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Immunogenicity and reactogenicity against the SARS-CoV-2 variants following heterologous primary series involving CoronaVac, ChAdox1 nCov-19 and BNT162b2 plus BNT162b2 booster vaccination: An open-label randomized study in healthy Thai adults

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# Immunogenicity and reactogenicity against the SARS-CoV-2 variants following heterologous primary series involving CoronaVac, ChAdOx1 nCoV-19 and BNT162b2 plus BNT162b2 booster vaccination: An open-label randomized study in healthy Thai adults

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## ABSTRACT

We evaluated the immunogenicity and reactogenicity of heterologous COVID-19 primary schedules involving BNT162b2 (Pfizer-BioNTech), ChAdOx1 nCoV-19 (AstraZeneca) and CoronaVac (Sinovac) in healthy adults, as well as booster response to BNT162b2 following heterologous CoronaVac and ChAdOx1 nCoV-19 regimens. Participants were randomized to one of seven groups that received two-dose homologous BNT162b2 or heterologous combinations of CoronaVac, ChAdOx1 nCoV-19 and BNT162b2, with 4 weeks interval. A total of 210 participants were enrolled, 30 in each group. Median age of participants was 38 (19–60) years, and 108/210 (51.43%) were female. Overall adverse events after the second dose were mild to moderate. We found that groups that received BNT162b2 as second dose induced the highest anti-receptor binding domain IgG response against the ancestral strain [BNT162b2: geometric mean concentration (GMC) 2133–2249 BAU/mL; ChAdOx1 nCoV-19: 851–1201; CoronaVac: 137–225 BAU/mL], neutralizing antibodies (NAb) against Beta and Delta, and interferon gamma response. All groups induced low to negligible NAb against Omicron after second dose. A BNT162b2 booster (third dose) following heterologous CoronaVac and ChAdOx1 nCoV-19 regimens induced >140-fold increase in NAb titers against Omicron. Our findings indicate that heterologous regimens using BNT162b2 as the second dose may be an alternative schedule to maximize immune response. While heterologous two-dose schedules induced low NAb against Omicron, the use of an mRNA vaccine booster dose substantially increased the Omicron response. These findings are relevant for low-income countries considering heterologous primary and booster COVID-19 vaccine schedules.

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SARS-CoV-2; COVID-19; heterologous primary series; vaccine; variants of concerns; Delta; Omicron


## Introduction

As of 22<sup>nd</sup> of Feb 2022, the severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has infected more than 400 million people and caused more than 5 million deaths globally.<sup>1</sup> Vaccination against COVID-19 has been crucial for controlling the pandemic, with nine COVID-19 vaccines receiving World Health Organization (WHO) Emergency Use listing (EUL) to date. The ChAdOx1 nCoV-19 (ChAdOx1) (a chimpanzee adenovirus-vectored vaccine expressing the SARS-CoV-2 spike protein, Oxford University-AstraZeneca), BNT162b2 (SARS-CoV-2 spike protein mRNA vaccine, Pfizer-BioNTech, US-Germany) and CoronaVac (an inactivated whole-virion SARS-CoV-2 vaccine, Sinovac Life Science, China) are the most widely used COVID-19 vaccines globally; ChAdOx1 and CoronaVac are used in many low- and middle-income

countries.<sup>2,3</sup> All three vaccines given as two-dose primary schedule were demonstrated to be safe and effective in preventing symptomatic COVID-19 and severe disease caused by the ancestral SARS-CoV-2 Wuhan strain as well as Alpha, Beta, and Delta variants.<sup>4</sup> The SARS-CoV-2 Omicron variant identified in late 2021 was found to harbor 36 mutations in the spike protein that enable the virus to evade immunity induced by infection or vaccination. Since then, many studies have shown that a booster dose is needed to protect against Omicron.<sup>5–8</sup>

Although the level of neutralizing antibodies to protect against infection or severe disease has not been identified, it is clear that neutralizing antibodies correlate with vaccine effectiveness and are believed to be the primary mechanism of protection against SARS-CoV-2 infection.<sup>9</sup> Other aspects of the immune response, in particular cellular immunity, are also

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likely to be important in protection particularly against severe disease and are important to measure following COVID-19 vaccination.

The limited supply of COVID-19 vaccines globally has resulted in less than 10% of the eligible population in low-income countries being fully vaccinated.<sup>10</sup> Heterologous COVID-19 vaccination or mix-and-match COVID-19 vaccine schedules would alleviate the vaccine supply issues and allow more flexible COVID-19 vaccination in these settings. Heterologous COVID-19 vaccination is thought to induce a broader immune response due to the exposure to different vaccine antigens and would also overcome homologous prime-boost with identical viral-vectored vaccines that may be limited by anti-vector immunity. However, the order at which the vaccines are given requires further examination. Such strategies need to be demonstrated to be equally safe and immunogenic compared with current homologous COVID-19 vaccination. While some studies that reported similar or higher immunogenicity following heterologous primary vaccination involving the mRNA vaccines (BNT162b2 and mRNA-1273: SARS-CoV-2 spike protein mRNA vaccine, Moderna, US) and ChAdOx1<sup>11</sup> compared to homologous vaccination,<sup>12</sup> limited data is available for heterologous primary vaccination involving inactivated vaccines, such as CoronaVac.

In Thailand, due to the lack of access to mRNA vaccine in the early phase of the pandemic, and following early reports of better immunogenicity in heterologous primary series than homologous primary series, a CoronaVac-prime and ChAdOx1-boost schedule has been implemented across the country.<sup>13</sup> However, limited data on protection against Delta and Omicron variants exists for these heterologous primary schedules, as well as following an additional booster dose. The objectives of this study are to evaluate the immunogenicity and reactogenicity of heterologous COVID-19 vaccine regimens involving BNT162b2, ChAdOx1, or CoronaVac, as well as BNT162b2 boosting in participants who received ChAdOx1 and CoronaVac as primary series.

## Method

### Study design

This was a single-center, randomized clinical trial conducted at the Clinical Research Center of the Faculty of Medicine Siriraj Hospital (SICRES), Bangkok, Thailand. Participants were openly assigned to one of six heterologous combinations of CoronaVac, ChAdOx1, and BNT162b2 vaccines or a homologous BNT162b2 (as control) group. For heterologous schedules, the interval between the two doses was 4 weeks. Adverse events following the second dose were recorded to determine the safety and reactogenicity. Blood samples were collected at baseline (pre-vaccination), 4 weeks after the first dose (prime), 2 weeks and 10–12 weeks following the second dose (boost) to determine immunogenicity. The clinical study was approved by the Siriraj Institutional Ethics Review Board (approval no. Si537/2021). The procedures in this study adhere

to the tenets of the Declaration of Helsinki. The study was registered at <http://www.thaiclinicaltrials.org/show/TCTR20210720007>.

### Study participants

Participants were healthy adults aged 18–60 years who were not known to be infected with SARS-CoV-2 and have not received any COVID-19 vaccine prior to this study. The exclusion criteria were unstable underlying disease, having acute illness, had a history of anaphylaxis; were pregnant females, were immunocompromised or receiving immunosuppressants at screening. Participants who had a positive SARS-CoV-2 anti-nucleoprotein (anti-NP) or anti-RBD IgG antibody at baseline were excluded.

