

Kruger Paul (Orcid ID: 0000-0001-8081-3949)  
King Sebastian (Orcid ID: 0000-0001-5396-0265)

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## Delayed Diagnosis of Anorectal Malformations in Neonates

Running title: Anorectal malformation delayed diagnosis

Paul Kruger MD <sup>1,2</sup>, Warwick J. Teague DPhil FRACS <sup>1,2,3</sup>,

Rija Khanal MD <sup>1,2</sup>, John M. Hutson AO DSc FRACS <sup>2,3,4</sup>,

Sebastian K. King PhD FRACS <sup>1,2,3,5</sup>

<sup>1</sup> Department of Paediatric Surgery, The Royal Children's Hospital,  
Melbourne, Victoria, Australia

<sup>2</sup> Surgical Research, Murdoch Children's Research Institute, Melbourne,  
Australia

<sup>3</sup> Department of Paediatrics, University of Melbourne, Victoria, Australia

<sup>4</sup> Department of Urology, The Royal Children's Hospital, Melbourne, Victoria,  
Australia

<sup>5</sup> Department of Gastroenterology and Clinical Nutrition, The Royal Children's  
Hospital, Melbourne, Victoria, Australia

Correspondence to:

Associate Professor Sebastian King

Department of Paediatric Surgery

The Royal Children's Hospital

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50 Flemington Road  
Parkville, Melbourne, Victoria  
Australia 3052  
(P) +61 3 9345 5801  
(F) +61 3 9345 6668  
(E) sebastian.king@rch.org.au

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One table

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## **Abstract**

### Background

Anorectal malformations (ARM) are common congenital abnormalities of the terminal hindgut. Ideally, ARM should be diagnosed at, or shortly following, birth by careful physical examination of the perineum. Delayed diagnosis has been implicated as a risk factor for complications, including intestinal perforation. This study aimed to determine the rate of delayed diagnosis and associated intestinal perforation in ARM.

### Methods

A retrospective review was performed of all ARM patients managed at The Royal Children's Hospital over a 16-year period (2000 – 2015). Data collected included ARM type, timing of diagnosis, and complications. Delayed diagnosis was defined as being at more than 24 hours of age.

### Results

A total of 243 ARM patients (male 146/243, 60%) were included. The most frequent ARM types were perineal fistula (83/243, 34%) and rectovestibular fistula (40/243, 16%). Diagnosis was delayed beyond 24 hours of age in 92/243 (38%) patients. The ARM type most commonly delayed in diagnosis

was perineal fistula (37/83, 45%). Two patients in whom diagnosis was delayed suffered an intestinal perforation.

### Conclusion

Delayed diagnosis in ARM patients remains a common, and potentially fatal, occurrence. Improved assessment of newborns is required to ensure timely diagnosis of ARM, and avoidance of complications associated with delayed diagnosis.

Keywords: neonate, anorectal malformation, delayed, perforation

## Introduction

Anorectal malformations (ARM) are relatively common congenital abnormalities of the terminal hindgut, which exist on a wide spectrum, ranging from a simple perineal fistula to a complex cloacal exstrophy. The incidence of ARM ranges from 1:2–5000 live births. <sup>1</sup>

Ideally ARM should be diagnosed at, or shortly following, birth by careful physical examination of the perineum. Patients with a delayed diagnosis of ARM may present with bowel obstruction, chronic constipation, and even intestinal perforation. <sup>2</sup> Unfortunately, these presentations are not uncommon, even in first world centres. <sup>3-6</sup> Complications arising from delayed diagnosis are significant, and may be life-threatening in cases of intestinal perforation. <sup>7</sup> Mortality following intestinal perforation may exceed 50% in premature neonates, or neonates with associated anomalies. <sup>8</sup> It has been suggested that reluctance to use rectal thermometers in neonates may have led to an increased rate of missed ARM. <sup>5</sup>

Clinicians must be thorough in their examination of neonates to ensure timely and accurate diagnosis of an ARM. By retrospectively analysing cases of ARM managed at our tertiary referral centre we aimed to determine the rate of delayed diagnosis amongst patients, and the risk of intestinal perforation.

## Methods

A retrospective review of all patients with an ARM managed at The Royal between January 2000 – November 2015 was performed. Patients were identified from the Neonatal Intensive Care Unit patient registry, the operating theatre databases and admissions coded by health information systems. All patients were transferred to the hospital for tertiary level care. Ethical approval was obtained from the institutional Hospital Research Ethics Committee (DA058-2014-01).

All medical records were reviewed to record: (1) specific subtype of ARM, (2) associated anomalies identified, and, (3) evidence of delayed diagnosis (defined by diagnosis of the ARM being made at more than 24 hours of life). Associated anomalies were recorded when detected on investigations or mentioned in consultation letters and/or discharge summaries. To ensure accuracy in determining the incidence of delayed diagnoses, patient records were used to identify the specific timing of ARM diagnosis and determine whether this was before or after 24 hours of life. This was achieved by analysing state-wide neonatal emergency retrieval service records, admission notes, and nursing notes.

Study data were collected and managed using REDCap electronic data capture tools hosted at our research institution.<sup>9</sup> The definitive diagnoses were confirmed by the senior author. SPSS software (IBM Corp. Released 2013. IBM SPSS Statistics for Macintosh, Version 22.0, Version 22.0.

Armonk, NY: IBM Corp) was used for subgroup analyses, including Fisher's exact test. Demographic data were described with frequencies and proportions.

