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**Title: Jagged1 regulates endometrial receptivity in both humans and mice**

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**Running title: Jagged1 regulates endometrial receptivity**

**Nonstandard abbreviations:**

20

**Bmp2:** Bone morphogenetic protein 2

**Cldn:** Claudin

**Cox2:** Cyclooxygenase-2

**DLL:** Delta like

**DMEM:** Dulbecco's modified eagle medium

25

**DPP4:** Dipeptidyl peptidase 4

**ErbB3:** Erb-B2 receptor tyrosine kinase 3

**ESR1:** Estrogen receptor alpha

**F11r:** F11 receptor

**FBS:** Fetal bovine serum

30

**Foxo1:** Forkhead box protein O1

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**Gadd45a:** Growth arrest and DNA damage-inducible protein GADD45 alpha

**Gdf15:** Growth/differentiation factor 15

**GPX3:** Glutathione peroxidase 3

**HEECs:** Primary human endometrial epithelial cells

35 **HES1:** Hairy and enhancer of split homolog 1

**HEY1:** Hairy/enhancer-of-split related with YRPW motif protein 1

**IGFBP1:** Insulin-like growth factor-binding protein 1

**ITGB3:** Integrin beta 3

**JAG:** Jagged

40 **Lamc2:** Laminin subunit gamma 2

**LIFR:** Leukemia inhibitory factor receptor

**MAOA:** Monoamine oxidase A

**MAML:** Mastermind-like protein

**Ncam1:** Neural cell adhesion molecule 1

45 **NICD:** Notch intracellular domain

**NOTCH:** Neurogenic locus notch homolog protein

**Ocln:** Occludin

**PBS:** Phosphate-buffered saline

**PGR:** Progesterone receptor

50 **P-STAT3:** Phosphorylated signal transducer and activator of transcription 3

**RBPJ:** Recombination signal binding protein for immunoglobulin kappa J region

**SPP1:** Secreted phosphoprotein 1

**TBS:** Tris-buffered saline

**Tgfb2:** Transforming growth factor beta 2

55 **Abstract**

The human endometrium undergoes cycle dependent changes and is only receptive to an implanting blastocyst within a narrow window of 2-4 days in the mid-secretory phase. Such functional changes require delicate interplay between a diversity of factors including cytokines and signaling pathways. The Notch signaling pathway members are expressed in human endometrium. We have previously demonstrated that Notch ligand Jagged1 (JAG1) localizes in the endometrial luminal epithelium (LE) and is abnormally reduced in infertile women during receptivity. However, the functional consequences of reduced JAG1 production on endometrial receptivity to implantation of the blastocyst are unknown. This study aimed to determine the role of JAG1 in regulating endometrial receptivity in humans and mice. Knockdown of *JAG1* in both primary human endometrial epithelial cells and Ishikawa cells significantly reduced their adhesive capacity to HTR8/SVneo (trophoblast cell-line) spheroids. We confirmed that in human endometrial epithelial cells, JAG1 interacted with Notch Receptor 3 (NOTCH3) and knockdown of *JAG1* significantly

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reduced the expression of Notch signaling downstream target *HEY1* and classical receptivity markers. Knockdown of *Jag1* in mouse LE significantly impaired blastocyst implantation. We identified ten genes (related to tight junction, infertility and cell adhesion) that were differentially expressed by *Jag1* knockdown in LE in mice. Further analysis of the tight junction family members in both species revealed that JAG1 altered the expression of tight junction components only in mice. Together, our data demonstrated that JAG1 altered endometrial epithelial cell adhesive capacity and regulated endometrial receptivity in both humans and mice likely via different mechanisms.

**Keywords:** endometrial receptivity, blastocyst implantation, JAG1, Notch pathway, endometrial epithelial cell

## Introduction

Dysregulated or disrupted endometrial receptivity is one of the leading causes for implantation failure and a significant bottleneck for IVF treatment (1, 2). It is well established that during most stages of the menstrual cycle, the human endometrium is at a state of low receptivity and only receptive to an implanting blastocyst within a narrow window of 2-4 days in the mid-secretory phase (3). It is during this time period blastocysts can adhere to the luminal epithelium of the endometrium initiating implantation (4). Recent single cell sequencing on human endometrium across the menstrual cycle has identified an abrupt and discontinuous transcriptomic activation in the epithelia in the mid-secretory phase (5). Such transcriptomic and accordingly functional changes in the mid-secretory phase require a delicate interplay between a diversity of factors including hormones, cytokines and signaling pathways.

Adding to this complexity is that blastocyst implantation is a dynamic process. As an initial step of implantation, the blastocyst first adheres to the surface luminal epithelium. This is quickly followed by a firm attachment by increasing the interactions between luminal epithelium and the trophoblast cells of the blastocyst. Once firmly attached, the blastocyst breaches the luminal epithelial cells and invades into the stromal layer of the endometrium (4). In response to this process, the endometrial luminal epithelium accordingly undergoes morphological and molecular changes. Notable examples include tight junctions which increase in depth and tightness at the lateral surface of luminal epithelial cells to maintain an epithelial structure and control signaling transduction between cells for the preparation of blastocyst attachment (6-8). However, downregulation of tight junction proteins is observed at the implantation site in mice likely to facilitate the invasion of the blastocyst (7).

The Notch signaling pathway has been involved in the regulation of cell proliferation, adhesion, invasion, and apoptosis via cell-cell interactions (9). Canonical Notch signaling is activated when a Notch ligand on an adjacent cell membrane binds with a receptor on the target cell, resulting in the release of the intracellular domain. This article is protected by copyright. All rights reserved

domain of the Notch receptor (NICD). Bioactive NICD translocates to the nucleus where it activates the transcription of Notch target genes via interactions with other Notch coactivators (Mastermind-like protein [MAML] and immunoglobulin kappa J region [RBPJ]) (9, 10). Mammalian Notch signaling has four receptors (NOTCH1-4) and five ligands (Delta-like [DLL]1, 3, 4 and Jagged [JAG]1, 2) which generally co-  
105 exist in cells with well-controlled coordination (9). Although ligand binding generally leads to the cleavage of Notch receptor and subsequent release of NICD, different ligand and receptor interactions often elicit diverse downstream functional outputs (11). This is confirmed via a binding site profile comparison between NOTCH1 and NOTCH2 in the same pancreatic cancer cells using chromatin immunoprecipitation sequencing (12). Less than 10% of the binding sites are common between NOTCH1 and NOTCH2 (12).

110 Notch receptors and ligands are expressed in the human endometrium (10, 13-15). Single cell sequencing analyses of human endometrium across the menstrual cycle have revealed an increased expression of NOTCH3 in the epithelial cells specifically in the receptive window (5), suggesting that Notch signaling may play essential roles in regulating endometrial receptivity. Functional studies in mice have discovered that abnormal Notch signaling leads to multiple uterine defects including impaired decidualization, absence  
115 of uterine glands and inhibition of progesterone signaling (16, 17). Our previous study has identified that JAG1 expression is significantly increased in the luminal epithelium in the mid-secretory phase, compared to proliferative phase of fertile endometrium (18). A comparison of JAG1 expression in the luminal epithelium between endometrium collected from fertile and infertile women revealed a significant decrease in the infertile group (18).

120 To the best of our knowledge, however, the functional consequences of lower expression of JAG1 on endometrial receptivity and blastocyst implantation are not addressed. *Jag1* null mice are embryonic lethal and die at Embryonic day (E)11.5, therefore their fertility is unknown (19). Conditional knockout of JAG1 in mouse endothelial cells blocks endothelial-to-mesenchymal transition (20) which is a similar process to plasma membrane transformation (6) which occurs in the endometrial luminal epithelium during the  
125 receptive phase to facilitate blastocyst implantation. The aim of this study was to investigate whether dysregulation of JAG1 affects endometrial receptivity. We explored whether knockdown of *JAG1* affects the adhesive capacity of human endometrial epithelial cells *in vitro*. We utilized a mouse model to determine the overall effects of *Jag1* knockdown in the uterine luminal epithelial cells on blastocyst implantation *in vivo*. Moreover, changes of key molecular targets in response to *JAG1* knockdown between humans and mice  
130 were compared.

## Materials and Methods

### Ethics

Human endometrial tissue: Written informed consent was obtained from each patient and the study was approved by the Human Research Ethics Committee at Monash Health (ID: #03066B) and the Royal Women's Hospital (SSA1813). Mouse experiment: All experimental procedures involving animals were conducted with the approval of the Animal Ethics Committee at the University of Melbourne (project ID: 1814657).

