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**THE MOMENT ARMS OF THE MUSCLES SPANNING
THE GLENOHUMERAL JOINT: A SYSTEMATIC REVIEW**

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31 Key Words: shoulder; biomechanics; upper limb; lever; deltoid; rotator cuff; musculoskeletal model

32
33 **ABSTRACT**

34 The moment arm of a muscle represents its leverage or torque-producing capacity, and is
35 indicative of the role of the muscle in joint actuation. The objective of this study was to
36 undertake a systematic review of the moment arms of the major muscles spanning the
37 glenohumeral joint during abduction, flexion and axial rotation. Moment arm data for the deltoid,
38 pectoralis major, latissimus dorsi, teres major, supraspinatus, infraspinatus, subscapularis, and
39 teres minor were reported when measured using the geometric and tendon excursion method.
40 The anterior and middle regions of the deltoid had the largest moment arm values of all muscles
41 during humeral elevation, and were important levers in both the coronal and scapular planes as
42 well as during flexion. The pectoralis major, latissimus dorsi, and teres major had the largest
43 antagonistic moment arms during humeral elevation, with each of these muscles exhibiting
44 prominent leverage in shoulder adduction, and the latissimus dorsi and teres major also in
45 extension. The rotator cuff muscles had the largest moment arms in axial rotation regardless of
46 the axial position of the humerus. The supraspinatus had the most prominent moment arms
47 during early abduction in both the coronal and scapular planes as well as in flexion. This
48 systematic review shows that the rotator cuff muscles function as humeral rotators and weak
49 humeral depressors or elevators, while the three sub-regions of the deltoid contribute
50 substantially to humeral elevation throughout the whole range of humeral motion. The pectoralis
51 major, latissimus dorsi, and teres major are significant shoulder depressors, with all three acting
52 as prominent abduction antagonists. This study provides muscle moment arm data on

53 functionally relevant shoulder movements that are involved in tasks of daily living, including
54 lifting and pushing. The results may be useful in quantifying shoulder muscle function during
55 specific planes of movement, in designing and validating computational models of the shoulder,
56 and in planning surgical procedures such as tendon transfer surgery.

57

58

INTRODUCTION

59 The moment arm of a muscle force is defined by the perpendicular distance between the
60 muscle's line of action and the instantaneous center of rotation of the joint in which it spans, and
61 represents the capacity of that muscle to exert a joint torque (Pandy 1999). A muscle's moment
62 arm is indicative of the role of the muscle in joint actuation, since the moment arm magnitude is
63 representative of muscle leverage about a joint, while the moment arm direction determines
64 whether joint movement is associated with muscle shortening or lengthening (Ackland et al.
65 2010; Otis et al. 1994; Graichen et al. 2001; Dostal and Andrews 1981; Jensen and Davy 1975;
66 Wood et al. 1989). Thus, a muscle with a positive moment arm through a given movement has
67 agonistic function, while a negative moment arm is indicative of antagonist muscle function.
68 Clinically, muscles with large moment arms have greater mechanical advantage and potential to
69 generate joint torque, often functioning as prime movers, while muscles with smaller moment
70 arms tend to exhibit stabilising function, such as intrinsic muscles (Liu et al. 1998; Graichen et
71 al. 2001; An et al. 1984).

72 The two techniques employed extensively in derivation of muscle moment arm data are
73 the geometric method and the tendon excursion method. The geometric method involves direct
74 measurement of the perpendicular distance between the muscle's path and the joint center of
75 rotation (Garner and Pandy 2001; Graichen et al. 2001; Greiner et al. 2013; Hamilton et al. 2015;
76 Hamilton et al. 2013; Herrmann et al. 2011; Howell et al. 1986; Poppen and Walker 1978;
77 Walker et al. 2016; De Wilde et al. 2002). This necessitates locating the muscle-tendon unit line
78 of action relative to a functional or anatomical joint centre (see Supplementary Material). Since
79 the geometric method is typically performed *in vivo* using data from imaging modalities such as
80 x-ray, computed tomography (CT), or magnetic resonance imaging (MRI) (Ackland et al. 2008;
81 An et al. 1984), it is most often employed for just a small number of joint configurations.
82 Ultimately, the accuracy of the geometric method is limited by the reliability of joint center of
83 rotation location identification, and correct estimation of the muscle's line of action (McGill and

84 Norman 1986). For example, the glenohumeral joint center is often approximated as the center of
85 the humeral head, but the glenohumeral joint may translate by up to 7 mm during abduction and
86 12 mm during internal rotation (Werner et al. 2004), which may have a substantial impact on the
87 muscle moment arms of the spanning muscles. The geometric method is thus commonly used for
88 evaluating muscle moment arms in the intervertebral joints, which have a much smaller range of
89 motion (McGill and Norman 1986; McGill et al. 1988; Suderman and Vasavada 2017; Dumas et
90 al. 1991).

91 The tendon excursion method evaluates the moment arm quantity from the instantaneous
92 gradient of the muscle-length versus joint-angle curve over a range of joint movement in a given
93 plane (Supplementary Material) (Ackland and Pandy 2011). Unlike the geometric method,
94 knowledge of the joint center location is not explicitly required in the calculation, and moment
95 arms may be computed through a continuous range of joint motion (Ackland et al. 2008; Otis et
96 al. 1994; Kuechle et al. 2000; Hughes et al. 1998; An et al. 1984). This approach lends itself well
97 to *in vitro* measurements and computational simulations, since tendon excursion and joint angle
98 data may be readily evaluated with relatively high accuracy (Ackland et al. 2008; Adams et al.
99 2007; Hughes et al. 1998; Kuechle et al. 1997; Kuechle et al. 2000; Liu et al. 1998; Liu et al.
100 1997; Nakajima et al. 1999; Schwartz et al. 2013; Otis et al. 1994).

101 Moment arms have been recorded for muscles spanning numerous joints in the human
102 body including the neck, spine, ankle, knee, hip, fingers, wrist, elbow and shoulder (Kodek and
103 Munih 2003; Ketchum et al. 1978; Lee et al. 2008; Delp et al. 1999; Krevolin et al. 2004)
104 (McCullough et al. 2011; Jorgensen et al. 2001; Vasavada et al. 1998; Ackland et al. 2011). The
105 objective of this study was to provide a systematic review of the moment arms of the major
106 muscles and muscle sub-regions spanning the glenohumeral joint including those of the deltoid,
107 pectoralis major, latissimus dorsi, teres major, and the rotator cuff muscles. Moment arm
108 quantities were reviewed for functionally relevant shoulder movements including abduction in
109 the scapular and coronal planes, flexion in the sagittal plane, and axial rotation in the neutral
110 position. The data presented may be useful for evaluating the function of individual muscles, and
111 for developing and validating computational models of the upper limb (Ackland et al. 2010;
112 Pandy 1999).

113

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METHODS

115 *Literature Search Strategy*

116 A literature search was conducted to identify previously published experimentally-
117 derived moment arm data for the muscles spanning the glenohumeral joint. The study was
118 conducted with reference to the guidelines outlined by the Preferred Reporting Items for
119 Systematic Review and Meta-analysis (PRISMA) statement (Moher et al. 2010). The online
120 database MEDLINE, via OVID, was systematically searched for English titles with no date
121 restrictions. Keywords included shoulder, moment arm, glenohumeral joint, biomechanical
122 model, mechanical advantage, and muscle line of action.

123 *Selection criteria and quality assessment*

124 An initial online search in Medline was performed with the following inclusion criteria:
125 moment arm data reported for muscles spanning the glenohumeral joint including the deltoid,
126 pectorals major, latissimus dorsi, supraspinatus, infraspinatus, teres minor, and subscapularis;
127 moment arm data derived using geometric or tendon excursion methods; planes of motion
128 included coronal plane abduction, scapular plane abduction, flexion, and axial rotation in the
129 neutral position. Exclusion criteria included: Moment arm data reported for wheelchair
130 propulsion, lifting or throwing activities, sports, or movements not described in the inclusion
131 criteria; moment data for the pathological or non-native shoulder joint, including the prosthetic
132 glenohumeral joint; moment arm data derived from non-human studies and from computational
133 models. After removal of duplicates, full texts were retrieved. References of all full-text articles
134 were then manually checked for other relevant titles.

135 The quality of each publication was quantified as described previously (Hart et al. 2016).
136 Briefly, included studies were rated using a modified version of the Downs and Black checklist,
137 with the modified version having a maximum score of 12 (Downs and Black 1998). A total score
138 ≥ 9 indicated high methodological quality, a score of 6 to 8 indicated moderate quality, and a
139 score ≤ 5 indicated low quality. Two reviewers (DCA and FCH) independently rated each study
140 on the 12 item criteria and inter-rater disagreement was discussed in a consensus meeting.

141 *Data analysis*

142 Continuous muscle moment arm data as well as moment arm peaks were reported during
143 coronal plane abduction, scaption, saggital-plane flexion and axial rotation of the glenohumeral
144 joint. The plane of scaption was defined parallel to the scapular plane, and 30 degrees anterior to
145 the coronal plane. All elevation movements assumed zero degrees of axial rotation. Moment arm

146 data for axial rotation were included for internal and external rotation of the shoulder in the
147 neutral position (approximately zero degrees of arm elevation), since data for this joint position
148 are most widely reported. Each moment arm study was categorized according to technique
149 (geometric or tendon excursion method).

150

151 **RESULTS**

152 *Search Strategy, methodological quality and risk of bias*

153 The initial literature search identified 34,805 titles, which was reduced down to 25,280
154 after the removal of duplicates (Figure 1). The full texts of 40 articles were retrieved after
155 employing inclusion/exclusion criteria, with 16 articles meeting the selection criteria, and an
156 additional four articles included, sourced from cited references in these articles (Table 1). The
157 methodological quality scores ranged from 5 to 12 (of 12), with an average score of 9. There
158 were 11 studies of high quality, 7 studies of moderate quality, and 2 studies of low quality. All
159 20 studies clearly described their main study outcome measures in either the Introduction or
160 Methods sections. No other criteria from the quality assessment were met by all included articles.

161 *Muscle moment arms, coronal plane abduction*

162 The anterior deltoid, middle deltoid, supraspinatus, and infraspinatus had the largest
163 abductor moment arms throughout coronal plane abduction, while the pectoralis major,
164 latissimus dorsi, teres major, and subscapularis had the largest adductor moment arms. The
165 posterior deltoid and teres minor had biphasic function and acted as either an abductor or
166 adductor depending on the humeral position (Figure 2).

167 The abduction moment arm of the anterior deltoid increased with abduction angle, and
168 had a peak value ranging between 26 mm and 30 mm at abduction angles greater than 90°
169 (Ackland et al. 2008; Kuechle et al. 1997; Schwartz et al. 2013) (Figure 3); however, one high
170 quality paper reported that the anterior deltoid was an adductor at low abduction angles and
171 became an abductor beyond 45° of abduction, with a maximum abduction moment arm value of
172 60 mm at 90° of abduction (Walker et al. 2016). The mean maximum moment arm of the anterior
173 deltoid was 36.2 ± 8.0 mm (n=4) (Table 2).

