

Influence of riblet shapes on the occurrence of Kelvin–Helmholtz rollers

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We investigate turbulent flow over streamwise-aligned riblets (grooves) of various shapes and sizes. Small riblets with spacings of typically less than 20 viscous units are known to reduce skin-friction drag compared to a smooth wall, but larger riblets allow inertial-flow mechanisms to appear and cause drag reduction to break down. One of these mechanisms is a Kelvin–Helmholtz instability that García-Mayoral & Jiménez (*J. Fluid Mech.*, vol. 678, 2011, pp. 317–347) identified in turbulent flow over blade riblets. In order to evaluate its dependence on riblet shape and thus gain a broader understanding of the underlying physics, we generate an extensive data set comprising 21 cases using direct numerical simulations (DNSs) of fully developed minimal-span channel flow. The data set contains six riblet shapes of varying sizes between maximum drag reduction and significant drag increase. Comparing the flow fields over riblets to that over a smooth wall, we find that in this data set only large sharp-triangular and blade riblets have a drag penalty associated with the Kelvin–Helmholtz instability and that the mechanism appears to be absent for blunt-triangular and trapezoidal riblets of any size. We therefore investigate two indicators for the occurrence of Kelvin–Helmholtz rollers in turbulent flow over riblets. First, we confirm for all six riblet shapes that the groove cross-sectional area in viscous units serves as a proxy for the wall-normal permeability that is necessary for the development of Kelvin–Helmholtz rollers. Additionally, we find that the occurrence of the instability correlates with a high momentum absorption at the riblet tips. The momentum absorption can be qualitatively predicted using Stokes-flow.

Key words:

1. Riblets and Kelvin–Helmholtz rollers

Riblets are streamwise-aligned surface grooves with design sizes on the order of 10 viscous units that have the potential to reduce skin-friction drag compared to a smooth wall. They are classified as passive flow-control devices, as no energy input is required to favourably alter the flow field, making them attractive for aeronautical (Szodrich 1991) and maritime (Letcher *et al.* 1987) applications as well as for pipes (e.g. Liu *et al.* 1990; Peet *et al.* 2009). The design of riblets builds on the idea by Kramer (1937) for streamwise-aligned wires that shield a surface below from drag-increasing turbulence. Early experimental results by Liu *et al.* (1966) suggested the possibility of drag reduction by riblet surfaces and Walsh *et al.* investigated drag characteristics

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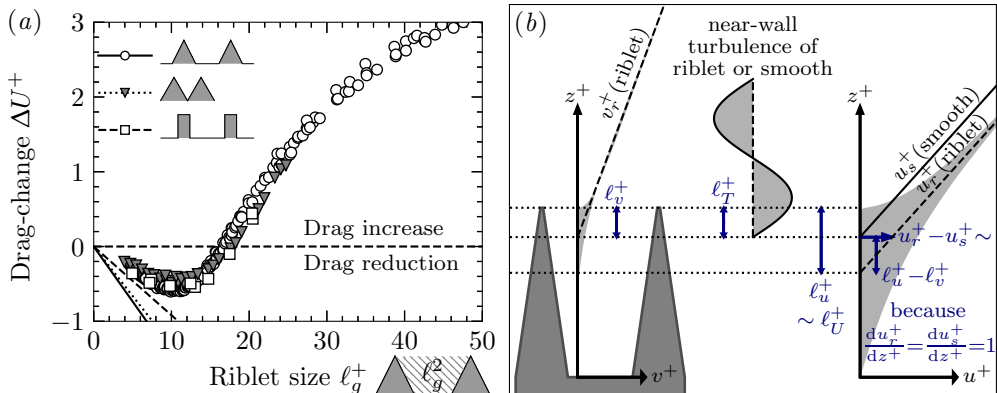


FIGURE 1. (a) Drag-change between a smooth and a riblet surface measured by a shift in the profile of mean streamwise velocity ΔU^+ for riblets of varying viscous-scaled cross-sectional area ℓ_g^+ . The drag optimum is typically at $\ell_g^+ \approx 10.7$ (García-Mayoral & Jiménez 2011b). Data shown here are measured experimentally by Bechert *et al.* (1997) (\blacktriangledown , $Re_\tau \approx 250 - 770$) and Deyn *et al.* (2019) (\circ , $Re_\tau \approx 150 - 2270$) and converted from $\Delta\tau_w/\tau_{w,\text{smooth}}$ to ΔU^+ at matched Re_{bulk} . DNS data of channel flow are from García-Mayoral & Jiménez (2012) (\square , $Re_\tau \approx 180 - 550$). Straight lines indicate slopes for infinitely small riblets of the three shapes calculated in the Stokes-flow limit (Luchini *et al.* 1991). (b) Near-wall portion of streamwise (u^+) and spanwise (v^+) Stokes flow profiles for a riblet, where shaded regions delimit the spanwise dependence close to the surface. Protrusion heights ℓ_u^+ and ℓ_v^+ in the Stokes-flow limit approach the true solution ℓ_T^+ and ℓ_U^+ for small viscous-scaled riblet sizes.

of different riblet geometries at varying flow conditions (e.g. Walsh & Weinstein 1978; Walsh 1982). Roughly half of the drag transport aircraft experience in cruise conditions results from skin-friction drag (e.g. Schrauf 2005), making its reduction financially and environmentally attractive. Laboratory tests of riblets have reduced skin-friction drag by up to 8.2% for friction Reynolds numbers $Re_\tau \equiv \delta u_\tau / \nu \lesssim 700$ (Bechert *et al.* 1997), where δ is the half-channel height, ν the kinematic viscosity and $u_\tau \equiv \sqrt{\tau_w / \rho}$ the friction velocity defined with the density ρ and the wall-shear stress (drag per unit plan area) τ_w . Following Spalart & McLean (2011), this reduction in skin-friction drag scales to approximately 5.5% for flight conditions at $Re_\tau \approx 48\,000$ and it is only marginally affected by yawed flow up to angles of 15° (Walsh & Lindemann 1984). Possible applications explain ongoing interest by the aircraft industry and continued effort to overcome practical challenges (e.g. Bilinsky 2019; Linde & Hegenbart 2019).

Drag reduction by riblets relative to a smooth wall at matched Re_τ is defined as $DR \equiv 1 - C_f/C_{f,\text{smooth}}$. The skin friction coefficient $C_f = 2/U_\delta^+{}^2$ is determined by the viscous-scaled mean streamwise velocity $U^+ = U/u_\tau$ evaluated at the half-channel or boundary-layer height δ . The superscript $+$ is used to denote viscous scaling with ν and u_τ throughout this paper. For small differences in drag between the two surfaces, $DR \propto -\Delta U^+$, where $\Delta U^+ \equiv U_{\text{smooth}}^+ - U^+$ is the shift between the profiles of mean streamwise velocity for the smooth and riblet surfaces at matched height in or above the logarithmic layer. Unlike a fractional drag-change, ΔU^+ is independent of the Reynolds number for large Re_τ and low blockage (Spalart & McLean 2011). In figure 1(a) we observe that the drag-change ΔU^+ depends strongly on the riblet size, which we measure using the viscous-scaled square-root of the groove cross-sectional area ℓ_g^+ as proposed by García-Mayoral & Jiménez (2011b). Small riblets repel turbulent eddies by obstructing their induced spanwise flow and thus reduce mixing of streamwise momentum and consequently skin-friction drag. The detailed description of the flow around riblets that

explains drag-reduction mainly comes from various works of Bechert *et al* and Luchini *et al* and was recently reviewed by García-Mayoral *et al.* (2019). Luchini *et al.* (1991) explain the drag-reduction mechanism for riblets that are small enough for the flow around them to be dominated by viscosity, which is therefore described by Stokes flow. In this framework, the cross flow from quasi-streamwise vortices is represented by spanwise Stokes-flow v_r (figure 1*b*), which penetrates the groove to a depth below the crest given by the lateral protrusion height ℓ_v . Importantly, this is less than the protrusion height of streamwise Stokes-flow $\ell_u > \ell_v$. In turbulent flow, the penetration depth of Reynolds stresses given by $\ell_T \sim \ell_v$ is thus less than the streamwise protrusion height $\ell_U > \ell_T$ (Luchini 1996). If we now consider a reference smooth wall ℓ_T below the riblet crest, both flows are similar (Luchini 1996) in that their total stresses match at every height. The origins of streamwise flow of the two surfaces however differ by $\ell_U - \ell_T = \ell_u - \ell_v > 0$ in the Stokes-flow limit. That difference describes the velocity decrement $\Delta U^+ \approx \ell_T^+ - \ell_U^+ < 0$, because both riblet and smooth-wall velocity profiles have the same slope $dU^+/dz^+ \approx 1$ in the viscous sublayer (Luchini 1996). Consequently, $U^+ \approx U_{\text{smooth}}^+ - \Delta U^+$ at every height above the reference smooth wall. In the Stokes-flow limit, riblet geometries with sharp tips (triangular and parabolic grooves) have a higher protrusion height difference than rounded sinusoidal profiles (Luchini *et al.* 1991). However, the protrusion height difference of finite-thickness blade riblets increases through tip rounding, because it effectively sharpens the otherwise flat tips (García-Mayoral & Jiménez 2011*a*). The theoretical maximum $\ell_u - \ell_v \approx 0.13s$, where s is the riblet spacing, is attained for infinitely thin (sharp) and deep blade riblets (Luchini *et al.* 1991). Viscous analysis further predicts a linear decrease of drag for small riblets (straight lines in figure 1*a*), which implies that drag reduces with increasing riblet size until they are large enough that inertial effects contribute to momentum transport in the vicinity of the wall. For larger riblets therefore, viscous theory no longer fully captures the drag-change and we find a minimum in the drag curve (figure 1*a*). This breakdown of the drag-reducing regime scales with ℓ_g^+ for various riblet shapes, and the drag-minimum is typically at $\ell_g^+ \approx 10.7$ (García-Mayoral & Jiménez 2011*b*).

For large riblets with $\ell_g^+ \gtrsim 10.7$, drag reduction degrades with increasing riblet size and for $\ell_g^+ \gtrsim 17$ typical grooved surfaces have higher drag than a smooth wall (figure 1*a*). Even though the drag-increase has been known to occur since at least the 1980s, the physical processes responsible for the breakdown of drag reduction have thus far evaded complete understanding, which might limit our ability to design riblets that provide enough drag reduction to be economically viable for airlines. In general, drag increases as Reynolds shear stresses transport streamwise momentum into sufficiently large grooves and three main mechanisms have been proposed to explain the details of this transport. Choi *et al.* (1993) suggest that as the spacing of triangular riblets becomes large enough for streamwise vortices to descend into the groove, they sweep high-speed fluid towards an increased wetted area and thus enhance skin-friction drag. Goldstein & Tuan (1998) on the other hand attribute vertical mixing to secondary mean flows created as triangular riblets deflect lateral flow into the wall-normal direction. García-Mayoral & Jiménez (2011*b*) demonstrate that spanwise-aligned vortical structures related to a Kelvin–Helmholtz instability contribute to drag of blade riblets that are larger than the optimum size for drag reduction (figure 1*a*).

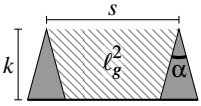
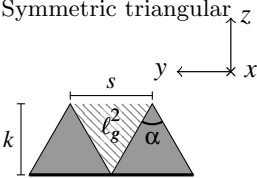
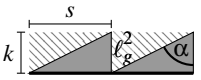
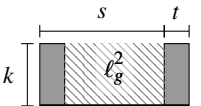
What is currently lacking is a data set comprising several riblet geometries to assess whether Kelvin–Helmholtz rollers appear also in the earlier studies. While Kelvin–Helmholtz rollers typically develop in free shear flows (e.g. Drazin & Reid 2004), coherent spanwise-aligned rollers related to a Kelvin–Helmholtz instability have also been reported to appear in the flow directly above plant canopies (Raupach *et al.* 1996; Nepf *et al.* 2007).

Furthermore, Kelvin–Helmholtz rollers contribute to turbulent mixing and thus drag of porous walls (Jiménez *et al.* 2001; Breugem *et al.* 2006; Gómez-de-Segura & García-Mayoral 2019) and blade riblets (García-Mayoral & Jiménez 2011*b*). The flow over natural plant canopies and artificial porous surfaces suggests two parameters that describe the formation of Kelvin–Helmholtz rollers in wall-bounded flow. First, these surfaces impede wall-normal velocity fluctuations to varying extent depending on the depth and wall-normal permeability of the substrate, which affects the ability of coherent rollers to develop in their proximity (Jiménez *et al.* 2001; Gómez-de-Segura & García-Mayoral 2019). This is how García-Mayoral & Jiménez (2011*b*) explain that Kelvin–Helmholtz rollers only appear over large blade riblets of sizes $\ell_g^+ \gtrsim 11$. Second, a mixing layer with an inflection point in the profile of mean-streamwise velocity may be created between slow flow around the roughness elements and the faster stream above (Raupach *et al.* 1996). This inflection point is usually proposed as a necessary condition for instability in shear flows (Rayleigh 1879), although the linear stability analysis of smooth-wall flow with added wall-normal permeability by García-Mayoral & Jiménez (2011*b*) suggests that Kelvin–Helmholtz rollers can also appear without an inflection point, because in the limit of infinite permeability, the impedance boundary condition mimics a free shear layer. Canopy drag generally increases shear in the mixing layer and thus growth of the instability, but particularly high resistance to streamwise velocity fluctuations also damps Kelvin–Helmholtz rollers (Nepf *et al.* 2007; Singh *et al.* 2016; Sharma & García-Mayoral 2020*b*). Sparse canopies with low drag on the other hand have a weaker mixing layer and their turbulent flow field is consequently less affected by the Kelvin–Helmholtz instability (Poggi *et al.* 2004; Sharma & García-Mayoral 2020*a*). Changing the riblet geometry therefore has the potential to not only alter the wall-normal permeability of the plane at the riblet crest, but also the mixing layer around the riblet tips from which Kelvin–Helmholtz rollers develop. For example, the blade riblets with a finite tip-width studied by García-Mayoral & Jiménez (2011*b*) absorb part of the momentum at the top of the crest, where high local drag creates strong shear. This distinguishes them from other common riblet shapes with pointed tips.

In this study, we conduct direct numerical simulations (DNSs) of the flow over riblets of six different shapes, and compare them to smooth-wall flow to study how geometry differences affect the Kelvin–Helmholtz instability and thus the drag-characteristics of a riblet surface. We visualize differences in physical space (§3.1) and in spectral space (§3.2). García-Mayoral & Jiménez (2011*b*) observed that the instability affects the flow in a distinct spectral region of streamwise wavelengths $65 \lesssim \lambda_x^+ \lesssim 290$, which allows evaluating its influence on drag. Following the same method in §4, we quantify the drag-penalty that is due solely to the instability. In §5.1, we verify effects of wall-normal permeability on Kelvin–Helmholtz rollers for all six riblet shapes with varying viscous-scaled sizes. Lastly, in §5.2, we illustrate how the shear in the mixing layer, and thus the development of the instability, depend on the riblet shape. We offer concluding remarks in §6.

