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The skin barrier function gene *SPINK5* is associated with challenge proven IgE-mediated food allergy in infants

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†On behalf of the Barwon Infant Study, the Melbourne Atopy Cohort study, the Peanut Allergen Threshold Study and the Probiotics and Peanut Oral ImmunoTherapy study.

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### 39 **Abbreviations**

40 SNP: Single nucleotide polymorphism

41 OFC: Oral food challenge

42 SPT: Skin prick test

43 *SPINK5*: Serine peptidase inhibitor Kazal type 5

44 LEKTI: lymphoepithelial Kazal-type-related inhibitor

45 AIMS: Ancestry informative markers

46 TEWL: Trans-epidermal water loss

47

48 **Word count: 3,640**

49

50 **Background:** A defective skin barrier is hypothesised to be an important route of  
51 sensitisation to dietary antigens, and may lead to food allergy in some children. Missense  
52 mutations in the *Serine peptidase inhibitor kazal type 5 (SPINK5)* skin barrier gene have  
53 previously been associated with allergic conditions.

54 **Objective:** To determine whether genetic variants in and around *SPINK5* are associated with  
55 IgE mediated food allergy.

56 **Method:** We genotyped 71 ‘tag’ single nucleotide polymorphisms (tag-SNPs) within a  
57 region spanning ~263 kilobases (kb) including *SPINK5* (~61kb) in n=722 (n=367 food  
58 allergic, n=199 food sensitised, tolerant and n=156 non-food allergic controls) 12-month  
59 infants (discovery sample) phenotyped for food allergy with the gold standard oral food  
60 challenge (OFC). Transepidermal water loss (TEWL) measures were collected at 12-months  
61 from a subset (n=150) of these individuals. SNPs were tested for association with food  
62 allergy using the Cochran-Mantel-Haenszel test adjusting for ancestry strata. Associations  
63 analyses were replicated in an independent sample group derived from four paediatric  
64 cohorts, total n=533 (n=203 food allergic, n=330 non-food allergic), mean age 2.5 years, with  
65 food allergy defined by either clinical history of reactivity, 95% positive predictive value  
66 (PPV) or challenge, corrected for ancestry by principal components.

67 **Results:** *SPINK5* variant rs9325071 (A→G) was associated with challenge proven food  
68 allergy in the discovery sample (P=0.001 | OR=2.95 | CI=1.49-5.83). This association was  
69 further supported by replication (P=0.007 | OR=1.58 | CI=1.13-2.20) and by meta-analysis  
70 (P=0.0004 | OR=1.65). Variant rs9325071 is associated with decreased *SPINK5* gene  
71 expression in the skin in publicly available genotype-tissue expression data, and we generated  
72 preliminary evidence for association of this SNP with elevated TEWL also.

73 **Conclusions:** We report, for the first time, association between *SPINK5* variant rs9325071  
74 and challenge-proven IgE-mediated food allergy.

75 **Key words:** *Food allergy, LEKTI, skin barrier, skin barrier function, SPINK5*

76

## 77 **Introduction**

78 Cutaneous exposure to foods via a defective skin barrier is hypothesised as a route of  
79 sensitisation to foods. Infants with early onset eczema are significantly more likely to develop  
80 food allergies (Martin *et al.*, 2015) and in this context it has been proposed that disrupted skin  
81 barrier function in early life may facilitate sensitisation to foods due to passage of food  
82 antigens across the impaired epicutaneous barrier. According to the “Dual Allergen  
83 Hypothesis” the timing and balance of cutaneous exposure relative to oral exposure can result  
84 in food allergy (Allen & Koplin, 2016; Lack, 2008). Mutations in skin barrier integrity genes

85 are therefore potential risk factors for the development childhood food allergy. In support of  
86 this, loss of function mutations in the epidermal gene encoding *filaggrin (FLG)* have been  
87 associated with risk of peanut sensitisation (Tan *et al.*, 2012) and peanut allergy (Brough *et*  
88 *al.*, 2014; Venkataraman *et al.*, 2014; Brown *et al.*, 2011). Yet the role of other skin barrier  
89 genes in the development of food allergy is underexplored.

90 Another gene of relevance to skin barrier integrity is *SPINK5*. *SPINK5* encodes the protein  
91 product lympho-epithelial Kazal-type-related inhibitor (LEKTI), a serine protease inhibitor.  
92 LEKTI is involved in the regulation of the desquamation process, i.e. the shedding of the  
93 outermost layer of the epidermis, by inhibiting serine proteases kallikrein (KLK) 5, KLK7 &  
94 KLK14 (Deraison *et al.*, 2007). Mutations in *SPINK5* are associated with the rare recessive  
95 skin condition Netherton Syndrome (NS) (Chavanas *et al.*, 2000), a condition frequently co-  
96 associated with atopic manifestations, including food allergy (Walley *et al.*, 2001). Single  
97 nucleotide polymorphisms (SNPs) at *SPINK5* have now been identified as associated with  
98 risk of asthma (Walley *et al.*, 2001; Kabesch *et al.*, 2004) and eczema (Walley *et al.*, 2001;  
99 Nishio *et al.*, 2003; Kato *et al.*, 2003; Kusunoki *et al.*, 2005; Weidinger *et al.*, 2008; Zhao *et*  
100 *al.*, 2012). However, the results have not always been consistent (Hubiche *et al.*, 2007;  
101 Kabesch *et al.*, 2004; Jongepier *et al.*, 2005; Fölster-Holst *et al.*, 2005). To date no studies  
102 have directly examined the association of *SPINK5* variants with challenge-proven food  
103 allergy, despite clear association of this gene with skin barrier integrity and atopic  
104 manifestations. A study of 115 Japanese children with atopic dermatitis (AD) reported that a  
105 *SPINK5* SNP, rs2303067 [1258: G→A(Glu420Lys)], associated with severity of AD was  
106 also a risk factor for food allergy (Kusunoki *et al.*, 2005), although this study was not able to  
107 assess the role of *SPINK5* in food allergy risk independent of AD status. Here we sought to  
108 specifically investigate the relationship between *SPINK5* SNPs and food allergy in a cohort  
109 of one-year-old infants with challenge-proven clinical outcomes. With replication in an  
110 independent sample, our data seeks to investigate whether *SPINK5* variants are genetic risk  
111 factors for food allergy.

