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Efficacy of a Mediterranean diet supplemented with fatty fish in ameliorating inflammation in paediatric asthma: a randomised controlled trial

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Title: Efficacy of a Mediterranean diet supplemented with fatty fish in ameliorating inflammation in paediatric asthma: A randomized controlled trial.

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**Keywords:** Asthma; bronchial inflammation; children; fatty fish; omega-3 fatty acids

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MP is the principal author of the paper. CI is the principal investigator and DT, CK, MK, BE and MP co-investigators. BE and KL assisted with the statistical analysis and supported with the interpretation and revisions of the manuscript. All co-authors declare that we have seen and approved the final version of the manuscript being submitted. The authors confirm that the article is the authors' original work, hasn't received prior publication and isn't under consideration for publication elsewhere.

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2 MRS MARIA MICHELLE PAPAMICHAEL (Orcid ID : 0000-0003-1169-7141)

3  
4  
5 Article type : Original Research

## 6 7 8 **ABSTRACT**

### 9 **Background**

10 Childhood asthma is the most common respiratory disorder worldwide associated with  
11 increased morbidity and decreased quality of life. Omega-3 fatty acids have anti-  
12 inflammatory and immunomodulating properties, however, their efficacy in asthma is  
13 controversial. The objective of this study was to examine the efficacy of a Mediterranean diet  
14 supplemented with a high omega-3 ‘fatty’ fish intake in Greek asthmatic children.

### 15 **Methods**

16 A single-centred, six-month parallel randomized controlled trial comparing consumption of a  
17 Mediterranean diet supplemented with two meals of 150g cooked fatty fish weekly  
18 (intervention) with usual diet (control) on pulmonary function in children (5-12 years) with  
19 mild asthma. Pulmonary function was assessed using spirometry and bronchial inflammation  
20 by Fractional exhaled Nitric Oxide (FeNO) analysis.

### 21 **Results**

22 Sixty-four children, (52% male, 48% female) successfully completed the trial. Fatty fish  
23 intake increased in the intervention group from 17 grams/day at baseline to 46 grams/day at  
24 six months ( $p < 0.001$ ). In the unadjusted analysis the effect of the intervention was of  
25 borderline significance ( $p = 0.06$ ,  $\beta = -11.93$ ; 95%CI: -24.32, 0.46). However, after adjusting  
26 for age, sex, BMI and regular physical activity a significant effect was observed ( $p = 0.04$ ,  $\beta = -$   
27 14.15 ppb; 95% CI: -27.39, -0.91). No difference was observed for spirometry, asthma  
28 control and quality of life scores.

### 29 **Conclusions**

30 A Mediterranean diet supplemented with two fatty fish meals per week might be a potential  
31 strategy to reduce airway inflammation in childhood asthma. Future robust clinical trials are  
32 warranted to replicate and corroborate these findings.

33 **Keywords:** Asthma; bronchial inflammation; children; fatty fish; Omega-3 fatty acids

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## 34 INTRODUCTION

35 Childhood asthma has become the most common respiratory disorder worldwide <sup>(1)</sup> as well  
36 as in Greece <sup>(2)</sup> associated with increased morbidity and poor quality of life. Asthma causes  
37 substantial physical, mental and economic burden due to increased rates of hospitalization,  
38 emergency visits for medical care, school absence and parent's time off work <sup>(3)</sup>. According  
39 to the Global Initiative for Asthma (GINA), it has been estimated that by 2025 there will an  
40 additional 100 million people suffering with asthma <sup>(4)</sup>. Therefore, identifying potential  
41 asthma therapies is of great public health significance.

42  
43 Asthma is a heterogeneous disease caused by genetic and environmental factors. A multitude  
44 of environmental factors have been associated with asthma risk, namely respiratory  
45 infections, smoking, pollution, pet hair, house dust mite, mould and diet <sup>(5)</sup>. There is  
46 evidence that diet can influence the development and progression of asthma in children. In  
47 general, a diet that is high in fat, processed foods, sugar and salt has been shown to increase  
48 the prevalence and risk of asthma in children and adolescents <sup>(6; 7; 8; 9; 10)</sup>. In contrast, the  
49 International Study of Allergies and Asthma in Childhood (ISAAC) showed that regular  
50 intake of fruit, vegetables, fish and adherence to the Mediterranean diet have a prophylactic  
51 effect on asthma in children and adolescents <sup>(6; 11; 12; 13; 14)</sup>. In particular, lower prevalence of  
52 asthma was found in Mediterranean centres of Western Europe that share a common dietary  
53 pattern <sup>(15)</sup>. The term 'Mediterranean diet' refers to dietary patterns found in olive-growing  
54 areas of the Mediterranean region. The key features of the traditional Mediterranean diet are:  
55 a high intake of vegetables, wild edible greens, fruits, unrefined cereals, bread, legumes and  
56 olives that are fresh, seasonal, locally grown and minimally-processed as well as an  
57 abundance of olive oil.; low to moderate intake of dairy, poultry, fish (depending on the  
58 proximity of the sea), nuts, and seeds; low intake of meat and sweets including regular intake  
59 of wine with meals <sup>(16)</sup>. This diet is rich in monounsaturated fatty acids, a balanced ratio of n-  
60 6: n-3 essential fatty acids and high amounts of fibre and antioxidants such as vitamins E and  
61 C, resveratrol, polyphenols, selenium, glutathione that interact synergistically promoting  
62 good health <sup>(16)</sup>.

63  
64 Research studies have confirmed that excessive amounts of omega-6 fatty acids leading to  
65 a high omega-6: omega-3 fatty acid ratio, can promote the pathogenesis of chronic diseases  
66 including asthma <sup>(17)</sup>. Specifically, a ratio of 5:1 (as in Mediterranean diets) had a beneficial

67 effect on patients with asthma, whereas a ratio of greater than 10:1 (common in Western diets)  
68 had adverse consequences<sup>(18)</sup>. Thus, indicating that an optimal ratio of these two fatty acids,  
69 and high intake of omega-3 fatty acids might have a prophylactic potential on asthma  
70 symptoms. Fatty fish (salmon, mackerel, herring, sardines and trout) is a rich source of long-  
71 chain omega-3 fatty acids, eicosapentanoic acid (EPA) and docosahexanoic acid (DHA). One  
72 fish meal can provide between 1.5-3.0 g of EPA/DHA and one gram fish oil capsule per day  
73 approximately 300 mg<sup>(19)</sup>. Most of the epidemiological evidence which gave rise to the  
74 hypothesis that marine omega-3 fatty acids might have a prophylactic effect, was generated  
75 from observational studies reporting that early introduction in life and regular consumption of  
76 fish in children had a protective effect on asthma in children up to 14 years old<sup>(20)</sup>. Thus,  
77 suggesting that fish which consists of an array of bioactive nutrients including EPA, DHA  
78 and antioxidants might have a different health impact as compared to fish oil supplementation  
79<sup>(21; 22)</sup>. However, to date there are no universal dietary guidelines for asthma and the efficacy  
80 of marine omega-3 fatty acid therapy in asthma has not been well established. Further  
81 research is required to validate this therapy. Exploring the potential of non-pharmacological  
82 treatments is important due to the comparatively low risk associated with their use. A dietary  
83 modification could reduce asthma burden and improve the quality of life in children suffering  
84 with asthma. The objective of this study was to investigate the efficacy of a Mediterranean  
85 diet supplemented with high omega-3 'fatty' fish intake in Greek asthmatic children.