174 Three studies demonstrated that the middle deltoid had an abductor moment arm that
175 increased with abduction angle, peaking close to the mid-range of motion with a mean

176 magnitude of 26.8 ± 1.7 mm (Ackland et al. 2008; Kuechle et al. 1997; Walker et al. 2016). One
177 high quality study reported a continuously increasing abductor moment arm for the middle
178 deltoid throughout the entire range of abduction (Schwartz et al. 2013).

179 The latissimus dorsi was a prominent adductor, demonstrating antagonist function
180 throughout abduction (Ackland et al. 2008; Kuechle et al. 1997). Its moment arm trends were
181 parabolic through the range of abduction, with a mean maximum adductor moment arm
182 magnitude of 37.3 ± 1.7 mm ($n = 2$) occurring beyond 69° of abduction

183 The abduction moment arm of the supraspinatus had a mean peak magnitude of $28.2 \pm$
184 1.6 mm ($n = 3$) in very early abduction, with the moment arm then tending to decrease with
185 increasing abduction angle (Ackland et al. 2008; Kuechle et al. 1997; Liu et al. 1998) (Figure 2).
186 In contrast, the subscapularis had an antagonistic moment arm that increased with increasing
187 abduction angle, with a peak adductor moment arm occurring in mid-late abduction with a
188 magnitude ranging between 7 mm and 13 mm. (Ackland et al. 2008; Kuechle et al. 1997;
189 Herrmann et al. 2011; Greiner et al. 2013). One moderate quality study reported a peak
190 adduction moment arm for the subscapularis of just 2 mm (Herrmann et al. 2011). The mean
191 maximum moment arm of subscapularis was 8.1 ± 2.3 mm ($n = 4$).

192 The teres minor was an adductor in early-mid abduction and an abductor beyond 45° of
193 abduction (Ackland et al. 2008; Greiner et al. 2013; Herrmann et al. 2011; Kuechle et al. 1997).
194 It had a mean maximum abduction moment arm magnitude of 6.4 ± 2.6 mm ($n = 4$) at abduction
195 angles greater than 60° . The teres major had large abductor moment arms increasing in early
196 abduction to a peak of 49 mm, then steadily decreasing with abduction angle.

197 *Muscle moment arms, scaption*

198 The most substantial differences in elevation moment arms between the scapular- and
199 coronal plane occurred for the subscapularis: this muscle was an adductor in the coronal plane
200 and an abductor in the scapula plane (Figures 2 and 4). The teres minor acted as an abductor
201 during scaption, but in the coronal plane at abduction angles less than 45° , this muscle was an
202 adductor (Ackland et al. 2008; Greiner et al. 2013; Walker et al. 2016). The supraspinatus,
203 infraspinatus, and three sub-regions of the deltoid had similar moment arm trends and maximum
204 moment arm values in both scaption and coronal plane abduction.

205 The anterior deltoid, middle deltoid, supraspinatus, infraspinatus, subscapularis, and teres
206 minor had the largest abduction moment arms during scaption, while the pectoralis major,

207 latissimus dorsi and the teres major were the major adductors. The posterior deltoid had biphasic
208 function, acting as an abductor or adductor depending on the humeral position (Figure 4).

209 The anterior deltoid had an abductor moment arm that increased with scaption angle, with
210 a mean peak of 33.9 ± 5.0 mm (n = 5) (De Wilde et al. 2002; Liu et al. 1997; Poppen and Walker
211 1978; Kuechle et al. 1997) (Figure 5). The middle deltoid was also a large contributor to scaption
212 and had a mean peak abductor moment arm of 33.4 ± 1.5 mm (n = 6) (Garner and Pandy 2001;
213 Hamilton et al. 2015; Liu et al. 1997; Kuechle et al. 1997; De Wilde et al. 2002; Poppen and
214 Walker 1978). All studies that reported moment arms of the posterior deltoid during scaption
215 showed that this muscle acted as an adductor at low abduction angles and as an abductor in late
216 abduction (Kuechle et al. 1997; De Wilde et al. 2002; Garner and Pandy 2001; Liu et al. 1997),
217 with a mean peak abduction moment arm of 16.9 ± 4.8 mm (n = 6).

218 The supraspinatus was an abductor in the scapular plane, and had a mean maximum
219 moment arm of 26.4 ± 1.3 mm (n = 10). Three medium quality studies and one high quality
220 study demonstrated for the supraspinatus a relatively constant abductor moment arm throughout
221 scaption (Otis et al. 1994; Howell et al. 1986; Poppen and Walker 1978; Graichen et al. 2001).

222

223 *Muscle moment arms, flexion*

224 The muscles with the largest flexion moment arms were the anterior deltoid, middle
225 deltoid, pectoralis major, and supraspinatus, while the posterior deltoid, latissimus dorsi, teres
226 minor, and teres major had the largest extensor moment arms (Figure 6). The peak flexor
227 moment arm of the anterior deltoid was between 29 mm and 40 mm (Figure 7). Two high quality
228 studies showed that its moment arm values decreased with increasing flexion angle, while
229 another high quality study showed its moment arm increased with flexion angle (Kuechle et al.
230 1997; Schwartz et al. 2013; Ackland et al. 2008). Similarly, the middle deltoid had a large range
231 of reported maximum flexor moment arm (12 and 28 mm) throughout the range of shoulder
232 flexion. The mean peak extensor moment arm of the posterior deltoid was 36.4 ± 10.5 mm (n =
233 3). Maximum values occurred during early flexion, after which its moment arm decreased with
234 increasing flexion (Ackland et al. 2008; Kuechle et al. 1997; Schwartz et al. 2013).

235 The flexor moment arm of the pectoralis major was greatest at 70° of flexion (21 mm),
236 while the extensor moment arm of the latissimus dorsi peaked at 45° of flexion with reported
237 values of 14 mm and 40 mm (Ackland et al. 2008; Kuechle et al. 1997). The teres major had the

238 largest extensor moment arm, with Ackland et al. (2008) and Kuechle et al. (1997) reporting a
239 moment arm peak of between 49 mm and 54 mm, respectively, occurring toward the mid-range
240 of flexion.

241 The supraspinatus and subscapularis had a peak flexion moment arm of 43 mm and 23
242 mm, respectively (Ackland et al. 2008), while the teres minor was generally an extensor
243 throughout flexion and had a peak moment arm of between 10 mm and 21 mm (Ackland et al.
244 2008; Greiner et al. 2013).

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246 *Muscle moment arms, axial rotation*

247 The pectoralis major, latissimus dorsi, teres major, infraspinatus, and teres minor were
248 external rotators in the neutrally aligned humerus, while the anterior deltoid, middle deltoid,
249 posterior deltoid, supraspinatus, and subscapularis were internal rotators (figure 8).

250 The anterior deltoid was an external rotation agonist. It had internal rotation moment arm
251 that increased with external rotation to a peak of 16 mm and 28 mm (Figure 9) (Kuechle et al.
252 2000; Schwartz et al. 2013). While one high quality study reported that the middle deltoid was an
253 internal rotator during external rotation (Schwartz et al. 2013), a moderate quality study reported
254 a negligible moment arm in this muscle through the range of axial rotation (Kuechle et al. 2000).
255 One moderate quality study and one low quality study showed the posterior deltoid had an
256 internal rotator moment arm throughout axial rotation (Kuechle et al. 2000; Hamilton et al.
257 2013); however, a high quality study suggested that the posterior deltoid acted as both an
258 external and internal rotator, depending on the position of the externally rotated shoulder
259 (Schwartz et al. 2013).

260 The infraspinatus was a prominent external rotator throughout axial rotation, and had a
261 relatively constant moment arm between 20 mm and 26 mm (Adams et al. 2007; Kuechle et al.
262 2000; Hamilton et al. 2013). The mean maximum moment arm for this muscle was 24.5 ± 0.9
263 mm ($n = 3$). The subscapularis was an internal rotator during axial rotation, with a mean
264 maximum moment arm of 20.6 ± 2.8 mm ($n = 3$) (Table 2) occurring during external rotation
265 (Kuechle et al. 2000; Herrmann et al. 2011; Greiner et al. 2013). The teres minor was an internal
266 rotator throughout axial rotation, with a relatively constant moment arm trend ranging between
267 17 mm and 25 mm (Adams et al. 2007; Kuechle et al. 2000; Hamilton et al. 2015; Greiner et al.
268 2013; Herrmann et al. 2011).

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DISCUSSION

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The objective of this study was to systematically review the literature for experimentally measured muscle moment arm data reported for shoulder abduction, flexion and axial rotation, which are motions commonly performed during activities of daily living including lifting, pushing, brushing hair, eating, as well as sporting activities (Khadilkar et al. 2014). While there was notable variation in moment arm magnitudes reported, even for the same muscles and joint positions, there was consensus that the anterior and middle deltoid had the greatest elevator leverage of all muscles, with the anterior deltoid the most prominent flexor, and the middle deltoid and anterior deltoid both the most significant elevators in scaption and coronal plane abduction. The subscapularis was an internal rotator, while the infraspinatus and teres minor were external rotators. In contrast, the supraspinatus had little leverage in axial rotation, but was a prominent elevator in early coronal plane abduction, scaption and flexion. The latissimus dorsi was a depressor in both coronal plane abduction, scaption and flexion, while the pectoralis major was a prominent depressor in abduction and scaption, and also a flexor.

The anterior and middle deltoid demonstrated large humeral torque capacity in elevation, and were relatively weak axial rotators. The anterior deltoid exhibited an increasing abductor and flexor moment arm with increasing humeral abduction and flexion angle, respectively, thus demonstrating greater leverage in the later stages of humeral elevation when more torque is required to lift the upper limb against its own weight. While the moment arms of the middle and anterior deltoid were similar in the coronal plane compared to those in the scapular plane, the posterior deltoid had more agonistic muscle function during coronal plane abduction compared to scaption, particular during mid- to late-elevation. Given that all three heads of deltoid are active during humeral elevation (Kronberg et al. 1990; Wattanaprakornkul et al. 2011), these results suggest greater combined leverage may be generated in the coronal plane, which may ultimately make lifting tasks with the upper limb more efficient in this plane.

The most prominent shoulder depressors were the pectoralis major, latissimus dorsi and teres major. In particular, the latissimus dorsi and teres major had large shoulder adductor and extensor moment arms, while the pectoralis major was a prominent adductor. The inferiorly directed lines of action of these muscles together with their insertions far from the glenohumeral joint centre of rotation (on the proximal humeral shaft) give these muscles exceptional depressor

300 function and significant mechanical advantage during tasks requiring both humeral depression
301 and internal rotation, such as climbing and swimming (Marchetti and Uchida 2011). In
302 particular, the teres major, which is a frequently neglected muscle, has been shown from EMG
303 data to play a significant role in shoulder function by being active as an antagonist and agonist
304 during shoulder elevation and depression movements, respectively (Steenbrink et al. 2010;
305 Marchetti and Uchida 2011). The results suggest that both the latissimus dorsi and teres major
306 have greatest mechanical advantage during early to mid-range elevation in the coronal plane,
307 scapular plane and sagittal plane (between 30° and 50° of elevation), and may therefore be able
308 to provide greater torque capacity with the upper limb in these shoulder joint configurations.