### Reagents and cell line

Unless otherwise stated, chemicals were purchased from Sigma-Aldrich (St. Louis, MO, USA) and were of molecular biology or research grade. Details of the primary and secondary antibodies used throughout this study are summarized in Supplemental Table 1. Rabbit IgG isotype control (#X0903) was from DAKO (Glostrup, MUN, Denmark). Collagenase was purchased from Worthington (CLS-3, Lakewood, NJ, USA). *In vitro* transfection reagents (*JAG1* siRNA mimic, scrambled control, Lipofectamine RNAiMAX, Opti-MEM Reduced serum medium) were supplied by Thermo (Waltham, MA, USA). Cell culture reagents (Dulbecco's Modified Eagle Medium [DMEM]: Nutrient Mixture F-12, Roswell Park Memorial Institute 1640 Medium, L-glutamine, penicillin/streptomycin, Fetal Bovine Serum [FBS]) were from Thermo. 2'OMe modified and cholesterol conjugated *Jag1* siRNA (5' GAAGGAACAACCUGUAAUA dTdT 3', 3' dTdT CUUCCUUGUUGGACAUAU 5') and scrambled control were obtained from RiboBio (Guangzhou, China). SuperScript™ III First-Strand Synthesis System (18080-051) and SYBR Green Master Mix (4367659) were from Thermo. 4–15% precast polyacrylamide gel was purchased from BIO-RAD (Hercules, CA, USA). RIPA protein lysis buffer was from Thermo (89900) and protease inhibitor cocktail Set III was purchased from Merck Millipore (#539134, Darmstadt, Germany). xCELLigence assay was conducted using the RTCA DP xCELLigence instrument (Noble Park, VIC, Australia). The Ishikawa cell line was provided by Dr M. Nishida (Tsukuba University, Tochigi, Japan). HTR8/SVneo trophoblast cell line (CRL-3271) was purchased from the ATCC and cultured as in the manufacturer's instruction.

### Endometrial tissue collection and primary endometrial epithelial cells isolation

All women (26–42 years of age) that consented had regular menstrual cycles (28–32 day cycles), were not using intrauterine contraceptives and had not used hormones for at least 3 months before surgery. The endometrial tissue samples were collected by curettage and were from the functionalis layer. The collected endometrium were examined by experienced gynecological pathologists to confirm the cycle stage and absence of endometrial dysfunction. Primary human endometrial epithelial cells (HEECs) were isolated from normal human endometrium, as we previously published (21). Briefly, endometrial tissue was finely chopped and digested at 37 °C for 40 min with collagenase (727 µg/mL, 262 units) and Dnase I (25 µg/mL) diluted in Phosphate-buffered saline (PBS) containing 0.36 mM calcium chloride. Suspension was then filtered through 43 µm nylon mesh to remove stromal cell contamination and remaining cells and epithelial fragments were collected, resuspended and plated appropriately into a 96 well plate. As stromal cells attached quicker than epithelial fragments, cells and epithelial fragments were cultured for 30 min (twice) to

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remove any stromal cell contamination. Unattached epithelial fragments were collected and cultured to  
170 harvest HEECs.

### ***In vivo* mouse experiments**

Adult female mice (C57BL6/J, 8-16 weeks old) were mated to male mice (8-52 weeks old). The morning of  
plug detection was defined as E0. 10  $\mu\text{g}$  (20  $\mu\text{L}$ ) *AgoJag1* siRNA or scrambled control were delivered into  
the uterine horn via intrauterine injection at E3. *AgoJag1* siRNA (2'OMe modified and cholesterol  
175 conjugated) was delivered in saline as recommended by the manufacturer. To confirm the successful  
delivery, mouse uteri were collected at E4 (prior to implantation) and the luminal epithelium was separated  
from the uteri via Dispase (0.5% in Hank's Balanced Salt Solution) digestion for 2 h at room temperature  
(22). The purity of luminal epithelium isolated using enzyme digestion has been confirmed by previous  
studies in which the histological appearance of the isolated luminal epithelium and contamination for  
180 stromal cells were examined (23, 24). The isolated luminal epithelium was used for qPCR and  
immunoblotting to determine JAG1 expression. Implantation sites were recorded and collected at E4.5, the  
time of implantation (via tail vein injection of 100  $\mu\text{L}$  1% Chicago blue dye) (25). Uteri were also collected  
on E4 and E4.5 for other downstream analysis.

### ***In vitro* siRNA transfection**

Ishikawa cells or HEECs at 70-80% confluency were transfected with Lipofectamine RNAiMAX and Opti-  
MEM medium containing 20 nM *JAG1* siRNA, *MAMLI* siRNA or scrambled control according to the  
manufacturer's instructions. After 24 h, transfection medium was replaced with fresh culture medium  
(antibiotic-free) and cells were cultured for 48 h before being subjected to spheroid adhesion assay or other  
downstream analysis.

### **Spheroid adhesion assay**

Spheroids were generated using HTR8/SVneo trophoblast cells to mimic blastocyst attachment, as we  
previously published (26-28). Briefly, 2000 HTR8/SVneo cells/well were plated into wells of a U-shaped,  
ultra-low attachment 96 well plate. Cells were then cultured for 48 h to form spheroids before spheroids  
were collected and transferred to transfected HEEC or Ishikawa cell monolayer (20 spheroids/well of 96  
195 well plate) to initiate spheroid adhesion assay. After a 2 h (HEECs) or 4 h (Ishikawa cells) incubation at  
37°C, culture medium was removed and non-adherent spheroids removed by a gentle wash with 150  $\mu\text{L}$   
PBS/well. The remaining attached spheroids were counted, and the adhesive capacity of each well expressed  
as a percentage of the original spheroid number.

### **xCELLigence assay**

xCELLigence assay was conducted to examine the real-time adhesive capacity of *JAG1* knockdown  
200 compared to scrambled control Ishikawa cells, as we previously described (29). This assay works by

measuring the electrical flow transmission between gold microelectrodes embedded on each well of 96-well plate. Adhering cells disrupt the electrical transmission between electrodes and the impedance in flow is expressed as cell index and is positively correlated with cell adhesive capacity. Briefly, Ishikawa cells, transfected with 20 nM *JAG1* siRNA or a scrambled control were seeded in the xCELLigence 96 well E-plate at 10,000 cells per well in fresh culture medium supplemented with 5% FBS (30). The cell index was recorded every 15 min for 8 h, as a representation of adhesion and every 1 h following this for 72 h, as a representation of proliferation. Data were calculated using RTCA software 1.2, supplied with the instrument and exported for statistical analysis.

## **Immunohistochemistry and immunocytochemistry**

Mouse uteri were fixed in 10% formalin, embedded in paraffin and sectioned at 4  $\mu$ m thickness. Sections were then dewaxed, rehydrated, and subjected to antigen retrieval under optimized conditions: microwaving in 10 mM sodium citrate for 5 min (Phosphorylated signal transducer and activator of transcription 3 [P-STAT3] and cleaved-Caspase 3) (31) or 50 mM Tris (pH 10.5) for 10 min (NOTCH1 and NOTCH2). No antigen retrieval was required for JAG1 and DESMIN (32). Following antigen retrieval, endogenous peroxidase activity was blocked with 3% hydrogen peroxide diluted in methanol for 15 min at room temperature. Sections were then washed with Tris-buffered saline (TBS) and incubated in a non-immune blocking buffer (10% goat serum and 2% mouse serum in TBS) for 30 min at room temperature. Primary antibodies were diluted as instructed in Supplemental Table 1 and sections were incubated overnight at 4  $^{\circ}$ C. An isotype control was included with every tissue stained in which the non-immune antibody of the same IgG isotype was substituted for each primary antibody at the same concentration. These slides were then washed with TBS-Tween 0.6% (v/v) and positive signaling was revealed via the avidin-biotin-diaminobenzidine system. Sections were counterstained with hematoxylin to indicate cell nuclei. Slides were then mounted with DPX and imaged using an Olympus light microscope. For immunocytochemistry staining of Ishikawa cell cultures, transfected cells were fixed in 4% paraformaldehyde for 15 min and permeabilized by incubation in 0.1% Triton X-100 for 10 min. After PBS wash, cells were treated with 3% hydrogen peroxide and immunolabeled as described for uterine sections.

