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Pooled analysis of stereotactic ablative radiotherapy for primary renal cell carcinoma: A report from the International Radiosurgery

3 Oncology Consortium for Kidney (IROCK)

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Formal Analysis: SS, AL and AW

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CONDENSED ABSTRACT:

57 SABR is well tolerated and effective for primary RCC with acceptable impact on renal function. Patients receiving single-fraction SABR appear less likely to progress distantly and die of cancer.

60 **Keywords:** stereotactic ablative radiotherapy, radiosurgery, RCC, SBRT, kidney cancer

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ABSTRACT

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Background: SABR is an emerging therapy for primary renal cell carcinoma (RCC).

This study assessed safety, efficacy and survival in a multi-institutional setting.

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Outcomes between single and multi-fraction SABR were compared.

Methods: Individual patient datasets from nine IROCK institutions across Germany, Australia, USA, Canada and Japan were pooled. Toxicities were recorded using

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CTCAE v4.0. Patient, tumor and treatment characteristics were stratified by number of fractions (single vs. multiple). Survival outcomes were examined using Kaplan-

Meier estimates and Cox proportional hazards regression.

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Results: Of 223 patients, 118 received single fraction and 105 received multi-fraction

SABR. Mean patient age was 72 years, with 69.5% being male. There were 83

patients with grade 1-2 toxicity (35.6%), and 3 patients with grade 3-4 toxicities

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(1.3%). Local control (LC), cancer specific survival (CSS), and progression free

survival (PFS) at 2 years were 97.8%, 95.7% and 77.4% and at 4 years were 97.8%,

91.9%, 65.4%, respectively. On multivariable analysis, larger tumour diameter and

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multi-fraction SABR were associated with poorer PFS (HR 1.16 [$p<0.01$] and HR

1.13 [$p=0.02$], respectively) and CSS (HR 1.28 [$p<0.01$] and HR 1.33 [$p=0.01$]

respectively. There was no differences in local failure for single (n=1) versus multi-

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fraction (n=2) cohorts ($p=0.60$). The mean (\pm SD) eGFR at baseline was 59.9 (\pm 21.9)

mL/min, and decreased by 5.5 mL/min (\pm 13.3), $p<0.01$.

Conclusions: SABR is well tolerated and locally effective for primary RCC with

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acceptable impact on renal function. An interesting observation is that patients

receiving single-fraction SABR appear less likely to progress distantly and die of

cancer.

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INTRODUCTION

90 Renal cell carcinoma (RCC) is the 8th most common cancer worldwide ¹. In the
United States alone there were 62,700 estimated new cases and 9,200 deaths ² in
2016. There has been rapidly increasing incidence of the disease, predominantly in
93 earlier localized cancer due to increased access to and utilization of cross-axial
abdominal imaging. According to the SEER database, the incidence of RCC increased
annually by 3.2% between 1997 and 2008 ³. RCC affects predominantly an older
96 population with a median age at diagnosis of 65 years ², with a slight male
preponderance. While surgery is the standard of care for primary RCC, patients
undergoing partial or total nephrectomy for renal cancer experience post-operative
99 nephron loss, which may result in new onset chronic kidney disease or advancement
of pre-existing renal dysfunction ^{4,5}. Additionally, some patients have coexisting
medical issues that preclude them from surgery and others may refuse surgery. A
102 recent analysis of the SEER database found that of patients over 65 years who
underwent non-surgical management for T1a disease, the 5-year survival was 46.4%
versus 83.1% in those having partial nephrectomy ($p < 0.01$) ⁶. Death attributed to
105 RCC was four times higher in those not undergoing surgery.

Non-surgical treatment options for this population of patients include radiofrequency
108 ablation (RFA) and cryotherapy ⁷. These thermal techniques have significant
limitations. They can typically only treat smaller RCCs as well as those away from
the ureter and vascular structures due to the risk of heat sink effects, stricture and/or
111 fistula development ⁸. Larger tumors pose significant risks of hemorrhage, which may
require a nephrectomy to control ⁸. Both approaches are invasive, with access to the

kidney through percutaneous incisions. This can be problematic in the increasing
114 numbers of patients who may require continuous anticoagulative medications.

Stereotactic ablative radiotherapy (SABR), also known as stereotactic body
117 radiotherapy (SBRT), is an emerging treatment option in the context of medically
unfit patients with primary kidney cancer. Whilst established in the treatment of
malignancies in the lung, liver and spine, radiotherapy is an often-overlooked
120 approach in RCC ⁹. The International Radiosurgery Oncology Consortium for Kidney
(IROCK) was formed to harmonize treatment approaches and collaborate in further
research in this field. Following publication of a consensus statement ¹⁰ on SABR for
123 primary RCC, this second work from IROCK is a pooled multi-institutional analysis
of patient outcomes. The objectives of this study were to assess safety, efficacy and
survival in a multi-institutional setting. In particular, outcomes between the two
126 commonest approaches, single fraction and multi-fraction SABR, were compared.