## 112 **Methods**

### 113 **Study populations**

114 For the discovery analysis, DNA samples were obtained from the HealthNuts (HN) cohort, a  
115 longitudinal, population-based study of food allergy based in Melbourne, Australia (Osborne  
116 *et al.*, 2011). Briefly, recruitment took place between 2007 and 2011 of 5,276 12 month-old

117 infants presenting for scheduled immunisations at council run clinics. All infants underwent  
118 skin prick testing (SPT) to egg white, peanut, sesame, and 1 or 2 other foods (cow's milk or  
119 shrimp). Those with detectable SPT (1 mm or greater than negative controls) were invited to  
120 the Royal Children's Hospital within 1-2 months to repeat the SPT. On the same day they  
121 underwent an open oral food challenge irrespective of wheal size using pre-determined  
122 objective diagnostic criteria (Koplin *et al.*, 2015), which has been widely published as the gold  
123 standard for infants (Sampson *et al.*, 2012). Approximately 200 individuals SPT negative to  
124 the panel tested were also invited as negative controls and underwent food challenges. Ten  
125 millilitres of blood was collected after food challenges from 836 individuals and DNA was  
126 extracted for genetic studies. Ethical approval for this study was obtained from the Office for  
127 Children Human Research Ethics Committee (HREC) (CDF/07/492), the Department of  
128 Human Services HREC (10/07) and the Royal Children's Hospital (RCH) HREC (27047).

129 Replication was sought in an additional panel of 203 food allergic children and 330 non-  
130 atopic controls drawn from the Peanut Allergen Threshold study (PATs) of peanut allergy  
131 (Zurzolo *et al.*, 2013), the Probiotic and Peanut Oral ImmunoTherapy (PPOIT) study (Tang *et*  
132 *al.*, 2015), Barwon Infant Study (BIS) (Vuillermin *et al.*, 2015) and the Melbourne Atopy  
133 Cohort study (MACs) (Lowe *et al.*, 2016) (see **supplementary figure 1**). Replication sample  
134 ethics approvals for each study were as follows: PATs: HRECAp32166A and  
135 2012P002475; PPOIT: Approved by RCH Human Research and Ethics Committee HREC  
136 27086Q; MACs: initial approval by the Mercy Maternity Hospital Ethics Committee  
137 (R88/06), 18 year follow-up, including collection of DNA, was approved by the Royal  
138 Children's Hospital (HREC 28035); BIS: Barwon Health Human Research Ethics Committee  
139 HREC (10/24).

140 For the discovery analysis, phenotypes of HN cases and controls were defined by food  
141 challenge outcomes as follows: **Food allergy:** detectable SPT wheal  $\geq$ 1 mm) wheal to  
142 peanut, egg white, cow's milk or sesame AND evidence of clinical reactivity by OFC. **Food**  
143 **sensitised-tolerant:** detectable SPT wheal  $\geq$ 1 mm) to peanut, egg white, cow's milk or  
144 sesame but negative OFC. **Non-allergic:** No detectable SPT wheal to peanut, egg white,  
145 cow's milk or sesame and negative OFC.

146 For the replication analysis, case phenotypes were defined through a combination of  
147 challenge proven outcomes where available (BIS cohort), or by evidence of sensitisation  
148 (SPT or sIgE) with clear history of immediate-type clinical reactions within 1-2 hours of

149 exposure (PPOIT and PATs cohorts), or using accepted 95% positive predictive values for  
150 SPT wheal sizes (MACs cohort) (Peters *et al.*, 2013) (**Supplementary table 1**). For all  
151 studies eczema status was ascertained by history of doctor-diagnosed eczema, or nurse  
152 observed eczema at presentation to clinic.