## **RNA isolation and RT-qPCR**

For Ishikawa cells and HEECs, cells were lysed with TRI Reagent and RNA was isolated according to the manufacturer's protocol and treated with TURBO DNAfree Kit to remove genomic DNA contamination. Mouse uterine luminal epithelial RNA was isolated using Qiagen RNeasy Mini Kit and genomic DNA contamination was removed using Qiagen RNase-free DNase set. After isolation, 300 ng total RNA was converted to cDNA using Thermo SuperScript<sup>TM</sup> III First-Strand Synthesis System. qPCR was performed on the Applied Biosystems ViiA7 system as follows: 95  $^{\circ}$ C for 10 min and 40 cycles of 95  $^{\circ}$ C for 15 s followed by 60  $^{\circ}$ C for 1 min. Gene expression was normalized to *18S*. Relative expression levels were calculated

using the comparative cycle threshold method ( $\Delta\Delta C_t$ ). Primer sequences used in this study are provided in Supplemental Table 2.

### Customized RT<sup>2</sup> Profiler PCR array

We selected 83 genes from predesigned arrays available from QIAGEN, including those for female fertility (PAMM-164Z), extracellular matrix and adhesion molecules (PAMM-013Z) and epithelial to mesenchymal transition (PAMM-090Z). PCR array was conducted on the Applied Biosystems ViiA7 system, according to the manufacturer's instructions. *18s* was used for gene expression normalization. Combating batch effect (ComBat) was used to remove the batch effect among different array plates before statistical analysis.

### Protein extraction and Immunoblotting

Mouse uterine luminal epithelium, transfected Ishikawa cells and HEECs were lysed in RIPA buffer for protein extraction. Insoluble material was pelleted by centrifugation ( $17,000 \times g$ , 15 min,  $4^\circ C$ ) and the soluble proteins were quantified using a bicinchoninic acid protein assay kit. For immunoblotting, equal amounts of protein were loaded on 4–15% precast polyacrylamide gel and then transferred to polyvinylidene difluoride membranes (pre-soaked with methanol). Membranes were blocked with 5% skim milk in TBS for 1 h and incubated with primary antibody prepared in 5% skim milk. After washing three times with TBS-Tween 0.1% (v/v), membranes were incubated with appropriate horse radish peroxidase (HRP)-conjugated secondary antibodies. After additional washes in TBS-Tween 0.1% (v/v), labeled proteins were detected using a chemiluminescence kit. For quantification, appropriate bands were assessed by densitometry, normalized against a loading control GAPDH, as previously described (33).

### Statistics

All experiments were replicated a minimum of 3 times with replicate numbers indicated in figure legends. Statistical analyses were performed using PRISM 8.0 and paired student's t-test or repeated measures ANOVA was used for statistical analysis with a significance threshold of  $P < 0.05$ . Data were presented as the mean  $\pm$  SEM.

## Results

### Knockdown of *JAG1* reduces the adhesive capacity of human endometrial epithelial cells *in vitro*.

As *JAG1* strongly localizes to the endometrial luminal epithelium during the mid-secretory phase of the menstrual cycle (18) (Supplemental Figure 1), we investigated whether *JAG1* regulated the cell adhesive capacity of human endometrial epithelial cells. Primary human endometrial epithelial cells (HEECs) and Ishikawa cells were used to assess the functional consequences of *JAG1* knockdown on epithelial cell adhesion. qPCR analysis first confirmed the successful knockdown of *JAG1*, with *JAG1* mRNA expression showed a significant decrease ( $P < 0.05$ ) in *JAG1* siRNA treated group compared to control, in both HEECs

and Ishikawa cells (Figure 1A). The localization of JAG1 in Ishikawa cells was also examined as it has not been determined before. A conserved membrane and cytoplasm staining was recorded as was seen in human endometrial tissue (Figure 1B and Supplemental Figure 1). In addition, the *JAG1* siRNA treated group had much lower staining intensity, compared to control, confirming the knockdown of JAG1 protein. Functional analysis via spheroid adhesion assays in both *in vitro* models identified that *JAG1* knockdown in epithelial cells significantly impaired ( $P < 0.05$ ) their adhesiveness to HTR8/SVneo spheroids (Figure 1C).

Transfected Ishikawa cells were also subjected to xCELLigence to examine adhesive capacity of Ishikawa cells in real-time after *JAG1* siRNA transfection. As shown in Figure 1D, Ishikawa cells treated with *JAG1* siRNA experienced a significant reduction ( $P < 0.05$ ) of cell adhesion within 3 h after seeding and up to 8 h of culture. Ishikawa cell proliferation was significantly reduced by *JAG1* knockdown after 80 h of culture compared to control ( $P < 0.0001$ , Supplemental Figure 2).

### **Knockdown of *JAG1* in human endometrial epithelial cells affects the expression of receptivity markers via Notch dependent and independent pathways**

We further investigated the potential mechanisms by which JAG1 regulates endometrial epithelial cell adhesive capacity. As a ligand of the Notch pathway, we confirmed that *JAG1* knockdown significantly reduced the expression of classical Notch target gene Hairy/enhancer-of-split related with YRPW motif protein 1 (*HEY1*) ( $P < 0.01$ ), however Hairy and enhancer of split homolog 1 (*HES1*) was not affected (Figure 2A). Seven endometrial receptivity markers were also selected for analysis. As shown in Figure 2B, the expression of five genes including Leukemia inhibitory factor receptor (*LIFR*), Secreted phosphoprotein 1 (*SPPI*), Integrin beta 3 (*ITGB3*), Monoamine oxidase A (*MAOA*) and Insulin-like growth factor-binding protein 1 (*IGFBP1*) were significantly reduced after *JAG1* knockdown, compared to control while the expression of Glutathione peroxidase 3 (*GPX3*) and Dipeptidyl peptidase 4 (*DPP4*) was not altered (Figure 2C). Progesterone receptor (PGR) and Estrogen receptor alpha (ESR1) are important regulators of endometrial receptivity and blastocyst implantation (34). We confirmed that the reduced expression of receptivity markers listed above was likely not via *PGR* and *ESR1* as *JAG1* knockdown did not significantly change the expression of these two hormone receptors compared to control (Figure 2D). To determine if the regulation of these markers was via Notch dependent or independent pathways, we conducted siRNA transfection to knockdown the expression of a Notch pathway coactivator, *MAML1* in Ishikawa cells. qPCR confirmed the knockdown of *MAML1* ( $P < 0.001$ ; Figure 2E). Among the five receptivity markers that were regulated by JAG1, only *SPPI* mRNA expression levels were significantly reduced by *MAML1* knockdown compared to control ( $P < 0.05$ ; Figure 2F) (28).

### **JAG1 interacts with NOTCH3 in human endometrial epithelial cells.**

Notch signaling is achieved via Notch ligand binding to Notch cell surface receptors and intracellular release of the Notch intracellular domain (NICD) (9). To determine which receptor(s) may interact with JAG1 to initiate Notch signaling, we used antibodies that can recognize the NICD of each Notch receptor and applied

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immunoblotting and densitometry analysis to quantify the changes in NICD production between *JAG1* siRNA treated and control groups. We confirmed knockdown of JAG1 protein after JAG1 siRNA transfection compared to control ( $P < 0.05$ , Figure 3A). Densitometry analysis of each Notch NICD identified that NOTCH3 NICD was significantly decreased ( $P < 0.05$ ) in response to *JAG1* knockdown (Figure 3A). Unexpectedly, NOTCH1 and NOTCH2 NICD were significantly increased in response to *JAG1* knockdown (Figure 3A). We further confirmed that in HEECs, the expression of NOTCH3 NICD was decreased after *JAG1* knockdown (Figure 3B), compared to control.

### **JAG1 regulates uterine receptivity and blastocyst implantation *in vivo* in mice.**

Having secured evidence that knockdown of *JAG1* compromised endometrial epithelial cell adhesion, we used mice as an *in vivo* model to investigate the consequences of *Jag1* knockdown on endometrial receptivity and implantation. We first revealed that prior to implantation, JAG1 localized on the apical membrane and extended into the apicolateral cell border in the uterine luminal epithelium (Figure 4A). To explore whether *Jag1* knockdown compromised blastocyst implantation *in vivo*, Ago*Jag1* or control siRNA was injected into each side of the mouse uterine horn at E3 so that each mouse had a treatment and control uterine horn (Figure 4B). qPCR analysis on E4 uterine luminal epithelium (early in the morning, prior to implantation) confirmed that intrauterine injection of Ago*Jag1* siRNA significantly decreased *Jag1* mRNA expression, compared to control ( $P < 0.01$ , Figure 4C). The successful knockdown of JAG1 was further confirmed at the protein level via immunoblotting ( $P < 0.01$ , Figure 4D). Treated mice uteri were also collected at E4.5 and the number of implantation sites was visualized via Chicago blue dye injection and recorded. There was a significant reduction ( $P < 0.05$ ) in the number of implantation sites in the uterine horns that were transfected with Ago*Jag1* siRNA compared to control (Figure 4E).