2. DNS data set of six riblet shapes and varying sizes

We investigate the flow over six different riblet shapes with varying viscous-scaled sizes for a total of 21 cases. Four of those shapes are triangular riblets. Symmetric triangles have tip angles $\alpha = 30^\circ$, 60° and 90° (\blacktriangle , $\blacktriangle\blacktriangle$, $\blacktriangle\blacktriangle\blacktriangle$, case names T3s⁺, T6s⁺, T9s⁺) making them similar to those studied by Choi *et al.* (1993); Bechert *et al.* (1997); Goldstein & Tuan (1998) and Deyn *et al.* (2019). Asymmetric triangular riblets have $\alpha = 63.4^\circ$ (\blacktriangleleft , ATs⁺). Our trapezoidal riblets ($\blacktriangleleft\blacktriangleleft$, TAs⁺) have the same tip angle $\alpha = 30^\circ$

	Case	s^+	k^+	ℓ_g^+	α	Δx^+	Δy^+	n_s	Δz^+	Δt^+ $\times 10^3$	L_x^+	L_y^+	δ^+	δ'^+	$L_t u_\tau / \delta$	$\Delta U^+ \pm \zeta^+$	ε'^+ $\times 10^3$
Trapezoidal 	TA18	17.9	8.9	11.8	30.0°	6.0	0.27 – 3.0	27	0.31 – 7.0	47.4	2054	250	395.0	389.6	50.1	-1.06 ± 0.10	4.2
	TA31	31.3	15.6	20.6	30.0°	6.0	0.47 – 2.4	27	0.31 – 7.0	47.4	2054	250	395.0	385.5	46.7	0.44 ± 0.10	3.4
	TA36	36.5	18.2	24.0	30.0°	6.0	0.55 – 2.9	27	0.31 – 7.1	47.4	2054	255	395.0	383.9	49.2	0.81 ± 0.10	4.5
	TA50	50.0	25.0	32.9	30.0°	6.0	0.76 – 3.9	27	0.31 – 7.1	47.4	2054	250	395.0	379.8	45.7	1.76 ± 0.10	5.9
	TA63	62.5	31.3	41.1	30.0°	6.0	0.95 – 4.9	27	0.31 – 7.1	47.4	2054	250	395.0	376.0	46.7	2.47 ± 0.10	7.3
Symmetric triangular 	T310	10.1	18.8	9.75	30.0°	6.0	0.057 – 1.5	29	0.033 – 7.0	15.8	1027	252	395.0	386.3	69.3	-0.77 ± 0.12	6.1
	T321	21.1	39.4	20.4	30.0°	6.0	0.12 – 3.2	29	0.023 – 6.9	15.8	1027	253	395.0	376.9	65.0	0.83 ± 0.12	5.4
	T333	33.3	62.2	32.2	30.0°	6.0	0.83 – 3.4	41	0.20 – 8.5	25.0	2000	600	1000.0	971.4	19.1	2.75 ± 0.10	8.4
	T615	14.7	12.7	9.68	60.0°	6.0	0.083 – 2.2	29	0.041 – 7.0	31.6	1027	250	395.0	390.0	91.1	-0.82 ± 0.10	3.3
	T635	35.0	30.3	23.0	60.0°	6.0	0.16 – 4.9	33	0.014 – 4.7	23.7	1027	245	395.0	383.1	93.5	0.64 ± 0.10	4.1
	T919	19.2	9.6	9.60	90.0°	6.0	0.11 – 2.9	29	0.047 – 7.1	47.4	1027	250	395.0	392.2	127	-0.61 ± 0.08	4.7
	T950	50.0	25.0	25.0	90.0°	6.0	0.30 – 7.1	33	0.029 – 7.0	47.4	1027	250	395.0	387.8	95.0	0.78 ± 0.10	7.8
Asymmetric triangular 	AT15	14.7	7.4	7.36	63.4°	6.5	0.23 – 2.0	26	0.40 – 5.8	47.4	1027	250	395.0	392.6	45.4	-0.49 ± 0.14	3.1
	AT19	19.2	9.6	9.62	63.4°	6.5	0.37 – 1.9	28	0.40 – 5.8	47.4	1027	250	395.0	391.9	121	-0.50 ± 0.09	3.4
	AT31	31.3	15.6	15.6	63.4°	6.5	0.15 – 4.3	55	0.40 – 5.7	47.4	1027	250	395.0	390.0	47.5	-0.32 ± 0.14	7.2
	AT42	41.7	20.8	20.8	63.4°	6.5	0.45 – 2.7	46	0.40 – 4.9	47.4	1027	250	395.0	388.3	117	0.22 ± 0.09	2.4
	AT50	50.0	25.0	25.0	63.4°	6.5	0.32 – 1.7	63	0.40 – 4.4	47.4	1027	250	395.0	387.0	60.3	0.49 ± 0.12	1.6
Blade 					s/t												
	BL20	20.3	10.1	12.8	5.0	6.0	0.51 – 2.1	41	0.27 – 6.1	47.4	1027	264	395.0	388.5	94.6	-0.60 ± 0.10	3.0
	BL33	33.3	16.7	21.1	5.0	6.0	0.83 – 3.4	41	0.28 – 6.3	47.4	1027	266	395.0	384.4	94.6	0.58 ± 0.10	3.0
	BL39	39.0	19.5	24.7	5.0	6.0	0.97 – 3.9	41	0.29 – 6.5	47.4	1027	273	395.0	382.5	93.3	1.19 ± 0.10	3.1
BL49	49.0	24.5	31.0	5.0	6.0	1.2 – 4.9	41	0.30 – 6.7	47.4	1027	294	395.0	379.3	118	1.84 ± 0.09	2.9	
Smooth wall	S395	-	-	-	-	6.0	3.0	-	0.21 – 4.7	79.0	1027	250	395.0	-	173	-	3.6
	S1000	-	-	-	-	6.0	3.0	-	0.32 – 8.8	80.0	2000	600	1000.0	-	58.5	-	3.3

Influence of riblet shapes on Kelvin-Helmholtz rollers

TABLE 1. Geometrical parameters, mesh spacings and domain sizes L^+ of riblet and smooth-wall flow simulations. The number of spanwise mesh nodes per period n_s is for the near-wall region. The time interval L_t is used to gather flow statistics resulting in a statistical uncertainty ζ^+ (2.4) for ΔU^+ . The half-channel height δ^+ is measured from the riblet mean-height and δ'^+ from the virtual origin (§2.3). The linear-stress convergence error ε'^+ is defined in (2.5).

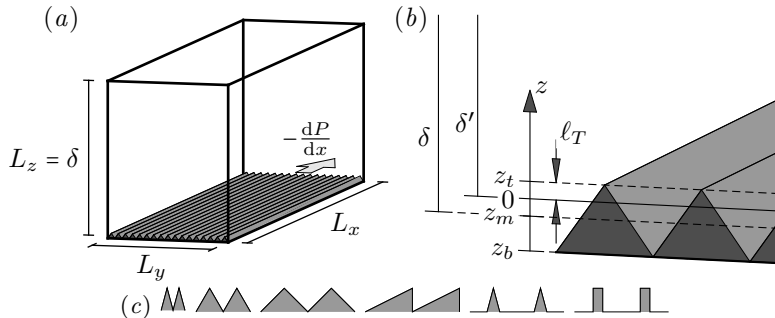


FIGURE 2. Minimal-span ($L_y \ll \delta$) open-channel computational domain with triangular riblets. Full view in (a) and close-up of the surface in (b), with δ measured from the mean-height z_m and δ' from the virtual origin for turbulence at $z = 0$ (§2.3). Riblet tips are at z_t and the groove bottom is at z_b . (c) Sketches of the six riblet shapes in the spanwise wall-normal plane.

that Bechert *et al.* (1997) used, and are therefore a bit sharper than those from Deyn *et al.* (2019) with $\alpha = 53.5^\circ$. We further consider blade riblets with a spacing-to-thickness ratio $s/t = 5$ (\blacksquare , BLs^+) that are similar to the blades by García-Mayoral & Jiménez (2011b, 2012) with $s/t = 4$. The groove depth k is half of its spacing s , except for the triangular riblets with $\alpha = 30^\circ$ and 60° . Simulation parameters are summarised in table 1.

2.1. Numerical Setup

We conduct DNSs to solve the Navier–Stokes equations for an incompressible fluid

$$\frac{\partial \mathbf{u}}{\partial t} + \nabla \cdot (\mathbf{u}\mathbf{u}) = -\frac{1}{\rho} \nabla p + \nu \nabla^2 \mathbf{u} - \frac{1}{\rho} \frac{dP}{dx} \mathbf{e}_x, \quad \nabla \cdot \mathbf{u} = 0, \quad (2.1a,b)$$

in open (one-sided) channels that have a no-slip smooth (flat) wall or riblet surface on the bottom and a free-slip wall with symmetry boundary conditions at the top (figure 2). Based on the similarity of near-wall flows across internal and external flows (e.g. Monty *et al.* 2009), we can expect the riblet flow in one-sided channels to be representative of that in boundary layers, where riblets are commonly applied. Periodic boundary conditions are applied in both wall-parallel directions. The half-channel height δ is measured from the riblet mean-height to the top of the open channel, such that the cross-sectional area matches that of the smooth-wall channel. The velocity \mathbf{u} has components u , v and w in the streamwise (x), spanwise (y) and wall-normal (z) directions respectively and t represents time. Fluctuations are defined as deviations from the temporal, streamwise and riblet-period mean $u'(x, y, z, t) = u(x, y, z, t) - U(z) - \tilde{u}(y, z)$, where $U = \bar{u}$ is the x - y - t -average at each height z and \tilde{u} are dispersive or form-induced fluctuations ($\tilde{u} = 0$) that are invariant with the streamwise direction for 2D riblets and found by averaging over x , t and riblet periods. Spanwise averages below the riblet tips are superficial, i.e. they include solid regions with zero velocity, to avoid a jump in intrinsic averages at the crest, particularly for blade riblets. Pressure is decomposed into the x - y -periodic component p and the driving contribution P , whose gradient acts along the unit vector in the streamwise direction \mathbf{e}_x . Therefore, the time-averaged wall-shear stress integrates to $\tau_w/\rho = -(\delta/\rho) dP/dx$, where the parameters τ_w/ρ , δ and $(1/\rho)dP/dx$ are held constant across all cases. The friction Reynolds number $Re_\tau = \delta u_\tau/\nu = 395$ for all but one riblet case with $Re_\tau = 1000$ (table 1).

We solve (2.1) using the incompressible second-order accurate finite-volume flow solver Cliff by Cascade Technologies Inc. (Ham *et al.* 2006, 2007). Variables are collocated at the nodes of unstructured meshes and time marching is based on the fractional-step method

(e.g. Kim & Choi 2000) with a constant step size Δt (table 1) chosen small enough to ensure that the maximum convective CFL number is generally below 1. Time-averages of the maximum CFL numbers for the present cases are in the range 0.38–0.76 and fluctuations above 1 occurred for about 1.4% of the time steps.

Near-wall portions of meshes for the four riblet types are shown in figure 3. The wall-normal mesh with n_z nodes is non-uniform according to

$$z_i = \frac{1}{2} \tanh(\xi_i \tanh^{-1}(\alpha)) \quad \text{with} \quad \xi_i = \frac{2(i-1)}{n_z-1} - 1, \quad \text{where} \quad i = 1, 2, \dots, n_z \quad (2.2)$$

as proposed by Moin & Kim (1982) with $\alpha = 0.978$ for simulations at $Re_\tau = 395$ and $\alpha = 0.982$ at $Re_\tau = 1000$. For triangular riblets (figure 3*a*), a smooth-wall mesh with this spacing is conformally mapped to the geometry. For trapezoidal (figure 3*b*) and blade (figure 3*c*) riblets, the node distribution according to (2.2) starts at the tip height z_t . The mesh in the groove is mirroring that wall-normal spacing to ensure a high resolution around the riblet tips. At least 26 nodes per riblet period are used in the spanwise direction to resolve the geometry (table 1), which is finer than the spanwise mesh necessary to capture relevant turbulent flow structures over a smooth wall. The asymmetric triangular riblets in figure 3(*d*) are meshed using the algorithm Adapt by Cascade Technologies Inc., for which maximum spacings in every direction are prescribed for individual regions resulting in the spacings given in table 1. In the case of a smooth wall, the streamwise mesh is sufficiently fine, as a refinement from our present $\Delta_x^+ = 6$ to $\Delta_x^+ = 4$ (not shown) does not change spectra of Reynolds shear stress, wall-normal and streamwise velocity. As a reference, García-Mayoral & Jiménez (2012) use $\Delta_x^+ \approx 6$ at $Re_\tau \approx 180$ and $\Delta_x^+ \approx 9$ at $Re_\tau \approx 550$ for blade riblets with a spectral solver. A mesh refinement study (Endrikat *et al.* 2020) in all three directions for triangular riblets confirms that velocity fluctuations are resolved across all relevant scales and that ΔU^+ is approximately mesh independent. For example, we made the mesh finer by factors of about 1.5, 1.3 and 1.5 in x , y and z relative to our present mesh in figure 3(*a*) and observed an increase in ΔU^+ of 0.02, which cannot be discerned from the statistical uncertainty $\Delta U^+ \pm 0.1$ that we set to control the runtime of our simulations (details below). We also coarsened the mesh by factors of about 2, 1.6 and 2 in x , y and z relative to that in figure 3(*a*) and found that fluctuations of Reynolds stresses, considered separately at different wavelengths, only deviate from the solution on the finer present mesh for heights $z^+ - z_t^+ \gtrsim 20$. The mean flow and fluctuations in and just above the groove might therefore be over-resolved on the meshes from our present study, which gives us confidence that they accurately describe the surface geometry and the flow around it.

All simulations for this study employ the minimal-span channel concept. First conceived as a numerical experiment for understanding the structure of near-wall turbulence over a smooth wall (Jiménez & Moin 1991; Flores & Jiménez 2010; Hwang 2013), it revealed that flow close to the wall is only marginally affected by unphysically narrow computational domains. For rough-wall channels, Chung *et al.* (2015) and MacDonald *et al.* (2017) demonstrated that the minimal-span channel can be used to accurately determine the drag-change of a given surface with respect to a smooth wall, while significantly reducing the computational cost compared to traditional full-span channel simulations. In minimal-span channels, the velocity profile diverges from that of a full-span channel for heights $z^+ > z_c^+$, which Flores & Jiménez (2010) find depends on the spanwise domain extent $z_c^+ \approx 0.3L_y^+$. Later (Hwang 2013; Chung *et al.* 2015) determine less conservatively $z_c^+ \approx 0.4L_y^+$, provided that this location is in the logarithmic layer. Consequently, the shift in the profile of mean streamwise velocity ΔU^+ , used to measure a drag-change, needs to be evaluated at $z^+ \leq z_c^+$ in the log-layer to obtain a result that

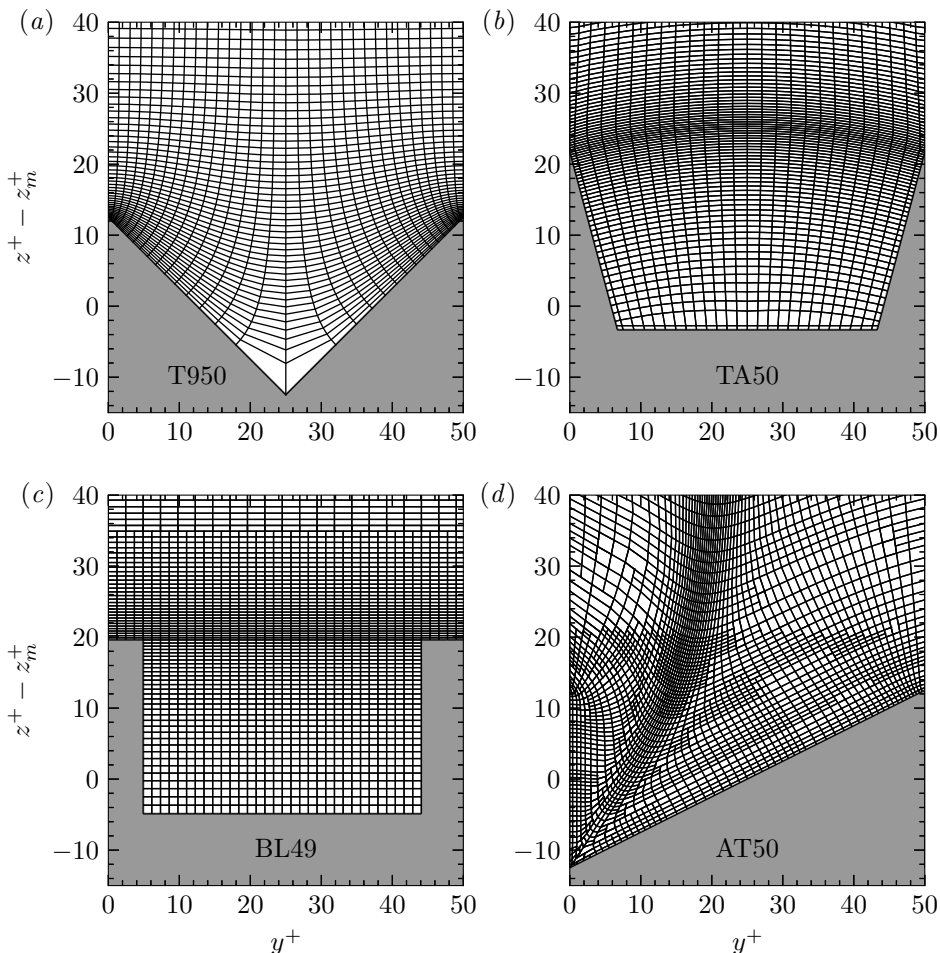


FIGURE 3. Near-wall cross-sections of representative computational meshes for one period of the triangular (a), trapezoidal (b), blade (c) and asymmetric triangular (d) riblets. The number of points per riblet span n_s in table 1 is for the near-wall region after refinement. Meshes are coarsened towards the top of the domain in both spanwise and wall-normal directions (Δy^+ and Δz^+ in table 1), except for the symmetric triangular riblets (a) that only stretch in z . Meshes for the trapezoidal riblets (b) are coarsened in y at $z - z_t = 2.5k$, where they are spanwise uniform.

