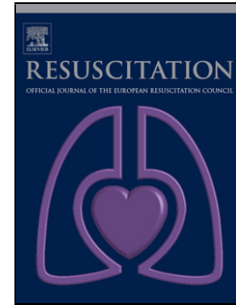


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1 **Supraglottic Airway Devices during Neonatal Resuscitation: An historical perspective,**
2 **systematic review and meta-analysis of available clinical trials**

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26 AIRWAY, OROPHARYNGEAL AIRWAY

27

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29

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33 Analysis and interpretation of the data: GM Schmölzer, M Agarwal, COF Kamlin, PG Davis.

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38

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50 **Abbreviations:**

- 51 LM - Laryngeal mask
52 NICU - Neonatal intensive care unit
53 RCT - Randomized control trial
54 CPAP - Continuous positive airway pressure
55 OR - Odds ratio
56 CI - Confidence intervals
57 NNT - Number needed to treat
58 RR - Relative Risk

59

60

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61 **Abstract**

62 **Introduction**

63 Various supraglottic airway devices are routinely used to maintain airway patency in
64 children and adults. However, oropharyngeal airways or laryngeal masks (LM) are not
65 routinely used during neonatal resuscitation.

66

67 **Method**

68 The aim of this article was to review the available literature about the use of supraglottic
69 airway devices during neonatal resuscitation. We reviewed books, resuscitation manuals
70 and articles from 1830 to the present using the search terms “Infant”, “Newborn”, “Delivery
71 Room”, “Resuscitation”, “Airway management”, “Positive Pressure Respiration”,
72 “Oropharyngeal Airway” and “Laryngeal Mask”.

73

74 **Results**

75 No study was identified using oropharyngeal airways during neonatal resuscitation. Four
76 trials including 509 infants compared positive pressure ventilation with a LM, bag and mask
77 or an endotracheal tube. Infants in the LM group were intubated less frequently compared
78 to infants in the bag and mask ventilation group 4/275 vs. 28/234 (OR 0.13, 95% CI 0.05-
79 0.34). Infants resuscitated with the LM had significantly less unsuccessful resuscitations
80 4/275 vs. 31/234 (OR 0.10, 95% CI 0.03-0.28). Two trials including 34 preterm infants
81 compared surfactant administration via LM vs. endotracheal tube. LM surfactant
82 administration was safe and no adverse events were reported.

83

84 **Conclusion**

85 The efficacy and safety of oropharyngeal airways during neonatal resuscitation remain
86 unclear and randomized trials are required. The current evidence suggests that resuscitation
87 with a LM is a feasible and safe alternative to mask ventilation in infants >34 weeks
88 gestation and birth weight >2000g. However, further randomized control trials are needed
89 to evaluate short- and long-term outcomes following use of laryngeal masks. In addition,
90 surfactant administration via LM should be used only within clinical trials.

91

92 Introduction

93 Approximately 10% of newborn infants require some form of respiratory support in first
94 minutes after birth¹. The International Liaison Committee On Resuscitation (ILCOR) and
95 various national guidelines recommend techniques and equipment for neonatal
96 resuscitation²⁻⁵. They all agree that mask ventilation is the cornerstone of respiratory
97 support immediately after birth²⁻⁵. However, several factors can reduce the effectiveness of
98 mask ventilation, including poor face mask technique resulting in leak or airway obstruction,
99 spontaneous movements of the baby, movements by or distraction of the resuscitator, and
100 procedures such as changing the wraps or fitting a hat⁶⁻⁸. Delivery room studies have shown
101 that mask ventilation is difficult and mask leak and airway obstruction are common^{6,7,9,10}.
102 Various airway maneuvers (e.g. neutral position, chin lift or jaw thrust) have been
103 recommended to optimize mask ventilation and reduce airway obstruction⁸. Resuscitation
104 guidelines suggest that 'in floppy babies application of jaw thrust or the use of an
105 appropriately sized oropharyngeal airway, which may be helpful in opening the airway'⁴.
106 Although oropharyngeal airways are frequently used for airway patency in children and
107 adults¹¹⁻¹³, none are routinely used during neonatal resuscitation.

108
109 Archie Brain, a British anesthetist, described the laryngeal mask (LM) as an alternative to
110 endotracheal intubation in 1981¹⁴. The LM consists of an airway tube connected distally to a
111 soft elliptical mask with an inflatable rim to fit over the laryngeal inlet whereas the proximal
112 end connects to the positive pressure ventilation device¹⁴. A LM provides an alternative in
113 difficult airway scenario where either mask ventilation is ineffective or intubation
114 impossible¹⁵. Currently LMs are routinely used by paramedics, emergency rooms and
115 operating theaters for adult and pediatric anesthesia^{12,16,17}. In newborn infants, the
116 evidence is mainly derived from case series and observational studies^{18,19}, suggesting that a
117 LM can provide an effective rescue airway during resuscitation if both mask ventilation and
118 endotracheal intubation have been unsuccessful. Current neonatal resuscitation guidelines
119 recommend use of LM in newborn infants > 34 weeks gestation or > 2000 gram birth weight
120 when face mask ventilation or tracheal intubation is unsuccessful or not feasible^{2,4}. In
121 addition, use of LMs have been reported during neonatal transport²⁰⁻²², provision of
122 prolonged mechanical ventilation in particular for infants with upper airway abnormalities
123 ²³⁻²⁷, and administration of intratracheal medications²⁸⁻³². Although, LMs are recommended

124 by various neonatal resuscitation guidelines²⁻⁴, if mask ventilation or endotracheal
125 intubation have been unsuccessful, they are not routinely used during neonatal
126 resuscitation.