To assess the effect of *Jag1* knockdown on receptivity markers, E4 uterine luminal epithelium from both Ago*Jag1* siRNA and control treated groups were subjected to qPCR (35-38). Prior to assessment, the expression of classical Notch target genes (*Hey1* and *Hes1*) and Notch receptors and ligands were determined using E4 luminal epithelium treated with either Ago*Jag1* siRNA or control. We confirmed that *Jag1* knockdown affected Notch signaling by demonstrating a significant reduction of the expression of *Hes1* ( $P < 0.05$ ), but not *Hey1* (Figure 5A), which was opposite to our observations in the human model (Figure 2A). qPCR analysis also identified that knockdown of *Jag1* significantly reduced the expression of receptivity markers Forkhead box protein O1 (*Foxo1*), *Lifr* and *Stat3*, compared to control (Figure 5B). The expression of *Pgr* and *Esr1* was also determined by qPCR. No significant difference was found for *Pgr* expression after Ago*Jag1* siRNA treatment, compared to control which is similar to the human models. However, *Esr1* expression was significantly decreased after Ago*Jag1* siRNA treatment (Figure 5C). To further determine the effects on implantation, Chicago blue dye was used to identify implantation sites at E4.5. For Ago*Jag1* siRNA treated E4.5 uteri, we randomly selected areas with no signs of implantation and investigated implantation and decidualization markers by immunohistochemistry. In areas positive for

Chicago blue dye, a local post-implantation decidual response was evident by DESMIN staining and  
340 Phosphorylated STAT3 (P-STAT3) staining was observed surrounding the blastocyst implantation site in the  
control (Figure 5D). *Jag1* knockdown significantly reduced the expression of decidualization genes Bone  
morphogenetic protein 2 (*Bmp2*) and Cyclooxygenase-2 (*Cox2*) ( $P < 0.05$ , Figure 5E) in the uteri compared  
to control confirming that the blastocyst failed to firmly attach to initiate implantation and the  
decidualization response. As implantation sites were found in uteri of two mice treated with *AgoJag1*  
345 siRNA (n=1 implantation site/uterus) (Figure 4E), we determined if there were any defects in the  
decidualization response in the implantation sites. DESMIN and P-STAT3 was recorded in the implantation  
sites and there was no apparent difference in the extent of staining compared to control (Figure 5D). In  
addition, *AgoJag1* siRNA treatment did not lead to apoptosis in the attached blastocyst or luminal  
epithelium as no positive staining of cleaved-Caspase 3 was revealed in either of the treatment groups.  
350 Mouse spleen served as a positive control for cleaved-Caspase 3 expression and showed positive staining  
(Figure 5F). However, the implantation chamber did not appear to form properly in implantation sites found  
in uteri treated with *AgoJag1* siRNA (n=2, Figure 5F), with the implantation chamber in *AgoJag1* siRNA  
treated uteri showing impaired closure compared to implantation sites from the same mice in the control  
uterine horn (n=2, Figure 5F).

### 355 **JAG1 regulates the expression of tight junction components in mice**

We next performed a customized RT<sup>2</sup> Profiler PCR array to identify *Jag1* gene targets in mouse uterine  
luminal epithelium at E4. This array selected 83 genes from predesigned arrays related to female fertility,  
extracellular matrix and adhesion molecules and epithelial to mesenchymal transition (Supplemental  
Dataset). Among the 83 gene targets, *AgoJag1* siRNA treatment significantly decreased the expression of  
360 seven genes; Claudin 4 (*Cldn4*), Growth arrest and DNA damage-inducible protein GADD45 alpha  
(*Gadd45a*), *Esr1*, Erb-B2 Receptor Tyrosine Kinase 3 (*ErbB3*), F11 receptor (*F11r*), Neural cell adhesion  
molecule 1 (*Ncam1*) and Laminin subunit gamma 2 (*Lamc2*) while the expression of three genes namely  
*Gpx3*, Transforming growth factor beta 2 (*Tgfb2*) and Growth/differentiation factor 15 (*Gdf15*) was  
increased significantly compared to that for the control uterine horn (Table 1). CLDN4 is a component of  
365 lateral tight junctions that are upregulated in the luminal epithelium during the receptive phase in both  
humans and mice (6). We confirmed the reduction in *Cldn4* following *Jag1* knockdown in the mouse uterine  
luminal epithelium ( $P < 0.05$ ) (Figure 6A). However, production of CLDN4 was not regulated by *JAG1*  
knockdown in HEECs and Ishikawa cells (Supplemental Figure 3), suggesting *Cldn4* is a unique target of  
*JAG1* in mice. We further examined the expression of other tight junction components after *JAG1*  
370 knockdown in both humans and mice. qPCR revealed that *Cldn1* and *Ocln* were significantly downregulated  
after *Jag1* siRNA treatment only in the mouse uterine luminal epithelium (Figure 6A, Supplemental Figure  
3), but there was no effect in human cells.

The expression of JAG1 in the mouse uterine luminal epithelium was compared before and after implantation by immunohistochemistry. JAG1 was expressed evenly in the luminal epithelium before implantation at E4 and this expression was reduced specifically in the luminal epithelium in contact with the attached blastocyst in the implantation chamber at E4.5 (Figure 6B) where tight junctions are no longer required in order to facilitate blastocyst invasion. Among four Notch receptors, NOTCH3 and NOTCH4 deficient female mice are fertile (39, 40) as such they are not essential for blastocyst implantation in mice. We examined NOTCH1 and NOTCH2 via immunohistochemistry. A similar staining pattern was recorded for NOTCH1 but not NOTCH2, with the level specifically reduced in the luminal epithelium surrounding the implantation chamber at E4.5 compared to other regions of the luminal epithelium (Figure 6B, Supplemental Figure 4).

## Discussion

In this study, we identify that JAG1 regulates endometrial adhesive capacity and receptivity in both humans and mice. For human models, we applied both HEECs and Ishikawa cells. *JAG1* knockdown in both cells significantly impaired their adhesive capacity to trophoblast cell formed spheroids *in vitro*. *JAG1* knockdown reduced Notch signaling via NOTCH3 and dysregulated a number of receptivity markers in human endometrial epithelial cells. The functional consequences of *JAG1* knockdown on blastocyst implantation are verified in mice. Here we confirm that *JAG1* knockdown in mice impaired uterine receptivity and blastocyst implantation. Using a target screen array, we identified a number of receptivity markers affected by *JAG1* knockdown. Surprisingly, tight junction genes were regulated by *Jag1* only in mice.

JAG1 belongs to the Notch signaling pathway which is involved in the regulation of a wide range of cellular processes including cell adhesion, invasion and differentiation (41). As a Notch ligand, JAG1 signals to recipient cells expressing Notch receptors. JAG1 can signal to equivalent or different cell types suggesting that the Notch signaling pathway in the uterine luminal epithelial cells can be activated via ligand binding from adjacent luminal epithelial cells and also from trophectoderm cells at the time of blastocyst attachment. The former is likely the case in the mouse uterus as *Jag1* knockout embryos die at E11.5 but show apparent normal implantation (19). In support in humans, our xCELLigence data using endometrial epithelial cells alone demonstrates that JAG1 regulates their adhesive capacity. This is supported by our previous study in which we identified all four Notch receptors in human endometrial epithelial cells indicating that they may act via Notch receptors in adjacent endometrial epithelial cells (13).