does not depend on the channel width. Furthermore, we need to measure ΔU^+ above the roughness sublayer in order to capture all effects of the surface. Consistent with sinusoidal roughness (Chan *et al.* 2018), we can expect the roughness sublayer to be limited to $z^+ \lesssim z_{\text{RSL}}^+ \approx 0.5s^+$, where s^+ is the riblet spacing. Following MacDonald *et al.* (2017), the spatial domains of our channels are constrained by

$$L_x^+ \gtrsim \max(3L_y^+, 1000), \quad z_c^+ = 0.4L_y^+ \gtrsim 0.5s^+. \quad (2.3a,b)$$

We chose $L_y^+ \approx 250$ at $Re_\tau = 395$ such that $z_c^+ \approx 100$ and $L_y^+ = 600$ at $Re_\tau = 1000$ such that $z_c^+ = 240$, which is inside the log-layer and above the height of the roughness sublayer. For the present code we varied the channel width $L_y^+ = \{150, 250, 450\}$ at $Re_\tau = 395$ (Endrikat *et al.* 2020), to verify that our minimal channels accurately resolve both the mean flow and fluctuations of size $\lambda_x^+ < L_x^+$ and $\lambda_y^+ < L_y^+$ for $z^+ - z_t^+ \lesssim 30$, in agreement

with MacDonald *et al.* (2017). The same conclusions hold when we compare the flow over blade riblets in minimal-span channels to those from full-span channels by García-Mayoral & Jiménez (2012). Our domains with $L_y^+ \approx 250$ resolve all relevant fluctuations in the spectral and wall-normal region that may be affected by the Kelvin–Helmholtz instability and the energy in that spectral region matches that in wider domains, both for flows with and without Kelvin–Helmholtz rollers (Endrikat *et al.* 2020). Therefore, the constraint of the largest scales in the flow does not seem to affect the occurrence or strength of Kelvin–Helmholtz rollers, whose energy accumulates partly in the spanwise infinite wavelength of minimal-span channels.

For flows with z_c^+ in the log-layer, the minimum simulation time L_t following initial transients that is required to reach a 95% confidence interval for the roughness function $\Delta U^+ \pm \zeta^+$ can be estimated according to MacDonald *et al.* (2017),

$$L_t \frac{u_\tau}{\delta} \approx \left(\frac{91.4}{\zeta^+ z_c^+} \right)^2 \frac{7.5 z_c}{L_x} \frac{2.5 z_c}{L_y} \frac{6 z_c}{L_z}. \quad (2.4)$$

The uncertainty ζ^+ is given in table 1. In channel flow, the time-averaged total stress profile is linear and we evaluate convergence of statistics using the departure from the ideal profile as suggested by Vinuesa *et al.* (2016),

$$\varepsilon'^+ = \left(\frac{1}{\delta'^+ - z_t^+} \int_{z_t^+}^{\delta'^+} \varepsilon'^+ dz^+ \right)^{1/2}, \quad \text{where} \quad \varepsilon'^+ = \frac{\delta'^+ - z^+}{\delta^+} + \overline{u'w'^+} + \overline{\bar{u}\bar{w}^+} - \frac{dU^+}{dz^+}. \quad (2.5)$$

For riblet channels, the stress balance defining ε^+ is only valid above the crest z_t (figure 2*b*), which defines the lower integration bound for ε'^+ . Vinuesa *et al.* (2016) report typical values for ε'^+ in smooth-wall full-channel flow at $Re_\tau \approx 395$ by Moser *et al.* (1999); Iwamoto *et al.* (2002) as $\varepsilon'^+ = 4.8 \times 10^{-3}$ and $\varepsilon'^+ = 9.4 \times 10^{-4}$ respectively. Convergence values ε'^+ for the present cases in table 1 are similar to those of the referenced studies.

2.2. Profiles of mean velocity and turbulence intensities

Profiles of mean streamwise velocity, Reynolds shear stress and streamwise velocity variance are shown in figure 4 for all riblet cases and the reference smooth wall (.....). All cases of each riblet shape are shown in the same panel, where dashed lines are used for drag-reducing riblets and solid lines for drag-increasing cases. Comparing streamwise velocity in the left column of figure 4 to the smooth wall reference, drag-reducing cases have a higher velocity ($\Delta U^+ = U_{\text{smooth}}^+ - U^+ < 0$) and drag-increasing cases a lower velocity ($\Delta U^+ > 0$) at the height z_c^+ , above which flow in minimal-span channels is unphysical.

Velocity fluctuations in minimal-span channels depart from those in full-span channels starting at a lower height than the mean velocity that likewise depends on L_y^+ (MacDonald *et al.* 2017). The near-wall portion however, that is representative of full-span channel flows, shows a peak in average Reynolds shear stress $-\overline{u'w'^+}$ (figure 4, centre column) and streamwise velocity fluctuations u_{rms}^+ (figure 4, right column). In figure 4, the origin of the wall-normal coordinate is at the riblet mean-height and the peak of turbulence quantities is shifted upwards as the riblet size increases. For small riblets, this displacement of turbulent structures reduces momentum transfer towards the wall and consequently drag, as envisioned by Luchini (1996). For larger riblets however, drag increases nevertheless (positive ΔU^+ as shown in the left column of figure 4).

2.3. Virtual origin

We need to account for the virtual origin of the velocity profile so that its decrement ΔU^+ becomes a measure of the drag-change that is independent of the Reynolds number

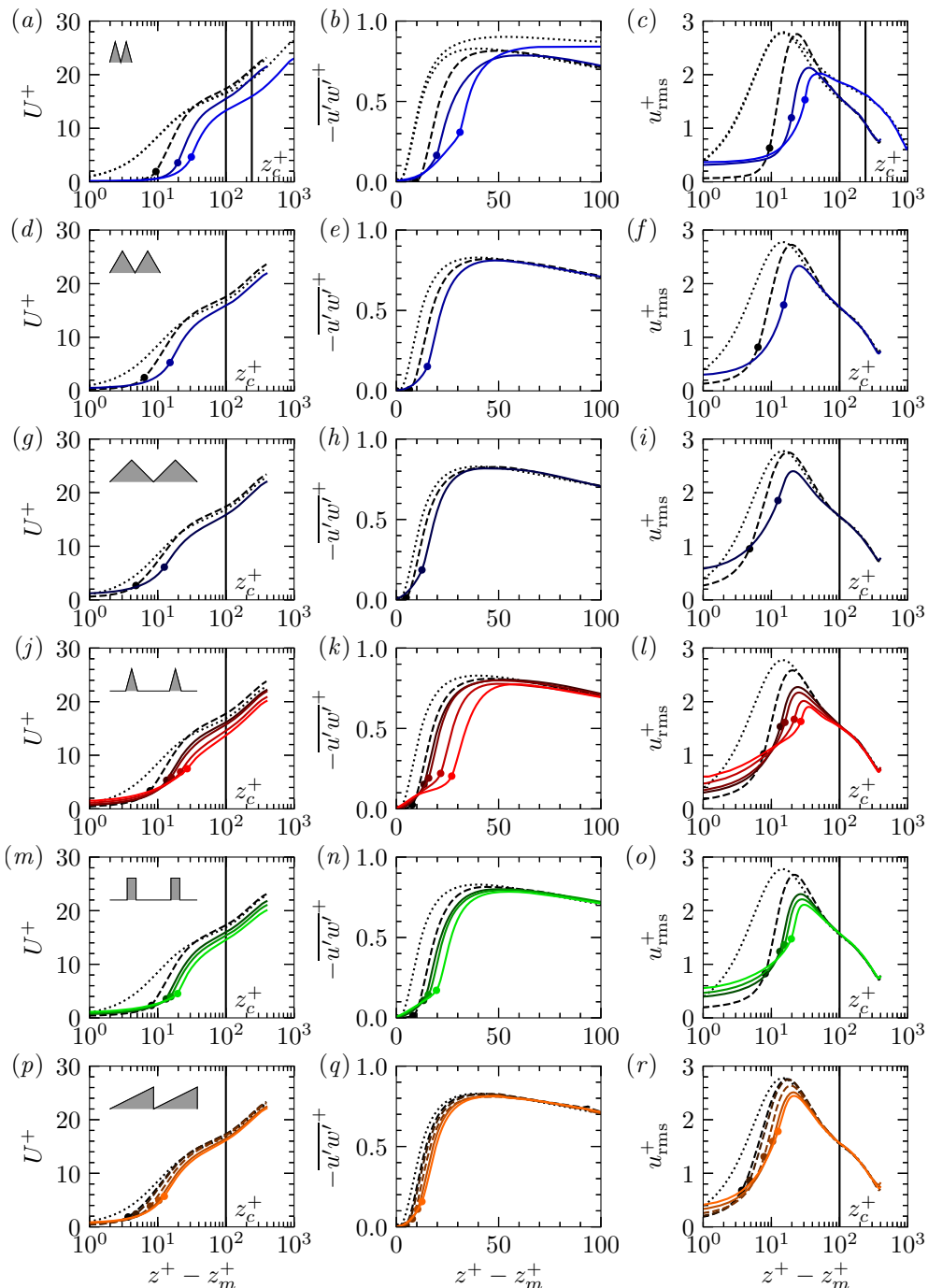


FIGURE 4. Superficially averaged streamwise velocity profiles U^+ , Reynolds shear stresses $-\overline{u'w'}$ and streamwise velocity fluctuations $u_{\text{rms}}^+ = (\overline{u'^2})^{1/2}$. Profiles originate at the mean-height z_m^+ and line colours get lighter with increasing viscous-scaled riblet size and corresponding higher crest (\bullet). The height z_c^+ up to which data are representative of full-span channel flow is marked by vertical lines. Smooth wall reference data (\cdots), riblets that reduce ($---$) or increase ($---$) drag. The friction Reynolds number $Re_\tau = 395$, except for the largest sharp triangular riblet case in (a-c) with $Re_\tau = 1000$.

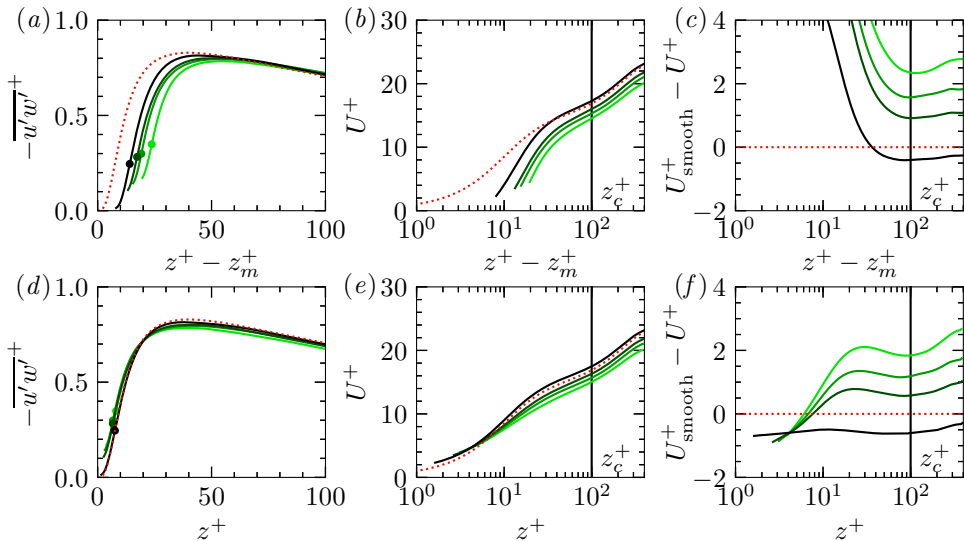


FIGURE 5. Profiles for blade riblet cases with $s^+ = [20, 33, 39, 49]$ (dark to light) and a smooth wall (.....) with the origin at the mean-height (a–c) and at the origin of turbulence defined by $\overline{u'w'^+}$ of the smallest riblets (d–f). Profiles start at the riblet crest and are representative of full-span channel flows below $z_c^+ = 100$. Dots (\bullet) in (a) and (d) mark the point of largest slope for the riblet flow profiles.

(García-Mayoral *et al.* 2019). This allows us to then draw conclusions regarding drag-increasing mechanisms that generalise to higher Re_τ (Spalart & McLean 2011). Accounting for the correct origin is particularly important at our low Reynolds number $Re_\tau = 395$, because we measure ΔU^+ in the log-layer (at $z_c^+ = 100$ in minimal-span channels, §2.1), where the velocity profiles have a slope $dU^+/dz^+ \approx 0.025$. Therefore, shifting two profiles by for example 2 viscous units with respect to each other changes the measured ΔU^+ by about 0.05. At higher Reynolds numbers, ΔU^+ is measured farther from the wall in viscous units, where the slopes of the velocity profiles are smaller such that the choice of origin has a lesser effect on ΔU^+ .

In order to define the origin, we assume that drag-reducing riblets can be considered rough walls with surface features that are small relative to near-wall flow structures. The outer layers of such flows are similar and the only effect of roughness is captured by ΔU^+ (Clauser 1956). Based on that assumption, Luchini (1996) suggests we consider a reference smooth wall for riblets at the origin perceived by near-wall turbulent eddies. We determine the location of the perceived smooth wall by finding the value of Reynolds shear stress in the point of largest slope of the profile (\bullet in figure 5a) and asking at what height smooth-wall flow attains that same value. Over large riblets, turbulent eddies might not perceive the equivalent origin as a homogeneous boundary, and hence the concept of the virtual origin based on the profile of Reynolds shear stress might not be applicable in these cases (García-Mayoral *et al.* 2019). For a consistent definition of the origin across riblet sizes, we therefore determine the distance between the origin and the riblet crest ℓ_T^+ for riblets near the drag-optimum and hold ℓ_T/k constant across all sizes for a given riblet shape. Profiles of turbulent Reynolds shear stress after adjusting the origin are shown in figure 5(d). The profile for the smallest riblets closely matches that for the smooth wall as recognised by Luchini (1996) and in accordance with full similarity. Only minor differences between the two curves are noticeable in the range

$40 \lesssim z^+ \lesssim 60$, because these riblets with $\ell_g^+ = 12.8$ are not strictly in the viscous regime. For the larger riblets, differences compared to the smooth-wall profile above the peak of $-\overline{u'w'^+}$ in figure 5(*d*) are due to a mismatch of effective Reynolds numbers after adjusting the origin, $\delta'^+ < \delta^+$ (table 1). Closer to the wall, in the roughness sublayer, deviations for the larger riblets suggest that flows are not similar to the smooth-wall reference at the virtual origin, because not all effects of large riblets on the flow can be captured by an origin shift alone (García-Mayoral *et al.* 2019). With the approach to keep ℓ_T/k constant for each shape, outer-layer similarity in terms of the mean velocity profiles (above the roughness sublayer) can be observed for all riblet sizes as seen in figure 5(*f*), where velocity profiles become parallel to that of the smooth wall by accounting for the virtual origin. At $z^+ = z_c^+$, where the shift ΔU^+ for a given geometry is measured, figure 5(*f*) demonstrates that the slope of $U_{\text{smooth}}^+ - U^+$ is small and thus provides an accurate measure of the drag-change, particularly for the small riblets.