127

128 The aim of this article was to review the available literature on the use of oropharyngeal
129 airways and laryngeal mask airway during neonatal resuscitation.

130

131 **Methods**

132 *Search strategy for historical perspective and systematic review of available literature*

133 We reviewed books, resuscitation manuals and articles from 1830 to the present with the
134 search terms “Infant”, “Newborn”, “Delivery Room”, “Resuscitation”, “Airway
135 management”, “Positive Pressure Respiration”, “Oropharyngeal Airway” and “Laryngeal
136 Mask”. We used the standard methods of the Cochrane Neonatal Review Group for
137 inclusion, review, and quantitative methods.

138

139 *Data sources and search strategy for meta-analysis*

140 We searched Medline (1980 - May 2012) and Embase (1980 - May 2012) using the following
141 MeSH database search terms: “Infant”, “Newborn”, “Airway Management”, “Resuscitation”,
142 “Positive Pressure Respiration” and “Oropharyngeal airways” “Laryngeal Mask”. The search
143 was limited to human RCTs with no language restrictions (Table 2). We also searched
144 clinicaltrials.gov for completed (Table 3) and ongoing trials (Table 4) using similar search
145 terms, reviewed abstracts from the Pediatric Academic Society annual meetings (2000–
146 2010), and performed a manual search of references in narrative and systematic reviews on
147 laryngeal masks. The full search strategies for PubMed and EMBASE are detailed in
148 Appendix 1.

149

150 *Study selection*

151 Two authors (GMS, COFK) independently screened titles and abstracts for potential
152 eligibility and full texts to confirm eligibility (Table 2). When discrepancies arose, a third
153 party was consulted. Data were extracted using a standardized data collection form to
154 record study design and methodological characteristics, patient characteristics,
155 interventions, outcomes, and missing outcome data (Table 3).

156

157 *Data synthesis and analysis*

158 The analyses were performed using Review Manager 5.0. Dichotomous data were expressed
159 as odds ratio (OR) with 95% confidence intervals (CI). For all analyses, we used a fixed-effect
160 model. The number needed to treat (NNT) was derived from the Relative Risk (RR) in meta-
161 analyses where the 95% CI (or the RR) did not include zero. Heterogeneity was explored
162 using a chi-square test, and the quantity of heterogeneity was measured using the I^2
163 statistic.

164

165 **Results**

166 No published RCT investigating oropharyngeal airways was identified. However, one trial is
167 currently evaluating the use of an oropharyngeal airway in preterm infants receiving mask
168 ventilation³³ (Table 4). Four RCTs comparing LM vs. mask ventilation or endotracheal
169 intubation were found. Several RCTs investigating surfactant administration via LM have
170 been identified³⁴⁻³⁷ (Table 4).

171

172 **Oropharyngeal airways**

173 Sir Fredrick Hewitt recognized that upper airway obstruction was a common problem during
174 general anesthesia³⁸. In 1907 he presented the first known artificial oral 'air-way' (Table 1)³⁸⁻
175 ⁴⁰. Following Hewitt, Lombard⁴¹ and Waters⁴² also developed oropharyngeal airways (Table
176 1). In 1933 Arthur Guedel presented a black rubber modification of the metal Water's
177 airway "the Guedel Oropharyngeal airway" (Figure 1)⁴³. The Guedel airway was designed to
178 hold the tongue away from the back of the pharynx, thus providing a clear channel for
179 respired gases⁴⁴. Oropharyngeal airways may be used to open the airway in floppy newborn
180 infants, or if mask ventilation is ineffective^{4,45-48}. In addition, various surveys evaluating
181 neonatal resuscitation practice reported that Guedel airways are part of the neonatal
182 resuscitation equipment^{5,49}. Guedel airways for preterm and term infants come in
183 traditional sizes of 000, 00, and 0 (Figure 1). However, several studies compared the design
184 of available Guedel airways and reported obvious shape and length differences, despite
185 being labeled the same size⁵⁰⁻⁵². The use of oropharyngeal airways during neonatal
186 resuscitation has not been systematically studied. No study addressing the use of an
187 oropharyngeal airway during neonatal resuscitation was identified. Currently, one RCT is

188 comparing an oropharyngeal airway for prevention of airway obstruction during positive
189 pressure ventilation in preterm infants < 34 weeks gestation during neonatal resuscitation³³.

190

191 **Laryngeal Mask airway**

192 *Available Laryngeal Airway Masks*

193 In 2004, Trevisanuto et al. reported that although 35% of Italian anesthesiologists and 23%
194 of pediatricians have experience with LMs⁵³ for airway management in newborn infants.
195 Anesthesiologists were more enthusiastic about the LM than pediatricians. The education
196 level, competence and utilization rates of LM during neonatal resuscitation was similar in
197 both groups⁵³. Gandini et al. assessed the knowledge about LMs in 80 health care providers
198 in Australia and reported similar results⁵⁴. Thirty-one percent had not heard of the LM, 57%
199 did not know the LM could be used for neonatal resuscitation and 88% thought it was a
200 disposable device⁵⁴. Laryngeal mask size and infants birth weight are the main limitation for
201 LM use in newborn infants. A size 1 LM is recommended for all infants < 5 kg. Observational
202 and randomized studies have demonstrated that a size 1 LM can be used in term and
203 preterm infants >34 weeks or > 2000g. However, currently data for the use of LMs for
204 preterm infants are lacking although, successful resuscitation of premature infants with
205 birth weights as low as 800 g have been reported^{55,56}.