### Procedures

Participants were recruited into the study following informed consent and were randomized to one of seven prime-boost groups: CoronaVac-ChAdOx1, CoronaVac-BNT162b2, ChAdOx1-CoronaVac, ChAdOx1-BNT162b2, BNT162b2-CoronaVac, BNT162b2-ChAdOx1 or homologous BNT162b2. The 1:1:1:1:1:1:1 randomization of seven groups with blocks size of seven was done prior to the study initiation. The blocked randomization list was created from the sealed envelop<sup>TM</sup>, an online software application. As mRNA booster vaccination as the third dose has been included in the national COVID-10 vaccination program in Thailand, we extended the study to explore the safety and immunogenicity of heterologous BNT162b2 boosting in this cohort. We included the results of the third-dose vaccination using BNT at 10–12 weeks after the second dose, the participants who received CoronaVac-ChAdOx1 and ChAdOx1-CoronaVac primary series in this report.

Following each vaccination, all participants were observed for any immediate reaction for at least 30 min. Participants were instructed to record self-assessments in an electronic diary (eDiary) for seven days after the second dose to track adverse events (AEs), which included solicited local and systemic adverse reactions. Adverse events in this study were defined as any unfavorable or unintended signs, symptoms, or disease that occurs in any participant. Solicited local AEs included pain, erythema, and swelling/induration at the injection site, and localized axillary swelling or tenderness ipsilateral to the injection arm. Solicited systemic AEs include headache, fatigue, myalgia, arthralgia, nausea/vomiting, rash, fever, and chills. The severity of solicited AEs were graded using a numerical scale from 1 to 4 based on the Toxicity Grading Scale for Healthy Adult and Adolescent Volunteers Enrolled in Preventive Vaccine Clinical Trials from the United States Food and Drug Administration (FDA).<sup>14</sup>

Blood samples collected were tested for anti-receptor binding domain of SARS-CoV-2 spike protein IgG (Anti-RBD IgG) at all timepoints and T-cell response using interferon-gamma release assay (IGRA) at four weeks after the first dose and two weeks after the second dose. A qualitative anti-NP IgG was tested at baseline. The standard 50% plaque reduction neutralizing test (PRNT) assay and pseudovirion-based neutralizing test pseudotype

based neutralization assays (PVNT) were used to measure neutralizing antibodies against SARS-CoV-2 variants on blood samples collected at two weeks after the second dose and third dose.

### Laboratory assays

The anti-RBD IgG was measured by chemiluminescent microparticle immunoassay using the SARS-CoV-2 IgG II Quant (Abbott, List No. 06S60) on the ARCHITECT i System. This assay linearly measures the level of antibody between 21.0 and 40,000.0 arbitrary unit (AU)/mL, which was converted later to WHO International Standard concentration as binding antibody unit per mL (BAU/mL) following the equation provided by the manufacturer ( $\text{BAU/mL} = 0.142 \times \text{AU/mL}$ ). A level greater or equal to the cutoff value of 50 AU/mL or 7.1 BAU/mL was defined as seropositive. The qualitative anti-NP IgG was also measured by CMIA using the SARS-CoV-2 IgG (Abbott, List No. 06R86) on the ARCHITECT i System.

The SARS-CoV-2 live-virus neutralization assay ( $\text{PRNT}_{50}$ ) was performed at the Department of Medical Science, Ministry of Public Health, Bangkok, Thailand. Briefly, the Vero cells were seeded at  $2 \times 10^5$  cells/well/3 ml and placed in 37°C 5%  $\text{CO}_2$  incubator for 1 day. Test sera were initially diluted at 1:10, 1:40, 1:160, and 1:640, respectively. SARS-CoV-2 virus (Delta and Beta variant) was diluted in culture medium to yield 40–120 plaques/well in the virus control wells. Cell control wells, convalescent patient serum, and normal human serum were also included as assay controls. Equal volume of diluted serum and the optimal plaque numbers of SARS-CoV-2 virus were incubated at 37°C in water bath for 1 hr. After removing the culture medium from Vero cell culture plates, 200  $\mu\text{l}$  of the virus-serum antibody mixture were inoculated into monolayer Vero cells. The culture plates were rocked every 15 min for 1 h. Three ml of overlay semi-solid medium (Sigma, USA) and 10% FBS were replaced after removing excessive viruses. All plates were incubated at 37°C, 5%  $\text{CO}_2$  for 7 days. Cells were fixed with 10% (v/v) formaldehyde then stained with 0.5% crystal violet in PBS. The number of plaques formed was counted in triplicate wells and percentage of plaque reduction at 50% was calculated. The  $\text{PRNT}_{50}$  of test sample was defined as the reciprocal of the highest test serum dilution for which the virus infectivity is reduced by 50% when compared with the average plaque counts of the virus control and was calculated by using a four-point linear regression method. Plaque counts for all serial dilutions of serum were scored to ensure that there was a dose response. The detection limit of  $\text{PRNT}_{50}$  was 1:10.

SARS-CoV-2 pseudovirus was constructed for pseudotype-based neutralization assays (PVNT). Codon-optimized gene encoding the spike of Omicron (B.1.1.529/BA.1) and Delta (B.1.617.2) were generated by gene synthesis (Genscript) and cloned into the pCAGGS expressing plasmid by In-Fusion assembly (Clontech). Pseudovirus was generated and concentrated as previously described (1). Briefly, human embryonic kidney (HEK) 293T/17 cells were transfected with the pCAGGS-S expression vector (Delta or Omicron) in conjunction with p8.9171 and pCSFLW72 using polyethylenimine

(PEI, Polysciences, Warrington, USA). HIV (SARS-CoV-2) pseudotypes containing supernatants were harvested 72 h post-transfection, aliquoted, and frozen at  $-80^\circ\text{C}$  prior to use.

PVNT were carried out as described previously.<sup>15</sup> Briefly, HEK293T cells overexpressing human ACE2 were maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% FBS, 200 mM L-glutamine, 100  $\mu\text{g/ml}$  streptomycin, and 100 IU/ml penicillin. At 24 h before the assay, cells ( $5 \times 10^5$  cells/ml cells in 10 mm dish) were transfected with pCAGGS expressing codon-optimized human TMPRSS2 using Fugene HD transfection reagent (Promega). Serum samples were heat-inactivated at 56°C for 30 min and subsequently serially diluted from 1:40 to 1:5,120 in complete DMEM prior to incubation with specified SARS-CoV-2 pseudovirus, incubated for 1 h at 37°C and plated onto TMPRSS2-expressing HEK293T-ACE2 target cells ( $1 \times 10^4$  cells/well). After 48 h, luciferase activity was quantified by the addition of Bright-Glo™ luciferase substrate (Promega) and analysis on Synergy™ HTX Multi-Mode Microplate Reader (BioTek). Antibody titer was then calculated by interpolating the point at which infectivity had been reduced to 50% of the value for the no serum control samples using GraphPad Prism 9.0 software.