3. Kelvin–Helmholtz instability at the riblet crest

3.1. Visualisation in physical space

In order to assess the presence of spanwise elongated structures compatible with a Kelvin–Helmholtz instability, we first qualitatively analyse the instantaneous flow field. We can expect a spanwise extent of Kelvin–Helmholtz rollers of up to 1000–1500 viscous units in flow over blade riblets (García-Mayoral & Jiménez 2012). Therefore, they are likely wider than the present minimal-span channels with $L_y^+ \approx 250$. However, consistent with conclusions by (García-Mayoral & Jiménez 2012), we show in §3.2 that the spanwise constraint of the domain does not affect the resolved wavelengths of the instability by comparing to full-span channel DNS. Although Kelvin–Helmholtz rollers develop at the riblet crest, their fluctuations extend into the groove as shown by Sharma & García-Mayoral (2020*b*) for filament canopies. Therefore, footprints of Kelvin–Helmholtz rollers are readily visible in the local wall-shear stress on the surface of large triangular riblets T321 with $\alpha = 30^\circ$ in figure 6(*c*). Negative wall-shear stress in adjacent grooves suggests the presence of spanwise coherent structures in the overlying flow that have an average streamwise spacing of about 200 viscous units. The large drag-increasing triangular ($\alpha = 60^\circ$), trapezoidal and blade riblets in figure 6(*e, i, k*) show similar but weaker footprints, while the large blunt triangular riblets ($\alpha \approx 63^\circ$ and $\alpha = 90^\circ$) in figure 6(*g, m*) have few and unconnected patches of negative wall-shear stress. In early DNS of riblet flow, Chu & Karniadakis (1993) report local flow reversal in a plane below the crest of small triangular riblets with $\alpha \approx 53^\circ$ and $\ell_g^+ \approx 12.1$ at $Re_\tau \approx 86$. Similarly, our small drag-reducing triangular ($\alpha = 30^\circ$, $\alpha = 60^\circ$) and blade riblets in figure 6(*b, d, j*) experience local reverse flow in some grooves. These patches of negative wall-shear stress have a much less pronounced spanwise coherence than on the larger and sharp triangular riblets in figure 6(*c*), but they are nevertheless much wider than their streamwise extent and are presumably the result of weak Kelvin–Helmholtz rollers. This agrees with the observation by García-Mayoral & Jiménez (2011*b*) that for small riblets with $\ell_g^+ \lesssim 11$, the instability is weak and has a negligible effect on drag. The smooth wall (figure 6*a*) and the remaining small riblets in figure 6(*f, h, l*) do not experience significant reverse flow.

Wall-shear stress on the large and sharp triangular riblets T321 with $\alpha = 30^\circ$ (figure 6*c*) shows the most prominent spanwise coherence, which suggests the presence of Kelvin–Helmholtz rollers in the overlying flow. Therefore, we visualise fluctuations of pressure for that case in figure 7. The standard deviation of pressure is unphysically amplified in minimal-span channels, but the structures shown here are shorter than $3L_y^+$ in the

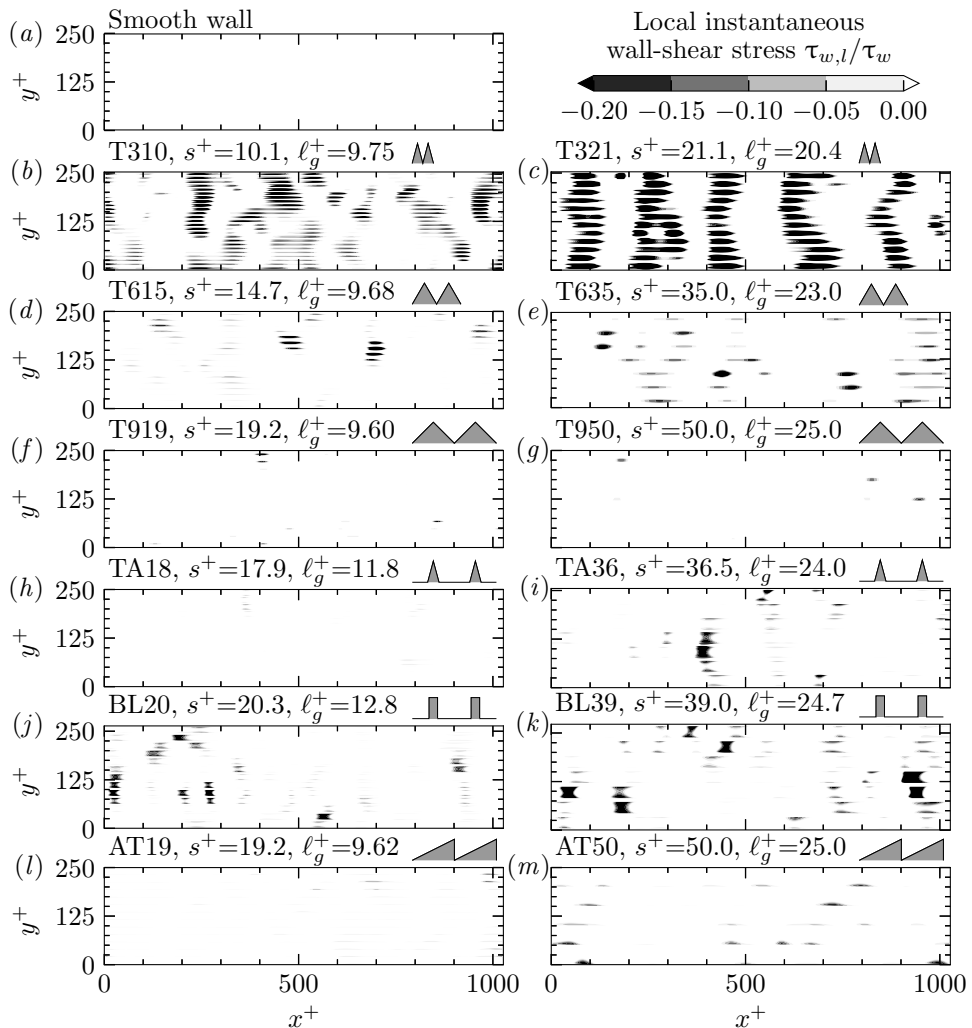


FIGURE 6. Local instantaneous wall-shear stress on wall segments (computational faces) with wetted area $A_w(x, y)$ and plan area $A_p(x, y)$, $\tau_{w,l}(x, y) = \nu\rho(\partial u/\partial n)A_w/A_p$, where n is the locally wall-perpendicular direction, relative to the average wall-shear stress on the entire wetted area A , $\tau_w = \int_{L_t} \int_A \nu\rho(\partial u/\partial n)dAdt/(L_x L_y L_t)$. On a smooth wall (a) and along various riblet surfaces (b–m) to visualise reverse flow close to the surface.

streamwise direction and therefore not affected (MacDonald *et al.* 2017). In the spanwise average of pressure fluctuations just above the riblet tips in figure 7(a), small scales are averaged out and only spanwise extended eddies are visible. Many of these low-pressure regions at this instance are confined to roughly the first 25 viscous units above the crest, in agreement with the location of Kelvin–Helmholtz rollers found by García-Mayoral & Jiménez (2011b).

We further focus on the temporal evolution of spanwise averaged pressure fluctuations in a plane 3 viscous units above the riblet crest (figure 7b) and a smooth wall (figure 7d). In the flow over a smooth wall, large structures travelling downstream with a convection velocity of about $16u_\tau$ (parallel to \cdots) leave a low-pressure mark in this plane close to the surface, but they likely originate far ($\gtrsim 50\nu/u_\tau$) from the wall (figure 7c). Low-pressure

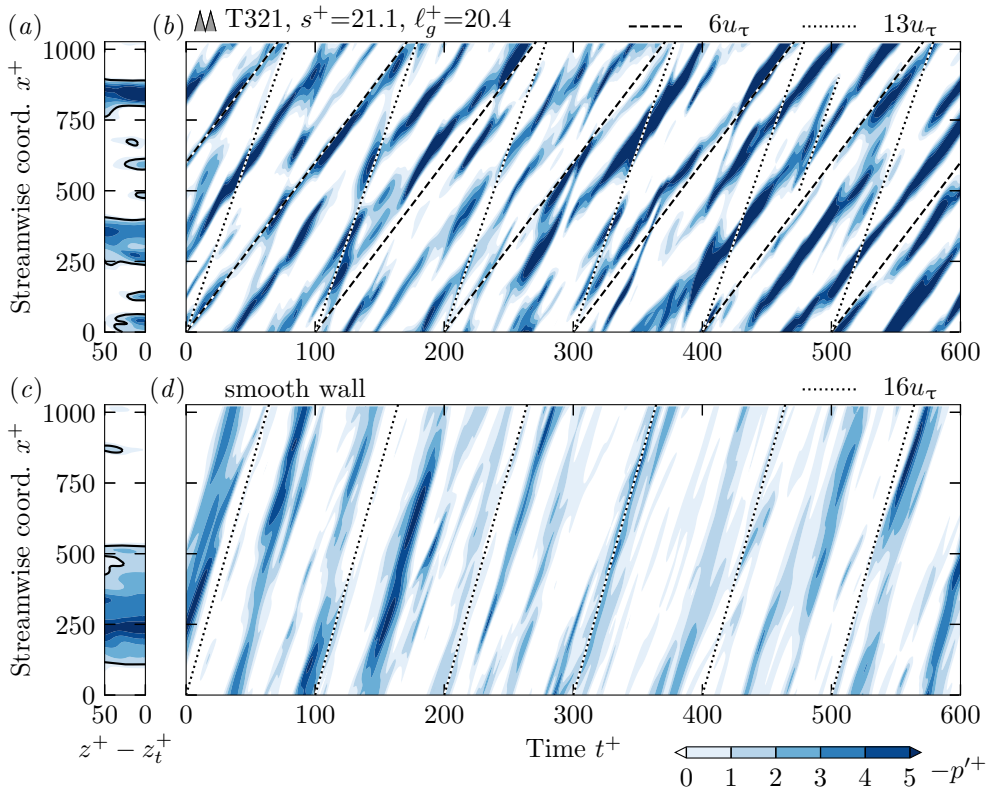


FIGURE 7. Instantaneous spanwise-averaged fluctuations of pressure. Shown against distance from the crest of large triangular riblets T321 with $\alpha = 30^\circ$ (a) and a smooth wall (c). Time evolution at one height 3 viscous units above the crest (b) and smooth wall (d). Flow fields are sampled every $0.79 \nu/u_\tau^2$ after the flow has become statistically stationary. Time in (b,d), starts at the reference time used in (a,c). Lines indicate constant convection velocities: in (b) $6u_\tau$ (----) and $13u_\tau$ (.....), in (d) $16u_\tau$ (.....).

regions above the sharp riblets T321 in figure 7(b) are dominated by structures with a much lower and fairly constant velocity of about $6u_\tau$ (parallel to ----). This convection velocity is similar to the $6u_\tau$ to $8u_\tau$ of Kelvin–Helmholtz rollers over blade riblets (García-Mayoral & Jiménez 2011b) and it is consistent with an expected convection velocity between that of the low-speed side of the mixing layer (in the groove) and the high-speed side (some 20–30 viscous units above). The average flow speed at the riblet tips $U_t^+ \approx 3.6$, which means that the low-pressure regions in figure 7 that convect with about $6u_\tau$ originate close to the riblet crest and are likely created by the Kelvin–Helmholtz instability. Based on pressure fluctuations in the relatively short time interval of figure 7(b) ($600 \nu/u_\tau^2$ compared to the total averaging time $L_t \approx 65\delta/u_\tau = 25675\nu/u_\tau^2$), it appears that these Kelvin–Helmholtz rollers persist for times of roughly $100 \nu/u_\tau^2$ to $200 \nu/u_\tau^2$ before they break up and form anew. The faster structures that dominate the smooth-wall flow are only faintly visible over the riblets (e.g. marked by a short around $t^+ \approx 485$ and $x^+ \approx 700$ in figure 7b).

3.2. Evidence in spectral space

In order to analyse the effect of Kelvin–Helmholtz rollers on momentum transfer, we focus on their contribution to Reynolds shear stress. Figure 8 shows premultiplied 2D

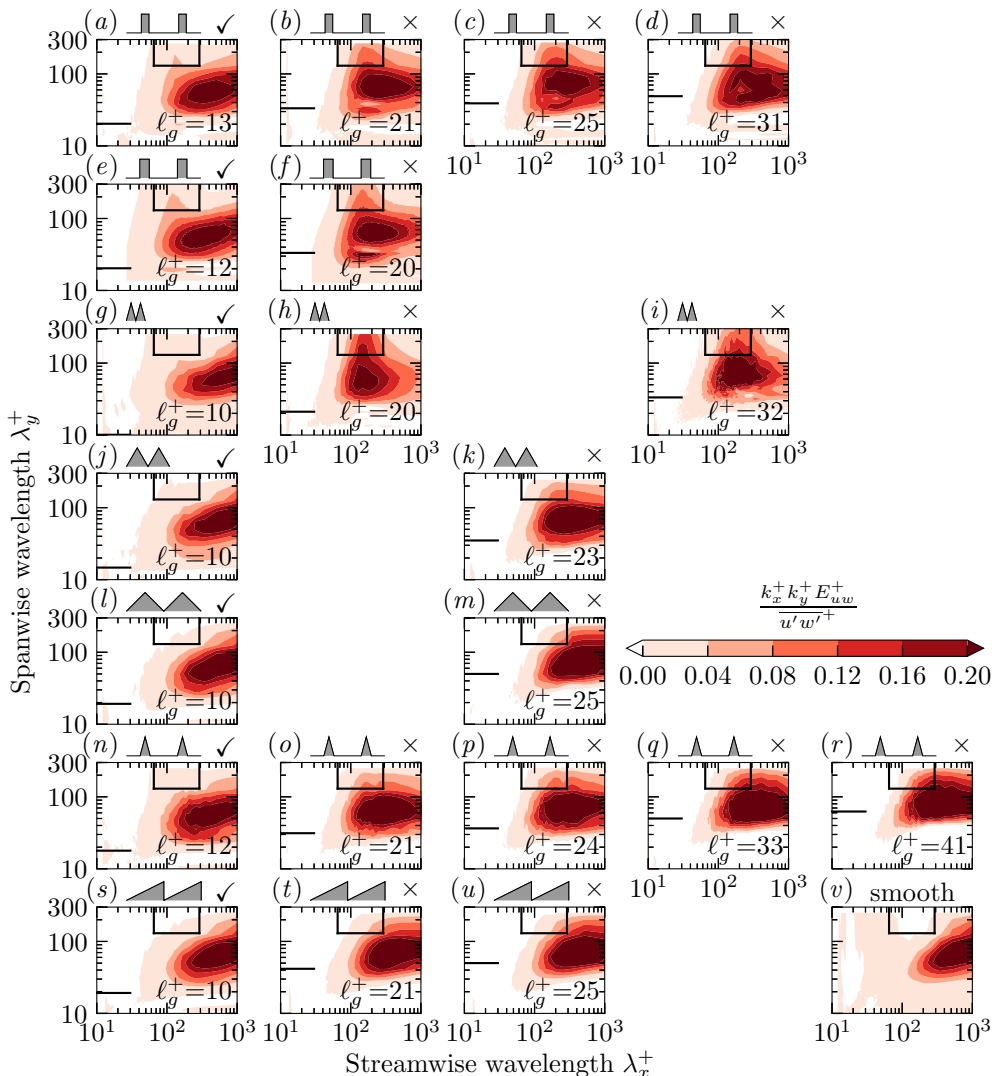


FIGURE 8. Premultiplied 2D co-spectra of Reynolds shear stress $k_x^+ k_y^+ E_{uw}^+$ in the plane $3\nu/u_\tau$ above the riblet crest (a – u) or smooth wall (v). Horizontal lines on the left mark the riblet spacing s^+ and open boxes near the top delimit the region of Kelvin–Helmholtz rollers ($65 \lesssim \lambda_x^+ \lesssim 290$, $\lambda_y^+ \gtrsim 130$) according to García-Mayoral & Jiménez (2011*b*). Normalisation: $\overline{u'w'^+} = \int_0^\infty \int_0^\infty E_{uw}^+ d\lambda_x^+ d\lambda_y^+$ by considering that e.g. $E(\lambda_x, \lambda_y) + E(\lambda_x, -\lambda_y) = E(-\lambda_x, \lambda_y) + E(-\lambda_x, -\lambda_y)$. Cases 13L (e) and 20L (f) are for channel flow data from García-Mayoral & Jiménez (2012). In the upper right, (✓) flags drag reducing and (×) drag increasing cases.