206 Currently, there are various LMs available e.g. i) LMA ClassicTM, LMA ProSealTM and LMA
207 SupremeTM (LMA North America Inc., San Diego, CA, USA), ii) i-gelTM supraglottic airway
208 (Intersurgical, Liverpool, NY, USA) or iii) Air-QTM Disposable Laryngeal Mask Airway (Mercury
209 Medical, Clearwater, FL, USA).

210 The LMA SupremeTM, i-gelTM and Air-QTM are disposable single use LMs compared to
211 reusable and therefore more expensive LMA ClassicTM, LMA ProSealTM. The i-gelTM
212 supraglottic airway has a non-inflating soft-gel cuff compared to inflatable cuffs with all
213 other LMs. A non-inflating soft-gel cuff potentially might reduce reported soft tissue
214 trauma⁵⁷⁻⁵⁹. There is the potential for gastric distension, although this has never been
215 reported in newborn infants^{12,25,60-62}. The LMA ProSealTM and LMA SupremeTM have a gastric
216 vent for air removal from the stomach in cases where gastric distension compromised
217 positive pressure ventilation. Although, various studies compare laryngeal masks from LMA
218 North America Inc., randomized control trials comparing the performance of each LM are
219 warranted.

220

221 *Mannequin studies*

222 The skills needed to successfully insert any LM (Figure 3) can be acquired using neonatal
223 mannequins^{54,55,63,64}. After a 15 minute educational session using a mannequin Gandini et al.
224 found that the mean time to successfully insert a LM was five seconds⁵⁴. Micaglio et al.⁶³
225 compared time from insertion to the first inflation of an artificial lung for the LMA ClassicTM
226 and the LMA ProSealTM. The success rates of the first attempt were significantly higher with
227 the LMA ProsealTM compared to the LMA ClassicTM (97% vs. 92%)⁶³. However, there was no
228 difference in the mean insertion time, 10 seconds for the LMA ClassicTM and 11 seconds for
229 the LMA ProsealTM. Trevisanuto et al. compared LMA SupremeTM, ClassicTM, and ProsealTM in
230 a mannequin study to assess time to establish adequate ventilation, and ease of insertion⁵⁵.
231 The success rate to insert a LM with the first attempt was comparable among the three
232 devices. The mean time to establish effective ventilation was significantly lower with the
233 Supreme LMATM (12 seconds) compared to the LMA ProSealTM (19 seconds), however it was
234 similar compared to the LMA ClassicTM (15 seconds)⁵⁵. Micaglio et al. compared delivered
235 peak inflation pressures between the classic and LMA ProsealTM⁶⁴. Both LMs delivered
236 similar pressures with a set peak inflation pressure of 10-20 cm H₂O⁶⁴. However, the LMA
237 ClassicTM was unable to deliver peak pressures higher than 24 cm H₂O even though the set
238 pressures were 30, 35 or 40cm H₂O⁶⁴. The LMA ProsealTM was able to deliver all of the set
239 pressures⁶⁴. This study suggested that the LMA ProsealTM provides a better laryngeal seal
240 during positive pressure ventilation. However, the study was performed in a mannequin,
241 which has distinctive differences compared to newborn infants⁶⁵. Proficiency in
242 endotracheal intubation cannot be achieved with mannequin practice alone⁶⁶⁻⁶⁹. However,
243 mannequin studies suggest that proficiency in LM insertion techniques can be achieved with
244 a 15-minute educational session^{54,55,63,64}. Clinical trials are urgently needed as this device
245 has the potential to change resuscitation practices around the world and may lead to LMs
246 becoming the primary resuscitation device in near term and term infants.

247

248 *Laryngeal mask during neonatal resuscitation*249 *Observational studies*

250 Laryngeal masks have been recommended as rescue airway if mask ventilation or
251 endotracheal intubation is unsuccessful or not feasible⁴. The available evidence in newborn

252 infants comes from case series, cohort studies and four RCTs (Table 2). Paterson et al.
253 reported the first prospective study evaluating LM for newborn infants ≥ 2.5 kg and
254 gestation ≥ 35 weeks⁷⁰. They demonstrated that the LM could be successfully inserted with
255 first attempt on all 21 newborn infants⁷⁰ and 20 of these infants were successfully
256 resuscitated without any complications⁷⁰. Trevisanuto et al. compared 95 newborn infants $>$
257 34 weeks gestation receiving respiratory support via LM with a historical control group⁷¹.
258 94/95 newborn infants were successfully resuscitated using LM. The need for tracheal
259 intubation was almost halved using LM (67% to 34%)⁷¹. Gandini et al. retrospectively
260 analyzed LM use in 104 newborn infants requiring positive pressure ventilation during
261 neonatal resuscitation⁷². They reported that the LM was successfully inserted in first
262 attempt in all 104 newborn infants and 103/104 newborn infants were successfully
263 resuscitated⁷². Zanardo et al. reported that resuscitation of late preterm infants (34-37
264 weeks gestation) using a LM was associated with lower NICU admissions rates and shorter
265 length of stay compared to mask-ventilation or endotracheal intubation⁷³. In addition,
266 newborn infants resuscitated with LM had higher Apgar scores, required less respiratory
267 support and NICU admission compared to newborn infants receiving endotracheal
268 intubation⁶².