The IGRA were performed using the Quantiferon SARS-CoV-2 assay (QIAGEN), Maryland, the United States of America according to the manufacturer's instruction. Fresh whole blood samples were collected into tubes containing SARS-CoV-2 S peptide pools for CD4+ T cells (Ag 1), and CD8+ T cells (Ag2), a mitogen tube as the positive control and an unstimulated tube as negative control. The specimen was incubated at 37°C for 16–24 h and centrifuged to separate plasma. Interferon-gamma (IFN- $\gamma$ ) concentration in the plasma fraction was measured with an automated QuantiFERON SARS-CoV-2 ELISA instrument and reported in International Units per mL (IU/mL).<sup>16,17</sup> The cutoff points for positivity were determined as the level above the mean plus three standard deviations of the negative control. Using 61 negative controls at the study site, the cutoffs for Ag1 and Ag2 were greater than 0.12 IU/mL and greater than 0.17 IU/mL, respectively. A positive response to either Ag1 or Ag2 was considered positive.

### Statistical analysis

This study was a descriptive study of immunogenicity and adverse events following heterologous COVID-19 vaccine schedules. A sample size of 30 per group would give 0.9 power to determine the significant difference in anti-RBD IgG based on a previous study of homologous CoronaVac and ChAdOx1.<sup>18</sup> The anti-RBD IgG antibody responses were presented as geometric mean concentrations (GMC) with 95% confidence intervals (CI).  $\text{PRNT}_{50}$  and  $\text{PVNT}_{50}$  data were presented as geometric mean titers (GMT) with 95% CIs. The seroconversion rates, anti-RBD IgG GMCs, and neutralizing antibody GMTs were compared within group and between the groups using paired *t* test and unpaired *t* test. For multiple comparisons, the analysis of variance (ANOVA) of parametric data and Wilcoxon Rank

Sum test of non-parametric data were used to assess the differences among groups. The homologous two-dose CoronaVac and ChAdOx1 primary series previously reported<sup>18</sup> were presented as referenced data. The rate of AEs was presented as frequencies (%) and compared using Fisher's exact test. All statistical analyses were conducted using STATA version 17 (StataCorp, LP, College Station, TX, USA).

## Results

### Participant's baseline characteristics

Between January 2021 – June 2021, a total of 220 participants were screened and 210 were enrolled. Participants were assigned to one of the seven groups (30 per group) of either two-dose homologous BNT162b2 or heterologous combinations of CoronaVac, ChAdOx1, and BNT162b2 (CoronaVac-ChAdOx1, CoronaVac-BNT162b2, ChAdOx1-CoronaVac, ChAdOx1-BNT162b2, BNT162b2-CoronaVac, BNT162b2-ChAdOx1). Among the participants, eight participants were infected with SARS-COV-2 at baseline, defined by positive anti-nucleoprotein antibody (anti-NP IgG) and were excluded from the analysis, while one participant was lost to follow up (Figure 1). The overall median (range) age

of study participants were 38 (19–60) years old, and 108/210 (51.43%) were female. The baseline characteristics were similar across the seven groups (Table 1).

### Anti-SARS-CoV-2 RBD IgG response against ancestral strain following heterologous primary series

All participants from each group seroconverted at 4 weeks after the first dose. At 2 weeks after the second dose, the anti-RBD IgG levels were highest among the groups who received BNT162b2 as second dose (Figure 2): CoronaVac-BNT162b2 (2181.8 BAU/mL, 95%CI 1558.2 to 3055.1) and ChAdOx1-BNT162b2 groups (2132.7 BAU/mL, 95%CI 1696.1 to 2,681.7); both groups have similar IgG levels compared with the homologous BNT162b2-BNT162b2 group (2248.8 BAU/mL, 95%CI 1691.3 to 2,990.0). These levels were significantly higher compared with the groups who received ChAdOx1 or CoronaVac as second dose (CoronaVac-ChAdOx1: 851.4 BAU/mL, 95%CI 649.5 to 1116.1; BNT162b2-ChAdOx1: 1201.2 BAU/mL, 95%CI 947.9 to 1522.1; ChAdOx1-CoronaVac: 137.04 BAU/mL, 95%CI 103.6 to 186.4; BNT162b2-CoronaVac: 225.2 BAU/mL, 95%CI 177.1 to 286.4) (Figure 2 and Table S1). Based on our previous data on homologous ChAdOx1 or

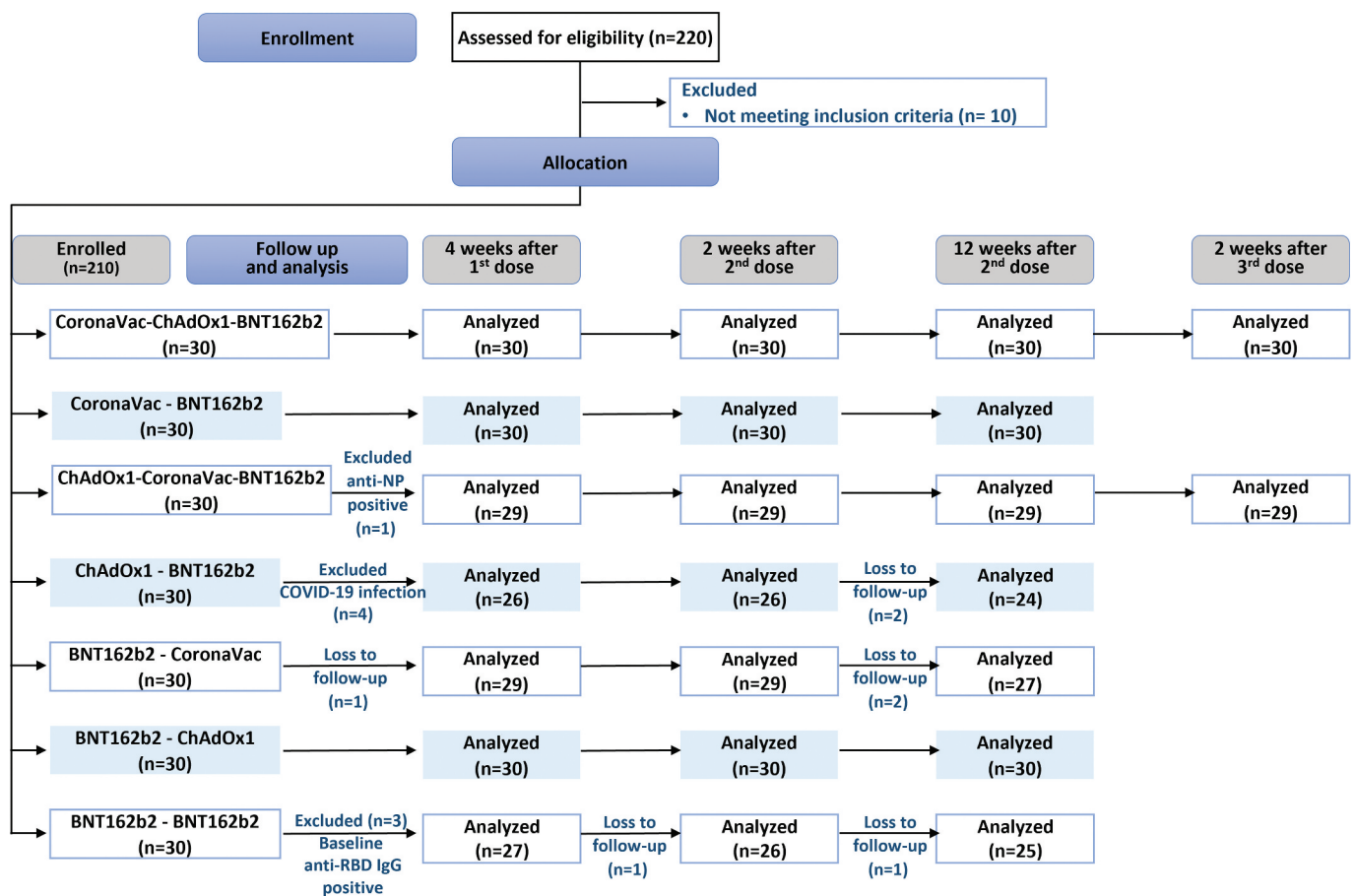


Figure 1. Consort flow diagram describing the allocation and number of analyzed participants in each study group.