86

## 87 **METHODS**

### 88 Study design

89 The present study was a six-month parallel Randomized Controlled Trial (RCT) investigating  
90 the effect of the Greek Mediterranean diet supplemented with high omega-3 'fatty' fish  
91 intake on asthma in children. The study design has been described in detail elsewhere using  
92 CONSORT recommendations<sup>(23)</sup> and only key features are presented here<sup>(24)</sup>. This RCT was  
93 conducted according to ethical standards in the Declaration of Helsinki and all procedures  
94 involving human subjects were approved by the institutional review board of La Trobe  
95 University Human Ethics Committee. The study protocol was registered with the Australian  
96 and New Zealand Clinical Trial Registry  
97 ([www.ANZCTR.org.au/ACTRN12616000492459p](http://www.ANZCTR.org.au/ACTRN12616000492459p)).

98

## 99 Participants

100 Seventy-two children (54.60% boys, 46.40 % girls, mean age  $7.98 \pm 2.24$  years old) with  
101 asthma were recruited from a paediatric asthma clinic in the greater city of Athens, Greece  
102 from 1<sup>st</sup> November to 31<sup>st</sup> December, 2016. An internet platform  
103 (<http://www.randomization.com>) was used to automate the random assignment of patient  
104 number to randomization number which was linked to the intervention arms. Eligible  
105 participants were randomized equally to intervention or control groups with a 1:1 allocation  
106 ratio by the physician, after written informed consent was obtained from parents. Inclusion  
107 criteria included children aged between 5-12 years and having physician–diagnosed “mild”  
108 asthma as defined by the Global Initiative for Asthma (GINA) guidelines <sup>(1)</sup>. According to  
109 GINA, ‘mild asthma’ is ‘well-controlled’ asthma. A patient that has day symptoms and need  
110 for reliever medication less than twice a week, no night-waking symptoms or limitations in  
111 daily activities due to asthma is considered to have ‘mild asthma’<sup>(1)</sup>. The exclusion criteria  
112 were children with severe or chronic asthma <sup>(25)</sup>, gastroesophageal reflux disease, cystic  
113 fibrosis, congenital respiratory disease <sup>(1)</sup>, food allergies, taking multiple glucocorticoid  
114 medication, high-dose multi-vitamins or fish oil supplements as well as being vegetarian and  
115 not willing to modify their diet.

## 116 Intervention

117 The intervention group was instructed to consume two fatty fish meals per week (at least  
118 150g cooked fish) <sup>(26)</sup> as part of the Greek Mediterranean diet over a period of 6 months <sup>(27)</sup>.  
119 In comparison, the control group consumed their usual diet. The intervention group was  
120 provided with detailed dietary education delivered by a dietician on the key principles of a  
121 Mediterranean diet including a pamphlet indicating the types of fatty fish to be consumed  
122 (such as sardines, trout, salmon, mackerel, anchovies), amounts of fresh fish equivalent to  
123 150 g cooked fillet fish and a list of lean fish not to be consumed over the study period. In  
124 order to monitor and facilitate intervention compliance, parents were issued a table to record  
125 the type and amount of fatty fish consumed per meal as well as the two days per week that  
126 fatty fish was consumed during the six month period. This record was returned to the  
127 dietician on a monthly basis and collected at the end of the six month study. In comparison,  
128 the control group was instructed to consume their usual diet and was provided with advice  
129 on general healthy dietary guidelines according to the Hellenic Ministry of Health and  
130 Welfare (1999) <sup>(27)</sup>.

## 131 **Measurements**

132 Children were assessed at two time-points, baseline and at six months during usual medical  
133 consultations. Since children were younger than 12 years of age, parents were used as a  
134 proxy to complete questionnaires<sup>(24)</sup>. During the same week of medical consultations, a  
135 telephone interview was conducted by the dietician to collect information regarding the  
136 participant's medical history, medicine use and adherence to the Mediterranean diet. Dietary  
137 intake was measured using a Food Frequency Questionnaire that is based on the validated  
138 semi-quantitative PANACEA-FFQ for Greek children 10-12 years old<sup>(28)</sup> and adherence to  
139 the Mediterranean diet using the KIDMED tool<sup>(29)</sup>. Throughout the study, all participants  
140 were monitored fortnightly by the dietician via telephone, e-mails, text and face-to-face  
141 consultation. Any missing data was retrieved during telephone interviews.

### 142 Food intake calculations

143 Daily consumption of each food item as grams per day was assessed from FFQs. The  
144 reported frequency of consumption of FFQ items was converted to frequency of consumption  
145 per day.

146 Then grams per day were calculated by multiplying portion size by the value corresponding  
147 to each consumption frequency<sup>(28)</sup>. Based on the participant's responses the information was  
148 then aggregated into food groups. Eleven main food groups (Dairy products, fruit, vegetables,  
149 legumes, starch, meat, sweets, fast food, savoury snacks, fats and soft drinks) were formed  
150 reflecting a dietary pattern followed by the population.

151

### 152 Anthropometry

153 Children's height was measured to the nearest 0.1 cm using a SECA stadiometer after shoes  
154 had been removed and children were positioned in the standard Frankfort horizontal plane  
155<sup>(30)</sup>. Body weight was measured to the nearest 0.1 kg on calibrated electronic scales (SECA,  
156 Hanover, MD) without shoes and heavy clothing. Body mass index (BMI) was calculated  
157 ( $\text{kg/m}^2$ ) and study participants were classified normal weight, overweight and obese using  
158 the Hellenic paediatric growth charts<sup>(31)</sup>.