A recent single cell sequencing study on human endometrium has determined a gene expression profile that can define the window of implantation. Entrance into the window of implantation is featured with an abrupt and discontinuous transcriptomic activation in the unciliated epithelial cells (5). Notably, NOTCH3 is the

only Notch receptor that identified with an increased expression when reaching the implantation window (5). *JAG1* knockdown led to decreased expression of NOTCH3 NICD in our study suggesting that compared to other Notch ligands and receptors, JAG1-NOTCH3 may play a dominant role in regulating endometrial receptivity in humans. Increased expression of NOTCH1 and NOTCH2 NICD after *JAG1* knockdown was not able to compensate for the loss of NOTCH3 NICD. In contrast, NOTCH3 may not be involved in regulating endometrial receptivity in mice as NOTCH3 deficient female mice are fertile (39). Rather, NOTCH1 has been revealed with important roles in regulating female fertility in mice. NOTCH1 deficient embryos die between E10 and 12 (42). Loss/gain of function studies reveal that the deletion of *Notch1* in mice impairs decidualization and leads to implantation failure (17, 43). By contrast, overexpression of Notch1 NICD via *Pgr*-Cre in mouse uterus impairs uterine development and fertility (16). Our investigation of NOTCH1 expression in the uterine luminal epithelium has identified an even distribution before implantation and a reduction specifically in the implantation chamber right after implantation suggesting NOTCH1 may be required in the mouse uterus to ensure normal uterine function and fertility. One recent study offers important mechanistic insights into NOTCH1 regulating uterine functions. It demonstrates that NOTCH1 knockout specifically in the mouse uterus via *Pgr*-Cre generally affects genes enriched for cell-cell and cell-matrix interaction, cell adhesion and plasma membrane function (44). This is consistent with some of our findings where we noted gene expression levels in these pathways were significantly changed after *Jag1* knockdown in the mouse uterine luminal epithelium.

It is likely that Notch receptors define the Notch pathway downstream targets in the signaling receiving cells. Our data revealed that JAG1 interacted with different Notch receptors between human and mouse models. In the mouse uterus, NOTCH1 NICD rather than NOTCH3 NICD expression was decreased in the luminal epithelium in contact with the implanting blastocyst, where JAG1 expression was decreased. A previous study has compared the binding site profiles between NOTCH1 and NOTCH2 in human pancreatic cancer cells. A total of 598 binding sites are identified for NOTCH1 and 745 for NOTCH2 with only 49 of them being common targets between these two Notch receptors (12). It is likely that tight junction components are regulated via JAG1-NOTCH1 pathway in the mouse uterine epithelial cells. Consistent with this idea, it has been shown that in human intestinal epithelial cells, knockdown of *NOTCH1* similarly impairs the formation of tight junctions via the downregulation of Claudin 5 and Occludin (45).

Immunohistochemical staining of human endometrial tissue reveals that tight junction proteins are expressed in endometrial epithelial cells and some components such as CLDN1 and CLDN4 show an increased expression in the secretory phase compared to proliferative phase suggesting an involvement in receptivity (46). The decreased expression of NOTCH3 NICD caused by *JAG1* knockdown in human endometrial epithelial cells did not affect the expression of the tight junction components investigated. We remain uncertain if stromal cells contribute to the regulation of tight junctions via JAG1 as our *in vitro* human models use endometrial epithelial monolayer. Endometrial epithelial – stromal cell interactions are known to be important in receptivity as stromal cells express a high level of PGR in the mid-secretory phase (47) and

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tight junctions are regulated by progesterone (46). To the best of our knowledge, however, there is presently no evidence linking stromal cells to a role in regulating tight junctions in the luminal epithelium. Although tight junction components were not regulated by JAG1 in our human models, it is likely that molecules related to cell adhesion are affected as xCELLigence revealed a change in cell adhesion while up to 60 h, cell proliferation was not impaired by *JAG1* knockdown.

In mice, once the implantation chamber is formed, the attached blastocyst and surrounding epithelial layer increase in length to create gaps in the epithelium to facilitate invasion (48, 49). The formation of the implantation chamber is triggered by the decidual swellings (50) and certainly requires the morphogenetic adjustment of the luminal epithelium surrounding the attached blastocyst. Our study has revealed two replicates of mice that went on to develop a single implantation site at E4.5. However, the implantation chamber was not formed properly, compared to control treated groups from the same mice.

Immunohistochemistry staining of decidualization marker DESMIN did not appear to show any abnormalities in these implantation sites. Similar defects in uterine luminal closure have also been identified in uterine *RBPJ* knockout mice (51). In this model, deletion of *Rbpj* enhances the estrogen response and impairs the on-time uterine luminal closure by increasing epithelial cell proliferation (51). Further analysis reveals that the regulation is via Notch-independent mechanisms as co-transfection of a dominant-negative form of the other Notch coactivator, MAML1 does not reduce the estrogen response to RBPJ (51). It is likely that the mechanisms leading to impaired luminal closure are different in our study as *Jag1* knockdown in the uterine luminal epithelium significantly reduced the expression of *Esr1* which was not seen in the previous study (51).

Our recent study has revealed that knockdown of *MAML1* in Ishikawa cells impairs their adhesive capacity (28). This alteration in adhesion likely does not occur via alterations in the levels of the steroid hormone receptors PGR and ESR1 which is consistent with our observations in *JAG1* siRNA treated Ishikawa cells. Both *JAG1* and *MAML1* siRNA treatments only reduced the expression of *HEY1*, but not *HES1* (28), indicating similar downstream effects of the Notch dependent pathway. To investigate whether the regulation of receptivity markers by JAG1 was via Notch dependent or independent pathways, *MAML1* siRNA was transfected into Ishikawa cells to determine the effects on the expression of genes associated with receptivity. Among five receptivity markers that were significantly altered by *JAG1* knockdown, only *SPP1* was altered by *MAML1* and *JAG1* knockdown (28), suggesting the effects of the other four receptivity genes were not via Notch dependent pathway as knockdown of the Notch dependent pathway coactivator *MAML1* did not impact the expression of those genes. The regulation of *SPP1* by the Notch dependent pathway has been confirmed by other studies (52, 53) and *SPP1* contains an RBPJ binding site 723-734 base pair relative to its transcription start site suggesting the capability of direct interaction with Notch coactivator complex in the promoter region to initiate transcription.

In our functional models, *JAG1* siRNA treatment did not completely block human spheroid attachment or mouse blastocyst implantation. This is in accordance with the partial knockdown of *JAG1* mRNA in human endometrial epithelial cells and mouse uterine luminal epithelium. Although immunoblotting showed a  
480 further decrease of *JAG1* at the protein level compared to the mRNA expression levels, there was no complete knockout of *JAG1*. We remain uncertain if knockout of *JAG1* would completely block spheroid adhesion / blastocyst implantation. From clinical samples examined however, we observe very low levels of *JAG1* in the infertile endometrial luminal epithelium (immunostaining intensity value of ~0.2), compared to fertile endometrium (staining intensity value of ~2.6) during receptivity, although immunostaining does not  
485 measure the concentration of proteins so we cannot be certain of the concentration of *JAG1* in endometrium of women with infertility (18).

Altogether, our study has provided evidence that *JAG1* is essential to maintain endometrial epithelial cell adhesive capacity and knockdown of *JAG1* affects endometrial receptivity and blastocyst implantation in mice. Such functional roles are in accordance with the observation that *JAG1* production is abnormally  
490 reduced in the infertile human endometrium during receptivity. *JAG1* may interact with different Notch receptors and function via different mechanisms between humans and mice to regulate endometrial receptivity. This study stimulates further investigation into the potential of using *JAG1* as a novel molecular target to assist with the diagnosis of endometrial receptivity.

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## **Conflict of Interest**

The authors report no conflict of interest.

## **Author Contributions**

505 W. Zhou conducted the experiments and drafted the manuscript. E. Menkhorst assisted with the xCELLigence assay and data analysis and contributed to manuscript editing. E. Dimitriadis conceived and designed the study, contributed to data interpretation and analysis, and manuscript preparation and review.

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**Table 1.** Identification of significantly changed genes after *Jag1* knockdown in mouse uterine luminal epithelium via customized PCR gene array. \* $P < 0.05$ , \*\* $P < 0.01$ .