269

270 *Meta-analysis of randomized trials*

271 We identified four RCTs (Table 2) which included a total of 534 infants comparing LM with
272 endotracheal tube or facemask ventilation^{58,61,74,75}. Two RCTS compared LM versus mask
273 ventilation. Singh et al. randomized 50 infants > 35 weeks gestation to either LM or mask
274 ventilation in the delivery room⁷⁴. Both devices had similar success rates, rates of
275 endotracheal intubation, and Apgar scores⁷⁴. Zhu et al. randomized 369 newborn infants
276 (>34 weeks or >2000 grams) to receive respiratory support either with LM or bag and mask
277 immediately after birth⁶¹. Laryngeal masks were successfully inserted with the first attempt
278 in 98.5% with a mean (SD) insertion time of 7.8 (2.2) seconds. LM group had significant
279 higher successful resuscitation rates, less endotracheal intubations, and shorter total
280 ventilation times. Esmail et al. compared LM versus endotracheal intubation during
281 neonatal resuscitation in 40 newborn infants⁵⁸. No significant differences between insertion
282 time, failure rate with 1st attempt, resuscitation outcomes or traumatic airway events were
283 reported⁵⁸. Feroze et al. compared LM versus endotracheal tube or facemask ventilation in

284 75 infants >1500g birth weight⁷⁵ and reported no difference in insertion time. However, the
285 time for effective resuscitation was doubled in the bag and mask group compared to the LM
286 group⁷⁵. Three RCTs reported the number of intubations when either LM or bag and mask
287 ventilation failed to provide adequate ventilation. Fewer infants in the LM group required
288 intubation compared to the mask ventilation group 4/275 vs. 28/234 (OR 0.13, 95% CI 0.05-
289 0.34, NNT 10 to prevent one intubation) (Figure 2). Two RCTs (Figure 2) contributed a small
290 number of infants and the majority of data come from the RCT by Zhu et al. Therefore, these
291 results should be interpreted with caution. The operators in three trials were anesthetists
292 which are more likely familiar with LM insertion^{58,74,75}. Feroze et al. reported a very short
293 intubation time of only 9 seconds⁷⁵. The study by Zhu et al. was quasi-randomized and their
294 publication did not provide a CONSORT-chart, a consent process or blinding⁶¹. All infants in
295 Zhu et al. study were resuscitated with 100% oxygen⁶¹. In addition, 26 infants in the mask
296 group were intubated after only 66 sec of mask ventilation, which potentially was rushed⁶¹.
297 Overall, RCTs have shown that initial respiratory support with a LM is feasible and safe.
298 However, there is not enough evidence to recommend LM instead of mask ventilation for
299 initial respiratory support in the delivery room and large randomized trials are warranted
300 before the technique is widely applied.

301

302 *Surfactant administration*

303 Available evidence comes from 17 newborn infants described in case reports and 34 infants
304 included in RCTs^{28,30,76-78}. Brimacombe et al. described surfactant administration via LM in
305 one term and one preterm infant of 30 weeks gestation^{30,76}. Both infants showed
306 improvement after surfactant administration. Brimacombe et al. suctioned the nasogastric
307 tube which yielded 1.7 ml of surfactant suggesting that 3.3 ml had entered the lungs^{28,30}.
308 Trevisanuto et al. reported the feasibility and practicality of administering surfactant via
309 LMA in 12 preterm infants with a median gestational age of 31 weeks^{19,76}. In eight preterm
310 infants, a significant increase in the mean arterial to alveolar oxygen tension ratio was
311 observed. However, in four no improvement was observed, suggesting a large portion of
312 surfactant did not reach the lungs of these infants. Micaglio et al. used a nasogastric tube to
313 administer surfactant in three preterm infants^{28,31,32}. Two RCTs reported surfactant
314 administration via a LM^{77,78}. Stewart et al. compared surfactant administration via LM (n=8)
315 vs. continuous positive airway pressure (CPAP) + oxygen and no surfactant (n=5) in preterm

316 infants >1200g birth weight within 72 hours of age⁷⁷. Infants who received surfactant via a
317 LM had lower oxygen requirements. However, the trial was terminated due to low
318 enrolment (Table 3)³⁴. Santana-Rivas et al. compared surfactant administration via
319 endotracheal intubation (n=10) vs. LM (n=9) in preterm infants between 29 and 37 weeks⁷⁸.
320 After surfactant administration infants were returned to nasal CPAP if possible⁷⁸. Failure of
321 nasal CPAP after surfactant administration was 90% in the intubation groups compared to
322 22% in the LM group (p=0.003)⁷⁸. Neither RCT reported any adverse events during
323 surfactant administration via a LM. In comparison, surfactant administration via an
324 endotracheal tube has been associated with a series of adverse events^{32,79-83}. Although, pilot
325 data are promising, the current available evidence suggests that surfactant administration
326 via laryngeal mask should be limited to clinical trials (Table 3).