### 159 Pulmonary function and bronchial inflammation

160 Pulmonary function was measured by trained technicians according to European Respiratory  
161 Society (ERS) protocol <sup>(32)</sup> using a portable spirometer (MIR Spirobank II, MIR Inc., USA)  
162 providing age, gender, weight and height. Spirometry was undertaken in the standing position  
163 with a nose-clip. The mouth-piece was placed into the participant's mouth with lips sealed  
164 firmly around the mouth-piece. The participant was instructed to inhale to total lung capacity  
165 and to exhale as hard and as fast as possible without a pause and then a deep breathe was  
166 inhaled to total lung capacity. The best of three technically acceptable tests was selected.  
167 Normal pulmonary function was considered values of FEV<sub>1</sub> greater than 80% predicted and  
168 variation in FEV<sub>1</sub> of 10-12% to be clinically significant in children <sup>(33)</sup>.  
169 Levels of FeNO were measured by FeNO analyser (NO Breath, Benfont Inc., UK) following  
170 ATS/ERS guidelines <sup>(34)</sup>. Absence of lung inflammation and good asthma control was  
171 indicated by FeNO values less than 20 ppb <sup>(35; 36)</sup>.

172

### 173 Questionnaires

#### 174 Asthma Control

175 Asthma Control was evaluated using the Greek translation of the Asthma Control  
176 Questionnaire (ACQ) which is a validated questionnaire assessing asthma control in  
177 paediatric patients aged 6-12 years old <sup>(37)</sup>. A score < 0.75 is considered as having 'well-  
178 controlled' asthma and a score of  $\geq 1.5$  indicates 'extremely poorly controlled' <sup>(37)</sup>.

#### 179 Quality of Life

180 Children's quality of life was measured using the Greek translation of the validated mini  
181 Paediatric Asthma Quality of Life Questionnaire (PAQLQ) for asthmatic children aged 6-16  
182 years <sup>(38; 39)</sup>. Parents assisted children in completing questionnaires. Children were asked to  
183 recall their experiences during the past week and to respond to each question on a scale from  
184 1-7, where 1 indicates severe impairment and 7 no impairment <sup>(39)</sup>.

#### 185 Adherence to Mediterranean dietary pattern

186 Adherence to the Mediterranean dietary pattern was measured using the KIDMED Index  
187 which is a 16-item test that has been developed specifically for Spanish children and  
188 adolescents <sup>(29)</sup> and has been applied previously to assess Mediterranean diet compliance in  
189 Greek children and adolescents <sup>(40; 41)</sup>. The KIDMED score ranges from 0-12. A score of 0-3

190 reflects low Mediterranean diet adherence, 4-7 improvement needed and 8-12 optimal  
191 Mediterranean diet <sup>(29)</sup>.

192 **Physical activity status**

193 Physical activity status was estimated using the International Study of Asthma and Allergies  
194 in Childhood (ISAAC) Phase 3 Environmental Questionnaire <sup>(42)</sup>. Regular physical activity  
195 was considered to be more than or equal to three times per week.

196 **Biochemical tests**

197 Patients were requested to abstain from fluid and food consumption at least two hours after  
198 the last meal before testing. Venous samples (4ml) were collected from children following a  
199 2 hour fast. The samples were centrifuged and plasma decanted from the supernatant and  
200 were stored at -20°C until analysis, within 24 h to avoid degradation. In case of hemolysis  
201 blood collection was repeated. The internal standard mixture (200 µL methyl nonadecanoate  
202 in hexane containing BHT) was added to 100 mL plasma. Fatty acid hydrolysis and  
203 derivatization into methyl esters was performed by adding 5% v/v Methanolic HCl.  
204 transmethylation was performed at 90°C for 60 min. The samples were then brought to room  
205 temperature and extraction of FA methyl esters were performed using hexane. They were  
206 transferred to GC injection vials with a crimp cap. Mass spectrometry allows direct detection  
207 and identification of fatty acids in plasma without affecting quantity or quality, thus lipid  
208 extraction before methylation was not included <sup>(43)</sup> (Supplement 1).

209

210 **Sample size**

211 Sample size was based on spirometry measure, FEV<sub>1</sub> and was determined using G Power  
212 Analysis <sup>(44)</sup>. Assuming a modest effect size of 0.4 <sup>(45)</sup> to show a significant difference in  
213 FEV<sub>1</sub>, we estimated that a sample of at least 64 patients was adequate to provide a power of  
214 90%, to evaluate two-sided hypotheses regarding statistically differences in FEV<sub>1</sub> between  
215 groups at a probability level less than 0.05 and allowed for a 20% drop out rate.

216 **Statistical analysis**

217 Data were analysed using the Statistical Package for the Social Sciences (SPSS version 20.0,  
218 IBM Corp, Armonk NY) software. Continuous variables were assessed for normality using  
219 Shapiro-Wilks test and are presented as means and standard deviation. And categorical

220 variables are shown as frequencies. Differences between the intervention groups were  
221 compared using t-test for normally-distributed variables and Mann-Whitney test or Chi-  
222 square test otherwise. The effect of the intervention on pulmonary function, asthma control  
223 and quality of life was assessed using multiple linear regression models controlling for  
224 potential confounding factors including age, sex, physical activity and BMI. The results from  
225 the regression model are presented as unstandardized  $\beta$  coefficients and corresponding 95%  
226 CI. The level of statistical significance was defined at  $P < 0.05$ . According to the American  
227 Thoracic Society (ATS) guidelines, a reduction in FeNO by at least 10 units for values lower  
228 than 50 ppb as the cut point to indicate a significant response to anti-inflammatory therapy  
229 <sup>(36)</sup>.

230

## 231 **RESULTS**

232 At baseline, 72 children were recruited and randomly allocated into two groups (Figure1).  
233 Sixty-four children (51.6% male and 48.4% female) of which thirty-one children were in the  
234 intervention group and thirty-three in the control, completed the trial and baseline and follow-  
235 up assessments. The overall participation rate was 88.9% (64/72). Eight children dropped  
236 out, one due to allergy (not related to the intervention) and seven for personal reasons.

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FIGURE 1 HERE

270 At baseline, homogeneity between the groups was observed for demographic and clinical  
271 characteristics as well as for adherence to the Mediterranean dietary pattern (Table 1).

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TABLE 1 HERE

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338 The same trend was observed in the six month follow-up, except for the KIDMED score.  
339 There was a modest improvement in adherence to the Mediterranean dietary pattern from  
340 baseline to follow-up for the intervention group as compared to the control [KIDMED score:  
341 5.32 to 6.10 (intervention group) vs 5.24 to 5.12 (control group);  $p=0.02$ ] most likely due to  
342 increased fish intake, although scores indicate improvement in Mediterranean diet adherence  
343 is needed.

344 Dietary intake of main food groups, fatty/lean fish, nuts, olive oil, fats, sweets, fast food,  
345 savoury snacks and soft drinks are presented in Table 2 whereas adherence to the  
346 Mediterranean diet in Table 3.

