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Elucidation of the origin of “*agriocrithon*” based on domestication genes questions the hypothesis that Tibet is one of the centers of barley domestication

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25 **Running head:** *Hordeum vulgare*, agricultural origins, agriocrithon, six-rowed barley,
26 non-brittle rachis, domestication

27

28 **Key words:** The origin of six-rowed barley

29 **Summary**

30 Wild barley forms a two-rowed spike with a brittle rachis, whereas domestic barley has
31 two- or six-rowed spikes with a tough rachis. As does domestic barley, “agriocrithon”
32 forms a six-rowed spike; however the spike is brittle the same as wild barley, which
33 makes the origin of *agriocrithon* obscure. Haplotype analysis of the *Six-rowed spike 1*
34 (*vrs1*) and the *Non-brittle rachis 1* (*btr1*) and 2 (*btr2*) genes was conducted to infer the
35 origin of *agriocrithon* barley. Some *agriocrithon* barley accessions (*eu-agriocrithon*)
36 carried *Btr1* and *Btr2* haplotypes that are not found in any cultivars, implying that they
37 are directly derived from wild barley through a mutation at the *vrs1* locus. Other
38 *agriocrithon* barley accessions (*pseudo-agriocrithon*), carried *Btr1* or *Btr2* from
39 cultivated barley, thus implying that they originated from hybridization between six-
40 rowed landraces carrying *btr1Btr2* and *Btr1btr2* genotypes followed by recombination
41 to produce *Btr1Btr2*. All materials we collected from Tibet belong to *pseudo-*
42 *agriocrithon* and thus do not support the Tibetan Plateau as a center of barley
43 domestication. Tracing the evolutionary history of these allelic variants revealed that *eu-*
44 *agriocrithon* represented six-rowed barley lineages that were selected by early farmers;
45 once in south-eastern Turkmenistan (*vrs1.a1*) and again in the eastern part of
46 Uzbekistan (*vrs1.a4*).

47

48

49 **Introduction**

50 The spike of wild barley (*Hordeum vulgare* ssp. *spontaneum*) disarticulates at maturity
51 because it has a brittle rachis. In contrast the rachis of cultivated barley (*H. vulgare* ssp.
52 *vulgare*) remains intact. The loss of the brittle rachis, which represents one of the so-
53 called key “domestication traits” in barley, results from a deletion in either of *Btr1* or
54 *Btr2*, the two tightly linked genes located on chromosome 3H (Pourkheirandish *et al.*,
55 2015). A second major domestication trait in barley affects the spike morphology. In

56 *Hordeum* species, the spikelets that develop along the spike nodes, are arranged in
57 triplets. In ssp. *spontaneum*, only the central spikelet is fertile, a condition retained in
58 cultivated two-rowed ssp. *vulgare*. The six-rowed spike, in which all three spikelets at a
59 given rachis node are fertile, appears to have arisen at least four times independently
60 (Komatsuda *et al.*, 2007, Saisho *et al.*, 2009) through loss-of-function mutations in the
61 gene *Vrs1* (termed *vrs1.a1*, *a2*, *a3* and *a4*). The *vrs1.a2* and *vrs1.a3* alleles originated
62 from two-rowed cultivars carrying the functional alleles *Vrs1.b2* and *Vrs1.b3*,
63 respectively (Komatsuda *et al.*, 2007). However, the origins of *vrs1.a1* (the most
64 common allele in six-rowed barley) and *vrs1.a4* are yet to be resolved.

65
66 A brittle rachis, six-rowed spike barley, named *agriocrithon* (*H. vulgare* ssp. *vulgare* f.
67 *agriocrithon* [Åberg] Bowd.), was first described by Åberg based on material from
68 Tibet (Åberg, 1938). The origin of this type has been hotly disputed. One view is that it
69 was derived from a wild progenitor through a mutation in the *Vrs1* gene and that it is the
70 progenitor of six-rowed cultivars (Åberg, 1940, Schiemann, 1951). Others have
71 suggested that it arose following hybridization between wild ssp. *spontaneum* and a
72 cultivated six-rowed ssp. *vulgare* (Zohary, 1963, Konishi, 2001, Tanno and Takeda,
73 2004), and a third hypothesis is that it segregated from an inter cultivar hybrid (Bothmer
74 *et al.*, 1995). Now that the genes of two vs. six-rowed spike (Komatsuda *et al.*, 2007)
75 and brittle vs. tough rachis (Pourkheirandish *et al.*, 2015) have been clarified it is
76 possible to study the evolution of *agriocrithon* barley in the framework of *Vrs1*, *Btr1*
77 and *Btr2*. We analyzed the allelic state of these genes in a set of 454 barley lineages
78 with the aim of (i) determining the origin of *agriocrithon* barley, (ii) understanding the
79 origin of six-rowed barley, and (iii) infer whether Tibet is one of the centers of barley
80 domestication (Dai *et al.*, 2012, Dai *et al.*, 2014).

81

82 Results

83 **Genealogical lineages within the *vrs1* locus.** All the 30 *agriocrithon* accessions had a
84 brittle rachis and a six-rowed spike to fulfill the definition of *agriocrithon*. In a first step
85 we sequenced the *vrs1* locus in 30 *agriocrithon* accessions to assess which allele(s) is
86 responsible for their six-rowed spike type. Analyzing these data together with the full
87 set of alleles occurring in the panel of 454 barley accessions (Saisho *et al.*, 2009)
88 resulted in 72 distinct *vrs1* haplotypes (Table S1 and S2). In *agriocrithon* barleys three
89 haplotypes occur, two (Hap5 and 7) belonging to the *vrs1.a1* group of alleles found in
90 13 accessions, and one *vrs1.a4* type (Hap8) was found in 17 accessions (Table 1). Hap5,
91 the basic haplotype within the *vrs1.a1* allele group, is the progenitor of eight further
92 sub-haplotypes (Hap4, 7, 9, 11, 13, 14, 16 and 17). It was derived from Hap54 (*Vrs1.b1*;
93 Figure 1 and 2) through a single base deletion in the third *Vrs1* exon (Komatsuda *et al.*,
94 2007), producing a protein truncated at the C-terminal region. Hap54 occurs in the wild
95 barley accession ICWB181495 from Turkmenistan but was not found among tested
96 two-rowed *ssp. vulgare* accessions. Thus, it might be lost within *ssp. vulgare* or that
97 Hap5 arose from Hap54 in *ssp. spontaneum*.