### 153 **Genotyping and Quality Control (QC)**

154 Tag SNPs across the *SPINK5* region were chosen on the basis of linkage disequilibrium  
155 (LD), calculated using HapMap data in Haploview (Barrett *et al.*, 2005). 77 tag SNPs  
156 capturing 387 alleles with LD of  $r^2 \geq 0.8$  (mean=0.97) in and around *SPINK5* within a region  
157 of ~263 kilobases (kb) were selected for genotyping (HapMap Genome Browser Phase 1, 2 &  
158 3, chr5:147,267,000 to 147,530,000) (**Supplementary figure 2**). SNPs were genotyped using  
159 Agena Bioscience iPLEX Gold chemistry and the MassARRAY mass spectrometer system.  
160 Primers were designed using Agena Bioscience online tools with MassArray Design 3.1  
161 software to perform multiplexing of primers. In addition, a panel of 49 Ancestry Informative  
162 Markers (AIMs) were genotyped from the panel described in (Bousman *et al.*, 2013). Non-  
163 conservative genotype calls were visually inspected in TYPER 4.0 (Agena Bioscience) and  
164 samples re-genotyped where a call could not be made. Genotypes were analysed using  
165 PLINK (Purcell *et al.*, 2007). Individuals and SNPs with a genotyping success rate of less  
166 than 95% were removed. Tag SNPs with minor allele frequency (MAF) of  $<0.02$ , or  
167 deviation from the Hardy–Weinberg equilibrium (HWE) ( $p < 0.01$ ) were removed. These  
168 quality control measures resulted in a final cohort of  $n=722$  ( $n=367$  food allergy cases,  $n=199$   
169 food sensitised-tolerant and  $n=156$  non-allergic controls) genotyped for 71 tag SNPs. A  
170 power calculation was conducted in Quanto to assess the power of the study, it predicted  
171 sufficient power (80%) to detect effects sizes over 1.5 at an  $\alpha < 0.05$  for common SNPs.  
172 For detailed QC breakdown see **supplementary figure 1**. For the replication study the top  
173 four SNPs associated with food allergy from the discovery analysis (rs9325072, rs3815741,  
174 rs4705054, rs9325071, **supplementary figure 3A**) above the nominal p-value ( $<0.05$ ) were  
175 selected for replication. After QC in the replication study there were 906 individuals  
176 genotyped for three SNPs (rs9325072, rs4705054, rs9325071, **supplementary figure 3B**).  
177 All individuals in the replication sample were genotyped using the AIM panel and ancestry  
178 was genetically inferred (see **Supplementary figure 4**), described in the supplementary  
179 methods.

### 180 **Trans-epidermal water loss measures**

181 Measures of trans-epidermal water loss (TEWL) were available for a subset of HN  
182 participants with *SPINK5* genotype data (n=150). Participants were given time to acclimatise  
183 to the clinic room (20 minutes), during this time clothing was removed from the testing site.  
184 Atmospheric temperature and humidity were recorded, as well as skin temperature.  
185 Atmospheric temperature was on average 24°C (min: 20.8°C; max: 27°C). TEWL measures  
186 were recorded using the Tewameter® TM300 at the mid-lower back until five consecutive  
187 measures within standard deviation of  $\leq 0.2$  were achieved. Raw TEWL ( $\text{g/m}^2 \text{ h}$ ) readings  
188 were positively skewed, and therefore log transformed prior to analysis.

### 189 **Data analysis**

190 Ancestry strata were ascertained indirectly by parental country of birth in the HealthNuts  
191 study and samples were classified as Caucasian (both parents born in Australia, Europe, UK,  
192 Northern America or New Zealand, n=503), Asian (both parents born in South East Asia,  
193 n=74) or mixed Asian-Caucasian (n=145). We used genome-wide SNP data, available on a  
194 subset (n=344) of the discovery sample, and identity-by-state clustering analysis against the  
195 human reference populations to establish the accuracy of our predicted ancestry strata to  
196 assess the accuracy of our parent country of birth as proxy measure of ancestry  
197 (**Supplementary figure 5**). The strata we defined based on parental country of birth were  
198 highly correlated with genetically determined ancestry (93.7% correlation for “Caucasians”  
199 and 93.0% correlation for “Asians”) (**Supplementary figure 5**). All analyses of the discovery  
200 study were modelled using Cochran-Mantel-Haenszel tests adjusting for sex and ancestry  
201 strata in PLINK, and the genomic inflation factor was 1.10, indicating only modest inflation  
202 (Yang *et al.*, 2011).

203 The primary analysis of the discovery cohort tested the association between *SPINK5* SNPs  
204 and food allergic cases and non-food allergic controls. A secondary analysis was conducted  
205 in the discovery cohort to tease apart the relationship between the variants and clinically  
206 reactive (challenge proven food allergy) or asymptomatic (sensitised-tolerant) food allergy  
207 using the same analysis model. An additional secondary analysis was conducted to measure  
208 allele frequencies between food allergic cases and food sensitised-tolerant cases, to test  
209 whether there was evidence for a stronger association amongst the clinically distinct  
210 outcomes. Further, to test whether the observed genetic associations for food allergy were  
211 driven by co-morbid eczema, an additional analysis for an association with challenge proven  
212 food allergy was conducted excluding infants with eczema.

213 In the replication phase principal components (PC) from the AIMs data were used to adjust  
214 for heterogeneity arising from population structure. Genetically inferred ancestry determined  
215 657 individuals to be of European descent, 217 of mixed European-Asian descent and 32 of  
216 Asian descent. Logistic regression, adjusted for PCs, sex and study in PLINK was used to test  
217 for differences in minor allele frequencies between food allergic and non-food allergic  
218 individuals of the replication sample. Finally, due to differences in phenotype criteria used  
219 amongst these studies, a sensitivity analysis was conducted restricting to phenotype and age  
220 matched cases and controls (the Barwon Infant Study). BIS utilised oral food challenge to  
221 define case-control status at 12-months of age, consistent with measures used in the discovery  
222 analysis. SimpleM (Gao *et al.*, 2008), a method of correction for multiple-testing of  
223 correlated SNPs was used to define multiple-testing adjusted p-value thresholds for the  
224 discovery and replication. SimpleM is a PC analysis approach which captures the correlation  
225 of SNPs in the dataset and calculates the number of independent tests  $M_{eff}$ . Inferred  $M_{eff}$  in  
226 the discovery was 47, the derived significance threshold was therefore calculated with the  
227 formula  $0.05/M_{eff}$ . This formula gave the corrected significance threshold of 0.001. For  
228 replication the calculated  $M_{eff}$  was 7, giving a derived significance threshold of 0.007.