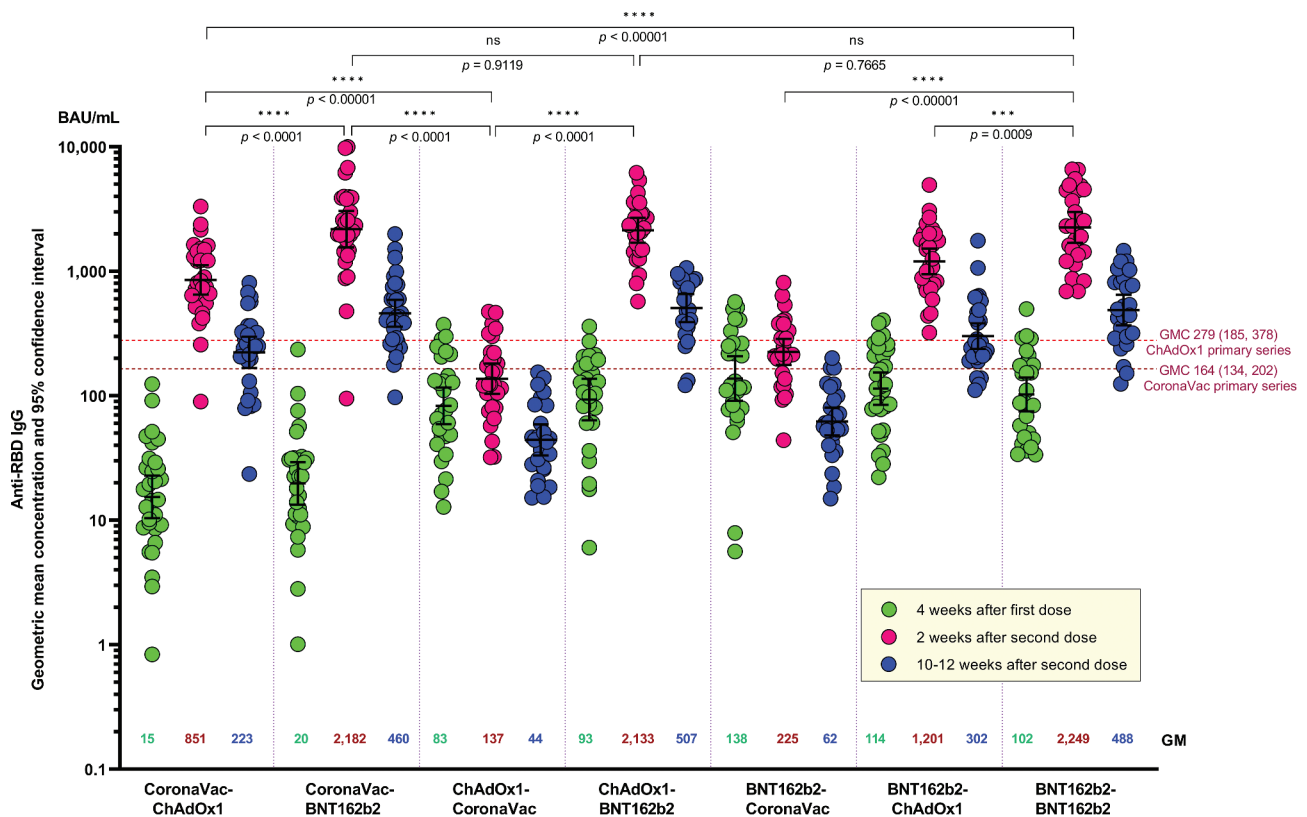
**Table 1.** Baseline characteristics of study participants.

	The groups by vaccine used as the first and second dose						
	CoronaVac - ChAdOx1	CoronaVac - BNT162b2	ChAdOx1 - CoronaVac	ChAdOx1- BNT162b2	BNT162b2 - CoronaVac	BNT162b2 - ChAdOx1	BNT162b2 - BNT162b2
Numbers of enrolled participants	n = 30	n = 30	n = 30	n = 30	n = 30	n = 30	n = 30
Age (years), median, IQR	39 (33, 46)	30.5 (24, 38)	40.5 (36, 48)	39 (29, 43)	34.5 (25, 47)	32.5 (26, 41)	36.5 (32, 43)
Female, n (%)	15 (50)	18 (60)	14 (46.67)	19 (63.33)	13 (43.3)	16 (53.3)	13 (43.3)
BMI, median (IQR)	25.25 (22.70, 28.10)	23.40 (21.00, 26.50)	23.5 (21.30, 26.00)	20.95 (19.60, 24.50)	24.15 (20.10, 27.70)	24.35 (20.80, 28.70)	24.30 (21.40, 26.10)
Hypertension, n (%)	1 (3.33)	0	4 (13.33)	1 (3.33)	0	2 (6.67)	0
Dyslipidemia, n (%)	0	0	2 (6.67)	0	0	2 (6.67)	0
Diabetes, n (%)	0	0	1 (3.33)	0	0	0	0