309 The results demonstrated that the subscapularis was an internal rotator, while the
310 infraspinatus and teres minor were external rotators. Since these muscles are simultaneously
311 activated during upper limb movement (Jenp et al. 1996), their opposing action forms a
312 transverse plane force couple which ultimately generates compressive joint loading to stabilise
313 the humeral head in the glenoid fossa (Jenp et al. 1996; Silldorff et al. 2014). The supraspinatus,
314 while having less axial rotation leverage than the other rotator cuff muscles, was shown to have a
315 large moment arm in early coronal plane abduction, scaption, and flexion. Since the deltoid sub-
316 regions have relatively small moment arms at these joint positions, this prominent leverage of the
317 supraspinatus suggests that it may behave as an initiator of upper limb elevation (Ackland et al.
318 2008). Ultimately, the frequent execution of arm elevation during activities of daily living, and
319 the active role of the supraspinatus in generating the required torque, may contribute to
320 supraspinatus tendon degeneration and tearing, which has greater prevalence than that of any
321 other rotator cuff tendon (Steinbacher et al. 2010; Silldorff et al. 2014).

322 There are a number of limitations of this review that ought to be considered when
323 interpreting the results. First, while there were no discernable differences in moment arm trends
324 between the tendon excursion method and geometric method, these strategies present significant
325 challenges in reproduction of single-degree-of-freedom joint motion and evaluation of joint
326 rotation axes, respectively. Variability in joint centre location and joint angular motion may
327 ultimately translate to moment arm errors in both the *in vivo* and *in vitro* setting. Second, some
328 studies subdivided muscles into multiple sub-regions and their respective moment arms, since a
329 number of broad and multi-pennate muscles have been shown to have different activation
330 patterns across their muscle belly (O'Connell et al. 2006). To compare and pool datasets between

331 different study designs, the moment arms for some muscles across their sub-regions, such as the
332 pectoralis major, were averaged. Therefore, the reported average moment arm may not
333 necessarily be representative of that of a functional sub-region. Finally, a number of studies did
334 not normalise moment arms to specimen or subject size, or may have used cadaveric specimens
335 from elderly subjects. This may result in moment arm errors and discrepancies with data
336 expected in healthy young adults.

337 The studies examined in this review reported muscle moment arm data about specific
338 joint motion axes in selected anatomical planes of upper limb motion (see Supplementary
339 Material for details); however, activities of daily living and sports applications involve shoulder
340 motion in a variety of joint motion planes not described in the literature. Notable gaps in the
341 moment arm literature occur for shoulder hyperextension, hyperadduction, abduction and flexion
342 beyond 120° of arm elevation, and horizontal flexion with the shoulder positioned at various
343 elevation angles. Furthermore, muscles may contribute torque about multiple joint axes
344 simultaneously for given shoulder position, for example, the anterior deltoid as both an elevator
345 and an internal rotator. Despite the many varied shoulder joint positions and potential muscle
346 lever effects, it is most practical in the experimental setting to evaluate moment arms in
347 anatomical planes of motion, since bone orientations and joint motion can be readily delineated
348 and reproduced. This review concludes that specific anatomical planes of shoulder motion which
349 are consistently used in the literature for reporting of moment arm data ought to be adopted as
350 standardised joint positions used in future moment arm studies. These include, but are not limited
351 to, elevation and depression in the scapular plane, coronal plane and sagittal plane, as well as
352 axial rotation of the humerus in its neutral position, and horizontal flexion with the upper limb in
353 90° of elevation. Validated anatomical shoulder models may serve as a useful tool for estimating
354 moment arm data in non-anatomical shoulder positions, or for various upper limb configurations
355 in the case of multi-joint spanning muscles.

356 The results from this study may be used in developing and validating anatomical
357 musculoskeletal models of the upper limb, which have previously been used to assess individual
358 muscle contributions to joint loading and stability, as well as to evaluate the biomechanical
359 influence of surgical procedures such as tendon transfer and joint replacement surgery on muscle
360 and joint function (Favre et al. 2010; Wu et al. 2016; de Witte et al. 2014; Ackland et al. 2010).
361 For example, shoulder muscle forces, which are frequently used to quantify muscle function,

362 may be estimated using musculoskeletal models and the principal that the sum of muscle forces
363 and their respective moment arms about a given joint during a task is equal to the net joint
364 moment. Ultimately, muscle force solutions must be determined computationally, since more
365 muscles span the shoulder joint than the degrees of freedom of possible joint motion, and there is
366 an infinite combination of possible muscle forces that may produce a joint torque.

367 In conclusion, the present study reports the function of the deltoid, latissimus dorsi,
368 pectoralis major, teres major and rotator cuff muscles based on their moment arms during
369 coronal plane abduction, scaption and axial rotation. The anterior and middle deltoid were the
370 greatest humeral elevators, while the pectoralis major, latissimus dorsi and teres major were the
371 most significant shoulder depressors. The rotator cuff muscles are responsible for both axial
372 rotation and elevation of the humerus. The results of this study may be useful for classifying
373 shoulder muscle function, and in developing musculoskeletal models for clinical and surgical
374 applications.

375

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378

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514 **FIGURE CAPTIONS**

- 515
- 516 Fig 1: Flow chart illustrating inclusion of studies in the present review.
- 517 Fig. 2: Moment arms of muscles spanning the glenohumeral joint during coronal plane
518 abduction. Positive moment arm values indicate abduction while negative values
519 indicate adduction. Moment arm units are millimetres. An asterisk indicates
520 moment arm data that were averaged across two or more muscle sub-regions.
- 521 Fig. 3: Maximum moment arm values for muscles spanning the glenohumeral joint
522 during coronal plane abduction. See caption of Figure 2.
- 523 Fig. 4: Moment arms of muscles spanning the glenohumeral joint during scaption
524 (scapula plane abduction). See caption of Figure 2.
- 525 Fig. 5: Maximum moment arm values for muscles spanning the glenohumeral joint
526 during scaption (scapula plane abduction). See caption of Figure 2.
- 527 Fig. 6: Moment arms of muscles spanning the glenohumeral joint during flexion in the
528 sagittal plane. Positive moment arm values indicate flexion; negative values
529 indicate extension. Moment arm units are millimetres. An asterisk indicates
530 moment arm data that were averaged across two or more muscle sub-regions.
- 531 Fig. 7: Maximum moment arm values for muscles spanning the glenohumeral joint
532 during flexion in the sagittal plane. See caption of Figure 6.
- 533 Fig. 8: Moment arm trends of muscles spanning the glenohumeral joint during axial
534 rotation with the humerus in the neutral position. Positive joint angles indicate
535 external rotation while negative angles represent internal rotation. A muscle with
536 a positive moment arm externally rotates the humerus while a muscle with a
537 negative moment arm internally rotates the humerus. Moment arm units are
538 millimetres. An asterisk indicates moment arm data that were averaged across two
539 or more muscle sub-regions.

540 Fig. 9: Maximum moment arm values for muscles spanning the glenohumeral joint
 541 during axial rotation with the humerus in the neutral position. See caption of
 542 Figure 8.

543

544 Table 1: Details of studies included in the present review where applicable, including author, sample
 545 size, gender ratio, mean age and range, moment arm measurement method used (tendon
 546 excursion method or geometric method), study type (in vitro or in vivo), and quality rating
 547 based on the checklist of Downs and Black (1998).

548

Author	Sample size (n)	Gender ratio (Male: Female)	Age (range)	Measurement method	Study type	Quality rating
Ackland et al., 2008	8	4:04	87 (81-98)	Tendon excursion	In vitro	11
Adams et al., 2007	1			Tendon excursion	In vitro	6
De Wilde et al., 2002	65			Geometric	In vitro	9
Garner and Pandy, 2001	1	1:00	25	Geometric	In vitro	7
Graichen et al., 2001	10	4:06	(22-34)	Geometric	In vivo	11
Greiner et al., 2013	7		74 (61-82)	Geometric	In vitro	11
Hamilton et al., 2013	1			Geometric	In vitro	5
Hamilton et al., 2015	1			Geometric	In vitro	7
Herrman et al., 2011	7		77 (63-84)	Geometric	In vitro	8
Howell and Imobersteg, 1986	10	10:00		Geometric	In vivo	8
Hughes and Niebur, 1998	10	5:05	(40-89)	Tendon excursion	In vitro	12
Kuechle et al., 1997	12		59 (33-80)	Tendon excursion	In vitro	10
Kuechle et al., 2000	12		59 (33-80)	Tendon excursion	In vitro	8
Liu et al., 1997	10	4:05	67 (40-89)	Tendon excursion	In vitro	11
Liu et al., 1998	10	4:05	67 (40-89)	Tendon excursion	In vitro	11
Nakajima et al., 1999	10	4:05	67 (40-89)	Tendon excursion	In vitro	11
Otis et al., 1994	10		(70-80)	Tendon excursion	In vitro	7
Poppen and Walker, 1978	37			Geometric	In vivo	8
Schwartz et al., 2013	8	6:02	(46-68)	Tendon excursion	In vitro	9
Walker et al., 2016	12			Geometric	In vivo	9

549

Table 2: Average muscle maximum moment arms and standard error values for shoulder muscles calculated across all relevant studies for coronal-plane abduction, scapula plane abduction, flexion and axial rotation. Axial rotation data are provided for humerus in its neutral position. All data are given in mm.

	Coronal plane abduction		Scapular plane abduction		Flexion		Axial rotation	
	Moment arm	Standard error	Moment arm	Standard error	Moment arm	Standard error	Moment arm	Standard error
Anterior deltoid	36.2	8.0	33.9	5.0	35.5	3.3	-22.2	6.2
Middle deltoid	26.8	1.7	33.4	1.5	21.5	4.8	-14.6	12.6
Posterior deltoid	14.5	6.2	-16.9	4.8	-36.4	10.5	-7.3	1.3
Pectoralis major	-44.0	11.1	35.1	4.9	21.1	0.9	20.0	
Latissimus dorsi	-37.3	1.7	-35.6	8.4	-26.8	13.2	9.0	
Teres major	-47.6	1.5	-51.7	4.4	-51.7	2.7	7.5	
Supraspinatus	28.2	1.6	26.4	1.3	27.1	15.6	-4.0	
Infraspinatus	15.6	3.4	11.2	2.4	7.1	4.1	24.5	0.9
Subscapularis	-8.1	2.3	9.7	0.8	9.5	4.7	-20.6	2.8
Teres minor	6.4	2.6	8.5	6.5	-14.8	1.8	20.9	1.6

Records identified through MEDLINE
via OVID (n = 34 805)

Additional records identified through
other sources (n =4)