129 **METHODOLOGY**

Nine institutions with previously published data for SABR in primary RCC were
132 invited to contribute to the IROCK consortium. Authors were contacted and invited to
submit datasets (prospective or retrospective) with individual patient data. Central
institutional ethical review board (IRB) approval was granted at the Peter MacCallum
135 Cancer Centre, and local data transfer agreement and/or IRB approval was obtained
based on individual ethics and governance procedures. All patients received SABR
between 2007 and 2016 at one of the nine participating institutions. Patient data were

138 de-identified and transferred using data encryption techniques to the London Health
Sciences Centre (London, Ontario, Canada) through secure file transfer protocol
(FTP), followed by data quality assurance procedures. Baseline patient characteristics,
141 radiotherapy treatment characteristics, and post-treatment laboratory and clinical
outcome data were assessed using descriptive statistics. Biological equivalent dose
using an $\alpha/\beta=10$ (BED₁₀) was calculated using the linear quadratic formula ¹¹. Clinical
144 endpoints analysed were overall survival, progression-free survival, local control,
distant control and cancer-specific survival. Local control was defined using RECIST
criteria version 1·0. Treatment related toxicities were defined using CTCAE version
147 4·0. All time-to-event end points were calculated from date of SABR start to the
specified event. Biochemistry results for serum creatinine, urea and estimated
glomerular filtration rate (eGFR) were collected at baseline and all available results
150 post-treatment. For patients with unknown eGFR and known creatinine values, eGFR
was estimated from the Chronic Kidney Disease Epidemiology Collaboration (CKD-
EPI) equation ¹².

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Statistical Analysis

156 Descriptive statistics were generated for patient demographics, tumor and treatment
characteristics and were stratified by number of fractions. These were compared using
the Chi-square test, Fisher's exact test, two-sample T-test or Wilcoxon rank sum test
159 as appropriate. Change in renal function pre-SABR vs. post-SABR for serum
creatinine and eGFR was evaluated using the paired T-test. Univariable and
multivariable Cox proportional hazards regression was performed for all time-to-
162 event end points to identify significant prognostic factors. Variables with univariable

p-values < 0.05 and available in > 70% of patients were incorporated into multivariable regression and sequentially removed using backward elimination techniques until all remaining covariates had p-values < 0.05. Kaplan-Meier estimates were generated for all time-to-event end points and stratified by number of fractions and compared using the log-rank test. All statistical analyses were performed using SAS version 9.4 software (SAS Institute, Cary, USA), with 2-sided statistical testing at the 0.05 significant level.

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RESULTS

In total, 223 patients from nine institutions across Germany, Australia, USA, Canada and Japan were included in this meta-analysis. The median follow-up period was 2.6 years with 118 patients receiving single fraction and 105 receiving multi-fraction SABR. The mean age was 72 years, with 69.5% of patients being male and 87.4% having an ECOG performance of 0-1. The mean (\pm SD) maximal tumour diameter was 43.6mm (\pm 27.7). The mean time between initial diagnosis and treatment with SABR was 28.1 months. Pre-treatment diagnostic CT was used in 212 (95.1%), MRI in 174 (78.0%), bone scans in 30 (13.5%) and PET scan in 8 (3.6%). Pathological confirmation prior to treatment was achieved in 189 patients (84.8%). Clear cell was the most common histological subtype (86.2%). Baseline patient characteristics can be found in **Table 1**, stratified by fractionation schedule. Patients treated with fractionated SABR were younger with better performance status and harbored smaller tumors ($p < 0.01$). The median (range) dose for single fraction SABR was 25 Gy (14-26) and for multi-fraction was 40 Gy (24-70) delivered in 2-10 fractions. This equated

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to a median (range) BED₁₀ of 87.5 Gy (33.6 - 93.6 Gy) in the single fraction cohort,
189 which was similar to a BED₁₀ of 80.0 Gy (37.5 - 124.8 Gy) in the multi-fraction
cohort ($p=0.577$). Details of the radiotherapy delivery techniques used are
summarized in **Supplementary Table 1**.