98
99 The second six-rowed type found in *agriocrithon* barley is *vrs1.a4* - represented by
100 Hap8 (Figure 1). Because the *vrs1.a4* allele did not show any lesions within the *Vrs1*
101 ORF, an allelism test was performed. When crossed with *vrs1* loss-of-function type
102 (*vrs1.a1*), F₁ hybrids showed the six-rowed phenotype which confirms that *vrs1.a4* is
103 truly allelic to the *vrs1.a1* (Figure 2). The markedly reduced abundance of *Vrs1*
104 transcript in *vrs1.a4* carriers has been proposed as the determinant of the six-rowed
105 phenotype (Sakuma *et al.*, 2013). Although the causative mutation/s for *vrs1.a4* remains
106 to be identified, the key polymorphism may reside in the regulatory elements of the
107 gene. Hap8 (*vrs1.a4* carriers) was identified in 17 *agriocrithon* (Table 1) and 21 six-
108 rowed *ssp. vulgare* accessions (Table S1), as well as two *ssp. spontaneum* accessions
109 (*Vrs1.b4* carriers) collected in Turkmenistan and Uzbekistan. This is a strong indication
110 that *vrs1.a4* may have arisen in Central Asia (Table S2). Hap8 was not found among
111 two-rowed *ssp. vulgare* accessions implying that Hap8 either was lost within two-rowed
112 *ssp. vulgare* or that Hap8 of *agriocrithon* arose from *ssp. spontaneum*.

113

114 Only one haplotype (Hap2, Figure 3) was found in *vrs1.a2*, defined by a single base

115 insertion in exon 2, which induces a frameshift mutation (A40>F.S.) (Komatsuda *et al.*,
116 2007). This haplotype originated from Hap6 (*Vrs1.b2*), which is carried by the two-
117 rowed cultivar ‘Palmella Blue’ and *ssp. spontaneum* accession ICWB181462 (Table S1
118 and S2). ‘Palmella Blue’ is a North African landrace and ICWB181462 was collected in
119 Jordan. Hap2 was found in eight six-rowed *ssp. vulgare* lines from southern Europe. We
120 hypothesized that two-rowed Hap6 was introgressed from *ssp. spontaneum* into a two-
121 rowed *ssp. vulgare* (like Palmella Blue), after which it mutated into six-rowed Hap2.
122 This notion is supported by the wide dispersal of *vrs1.a2* among both North African
123 (Saisho *et al.*, 2009) and southern European cultivars (Table S1).

124
125 The *vrs1.a3* allele is represented by Hap3 and 3.2 (Figure 3). The phylogeny implies
126 that Hap3 was derived from Hap1 (*Vrs1.b3*) through a single nucleotide substitution in
127 exon 2, which changed a phenylalanine to leucine at position 85 (F85>L) in the
128 conserved homeodomain (Figure 3). Hap1 was found in *ssp. spontaneum* accession
129 ICWB181442, as well as 45 two-rowed *ssp. vulgare* lines. Hap3 occurred in 18 six-
130 rowed *ssp. vulgare* lines distributed in Europe, East Asia and North America, whereas
131 ICWB181442 was collected in Jordan. Thus, it seems that Hap1 was introduced into the
132 cultivated gene pool from a *ssp. spontaneum* parent, and later mutated to Hap3 within a
133 cultivated two-rowed type. There is no pedigree data available for Hap3 lines collected
134 from Korea, Japan or China suggesting that these lines are landraces. Since many of the
135 Hap3-carrying cultivated barleys were collected in East Asia, it is likely that Hap3
136 originated there. Otherwise a cultivar carrying Hap3 was initially introduced into East
137 Asia where it spread across the region.

138
139 **Sequence variation in the *btr1* and *btr2* loci.** As *agriocrithon* types are characterized
140 by the brittle rachis, we sequenced both *Btr* loci in our set of 30 *agriocrithon* accessions
141 to infer the allelic composition of this trait, and analyzed them together with the
142 sequences published previously (Pourkheirandish *et al.*, 2015). The 2.4 Kbp *btr1*
143 sequences consist of 86 haplotypes; 81 haplotypes of *ssp. vulgare* and *ssp. spontaneum*
144 were described previously (Pourkheirandish *et al.*, 2015). There were ten functional
145 *Btr1* haplotypes among the *agriocrithon* accessions (Table 1). Of eight haplotypes
146 absent from the *ssp. vulgare* gene pool, three were shared with *ssp. spontaneum*, and the
147 other five, which were likely sub-haplotypes of these three, were unique to *agriocrithon*

148 (Figure 4). Of the remaining haplotypes, one (B1Hap023) was present in cultivated
149 barleys with *Btr1btr2* genotype (named as *btr2*-type), and the other (B1Hap213) was
150 probably derived from B1Hap023 by a 62 nt insertion in the 3' non-coding region.

151

152 The 4.9 Kbp *btr2* sequences consist of 135 haplotypes. The 127 haplotypes present in
153 *ssp. vulgare* and *ssp. spontaneum* were described by Pourkheirandish *et al.*, (2015).

154 Eleven functional *Btr2* haplotypes occurred in *agriocrithon* accessions (Table 1), two of
155 which were shared with *ssp. spontaneum*, and a further six were derivatives of the latter
156 two, and unique to *agriocrithon* (Figure 5). The remaining three comprised: B2Hap224,

157 which was present in some *btr1*-type cultivars (Pourkheirandish *et al.*, 2015);

158 B2Hap267, which was specific to *agriocrithon* and likely derived from B2Hap224

159 through a T to C substitution in the 5' non-coding region; and B2Hap268, which

160 differed from B2Hap267 by G to A substitution, also in the 5' non-coding region.

161

162 **The genotypic composition of *vrs1* and *Btr1/Btr2* in *agriocrithon***

163 ***vrs1.a1* carriers.** Six *agriocrithon* accessions (HOR 4904, 3886, 3900, 3552, 8509:

164 Hap5 and 7269; Hap7) carrying *vrs1.a1* have variable *Btr1* and *Btr2* haplotypes,

165 implying that their *Btr* genes are largely unrelated to one another and unrelated to those

166 of cultivars, sharing only the *vrs1.a1* allele (Table 1). Here, these *agriocrithon* barley

167 are defined as “*eu-agriocrithon*”. The diversity with respect to collection sites for the

168 accessions (Azerbaijan, Turkmenistan, Israel and unknown) supports the notion that

169 they are unlikely to be related by pedigree. Hap54 (*Vrs1.b1*), the progenitor of Hap5, is

170 present in *ssp. spontaneum* accession ICWB181495 from Turkmenistan, which suggests

171 that this region was the site where Hap5 first became established to form an

172 *agriocrithon* barley. Genotypes from Azerbaijan, Israel and unknown sites could be the

173 result of out-crossing of *agriocrithon* × wild barley.