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TABLE 2 HERE

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362 Table 2 shows a statistically significant increase in fatty fish intake for the intervention group ( $p < 0.001$ ) from approximately 17 grams /day at  
363 baseline to 46 grams/day at six months as compared to the control group 10 grams/day at baseline and six months. Hence, validating good  
364 compliance to the dietary intervention by the intervention group. The same trend is apparent from the KIDMED questionnaire in Table 3. A  
365 statistically significant increase ( $p < 0.001$ ) in frequency of consumption of fish (at least 2-3 times per week) from approximately 13% at baseline  
366 to 84% at six months was observed in the intervention group as compared to 6.1% at both time-points in the control group.

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TABLE 3 HERE

396 Regarding biochemical tests, at baseline, no significant difference in plasma fatty acid  
397 composition was observed between the groups ( $p>0.05$ ). In comparison, at six months  
398 significant differences in DHA ( $p<0.001$ ), total plasma omega-3 fatty acids levels ( $p<0.001$ )  
399 and omega-6: omega-3 fatty acid ratio ( $p<0.001$ ) were observed between the groups (Table  
400 4). The percentage change in DHA was 119.80% in the intervention group and 43.39% in the  
401 control (crude analysis). As for EPA levels, differences between the groups were not  
402 significant. At six months, total plasma omega-3 fatty acid levels were higher in the  
403 intervention group as compared to the control (mean value: 168.20 vs 115.82  $\mu\text{mol/L}$   
404 respectively); and the omega-6: omega-3 fatty acid ratio was lower in the intervention group  
405 than in the control [(14.5:1) vs (19.4:1)] respectively. Elevated levels of total plasma omega-3  
406 fatty acids (or lower omega-6 to omega-3 fatty acid ratio) in the intervention group is a  
407 marker of compliance to the dietary intervention, thus confirming that biomarkers are a good  
408 surrogate for dietary intake <sup>(46)</sup>

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TABLE 4 HERE

431 As for asthma control and quality of life mean change in scores from baseline to follow-up  
432 were not significantly different between the two groups in the univariate and multivariate  
433 analysis ( $p>0.05$ )(Table 5). The same trend was noted for spirometry. No significant change  
434 in spirometry from baseline was observed between groups in the univariate and multivariate  
435 analysis (Table 5).

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TABLE 5 HERE

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Regarding bronchial inflammation, crude analysis showed that FeNO increased by 78.23% in the control group, but decreased by 18.56% for the intervention group (Supplement 2).

When applying Multiple Linear Regression model, in the unadjusted analysis the effect of the intervention was of borderline significance ( $p= 0.06$ ,  $\beta= -11.93$ ; 95%CI: -24.32, 0.46). However, after adjusting for age, sex, BMI and regular physical activity a significant effect was observed ( $p=0.04$ ,  $\beta= -14.15$  ppb; 95% CI: -27.39, -0.91). Specifically, two meals of fatty fish (at least 150g cooked filleted fish/meal) in the context of a Mediterranean diet resulted in a decrease in bronchial inflammation as measured by FeNO by 14.15 ppb (95% CI: -27.39, -0.91;  $\beta= -14.15$ ;  $p=0.04$ ) (Table 6).

TABLE 6 HERE

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488 Medication use

489 Regarding asthma medication use, at baseline, 53 out of 64 children were taking medication  
490 as part of their daily asthma therapy, of which 51 children were taking anti-leukotriene  
491 agonists [intervention (24) vs control (27)]. At six months 35 out of 64 children were taking  
492 medication [intervention (14) vs control (21)], with 31 children taking anti-leukotriene  
493 therapy (intervention (12) vs control (19)). In the crude analysis, a greater reduction in anti-  
494 leukotriene use from baseline to six months was seen in the intervention group as compared  
495 to the control (24 vs 12; 27 vs 19 respectively), although the result was not significant ( $X^2$   
496 test:  $p=0.21$ ).

497

## 498 **DISCUSSION**

499 The present clinical trial explored the hypothesis that a Mediterranean diet supplemented  
500 with high omega-3 fatty acid intake as ‘fatty’ fish improves pulmonary function and  
501 decreases symptoms in asthmatic children. The KIDMED score revealed that in both the  
502 intervention and control groups and across all time-points children did not have optimal  
503 adherence to the Traditional Mediterranean diet. Previous studies undertaken in  
504 Mediterranean regions have reported an abandonment of the Mediterranean dietary pattern in  
505 children and adolescents <sup>(47)</sup>. Nevertheless, the most significant finding of our study is that  
506 fatty fish intake, specifically eating two meals of fatty fish (at least 150g cooked fillet fish per  
507 meal) weekly in the context of a Mediterranean diet, resulted in a decrease in bronchial  
508 inflammation (FeNO) by 14 units in the intervention group. According to the American  
509 Thoracic Society (ATS) guidelines, a reduction in FeNO by at least 10 units for values lower  
510 than 50 ppb as the cut point indicate a significant response to anti-inflammatory therapy <sup>(36)</sup>.  
511 No statistical significant differences in spirometry, asthma control or quality of life were  
512 observed between the groups. A possible explanation why we did not observe any change in  
513 spirometry with fatty fish intake could be because children had normal lung function and  
514 well-controlled asthma. On the other hand, our recent meta-analysis investigating the role of  
515 fish intake in childhood asthma documented that early introduction and regular intake of fish  
516 (at least once a week) was beneficial on ‘current wheeze’ (OR, 0.62; 95% CI, 0.48-0.80) and  
517 ‘current asthma’ (OR, 0.75; 95% CI, 0.60-0.95) in children up to 4.5 years old, whereas fatty

518 fish intake was protective for ‘ current asthma’ in children 8-14 years old (OR, 0.35; 95% CI,  
519 0.18-0.67)<sup>(20)</sup>. However, in the majority of these studies asthma outcome was assessed using  
520 a questionnaire and not by spirometry.

521

522 Another finding worth noting that warrants further exploration was that there was a higher  
523 reduction in medication use for children in the intervention group as compared to the control.  
524 Dotterud et al, (2013) reported that fatty fish intake reduced asthma medication use in the last  
525 12 months among girls at age 2 years<sup>(48)</sup>. Hence, proposing that medication use could be  
526 decreased in some patients with asthma with increased dietary omega-3 fatty acid intake from  
527 fatty fish if both the drug and omega-3 fatty acids exert their therapeutic effects though the  
528 same molecular actions. Thus, the possibility exists for a synergistic effect of drug-diet  
529 interactions that confer greater anti-inflammatory benefits when combined than either  
530 intervention alone or similar effects with less side-effects.