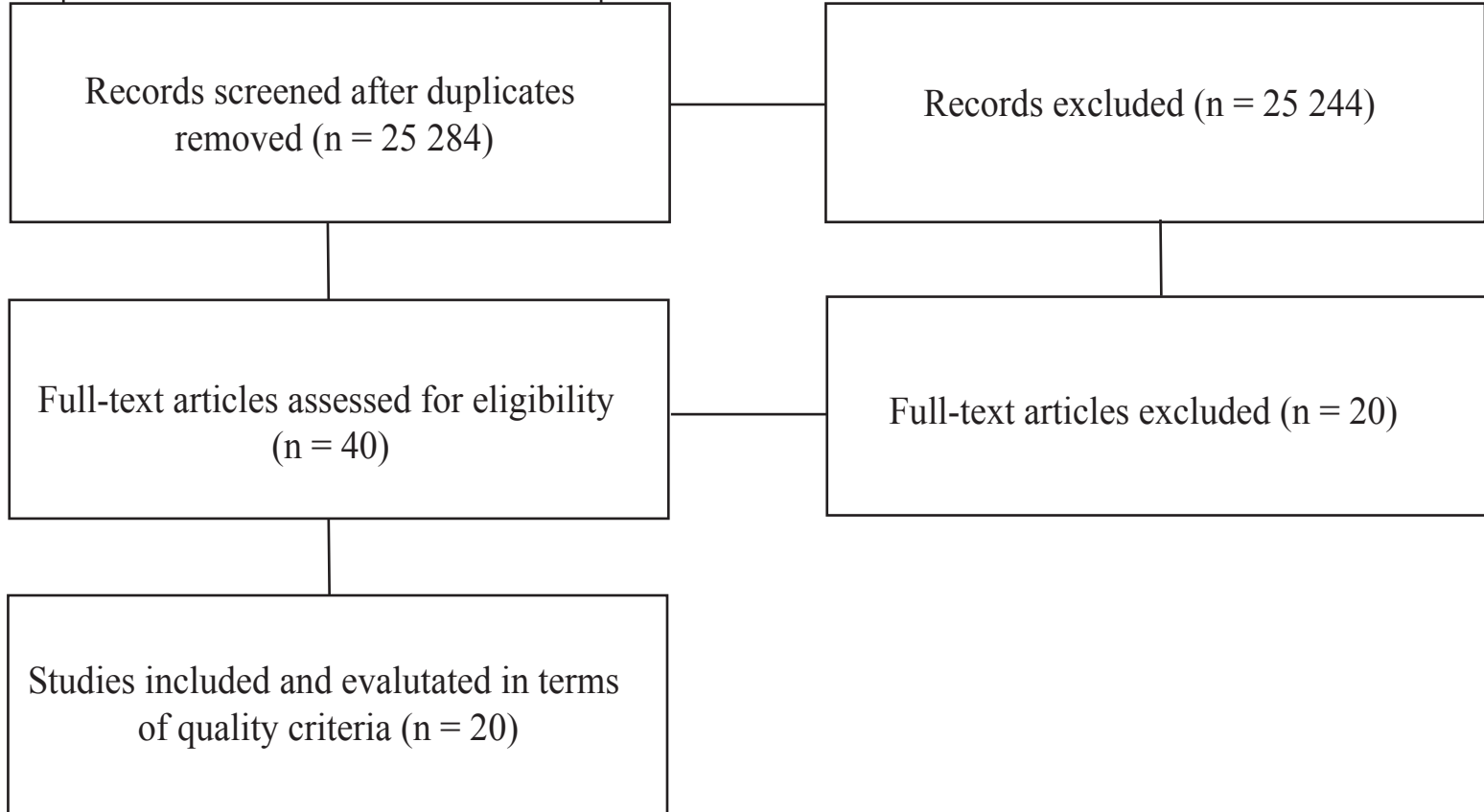
Records screened after duplicates
removed (n = 25 284)

Records excluded (n = 25 244)

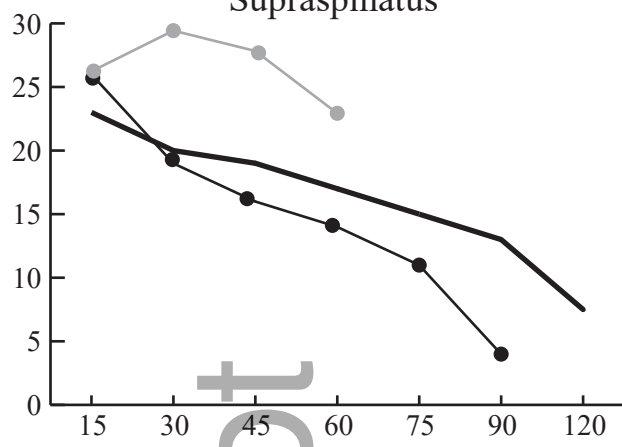
Full-text articles assessed for eligibility
(n = 40)

Full-text articles excluded (n = 20)

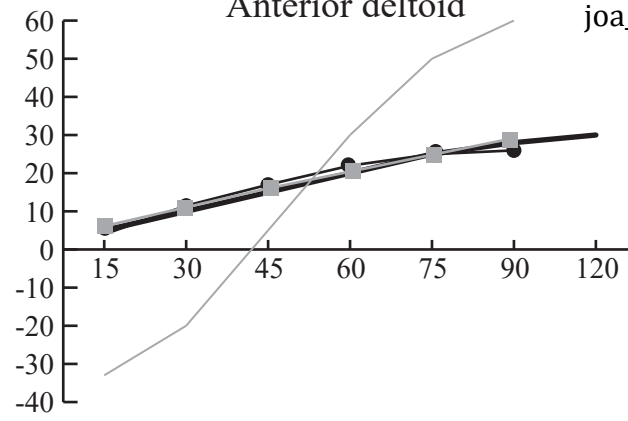
Studies included and evaluated in terms
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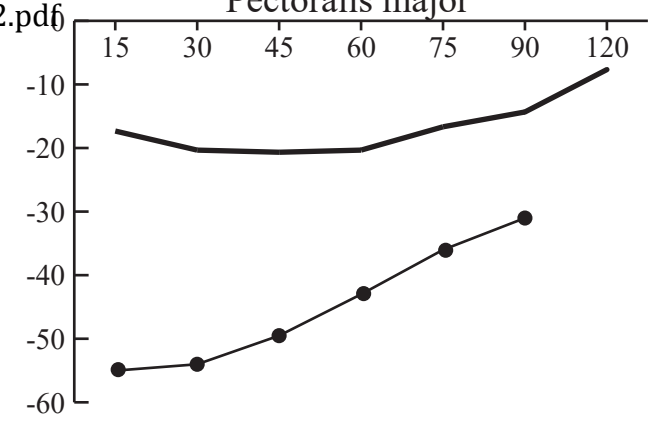


Anterior deltoid

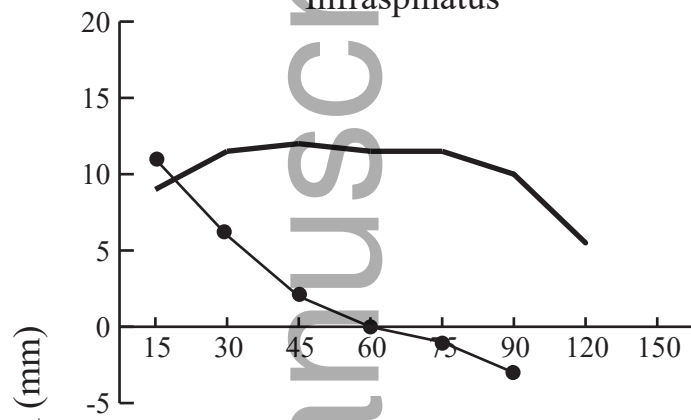


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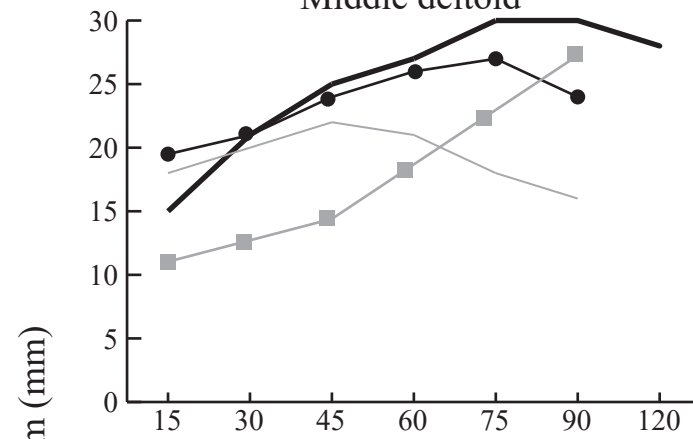
Pectoralis major