174

175 Seven *agriocrithon* accessions (HOR 2451, 2452, 2508, 2908, 2453, 2454 and 2464:

176 Hap5) carried B1Hap023 (the most common *Btr1* haplotype among *btr2*-type cultivars).

177 Four of them harbored B2Hap267 and the other three B2Hap268. Both haplotypes were

178 likely derived from B2Hap224 (the most common *Btr2* haplotype among *btr1*-type

179 cultivars) (Figure 5). All of these seven accessions were collected in Tibet suggesting

180 that they descended from a common progenitor. The combination of *Btr1/2* haplotypes

181 within this group is unique (Figures 4 and 5) and does not exist in wild barley, which
182 implies that they originated through hybridization between six-rowed *btr1*-type
183 (*btr1Btr2*) and six-rowed *btr2*-type (*Btr1btr2*) cultivars followed by recombination that
184 produced a six-rowed type with brittle rachis (Figure 6). Here, these *agriocrithon* barley
185 are defined as “*pseudo-agriocrithon*” (Table 1).

186
187 ***vrs1.a4* carriers.** Of 17 *agriocrithon* accessions harboring *vrs1.a4* (Hap8), six (HOR
188 2268, 2456, 2460, 2461, 2465 and 2466) were collected in Tibet and carried B1Hap210
189 and B2Hap224 implying a close relationship among them. B1Hap210 is similar to
190 B1Hap097 (Figure 4) harbored by Jordan (ICWB180007, ICWB180014, ICWB181212,
191 and ICWB181215) and Syrian (ICWB181562 and ICWB181746) *ssp. spontaneum*
192 accessions, whereas B2Hap224 found in *btr1*-type cultivars but not in any *ssp.*
193 *spontaneum* accessions. The haplotype combination of B1Hap210 and B2Hap224 or
194 their close relatives is not found in any of 505 wild barley accessions analyzed
195 previously (Pourkheirandish *et al.*, 2015). Lack of the haplotype combination suggests
196 that this six *agriocrithon* lines could be generated due to hybridization between wild
197 and domesticated types (*Btr1Btr2* × *btr1Btr2*) followed by recombination between
198 *Btr1/2* loci. These *agriocrithon* barley are *pseudo-agriocrithon* (Table 1).

199
200 HOR 3904 and 3906 both carry B1Hap084 and B2Hap098, a combination that also
201 occurs in Uzbek *ssp. spontaneum* accession ICWB181752. HOR 3905 and 3907 both
202 harbor B1Hap084 / B2Hap269; the latter haplotype was likely derived from B2Hap098
203 (Table 1). The Uzbek wild accession harbors Hap8 for the *vrs1.a4* allele, the same as
204 the four *agriocrithon* accessions (Figure 3). Even though the collection sites for HOR
205 3904 to 3907 are unknown the evidence is strong that the Uzbek accession represents a
206 progenitor of this small group of *agriocrithon* entries. Two accessions (HOR 3902 and
207 3903) carried B1Hap065 and B2Hap270. B1Hap065 was also present in four *ssp.*
208 *spontaneum* accessions from Israel [FT161-163 and 647, see Supplementary Table 7 in
209 (Pourkheirandish *et al.*, 2015)] whereas B2Hap270 is closely related to the haplotype
210 present in two other Israeli *ssp. spontaneum* accessions [FT118 and 121, see
211 Supplementary Table 7 in (Pourkheirandish *et al.*, 2015)]. The collection sites of HOR
212 3902 and 3903 are not recorded, but the haplotype data are suggestive of origins in the
213 western side of the Fertile Crescent. These six *agriocrithon* barley are *eu-agriocrithon*

214 (Table 1).

215

216 Three accessions (HOR 2463, 3901 and 9517) carried B1Hap023 and B2Hap267. HOR
217 2507 carried B1Hap023 and B2Hap268, and HOR 1645 carried B1Hap213 and
218 B2Hap267 (Table 1). Haplotypes at *Btr1* and *Btr2* show that both HOR 2507 and HOR
219 1645 were derived from three accessions (HOR 2463, 3901 and 9517). B1Hap023 is
220 found also in a number of *btr2*-type cultivars, whereas B2Hap267 and B2Hap268 were
221 both derived from B2Hap224 dominant in *btr1*-type cultivars, implying their origin was
222 hybridization between cultivated forms followed by recombination between *Btr1/2* loci.
223 HOR 1645, 2463, 2507 and 9517 are recorded as having been collected in Tibet,
224 whereas HOR 3901 is of unknown origin. The combinations of *Btr1* and *Btr2*
225 haplotypes represented among *agriocrithon* accessions carrying *vrs1.a4* are similar to
226 those displayed by the Tibetan *vrs1.a1 agriocrithon* accessions, suggesting that they too
227 arose from crosses between different *ssp. vulgare* types (*pseudo-agriocrithon*, Figure 6).

228 **Discussion**

229

230 **The likely donor of *vrs1.a1* and *vrs1.a4* of *ssp. vulgare* was *agriocrithon*. A**
231 spontaneous mutation at the *vrs1* locus converted the two-rowed spike of *ssp.*
232 *spontaneum* barley into a six-rowed spike which was defined as *eu-agriocrithon*. The
233 *vrs1* gene tree shows that the *a1* and *a4* alleles, which persist in contemporary
234 *agriocrithon*, are derived from two independent mutations. The former was likely
235 derived from *Vrs1.b1* by a 1 bp deletion in the coding sequence (Komatsuda *et al.*,
236 2007). *Vrs1.b1* has been retained in *ssp. spontaneum* but not in two-rowed *ssp. vulgare*.
237 The suggestion is that the mutation from *Vrs1.b1* to *vrs1.a1* in *ssp. spontaneum* initiated
238 the new lineage denoted here as *eu-agriocrithon* (Figure 1). A reverse mutation (i.e., the
239 restoration of the deleted nucleotide) would be a highly improbable event, so the
240 likelihood of a *vrs1.a1* carrier being the immediate ancestor of *Vrs1.b1* is remote. It is
241 not possible to exclude the possibility that mutation of *Vrs1.b1* to *vrs1.a1* occurred in a
242 two-rowed *ssp. vulgare* line. This could have been too rare to be captured in the global
243 germplasm set, or is possibly extinct. However there is no supportive evidence for this
244 scenario, thus the most likely situation is that *eu-agriocrithon* was the progenitor of
245 *vrs1.a1* six-rowed barley. The combined haplotypes at *vrs1*, *btr1* and *btr2* suggest a
246 plausible hypothesis that *vrs1.a1 eu-agriocrithon* arose from a *ssp. spontaneum*
247 population similar to the one from which ICWB181495 was collected (in southwest of
248 Turkmenistan along the Iranian border in central Asia).