531

532 Regarding the slight increase in plasma DHA concentration (43%) observed in the control  
533 group, a plausible explanation for this increase is that DHA was derived from other foods. It  
534 has been reported that DHA status can be improved by long-term intake of vegetable oils.  
535 However, the increase in tissue DHA may not be immediate and not as effective as direct  
536 consumption of DHA from fish or fish oil supplements<sup>(17)</sup>. The Mediterranean dietary pattern  
537 is a varied diet that consists of high intake of vegetables, wild edible greens; low to  
538 moderate intake of free-range animal products such as meat, poultry, and eggs<sup>(16)</sup> that are  
539 terrestrial sources of DHA, although not as much as in fatty fish. For example Atlantic cod  
540 contains 277mg DHA per 180 g fillet, 29 mg in 180g chicken breast, 12 mg in an egg, 2 mg  
541 in a pork chop and 1 mg in 90g beef steak as compared to 2477 mg in 180g of farmed  
542 Atlantic salmon<sup>(49)</sup>. In contrast for the intervention group, increased fatty fish intake a rich  
543 source of DHA/EPA resulted in approximately 120% increase in plasma DHA along with a  
544 decrease in bronchial inflammation biomarker FeNO.

545 Several mechanisms have been proposed by which marine omega-3 fatty acids decrease  
546 bronchial inflammation in asthma. In vitro experiments have demonstrated that consumption  
547 of fish leads to a shift in omega-3/omega-6 fatty acid balance resulting in reduced production  
548 of inflammatory mediators involved in disease development<sup>(17)</sup>. Fatty fish is rich in EPA and  
549 DHA, which can inhibit cyclooxygenase and lipo-oxygenase enzyme activity, and decrease  
550 pro-inflammatory mediators from n-6 fatty acid arachidonic acid such as 2-series

551 prostaglandins(PGE2) and 4-series leukotrienes (leukotriene E4, leukotriene B4), eosinophils  
552 and TNF- $\alpha$  that promote airway oedema, mucus secretion, bronchial inflammation,  
553 bronchospasm and onset of asthma symptoms <sup>(19)</sup>.The 2-series PG have an immune-  
554 modulatory function, which modifies the activity of macrophages and lymphocytes and  
555 suppresses the production of T-helper 1 (Th1)-related cytokines, promoting the expression of  
556 the T-helper 2 (Th2) phenotype <sup>(19)</sup>. Th2 responses include the production of interleukin IL-4,  
557 IL-5, IL-9, and IL-13, and are associated with increased levels of Ig E by B lymphocytes and  
558 eosinophil production leading to severe bronchial inflammation and onset of asthma  
559 symptoms<sup>(50; 51)</sup>. Furthermore, EPA gives rise to eicosanoids with lower biological potency  
560 than those generated from arachidonic acid <sup>(19)</sup>, thus are weaker inducers of inflammation.  
561 Unique to EPA and DHA, both these fatty acids are precursors to resolvins, and DHA to  
562 protectins and maresins that are mediators with anti-inflammatory resolving properties .For  
563 example, DHA derived molecule, resolvin D1, facilitates the phagocytic engulfment and  
564 clearance of apoptotic neutrophils which is essential in the resolution of inflammation <sup>(52)</sup>,  
565 along with reduced eosinophil activation and infiltration into the lung, decreased IL-5  
566 concentration, Th2 cytokines, Th17, airway mucus metaplasia as well as airway hyperactivity  
567 and promoted inactivity of pro-inflammatory transcription activator Nuclear Factor kappa B  
568 (NFkB) . In addition, DHA has an important role in oxidative stress associated with  
569 inflammation <sup>(53; 54)</sup>. DHA exerts anti-oxidant effects by reducing the intracellular  
570 accumulation of reactive oxygen species (ROS) and reactive nitrogen species (RNS), as well  
571 as maintaining optimal levels of glutathione and anti-oxidant enzymes <sup>(52)</sup>. During  
572 inflammation, excessive production of nitric oxide (NO) in the lungs causes tissue damage.  
573 DHA is able to inhibit the expression of inducible nitric oxide synthase (iNOS), an enzyme  
574 responsible for NO production <sup>(52)</sup>.

#### 575 Strengths/limitations

576 To our knowledge this is the first clinical trial investigating the effect of high omega-3 ‘fatty’  
577 fish intake added to a Mediterranean diet in children with “mild” asthma. Few studies have  
578 examined the effect of fatty fish in asthma <sup>(26; 48; 55; 56)</sup> and our study adds to the existing  
579 evidence. A strength in our study is that we used fish as opposed to fish oil in the dietary  
580 intervention. It has been reported that fish consumption can significantly increase serum  
581 levels of DHA and EPA in humans compared to fish oil supplementation <sup>(52)</sup>. Fish is a source  
582 of high quality protein and trace minerals especially selenium and iodine which are not  
583 provided in fish oil supplements that may have other beneficial effects. In contrast, fish oil

584 supplements might not provide sufficient anti-inflammatory activity because of impaired  
585 enzymatic activity in asthma patients <sup>(57)</sup>. Also fish oil is known to have unpleasant taste,  
586 odour and adverse effects such as gastrointestinal disturbances <sup>(58; 59)</sup> and therefore is less  
587 palatable and not sustainable. Another strength of this study is that pulmonary function and  
588 bronchial inflammation were assessed quantitatively as compared to parent's report of  
589 symptoms or use of a questionnaire. Treatment and monitoring of asthma are guided by  
590 symptom scores or lung function parameters which are not always accurate markers of  
591 disease severity <sup>(35)</sup>. Exhaled nitric oxide is an important biomarker for bronchial  
592 inflammation in asthma since a patient can be asymptomatic and spirometry may not always  
593 reflect the underlying inflammation <sup>(35)</sup>. Also, it is valuable in identifying eosinophilic  
594 inflammation, adherence and effectiveness of medication therapy and in predicting risk of  
595 future exacerbations <sup>(60)</sup>. In this study patient adherence was assessed by independent dietary  
596 biomarkers rather than using dietary data <sup>(46)</sup>. Furthermore, plasma fatty acid composition is a  
597 reliable indicator of dietary fat intake in children <sup>(61)</sup>.