229 Meta-analysis of the discovery and replication panels was performed using the PLINK meta-  
230 analysis function for food allergy (n=570) vs non-allergic controls (n=486) using the  
231 association files from the discovery Cochran-Mantel-Haenszel test and the replication logistic  
232 regression adjusted for ancestry principal components.

233 For the TEWL analysis associations between  $\log(\text{TEWL g/m}^2 \text{ h})$  and each variant were  
234 analysed using linear regression to assess whether there was a difference in measurable skin  
235 barrier functionality in those with the risk variant (n=150). TEWL recordings were analysed  
236 by genotype of SNPs associated with food allergy.

## 237 **Results**

### 238 **Characteristics of the study participants**

239 Overall 722 infants, 367 food-allergic, 199 food sensitised-tolerant and 156 non-allergic  
240 controls were included in the discovery analysis (**Table 1**). The proportion of clinically  
241 allergic children in this population was 50% whilst the rate of food sensitisation was 78%.  
242 Egg allergy was the most common type of food allergy at 89.3%, followed by peanut 32.2%  
243 and sesame 6.7%. Amongst the food allergic group, 56.4% had concurrent atopic eczema,

244 which was higher when compared with the food sensitised group (37.7%) or non-allergic  
245 controls (25.0%).

### 246 ***SPINK5* variants associated with food allergy**

247 When comparing challenge-proven food allergy cases with non-allergic controls in the  
248 individuals of the discovery study we identified a haplotype block of correlated SNPs  
249 (**Supplementary figure 3**) associated with food allergy (nominal  $P < 0.05$ ) (rs9325072 C→T:  
250  $P = 0.001$  | OR=2.95 | CI=1.49-5.83; rs3815741 A→G:  $P = 0.002$  | OR=2.76 | CI=1.44-5.31;  
251 rs4705054 A→T:  $P = 0.01$  | OR=1.94 | CI=1.17-3.24; rs9325071 A→G:  $P = 0.02$  | OR=1.83 |  
252 CI=1.11-3.03) (**Table 2a**). One variant rs9325072 reached the derived multiple-testing  
253 adjusted significance threshold of 0.001. To test whether these genetic associations were  
254 being driven by co-morbid eczema the analysis was then repeated in infants without eczema  
255 (leaving  $n = 174$  food allergic cases and  $n = 90$  non-food allergic controls). The top associations  
256 remained consistent, illustrating that the association is with food allergy and not eczema and  
257 that eczema is not involved in the mechanism for this association (**Supplementary table 2**).

258 A secondary analysis of food sensitised-tolerant (i.e asymptomatic food allergy) compared to  
259 non-food allergic controls was conducted. One SNP associated with challenge-proven food  
260 allergy showed a significant (nominal  $P < 0.05$ ) association with the food sensitised, tolerant  
261 phenotype (rs9325072  $P = 0.04$  | OR=2.11 | CI=1.01-4.41) (**Table 2b**). A comparison of the  
262 allele frequencies between symptomatic food allergic individuals and asymptomatic food  
263 sensitised individuals for the top four SNPs from the primary analysis did not support a  
264 significant difference between these two groups (**Table 2c**).

### 265 **Replication of candidate risk variants**

266 Comparing food allergic cases to non-food allergic controls in the replication sample, only  
267 one association (rs9325071, fourth most significant association in the discovery sample)  
268 remained consistent with the discovery ( $P = 0.007$  | OR=1.58 | CI=1.13-2.20) (**Table 3a**) and  
269 reached the derived multiple-testing corrected significance threshold. Again this association  
270 remained after infants with eczema were removed to ascertain if the association was driven  
271 by comorbid eczema. In the sensitivity analysis restricted to only infants with oral food  
272 challenge outcomes, the direction of effect was similar but 95% CIs included 1 (rs9325071:  
273 OR=1.40, CI=0.66-2.93).

274 In a meta-analysis of data from discovery and replication (n=1056), 570 food allergy cases  
275 and 486 non-allergic controls, an association with variant rs9325071 (P=0.0004 | OR=1.65 |  
276 Q=0.62 | I=0.00) was further supported (see **Table 3b**). There was strong evidence against a  
277 null hypothesis of homogeneity across studies (the discovery and the replication samples) of  
278 the magnitude of the association (effect size), as detected by Cochrane's Q statistic, between  
279 variant rs9325072 and rs4705054 and the risk of food allergy (see **Table 3b**).

#### 280 **Evidence of skin barrier impairment via trans-epidermal water loss measurement**

281 The analysis of transepidermal water loss (TEWL) provided some preliminary evidence that  
282 there may be a recessive effect on skin barrier permeability by genotype for food allergy  
283 associated SNPs (**Supplementary table 3**). However, the number of individuals with both  
284 minor allele genotypes and TEWL data in this analysis was underpowered to conclude  
285 anything more than a suggestive result that warrants further exploration.

#### 286 **Expression Qualitative Trait Loci (eQTL)**

287 To further explore function of the replicated food allergy associated variant, publicly  
288 available gene expression data by tissue type was accessed from the GTEx Project database.  
289 Replicated variant rs9325071 was significantly associated with decreased *SPINK5* expression  
290 in the skin (P=8.9x10<sup>6</sup>) in the GTEx database, suggesting the variant is a functional eQTL.