249

250 Both *vrs1.a4* and *Vrs1.b4* alleles share the same coding sequence and the two alleles
251 must differ in their regulatory regions. *Vrs1.b4* is represented in *ssp. spontaneum* but
252 not in any two-rowed *ssp. vulgare* accession hence suggesting that *vrs1.a4* arose in a
253 wild population. Based on these data, a yet unknown mutation in *Vrs1.b4* might have
254 generated the *vrs1.a4* allele to create another *eu-agriocrithon*. According to the
255 combined haplotypes at *vrs1*, *btr1* and *btr2*, it is most likely that the *vrs1.a4 eu-*
256 *agriocrithon* was derived from a *ssp. spontaneum* population similar to the one from
257 which ICWB181752 was collected in the eastern part of Uzbekistan. Although an
258 alternative scenario is that a *vrs1.a4* six-rowed *ssp. vulgare* type gained the brittle rachis
259 trait. The probability of the necessary reverse mutation, entailing the insertion of either
260 one nucleotide into the *btr1* allele or 11 nucleotide into *btr2*, is very low. The *Btr1* and

261 *Btr2* haplotypes of the *vrs1.a1* and *vrs1.a4* *eu-agriocrithon* accessions are rather
262 distantly related to those found in the *ssp. vulgare* genepool, implying that *eu-*
263 *agriocrithon* was unlikely to have arisen from *ssp. vulgare*. All of the *eu-agriocrithon*
264 accessions appear to be sister forms of *ssp. spontaneum*, in which the *vrs1* gene has
265 undergone a loss-of-function mutation. Hybridization between primary *eu-agriocrithon*
266 and the other wild barley genotypes would generate secondary *eu-agriocrithon* forms
267 represented by the *agriocrithon* accessions with largely unrelated *Btr1/2* haplotypes
268 while, sharing only their *vrs1* allele.

269
270 The best current hypothesis holds that both *vrs1.a1* and *vrs1.a4* were introgressed from
271 *eu-agriocrithon* into other wild barley genotypes and then outcrossed to cultivated
272 barley (Figure 1). Out-crossing occurs in *ssp. spontaneum* at a frequency of around 2%
273 (Abdel-Ghani *et al.*, 2004). Thereafter, segregation at *vrs1* and *btr1/2* could generate the
274 combination of non-brittle rachis and a six-rowed spike, because the *vrs1* and *btr1/2*
275 map to different chromosomes (Pourkheirandish and Komatsuda, 2007). The alternate
276 scenario requires a mutation at one of the *Btr1* or *Btr2* genes in *eu-agriocrithon* to
277 generate a non-brittle rachis and six-rowed spike (cultivated barley) – an event that is
278 unlikely given the genetic distance of the *Btr1* and *Btr2* haplotypes in *eu-agriocrithon*
279 from those in *ssp. vulgare*. Moreover, both of the mutations from *Btr1* to *btr1* and *Btr2*
280 to *btr2* are believed to have occurred in the Levant (Pourkheirandish *et al.*, 2015), which
281 is geographically far away from Turkmenistan / Uzbekistan.

282
283 **The outcome of recombination between *btr1* and *btr2*.** That recombination explains
284 the origin of *agriocrithon* barley has been frequently suggested, but as yet no
285 experimental demonstration has been produced to support it. A sub-group of the
286 *agriocrithon* accessions harbor a functional *Btr1* haplotype B1Hap023 that present in
287 *btr2*-type cultivars plus a functional *Btr2* haplotype B2Hap267 or B2Hap268 derived
288 from B2Hap224 that present in *btr1*-type cultivars (Table 1), suggesting a
289 recombination between genes *Btr1* and *Btr2* following cultivar hybridization (*btr1Btr2*
290 × *Btr1btr2*) (Figure 6A). Brittle-rachis segregants have been successfully generated
291 from inter cultivar crosses (Pourkheirandish *et al.*, 2015), showing the possibility of
292 recombination between *btr1* and *btr2* and supporting the possible origin of *agriocrithon*
293 through recombination. An appropriate nomenclature for such types is *pseudo-*

294 *agriocrithon* (Figure 6). Origin for this *agriocrithon* group is not through loss-of-
295 function mutation at *vrs1* in a ssp. *spontaneum* background because there is no example
296 of a ssp. *spontaneum* accession carrying the same *Btr1/2* haplotype as that represented
297 among *pseudo-agriocrithon* accessions. Another sub-group of the *agriocrithon*
298 accessions such as HOR 2456 from China, Tibet/Lhasa (Table 1) harbor a functional
299 *Btr1* haplotype B1Hap210 that was similar to wild collected from Jordan plus a
300 functional *Btr2* haplotype B2Hap224 that present in *btr1*-type cultivars, suggesting a
301 recombination between genes *Btr1* and *Btr2* following wild-cultivar hybridization
302 ($Btr1Btr2 \times btr1Btr2$) (Figure 6B). It's also very unlikely that this group is a result of
303 loss-of-function mutation at *vrs1* in a ssp. *spontaneum* background because the
304 haplotype combination or their close relatives does not exist in any of 505 ssp.
305 *spontaneum* studied previously (Pourkheirandish *et al.*, 2015). The present germplasm
306 panel included 18 *pseudo-agriocrithon* accessions, all collected from Tibet.
307 *Agriocrithon* barley of Tibet was suggested as a progenitor for domestication, resulting
308 in an independent type of cultivated barley (Dai *et al.*, 2012, Dai *et al.*, 2014), however
309 this hypothesis was based on phylogenetic analysis using whole genome and
310 transcriptome data that can be affected by recombination. Based on our current results
311 all of the *agriocrithon* materials from Tibet are *pseudo-agriocrithon* that resulted from
312 hybridization involving domesticated barleys. Even though we had no access to the
313 specific *agriocrithon* accessions used by Dai *et al.* (2012, 2014), the present evidence
314 suggests that Tibetan *agriocrithon* barley resulted from *btr1* and *btr2* genetic
315 recombination that involved cultivated barley.