327

328 *Epinephrine administration*

329 There is little evidence evaluating the safety and efficacy of administering epinephrine to
330 newborn infants through a LM. Epinephrine administration, via LM, has been studied in
331 animal models and during adult cardio-pulmonary resuscitation^{31,32}. Chen et al. compared
332 administration of epinephrine via intravenous, endotracheal, injection in the upper end of
333 the LM and via a catheter through the LM, in a non-arrest adult porcine model³². No
334 difference in peak plasma epinephrine levels, mean arterial blood pressure and heart rate
335 were found between endotracheal and injection via a catheter through the LM^{31,32}.
336 Although the lowest peak plasma epinephrine level was reported when epinephrine was
337 injected in the upper end of the LM³², mean arterial blood pressure and heart rate were
338 similar to endotracheal epinephrine administration^{32,56}. Liao et al. randomized 30 piglets to
339 receive different epinephrine doses through either endotracheal tube or LM via a
340 catheter³¹. Piglets in the endotracheal tube group received 50-microgram/kg epinephrine
341 and piglets in the LM groups were divided to receive either two, four or six-fold dose of
342 epinephrine compared to the endotracheal tube dose³¹. After epinephrine administration,
343 piglets in the endotracheal tube group and the six fold LM group had elevated arterial
344 pressures one min after administration³¹. Piglets in the two and four fold group did not
345 show any increase in hemodynamic parameters³¹. This may suggest need for higher dose via
346 LM. One case report described an immediate response of an 800g newborn infant to the

347 administration of epinephrine through a laryngeal mask⁵⁶. The current available evidence
348 does not allow any recommendations for epinephrine administration.

349

350 *Neonatal transport*

351 Five cases of LM use during neonatal transport have been reported^{20-22,27,84}. Fraser et al.
352 reported inter-hospital transfer of two infants with type 3 laryngotracheo-oesophageal
353 clefts²². Trevisanuto et al. described two infants with congenital airway malformations
354 during inter-hospital transport^{20,26}. Brimacombe et al. described a newborn infant with
355 sudden apneic episodes during helicopter transport²¹. The median (IQR) gestational age and
356 birth weight of these five infants was 36 (35-40) weeks and 2800 (2610-2900) grams,
357 respectively. All five infants required rescue airway management with LM as both bag and
358 mask ventilation or endotracheal intubation either failed or were not feasible^{20-22,27,84}. All
359 infants were successfully managed with a size 1 LM and none received any sedatives or
360 anesthetic drugs prior to LM insertion^{20-22,27,84}. These five cases demonstrate the potential
361 use of LM during neonatal transport. In particular during air transport endotracheal
362 intubation is almost impossible due to vibrations, limited space and access to the infants
363 head; hence neonatal air transport services might consider LM as part of their equipment.
364 However, RCTs are needed to compare endotracheal intubation versus LM during neonatal
365 transports.

366

367 *Long-term mechanical ventilation*

368 Laryngeal masks have been primarily used for short-term airway support in newborns. Their
369 safety during long-term ventilatory support has not been established. Several case reports
370 have described LM to provide mechanical ventilation up to 6 days without apparent
371 complications^{23-25,27,61,85-88}. In addition, Fraser et al. reported one case of Type 3 laryngo-
372 tracheo-esophageal cleft in a 35 weeks preterm infant who received high frequency
373 oscillation over 10 hours via a laryngeal mask airway suffering from acute respiratory
374 failure^{26,61}. Although, these case reports demonstrate that a LM can be used for long-term
375 ventilation, a small animal model of prolonged LM use raises significant concerns⁸⁴. Ferrets
376 ventilated with a laryngeal mask developed significant lingual edema and cyanosis after 6 to
377 16 hours resulting in airway obstruction⁸⁴. Histologic examination showed venous and
378 capillary congestion. The investigators found that it was difficult to suction the airway

379 effectively through the LM, and tenacious secretions contributed to respiratory
380 compromise⁸⁴. Although, case reports have demonstrated that LMs can be used for
381 prolonged ventilation, randomized studies are required to determine long-term effects of
382 laryngeal mask placement.

383

384 *“Can't ventilate, can't intubate” situation*

385 Although several delivery room studies reported complication with mask ventilation and
386 endotracheal intubation^{7,9,10,67-69,89}, the incidence of “can't intubate, can't ventilate”
387 situation has not been reported in newborn infants. In comparison it has been estimated
388 0.01-2 per 10,000 adult cases⁹⁰. However, the majority of newborn infants can adequately
389 ventilated and oxygenated using a mask when found unexpectedly difficult to intubate⁷.
390 Brimacombe et al. reported a “can't intubate, can't ventilate scenario” in an 800g preterm
391 infant in a rural hospital where mask ventilation and intubation was impossible⁵⁶. Intubation
392 failed to achieve increase in heart rate and adequate ventilation was only possible with a
393 size 1 LM without inflating the cuff⁵⁶. Fortunately, this is a very rare scenario in the daily
394 neonatal practice. However, neonatologists should be aware of the potential of a “can't
395 intubate, can't ventilate” situation and be familiar with alternative techniques to achieve a
396 patent airway (e.g. laryngeal mask placement, airway manoeuvres⁸, bronchoscopic
397 intubation⁹¹, or video-laryngoscope⁹²).