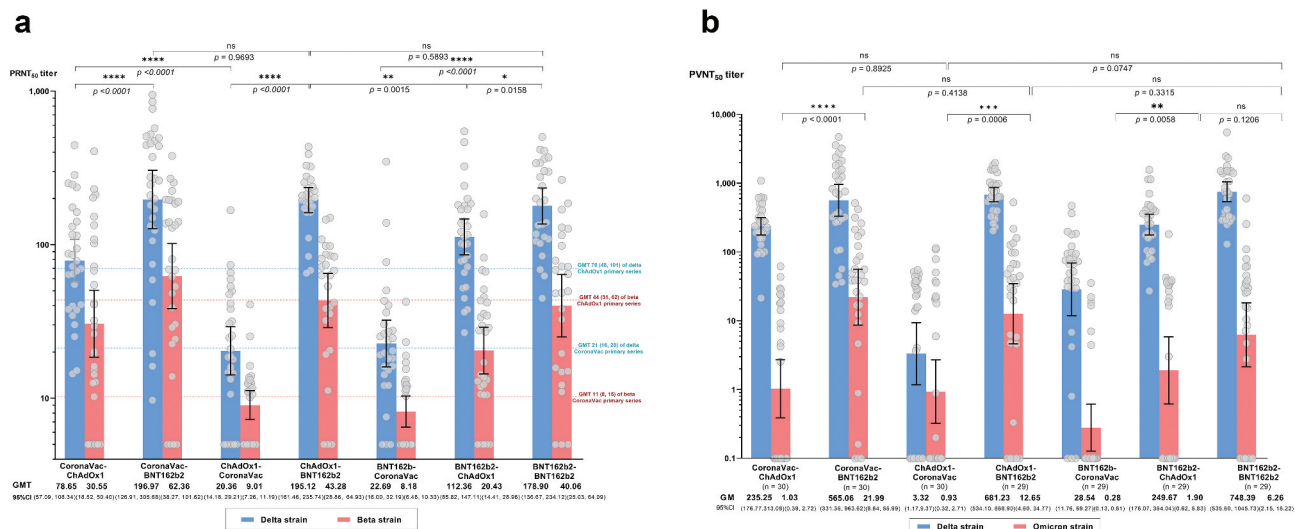
CoronaVac primary series at 2 weeks after the second dose<sup>18</sup>, heterologous schedule with ChAdOx1 as second dose (CoronaVac-ChAdOx1 or BNT162b2-ChAdOx1) induced significantly higher IgG than the homologous ChAdOx1 or CoronaVac schedules ( $p < 0.0001$ ). In contrast, similar or lower IgG levels were observed with heterologous schedule with CoronaVac as second dose (ChAdOx1-CoronaVac or BNT162b2-CoronaVac) (Figure 2 and Table S1). The geometric mean ratio (GMR) from dose 1 to dose 2 were highest in those that received CoronaVac as first

dose and received either ChAdOx1 (55-fold) or BNT162b2 (110-fold) as second dose. The GMR ratio between dose 1 and 2 in groups that received CoronaVac as the second dose (ChAdOx1-CoronaVac and BNT162b2-CoronaVac) was the lowest (approximately 1.6-fold) (Table S1).

At 10–12 weeks post-second dose, there was a 3- to 4-fold decrease in IgG levels across all groups (Figure 2 and Table S1). The groups that received CoronaVac as the second dose had IgG levels significantly lower than the levels found at 4 weeks post first dose ( $p < 0.0001$ ).



**Figure 2.** Anti-SARS-CoV-2 receptor binding domain (RBD) IgG at 4 weeks after the first dose, and 2 and 10–12 weeks after the second dose. Numbers in the graph represent geometric mean concentration (GMC) and the error bars represent 95% confidence interval. Dotted lines represent the GMC (95% CI) of anti-SARS-CoV-2 RBD IgG at 2 weeks after the second dose of homologous primary series of CoronaVac and ChAdox1 reported by our study group using the same laboratory method and facility as this study.<sup>4</sup> Unpaired *t*-test was used to compare IgG GMC between groups at two weeks after second dose vaccination.



**Figure 3.** Neutralizing antibody titers against SARS-CoV-2 variants at two weeks after second dose. (a) Plaque reduction neutralization titers (Prnt<sub>50</sub>) against Delta (blue) and Beta (red) variants. The dot line referred to the geometric mean tires at 2 weeks after the second dose of homologous CoronaVac or ChAdOx1 reported by our group using the same laboratory methods and facility with this study.<sup>4</sup> (b) Pseudovirus-based neutralizing antibody titers (Pvnt<sub>50</sub>) against Delta (blue) and Omicron variants (red). Numbers on the x-axis represent geometric mean titer (GMT) and error bars represent 95% confidence interval (CI). Unpaired t-test was used to compare PRNT<sub>50</sub> between each group at two weeks after second dose.

### Neutralizing antibody responses against SARS-CoV-2 variants (Delta, Beta, and Omicron) following heterologous primary series

Neutralizing antibodies (PRNT<sub>50</sub>) against the Delta and Beta SARS-CoV-2 variants for each group were measured at 2 weeks after the second dose using plaque-reduction neutralization test (Figure 3). Similar to the IgG response against the ancestral Wuhan strain, the groups whom were given BNT162b2 as second dose had significantly higher PRNT<sub>50</sub> against Delta and Beta than the groups that received ChAdOx1 or CoronaVac as the second dose (PRNT<sub>50</sub> geometric mean titers (GMT) for Delta: BNT162b2 groups 195.12–196.97 (95%CI 126.9 to 305.7), ChAdOx1 groups 78.65–112.36 (95%CI 57.1 to 147.1), CoronaVac groups 20.36–22.69 (95%CI 14.2 to 32.2),  $p < 0.001$ ; PRNT<sub>50</sub> for Beta: BNT162b2 groups 43.28–62.36 (95%CI 28.9 to 101.6), ChAdOx1 groups 20.43–30.56 (95%CI 14.4 to 50.4), CoronaVac groups, 8.18–9.01 (95%CI 6.5 to 11.2),  $p < 0.001$ ) (Figure 3(a) and Table S1). The PRNT<sub>50</sub> in the heterologous groups that received BNT162b2 as second dose were similar to those in the homologous BNT162b2 group. For all groups, PRNT<sub>50</sub> against the Beta variant were reduced by 2 to 5-fold compared to the Delta variant (Table S1).

Due to the unavailability of live-virus assay against Omicron, we used pseudovirus-based neutralization assay to evaluate neutralizing antibody activity against Omicron and Delta to compare the two variants. There was a strong correlation between the PVNT<sub>50</sub> and PRNT<sub>50</sub> titers against Delta ( $r = 0.79$ ) (Figure S1). At 2 weeks after the second dose, the seropositivity rate (defined as  $>1:10$  PVNT<sub>50</sub>) against Omicron among groups who received BNT162b2, ChAdOx1, and CoronaVac as second dose were 80% (45/56), 50% (30/60), and 21% (12/58), respectively. While the seropositivity rate was 62% (18/29) for the homologous 2-dose BNT162b2 group. Overall, PVNT<sub>50</sub> levels against Omicron was low across the groups and were 28- to 229-fold lower than Delta, depending on the vaccine schedules (Figure 3(b) and Table S1).