192
Local Control (LC) at 2- and 4-years was 97·8%. Cancer-specific survival (CSS),
overall survival (OS), and progression free survival (PFS) at 2 years were 95·7%,
195 82·1% and 77·4% and at 4 years were 91·9%, 70·7%, 65·4%, respectively. Kaplan-
Meier plots are shown in **Figure 1**. Eighteen patients had disease recurrence (8·1%),
three had a local recurrence (1·4%), and 16 had a distant recurrence (7·2%). One
198 patient had both local and distant recurrence as the first site of failure. All 3 local
failures occurred within 2 years, with no difference for single ($n=1$) versus multi-
fraction ($n=2$) cohorts ($p=0·603$). Eighty-six patients had at least grade 1 toxicity
201 (38·6%), 83 (35·6%) had grade 1-2 toxicity only. One patient had grade 3 nausea and
grade 2 bowel toxicity simultaneously (0·5 months after start of SABR), 1 patient had
grade 4 bowel toxicity alone (4·3 years after start of SABR) and 1 patient had both
204 grade 4 gastritis and grade 4 bowel toxicity (at 1·4 months and 15·8 months after start
of SABR, respectively). A higher rate of overall nausea in the single fraction cohort
was observed (17·0% versus 6·8%, $p = 0·005$), but otherwise there was no difference
207 in the toxicity profile of either treatment approach.

The pre-treatment mean (\pm SD) eGFR was 59·9 mL/min (\pm 21·9), and mean (\pm SD)
210 serum creatinine was 130·8 μ mol/L (\pm 78·2). The mean (\pm SD) change of eGFR at
last follow-up was -5·5 mL/min (\pm 13·3), $p < 0·001$. The corresponding rise in serum
creatinine was 28·1 μ mol/L (\pm 74·4). There was no difference in mean renal function

213 change in those patients who had T1a disease (-4.8 mL/min) versus $>$ T1a disease ($-$
214 6.5 mL/min), $p = 0.354$. There was no difference in mean renal function change
215 between those patients receiving single fraction (-6.1 mL/min) and multi-fraction ($-$
216 4.9 mL/min) SABR, $p = 0.660$. Within the entire cohort, there was a subgroup of 52
217 patients (26.5%) who had an increase of eGFR post-treatment, representing a 17%
218 increase in global function (a mean [\pm SD] increase of 8.0 mL/min [± 10.8]).
219 Individual patient change in serum creatinine and eGFR is depicted in **Figure 2**. A
220 total of 6 patients (2.7%) underwent dialysis during the study period. Pre-treatment
221 split function testing was available in 54 patients, with a median of 47.0% relative
222 function in the affected kidney.

223 Results from univariable and multivariable Cox proportional hazards regression are
224 shown in **Table 2**. Multivariable analysis identified both maximum tumour diameter
225 (HR per 10 mm increase: 1.16 , $p < 0.001$) and multi-fraction SABR (HR per 1
226 fraction increase: 1.13 , $p = 0.017$) as having independent prognostic significance for
227 progression free survival. Similarly for cancer-specific survival, maximum tumour
228 diameter (HR per 10 mm increase: 1.28 , $p < 0.001$) and multi-fraction SABR (HR per
229 1 fraction increase: 1.33 , $p = 0.011$) also significantly predicted for worse outcome.
230 This was likely attributable to differences in distant control rates between single and
231 fractionated SABR (**Figure 1D**); fractionated SABR was associated with a higher
232 likelihood of distant failure (HR 3.80 , $p = 0.021$). Interaction testing between tumour
233 size and fractionation was performed and found to be non-significant for both
234 progression-free survival ($p = 0.714$) and cancer-specific survival ($p = 0.255$). With
235 respect to overall survival, maximum tumour diameter was a significant predictor of
236 death (HR per 10 mm increase: 1.18 , $p < 0.001$).

240 **DISCUSSION**

243 SABR is a contemporary, non-invasive technique characterized by high-precision
246 delivery of ablative therapeutic radiation. Conveniently from the patient's perspective,
249 SABR is delivered in a single or few outpatient treatment sessions. In this pooled
individual patient data meta-analysis, we demonstrate that SABR was associated with
252 excellent local cancer control, with 2- and 4-year local control rate of 97·8%. The
treatment was well tolerated, with a 1·3% rate of grade 3-4 toxicity and largely
preserved renal function. This is consistent with a previous systematic review in 2012
of 126 patients which demonstrated a weighted local control rate of 94% and grade 3+
toxicity rate of 3·8%.¹³ Since that systematic review, three modern single-institutional
prospective studies of 19 patients¹⁴, 40 patients¹⁵, and 33 patients¹⁶ have reported
similar findings with local control ranging from 98%-100% and grade 3+ toxicity
rates of 0-15·8%. Additionally, the phase I study reported above¹⁴ (NCT00458484)
has completed its phase II enrolment and data should be forthcoming shortly.

