA4 delivered 7 days prior. These authors also examined the administration of anti-OX40 (a secondary co-stimulatory check point inhibitor) with the same stratification of RT sequence and found survival benefit to be greatest in the sequence of ICI 1 day after SABR (62). This further adds to the theory that optimal sequencing depends on the particular ICI being used. Sequencing with SABR and ICI (Pembrolizumab) is currently being explored specifically in m-NSCLC in the SABRseq phase I trial (NCT03307759).

Considerations for ideal targets

A further question is whether RT to multiple sites in m-NSCLC is more effective than to a single site. There is a strong biological rationale for irradiating multiple lesions, and modern RT techniques may allow for this to be safely delivered with minimal increase in resource utilization. As discussed previously, RT is immunogenic, releasing neoantigens, promoting antigen presentation, and creating infiltration of tumour by immune cells. An important consideration is the TME of any given site of disease, as local factors can influence the immune response elicited (63). A further consideration is the heterogeneity found within different metastatic lesions. That is to say the immune response elicited will only be efficacious for lesions that share the same antigenic profile, and sites secreting appropriate chemokines to traffic effector T-cells from the peripheral circulation. Theoretically, irradiation of multiple lesions should elicit a much more robust immune response, as more TAAs are accounted for, and a stronger systemic response may be mounted. This is an important factor to consider, as there is some evidence that not all sites of disease are equally immunogenic. Tang *et al.* investigated immunological correlates from patients who underwent RT + ICI. They found radiation of liver metastases resulted in an increased T-cell activation compared to pulmonary metastases (63). Furthermore, RT to multiple sites may decrease tumour bulk, potentially increasing efficacy of ICIs. This may not be accomplished with single site RT.

Although high level clinical evidence is limited, the results of clinical trials combining ICI + RT in the context

of m-NSCLC may promote multisite radiation. In the phase 2 PEMBRO-RT trial, which delivered 24 Gy in 3 fractions, there was no statistically significant OS or PFS benefit to adding ablative RT; in that study only a single site of disease was radiated (22). However, a pooled analysis of two phase I/II trials examining single site SABR + Pembrolizumab *vs.* Pembrolizumab alone demonstrated OS benefit with SABR + Pembrolizumab (64). The phase 2 single arm study by Bauml *et al.*, included only patients with oligometastatic disease and followed local ablation to all sites with delivery of Pembrolizumab. This resulted in a statistically significant increase in PFS to 19 months, compared to historical controls of 6.6 months (20). Luke *et al.* conducted a phase I study examining the safety of multi-site SABR (2–4 lesions) sequenced with Pembrolizumab in heavily pre-treated patients with solid organ malignancies including m-NSCLC. An acceptable safety profile was demonstrated, with less than 10% of patients experiencing dose-limiting toxicities, and an ORR of 13.5% was observed (65). Notably, this was less than the ORR observed in Formenti *et al.*'s study, which employed single site radiation and an ORR of 33% (21). The patient population in Luke *et al.*'s study, included treatment refractory patients, but offers a perspective on potential benefits of multi-site radiation in a clinical setting, even if abscopal effects are not as pronounced. Debulking of tumours may increase proliferating intratumoural T-cells (65) and reduce morbidity from metastatic disease.

A further consideration is the correlation between lymphopenia and decreased OS in many cancers, including m-NSCLC (66–69) and the possibility of increased consequential lymphopenia when multiple sites are irradiated. Radiation of large blood vessels, and lymphoid organs (such as bones and lymph nodes) may result in more persistent lymphopenia (70). Lymphocytes are generally radiosensitive, with varying degrees of sensitivities across subtypes, proliferative states, and location of T-cells, with lymphocytes in solid organs such as spleen and nodes being more radiosensitive (71). This is relevant clinically as priming of effector T-cells takes place in lymph nodes; tumour draining lymph nodes can be a target of elective irradiation. Preclinical studies have demonstrated the negative consequences of nodal radiation, as local control and trafficking of effector T-cells intratumourally may be diminished (72). More importantly this diminished response cannot be reversed by combination with ICI (62). In addition to site of radiation, larger volumes of RT may also worsen lymphopenia, as seen in NSCLC (73). These factors

advocate for a lymphocyte sparing approach where possible.