316
317 **The origin of six-rowed barley is resolved.** The proposal here is that *vrs1.a1* and
318 *vrs1.a4* emerged in *agriocrithon* before they were introgressed into ssp. *vulgare* (Figure
319 1). The current germplasm panel comprised 196 six-rowed barley accessions, of which
320 149 were carriers of *vrs1.a1*, eight of *vrs1.a2*, 18 of *vrs1.a3* and 21 of *vrs1.a4*. The
321 origin of two alleles (*vrs1.a2* and *a3*) was shown previously (Komatsuda *et al.*, 2007) to
322 involve single mutations at the *vrs1* locus that changed the domesticated two-rowed to
323 six-rowed phenotype (Figure 1). The predominant *vrs1.a1* allele included the largest
324 number of derived haplotypes that agree with the suggestion that it represents the most
325 ancient *vrs1* allele (Komatsuda *et al.*, 2007). Both *vrs1.a1* and *vrs1.a4* arose in Central
326 Asia, some 2,500km distant from the site of emergence of non-brittle rachis. The

327 occurrence of six-rowed types among wild barley implies that the six-rowed allele pre-
328 dates domestication, when early farmers still relied on *ssp. spontaneum*. This time line
329 agrees, in part at least, with the notion that six-rowed cultivated barley was derived from
330 *agriocrithon* (Åberg, 1938). The non-brittle rachis trait represents a strong genetic
331 bottleneck, and its geographical origin lies far from that of *eu-agriocrithon*, clearly
332 implying that *eu-agriocrithon* could not have been the immediate ancestor of non-brittle
333 barleys. They were donors of *vrs1.a1* and *vrs1.a4* to cultivated barley.

334

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335 **Experimental procedures**

336 **Plant materials.** A set of 30 *agriocrithon* (*H. vulgare* ssp. *vulgare* f. *agriocrithon*
337 [Åberg] Bowd.) accessions was obtained from the Leibniz Institute of Plant Genetics
338 and Crop Plant Research (IPK, Gatersleben, Germany); the sites of collection included
339 Tibet (17 accessions), Israel (2), Azerbaijan (1), Turkmenistan (1) and unknown (9)
340 (Table 1). All these accessions had a brittle rachis and a six-rowed spike. For cultivated
341 barley 274 accessions (Table S1) were obtained from Okayama University (Okayama,
342 Japan), and 150 accessions of ssp. *spontaneum* of diverse geographical origins (Table
343 S2) was provided from ICARDA (Aleppo, Syria). The material was all autumn sown in
344 the field at NIAS (Tsukuba, Japan). Azumamugi (AZ) is a Japanese six-rowed cultivar
345 and SV169 and SV176 are six-rowed landraces collected in Nepal were used for
346 allelism test of six-rowed trait. GenBank accession *vrs1.a1* haplotype of AZ is
347 AB489126 and *vrs1.a4* haplotype of SV169 and SV176 are AB478714.

348

349 **Amplicon sequencing and the detection and analysis of variants.** Genomic DNA of
350 all 30 *agriocrithon* accessions was isolated from fresh leaves using an established
351 protocol (Komatsuda *et al.*, 1998). The three amplicons generated were (1) a 2.1 Kbp
352 fragment of *vrs1* including about 660 bp upstream of the 900 bp coding sequence and
353 510 bp downstream, (2) a 2.4 Kbp fragment of *btr1* including about 500 bp upstream of
354 the 600 bp coding sequence and 1400 bp downstream, and (3) a 4.9 Kbp fragment of
355 *btr2* including about 1900 bp upstream of the 600 bp coding sequence and 2400 bp
356 downstream. Amplicons were generated, purified and sequenced as described
357 previously (Komatsuda *et al.*, 2007, Pourkheirandish *et al.*, 2015). Sequencing reads
358 were imported into Sequencher v4.8 (Gene Codes Corp., Ann Arbor, MI, USA) for
359 assembly and low quality bases at the read ends were removed. Seventy-two haplotypes
360 of *vrs1*, 81 haplotypes of *btr1* and 127 haplotypes of *btr2* present in ssp. *vulgare* and
361 ssp. *spontaneum* were downloaded from GenBank (accession numbers provided in
362 (Saisho *et al.*, 2009, Pourkheirandish *et al.*, 2015)).

363

364 **Phylogenetic analysis.** The sequences were aligned using the ClustalW algorithm
365 implemented in the MEGA 6 package (www.megasoftware.net). Singleton variants (i.e.,
366 those detected in just one accession) were confirmed by manual checking of the
367 sequencing trace. A phylogenetic analysis was carried out, using the neighbor-joining

368 method in MEGA 6. Homogenous patterns among lineages and uniform rates of sites
369 were assumed for the phylogenetic analysis. The pairwise-deletion option for treating
370 gaps/missing data (Tamura *et al.*, 2011) was adopted. A bootstrap analysis (500
371 replicates) was performed to provide confidence estimates for the tree topology.

372

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382

383 **Author contribution:** M.P. assess row-type and rachis type. M.P., H.K., F.B., and J.W.
384 carried out haplotype analysis. S.S. and T.K. performed allelism test. M.P. and T.K.
385 designed the experiments. M.P., F.B., and T.K. wrote the manuscript. All authors have
386 reviewed and commented on the manuscript.

387

388 **Short Supporting Information Legends**

389 **Table S1.** The 274 cultivars (*H. vulgare* ssp. *vulgare*) provided by the University of
390 Okayama.

391 **Table S2.** The 150 wild barley (*H. vulgare* ssp. *spontaneum*) accessions provided by
392 ICARDA.

393

394

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451 **Figure legends**

452
453 **Figure 1.** Schematic of the evolution of six-rowed barleys including the formation of
454 *eu-agriocrithon*. In *eu-agriocrithon*, *vrs1.a1* is shared by HOR 4904, HOR 3886, HOR
455 3900, HOR 3552, HOR 8509 and HOR 7269, and *vrs1.a4* is shared by HOR 3904,
456 HOR 3906, HOR 3905, HOR 3907, HOR 3902 and HOR 3903 (Table 1).

457
458 **Figure 2.** Allelism of *vrs1.a4* to the *vrs1* locus. (A) cv. Azumamugi (AZ, *vrs1.a1*). (B)
459 Tilman Camp 1 (SV169, *vrs1.a4*). (C) Gho 1 (SV176, *vrs1.a4*). (D) F₁ resulting from
460 hybridization of SV169 and AZ. (E) F₁ resulting from hybridization of SV176 and AZ.
461 Both F₁ hybrids showed six-rowed spike confirming that *vrs1.a4* is an allele at *vrs1*
462 locus. Scale bar = 1 cm.