398

399 *Safety of Laryngeal mask airways*

400 A survey of almost 12 000 patients ranging from infant to adults reported critical incidents
401 related to LM management in only 18 (0.15%) cases^{70,93,94}. Complications have also been
402 described during and after the use of LM in infants and older children^{70,95-97}. Bronchoscopic
403 studies have noted that the mask is often improperly seated and partially obstructs the
404 laryngeal opening^{27,28,30,70,76}. Few complications have been reported in newborn infants
405 include vomiting^{58,61}, regurgitation^{58,61}, soft tissue trauma⁵⁷⁻⁵⁹, laryngospasm, breath
406 holding^{12,21,25,61,62}, vomiting, stridor, and desaturation after LM removal. However, no
407 episodes of airway trauma, obstruction, or laryngospasm were reported in the neonatal
408 case series and retrospective cohorts of Paterson, Gandini, Trevisanuto, and Zanardo^{62,70-}
409 ^{72,94}. LM can be correctly positioned with the 1st attempt^{70,94,94} and insertion techniques are
410 quickly learnt by unskilled operators^{70,94,96,97}. Case series reported that LM insertion is less

411 invasive and faster compared to endotracheal intubation^{28,30,70,76,98}. Esmail et al. found a
412 higher 1st attempt failure rate in the LM group compared with those managed with an
413 endotracheal tube⁹⁹. However, the operators in this RCT were anaesthesiologists who had a
414 much higher intubation success rate. These findings contrasted with those of Zhu et al.
415 who found better 1st attempt success with LM when used by paediatricians⁶¹. Disadvantages
416 of LMs include: i) time to start ventilation compared to bag and mask ventilation^{57,59,60}, ii)
417 potential of gastric distension and gastric aspiration, although never reported in newborn
418 infants^{12,25,60-62}, iii) laryngospasm^{38,94}, iv) development of complete or partial airway
419 obstruction^{41,94}, v) perfect positioning of LM was observed in only 44% of all cases^{40,94}, vi)
420 soft tissue injury in the uvula, oropharynx, epiglottis^{98,100}, impossibility to suction
421 airway^{42,84,101}.

422

423 **Gaps in the knowledge**

424 No study has investigated the additional use of a Guedel airway during mask ventilation to
425 reduce airway obstruction or mask leak. It is possible that lifting the tongue as expected
426 action of a Guedel airway might reduce airway obstruction during mask ventilation.

427 Although there is increasing evidence that a LM has a role in neonatal airway management,
428 further RCTs are needed. Of particular interest are long-term outcomes as cohort studies
429 reported shorter duration of ventilatory support for infants admitted to the NICU.
430 Randomized studies comparing i) available LMs versus bag and mask or endotracheal
431 ventilation, ii) surfactant and epinephrine administration via LM versus endotracheal tube
432 or nasogastric tube, iii) chest compression, during LM vs. bag and mask or endotracheal
433 tube ventilation is required. In addition, studies addressing the potential use of LM in very
434 small preterm infants and their effect on long-term outcomes are warranted. Furthermore,
435 studies addressing the most efficient insertion technique to teach all medical professionals
436 involved in neonatal resuscitation are necessary.

437

438 **Conclusion**

439 Efficacy and safety of oropharyngeal airways during neonatal resuscitation remain unclear
440 and randomized trials are needed. The current evidence suggests that resuscitation with a
441 LM is a feasible and safe alternative to mask ventilation in infants >34 weeks gestation and

442 birth weight >2000g. However further randomized control trials are needed to demonstrate
443 clinical short- and long-term benefits of laryngeal masks.

444

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445 **Appendix #1: Search strategies for meta-analysis (online supplement)**446 **Search strategy for PubMed:** last performed on 02/07/2012447 Limits activated: "Publication date from 1980/01/01 to 2012/05/12", "Humans", "Clinical
448 Trial", "Infant: birth-23 months"

449 #1 MeSH descriptor Infant explode all trees (Result: 36,866)

450 #2 MeSH descriptor Newborn explode all trees (Result: 17,541)

451 #3 MeSH descriptor Airway management explode all trees (Result: 1,504)

452 #4 MeSH descriptor Resuscitation explode all trees (Result: 1,074)

453 #5 MeSH descriptor Positive Pressure Respiration explode all trees (Result: 378)

454 #6 MeSH descriptor Laryngeal Mask explode all trees (Result: 116)

455 #7 ((#1) AND #2) AND #3 (Result: 1,103)

456 #8 (#7) AND #4 (Results: 689)

457 #9 (#7) AND #5 (Results: 304)

458 #10 (#7) AND #6 (Results: 20)

459 #11 (#7) AND #4) AND #6 (Results: 7)

460

461 **Search Strategy for Embase:** last performed on 02/07/2012

462 Limits activated: "Human", "1980 -Current", and "infant <to one year>"

463 #1 Infant (Result: 91,122)

464 #2 Newborn (Result: 91,122)

465 #3 Airway management (Result: 133)

466 #4 Resuscitation (Result: 739)

467 #5 Positive Pressure Respiration (Result: 353)

468 #6 Laryngeal Mask (Result: 139)

469 #7 ((#1) AND #2) AND #3 (Result: 133)

470 #8 (#7) AND #4 (Results: 17)

471 #9 (#7) AND #5 (Results: 7)

472 #10 (#7) AND #6 (Results: 32)

473 #11 (#7) AND #4) AND #6 (Results: 7)

474

475

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Table 1: Timeline of oropharyngeal airway devices (online supplement)