Gene	Significance (decreased after <i>Jag1</i> knockdown)
<i>Cldn4</i>	**
<i>Gadd45a</i>	*
<i>Esr1</i>	*
<i>ErbB3</i>	**
<i>F11r</i>	*
<i>Ncam1</i>	*
<i>Lamc2</i>	*
Gene	Significance (increased after <i>Jag1</i> knockdown)
<i>Gpx3</i>	**
<i>Tgfb2</i>	*
<i>Gdf15</i>	*

## 635 Figure legends

**Figure 1. *JAG1* knockdown compromises HEEC and Ishikawa cell adhesive capacity.** A) HEECs (n=5) and Ishikawa cells (n=4) were transfected with either *JAG1* siRNA or scrambled control before functional analysis. Knockdown of *JAG1* was confirmed via qPCR. Gene expression was normalized to *18S*. B) Immunocytochemistry staining confirmed the successful knockdown of *JAG1* in Ishikawa cells at the protein level, compared to control. An isotype control was included in which the non-immune antibody of the same isotype was substituted for the *JAG1* antibody at the same concentration. C) Spheroid adhesion assay revealed that knockdown of *JAG1* in HEECs and Ishikawa cells both significantly compromised cell adhesive capacity, compared to control (n=5). D) xCELLigence analysis was conducted to examine the real-time adhesive capacity of *JAG1* knockdown compared to scrambled control Ishikawa cells (up to 8 h). Cell index is a measure of cell attachment. All data were presented as mean  $\pm$  SEM. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ .

**Figure 2. *JAG1* knockdown in Ishikawa cells reduces Notch signaling activation and affects the expression of endometrial receptivity markers.** A) qPCR analysis of classical Notch target genes (*HEY1* and *HES1*) identified that knockdown of *JAG1* in Ishikawa cells significantly reduced the expression of *HEY1* (n=7). B-C) qPCR analysis of seven endometrial receptivity markers revealed that *JAG1* knockdown in Ishikawa cells significantly reduced the expression of *LIFR*, *SPPI*, *ITGB3*, *MAOA* and *IGFBP1*. No significant changes were recorded for *GPX3* and *DPP4*. D) qPCR analysis of *PGR* and *ESR1* expression identified no significant changes after *JAG1* knockdown. E-F) qPCR analysis revealed that knockdown of a

Notch pathway coactivator, *MAML1* in Ishikawa cells did not affect the expression of *LIFR*, *ITGB3*, *MAOA* and *IGFBP1*. Expression levels were normalized to *18S* (n=6-7). All data were presented as mean  $\pm$  SEM. \**P* < 0.05, \*\**P* < 0.01, ns: no significant difference.

**Figure 3. JAG1 interacts with NOTCH3 to activate Notch signaling in human *in vitro* models.** A) After *JAG1* siRNA treatment in Ishikawa cells, the expression of all Notch receptors (cleaved intracellular region) in addition to *JAG1* was determined by immunoblotting and quantified by densitometry, normalized against a loading control *GAPDH* (n=3-4). Full length (FL) and the cleaved intracellular region (NICD) of each NOTCH receptor were indicated with arrows. Knockdown of *JAG1* significantly decreased the expression of NOTCH3 NICD. However, the expression of NOTCH1 NICD and NOTCH2 NICD were significantly increased. B) Reduced expression of NOTCH3 NICD after *JAG1* knockdown was also confirmed in HEECs with *P* = 0.073 (n=3). All data were presented as mean  $\pm$  SEM. \**P* < 0.05, \*\**P* < 0.01, ns: no significant difference.

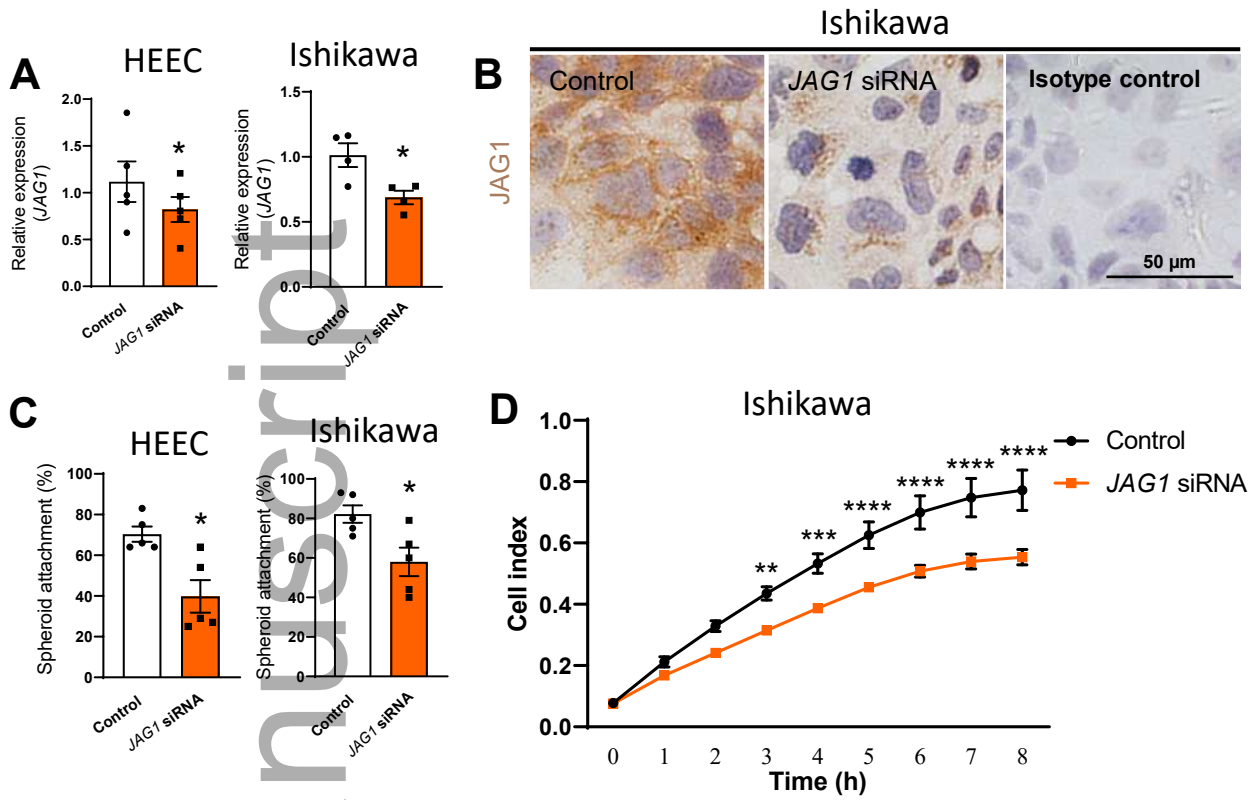
**Figure 4. Knockdown of *Jag1* in the mouse uterus compromises blastocyst implantation *in vivo*.** A) The immunolocalization of *JAG1* was examined in the mouse uterus at E4 (n=3). *JAG1* was localized on the apical membrane (black arrow) and extended to the apicolateral border of the luminal epithelial cells (yellow arrow). For clarity, the structure of luminal epithelium was outlined. An isotype control was included in which the non-immune antibody of the same isotype was substituted for the *JAG1* antibody at the same concentration. B) Schematic of the intrauterine injection. *Jag1* siRNA or scrambled control was injected into each uterine horn at E3, so that each mouse has a siRNA and control treatment group. O: Ovary, F: Fallopian tube, U: Uterus. C) After injection, luminal epithelium and remaining uteri were collected at E4 and *JAG1* expression was quantified via C) qPCR after normalization to *18s* (n=5) and D) immunoblotting after normalization to *GAPDH* (luminal epithelium, n=5). E) After injection, implantation sites (indicated with arrow heads) were recorded at E4.5 (n=5) via Chicago blue dye. *Jag1* knockdown in the mouse uterine luminal epithelium significantly reduced the number of implantation sites, compared to control. All data were presented as mean  $\pm$  SEM. \**P* < 0.05, \*\**P* < 0.01, ns: no significant difference.