255 As an emerging treatment modality for kidney cancer, oncological outcomes from
SABR compares favorably to established ablative techniques. As is the case for
258 SABR, thermal ablative approaches have relatively limited prospective data to
support their use. An analysis by Kunkle and Uzzo¹⁷ of mostly retrospective data
demonstrated local tumor progression rates of 5·2% after renal cryoablation and
261 12·9% after RFA. The mean size of tumors in this cohort was 26·4 mm. By
comparison, we observed a 1·4% local failure rate in our cohort despite larger

tumours (mean size of 43·6mm). In terms of survival, a more recent systematic
264 review in 2016 of 60 studies reporting on oncological outcomes after nephrectomy
and thermal ablation¹⁸ showed that cancer-specific survival estimates for all
strategies varied between 95%-100% with a median follow-up ranging from 22 to 120
267 months. These rates, however, dropped to 90-91% for T1b tumors ($\geq 40\text{mm}$) and
82·5%-86·7% for T2 tumors ($\geq 70\text{mm}$). In this cohort receiving SABR, the 2- and 4-
year cancer-specific survival rates were comparable at 95·7% and 91·9%,
270 respectively. However, overall survival rates in our SABR cohort (82·1% and 70·7%
at 2- and 4-years, respectively) were poorer, which may be due in part to competing
risks related to advanced age and increased medical comorbidities in this non-surgical
273 cohort.

An interesting finding on multivariable analysis of this cohort was that single-fraction
276 SABR was associated with better PFS and CSS than multi-fraction regimens ($p <$
0·05). By contrast, whilst age and ECOG performance status were not balanced
between groups these factors were not independently prognostic of survival outcomes
279 on multivariable analysis. The association of fractionation with PFS and CSS was not
explained by decreased local efficacy since LC was similarly excellent for both
regimens (**Figure 1c**, $p = 0·439$). Distant disease control, however, was poorer
282 (**Figure 1d**, $p = 0·013$) with a multi-fraction approach. When determining choice of
single versus multi-fraction approaches, large tumor size was a factor favoring
fractionation in two of the nine institutions. However, on interaction testing, primary
285 tumor diameter, which was also associated with poorer PFS and CSS, was not found
to be a confounding factor. This indicates that even when accounting for variation in
tumor diameter, there appears to be less likelihood of systemic failure and cancer

288 related death associated with single fraction SABR in comparison to fractionated
SABR to the primary disease. One potential explanation may be an enhanced
“abscopal effect” of distant tumor cell eradication due to single fraction irradiation¹⁹,
291 an effect demonstrated in preclinical models of RCC²⁰. An alternative hypothesis
may be that some circulating tumor cells, which are released in the circulation during
radiotherapy²¹, may still be viable after smaller doses of fractionated radiotherapy
294 and result in distant cancer seeding. Nevertheless, this interesting observation should
be considered hypothesis-generating. Due to possible confounding by other possible
variables not included in the modeling, this observation should be confirmed with a
297 directly randomized trial comparing single and multi-fraction approaches. One
notable drawback of the single treatment approach, however, was a higher rate of
overall nausea at 17·0% as compared to 6·8% in the multi-fraction cohort.

300 We observed an impressive preservation of renal function after SABR in this cohort.
The mean decrease in eGFR at last follow-up was 5·5 mL/min, corresponding to a
303 rise in serum creatinine of 28·1 $\mu\text{mol/L}$. Rates of nephrotoxicity and renal dysfunction
are difficult to compare between treatment modalities. Meaningful comparisons with
surgery are particularly challenging, as the operative approach (partial versus radical
306 nephrectomy), along with other factors such as warm ischemia time, impacts
considerably on outcome. In a randomized study comparing elective nephron-sparing
surgery and radical nephrectomy, the mean loss of eGFR was 16·6 mL/min for partial
309 nephrectomy, and 23·5 mL/min after radical nephrectomy²². Renal dysfunction after
thermal ablation is proportional to tumor diameter and volume of ablation zones, with
most ablated tumors in the literature ranging from 2-3 cm in diameter. In a recent
312 systematic review and meta-analysis of 18 studies comparing change in eGFR after

partial nephrectomy versus thermal ablation for small renal masses, a mean loss of GFR of -6.2 mLs/min and -4.5 mLs/min was observed for each modality, respectively
315 ²³. The results in this cohort for patients receiving SABR for T1a disease are
comparable (-4.5 mLs/min) to these other modalities, despite many patients having
pre-existing renal dysfunction.

318
Another notable and novel finding was that a substantial proportion of patients
exhibited increased eGFR post-SABR. There were 52 patients (26.5%) who
321 demonstrated an improvement of eGFR after treatment, representing a 17% increase
in global function (average increase of 8.0 mL/min). It is unclear what the underlying
pathophysiological mechanism of this finding could be, whether this is secondary to
324 tumor response and subsequent renal function recovery, a hyperfiltration state post-
treatment, or compensatory functional improvement in the contralateral kidney. After
partial nephrectomy, for patients with bilateral kidneys, most series support the
327 preservation of approximately 88% to 91% of global function, with compensatory
hypertrophy representing preservation of between 2.2% and 6% of global function.
Improvement of renal function beyond baseline after extirpative approaches is not
330 reported as an expected outcome. Compensatory function may be the most plausible
putative mechanism, as data from a previous clinical trial that used serial ⁵¹Cr-EDTA
and ^{99m}Tc-DMSA SPECT/CT demonstrated a mean increase in calculated GFR in the
333 contralateral kidney of 12.3 mLs/min from baseline ²⁴. The underlying mechanisms
that underpin these observations warrant further exploration.