spectra of Reynolds shear stress in a plane 3 viscous units above the riblet crests. Contour lines are normalised by $\overline{u'w'^+}$ at that height to illustrate the distribution in spectral space as it changes with riblet size and shape. The normalisation excludes dispersive Reynolds stresses $\overline{u\tilde{u}^+}$, that are streamwise invariant for 2D riblets and thus located at $(\lambda_x^+, \lambda_y^+) = (\infty, s^+)$ and the spanwise harmonics of that mode, which are not visible in figure 8. The two smaller blade riblets, with a spacing-to-thickness ratio $s/t = 5$, are of approximately the same size and shape as two of the blade riblets from García-Mayoral

& Jiménez (2011*b*, 2012) with $s/t = 4$. The distributions of Reynolds stresses in spectral space, shown for the present and the reference cases in figure 8(*a,b*) and figure 8(*e,f*), respectively, match closely. The domains used by García-Mayoral & Jiménez (2012) at $Re_\tau \approx 550$ are roughly 9 to 10 times wider in viscous units than our minimal-span channels at $Re_\tau = 395$, but the close agreement in figure 8 demonstrates that the small domains correctly capture the resolved scales. Energy that would be at $\lambda_y^+ > L_y^+$ if the domains were larger instead accumulates in the infinite mode as shown by García-Mayoral & Jiménez (2012) for full-span channels with varied spanwise extent.

Large blade and triangular riblets with $\alpha = 30^\circ$ show spanwise coherent structures in visualizations of wall-shear stress (figure 6). For these cases, Reynolds shear stress close to the wall is amplified at large spanwise wavelengths and $\lambda_x^+ \approx 180$ in figure 8(*b,c,d,h,i*). This is the same spectral region that García-Mayoral & Jiménez (2011*b*) associate with Kelvin–Helmholtz rollers. They include streamwise wavelengths in the range $65 \lesssim \lambda_x^+ \lesssim 290$ and large spanwise wavelengths $\lambda_y^+ \gtrsim 130$, which we frame with a black box near the top of each spectrogram in figure 8. The instability appears to be more pronounced over the sharp triangular riblets (figure 8*h,i*) than over the blades (figure 8*b,c,d*). The small riblets near the drag optimum of both shapes (figure 8*a,g*) have a weak peak in the same spectral region, suggesting that the instability exists but does not develop as strongly as for larger riblets, in agreement with García-Mayoral & Jiménez (2011*b*). In general, drag reducing cases (marked \checkmark) have little energy in wavelengths related to the instability, much like the smooth wall flow at that height (figure 8*v*). Triangular riblets with $\alpha = 60^\circ$ – 90° (figure 8*j-m,s-u*) and trapezoidal riblets (figure 8*n-r*) show little or no energy in the framed spectral regions associated with spanwise aligned rollers, regardless of their groove size. For the largest trapezoids TA50 and TA63 (figure 8*q,r*), some fluctuations related to the main peak from the near-wall cycle extend to large spanwise wavelengths, but their energy this close to the wall is low compared to the flow over triangular riblets with the same opening angle $\alpha = 30^\circ$ or the blades.

The Reynolds stress associated with Kelvin–Helmholtz rollers can be estimated by integrating the corresponding part of the spectra in figure 8 at every wall-normal location. Parts of that spectral region are also affected by turbulence from the near-wall streaks and vortices, which are responsible for the main peak in the 2D spectra in figure 8. As a conservative choice for the strength of Kelvin–Helmholtz rollers, and to exclude structures from the near-wall cycle, we henceforth only consider spanwise wavelengths $\lambda_y^+ \gtrsim 250$ to be affected by the instability. In minimal-span channels with $L_y^+ \approx 250$, this lower bound essentially reduces the integral to the first two spanwise modes, which correspond to $\lambda_y^+ = \infty$ and $\lambda_y^+ \approx 250$ respectively. Full-span channels resolve some of the wavelengths $\lambda_y^+ > 250$, whereas energy in our minimal-span channels at wavelengths $\lambda_y^+ > L_y^+ \approx 250$ accumulates in the $\lambda_y^+ = \infty$ mode. Therefore, the small integration region in narrow domains captures all energy of fluctuations that are due to the Kelvin–Helmholtz instability. A more detailed analysis is given by Endrikat *et al.* (2020).

In figure 9, spectra of Reynolds shear stress are integrated for the largest spanwise wavelengths ($\lambda_y^+ \gtrsim 250$) only and shown against distance from the riblet crest. Large spanwise-aligned structures that are compatible with a Kelvin–Helmholtz instability are clearly visible close to the crest of blade riblets (figure 9*a-f*) and sharp triangular riblets with $\alpha = 30^\circ$ (figure 9*g-i*), but not over the other shapes investigated here. For trapezoidal and blunt triangular riblets, this portion of the spectrum is similar to that of smooth-wall flow in figure 9(*v*). For the riblet cases that appear to support development of the instability, the peak in figure 9 seems to move upwards away from the crest and from the virtual origin as the riblet size, and thus the drag of the surface, increases. Reynolds stress related to quasi-streamwise vortices in the near-wall cycle on the other hand remains at

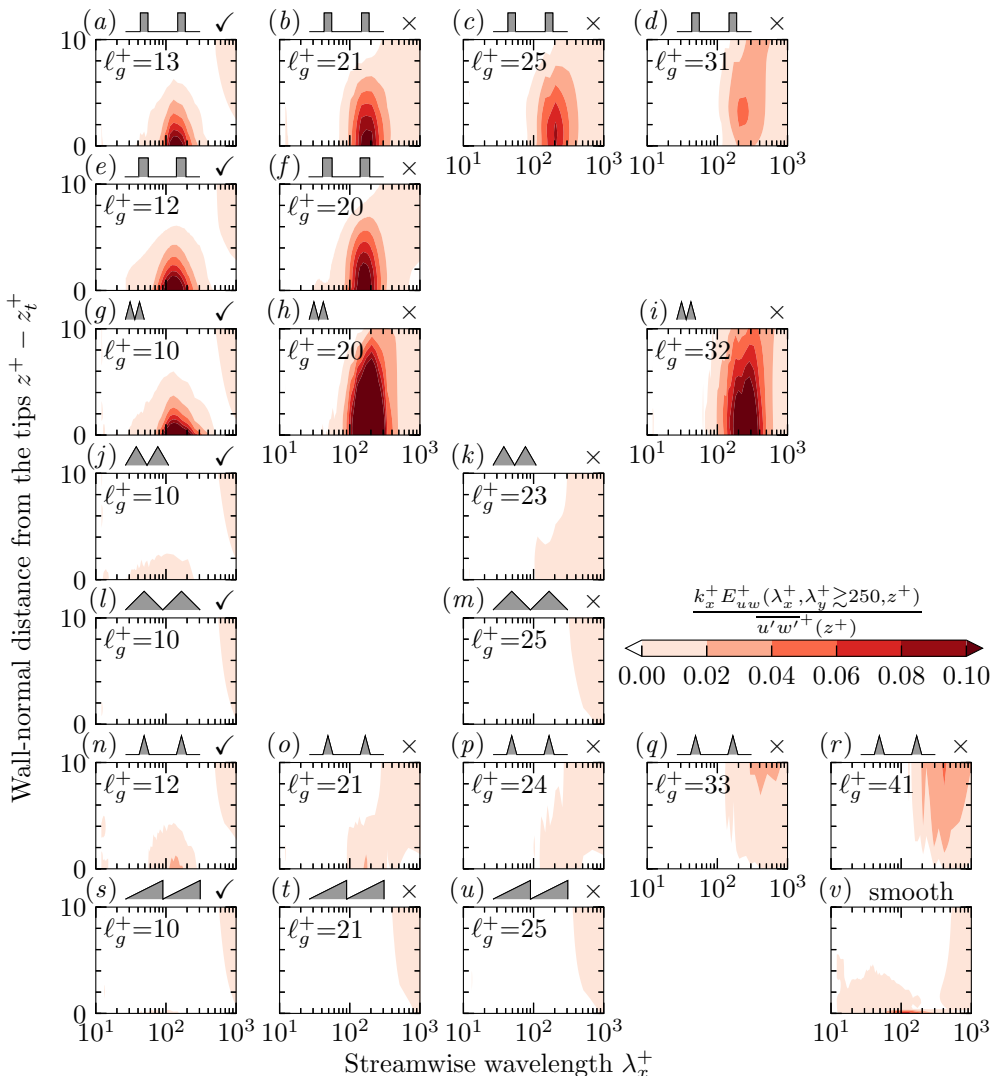


FIGURE 9. Premultiplied 1D co-spectra of Reynolds shear stress $k_x^+ E_{uw}^+(\lambda_y^+ \gtrsim 250, z^+)$ integrated only for large spanwise wavelengths. Same normalisation at every height as in figure 8. Cases 13L (e) and 20L (f) are for channel flow data from García-Mayoral & Jiménez (2012). In the upper right, (✓) flags drag reducing and (×) drag increasing cases.

about the same distance from the crest for all riblet sizes (figure 5d) as the virtual origin is found farther below the crest. The wall-normal location of Kelvin–Helmholtz rollers is not captured by the virtual origin, because they do not exist in the reference smooth-wall flow and are therefore not part of the smooth-wall-like flow that we shift in the wall-normal direction to define the virtual origin (§2.3).

The dominant λ_x^+ of Kelvin–Helmholtz rollers seems to increase slightly with increasing riblet size (figure 9a–d, g–i). This trend was previously reported by Chavarin & Luhar (2019) for a resolvent analysis of riblet flow and for plant canopies in experiments by Raupach *et al.* (1996) and based on a linear stability analysis by Sharma & García-Mayoral (2020b). The range of streamwise wavelengths that are affected by the Kelvin–Helmholtz instability might therefore shift to higher values for very large riblets. However,

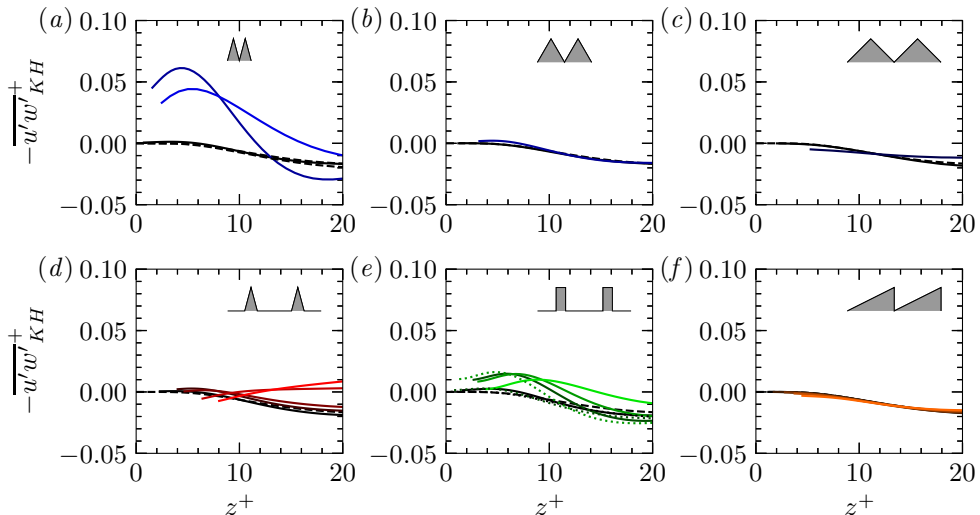


FIGURE 10. Profiles of Reynolds shear stress associated with Kelvin–Helmholtz rollers $\overline{u'w'}_{KH}^+ = \int_{250}^{\infty} \int_{65}^{290} E_{uw}^+ d\lambda_x^+ d\lambda_y^+$ starting at the crest with lighter colours as riblet size increases. Smooth-wall profile is dashed. Additional smooth-wall profile in (a) is at $Re_{\tau} = 1000$ matching the largest (lightest) sharp triangular riblet case. Dotted profiles in (e) are cases 07L, 13L and 20L from García-Mayoral & Jiménez (2012) at $Re_{\tau} \approx 550$.

for the present riblets we nevertheless consider fluctuations in the range $65 \lesssim \lambda_x^+ \lesssim 290$, given by García-Mayoral & Jiménez (2011b) for blade riblets up to $\ell_g^+ = 20$, to avoid including energy from the near-wall cycle at larger λ_x^+ . After integrating over spanwise wavelengths affected by the instability, we now also integrate over these streamwise wavelengths, to obtain profiles of Reynolds stress associated with Kelvin–Helmholtz rollers. In other words, we split Reynolds shear stress at every height into

$$\overline{u'w'}_{KH}^+(z^+) = \int_{250}^{\infty} \int_{65}^{290} E_{uw}^+ d\lambda_x^+ d\lambda_y^+ \quad (3.1)$$

due to the instability and a remainder.

Profiles of Reynolds shear stress associated with the Kelvin–Helmholtz instability are shown in figure 10 for the six riblet shapes and different sizes. Profiles for the large sharp triangular and blade riblets (figure 10a,e) have a peak below $z^+ \approx 10$ (measured from the virtual origin), which is not seen in smooth-wall flow, and is therefore due to Kelvin–Helmholtz rollers. The flow over small drag reducing riblets of both shapes (dark lines) resembles that of the smooth wall as rollers are not supported by grooves with $\ell_g^+ \lesssim 11$ (García-Mayoral & Jiménez 2011b). Data for blade riblets by García-Mayoral & Jiménez (2012) at $Re_{\tau} \approx 550$ in full-span channels (dotted in figure 10e) show the same trend. Profiles for the blunt triangular riblets (figure 10b,c,f) are close to the smooth-wall profile, even for larger riblets, which we interpret as Kelvin–Helmholtz rollers not being present over these surfaces. The slanted triangular riblets in figure 10(f) are a particularly convincing example of riblets that do not alter Reynolds stresses in the spectral region associated with the Kelvin–Helmholtz instability, as the profiles for all riblet sizes are almost identical to that of the smooth wall. Profiles of $-\overline{u'w'}_{KH}^+$ for the trapezoidal riblets (figure 10d) are also similar to the smooth-wall reference, except for the largest two cases (TA50, TA63) that increase drag substantially. For these two cases, $-\overline{u'w'}_{KH}^+$ increases monotonically with distance from the crest, which is not observed for riblets of

traditional (near drag-reducing) size. At these very large riblet sizes ($s^+ = 50, 63$), the broad-band near-wall turbulence (strongest peak of Reynolds stress in figure 8) extends across a wide range of wavelengths and partly into the spectral region that is otherwise associated with the instability. Therefore, the two largest trapezoidal cases are excluded from the following analysis of the drag change due to Kelvin–Helmholtz rollers.