**Figure 5. Detection of Notch targets, uterine receptivity, decidualization and apoptosis markers in the mouse uteri treated with *Jag1* siRNA.** After intrauterine injection of *Jag1* siRNA or control, mouse uterine luminal epithelium were collected at E4 (prior to implantation) and subjected to qPCR analysis to determine the expression of (A) classical Notch target genes (*Hey1* and *Hes1*, n=4), uterine receptivity markers (n=4) and hormone receptors (n=5). D-F) E4.5 (right after implantation) implantation sites were also collected for immunohistochemistry (D, F, n=2 as only two implantation sites were recorded from five treated mice; n=3 mice had no implantation sites after *Jag1* knockdown) and qPCR (E, n=3) to assess the expression of receptivity, decidualization and apoptosis markers. For qPCR, only areas with failed implantation (n=3) after *Jag1* siRNA treatment were assessed as only 2 replicates were available and were used for immunohistochemistry. Mouse spleen was used as a positive control for cleaved-Caspase 3

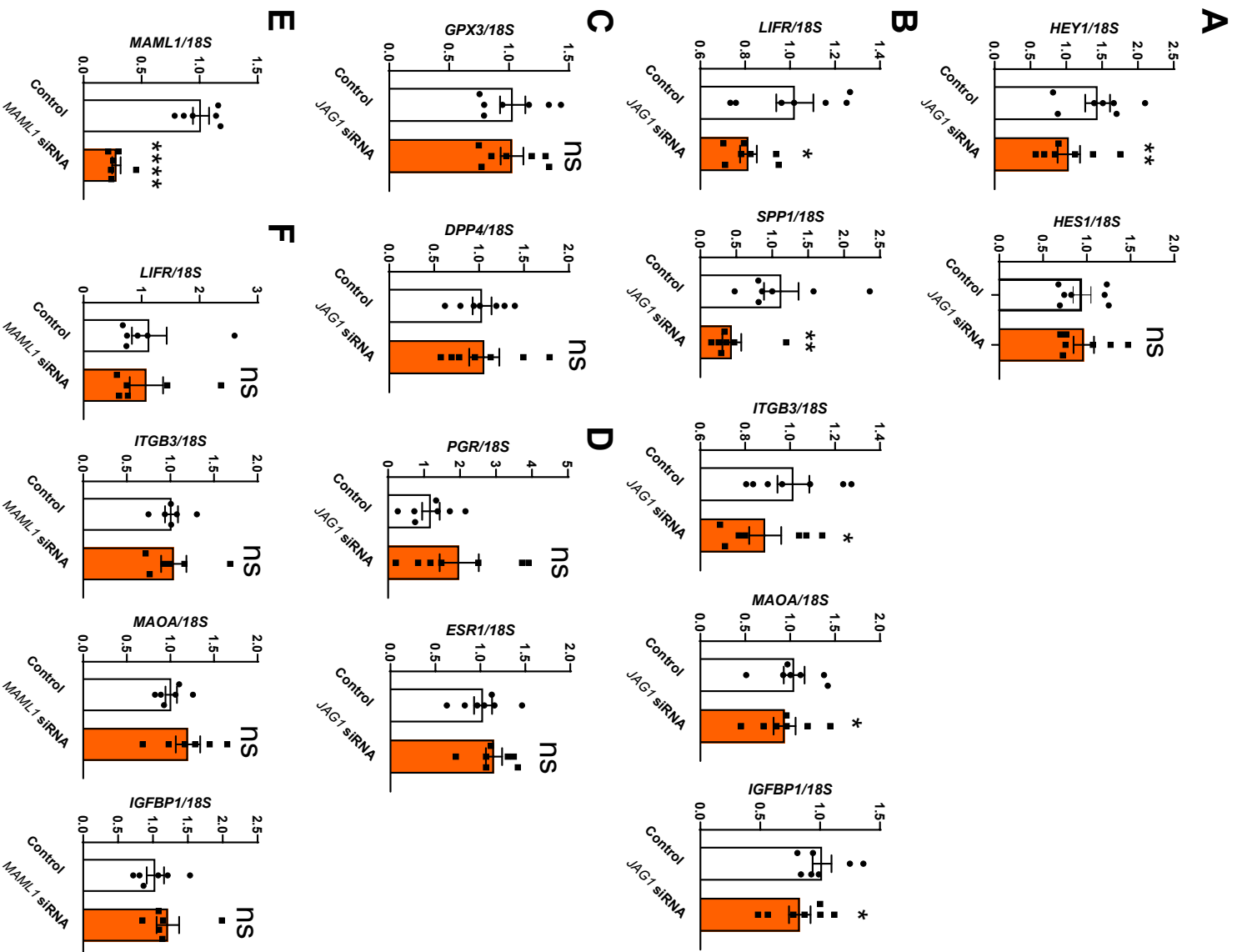
staining. For immunohistochemistry, an isotype control was included in which the non-immune antibody of  
690 the same isotype was substituted for each primary antibody at the same concentration. L: Lumen, \*: Blastocyst. For qPCR analysis, expression levels were normalized to *18s*. Data were presented as mean  $\pm$  SEM. \* $P < 0.05$ , \*\* $P < 0.01$ , ns: no significant difference.

**Figure 6. *Jag1* knockdown dysregulates the expression of epithelial tight junction genes in the mouse uterine luminal epithelium.** A) The expression of tight junction genes was examined by qPCR in mouse E4 luminal epithelium (LE) (n=5) that treated with either *JAG1* siRNA or control. A significant downregulation of *Cldn4*, *Cldn11* and *Ocln* was recorded in the mouse uterine luminal epithelium uterine luminal epithelium that treated with *Jag1* siRNA, compared to control. Expression levels of all genes were normalized to *18s*. All data were presented as mean  $\pm$  SEM. \* $P < 0.05$ , \*\* $P < 0.01$ , ns: no significant difference. B) The spatial expression of JAG1 and NOTCH1 was examined before (E4) and after (E4.5) blastocyst implantation in scrambled control treated mouse uterus. JAG1 localization was detected around the apical luminal border before implantation and this expression was reduced specifically in the luminal epithelium in contact with the attached blastocyst in the implantation chamber (outlined with yellow dotted lines) before invasion. Similarly, the level of NOTCH1 was specifically reduced in the luminal epithelium surrounding the implantation chamber (n=3). For isotype control, a non-immune antibody of the same isotype was  
705 substituted for each primary antibody at the same concentration. L: Lumen, \*: Blastocyst.

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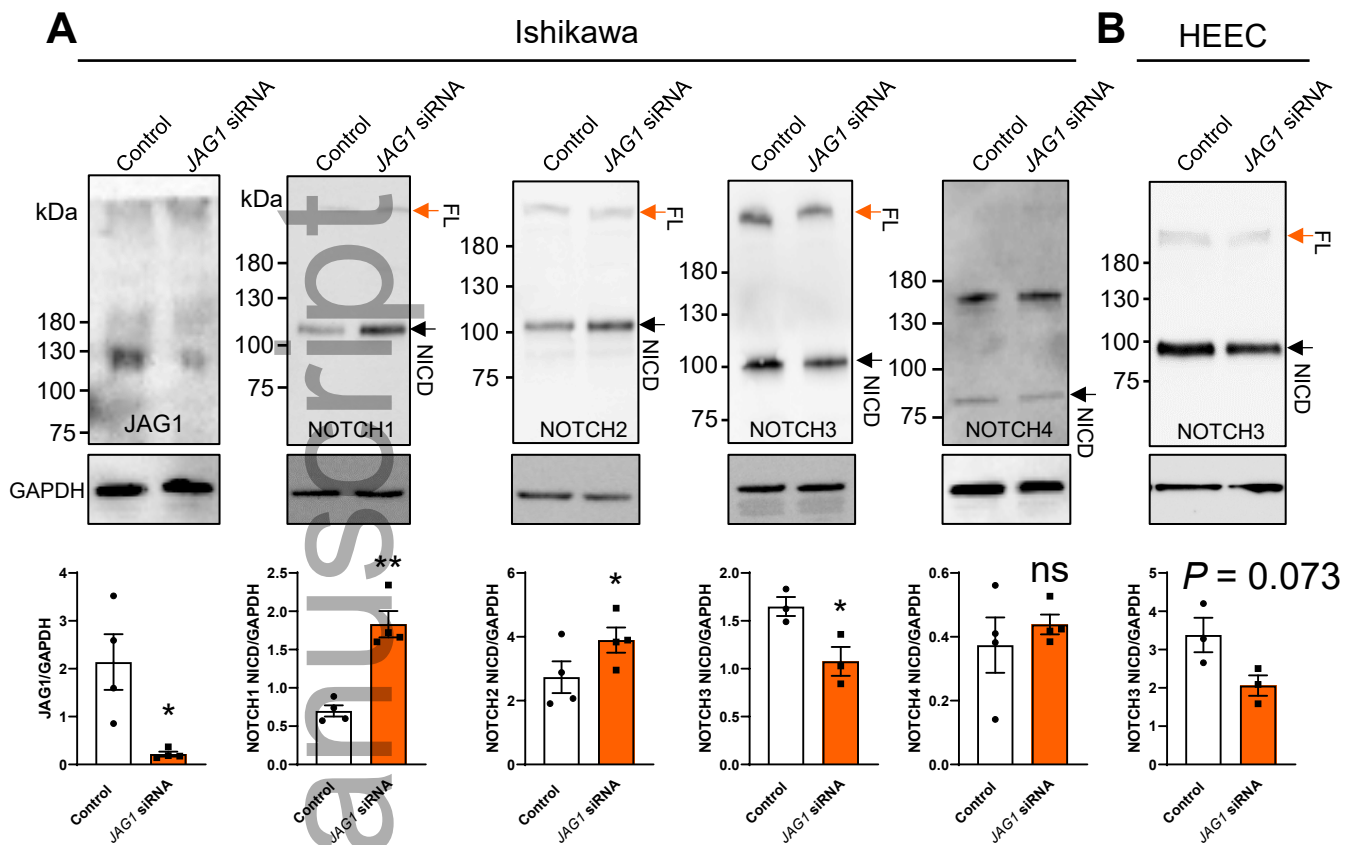
**Fig. 1**