## Results

A total of 243 patient records were reviewed, in which the majority (146/243, 60%) of patients was male. The most frequent types of ARM were perineal fistula (83/243, 34%), rectovestibular fistula (40/243, 16%) and rectoprostatic fistula (26/243, 11%). Sixty of the 243 (25%) patients had no other documented congenital anomaly.

A delayed diagnosis occurred in 92/243 (38%) patients. The majority (53/92, 58%) of these patients were males. Patients with anal stenosis were the most likely to present with a delayed diagnosis (17/25, 68%), followed by other ARM subtypes limited to the perineum (covered anus, anal membrane, perineal fistula) (Table 1). In the 183 patients with a documented associated anomaly, the majority (125/183, 68%) were diagnosed before 24 hours of age. Conversely, in those patients with no other documented anomaly, the majority (34/60, 57%) were diagnosed after 24 hours of age ( $p < 0.001$ ).

Two patients (2/92, 2%) in whom diagnosis was delayed suffered an intestinal perforation. One patient had a rectal atresia in the setting of Down syndrome and survived following colostomy formation.<sup>2</sup> The other patient had a perineal fistula and survived following colostomy formation. No patients in whom the diagnosis of ARM was made before 24 hours of age suffered an intestinal perforation. Thus, the overall incidence of intestinal perforation in this cohort was 2/243 (0.8%).

## Discussion

We have described a well-defined cohort of ARM patients, managed at our large tertiary referral centre over a 16-year period. In more than one-third of the patients, the initial diagnosis of the ARM was made after 24 hours of age. The delay in diagnosis was most often the consequence of a more distally placed anomaly, with over two-thirds of anal stenosis patients presenting late.

There is a body of literature that complements the findings in our study. The published incidences of delayed diagnosis range from 13% in larger studies<sup>3-5, 10-11</sup> to 53% in a smaller study from Leicester, UK.<sup>6</sup> Other authors have employed a definition of delayed diagnosis as being beyond 48 hours of age.<sup>3, 5</sup> However, evidence would suggest that the incidence of intestinal perforation increases significantly after 24 hours, and often occurs before 48 hours.<sup>3, 8-9, 12-14</sup> Consequently, we defined a delay in diagnosis as more than 24 hours of age, a definition previously employed by Lindley *et al.* and Haider *et al.*<sup>6, 10</sup>

Spontaneous intestinal perforation in ARM is a rare and highly morbid event, and a delay in ARM diagnosis is considered to increase the risk of this complication.<sup>3, 10, 13-15</sup> Previous studies report an increased incidence of intestinal perforation in the setting of delayed ARM diagnosis, ranging from 3-9.6%. Our incidence of two cases in a cohort of 92 patients (2%) is lower than

these reports, but consistent when one considers the impact of a single event within relatively small sample sizes.

The proposed aetiology for perforation in cases of ARM is distal occlusion, resulting in distension and ischaemia, progressing to gangrene and perforation.<sup>15</sup> The rate of significant morbidity directly related to perforation in ARM may be as high as 57%.<sup>13</sup> Mortality among patients who suffer intestinal perforation may reach 50%, particularly in neonates who are premature or have associated anomalies.<sup>8, 14-15</sup>

Intuitively, those patients with subtypes of ARM that are less anatomically displaced are more likely to have a delayed diagnosis. Our findings of perineal fistula and anal stenosis being more commonly missed are consistent with this expectation and the literature.<sup>3</sup> However, Lindley *et al.* found no significant differences in the subtypes of ARM between patients with a delayed diagnosis and those diagnosed appropriately.<sup>10</sup>

In our cohort, the ARM diagnosis was more likely to be delayed if there was no other associated anomaly detected. The detection of a significant congenital anomaly, either in the antenatal or early postnatal period, will often prompt clinicians to more accurately examine the perineum.<sup>11</sup> However, even in those patients with an associated anomaly, the rate of delayed diagnosis was greater than 30%.

A contributing factor to the ongoing inability worldwide to routinely diagnose ARM in a timely fashion may be the declining use of rectal

thermometers.<sup>5</sup> In addition, inconsistency in performing routine newborn screens, and the relative infrequency of ARM, may have led to clinicians lacking the required skills to accurately diagnose such a malformation.

Within the limits of a retrospective study, we have demonstrated the ongoing issue of delayed diagnosis in patients with ARM. As we were able to access all transfer data, and admission notes, we were able to determine the time to diagnosis with great accuracy. As all patients at our tertiary centre were born outside the hospital, we need to focus our education for the referring institutions upon the essential requirements of the newborn examination.

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Table 1: Delayed diagnosis of ARM according to type (n = 243)

<b>ARM Type</b>	<b>Delayed</b>	<b>Total</b>	<b>Percentage</b>
Anal stenosis	17	25	68
Other	6	12	50
Perineal fistula	37	83	45
Rectal Atresia	5	13	38
Rectovesical / Bladderneck fistula	3	8	38
Rectovestibular fistula	15	40	38
Rectobulbar fistula	2	8	25
Rectovaginal fistula	1	5	20
Rectoprostatic fistula	5	26	19
Unknown type	1	7	14
Cloaca: <3cm common channel	0	7	0
Cloacal exstrophy	0	7	0
Rectourethral fistula – unknown location	0	2	0

“Other” – anal web, covered anus, duplication cyst, H-type fistula, mucosal tract (x 2), perineal fistula with urogenital sinus