598

599 The primary weakness of this study is the short duration period and possible limited power  
600 to adjust for multiple confounders and conduct analysis of effect modification. Changes in  
601 inflammatory cytokines were not measured which could have added to the extent of  
602 inflammation reduction. It would have been interesting to examine whether fish oil in fatty  
603 fish could suppress the production of cytokines to levels similar to those attained with  
604 appropriate asthma therapy and is associated with clinical improvement. Another drawback is  
605 that questionnaires were self-administered by parents which might have led to  
606 misinformation and recall bias. In addition, at baseline, FeNO was higher in the intervention  
607 group and lower in the control group and it is possible that the difference in FeNO was driven  
608 by the increase in the control group and regression to the mean. Nevertheless, at baseline,  
609 there was no bronchial inflammation in both groups since FeNO was less than 20 ppb.  
610 Moreover, we may have not taken all potential confounding variables into consideration such  
611 as environmental tobacco exposure, maternal education, residence area, parental atopic  
612 disease, social economic status and number of siblings. However, the multivariate analysis  
613 decreases the probability of confounding and an effort was made to correct for age, sex,  
614 regular physical activity and BMI. A potential issue for some families may have been the  
615 time required for preparation and cooking of fish meals. Nevertheless, the health benefits  
616 would outweigh the burden.

617

618 In conclusion, our findings suggest that a Mediterranean diet supplemented with fatty fish  
619 might be a potential non-pharmacological strategy to combat airway inflammation. This has  
620 important public health implications because dietary interventions are easily applied in ‘real-  
621 life’ situations, are of low cost, have multiple health benefits, and might assist in reducing  
622 asthma burden in children. Given that there are no adverse effects of regular fish  
623 consumption, a healthy diet incorporating two fatty fish meals per week provides overall  
624 health benefits and well-being. Future robust clinical trials are warranted to replicate and  
625 corroborate the promising findings documented.

626

### 627 **Transparency statement**

628 The lead author affirms that this manuscript is an honest, accurate and transparent account of  
629 the study being reported. The reporting of this work is compliant with CONSORT guidelines.  
630 The lead author affirms that no important aspects of the study have been omitted and that any  
631 discrepancies from the study as planned have been explained.

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Table 1. Demographics, clinical tests and Mediterranean diet adherence at baseline and six months

DEMOGRAPHIC AND CLINICAL CHARACTERISTICS PER INTERVENTION GROUP											
Variable	BASELINE					SIX MONTHS					
	Group		Group			Group		Group			
	Intervention	Control	Intervention	Control	P <sup>a</sup>	Intervention	Control	Intervention	Control	P <sup>a</sup>	
	Mean	SD	Mean	SD		Mean	SD	Mean	SD		
Age (years)	7.78	2.25	8.18	2.26	0.47	8.19	2.18	8.76	2.35	0.30 <sup>b</sup>	
Male n (%)	12 (38.7%)		21 (63.6%)			0.05	13 (41.9%)		14 (42.4%)		0.86
Height (cm)	133.70	13.48	133.30	13.62	0.91	136.40	13.50	135.8	13.67	0.98 <sup>b</sup>	
BMI (kg/m <sup>2</sup> )	18.62	3.95	18.20	3.81	0.72	18.70	3.90	18.73	3.84	0.98 <sup>b</sup>	
Overweight/obese*	15(48.4%)		11 (33.4%)			0.22	13(41.9%)		14 (42.4%)		0.86
Regular physical activity (%)	13 (41.9%)		19 (57.6%)			0.46	13 (41.9%)		18 (54.5%)		0.59
(≥ 3x/wk)	13 (41.9%)		19 (57.6%)			0.46	13 (41.9%)		18 (54.5%)		0.59
Medication n (%)	26(83.9%)		27(81.8%)			0.83	14 (45.1%)		21(63.6%)		0.18
Yes	26(83.9%)		27(81.8%)			0.83	14 (45.1%)		21(63.6%)		0.18
Anti-leukotriene therapy n (%)	24 (77%)		27(81.8%)			0.16	12 (38.7%)		19(57.5%)		0.21
Pulmonary function											
FEV <sub>1</sub> (% predicted)	97.23	8.80	99.09	10.55	0.45	100.19	9.44	100.09	8.76	0.96	
FVC (% predicted)	94.61	8.68	96.30	11.11	0.50	96.94	9.20	96.79	9.14	0.95	
FEV <sub>1</sub> /FVC (% predicted)	101.97	4.40	102.39	7.78	0.79	102.87	3.66	102.88	5.60	0.99	
PEF (% predicted)	94.32	19.28	93.48	18.79	0.86	100.58	21.02	101.21	21.68	0.91	
FEF <sub>25-75</sub> (% predicted)	100.29	17.80	103.94	21.47	0.46	103.45	14.09	102.73	19.78	0.87	

FeNO (ppb)	17.94	17.61	10.15	7.16	0.16 <sup>b</sup>	14.61	15.07	18.09	29.41	0.81 <sup>b</sup>
ACQ score	0.35	0.34	0.36	0.39	0.96	0.23	0.49	0.20	0.28	0.87 <sup>b</sup>
PAQLQ score	6.77	0.32	6.70	0.44	0.47	6.83	0.56	6.90	0.18	0.91 <sup>b</sup>
KIDMED Score	5.32	2.01	5.24	2.02	0.87	6.10	1.49	5.12	1.98	<b>0.02<sup>b</sup></b>

Bold characters represent statistical significant p-values.

Key: ACQ- Asthma Control Questionnaire; PAQLQ- Paediatric Asthma Quality of Life Questionnaire; FEV<sub>1</sub>- Forced Expiratory Volume in 1 second; FVC- Forced Vital Capacity; FEV<sub>1</sub>/FVC- ratio of Forced Expiratory Volume and Forced Vital Capacity; PEF- Peak Expiratory Flow; FEF<sub>25-75%</sub>-Mid Expiratory Flow 25-75% vital capacity; FeNO- Fractional exhaled Nitric Oxide analysis. P<sup>a</sup> - P-values shown were calculated using t-test or Chi-square test; P<sup>b</sup> -P-value estimated using non-parametric Mann-Whitney test. \*Hellenic paediatric growth charts <sup>(38)</sup>

Table 2. Consumption of main food groups and items (grams per day) baseline and six months