1834	J. Blundell describes digital intubation for the treatment of Asphyxia Neonatorum ¹⁰¹
1895	A. Kirstein invented the modern Laryngoscope ⁹⁹
1908	F.W. Hewitt describes a straight rubber tube, known to be the first artificial oral 'airway' ⁴³
1915	J.E. Lumbard describes his controller of the tongue and palate during general anesthesia ⁴⁶
1922	F.W. Hewitt modified his airway into a curved rubber tube ⁴³ (Figure 1)
1928	P.J. Flagg described his technique of introducing a metal tube into the trachea using a small electrically lighted laryngoscope ¹⁰⁰
1930	R.M. Waters metal oropharyngeal airway, which had a straight bite-block section, an anatomically curved pharyngeal section, and an oval flange at the proximal end to prevent over insertion ⁴⁷
1933	A.E. Guedel describes the first oropharyngeal airway made of rubber with a small metal inlet as bite block ⁴⁸ (Figure 1)
1935	J.B. Blaikley and G.F. Gibberd suggest to use a rubber catheter instead of the rigid tube for endotracheal intubation ¹⁰²
1950	R.A. Berman designed the first reusable oropharyngeal airway made of plastic ¹⁰³
1981	A. Brain designed the Laryngeal mask airway ¹⁴
1988	Laryngeal mask airway becomes commercial available ¹⁰⁴
1990	N.M. Denny described the 1 st neonatal resuscitation using a LMA ¹⁰⁵
1999	Only case reported were laryngeal mask was used for epinephrine administration during cardio-pulmonary resuscitation ⁵⁹
2004	1 st surfactant administration via laryngeal mask airway in newborn infant ³⁰
2002, 2005, 2008, 2011	RCTs comparing LMA versus mask ventilation or endotracheal intubation ⁷²⁻⁷⁵ (Table 3)
2008, 2011	RCTs comparing LMA versus endotracheal tube for surfactant administration ^{78,79}
2012-ongoing	Oropharyngeal airway to reduce severe airway reduction ³³ (Table 4)
2012-ongoing	3 RCTs comparing LMA versus endotracheal tube for surfactant administration ³⁵⁻³⁷ (Table 4)

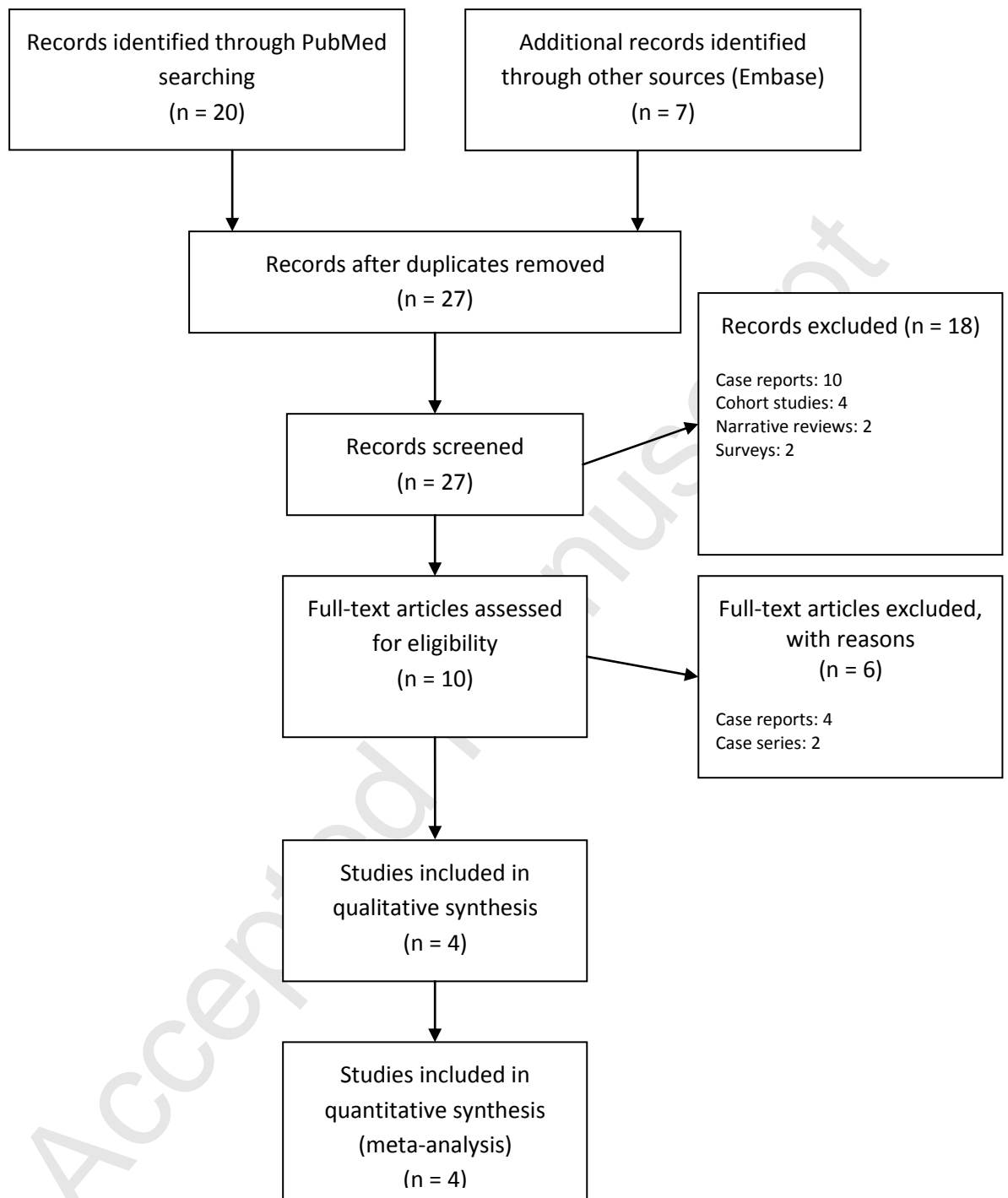
Table 2: PRISMA flow chart

Table 3: Risk of bias assessment of randomized control trial using LMA versus mask ventilation or endotracheal intubation