#### 291 **Discussion**

292 In this study we report a novel genetic association between *SPINK5* variants and IgE-  
293 mediated food allergy. This is the first time these variants have been examined in the context  
294 of challenge-proven food allergy, the genetic mechanisms of which are still largely unknown.  
295 This study adds to a growing list of candidate gene associations and provides the foundation  
296 for extensive testing in other populations.

297 We focused on *SPINK5* with a prior expectation that this gene may harbour variants  
298 associated with food allergy due its critical role in skin barrier integrity and its co-association  
299 with atopic food allergy in patients with Netherton syndrome. The integrity of the skin barrier  
300 is increasingly recognised as a critical protective factor against IgE-mediated food allergy.

301 Using a tag-SNP approach provided broad genotype coverage of common variants in *SPINK5*  
302 and revealed SNPs in a haplotype block to be associated with clinical food allergy. The high

303 level of correlation between these variants suggests they are frequently co-inherited and  
304 future fine mapping studies are now needed to resolve the specific causal variants.

305 Within this haplotype block, SNP rs9325071 was shown to be associated with food allergy in  
306 both the discovery and replication sample. Analysis of publicly available gene expression  
307 data revealed rs9325071 G allele carriage to be associated with decreased *SPINK5* expression  
308 in the skin, suggesting a plausible functional role for this variant in skin barrier integrity.  
309 Additionally, individuals in this study who were homozygous for the rs9325071 minor allele  
310 exhibited higher levels of TEWL. While these data lend further support for a functional  
311 impact of rs9325071 on skin barrier integrity, our sample size was small and thus the findings  
312 need to be considered cautiously. We can reasonably conclude that the overall trend may  
313 suggest a potential functional role for this SNP by way of reduced LEKTI expression (the  
314 product of *SPINK5*) and increased skin permeability. Skin barrier impairment may allow for  
315 increased allergen absorption across the skin and when this precedes oral allergen ingestion,  
316 which is largely tolerogenic (Du Toit *et al.*, 2015), food sensitisation may develop (Brough *et*  
317 *al.*, 2015). A similar pathway has been proposed for household peanut exposure in infants  
318 with *FLG* LOF mutations (Brough *et al.*, 2014). This is further supported by observations in a  
319 murine model of skin barrier impairment induced by tape stripping, stimulating thymic  
320 stromal lymphopoietin (TSLP) production (Leyva-Castillo *et al.*, 2013). These mice  
321 subsequently became sensitised to the locally applied allergen, with detectable systemic  
322 allergen specific immune responses (Leyva-Castillo *et al.*, 2013). An alternative mechanism  
323 of action might occur via impaired LEKTI signalling and TSLP expression. LEKTI-deficient  
324 keratinocytes produce uninhibited KLK5, perpetuating pro-allergic Th2 signalling, including  
325 elevated TSLP expression (Briot *et al.*, 2010). LEKTI expression in the thymus has also been  
326 hypothesised to influence T cell differentiation and result in bias towards pro-allergic  
327 immune responses (Chavanas *et al.*, 2000). LEKTI may also have an additional role in the  
328 mucosal epithelia where it has been implicated in immunological activity and inflammatory  
329 responses in the mucosal epithelia. Skin barrier impairment, induced Th2 signalling as a  
330 consequence of LEKTI deficiency, and/or disruption of LEKTI in the mucosal epithelia  
331 represent biologically plausible pathways for future functional studies to investigate the role  
332 of *SPINK5* polymorphisms in food allergy. Knowledge of food allergy associated skin barrier  
333 SNPs, in this case rs9325071, may be useful for identifying patients in whom repairing the  
334 skin barrier, or providing protection through the use of emollients, would constitute a  
335 treatment priority to either prevent or help manage food allergy.

336 A strength of this study was our ability to explore whether *SPINK5* variants were associated  
337 with the risk for developing food sensitisation, or clinical food allergy, due to the availability  
338 of challenge-proven phenotypic groups in the discovery sample. The replicated food allergy  
339 associated SNP, rs9325071, was associated with clinical food allergy (P=0.02 | OR=1.83 |  
340 CI=1.11-3.03) in the discovery analysis but was not associated with asymptomatic food  
341 sensitisation (P=0.26 | OR=1.38 | CI=0.79-2.41), suggesting it may predispose to a more  
342 severe clinical phenotype. However, the sample size available for the sensitised group was  
343 smaller than that for the allergic group, and this may have limited our power to detect  
344 association with sensitisation. Larger studies would be required to confirm this finding.

345 An important finding from this study was that *SPINK5* variation increases the risk of clinical  
346 food allergy independently of eczema. This was an important mechanism to consider given  
347 food allergy and eczema are frequent co-morbidities, and previous studies have reported  
348 *SPINK5* variants associated with maternal transmission of atopic dermatitis (Walley *et al.*,  
349 2001). It is likely that *SPINK5* polymorphisms are a risk factor for both food allergy and  
350 atopic eczema.