336 A key strength of the current study is that it reports on the largest cohort of RCC
patients treated with SABR to date. While merging data from multiple institutions

increased the generalizability and statistical power, a limitation of this approach is the
339 introduction of bias due to variation in data collection procedures across institutions.

Furthermore, retrospective reporting of toxicity may lead to underreporting of events.

Due to variability in treatment practice not all data requested from individual
342 institutions could be collected from all patients. Inherent population-level biases may
have been introduced through data sampling of widely disparate populations from
Japanese, European, North American and Australian patients. Another limitation to
345 the interpretation of data is local response assessment after SABR; it is unclear
whether RECIST should remain the optimal assessment criteria in this setting.²⁵

348 Local control, minimal complications and preserved renal function are considered to
constitute “the trifecta” after minimally invasive partial nephrectomy²⁶. The present
multi-institutional pooled analysis marks an important step in demonstrating that
351 SABR can achieve this trifecta in localized RCC. At present several multicenter
clinical trials are underway which may affirm these findings (clinicaltrials.gov ID
NCT02613819, NCT03108703, and UMIN-CTR ID UMIN000004172). Moving
354 forward, prospective randomized controlled trials comparing extirpative or thermal
ablative approaches to SABR would be ideal but will be difficult to complete, owing
to challenges in both patient and physician equipoise^{27,28}. Thus, other forms of
357 comparative effectiveness research with novel endpoints in the realms of patient-
reported outcomes, quality of life and economic considerations are urgently needed to
inform the relative merits of each treatment modality for primary RCC.

360

In conclusion, just as medically-comorbid patients are now standardly treated with
SABR for early-stage lung cancer, a similar paradigm could unfold in RCC. This

363 large-scale individual patient pooled analysis marks an important step in advancing
this new paradigm, demonstrating a favorable toxicity profile and excellent
oncological outcomes. Nonetheless, prospective, randomized trials and comparative
366 effectiveness studies are needed to further evaluate this ablative modality in the
treatment of RCC.

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Table 1: Baseline characteristics of all patients and stratified by single versus multi-fraction delivery (n=223).

Characteristic	N	All Patients (n=223)	1 Fraction (n=118)	> 1 Fraction (n=105)	p-value
Age at SABR – mean ± SD	223	72.0 ± 11.8	69.0 ± 11.9	75.3 ± 10.9	< 0.001
Male – n(%)	223	155 (69.5)	82 (69.5)	73 (69.5)	0.996
Good Performance Status (ECOG 0-1 or KPS ≥ 70) – n(%)	223	195 (87.4)	114 (96.6)	81 (77.1)	< 0.001
Pathological Confirmation – n(%)	223	189 (84.8)	116 (98.3)	73 (69.5)	< 0.001
Histology Type – n(%)					
Clear Cell	189	163 (86.2)	114 (97.4)	49 (68.1)	< 0.001
Papillary		9 (4.8)	2 (1.7)	7 (9.7)	
Chromophobe		2 (1.1)	--	2 (2.8)	
Other Renal Cell Carcinoma		11 (5.8)	--	11 (15.3)	
Urothelial		4 (2.1)	1 (0.9)	3 (4.2)	
Maximum Diameter (mm) – mean ± SD	223	43.6 ± 27.7	37.1 ± 10.6	50.9 ± 37.6	0.009
Maximum Diameter ≥ 40 mm – n(%)	223	110 (49.3)	52 (44.1)	58 (55.2)	0.096
Total Dose (Gy) – median, (min, max)	223	25.0 (14.0, 70.0)	25.0 (14.0, 26.0)	40.0 (24.0, 70.0)	< 0.001
Number of Fractions – median, (min, max)	223	1 (1, 10)	1.0	4 (2, 10)	--
BED ₁₀ (Gy) – median, (min, max)	223	87.5 (33.6, 124.8)	87.5 (33.6, 93.6)	80.0 (37.5, 124.8)	0.577
Serum Urea Pre-SABR (mmol/L) – mean ± SD	109	9.9 ± 5.5	11.9 ± 7.2	9.5 ± 5.0	0.121
Serum Creatinine Pre-SABR (µmol/L) – mean ± SD	220	130.8 ± 78.2	132.5 ± 84.8	128.9 ± 70.6	0.738
eGFR Pre-SABR (mL/min) ¹ – mean ± SD	220	59.9 ± 21.9	66.4 ± 20.6	52.6 ± 21.2	< 0.001

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SABR – Stereotactic ablative radiotherapy; eGFR – Estimated glomerular filtration rate; ECOG – Eastern Cooperative Oncology Group; KPS – Karnofsky Performance

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Status; BED₁₀ – Biological equivalent dose ($\alpha/\beta=10$); P-values < 0.05 shown in

BOLD; ¹Values derived using CKD-EPI equation for patients with missing eGFR.