4. Drag-change decomposition

As discussed in the previous section, structures related to a shear-flow instability found over sharp triangular and blade riblets account for a significant portion of Reynolds shear stress close to the wall, while the flow over blunt triangular and trapezoidal riblets resembles more closely that of a smooth wall. The Reynolds shear stress transports streamwise momentum in the wall-normal direction and is therefore directly related to skin-friction drag experienced by a surface. We consider a momentum integral to decompose the drag-change in order to quantify if and how Kelvin–Helmholtz rollers affect the drag characteristics of a riblet surface. García-Mayoral & Jiménez (2011*b*) used this concept to decompose the skin-friction coefficient and MacDonald *et al.* (2016) applied it instead to the Reynolds number independent ΔU^+ , which has since been a useful tool to gain insight into the drag characteristics of various surfaces (e.g. Jelly & Busse 2018; Abderrahaman-Elena *et al.* 2019; Gómez-de-Segura & García-Mayoral 2019). For our setup, ΔU^+ is decomposed as follows. The streamwise mean-momentum balance for turbulent channel flow above the riblet tips

$$\frac{1}{\rho} \frac{dP}{dx} = -\frac{1}{\delta} \frac{\tau_w}{\rho} = -\frac{d\overline{u'w'}}{dz} - \frac{d\overline{\widetilde{u}\widetilde{w}}}{dz} + \nu \frac{d^2U}{dz^2} \quad (4.1)$$

is integrated in the wall-normal direction z to obtain the viscous scaled total stress

$$\frac{\delta'^+ - z^+}{\delta^+} = -\overline{u'w'}^+ - \overline{\widetilde{u}\widetilde{w}}^+ + \frac{dU^+}{dz^+} \quad (4.2)$$

as the sum of Reynolds and viscous stresses. The discrepancy between both sides of (4.2), defined in (2.5), is given by ε'^+ in table 1. Our simulations are set up such that δ measures the distance between the riblet mean-height and the top of the open channel (figure 2*b*) to match the cross-sectional areas of smooth-wall and riblet channels. Therefore, the total stress, extrapolated below the riblet crest, reaches τ_w at the mean-height. The effective half-channel height, i.e. the distance between the virtual origin and top of the domain is δ' . The total stress on the left-hand side of (4.2) is described using both δ^+ and δ'^+ , because τ_w is not measured at the virtual origin and therefore $\delta \neq \delta'$ (table 1). After integrating again between the riblet tips at z_t^+ and the height up to which data are representative of full-span channel flow z_c^+ , we find an expression for the mean streamwise velocity at z_c^+ ,

$$U^+(z_c^+) = \int_{z_t^+}^{z_c^+} \frac{\delta'^+ - z^+}{\delta^+} dz^+ + \int_{z_t^+}^{z_c^+} \overline{u'w'}^+ + \overline{\widetilde{u}\widetilde{w}}^+ dz^+ + U^+(z_t^+), \quad (4.3)$$

as a function of integrated stress profiles. Finally, we subtract (4.3) from the same equation for a smooth wall (denoted by a subscript s) that is positioned at the height of the virtual origin (§2.3) to obtain an expression for the velocity shift that measures the

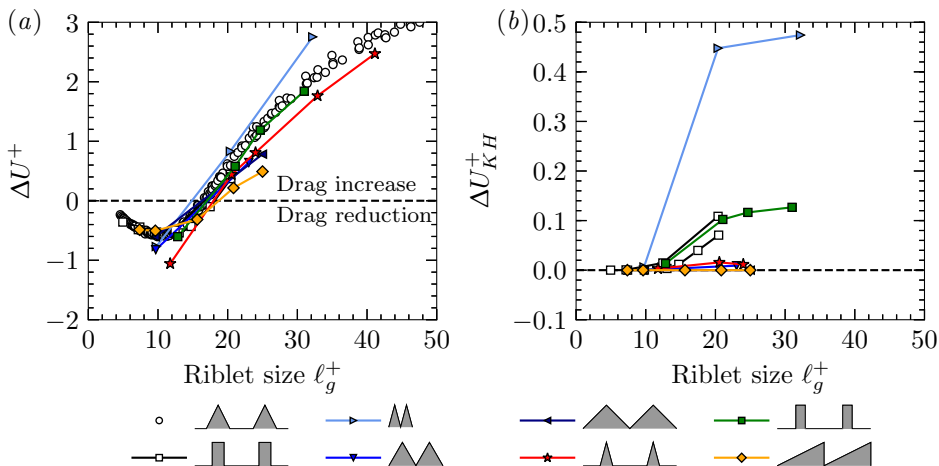


FIGURE 11. (a) Drag-change compared to a smooth wall ΔU^+ . (b) Contribution of Kelvin–Helmholtz rollers to ΔU^+ . Reference data \circ at $Re_\tau \approx 150$ –2270 experimentally obtained by Deyn *et al.* (2019) and \square at $Re_\tau \approx 180$ –550 from DNSs by García-Mayoral & Jiménez (2012).

drag change

$$\Delta U^+(z_c^+) = U_s^+ - U^+ = \Delta U_t^+ + \underbrace{\Delta U_{uw}^+}_{\Delta U_{KH}^+ + \Delta U_R^+}, \quad (4.4)$$

$$\text{where } \Delta U_t^+ = U_s^+(z_t^+) - U^+(z_t^+) \quad (4.5)$$

$$\Delta U_{uw}^+ = \int_{z_t^+}^{z_c^+} \frac{\delta_s^+ - z^+}{\delta_s^+} - \frac{\delta'^+ - z^+}{\delta^+} dz^+ + \int_{z_t^+}^{z_c^+} \overline{u'w'_s} - \overline{u'w'} - \overline{u\overline{w}'} dz^+ \quad (4.6)$$

$$\Delta U_{KH}^+ = \int_{z_t^+}^{z_c^+} \overline{u'w'_{KH,s}} - \overline{u'w'_{KH}} dz^+. \quad (4.7)$$

The term ΔU_{uw}^+ includes the difference of total stresses, which is a measure of the Re_τ mismatch after adjusting the origin and not an effect of riblets on the drag-change, as discussed by Gómez-de-Segura & García-Mayoral (2019) in the context of permeable surfaces. However, we only consider the Reynolds stresses $\overline{u'w'}$ and split them in spectral space according to (3.1) into those due to Kelvin–Helmholtz rollers $\overline{u'w'_{KH}}$ and a remainder (subscript R). We therefore follow the approach by García-Mayoral & Jiménez (2011*b*), except with a more conservative integration over $\lambda_y^+ \gtrsim 250$ instead of $\lambda_y^+ \gtrsim 50$. In figures 9 and 10 we see that the effect of Kelvin–Helmholtz rollers is mostly limited to the first 15–20 viscous units above the crest. Similarly, García-Mayoral & Jiménez (2011*b*) observe them within $20 \nu/u_\tau$ to $30 \nu/u_\tau$ from the tips of blade riblets and integrate the difference in the stresses $\overline{u'w'_{KH,s}} - \overline{u'w'_{KH}}$ up to $z^+ = 35$. For the present cases however, the peak in figure 10(*a,e*) shifts to slightly higher z^+ with increasing riblet size. Therefore, instead of integrating up to a fixed height, we consider a difference in the stresses $\overline{u'w'_{KH,s}} - \overline{u'w'_{KH}}$ only where the riblet-wall profile of $-\overline{u'w'_{KH}}$ is positive in figure 10. After this adjustment, the remaining difference is integrated up to z_c^+ as per (4.7) to find the drag-change due solely to Kelvin–Helmholtz rollers ΔU_{KH}^+ .

The total drag-change ΔU^+ and the contribution to it by Kelvin–Helmholtz rollers are shown in figure 11 for all riblet cases. The flow over blunt triangular and trapezoidal riblets resembles that over a smooth wall in the spectral region associated with Kelvin–Helmholtz rollers (figure 10). Therefore, the integrated difference ΔU_{KH}^+ in figure 11(*b*)

amounts to negligible drag changes. For sharp triangular and blade riblets, the instability contributes significantly to the drag-change in agreement with data by García-Mayoral & Jiménez (2012).

Nevertheless, $\Delta U_{KH}^+ < \Delta U^+$ which means that even without the drag-change due to the Kelvin–Helmholtz instability, large riblets increase drag above smooth-wall values. This suggests that flow mechanisms other than those related to the Kelvin–Helmholtz instability, like dispersive stresses from secondary flows (Goldstein & Tuan 1998), might also contribute to the drag-increase relative to a smooth wall for large riblets. Given that the occurrence of drag-increasing Kelvin–Helmholtz rollers depends strongly on the riblet shape, other possible mechanisms of drag increase (Choi *et al.* 1993; Goldstein & Tuan 1998) might likewise depend on the exact groove geometry.

5. Indicators for the development of Kelvin–Helmholtz rollers over riblets

Having observed a dependence of the drag penalty of Kelvin–Helmholtz rollers on the riblet shape in figure 11(*b*), we now explore indicators that describe which riblet surfaces trigger the Kelvin–Helmholtz instability. The strength of the Kelvin–Helmholtz instability in wall-bounded flows depends on the wall-normal permeability of the surface (e.g. Jiménez *et al.* 2001; García-Mayoral & Jiménez 2011*b*; Gómez-de-Segura & García-Mayoral 2019) and on the mixing layer around the roughness tips as observed for plant canopies (e.g. Raupach *et al.* 1996; Nepf *et al.* 2007; Sharma & García-Mayoral 2020*b*). Here we characterise both separately for riblets.

5.1. How the wall-normal permeability varies with riblet size

Riblet grooves allow streamwise flow below the riblet crest, akin to porous substrates that are preferentially permeable in x . For these surfaces, the appearance of Kelvin–Helmholtz rollers is fully described by the wall-normal permeability at the interface between flow and substrate (Gómez-de-Segura *et al.* 2018*a*). Jiménez *et al.* (2001) describe a permeable boundary by relating wall-normal velocity to fluctuations of pressure through the porosity coefficient $\beta = -\rho w/p'$, that has the dimensions of an inverse velocity. In the context of riblets, we therefore use β evaluated in the plane at the crest as a measure of the wall-normal permeability that the groove provides. Following Gómez-de-Segura *et al.* (2018*b*), we calculate the magnitude of the porosity coefficient $|\beta^+|(\lambda_x^+, \lambda_y^+, t^+) = |\hat{w}^+|/|\hat{p}^+|$, where $\hat{\cdot}$ denotes Fourier coefficients and retain a dependence on wavelengths and time. Only large spanwise wavelengths $\lambda_y^+ \gtrsim 250$ are affected by the Kelvin–Helmholtz instability (§3.2) and figure 12 shows the probability of the porosity coefficient for these wavelengths $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ taking a certain value. The impermeability condition of the smooth wall prohibits all wall-normal motion in figure 12(*t*), in contrast to riblets of any size and shape. In flow over riblets, $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ depends strongly on the streamwise wavelength with the highest values around $\lambda_x^+ \approx 20$ –40. The porosity coefficient of small, drag-reducing riblets (\checkmark) has a high probability of falling into a narrow range of $|\beta^+|_{\lambda_y^+ \gtrsim 250}$, i.e. it is fairly stationary at given λ_x^+ . For drag-increasing cases (\times), $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ takes higher values than over small riblets, because wall-normal velocity fluctuations are greater above large grooves. Furthermore, $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ fluctuates more in time than for small riblets, i.e. the observed occurrences are spread out across many bins in figure 12 and the contours are lighter. However, the drag-increasing cases that support Kelvin–Helmholtz rollers, based on the drag-change decomposition in figure 11(*b*), reduce the range of values that $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ takes for those wavelengths

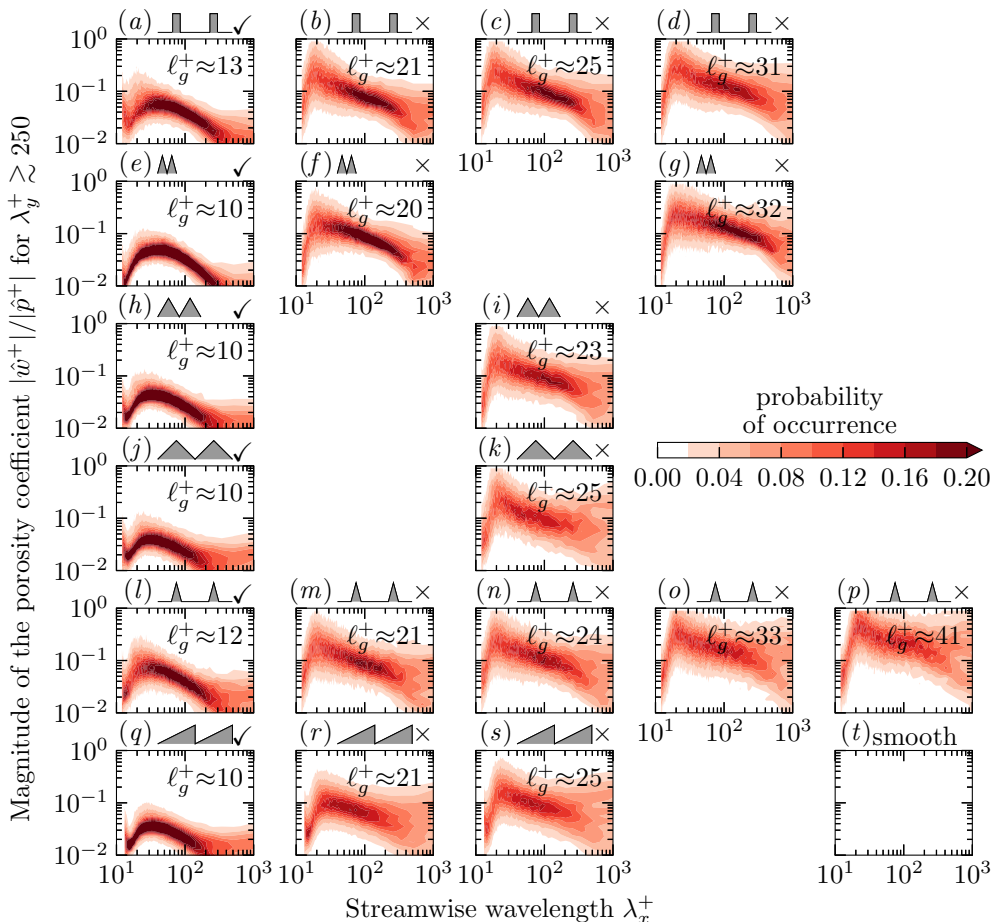


FIGURE 12. Ratio between wall-normal velocity and pressure fluctuations for large spanwise wavelengths $\lambda_y^+ \gtrsim 250$ at the riblet crest. Colours represent the probability of $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ falling into each of 30 logarithmically spaced bins between 10^{-3} and 1 at a given λ_x^+ . Ticks on the vertical axis mark the bins. On the right, ✓ mark drag-reducing and × drag-increasing cases.

that are affected by the instability ($65 \lesssim \lambda_x^+ \lesssim 290$). Coherent Kelvin–Helmholtz rollers therefore appear to reduce the randomness of fluctuations of $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ in time, which is here visible as concentrated dark spots in figure 12. This is most noticeable for the triangular riblets with $\alpha = 30^\circ$ (figure 12*f,g*) and blades (figure 12*b,c,d*), but also to a lesser extent for the triangular riblets with $\alpha = 60^\circ$ (figure 12*i*) and mid-sized trapezoids (figure 12*m,n*). Over larger riblets without Kelvin–Helmholtz rollers on the other hand (figure 12*k,o,p,r,s*), $|\beta^+|_{\lambda_y^+ \gtrsim 250}$ is more randomly distributed in time and not fixed by coherent motions.