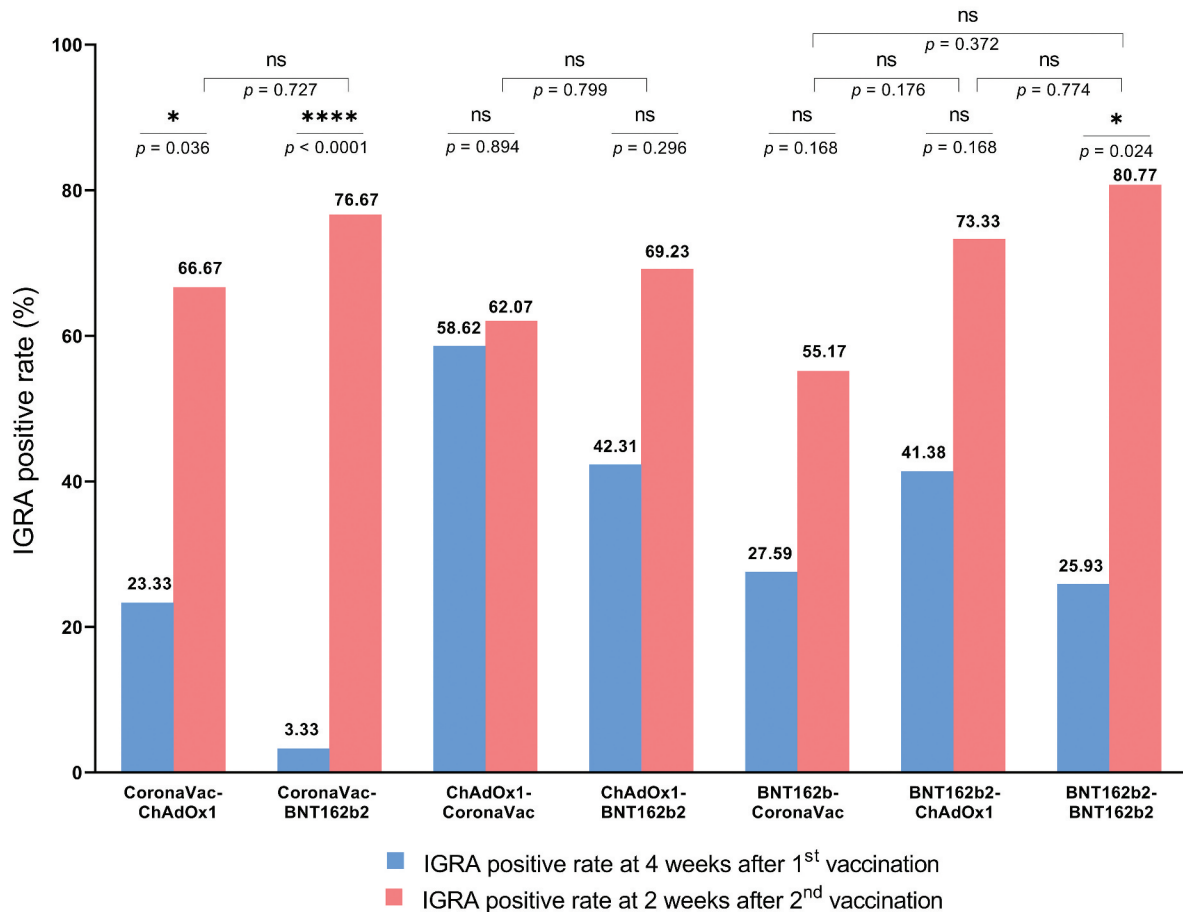
The PVNT<sub>50</sub> against both Delta and Omicron were significantly lower among groups who received CoronaVac as second dose compared to the other groups.

### Interferon gamma responses following heterologous primary series

The interferon gamma response was measured using the interferon gamma release assay (IGRA) as a surrogate for SARS-CoV-2-specific T cell responses. At 2 weeks after the second dose, groups who received BNT162b2 as second dose ( $>80\%$  of participants, including homologous BNT162b2 group) had the highest IGRA positivity rate, followed by the groups who received ChAdOx1 (66–73%) or CoronaVac (55–59%) as second dose (Figure 4 and Table S2). The IGRA levels are shown in Figure S2.

### Immunogenicity of BNT162b2 booster (3<sup>rd</sup> dose) vaccination in the groups that received heterologous CoronaVac and ChAdox1 in the primary series

Based on the relatively lower immunogenicity observed in the groups of CoronaVac-ChAdOx1 and ChAdOx1-CoronaVac, and the relevance of these schedules for Thailand and other LMICs, we investigated the immunogenicity of a BNT162b2 booster given at 10–12 weeks after the second dose. At two weeks after the booster dose, the anti-RBD IgG levels against the ancestral strain were similar between the CoronaVac-ChAdOx1 and ChAdOx1-CoronaVac groups (2518.8, 95%CI: 1960.4, 3236.4 BAU/mL and 2610.6, 95%CI 2037.7–3344.5 BAU/mL, respectively,  $p = 0.84$ ) (Figure 5(a) and Table S1). However, the GMR between two-week post third and two-week post second dose were 2.5 and 18.9 for CoronaVac-ChAdOx1-BNT162b2 and ChAdOx1-CoronaVac-BNT162b2 groups, respectively (Table S1).



**Figure 4.** Proportion of interferon-gamma release assay (IGRA)-positivity rate at four weeks after the first dose and two weeks after the second dose. IGRA-positive is defined as positive response to either antigen 1 (Ag1) or antigen 2 (Ag2) of the assay. The proportions of IGRA positive following the first dose vaccination in the groups that received the same vaccine were not significantly different.

Consistent with the anti-RBD IgG results, two weeks after a BNT162b2 booster, neutralizing antibodies against Omicron were 145.8- and 1150.6-fold higher than two weeks after the second dose for CoronaVac-ChAdOx1-BNT162b2 and ChAdOx1-CoronaVac-BNT162b2 groups, respectively (Figure 5(b) and Table S1). Notably, the ChAdOx1-CoronaVac-BNT162b2 group had higher neutralizing antibodies against Delta and Omicron than CoronaVac-ChAdOx1-BNT162b2 group (Table S1). Neutralizing antibody titers against Omicron were around 2-3-fold lower than against Delta.

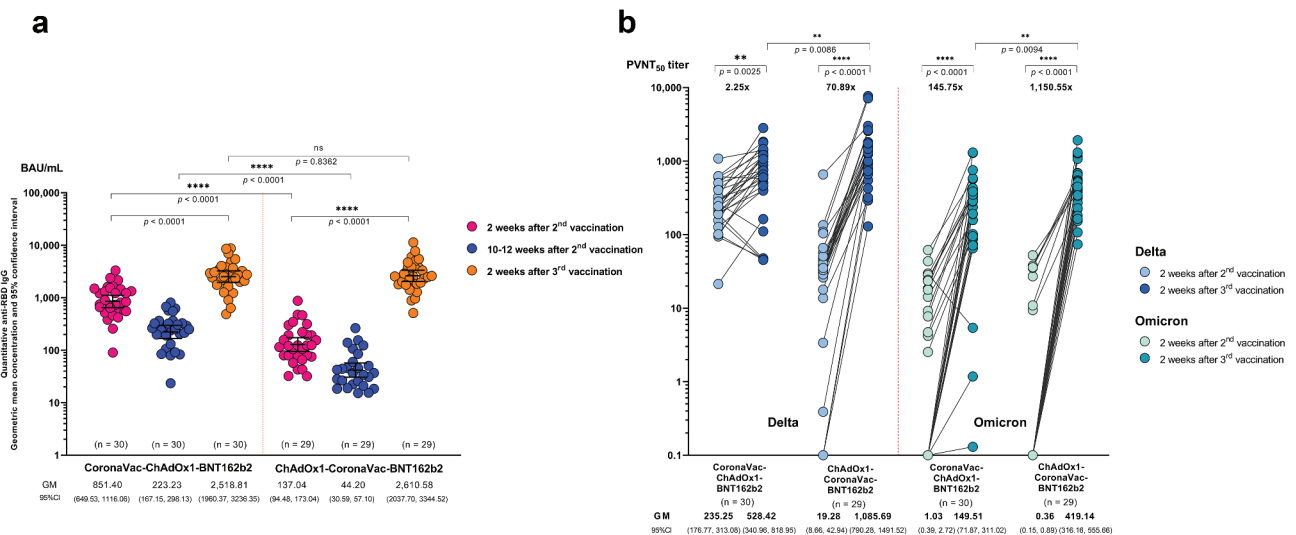
### Reactogenicity of second dose and third dose following heterologous vaccination