Table 2: Univariable and multivariable Cox proportional hazards regression models for survival outcomes (n=223).

Dependent Variable:	Overall Survival		Progression-Free Survival		Cancer-Specific Survival	
	HR (95% CI)	p-value	HR (95% CI)	p-value	HR (95% CI)	p-value
Univariable:						
Age at SABR (per 5 year increase)	1.18 (1.03, 1.35)	0.018	1.13 (1.00, 1.28)	0.050	1.40 (1.02, 1.91)	0.038
Male	1.04 (0.55, 1.97)	0.903	1.03 (0.58, 1.83)	0.934	1.21 (0.33, 4.48)	0.773
ECOG Performance Status > 1	3.25 (1.68, 6.28)	< 0.001	3.17 (1.73, 5.82)	< 0.001	3.05 (0.82, 11.38)	0.097
Pathological Confirmation	0.76 (0.36, 1.64)	0.488	0.65 (0.34, 1.26)	0.205	0.16 (0.05, 0.50)	0.002
Histology Type (vs. Clear cell)		0.264		0.530		--
Papillary	0.45 (0.06, 3.29)	0.427	0.74 (0.18, 3.10)	0.681	--	--
Other Renal Cell Carcinoma	2.08 (0.73, 5.92)	0.171	1.71 (0.61, 4.81)	0.311	5.22 (0.54, 50.21)	0.153
Maximum Diameter (per 10 mm increase)	1.18 (1.12, 1.25)	< 0.001	1.18 (1.11, 1.25)	< 0.001	1.31 (1.21, 1.42)	< 0.001
Maximum Diameter ≥ 40 mm	1.63 (0.91, 2.91)	0.103	1.55 (0.92, 2.62)	0.103	5.40 (1.18, 24.64)	0.030
Diagnosis to SABR (per 3 month increase)	0.99 (0.97, 1.01)	0.354	0.98 (0.96, 1.01)	0.120	0.96 (0.89, 1.03)	0.266
Total Dose (per 5 Gy increase)	1.06 (0.95, 1.18)	0.279	1.10 (1.01, 1.21)	0.038	1.08 (0.88, 1.33)	0.459
Number of Fractions (per 1 unit increase)	1.08 (0.98, 1.20)	0.135	1.12 (1.03, 1.22)	0.011	1.27 (1.08, 1.49)	0.005
> 1 Fraction	1.68 (0.94, 3.00)	0.079	2.03 (1.19, 3.47)	0.009	6.14 (1.35, 28.06)	0.019
Fraction Dose (per 1 Gy increase)	0.97 (0.93, 1.00)	0.059	0.96 (0.93, 0.99)	0.006	0.86 (0.78, 0.95)	0.003
BED ₁₀ (per 5 Gy increase)	0.98 (0.92, 1.06)	0.622	0.99 (0.93, 1.06)	0.756	0.82 (0.72, 0.94)	0.005
Serum Creatinine Pre-SABR (per 10 µmol/L increase)	1.01 (0.98, 1.04)	0.620	1.01 (0.99, 1.04)	0.296	0.91 (0.78, 1.06)	0.234
eGFR Pre-SABR (per 10 mL/min increase)	0.90 (0.79, 1.02)	0.105	0.89 (0.79, 1.00)	0.056	0.97 (0.75, 1.25)	0.795
Multivariable:						
Maximum Diameter (per 10 mm increase)	1.18 (1.12, 1.25)	< 0.001	1.16 (1.10, 1.23)	< 0.001	1.28 (1.19, 1.39)	< 0.001
Number of Fractions (per 1 unit increase)	--	--	1.13 (1.02, 1.24)	0.017	1.33 (1.07, 1.66)	0.011

HR – Hazard Ratio, **CI** – Confidence interval; **ECOG** – Eastern Co-operative Group; **SABR** – Stereotactic ablative radiotherapy; **eGFR** – Estimated glomerular filtration rate; **BED₁₀** – Biological equivalent dose ($\alpha/\beta=10$); P-values < 0.05 shown in **BOLD**;

FIGURE LEGENDS

465 **Figure 1: Kaplan-Meier plots stratified by number of fractions for (a) overall**
survival (b) progression-free survival, (c) local control, (d) distant control, and
(e) cancer-specific survival.

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Figure 2(a-d). Scatterplots and waterfall plots showing change in (a-b) serum
creatinine and (c-d) estimated glomerular filtration rate pre-SABR vs. post-
471 SABR by number of fractions (1 vs. >1) for waterfall plots only. *P-values*
reported from paired T-test.