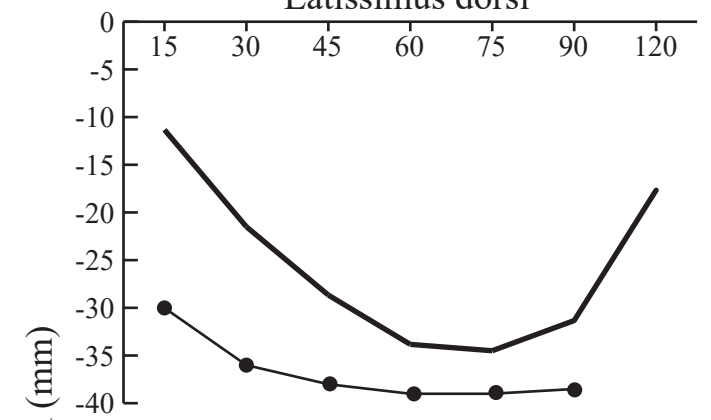
Infraspinatus



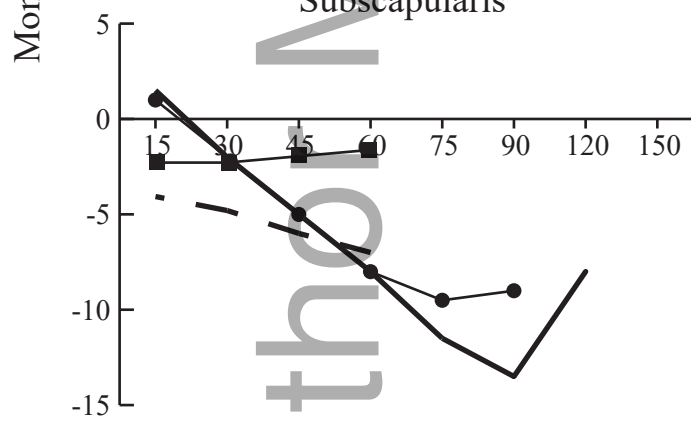
Middle deltoid



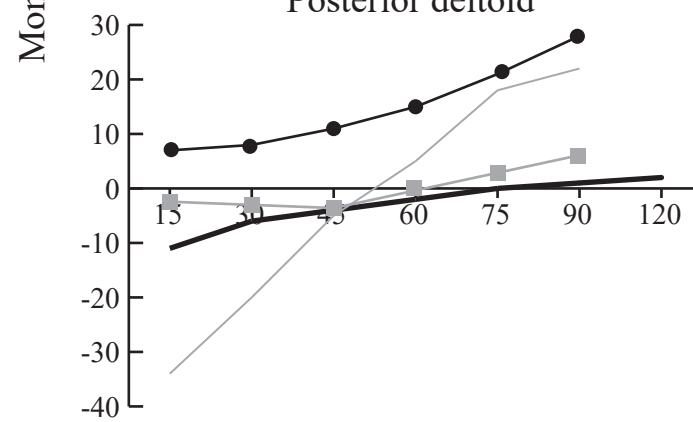
Latissimus dorsi



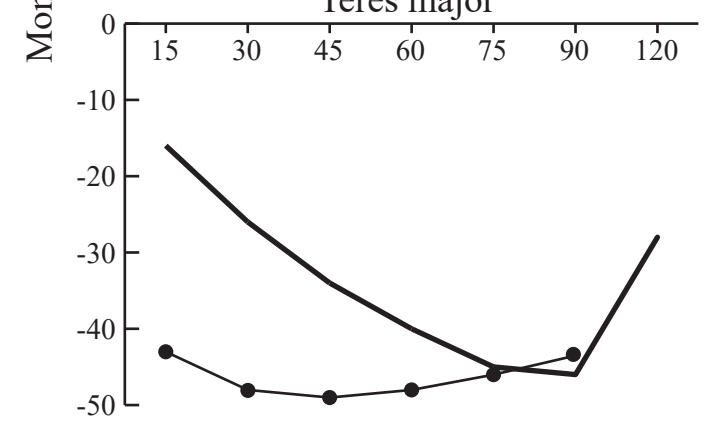
Subscapularis



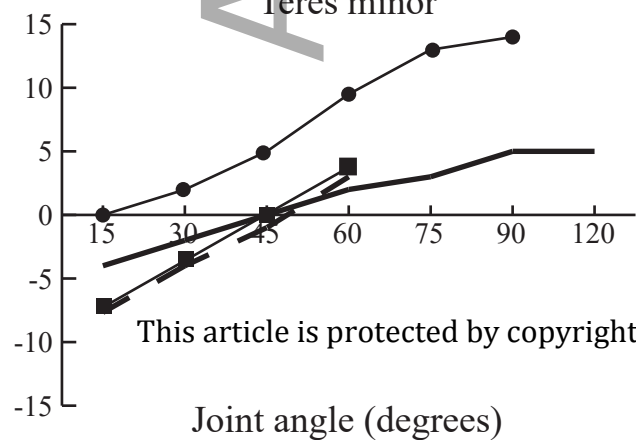
Posterior deltoid



Teres major

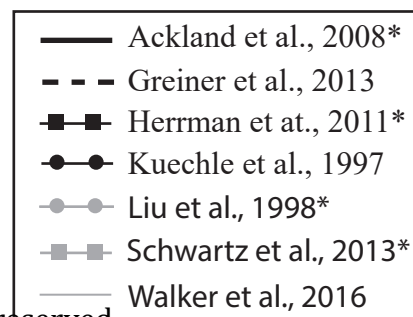


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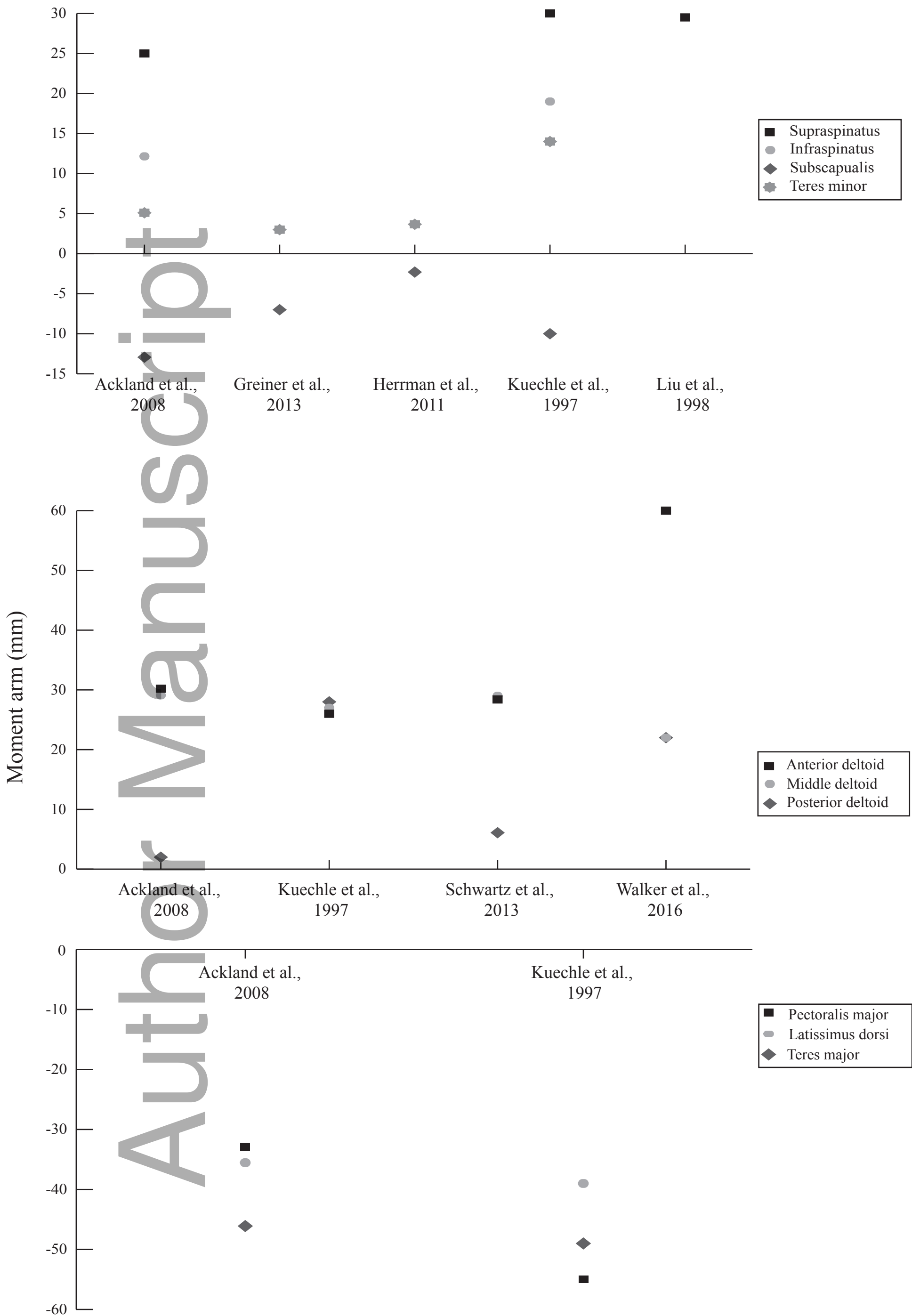