The overall adverse events (AE) reported for all groups following the second dose were mild to moderate, with no serious AE reported (Figure 6). Systemic reactions were most frequent among those who received CoronaVac-ChAdOx1 (97%, 29/30), while similar local reactions were observed between those who received BNT162b2 as the second dose, as well as those who received CoronaVac-ChAdOx1 schedule (87%–93%). Those who received CoronaVac as the second dose had significantly lower local reactions (45–53%) or systemic reactions (48–67%) compared to the other groups. The most frequently reported

systemic reactions among all the study groups were fatigue, myalgia, and headaches. The AE reported after the BNT162b2 booster (third) dose was similar to that reported after the BNT162b2 second dose.

### Discussion

Heterologous vaccination may improve the immunogenicity and flexibility of vaccine schedules, particularly when the supply of a vaccine is limited. Our findings showed that heterologous schedules involving BNT162b2, ChAdOx1 and CoronaVac are safe but their immunogenicity varied depending on the type of vaccine given as the second dose. Of note, using BNT162b2 as the second dose, regardless of the vaccine type given as first dose, induced the highest humoral and cellular immune responses, and is equivalent to homologous BNT162b2 schedules. These schedules, however, were poorly immunogenic against Omicron. An additional-dose BNT162b2 was able to boost the neutralizing antibody response against Omicron in participants who received heterologous CoronaVac-ChAdOx1 or ChAdOx1-CoronaVac. Taken together, heterologous prime-boost schedules using BNT162b2 booster dose as the second dose may improve the immunogenicity of



**Figure 5.** Humoral immune response following a BNT162b2 booster (3rd dose) vaccination in the groups that received heterologous CoronaVac and ChAdOx1 in the primary series. (a) anti-SARS-CoV-2 receptor binding domain (RBD) IgG kinetics at two weeks after second dose (pink), 12 weeks after second dose (blue), and two weeks after the third booster dose (orange). (b) Pseudovirus-based neutralizing antibody titers (PVNT50) against Delta (blue) and Omicron (green) variants following a BNT162b2 booster (third dose) at two weeks after second dose and two weeks after the third booster dose. Numbers on the x-axis represent geometric mean titer. The fold change and significance  $p$ -value comparing two weeks after the third booster and two weeks after second dose.

homologous CoronaVac and ChAdOx1 primary series, but unlikely to protect against Omicron for which a third booster BNT162b2 is needed.

Our findings on heterologous schedules involving ChAdOx1 and BNT162b2 supports earlier findings from studies predominately from Europe where priming of ChAdOx1 and boosting with BNT162b2 as the second dose induced higher humoral and cell-mediated immune responses than homologous ChAdOx1 primary series,<sup>11,12,19–21</sup> and to some extent higher cell-mediated immune responses than homologous BNT162b2 primary series. Some studies have found better neutralizing capacity against the SARS-CoV-2 variants and immune memory responses following heterologous ChAdOx1–BNT162b2 schedule compared to homologous ChAdOx1 or BNT162b2.<sup>22</sup> Whether other combinations of heterologous COVID-19 vaccination, including longer intervals between different vaccines can improve protection against SARS-CoV-2 variants is unknown. The heterologous schedules in this study were given 4 weeks apart and are similar to the intervals for homologous BNT162b2 or CoronaVac vaccination. This interval may provide earlier protection against SARS-CoV-2 infection unlike homologous ChAdOx1 vaccination where the intervals are generally recommended between 8 and 12 weeks to yield a better protection. This is particularly relevant for protection against the Beta and Delta variant, where two doses of the vaccines are needed.<sup>23</sup>

While there have been data on heterologous schedules involving ChAdOx1 and BNT162b2, to our knowledge, only one study has evaluated heterologous primary series involving inactivated vaccine such as CoronaVac.<sup>13</sup> The study found higher immunogenicity of CoronaVac–ChAdOx1 schedule than either homologous CoronaVac or ChAdOx1 vaccination.<sup>13</sup> Consistent with this finding, we found that CoronaVac given as the first priming dose induced sufficient immune memory, which when boosted

with either ChAdOx1 and BNT162b2 as the second dose induced high levels of humoral and cellular immunity. It is possible that the robust immunogenicity induced by heterologous COVID-19 vaccination is due to the exposure to different vaccine antigens. In the case of viral-vectored vaccine, heterologous vaccination may overcome anti-vector immunity caused by repeated homologous prime-boost with identical viral-vectored vaccines. However, we found that CoronaVac given as the second dose following first priming dose of ChAdOx1 or BNT162b2 were weakly immunogenic, raising concerns on the protection offered by such schedules. This finding indicates that not all heterologous schedules improve immunogenicity, and the immunogenicity is somewhat dependent on the sequence of certain vaccines. Further investigations of such schedules are warranted particularly for countries that have access to only CoronaVac and ChAdOx1 and are considering a mix-and-match approach.

Homologous primary series of CoronaVac, ChAdOx1 or BNT162b2 have reduced efficacy against symptomatic infection caused by SARS-CoV-2 variants, particularly Delta.<sup>23–25</sup> The clinical efficacy of heterologous schedules in this study is unknown, however protection is likely to be similar or greater than homologous schedules given the association between humoral responses and vaccine efficacy.<sup>9,26</sup> Indeed, a recent observational study on the immunogenicity and efficacy of ChAdOx1–BNT162b2 vaccination found that this schedule provided better protection against SARS-CoV-2 infection, including the variants (Omicron not tested) than the homologous BNT162b2.<sup>22</sup>

In LMICs that have access to COVID-19 vaccines, access to mRNA vaccines is limited. Heterologous primary series involving CoronaVac and ChAdOx1 if proven to provide similar or better protection against SARS-CoV-2 than homologous CoronaVac or ChAdOx1 series will allow more flexible