SABR – stereotactic ablative radiotherapy; eGFR – estimated glomerular
474 filtration rate

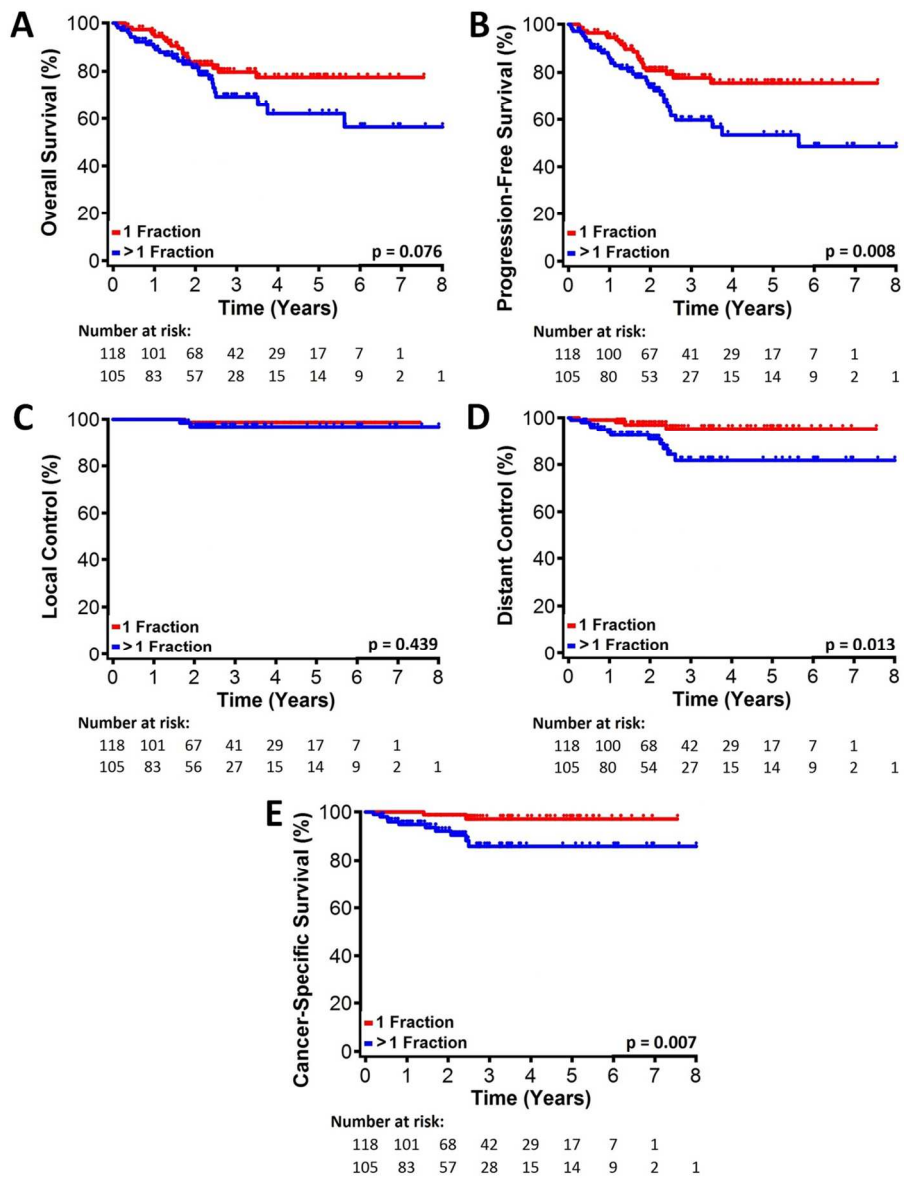


Figure 1: Kaplan-Meier plots stratified by number of fractions for (a) overall survival (b) progression-free survival, (c) local control, (d) distant control, and (e) cancer-specific survival.

183x239mm (300 x 300 DPI)