Intracranial combination therapy

The efficacy of ICIs in metastases to the brain in m-NSCLC is poorly described. These patients, especially when symptomatic are generally excluded from trials. Retrospective studies and limited phase I and II trials suggest safe toxicity profile of ICIs in treating brain metastases from NSCLC (74). Given that brain metastases are a poor prognostic factor, combination of stereotactic radiosurgery (SRS) and ICIs are being explored, in the hope of eliciting immunomodulation similar to that seen with extra cranial radiation. Retrospective studies however have shown limited efficacy. In a matched cohort study by Shepard *et al.* the combination of SRS + ICI did not result in benefit in OS, cranial PFS, or 1-year local control (75). From a toxicity perspective, there were no significant differences in rates of radiation necrosis, haemorrhage or oedema (75). Similar findings were also described in a study by Singh *et al.* which retrospectively compared SRS and anti-PD-1 to SRS and chemotherapy (76). The unique biology of the TME within the brain facilitates an immune privileged site, which theoretically may result in diminished immunomodulation. As described previously, the anti-tumour effects of ICIs are T-cell mediated. The blood brain barrier, and the brain itself include specialized cells such as astrocytes and glial cells that prevent cytotoxic cells from entering the brain further promoting tumour evasion (77). This reinforces the notion that not all sites of disease are equally immunogenic. The paucity of currently available clinical evidence mandates further investigation of intracranial radiation in combination with ICIs in m-NSCLC.

Safety of combined radiation therapy and immunotherapy

The immune-related adverse events (irAEs) are well described, including pneumonitis, fatigue, dermatitis, colitis, nephritis, and hepatitis; in severe cases reactions can be life threatening. The local and systemic toxicity of RT combined with ICIs could potentially preclude use of this combined approach. The safety profile of combination therapy is a subject of exploration. Retrospective analysis and limited published prospective trials indicate combination therapy is no more toxic than ICI monotherapy in m-NSCLC (78-81).

Sha *et al.* conducted a systematic review examining toxicity outcomes comparing ICI monotherapy to ICI + RT. Fifty-one studies (phase III and above), with over 15,000 patients were examined. Toxicities were comparable with 17.8% (n=1,442; 95% CI: 12.0–24.5%) of patients experiencing grade ≥ 3 toxicities with combination therapy (78). Conversely 22.3% (n=13,956; 95% CI: 18.1–26.9%) of patients receiving ICI monotherapy, experienced grade ≥ 3 toxicities (78). Additionally, there were no significant differences in toxicity when factoring in site of radiation (intra *vs.* extracranial) or the sequencing of RT (78).

In the context of m-NSCLC, available clinical evidence also supports the safety of combination therapy, including the use of SABR (and potential increased toxicities from ablative doses of RT). Randomized control trials where anti-PD-1/PD-L1 were used as monotherapy in advanced NSCLC reported grade ≥ 3 irAE ranging from 7–16% (4,6,82). In Bauml *et al.* single arm study, grade 3 pneumonitis was only observed in 4% of patients and only 13% of patients experienced grade ≥ 3 toxicities of any kind (20). The PEMBRO-RT trial also demonstrated an acceptable safety profile, with 20% of patients experiencing grade ≥ 3 toxicities in SABR + ICI, and 30% in ICI alone (22). Moreover, no patients experienced grade ≥ 3 colitis or pneumonitis in combination therapy (22). Chicas-Sett *et al.* systematically analysed prospective and retrospective studies, examining SABR + ICI outcomes in the setting of m-NSCLC. Again, similar toxicities of anti-PD-1/PD-L1 monotherapy to SABR-ICI were demonstrated (79).