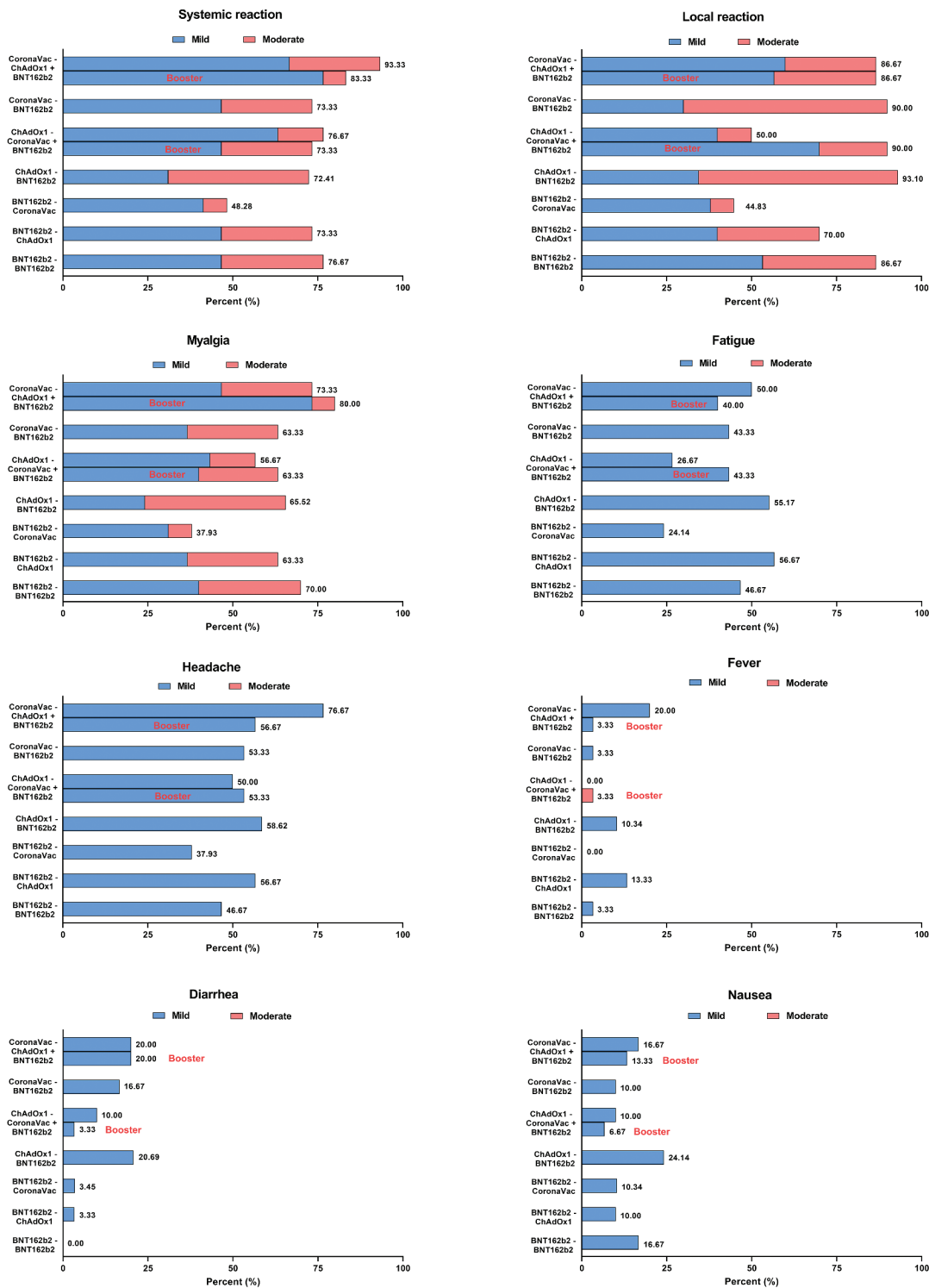


Figure 6. Adverse events following second dose and third dose. Stacked bars represents the proportion of participants who reported mild and moderate adverse events.

COVID-19 vaccination arrangement, and potentially improve vaccine uptake. With improved vaccination coverage, particularly in LMICs, this would reduce the chance of new variants emerging and improve global equity. Evaluation of such schedule in a Thailand have revealed similar vaccine effectiveness between heterologous CoronaVac-ChAdOx1 schedule (74%) compared to homologous 2-dose ChAdOx1 (83%), and higher

than 2-dose CoronaVac (60%) schedule.<sup>27</sup> In countries where mRNA vaccines are in limited supply, their use as a second dose in the primary series or third dose would have the benefit of providing robust immunogenicity while also potentially reducing the risks of the rare side effects, such as pericarditis and myocarditis which are mainly reported after the second dose of mRNA vaccines.<sup>28,29</sup> In addition, repeat dosing of the

same viral-vector vaccine may also induce anti-vector immunity, potentially reducing the protective immune responses, which can be overcome by heterologous schedule.

It is increasingly evident that three doses of COVID-19 vaccines either as a homologous mRNA vaccine schedule or heterologous primary schedule with mRNA vaccine as booster are needed to protect against the Omicron variant.<sup>30,31</sup> This is in line with recent immunological studies that showed that three doses of homologous mRNA schedule or heterologous schedule with mRNA as booster induced high levels of neutralizing antibodies against Delta and Omicron variants.<sup>32–34</sup> Consistent with this finding, we found that a third dose of BNT162b2 given to participants who received CoronaVac and ChAdOx1 primary series, in either sequence, induced high levels of neutralizing antibodies against the Delta and Omicron variants. This is of interest that despite the poorer immunogenicity of ChAdOx1-CoronaVac primary series compared to when BNT162b2 are given as second dose, the BNT162b2 given as a third dose was able to induce high level humoral immunity against Omicron. However, the threshold of protection against omicron is still unknown. This is the first study to demonstrate neutralizing antibodies against SARS-CoV-2 variants following heterologous triple vaccine platform schedules. This study supports a mix-and-match COVID-19 vaccination schedule as a primary series followed by a booster using BNT162b2.

Limitations of this study were that the participants were not blinded for the study vaccine, which may have influenced the reporting of adverse reactions. Secondly, the small sample size in each group did not allow us to identify any potential rare AEs. However, findings from this study have been used to inform the Thailand National COVID-19 vaccination program recommendation by including the CoronaVac-ChAdOx1 regimen during the time when mRNA vaccines were not available. Ongoing surveillance of any rare AEs and the clinical effectiveness of this heterologous schedule will inform the feasibility of such schedule against the SARS-CoV-2 variants. Lastly, the BNT162b2 booster in this study was only given to two groups who received CoronaVac and ChAdOx1, and there was no homologous three-dose BNT162b2 group for comparison of their immunogenicity. Despite this, it was clear that BNT162b2 as the third booster vaccination provided high neutralizing activity against Delta and Omicron, which is likely to provide protection against these two predominant variants. Future studies incorporating mRNA booster to other heterologous primary schedules will be of interest, particularly with other COVID-19 vaccines that have recently received WHO EUL.

In conclusion, we found that heterologous COVID-19 primary series using either ChAdOx1 or BNT162b2 as second dose were highly immunogenic against SARS-CoV-2 ancestral strain, Beta and Delta variants, but low neutralizing antibody against Omicron. A third dose of BNT162b2 given to individuals who received heterologous primary series of CoronaVac and ChAdOx1 induced high neutralizing antibodies against Delta and Omicron. Larger studies, including longer follow-up are needed to validate these findings clinically and evaluate the persistence of immune responses following heterologous

COVID-19 vaccination. Our findings have implications for countries where have implemented heterologous COVID-19 primary series involving CoronaVac and ChAdOx1, and in settings where there are critical vaccine supply issues, particularly mRNA vaccine.

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## Data availability statement

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Disclosure statement

No potential conflict of interest was reported by the author(s).

## Ethics

The study was conducted in accordance with the principles laid out in the Declaration of Helsinki guidelines for research involving human subjects. The study protocol was reviewed and approved by the Siriraj Institutional Ethics Review Board (approval no. Si537/2021).

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