We find the porosity coefficient averaged in time and over wavenumbers k_x and k_y affected by the Kelvin–Helmholtz instability

$$\overline{|\beta^+|}_{KH} = \frac{1}{\frac{2\pi}{250}} \frac{1}{\frac{2\pi}{65} - \frac{2\pi}{290}} \int_0^{\frac{2\pi}{250}} \int_{\frac{2\pi}{290}}^{\frac{2\pi}{65}} \overline{|\hat{w}^+|/|\hat{p}^+|} dk_x^+ dk_y^+ \quad (5.1)$$

for all cases and observe an almost linear increase with riblet size in figure 13. Curves for the different shapes collapse when shown against the groove size ℓ_g^+ rather than

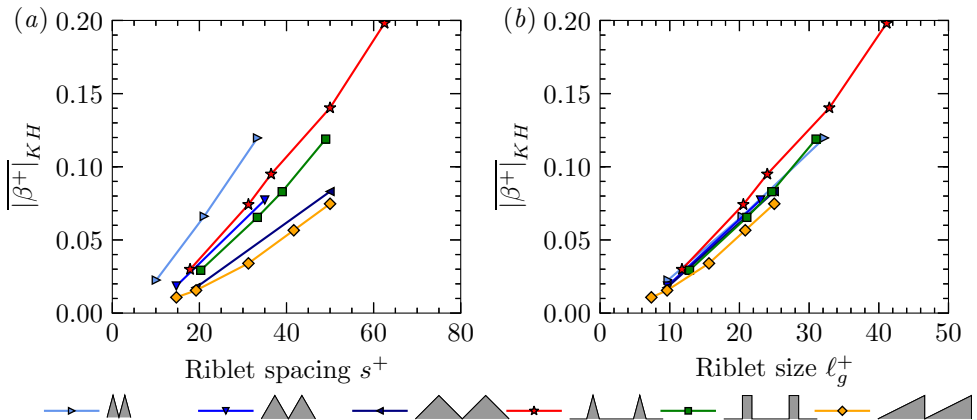


FIGURE 13. Time averaged porosity coefficient at the riblet crest integrated over wavelengths that may be affected by Kelvin–Helmholtz rollers $|\beta^+|_{KH}$.

the riblet spacing, presumably because the volume available below the crest determines the response in terms of w to a given pressure disturbance. Therefore, the geometrical parameter ℓ_g^+ correlates with the wall-normal permeability of fully open grooves. Indeed, García-Mayoral & Jiménez (2011b) observe rollers over blade riblets with $\ell_g^+ \gtrsim 11$ (also figure 11b), and attribute it to the sufficiently permeable interface between riblet grooves and the overlying flow. They propose a model that accounts for this permeability through a viscous approximation for the flow in the groove and also find a linear increase with ℓ_g^+ , that explains why $\Delta U_{KH}^+ \approx 0$ for small riblets with $\ell_g^+ \lesssim 11$ in figure 11(b). Likewise for porous surfaces, Kelvin–Helmholtz rollers appear if the (Darcy) wall-normal permeability exceeds a threshold, $\sqrt{K_z^+} \gtrsim 0.4$, as observed in DNSs (Gómez-de-Segura & García-Mayoral 2019) and predicted by resolvent analysis (Chavarin *et al.* 2020). Furthermore, for superhydrophobic microgrooves, which can be considered riblets with free-slip grooves, Rastegari & Akhavan (2018) also observe a dependence of the Kelvin–Helmholtz instability on the mean depth of the grooves. Reynolds stress spectra at $z^+ - z_t^+ \approx 5$ above these free-slip grooves in their figure 7(c–f,i) show that energy in the spectral region of Kelvin–Helmholtz rollers increases with ℓ_g^+ . However, even the free-slip grooves with a small $\ell_g^+ \approx 6$ by Rastegari & Akhavan (2018) exhibit Kelvin–Helmholtz rollers, presumably because the slip condition allows the flow to easily move along the groove, which in turn leads to large wall-normal permeability of the plane at the crest, despite the low ℓ_g^+ . This is consistent with the model of García-Mayoral & Jiménez (2011b) for the flow within the groove and its effect on the Kelvin–Helmholtz instability. In appendix §A, we show results from a numerical experiment in which the formation of Kelvin–Helmholtz rollers is shown to be suppressed when the riblet grooves are replaced by impermeable and flat ($\ell_g^+ = 0$) free-slip strips at the crest.

The increasing permeability of the plane at the riblet crest in figure 13(b) barely depends on the riblet shape, and it therefore does not explain the results from our drag-change decomposition (§4) that four of the six riblet shapes do not support strong Kelvin–Helmholtz rollers regardless of ℓ_g^+ . We therefore additionally consider shear in the mixing layer as a second indicative parameter that is affected by both the riblet shape and size.

5.2. *How riblets affect shear in the mixing layer*

Kelvin–Helmholtz rollers can develop in a mixing layer that forms between slow flow in the riblet groove and a faster stream above, as first suggested by Raupach *et al.* (1996) for plant canopies and later assumed by García-Mayoral & Jiménez (2011*b*) for riblets. This mixing layer is susceptible to the Kelvin–Helmholtz instability, because the profile of mean-streamwise velocity has an inflection point at the canopy or riblet tips, which is a necessary condition for instability in free shear flows (Rayleigh 1879). Above streamwise porous surfaces however, the wall-normal permeability alone describes the appearance of Kelvin–Helmholtz rollers (Gómez-de-Segura *et al.* 2018*a*; Chavarin *et al.* 2020) and the linear stability analysis by García-Mayoral & Jiménez (2011*b*) predicts the instability as a result of wall-normal permeability of riblets for non-inflectional velocity profiles. Nevertheless, many of our large (drag-increasing) riblets do not experience significant drag due to the instability (§4) despite their wall-normal permeability (figure 13*b*). Therefore, we will now consider shear strength in the mixing layer as a second parameter to describe flow conditions that generate Kelvin–Helmholtz rollers above riblets. In this section we first follow the characterisation of the mixing layer from previous literature, by investigating the shear length scale L_s (Raupach *et al.* 1996) and a mixing-length model (Poggi *et al.* 2004). However, the complete description of the mixing layer for riblets emerges when we additionally consider the distribution of wall-shear stress across the riblet height.

5.2.1. *Shear length scale*

At the height of the inflection point, Raupach *et al.* (1996) measure shear in the mixing layer relative to the mean velocity by defining the shear length scale $L_s \equiv U_t / (dU/dz)$, which decreases with increasing shear. For plant canopies, various studies (e.g. Raupach *et al.* 1996; Dunn *et al.* 1996; Finnigan 2000; Poggi *et al.* 2004; Coceal & Belcher 2004) consider the velocity profile after normalising U/U_t and z/k . This way, the vertical velocity gradient at the crest can be rewritten as k/L_s , i.e. as the shear length scale relative to the canopy height. Based on the drag-decomposition in figure 11(*b*), we would expect the strongest shear for the triangular riblets with $\alpha = 30^\circ$, followed by the blades and weaker shear for the remaining four shapes. Indeed, the velocity profiles for the sharp triangular riblets are steepest (figure 14*a*) and the gradient at the crest, where the instability originates, is strongest (figure 14*c*). We also observe in figure 14(*c*), that shear in the mixing layer appears to become weaker with increasing riblet size, which suggests that it might be too weak to support Kelvin–Helmholtz rollers for very large riblets. Furthermore, it is conceivable that Kelvin–Helmholtz rollers need to perceive spanwise homogeneous shear to develop, which widely spaced riblet tips might not provide. In DNS of small (drag-reducing) riblets, turbulent flow in large parts of the groove is dominated by viscosity. We can therefore describe the near-wall flow field in the limit $\ell_g^+ \sim 0$ by streamwise shear-driven Stokes flow $\nabla^2 u = 0$ with a fixed velocity at the top and no-slip walls on the bottom. The Stokes flows differ from the turbulent flow of larger riblets, because they lack turbulent motions including Kelvin–Helmholtz rollers and secondary flows in the riblet cross-section. However, in agreement with García-Mayoral & Jiménez (2011*b*), superficially spanwise averaged velocity profiles inside the smallest riblet grooves of each geometry (figure 14*a*) closely resemble the Stokes flow solution (figure 14*b*). Above the crest, the Stokes flow is no longer representative of the turbulent solution and the profiles are linear, because the flow is driven by shear rather than a pressure gradient. Directly at the crest however, where we characterise the mixing layer, the Stokes-flow simulations correctly predict a strong velocity gradient for the triangular riblets with

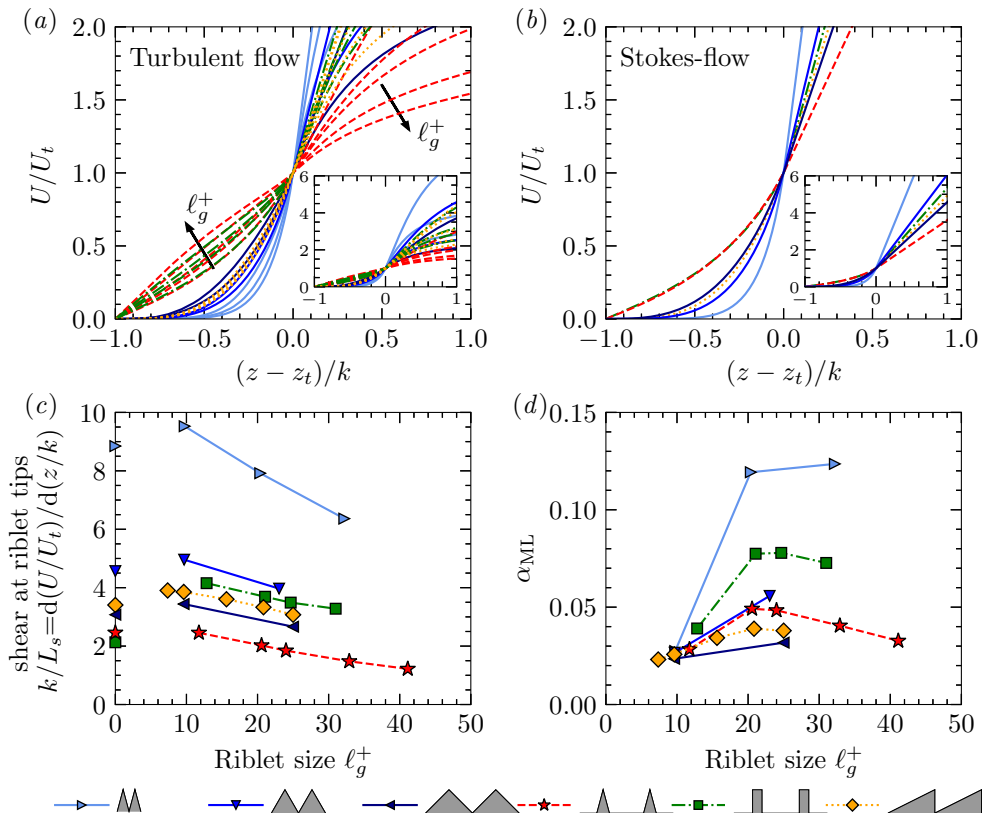


FIGURE 14. Superficially averaged velocity profiles in and above the groove normalised by the riblet height k and velocity at the tips. For (a) turbulent flow and (b) 2D Stokes-flow. Insets show a larger velocity range. (c) Velocity gradient at the riblet crest expressed using the shear length scale $L_s^+ = U_t^+ / (dU^+ / dz^+)_t$ relative to the groove-depth k^+ (Raupach *et al.* 1996) with Stokes-flow values for $\ell_g^+ \sim 0$. (d) Contribution of the mixing-layer length to the effective total mixing length at the riblet crest based on the model by Poggi *et al.* (2004).

$\alpha = 30^\circ$ (shown in figure 14(c) at $\ell_g^+ = 0$) and a more gentle velocity increase for the other shapes. The Stokes-flow gradient for the blades is low and thus indicative of a weak mixing layer, even though they support Kelvin–Helmholtz rollers in turbulent flow (§3 and §4). Furthermore, the shear-strength in turbulent flow over the blades in figure 14(c) is similar to that of the slanted triangular riblets for which the instability is absent. This suggests that the velocity gradient at the riblet crest, in Stokes and turbulent flow alike, does not fully describe the mixing layer that can give rise to Kelvin–Helmholtz rollers. We therefore additionally consider the mixing-length model proposed by Poggi *et al.* (2004) to describe the mixing layer at the tips of plant canopies.

5.2.2. Mixing-length model

Poggi *et al.* (2004) compare the mixing length due to the Kelvin–Helmholtz instability $\ell_{ML}^+ = L_s^+ \equiv U_t^+ / (dU^+ / dz^+)$ to that of turbulent eddies that are likewise found over a smooth wall ℓ_{BL}^+ . The tips of plant canopies by Poggi *et al.* (2004), where the instability develops, are in the log-layer at heights hundreds of viscous units and about 0.08δ above the virtual origin. At those heights above a smooth wall, the mixing length is $\ell_{BL}^+ = \kappa z^+$, with the von-Kármán constant $\kappa = 0.4$. For riblets, the inflection point of the velocity

profile is also at the tips (\bullet in figure 4), which is however much closer to the virtual origin (§2.3). For the present cases, the inflection point is at heights $\ell_T^+ \approx 0.8$ – 8.0 . Above a smooth wall at those heights, in the viscous sublayer or buffer-layer, viscous effects reduce ℓ_{BL}^+ , which van Driest (1956) accounts for by multiplying the mixing length with the damping term $(1 - e^{-z^+/26})$. Here, the constant 26 was determined empirically to recover a measured smooth-wall velocity profile (van Driest 1956). After including that damping term to account for viscous effects, the mixing-length scale representing smooth-wall flow at the height of the riblet crest $z^+ = \ell_T^+$ is given by $\ell_{\text{BL}}^+ = \kappa \ell_T^+ (1 - e^{-\ell_T^+/26})$.

Poggi *et al.* (2004) propose that inside the mixing layer around the canopy tips, the mixing lengths of the instability and of turbulent eddies can be superimposed with the weighting parameter α_{ML} to describe the effective mixing length in that region $\ell_{\text{eff}}^+ = (1 - \alpha_{\text{ML}})\ell_{\text{BL}}^+ + \alpha_{\text{ML}}\ell_{\text{ML}}^+$. By modelling the Reynolds stress and the eddy-diffusivity using the velocity gradient, they can describe the effective mixing length as $\ell_{\text{eff}}^+ = \sqrt{-u'w'^+}/(dU^+/dz^+)$. We measure ℓ_{eff}^+ at the riblet crest and solve for the parameter α_{ML} that describes the relative contribution of the mixing-layer length (Kelvin–Helmholtz rollers) and of background turbulence ℓ_{BL}^+ to the net wall-normal momentum transfer.

The sparsest canopy investigated by Poggi *et al.* (2004) has $\alpha_{\text{ML}} \approx 0$ and does not create a mixing layer. The instability starts to emerge for the denser cases with $\alpha_{\text{ML}} \approx 0.05$, 0.25 and α_{ML} appears to asymptote towards 0.5 for the densest canopies. The triangular riblets with opening angle $\alpha = 30^\circ$ have the highest values of α_{ML} in figure 14(*d*), because the Kelvin–Helmholtz instability contributes significantly to the momentum transfer, as seen in §4. The blades have reduced α_{ML} , that is however noticeably higher than for the remaining riblet shapes that do not support the Kelvin–Helmholtz instability. Drag reducing riblets of all shapes have small α_{ML} , and therefore weak mixing layers, in agreement with the drag-decomposition in §4. Interestingly, the differences between riblet shapes in α_{ML} (figure 14*d*) can be traced back to the slip length ℓ_T^+ and the slip (crest) velocity U_t^+ (§B).