463
464 **Figure 3.** Gene tree of the *vrs1* locus based on re-sequencing of 150 wild and 274
465 domesticated accessions (Saisho *et al.*, 2009) and 30 *agriocrithon* accessions from this

466 study. Haplotype codes appear in Table 1 and supplementary Tables 1 and 2. The
467 multiple sequence alignment covers 2,067 nt and reveals 72 haplotypes. Haplotypes
468 occurring in six-rowed accessions are shown in blue and split into four allele groups
469 (*vrs1.a1* to *vrs1.a4*). Haplotypes occurring in two-rowed are shown in black except for
470 Hap8 (*vrs1.a4*) present in both six-rowed (*ssp. vulgare* and *agriocrithon*) and two-
471 rowed accessions (*ssp. spontaneum*) are in blue. Haplotypes existing in *agriocrithon* are
472 marked with circles. Hap10 appears only in *deficiens* (rudimental lateral spikelets).
473 Haplotypes appear in non-brittle are marked with asterisk except for Hap8 (*vrs1.a4*)
474 appears in brittle rachis accessions too. Bootstrap values > 75% from 500 replicates are
475 shown on the branches.

476

477 **Figure 4.** Gene tree of the *btr1* locus for the *Hordeum vulgare* germplasm panel with
478 150 wild and 274 domesticated accessions (Pourkheirandish *et al.*, 2015) plus 30
479 *agriocrithon* accessions from this study. Abbreviated haplotype codes H000 stand for
480 B1Hap000 in Table 1 and supplementary Tables 1 and 2. The multiple sequence
481 alignment covering 2,562 nt reveals 86 haplotypes. *btr1* carriers are shown in orange
482 and *Btr1* in black. Haplotypes existing in *agriocrithon* accessions are marked with dots.
483 Dashed lines encircle haplotypes occurring in tough-rachis accessions except for those
484 that also appear in *pseudo-agriocrithon* accessions. Haplotype H023 occurs in wild
485 barley in addition to domesticated and *agriocrithon* accessions.

486

487 **Figure 5.** Gene tree of the *btr2* locus for the *Hordeum vulgare* germplasm panel with
488 150 wild and 274 domesticated accessions (Pourkheirandish *et al.*, 2015) plus 30
489 *agriocrithon* accessions from this study. Abbreviated haplotype codes H000 stand for

490 B2Hap000 in Table 1 and supplementary Tables 1 and 2. The multiple sequence
491 alignment covers 5,181 nt and reveals 135 haplotypes. *btr2* carriers are shown in green,
492 *Btr2* in black. Haplotypes existing in *agriocrithon* accessions are marked with dots.
493 Dashed lines encircle haplotypes occurring in tough-rachis types except for those
494 marked in blue that also present in *pseudo-agriocrithon*.

495
496 **Figure 6.** Origin of *pseudo-agriocrithon*. (A) Hybridization between domesticated
497 barleys followed by recombination between the *btr1* and *btr2* genes created the *pseudo-*
498 *agriocrithon*. †: B2Hap267 and B2Hap268 derived from B2Hap224 through
499 consequential nucleotides substitution in the 5' non-coding region. (B) Hybridization
500 between wild and domesticated barley followed by recombination between the *btr1* and
501 *btr2* genes created the *pseudo-agriocrithon*.

502
503 **Table 1.** Allelic constitution for 30 lines of *H. vulgare* ssp. *agriocrithon* provided by
504 IPK.

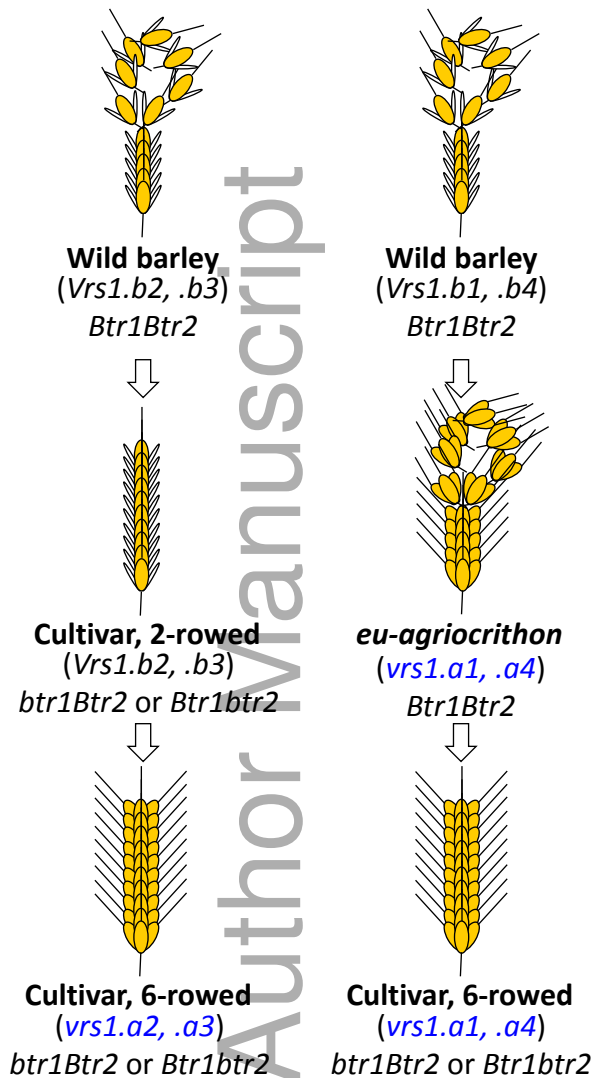


Figure 1. Schematic of the evolution of six-rowed barleys including the formation of *eu-agriocrithon*. In *eu-agriocrithon*, *vrs1.a1* is shared by HOR 4904, HOR 3886, HOR 3900, HOR 3552, HOR 8509 and HOR 7269, and *vrs1.a4* is shared by HOR 3904, HOR 3906, HOR 3905, HOR 3907, HOR 3902 and HOR 3903 (Table 1).



Figure 2. Allelism of *vrs1.a4* to the *vrs1* locus. (A) cv. Azumamugi (AZ, *vrs1.a1*). (B) Tilman Camp 1 (SV169, *vrs1.a4*). (C) Gho 1 (SV176, *vrs1.a4*). (D) F₁ resulting from hybridization of SV169 and AZ. (E) F₁ resulting from hybridization of SV176 and AZ. Both F₁ hybrids showed six-rowed spike confirming that *vrs1.a4* is an allele at *vrs1* locus. Scale bar = 1 cm.

Figure 3. Gene tree of the *vrs1* locus based on re-sequencing of 150 wild and 274 domesticated accessions (Saisho *et al.*, 2009) and 30 *agriocrithon* accessions from this study. Haplotype codes appear in Table 1 and supplementary Tables 1 and 2. The multiple sequence alignment covers 2,067 nt and reveals 72 haplotypes. Haplotypes occurring in six-rowed accessions are shown in blue and split into four allele groups (*vrs1.a1* to *vrs1.a4*). Haplotypes occurring in two-rowed are shown in black except for Hap8 (*vrs1.a4*) present in both six-rowed (*ssp. vulgare* and *agriocrithon*) and two-rowed accessions (*ssp. spontaneum*) are in blue. Haplotypes existing in *agriocrithon* are marked with circles. Hap10 appears only in *deficiens* (rudimental lateral spikelets). Haplotypes appear in non-brittle are marked with asterisk except for Hap8 (*vrs1.a4*) appears in brittle rachis accessions too. Bootstrap values > 75% from 500 replicates are shown on the branches.