351 A limitation of the study is the sample size of the discovery cohort which did not allow for  
352 robust associations after multiple testing correction. Thus we relied heavily on a replication  
353 sample, which showed the strongest associations in the discovery cohort to be false positives.  
354 Further strengths of this study include the highly clinically relevant case definitions, the  
355 replication in an independent population, and the inclusion of functional data. A limitation of  
356 this study was the potential heterogeneity between the discovery and the replication samples  
357 in terms of age and phenotype definitions. To address this we provided a supportive  
358 sensitivity analysis in infants with outcomes defined only by oral food challenge, to remain  
359 consistent with the discovery study. The effect size estimates resulting from this replication  
360 sub-analysis were consistent with the effect size estimates for the overall replication sample,  
361 providing evidence that heterogeneity arising from differences in outcome definitions was not  
362 introducing confounding. Another limitation was the use of parental country of birth as a  
363 proxy measure of ancestry rather than genetically determined ancestry in the discovery  
364 population. To address this parental country of birth was compared to available genome-wide  
365 SNP data on a subset of the discovery sample, providing evidence that parental country of  
366 birth clustered well with genetically inferred ancestry. A final potential limitation is that oral  
367 food challenges were not double-blinded placebo controlled. However, as published in the

368 PRACTALL guidelines, at 12-months of age infants are unlikely to have false-positive  
369 results due to subjective symptoms (Sampson *et al.*, 2012).

370 In conclusion, with replication in two populations we present cogent evidence that *SPINK5*  
371 variants are associated with clinical food allergy in children.

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1 **Figures & tables**

2 **Table 1.** Clinical characteristics of 722 infants included in the discovery cohort.

	Non-allergic controls (NA)	Food sensitised, tolerant cases	Food Allergy cases (FA)
<b>Infant demographics</b>			
Age in months at recruitment (mean, SD)	12.6 (0.65)	12.6 (0.68)	12.7(0.75)
Gender (% male)	47.4%	54.3%	58.9%
Reported ethnicity			
Asian	3.3%	17%	14%
European	86.8%	66%	61.5%
Mixed European/Asian	9.9%	24.1%	24.4%
<b>Infant clinical characteristics</b>			
History of eczema	25.0%	37.7%	56.4%
Nurse observed	10.9%	19.1%	29.2%
History of doctor diagnosis	14.1%	18.6%	27.2%
TEWL n=38		n=38	n=66
Average TEWL (g/m <sup>2</sup> h)	16.9 (16.1)	16.7 (11.5)	16.8 (16.3)
<b>Food sensitisation</b>			
Egg sensitisation	0%	29.6%	93.7%
Sesame sensitisation	0%	5.5%	9.0%
Peanut sensitisation	0%	30.2%	49.9%
<b>Food allergy</b>			
Egg allergy	0%	0%	89.3%
Sesame allergy	0%	0%	6.7%
Peanut allergy	0%	0%	32.2%
<b>Family characteristics</b>			
Any siblings	54.5%	48.7%	44.3%
Asthma			
Maternal asthma	19.9%	21.1%	22.9%
Paternal asthma	18.6%	15.6%	19.1%
Sibling asthma	10.9%	9.6%	10.1%
Hay fever			
Maternal hay fever	22.4%	15.1%	24.0%
Paternal hay fever	35.3%	28.1%	37.9%
Sibling hay fever	5.8%	3.5%	6.5%
Eczema			
Maternal eczema	22.4%	14.1%	22.1%
Paternal eczema	14.7%	10.1%	12.3%

Sibling eczema	22.4%	16.6%	17.2%
Food allergy			
Maternal food allergy	12.2%	6.0%	6.3%
Paternal food allergy	11.5%	4.5%	4.4%
Sibling food allergy	10.9%	5.5%	6.8%

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5 **Table 2. A.** Associations between SPINK5 SNPs and food allergy corrected for ancestry strata with Cochran-Mantel-Haenszel 2x2xK test and adjusted for  
6 gender. **B.** Associations between SPINK5 SNPs and food sensitised-tolerant phenotype. **C.** Analysis of food allergic cases vs food sensitisation

SNP	A. Food allergy vs non-food allergic						B. Food sensitised, tolerant vs non-food allergic				C. Food allergic vs food sensitised, tolerant			
	A1	A2	P	OR	L95	U95	P	OR	L95	U95	P	OR	L95	U95
<i>rs9325072</i>	T	C	0.001	2.95	1.49	5.83	0.04	2.11	1.01	4.41	0.14	1.38	0.90	2.10
<i>rs3815741</i>	G	A	0.002	2.76	1.44	5.31	0.07	1.92	0.94	3.92	0.09	1.43	0.94	2.18
<i>rs4705054</i>	T	A	0.01	1.94	1.17	3.24	0.34	1.33	0.75	2.35	0.06	1.43	0.98	2.09
<i>rs9325071</i>	G	A	0.02	1.83	1.11	3.03	0.26	1.38	0.79	2.41	0.15	1.31	0.90	1.90
<i>rs4371740</i>	T	A	0.06	1.39	0.99	1.97	0.32	1.22	0.83	1.78	0.36	1.14	0.86	1.51
<i>rs17704764</i>	G	A	0.07	0.69	0.47	1.03	0.51	0.87	0.57	1.33	0.30	0.83	0.58	1.18
<i>rs17641748</i>	A	G	0.05	1.76	0.99	3.12	0.78	1.10	0.57	2.12	0.10	1.46	0.93	2.27
<i>rs6580526</i>	T	C	0.05	0.72	0.52	1.00	0.39	0.85	0.59	1.23	0.23	0.84	0.64	1.11
<i>rs1120680</i>	G	A	0.05	2.07	0.97	4.43	0.18	1.73	0.76	3.93	0.55	1.17	0.71	1.93
<i>rs6580514</i>	G	A	0.11	0.77	0.55	1.07	0.03	0.66	0.46	0.96	0.39	1.14	0.85	1.52
<i>rs7700964</i>	T	C	0.12	0.76	0.54	1.07	0.23	0.79	0.54	1.16	0.81	0.96	0.72	1.29
<i>rs9325057</i>	A	G	0.09	0.73	0.50	1.05	0.26	0.79	0.52	1.19	0.62	0.92	0.67	1.28
<i>rs1860933</i>	A	T	0.12	1.31	0.94	1.83	0.48	1.14	0.79	1.66	0.32	1.15	0.87	1.52