CONSUMPTION OF FOOD GROUPS AND FISH										
Food group/Item (grams/day)	BASELINE					SIX MONTHS				
	Group		Group		P <sup>b</sup>	Group		Group		P <sup>b</sup>
	Intervention	Control	Intervention	Control		Intervention	Control	Intervention	Control	
Mean	S.D	Mean	S.D		Mean	SD	Mean	SD		
Dairy products	486.91	301.96	513.21	305.61	0.71	453.09	283.90	517.24	303.22	0.38
Fruit	282.33	139.18	251.94	172.36	0.23	270.25	187.53	259.08	187.70	0.65
Vegetables	167.74	129.24	174.68	133.47	0.85	172.58	103.97	206.23	155.48	0.49
Legumes	65.49	35.32	56.07	33.70	0.22	59.81	32.22	46.81	31.75	<b>0.03</b>
Starch	113.47	131.38	67.02	25.56	0.03	94.18	59.38	75.78	24.63	0.42
Meat	84.03	47.03	81.04	38.43	0.77	69.60	30.80	82.88	45.93	0.27
Seafood	7.02	11.14	4.65	6.89	0.45	5.60	6.98	7.09	6.57	0.29
Lean fish	17.54	11.73	11.71	13.63	0.01	15.77	25.69	13.20	10.50	0.09
Fatty fish	17.18	11.79	10.41	11.62	<b>0.01</b>	45.76	19.40	10.35	11.01	<b>0.00</b>
Nuts	3.01	6.45	5.12	6.46	0.09	3.81	6.90	4.45	5.58	0.38
Olive oil	16.43	12.49	19.34	14.76	0.31	18.08	14.96	18.98	14.02	0.98
Fats	20.28	14.38	25.87	19.48	0.32	24.01	19.43	24.88	15.92	0.49
Fast food	19.73	16.99	26.81	36.49	0.64	19.61	12.84	23.75	20.36	0.65
Sweets	25.82	17.50	27.89	17.71	0.63	20.58	14.98	29.89	29.03	0.22

Savoury snacks	27.22	31.78	43.97	41.89	0.03	28.93	24.55	39.26	35.64	0.16
Soft drinks	8.52	16.02	30.08	47.50	0.07	36.14	72.29	36.02	46.38	0.28

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P<sup>b</sup>- P-value calculated with Mann-Whitney test

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Table 3. KIDMED Questionnaire baseline and six month follow-up

KIDMED QUESTIONNAIRE							
Question	Response	BASELINE		P <sup>a</sup>	SIX MONTHS		P <sup>a</sup>
		Group			Group		
		Intervention % (n)	Control % (n)		Intervention % (n)	Control % (n)	
Q1. Does your child take a fruit or fruit juice every day?	Yes	80.6% (25)	81.8% (27)	0.90	87.1% (27)	84.8% (28)	0.79
Q2. Eat two fruits every day?	Yes	61.3% (19)	60.6 % (20)	0.95	48.4 % (15)	57.6% (19)	0.46
Q3. Eat fresh salad or cooked vegetables regularly once a day?	Yes	58.1% (18)	54.5% (18)	0.78	54.8% (17)	45.5% (15)	0.45
Q4. Eat fresh salad or cooked vegetables more than once a day	Yes	6.5% (2)	9.1% (3)	0.69	6.5% (2)	12.1% (4)	0.44
Q5. Eat fish regularly (at least 2-3 times per week)?	Yes	12.9% (4)	6.1% (2)	0.35	83.9% (26)	6.1% (2)	<b>0.00</b>
Q6. Go to a fast-food restaurant (hamburger) more than once a week?	Yes	3.2% (1)	6.1% (2)	0.59	0	9.1% (3)	0.09
Q7. Eats legumes more than once a week	Yes	32.3% (10)	36.4% (12)	0.73	19.4%(6)	15.2% (5)	0.66
Q8. Eats pasta or rice almost every day (5 or more times per week)?	Yes	12.9% (4)	6.1% (2)	0.35	25.8% (8)	6.1% (2)	<b>0.03</b>
Q9. Eats cereals or grains (bread etc.) for breakfast?	Yes	35.5% (11)	48.5% (16)	0.29	35.5% (11)	45.5% (15)	0.42
Q10. Eat dairy products for breakfast?	Yes	80.6% (25)	87.9% (29)	0.43	80.6% (25)	90.9% (30)	0.24
Q11. Eat baked goods or pastries for breakfast?	Yes	3.2% (1)	3.0% (1)	0.96	0.0% (0)	3% (1)	0.33
Q12. Skips breakfast?	Yes	12.9% (4)	12.1% (4)	0.92	12.9% (4)	9.1% (3)	0.62

Q13. Eat nuts regularly (at least 2-3 times per week)?	Yes	6.5% (2)	15.2% (5)	0.26	12.9% (4)	12.1% (4)	0.92
Q14. Eat 2 yogurts and/or some cheese (40g) daily?	Yes	83.9% (26)	78.8% (26)	0.60	80.6% (25)	78.8% (26)	0.85
Q15. Eat sweets and candy several times every day?	Yes	19.4% (6)	39.4% (13)	0.08	12.9% (4)	21.2% (7)	0.38
Q16. Eat olive oil with meals?	Yes	100% (31)	100% (33)	k	100% (31)	100% (33)	k

P<sup>a</sup> - P-value calculated using Chi Square Test; k- constant

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Table 4. Plasma fatty acid composition of children at baseline and six months per group

PLASMA FATTY ACIDS COMPOSITION											
Plasma fatty acid (mcmol/L)	BASELINE					P <sup>b</sup>	SIX MONTHS				
	Group		Group		Intervention		Control		P <sup>b</sup>		
	Mean	S. D	Mean	S.D	Mean		S.D	Mean		S.D	
<b>Omega-3 fatty acids</b>											
alpha-Linolenic acid	10.81	5.0	12.33	4.94	0.38	14.72	5.23	14.40	4.20	0.91	
EPA	30.22	13.53	33.01	21.57	0.54	29.99	15.78	25.08	8.10	0.33	
DHA	54.54	30.94	50.07	33.67	0.33	119.88	41.43	71.80	26.36	<b>0.00</b>	
<b>Omega-6 fatty acids</b>											
gamma- Linolenic acid	10.15	6.92	11.67	6.35	0.25	25.80	16.01	28.37	19.86	0.79	
Linoleic acid	1,124.29	443.25	1,147.32	428.68	0.87	1,724.34	546.64	1,602.04	433.88	0.34	
Arachidonic acid	446.55	643.37	362.12	85.64	0.24	381.54	84.42	411.59	122.76	0.33	
Total plasma fatty acids	4,939.01	1,084.76	5,118.12	1,175.32	0.64	5,973.66	1,391.99	5,678.64	1,229.67	0.62	

Total plasma $\Omega$ 3 fatty acids	99.17	46.61	99.45	57.31	0.35	168.20	56.40	115.82	34.61	<b>0.00</b>
Total plasma $\Omega$ 6 fatty acids	1,557.90	443.45	1,618.86	479.28	0.79	2,254.36	607.29	2,167.93	547.56	0.62
Ratio $\Omega$ 6 : $\Omega$ 3	24.24	26.48	23.21	25.81	0.13	14.48	4.92	19.44	4.64*	<b>0.00</b>

P<sup>b</sup> - P-values estimated using Mann-Whitney test; PUFA-polyunsaturated fatty acids;  $\Omega$ 3- omega-3 fatty acids;  $\Omega$ 6- omega-6 fatty acids

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Table 5. Univariate and Multivariate analysis showing mean change in asthma control, quality of life and pulmonary function parameter estimates from baseline to follow-up in the intervention and control groups.