- *eu-agriocrithon* only
- *eu-agriocrithon* and *ssp. spontaneum*
- *pseudo-agriocrithon* only
- *pseudo-agriocrithon* and *ssp. vulgare*

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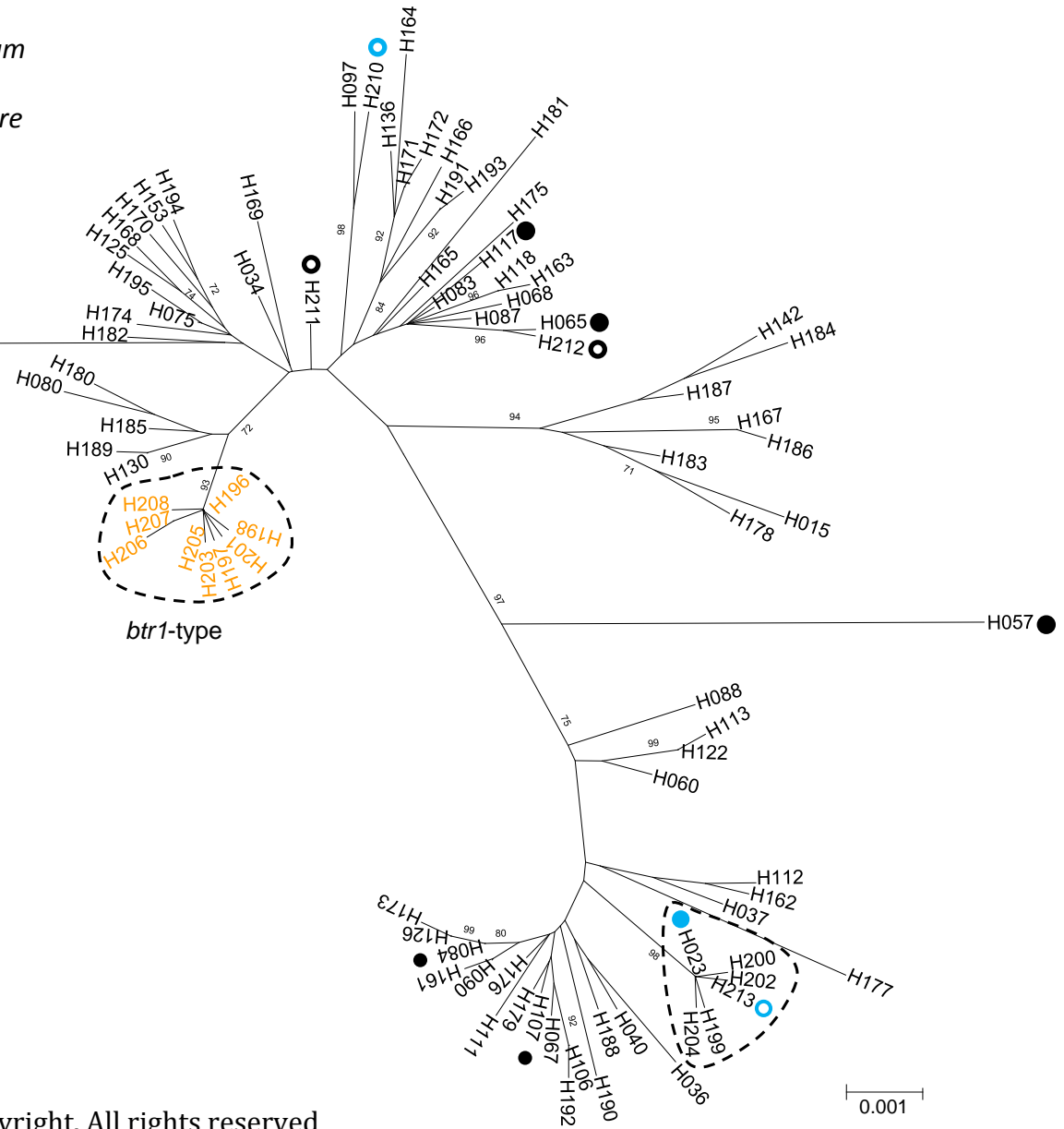


Figure 4.

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Figure 4. Gene tree of the *btr1* locus for the *Hordeum vulgare* germplasm panel with 150 wild and 274 domesticated accessions (Pourkheirandish *et al.*, 2015) plus 30 *agriocrithon* accessions from this study. Abbreviated haplotype codes H000 stand for B1Hap000 in Table 1 and supplementary Tables 1 and 2. The multiple sequence alignment covering 2,562 nt reveals 86 haplotypes. *btr1* carriers are shown in orange and *Btr1* in black. Haplotypes existing in *agriocrithon* accessions are marked with dots. Dashed lines encircle haplotypes occurring in tough-rachis accessions except for those that also appear in *pseudo-agriocrithon* accessions. Haplotype H023 occurs in wild barley in addition to domesticated and *agriocrithon* accessions.

- *eu-agriocrithon* only
- *eu-agriocrithon* and *ssp. spontaneum*
- *pseudo-agriocrithon* only
- *pseudo-agriocrithon* and *ssp. vulgare*