<i>rs10068913</i>	C	T	0.10	1.31	0.95	1.82	0.32	1.20	0.84	1.73	0.44	1.11	0.85	1.46
<i>rs10463393</i>	C	T	0.13	0.78	0.56	1.08	0.29	0.82	0.57	1.19	0.68	0.94	0.71	1.25
<i>rs4705047</i>	T	G	0.20	0.76	0.50	1.16	0.51	0.86	0.54	1.35	0.50	0.88	0.61	1.27
<i>rs12658421</i>	A	G	0.17	0.79	0.56	1.11	0.25	0.80	0.55	1.17	0.92	0.99	0.74	1.32
<i>rs7701442</i>	T	G	0.20	0.65	0.34	1.26	0.49	0.78	0.38	1.60	0.65	0.87	0.47	1.61
<i>rs13160662</i>	A	G	0.19	0.80	0.58	1.12	0.51	1.13	0.79	1.63	0.03	0.74	0.56	0.98
<i>rs7719581</i>	C	T	0.31	1.24	0.82	1.87	0.91	0.97	0.61	1.55	0.29	1.21	0.86	1.70
<i>rs2303067</i>	A	G	0.22	1.23	0.88	1.70	0.73	0.94	0.65	1.35	0.11	1.25	0.95	1.65
<i>rs6884703</i>	C	T	0.26	1.26	0.84	1.90	0.79	0.94	0.59	1.49	0.16	1.27	0.91	1.78
<i>rs7704889</i>	A	G	0.30	1.21	0.85	1.73	0.17	1.32	0.89	1.95	0.50	0.91	0.68	1.21
<i>rs11745768</i>	A	G	0.24	0.71	0.40	1.26	0.18	0.65	0.34	1.24	0.83	1.06	0.61	1.85
<i>rs1153090</i>	A	G	0.28	1.20	0.86	1.68	0.86	1.04	0.71	1.50	0.23	1.19	0.90	1.57
<i>rs10491343</i>	T	A	0.32	1.38	0.73	2.62	0.42	1.34	0.66	2.70	0.99	1.00	0.61	1.64
<i>rs1345689</i>	G	A	0.40	0.85	0.59	1.23	0.29	0.80	0.53	1.21	0.72	1.06	0.77	1.46
<i>rs1432975</i>	T	A	0.41	1.18	0.79	1.76	0.43	1.19	0.77	1.85	0.89	0.98	0.71	1.34
<i>rs7715716</i>	G	A	0.61	1.14	0.70	1.86	0.91	0.97	0.56	1.69	0.71	1.08	0.72	1.62
<i>rs17599675</i>	C	T	0.48	0.87	0.60	1.27	0.73	0.93	0.61	1.41	0.90	0.98	0.71	1.35
<i>rs6894548</i>	A	C	0.49	1.13	0.80	1.59	0.98	1.00	0.68	1.45	0.37	1.14	0.86	1.51

<i>rs17538716</i>	A	G	0.55	1.15	0.73	1.83	0.09	1.53	0.93	2.50	0.11	0.75	0.52	1.07
<i>rs1422589</i>	G	A	0.57	0.91	0.66	1.26	0.26	0.81	0.57	1.17	0.37	1.13	0.86	1.50
<i>rs1862330</i>	T	C	0.53	1.12	0.79	1.60	0.29	1.24	0.84	1.83	0.47	0.90	0.67	1.20
<i>rs9325061</i>	G	A	0.49	0.89	0.63	1.25	0.12	0.74	0.51	1.08	0.33	1.16	0.86	1.55
<i>rs1154729</i>	T	C	0.48	1.15	0.79	1.67	0.05	1.51	1.00	2.27	0.07	0.76	0.56	1.02
<i>rs2287773</i>	T	C	0.37	1.61	0.55	4.69	0.95	0.96	0.29	3.25	0.24	1.67	0.70	3.95
<i>rs17704205</i>	T	G	0.88	1.04	0.60	1.82	0.82	0.93	0.50	1.74	0.64	1.12	0.69	1.82
<i>rs17775739</i>	T	C	0.45	0.75	0.36	1.57	0.41	0.70	0.30	1.62	0.82	1.08	0.56	2.07
<i>rs7443321</i>	T	C	0.45	0.75	0.36	1.57	0.41	0.70	0.30	1.62	0.82	1.08	0.56	2.07
<i>rs4362936</i>	T	C	0.64	1.12	0.69	1.84	0.76	0.92	0.52	1.60	0.25	1.27	0.84	1.93
<i>rs7709676</i>	T	G	0.50	1.16	0.76	1.79	0.06	1.57	0.99	2.48	0.07	0.74	0.53	1.03
<i>rs17107473</i>	A	T	0.80	1.09	0.57	2.07	0.20	0.60	0.27	1.33	0.15	1.58	0.85	2.94
<i>rs7721995</i>	T	C	0.71	1.07	0.75	1.52	0.28	1.24	0.84	1.82	0.28	0.85	0.64	1.14
<i>rs1422587</i>	A	G	0.62	0.92	0.65	1.29	0.56	0.89	0.61	1.31	0.81	1.04	0.77	1.40
<i>rs17718041</i>	T	C	0.81	0.92	0.45	1.88	0.16	0.52	0.21	1.30	0.10	1.81	0.88	3.73
<i>rs6867877</i>	A	G	0.75	0.93	0.59	1.47	0.17	1.40	0.86	2.27	0.02	0.65	0.45	0.93
<i>rs4421091</i>	G	A	0.49	0.89	0.63	1.25	0.56	0.89	0.61	1.31	0.94	1.01	0.75	1.36
<i>rs4487480</i>	A	T	0.84	1.07	0.54	2.13	0.56	1.23	0.59	2.56	0.64	0.88	0.51	1.52