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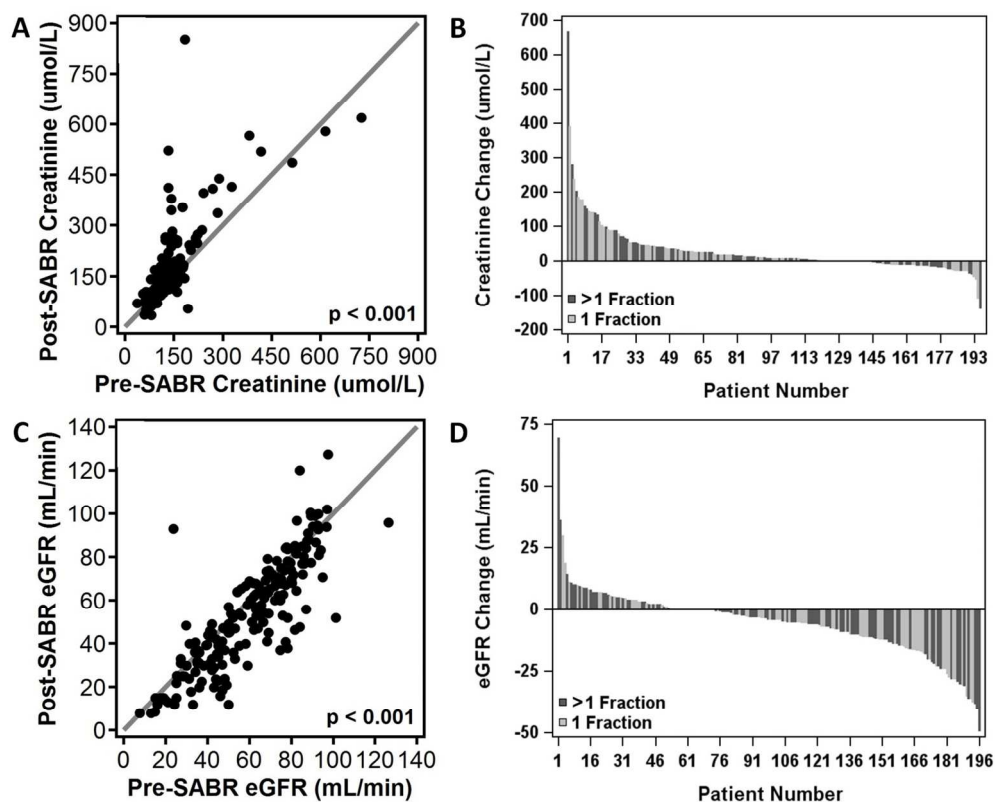


Figure 2(a-d). Scatterplots and waterfall plots showing change in (a-b) serum creatinine and (c-d) estimated glomerular filtration rate pre-SABR vs. post-SABR by number of fractions (1 vs. >1) for waterfall plots only. P-values reported from paired T-test.

SABR – stereotactic ablative radiotherapy; eGFR – estimated glomerular filtration rate.

230x186mm (300 x 300 DPI)

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Supplementary Table 1: Radiotherapy treatment techniques employed at participating IROCK institutions and reported at the institutional level (n = 9).

Treatment Parameter	Value – N (%) or otherwise indicated
Radiotherapy Delivery	
Radiotherapy delivery unit [†] :	
C-arm based linear accelerator	6 (67)
CyberKnife	4 (44)
Helical tomotherapy	2 (22)
Radiotherapy delivery technique [†] :	
VMAT	5 (56)
CyberKnife	4 (44)
Fixed-field IMRT	3 (33)
3D-CRT	2 (22)
Tomotherapy	2 (22)
Image-guidance prior to treatment delivery [†] :	
Cone-beam CT	4 (44)
Stereoscopic x-ray with fiducials	2 (22)
Synchrony vest	2 (22)
Megavoltage CT	2 (22)
CT-on-rails	1 (11)
Simulation	
CT slice thickness (mm) – <i>Median (Range)</i>	1 (1 – 3)
CT simulation fused with diagnostic scan [†] :	
Diagnostic-quality CT scan (\pm contrast)	7 (78)
MRI	6 (67)
PET/CT	2 (22)
Split-function renal scan	2 (22)
Immobilization and organ motion control techniques [†] :	
4D-CT	5 (56)
Robotic tracking with fiducials	4 (44)
Vacuum cushion	4 (44)
BodyFIX	2 (22)
Abdominal compression	1 (11)
Respiratory gating with fiducials	1 (11)
None	1 (11)
Planning	
Treatment volumes delineated [†] :	
PTV expansion (for set-up and positioning)	8 (89)
ITV (integrating all respiratory motion)	6 (67)
GTV	4 (44)
CTV expansion (for microscopic disease)	2 (22)
PTV trimmed to avoid organ-at-risk overlap	6 (67)
Dosimetric objectives utilized [†] :	
PTV – $D \geq 95$	7 (78)
GTV – $D \geq 90$	3 (33)
ITV – $D \geq 98$	3 (33)
Photon energies (MV) - <i>Range</i>	6-23
Dose-limiting organs-at-risk [†] :	
Small bowel	7 (78)
Spinal Cord	3 (33)
Contralateral kidney	3 (33)
Stomach	3 (33)
Ipsilateral kidney	2 (22)

Liver	2 (22)
Chest wall	2 (22)
Large bowel	1 (11)
Large vessels	1 (11)
Heart	1 (11)

[†]Categories not mutually-exclusive.

IMRT – intensity-modulated radiation therapy; VMAT – volumetric arc therapy; CT – computed tomography; PET – positron emission tomography; MRI – magnetic resonance imaging; GTV – gross tumour volume; CTV – clinical target volume; ITV – internal target volume; PTV - planning target volume; D ≥ 90/95/98 – the respective volume must receive ≥ 90, 95, or 98% of the prescription dose.

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