Study	Study population	Comparison	Main outcomes	Sequence generation	Allocation concealment	Blinding of participants, personnel and outcomes	Incomplete outcome data (attrition and exclusions)	Selective outcome reporting	Source of funding bias
Esmail et al. ⁷²	GA >35 wks or BW >2500g	LMA (n=20) vs. ETT (n=20)	Apgar scores; Time until heart rate >100/min; LMA and ETT insertion times; rate of successful insertion with 1 st attempt; total number of attempts required; duration of PPV;	Uncertain	Uncertain	Uncertain	Uncertain	Low	Low
Singh et al. ⁷³	GA >35 wks or BW >1500g	LMA (n=25) vs. bag and mask (n=25).	Apgar scores; LMA insertion time; rate of successful insertion with 1 st attempt; total number of attempts required; success of ventilation, time required for improvement in color; duration of PPV	Uncertain	Uncertain	Uncertain	Uncertain	Low	Low
Feroze et al. ⁷⁴	BW >1500g; APGAR Score <4/10 at birth; Newborns born via C/S	ETT (n=25) vs. BMV (n=25) vs. LMA (n=25)	Apgar scores; LMA and ETT insertion time; rate of successful insertion with 1 st attempt; total number of attempts required; success of ventilation, time required for improvement in color;	Uncertain	Uncertain	Uncertain	Uncertain	Low	Low
Zhu et al. ⁷⁵	GA >34 wks or BW >2000g	LMA (n=205) vs. bag and mask (n=164)	Apgar scores; LMA insertion time; rate of successful insertion with 1 st attempt; total number of attempts required; response time; need for tracheal intubation	High	High	Uncertain	Uncertain	Low	Low

GA - gestational age, BW - birth weight, wks - weeks, g - gram, LMA - laryngeal airway mask, ETT - endotracheal tube, BMV - Bag and Mask Ventilation, C/S caesarian section

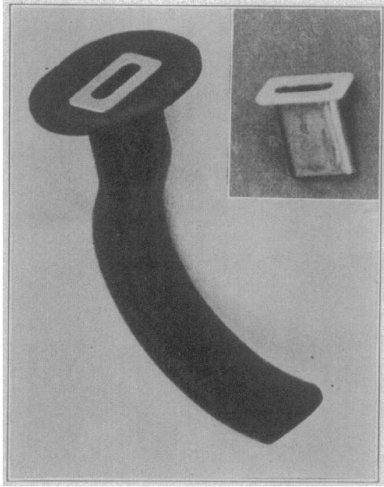
Table 4: Trials identified at clinicaltrials.gov

Study	Study population	Comparison	Primary outcome	Estimated enrollment	Status
Oropharyngeal airway					
Oropharyngeal airway for prevention of airway obstruction during positive pressure ventilation in preterm infants < 34 weeks gestation during neonatal resuscitation ³³	GA <34 wks requiring mask ventilation in the delivery room	Mask ventilation with or without a Guedel Tube.	Reduction in severe airway obstruction	132	Recruiting
Laryngeal mask airway					
Randomized Controlled Trial of Surfactant Delivery Via Laryngeal Mask Airway (LMA) Versus Endotracheal Intubation ³⁵	GA >29 & >37 weeks with an postnatal age of 4-48 hours	Surfactant administration via LMA vs. ETT (after premedication for pain)	Rate of failure of surfactant therapy	78	Recruiting
Efficacy Evaluation of Surfactant Administration Via Laryngeal Mask Airway ³⁷	BW >1000g and GA >28 & <35 wks with an postnatal age of <8 hours	Surfactant administration via LMA vs. ETT	Fraction of inspired oxygen	60	Not yet recruiting
Laryngeal Mask Airway (LMA) for Surfactant Administration in Neonates ³⁶	GA at time of enrollment > 28 & <36 wks with an postnatal age of <38 hours	Infants on nCPAP who receive surfactant via a LMA vs. infants who are maintained on nCPAP and do not receive surfactant.	Need for intubation and mechanical ventilation in the first seven days of life	144	Recruiting
Randomized Controlled Trial of Surfactant Administration by Laryngeal Mask Airway (LMA) ³⁴	BW >1200g with chronologic age of <72 hours	Infants with RDS who have not yet reached criteria for intubation, will be randomized to receive surfactant by LMA or to continue receiving standard therapy of nCPAP and supplemental oxygen	Rate of failure of surfactant therapy	380 (total of 26 infants were enrolled)	Terminated (due to low enrolment)

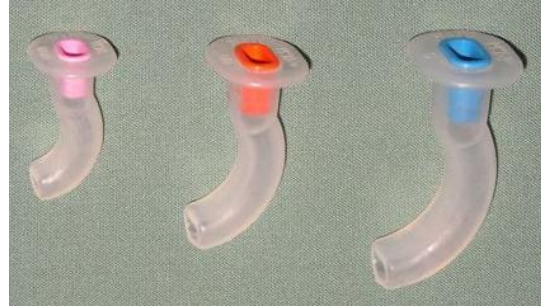
GA - gestational age, BW - birth weight, wks - weeks, g - gram, LMA - laryngeal airway mask, ETT - endotracheal tube, nCPAP - Nasal continuous positive airway pressure, RDS - respiratory distress syndrome

Figure 1

A



B



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Figure 2