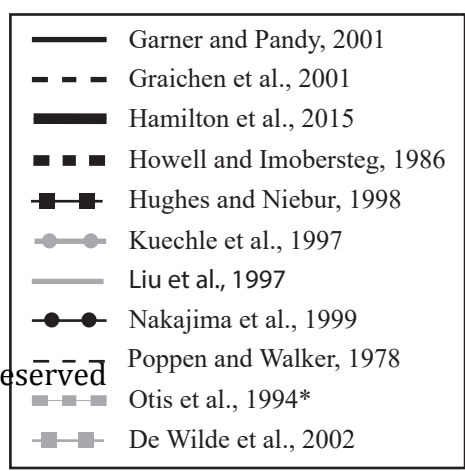
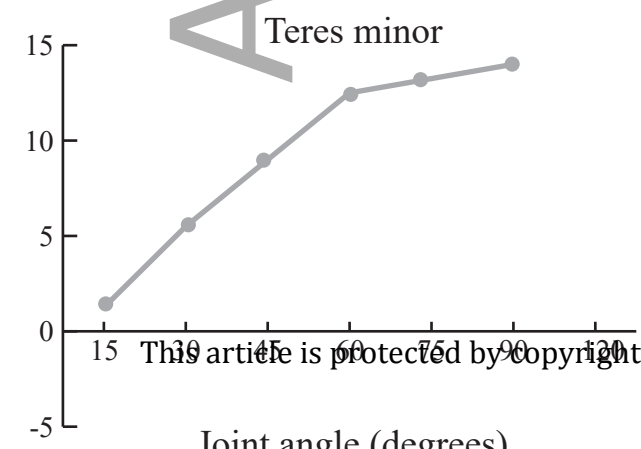
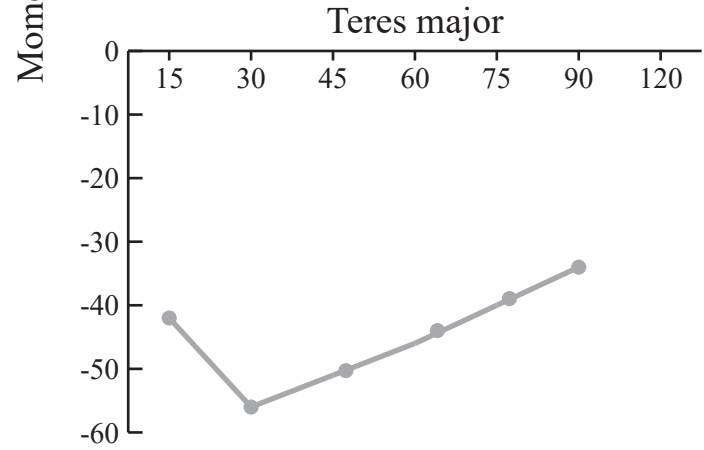
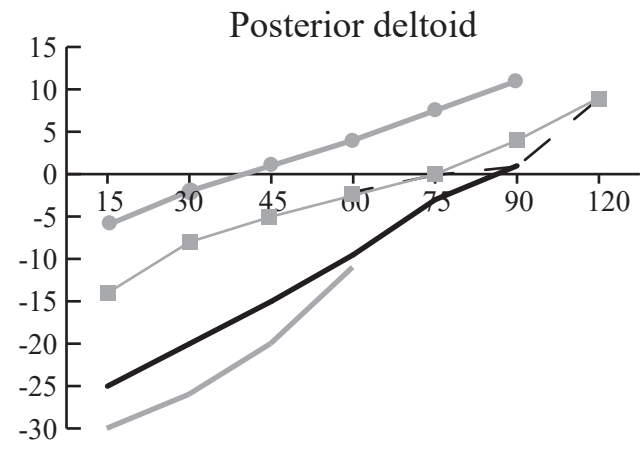
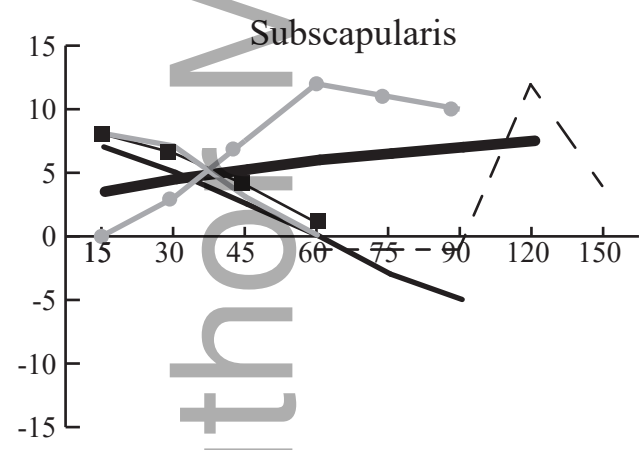
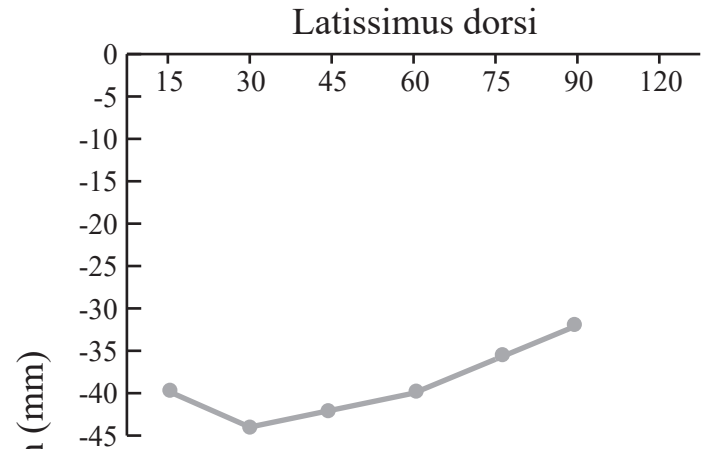
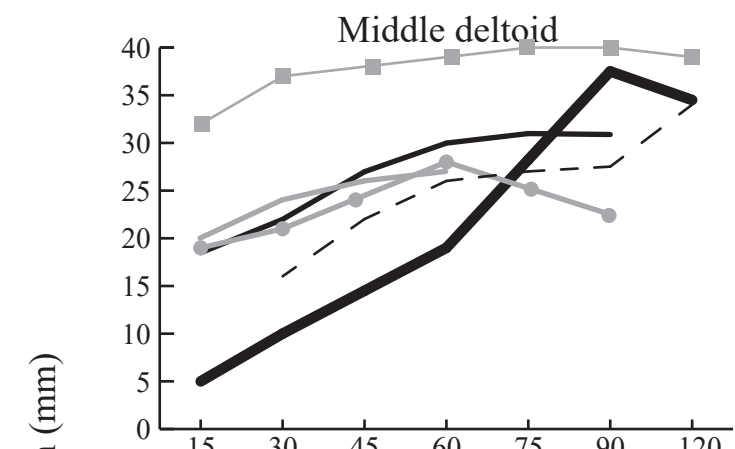
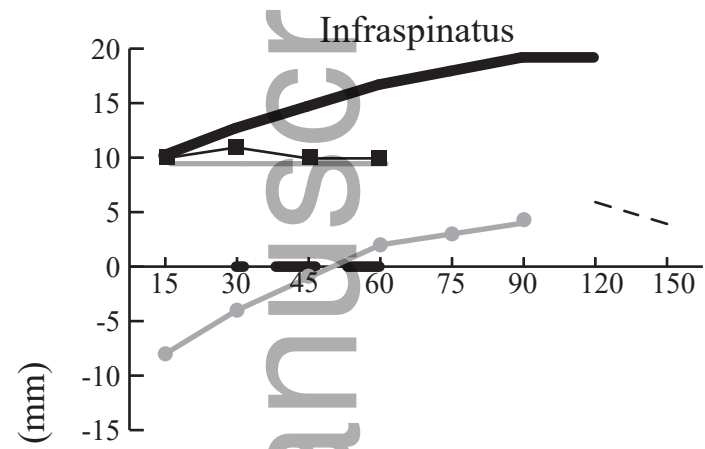
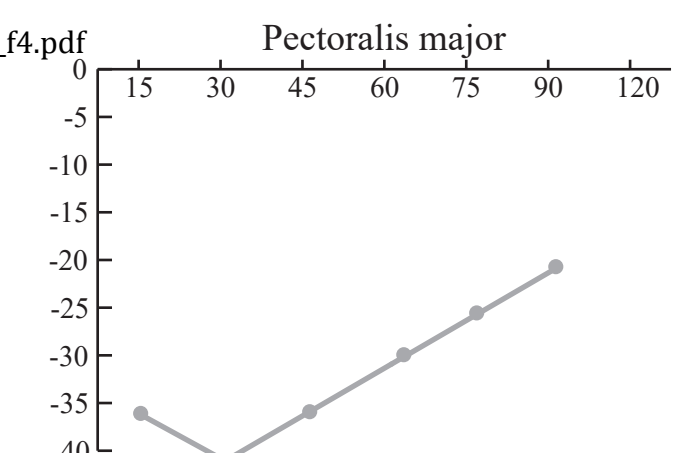
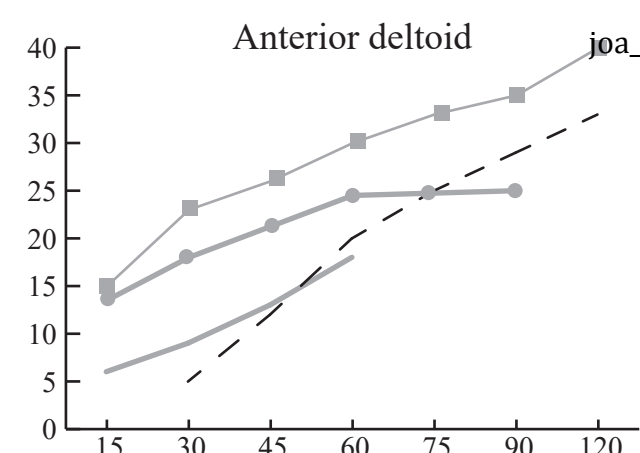
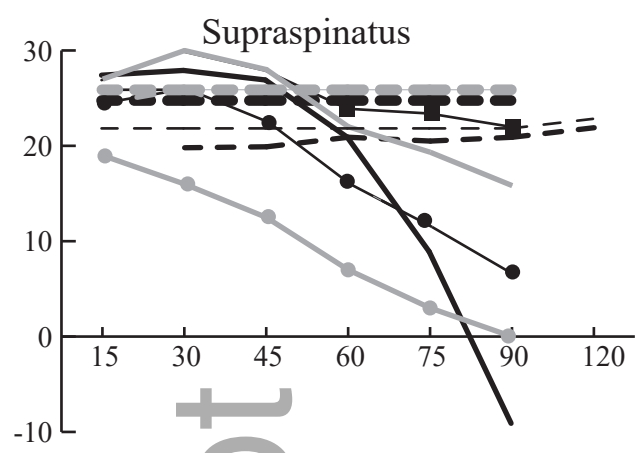
Joint angle (degrees)

Joint angle (degrees)

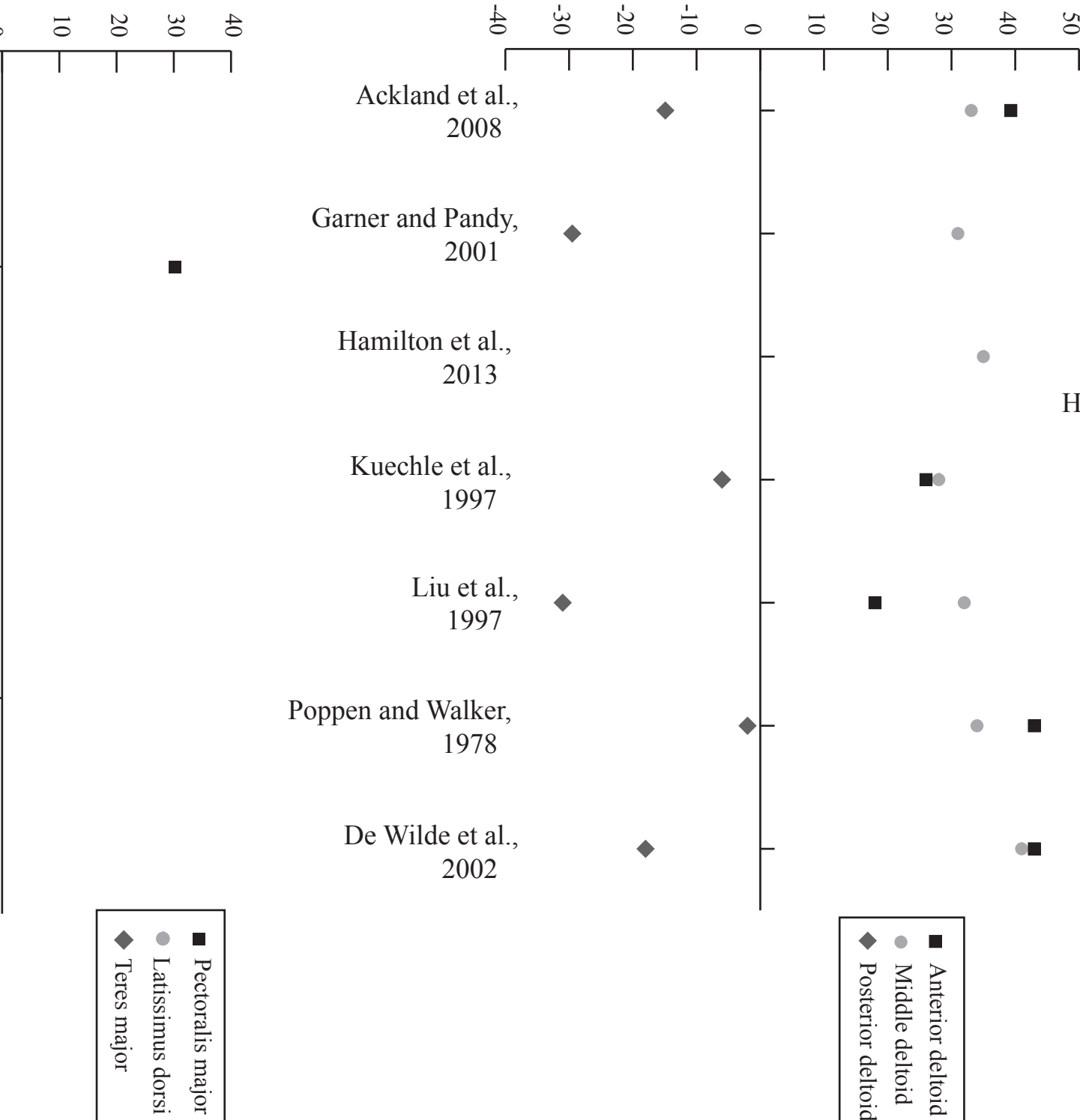
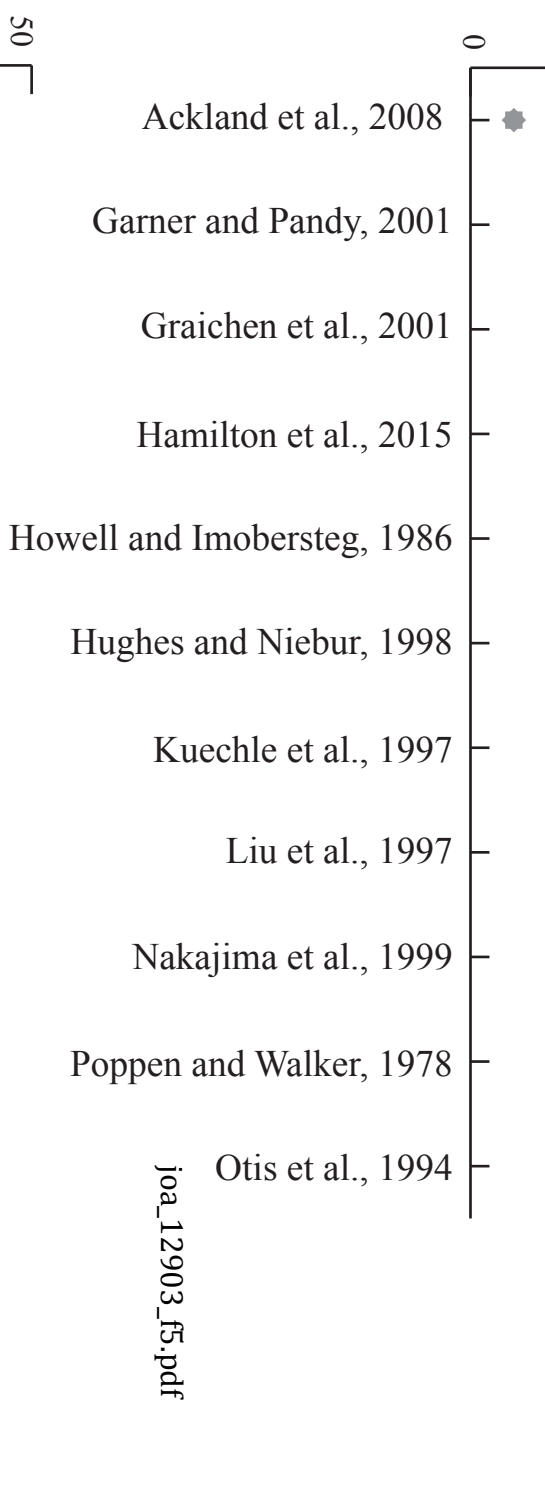