Combination therapy with anti-CTLA4 demonstrated increased grade ≥ 3 toxicities, with a weighted mean of 26.1% (67). The phase I/II trial by Formenti *et al.* is the only published prospective SABR + anti-CTLA4 (Ipilimumab) combination trial exclusively examining metastatic lung cancer. In this study grade ≥ 3 toxicities were demonstrated in 38% of patients (21). High dose Ipilimumab (3 mg/kg) was administered, and toxicities observed may be more attributable to high dose ICI, than SABR in this combination. Lower doses of anti-CTLA4 are have shown to be effective and less toxic, and are worth exploring with SABR. In Sha *et al.*'s systematic review, significantly more toxicity with anti-CTLA4 monotherapy was observed as compared to anti-PD-1/PD-L1 monotherapy (78).

The toxicities of SABR with concurrent anti-CTLA4 were reviewed by Kroeze *et al.* Studies of concurrent

SABR and anti-CTLA4 were limited to the treatment of brain metastases in the context of melanoma. The authors concluded the combination was safe, despite several studies demonstrating increased symptomatic radionecrosis with concurrent combination therapy (80). It is clear that the safety of combination RT + ICI will depend on the ICI being used and the dose and frequencies used. Further study is required to explore such combinations as they may add both immunogenicity through RT and maximize ICI benefit.

Future speculations

The technical aspects of delivering SABR with ICIs is a rapidly evolving field of exploration. Much of this exploration is driven by the desire to reduce toxicities as much as possible, while still attempting to induce an abscopal effect. Areas being explored include low dose wash radiation, partial volume irradiation with SABR, and FLASH RT.

RadScopalTM is a novel concept, which involves combining ICIs with ablative doses of RT to one lesion, while administering lower doses to other lesions. The rationale being that an ablative dose can prime the immune system with neoantigens, while lower doses can induce changes within the stroma of the TME to a more pro-inflammatory state amenable to ICI. Barsoumian *et al.* were able to demonstrate an abscopal response using this technique in murine models for both anti-PD-1 and anti-CTLA4 therapies (83). This theory remains in the preclinical phase of study but offers a potential avenue to reduce the toxicities of multiple sites of radiation. Another method being explored in the preclinical setting is the delivery of ultrahigh dose radiation in a rapid manner (FLASH). With this method, doses upwards of 40 Gy may be delivered over the span of one second (82). Although the mechanisms are unclear, preclinical models have shown reduced toxicity to healthy tissue, while maintaining local control of tumour (84). This ultrahigh dose rate therapy presents an entirely new biological approach, and the implication of this modality in combination with immunotherapy is not yet understood.

Partial radiation with SABR to tumour also represents another intriguing avenue of exploration in combination with ICIs, especially for large volume tumours. Tubin *et al.* investigated this approach in a clinical setting in 23 patients whose tumours were too large to SABR completely (85). Ten to 12 Gy per fraction was delivered in 1–3 fractions to

hypoxic areas of tumour bulk (85). The authors went on to examine what they defined as a bystander effect (regression in non-irradiated tumour bulk) and abscopal effects. A 96% response rate was observed in regards to bystander effect, and abscopal effects were observed for 52% of patients (85). Furthermore, no adverse toxicities were reported. The rationale for targeting hypoxic areas, is to overcome the downstream signalling cascades induced by hypoxia which promote evasion and tumour survival (86). This controversial but promising area of investigation is in need of further external validation.

Conclusions

ICIs have had a significant impact in the treatment of m-NSCLC with impressive OS and PFS compared to conventional chemotherapy, but their use is limited to selected patients, as innate and acquired resistance remains problematic. A robust immune response is thought necessary to maximize benefit from ICIs and in this context combination therapies are being explored. RT, particularly SABR is able to elicit a systemic immune response and is under investigation as combination therapy with ICI. Preclinical and clinical data suggests that ICI + SABR can work synergistically, but the mechanisms of synergy and the constitution of optimal combinations are still being elucidated. Studies combining ICI + SABR in m-NSCLC have demonstrated acceptable safety profiles compared to ICI monotherapy. Moreover, different sites of disease may have differing immunogenicity, with sites such as lymph node irradiation having a potentially negative impact on the immune response elicited. Multisite as compared to single site radiation still requires further investigation, but limited data suggest either approach is safe and potentially of interest as a strategy to move forward. Although many aspects require further investigation, recent phase II trials combining ICI + SABR in m-NSCLC have demonstrated reason for optimism, and appears to be an avenue worth exploring.

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