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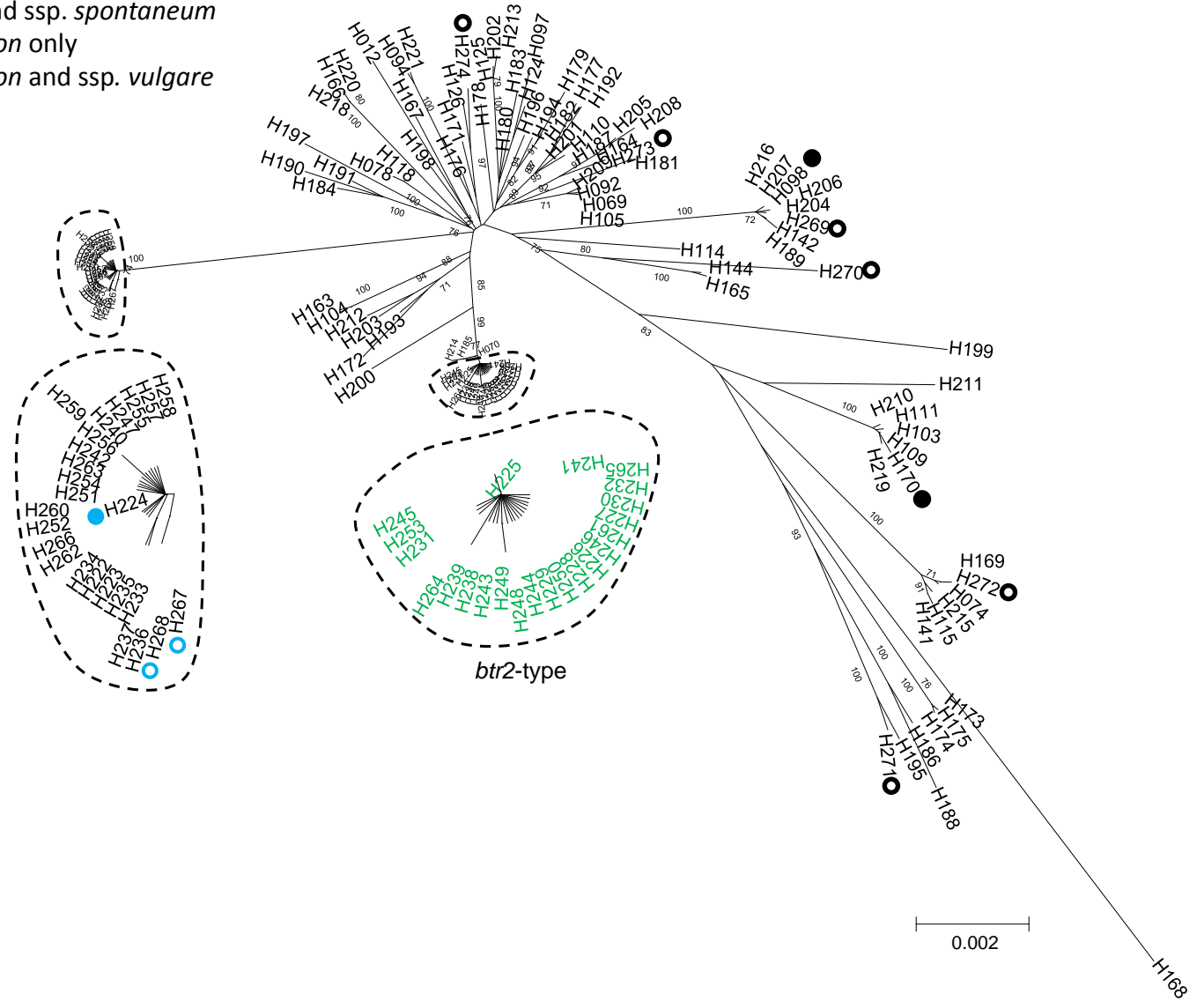


Figure 5. This article is protected by copyright. All rights reserved

Figure 5. Gene tree of the *btr2* locus for the *Hordeum vulgare* germplasm panel with 150 wild and 274 domesticated accessions (Pourkheirandish *et al.*, 2015) plus 30 *agriocrithon* accessions from this study. Abbreviated haplotype codes H000 stand for B2Hap000 in Table 1 and supplementary Tables 1 and 2. The multiple sequence alignment covers 5,181 nt and reveals 135 haplotypes. *btr2* carriers are shown in green, *Btr2* in black. Haplotypes existing in *agriocrithon* accessions are marked with dots. Dashed lines encircle haplotypes occurring in tough-rachis types except for those marked in blue that also present in *pseudo-agriocrithon*.

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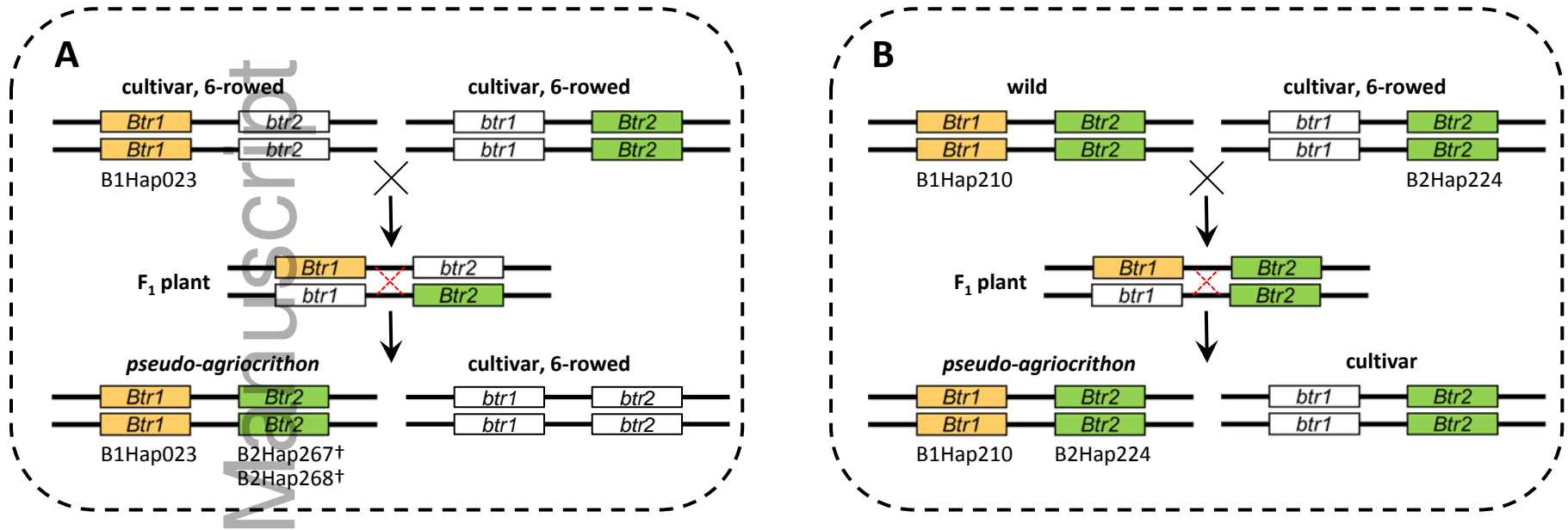


Figure 6. Origin of *pseudo-agriocrithon*. (A) Hybridization between domesticated barleys followed by recombination between the *btr1* and *btr2* genes created the *pseudo-agriocrithon*. †: B2Hap267 and B2Hap268 derived from B2Hap224 through consequential nucleotides substitution in the 5' non-coding region. (B) Hybridization between wild and domesticated barley followed by recombination between the *btr1* and *btr2* genes created the *pseudo-agriocrithon*.