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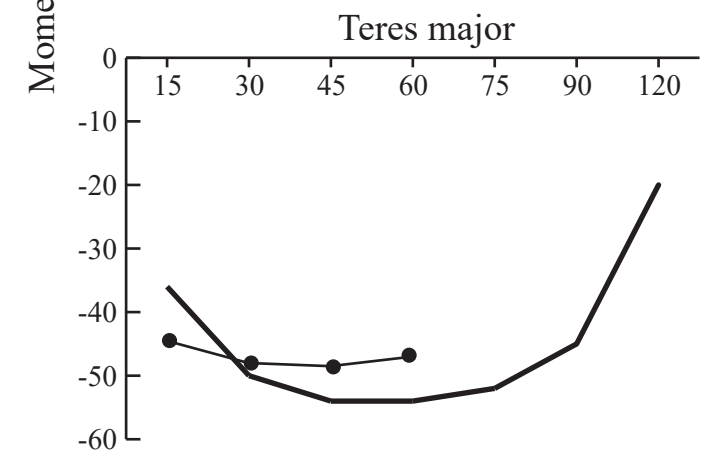
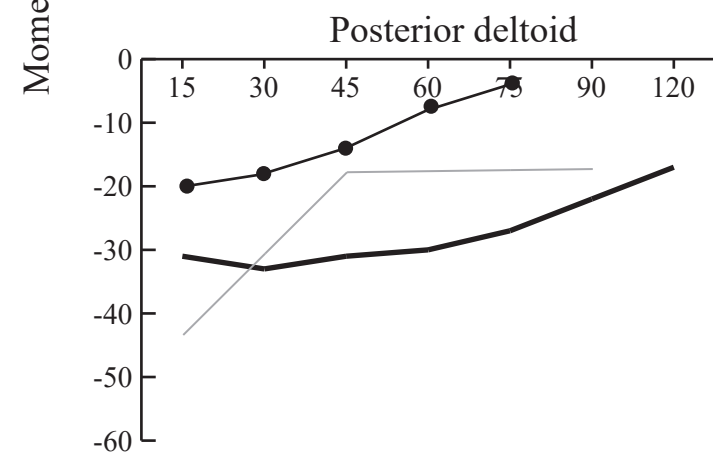
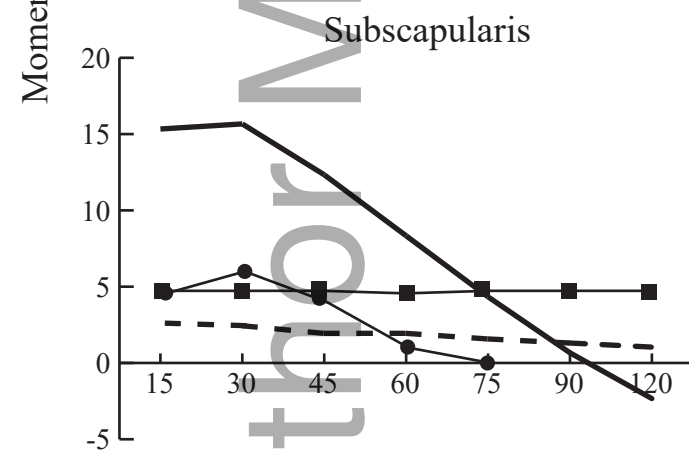
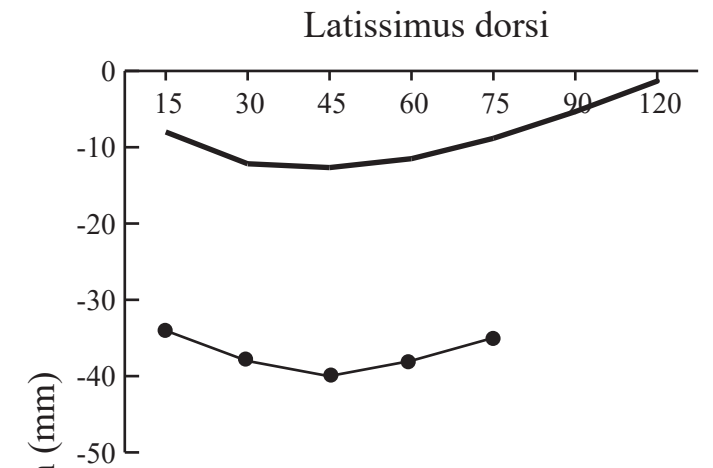
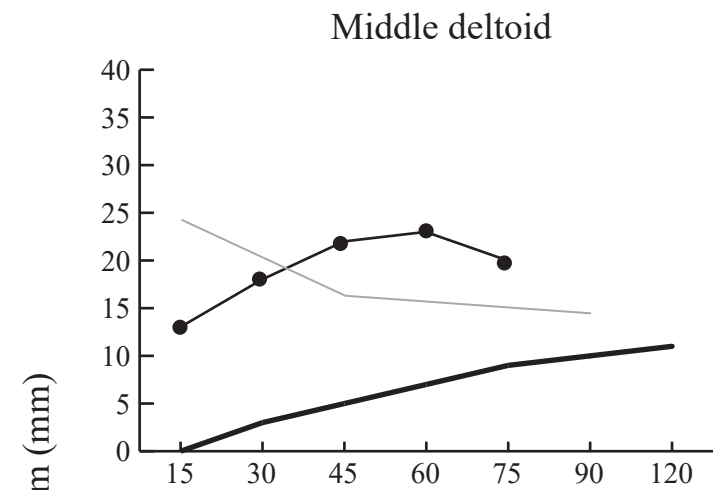
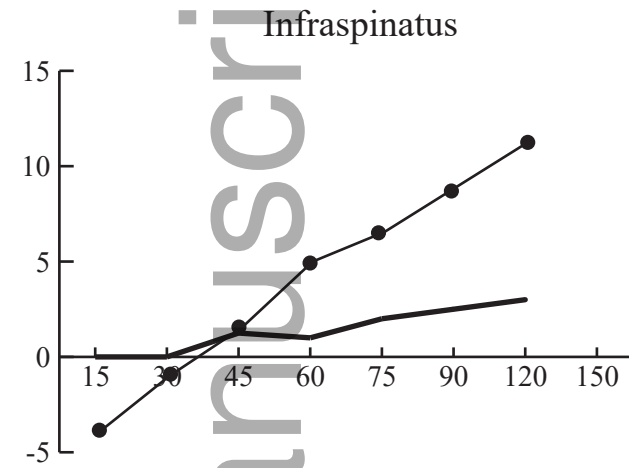
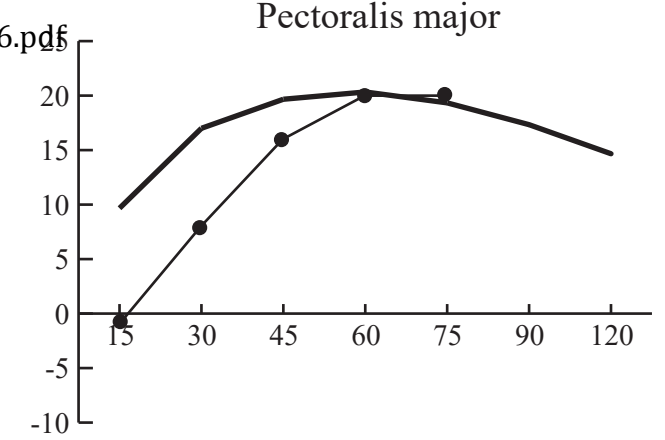
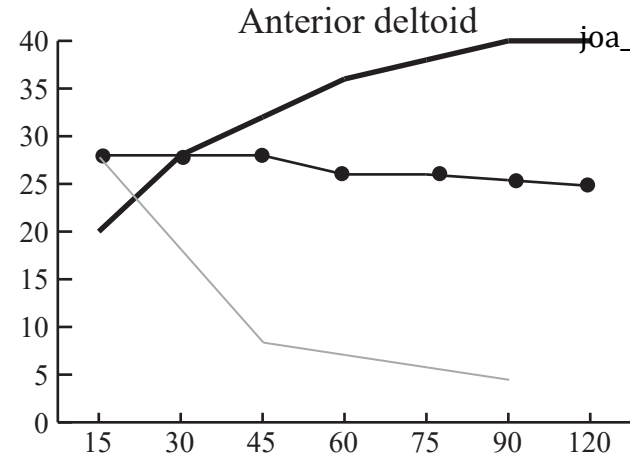
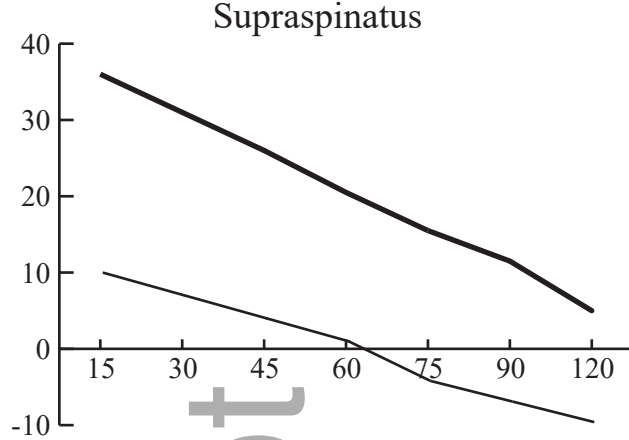
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Moment arm (mm)