Mean change	Group		Difference			
	Intervention	Control	Mean	95% CI	P <sup>a</sup>	P <sup>c</sup>
ACQ score	-0.13	-0.16	0.03	-0.19; 0.24	0.80	0.66
PAQLQ score	0.05	0.19	-0.14	-0.34; 0.05	0.15	0.23
FVC (% predicted)	2.45	-0.12	2.57	-1.81; 6.96	0.24	0.33
FEV <sub>1</sub> (% predicted)	2.84	0.61	2.23	-1.87; 6.34	0.28	0.38
FEV <sub>1</sub> /FVC (% predicted)	0.58	0.79	-0.21	-2.54; 2.12	0.86	0.86
PEF % (% predicted)	6.06	7.06	-0.99	-11.18; 9.18	0.85	0.71
FEF <sub>25-75</sub> (% predicted)	2.35	-1.24	3.60	-3.09; 10.28	0.29	0.44
FeNO (ppb)	-3.84	8.09	-11.93	-24.32; 0.46	0.06	<b>0.04</b>

Key: ACQ- Asthma Control Questionnaire score; PAQLQ- Paediatric Asthma Quality of Life Questionnaire score. FEV<sub>1</sub>- Forced Expiratory Volume in 1 second; FVC- Forced Vital Capacity; FEV<sub>1</sub>/FVC- ratio of Forced Expiratory Volume and Forced Vital Capacity; PEF- Peak Expiratory Flow; FEF<sub>25-75</sub>- Mid Expiratory Flow 25-75% vital capacity; FeNO- Fractional exhaled Nitric Oxide analysis.

P<sup>a</sup>- P-value calculated using t-test;

P<sup>c</sup>- P-value from multiple linear regression analysis adjusted for confounders age, sex, BMI and regular physical activity.

Table 6. Multiple linear regression analysis showing mean change in bronchial inflammation (FeNO) for intervention and control groups from baseline to follow-up

Mean score change	Variable	Difference		
		$\beta$	95% CI	P <sup>c</sup>
FeNO(ppb)	Group	-14.15	-27.39; -0.91	<b>0.04</b>
	Age	-1.69	-4.97; 1.59	0.31
	Sex	6.89	-6.23; 20.10	0.30
	Regular physical activity	1.69	-11.51; 14.89	0.80
	BMI	0.22	-1.64; 2.09	0.81

Key:  $\beta$ -unstandardized beta; FeNO- Fractional exhaled Nitric Oxide analysis

P<sup>c</sup> - P-value evaluated applying multiple linear regression model adjusted for confounders age, sex, BMI and regular physical activity.

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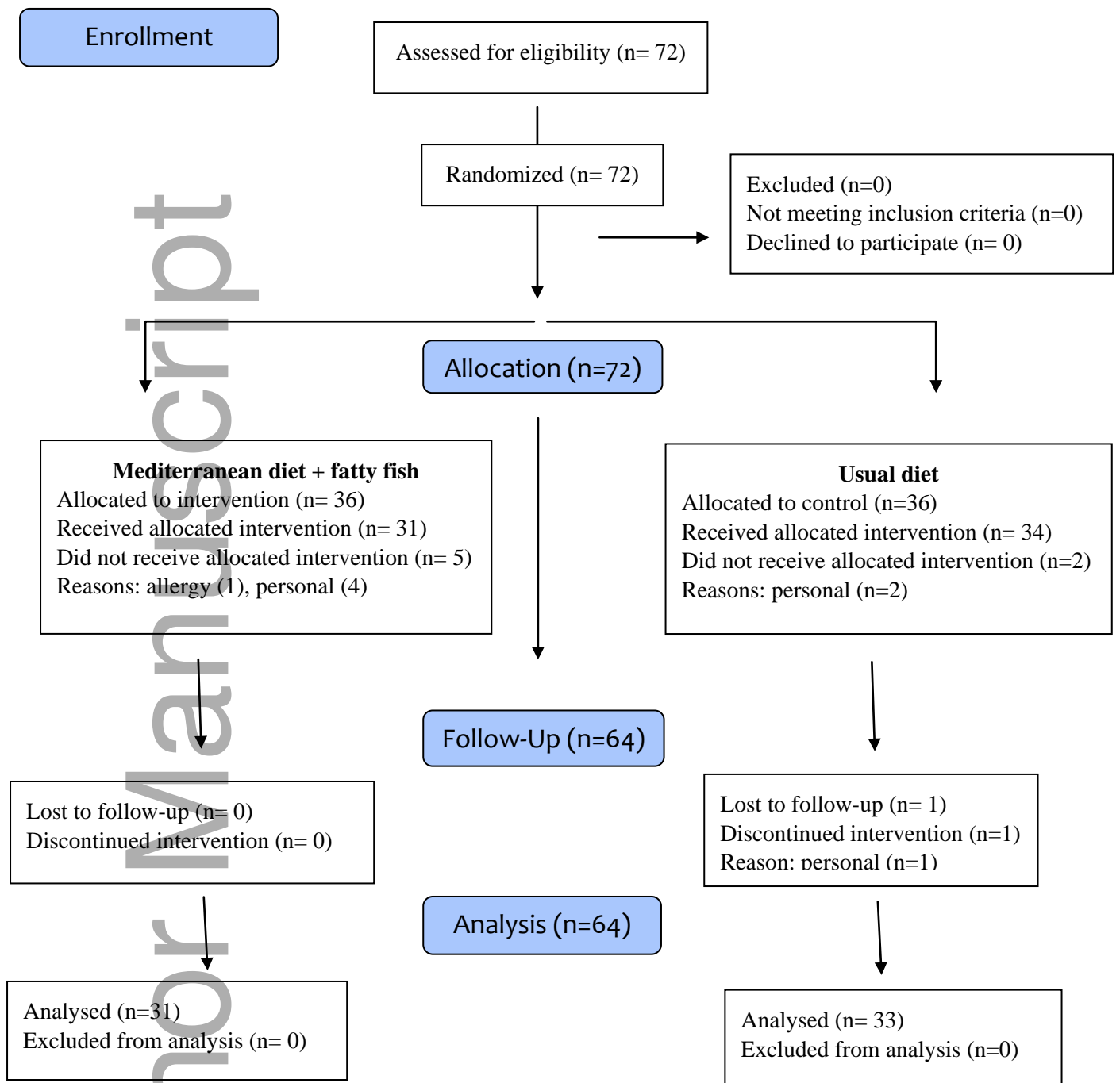


Figure 1. Consort flow diagram of study design <sup>(23)</sup>