**Fig. 2**

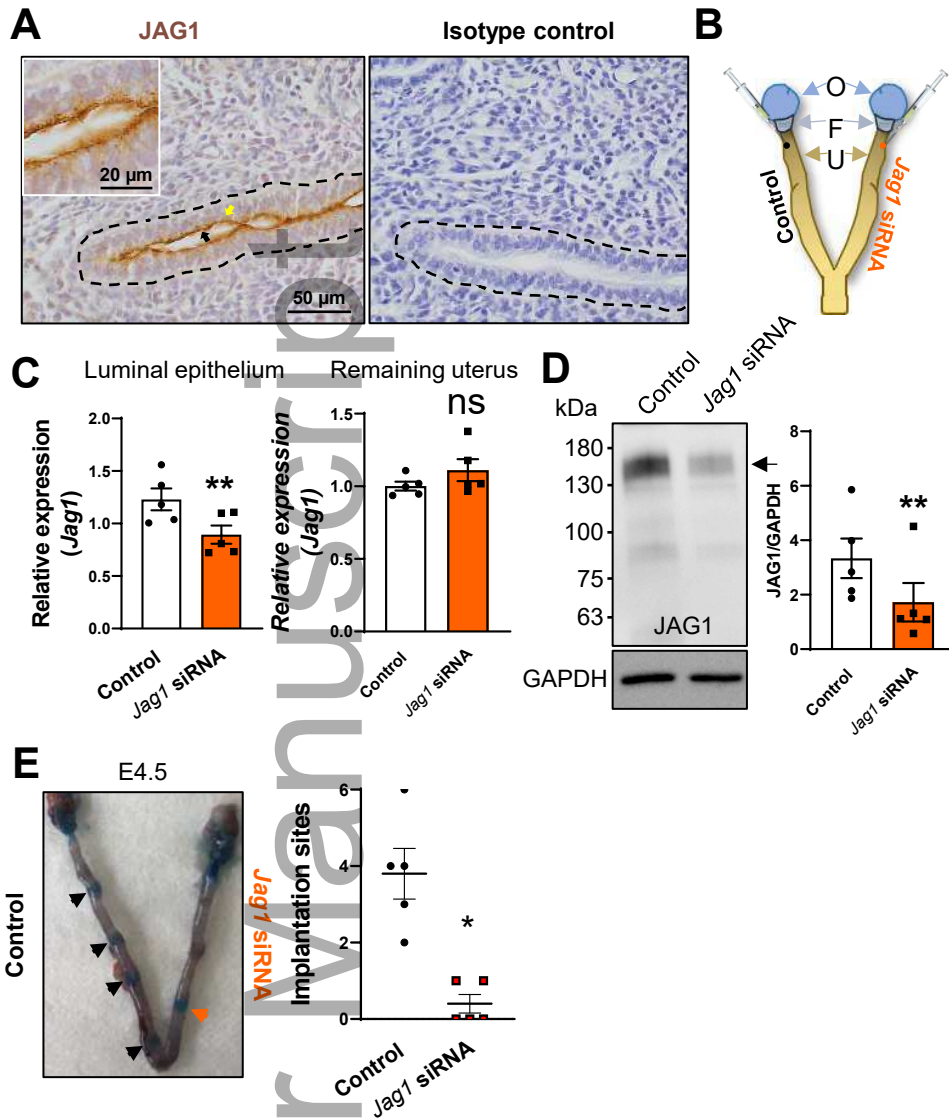


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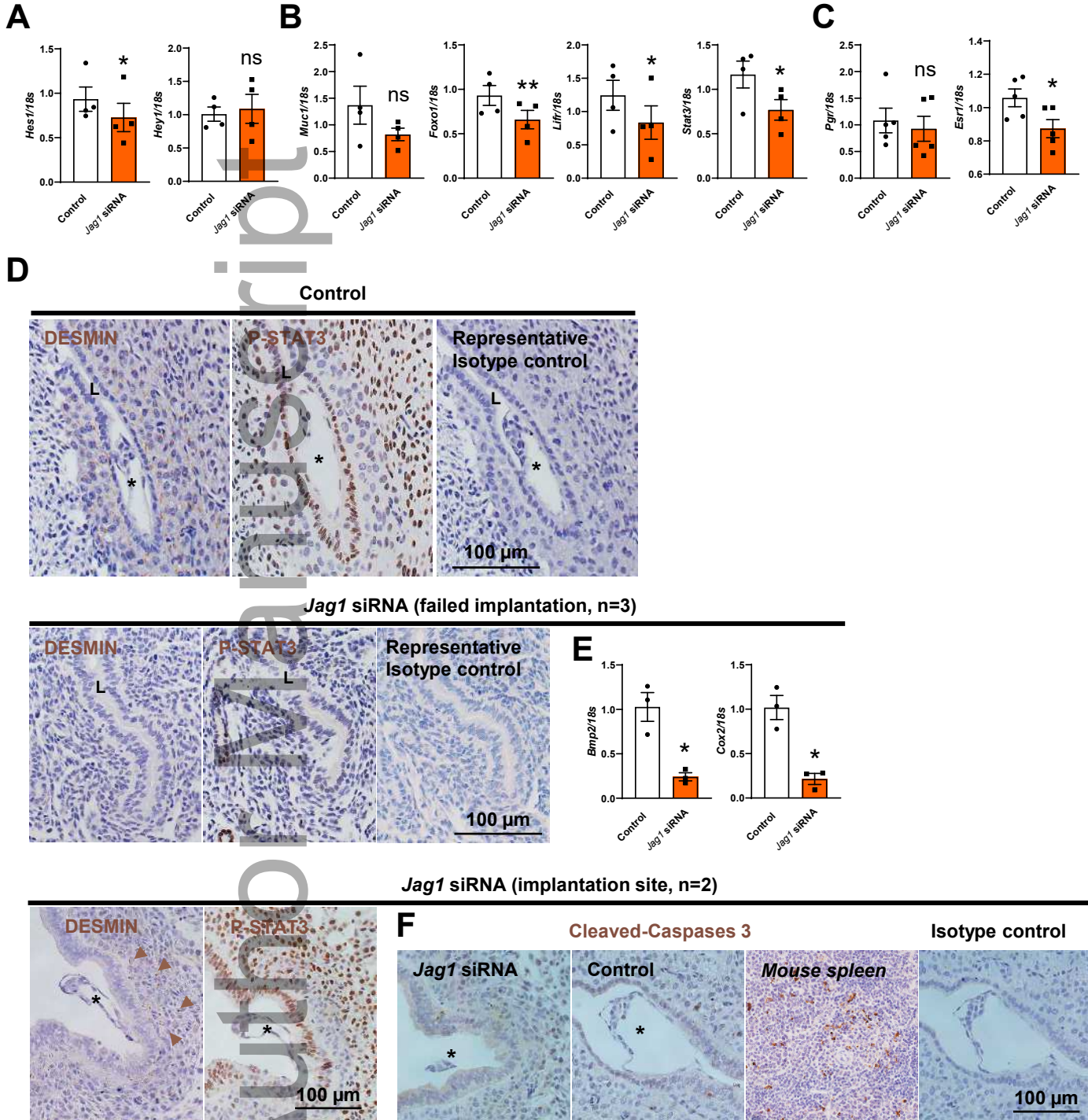
**Fig. 3**



**Fig. 4**



**Fig. 5**



**Fig. 6**