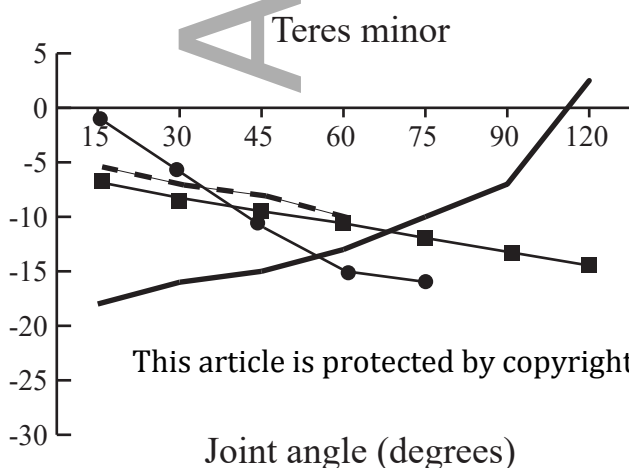
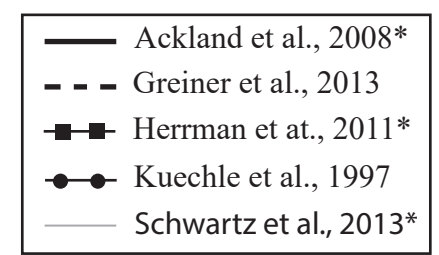
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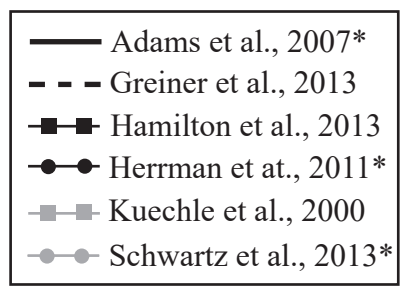
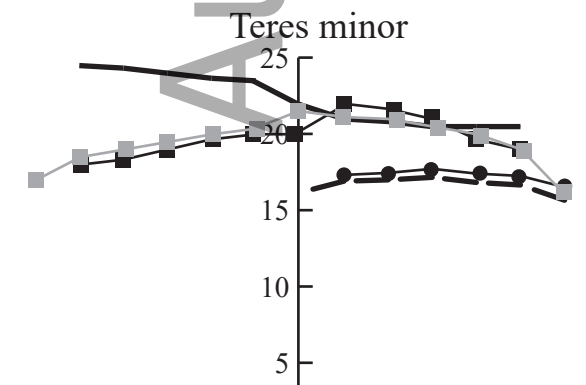
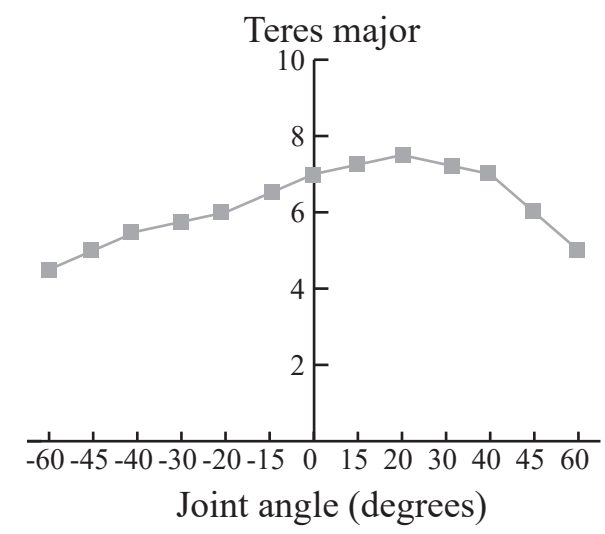
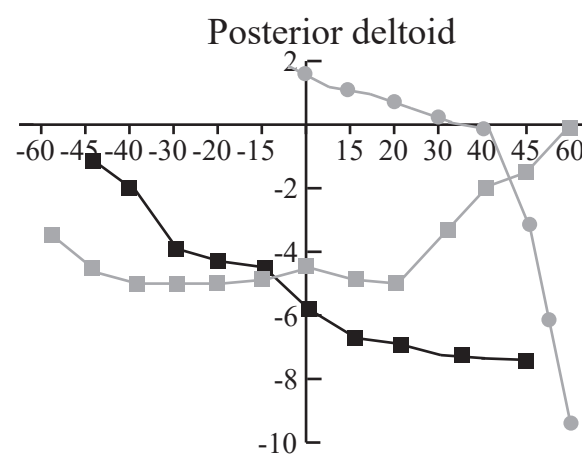
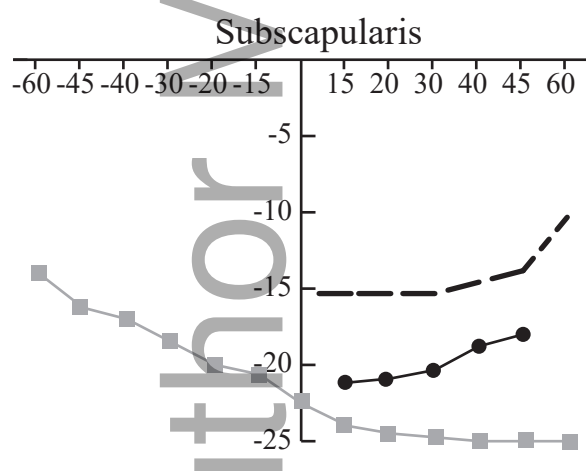
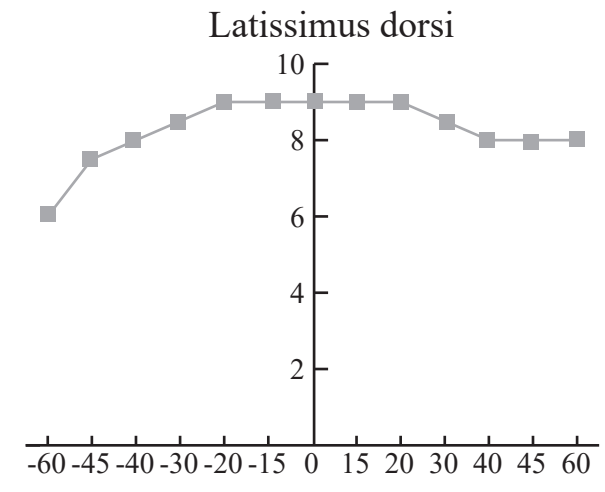
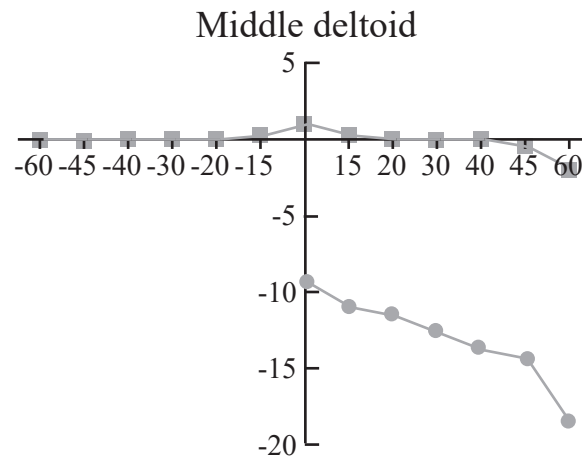
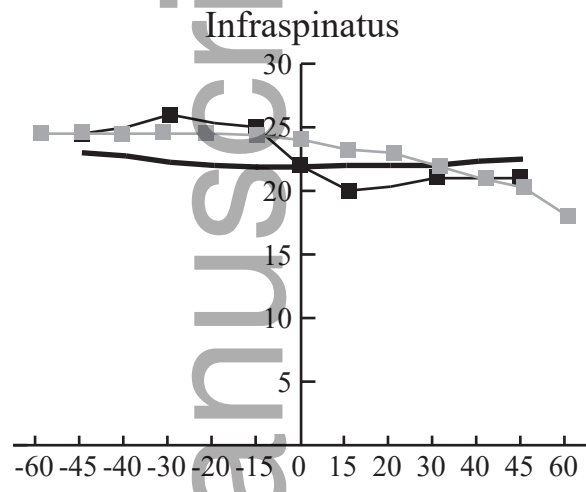
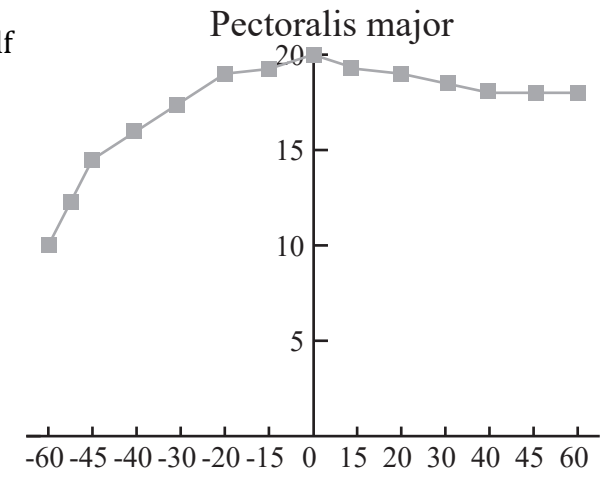
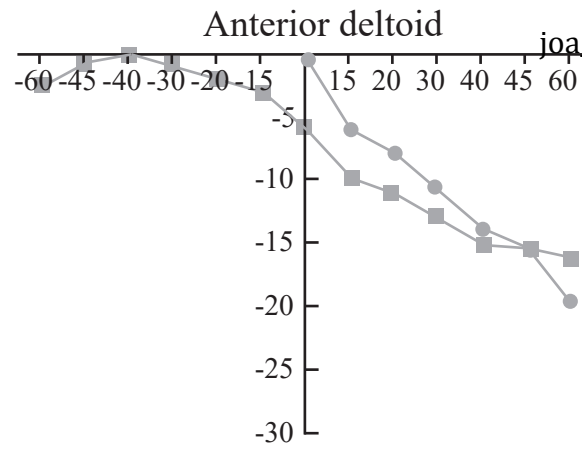
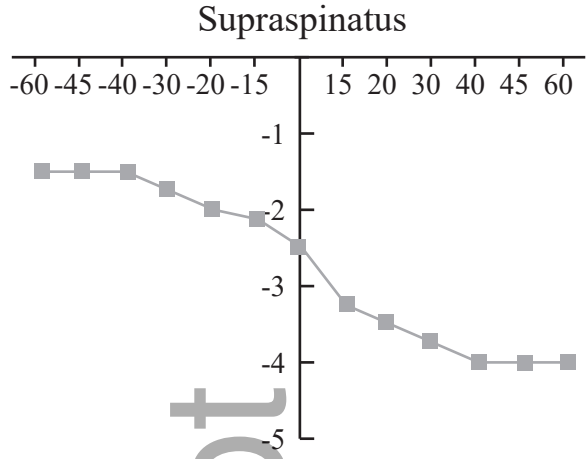
Joint angle (degrees)

Joint angle (degrees)



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Joint angle (degrees)



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