<i>rs6896204</i>	G	A	0.77	1.12	0.52	2.42	0.31	0.62	0.24	1.58	0.16	1.64	0.82	3.29
<i>rs6892970</i>	C	T	0.76	1.13	0.52	2.42	0.33	0.62	0.24	1.60	0.17	1.62	0.81	3.26
<i>rs7714069</i>	G	T	0.77	1.12	0.52	2.42	0.44	0.70	0.28	1.74	0.24	1.50	0.76	2.95
<i>rs6874765</i>	A	G	NA	NA	NA	NA	0.59	NA	NA	NA	0.15	0.00	NA	NA
<i>rs1864997</i>	G	A	0.81	1.05	0.70	1.59	0.32	1.25	0.80	1.96	0.19	0.80	0.58	1.12
<i>rs7732713</i>	T	A	0.79	1.10	0.57	2.11	0.34	1.40	0.70	2.81	0.50	0.84	0.50	1.41
<i>rs10515597</i>	A	G	0.89	0.95	0.43	2.07	0.09	0.42	0.15	1.18	0.06	2.22	0.96	5.12
<i>rs10515601</i>	T	G	0.91	1.03	0.64	1.65	0.34	0.77	0.45	1.32	0.20	1.31	0.87	1.99
<i>rs13436856</i>	T	A	0.91	1.02	0.70	1.50	0.25	1.28	0.84	1.93	0.12	0.78	0.58	1.07
<i>rs13188824</i>	T	C	0.85	1.07	0.53	2.17	0.72	0.86	0.38	1.95	0.42	1.30	0.69	2.47
<i>rs7725292</i>	A	G	0.86	1.04	0.65	1.67	0.27	1.33	0.80	2.21	0.19	0.78	0.54	1.13
<i>rs10491340</i>	G	A	0.96	0.98	0.43	2.22	0.11	0.42	0.14	1.26	0.07	2.23	0.91	5.50
<i>rs17774892</i>	G	A	0.85	1.08	0.50	2.33	0.16	0.50	0.18	1.34	0.08	1.96	0.92	4.16
<i>rs9325073</i>	C	G	0.88	1.03	0.70	1.53	0.66	1.10	0.72	1.70	0.62	0.92	0.67	1.27
<i>rs17637711</i>	T	C	0.90	1.06	0.44	2.58	0.70	0.81	0.29	2.32	0.43	1.39	0.61	3.19
<i>rs13185274</i>	A	G	0.81	0.96	0.66	1.38	0.98	1.00	0.66	1.49	0.77	0.96	0.70	1.30

7 †A1 is the minor allele, OR the odds ratio, L95 & U95 are the lower and upper limits to the 95% confidence interval and P column indicates the P value for the association.  
8 A. 367 food allergic cases vs 156 non-food allergic controls. B. 199 food sensitised-tolerant cases vs 156 non-food allergic controls. C. 367 food allergic cases vs 199 food  
9 sensitised-tolerant cases.

10

11 **Table 3.** **A.** Associations between SPINK5 SNPs and food allergy in replication sample adjusted for ancestry using principal components. **B.** Meta-analysis of  
12 discovery and replication associations between SPINK5 SNPs and food allergy

	<i>A</i>		<i>B. Replication results</i>					<i>C. Meta-analysis results</i>					
<i>SNP</i>	<i>A1</i>	<i>A2</i>	<i>OR</i>	<i>SE</i>	<i>L95</i>	<i>U95</i>	<i>P</i>	<i>P</i>	<i>P(R)</i>	<i>OR</i>	<i>OR(R)</i>	<i>Q</i>	<i>I</i>
<i>rs9325072</i>	T	C	0.72	0.22	0.47	1.10	0.13	0.71	0.62	1.07	1.42	0.0006	91.59
<i>rs4705054</i>	T	A	0.79	0.19	0.54	1.15	0.22	0.59	0.66	1.09	1.22	0.005	87.06
<b><i>rs9325071</i></b>	G	A	1.58	0.17	1.13	2.20	0.007	0.0004	0.0004	1.65	1.65	0.62	0

13 †A. Replication analysis adjusted for gender, ancestry (by principal components) and study. B. Meta-analysis P(R) is the P-value estimate deriving from the random-effects  
14 meta-analysis model, OR(R) is likewise the odds ratio estimate derived from the random-effects model. Q is the P-value from Cochran's Q statistic of effect size  
15 heterogeneity between studies and I is the I2 heterogeneity index (0-100)