5.2.3. Distribution of wall-shear stress

In order to relate the riblet geometry to the strength of shear in the mixing layer, we consider the distribution of wall-shear stress across the riblet, by integrating it below every height to obtain profiles $\tau_{w,z}$ (figure 15*a*). The analysis is motivated by the observation that plant canopies create an inflectional velocity profile with a mixing layer that supports Kelvin–Helmholtz rollers if the drag exerted by plants is large compared to the bed-drag on the ground (White & Nepf 2007). Similarly for riblets in figure 15(*a*), a steep curve in the tip region indicates a significant momentum absorption over that wall-normal distance, which creates a strong mixing layer. The flat tips of blade riblets with a finite thickness have high shear stress such that the flow over blades loses a significant proportion of momentum to the tip region, which creates a discontinuity of wall-shear stress at the riblet crest. The other riblet shapes all have a pointed crest and therefore a smooth distribution of $\tau_{w,z}$. Nevertheless, the triangular riblets with $\alpha = 30^\circ$ experience most wall-shear stress near the tips, which explains low groove velocities in figure 14(*a*). On the other hand, trapezoidal riblets for example, with the same opening angle $\alpha = 30^\circ$, have a more balanced distribution of $\tau_{w,z}$ across the height owing to their larger tip spacing at the same ℓ_g^+ and the flat bottom of the groove. For these and other riblet shapes with somewhat evenly distributed wall-shear stress in figure 15(*a*), streamwise momentum penetrates farther into the groove, which appears to weaken the mixing layer at the riblet tips to a point where it no longer supports the Kelvin–Helmholtz instability. Stokes-flow in figure 15(*b*) shows the same trend between riblet shapes, because even

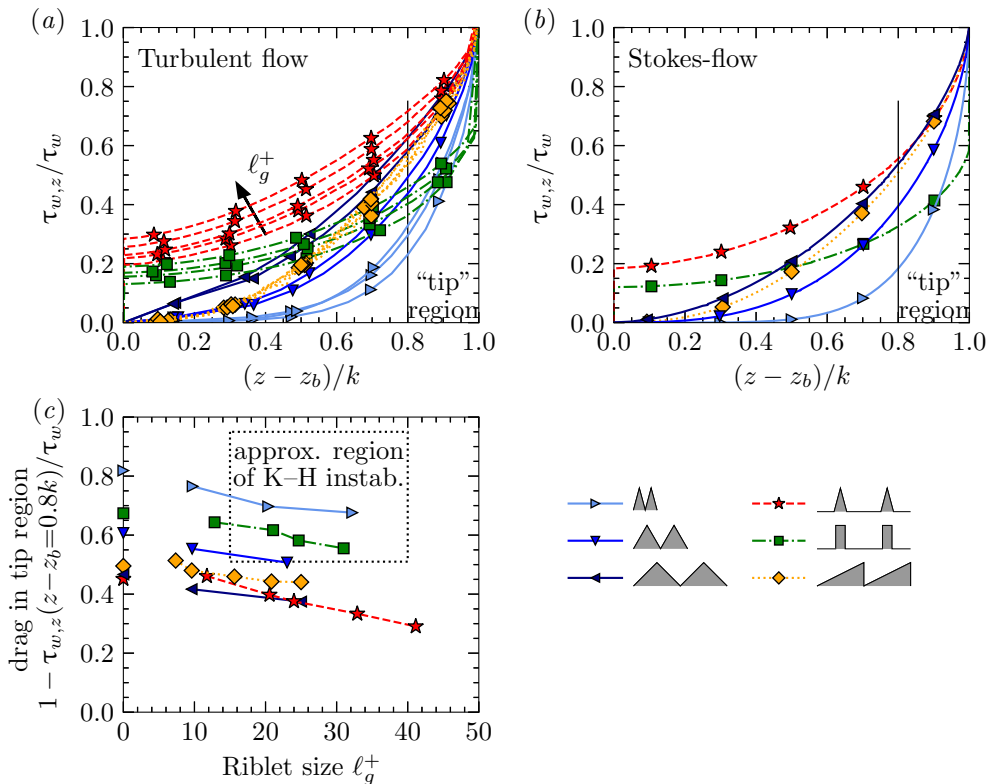


FIGURE 15. Wall-shear stress (drag per unit plan area) accumulated from below given heights z , $\tau_{w,z} = \int_{L_t} \int_{A(z)} \nu \rho (\partial u / \partial n) dA dt / (L_x L_y L_t)$ relative to the total wall-shear stress $\tau_w = \int_{L_t} \int_{A(z_t)} \nu \rho (\partial u / \partial n) dA dt / (L_x L_y L_t)$, where n is the locally wall-perpendicular direction and $A(z)$ the wetted wall-surface below height z . The domain extents L_x , L_y and time-averaging intervals L_t are given in table 1. For (a) DNSs of turbulent flow and (b) 2D Stokes-flows. (c) Fraction of wall-shear stress that acts on only the top 20% of the riblets (threshold is marked by vertical line in *a, b*) with Stokes-flow values that are valid for $\ell_g^+ \sim 0$.

the turbulent flow at these riblet sizes is dominated by viscosity in large parts of the groove. Our idea that high drag at the tips promotes Kelvin–Helmholtz rollers is further supported by the DNSs of flow over superhydrophobic free-slip microgrooves by Rastegari & Akhavan (2018). Based on spectra of Reynolds shear stress, Kelvin–Helmholtz rollers only develop over the free-slip grooves (their figure 7*c–f, i*), that are set up to absorb all momentum at the tips. The no-slip riblet versions of the same microgrooves in their figure 7(*l–o, r*) lack pronounced Kelvin–Helmholtz rollers, because a significant portion of the wall-shear stress acts well below the crest.

In order to measure the effect of high wall-shear at the riblet crest, we pick a threshold at $(z - z_b)/k = 0.8$ to integrate the wall-shear stress only over the tip region and show that portion of the total τ_w in figure 15(c). As expected from the drag-decomposition, triangular riblets with $\alpha = 30^\circ$ and blades experience most wall-shear stress near the riblet crest. The distribution of wall-shear stress across the riblet height correlates reasonably well with the existence and strength of Kelvin–Helmholtz rollers for all six riblet shapes including the blades, as only high values in the tip-region appear to lead to strong mixing layers that support the instability. Even though the threshold for the tip region

at $(z - z_b)/k = 0.8$ is arbitrary, figure 15(a) shows that any threshold between roughly $0.75 \lesssim (z - z_b)/k \lesssim 0.95$ leads to qualitatively matching results.

The approximate region of riblets that support Kelvin–Helmholtz rollers in figure 15(c) is delimited by the two parameters discussed in this section. Wall-shear stress in the tip region has to be roughly above the lower bound based on the drag-change decomposition for this data set (figure 11b) and there should be a maximum above which fluctuations are damped by high resistance to streamwise flow in the groove (Nepf *et al.* 2007). On the horizontal axis in figure 15(c), small grooves with low wall-normal permeability prevent fluctuations (figure 13 along with figure 11b) and very large riblets seem to have weak shear in the mixing layers (figure 14). Wall-shear stress from Stokes-flow for $\ell_g^+ \sim 0$ in figure 15(c) shows the same trend we observe in turbulent flow. Therefore, the strength of Kelvin–Helmholtz rollers over other riblet shapes could be estimated by taking ℓ_g^+ as an indicator for the wall-normal permeability and then comparing the Stokes-flow wall-shear stress in the tip region to values from the present data set, for which we found ΔU_{KH}^+ (figure 11b). The absence of strong drag-increasing Kelvin–Helmholtz rollers outside of the approximate region in figure 15(c) does not mean that these riblet shapes provide improved drag reduction compared to riblets that support the instability (figure 11a). For example, dispersive Reynolds stresses associated with secondary cross flows (Goldstein & Tuan 1998) can contribute to drag for large riblets, including potentially alongside Kelvin–Helmholtz rollers.

6. Conclusion

Kelvin–Helmholtz rollers have been known to develop in the flow above plant canopies (e.g. Raupach *et al.* 1996; Nepf *et al.* 2007; Sharma & García-Mayoral 2020b), permeable surfaces (e.g. Jiménez *et al.* 2001; Breugem *et al.* 2006; Gómez-de-Segura & García-Mayoral 2019) and riblets (García-Mayoral & Jiménez 2011b), because these boundaries can all create a mixing layer with an inflectional velocity profile and provide sufficient wall-normal permeability for the instability to develop. We conducted direct numerical simulations of turbulent flow over six riblet shapes and various viscous-scaled sizes to investigate conditions for the appearance of the Kelvin–Helmholtz instability. We decomposed the drag change ΔU^+ between riblet surfaces and a smooth wall to extract the drag penalty due to Kelvin–Helmholtz rollers ΔU_{KH}^+ . In agreement with results by García-Mayoral & Jiménez (2011b), $\Delta U_{KH}^+ \approx 0$ for small riblets near the drag optimum, because the impermeability condition of the wall obstructs the roll-up of coherent structures. The instability contributes significantly to the drag-change for larger, drag-increasing triangular riblets with opening angle $\alpha = 30^\circ$ and blade riblets with a spacing-to-thickness ratio $s/t = 5$. However, trapezoidal riblets with $\alpha = 30^\circ$ and triangular riblets with $\alpha = 60^\circ$ to $\alpha = 90^\circ$ have $\Delta U_{KH}^+ \approx 0$ regardless of their viscous-scaled groove size. We therefore proposed two parameters that together describe the occurrence of the Kelvin–Helmholtz instability in turbulent flow over riblets.

First, Kelvin–Helmholtz rollers may only develop if the viscous-scaled groove volume is sufficiently large to provide the necessary wall-normal permeability of the plane at the riblet crest, as demonstrated for blade riblets by García-Mayoral & Jiménez (2011b). We illustrated this by averaging the porosity coefficient, i.e. the ratio of fluctuations of wall-normal velocity and pressure, across wavelengths affected by the instability. This measure of the wall-normal permeability increases linearly with the groove size ℓ_g^+ regardless of the riblet shape. Therefore, the first parameter illustrates why the instability is absent for small ($\ell_g^+ \lesssim 11$) riblets with fully open grooves (García-Mayoral & Jiménez 2011b). However, this parameter alone is insufficient to explain why only certain riblet shapes

sustain the Kelvin–Helmholtz instability at larger ℓ_g^+ and correspondingly high wall-normal permeability.

The second parameter describes the strength of shear in the mixing layer around the inflection point at the crest from which the Kelvin–Helmholtz instability develops. We first considered the velocity gradient relative to the riblet height, which is strongest for the triangular riblets with $\alpha = 30^\circ$, but it does not fully explain why the blade riblets support Kelvin–Helmholtz rollers (figure 14c). However, a mixing-length model based on that by Poggi *et al.* (2004) appears to capture the relative contribution of Kelvin–Helmholtz rollers to turbulence for all considered riblet shapes (figure 14d). In order to arrive at a possibly more predictive and intuitive description of the mixing layer, we further considered the distribution of wall-shear stress across the riblet height and found that Kelvin–Helmholtz rollers only develop if the riblet tips absorb a large proportion of the momentum to create high shear in the neighbourhood of the inflection point. The Kelvin–Helmholtz instability is therefore absent for groove shapes that allow momentum to descend towards the bottom, like the blunt triangular or trapezoidal riblets we investigated. Wall-shear stress in the tip region appears to correlate more robustly with the occurrence of Kelvin–Helmholtz rollers for the six riblet shapes than the velocity gradient in the inflection point. Furthermore, qualitative distributions of wall-shear stress from 2D Stokes-flow calculations show the same trend across riblet shapes. Together with the geometrical parameter ℓ_g^+ that describes the wall-normal permeability, the Stokes-flow solution gauges the ability of these riblet shapes to support Kelvin–Helmholtz rollers.

The absorption of momentum in the tip region and the wall-normal permeability are generally connected, but adjusting the riblet shape has the potential to change one more than the other. As a result, four out of the six riblet shapes do not support strong Kelvin–Helmholtz rollers.

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Appendix A. An impermeable boundary suppresses Kelvin–Helmholtz rollers

The necessity of wall-normal permeability for the development of Kelvin–Helmholtz rollers has been discussed by Jiménez *et al.* (2001); García-Mayoral & Jiménez (2011b); Gómez-de-Segura *et al.* (2018a); Gómez-de-Segura & García-Mayoral (2019) based on stability analysis and DNSs. Nevertheless, here we demonstrate through comparison of the blade riblet case BL49 to an impermeable counterpart BS49, that Kelvin–Helmholtz rollers do not develop if the plane at the riblet crest is impermeable. The simulation BS49 has the same computational box, Reynolds number and mesh spacing as the blade riblet case BL49 (table 1), except that the riblet grooves are replaced by impermeable ($w = 0$) and non-deformable free-slip strips at the height of the riblet crest (following the setup of Martell, Perot & Rothstein 2009).

At a height of 5 viscous units above the crest, where Kelvin–Helmholtz rollers may

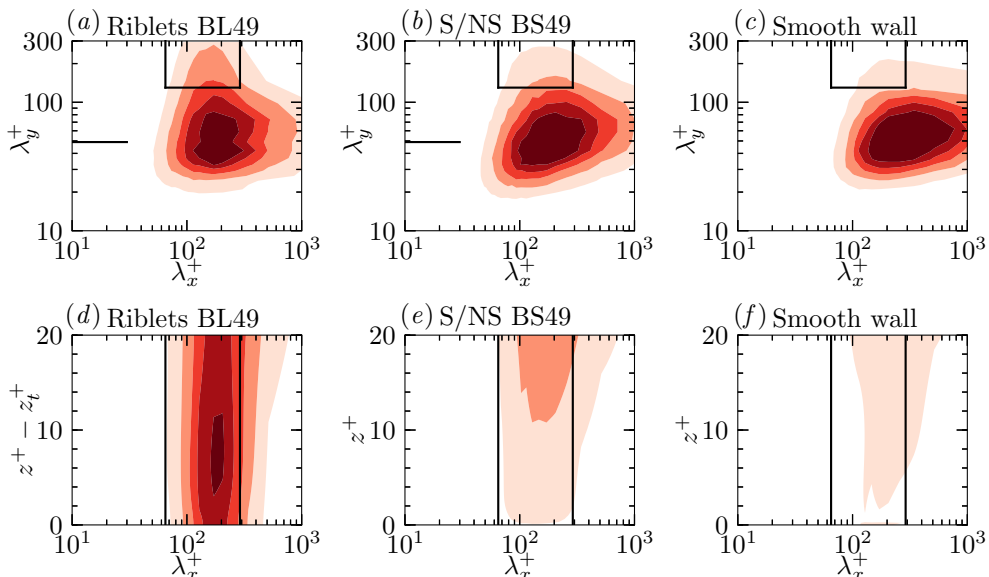


FIGURE 16. Premultiplied spectra of wall-normal velocity in the flow above blade riblets BL49 (a,d), blades with free-slip strips instead of grooves BS49 (b,e) and a uniform no-slip smooth wall (c,f), normalised by the respective variance at each height. The 2D spectra (a–c) are taken at a height of 5 viscous units (above the riblet crest) with 5 contour levels from light to dark in the range $[0.04, 0.2]\overline{w'w'^+}$. The 1D spectra (d–f) are only for large spanwise wavelengths $\lambda_y^+ \gtrsim 250$ with 5 contour levels from light to dark in the range $[0.02, 0.1]\overline{w'w'^+}$. The spectral region of Kelvin–Helmholtz rollers is framed by black lines ($65 \lesssim \lambda_x^+ \lesssim 290$, $\lambda_y^+ \gtrsim 130$, as given by García-Mayoral & Jiménez 2011b).

develop, the contours of the wall-normal velocity spectrum for the blade riblets (figure 16a) extend farther into the region of Kelvin–Helmholtz rollers than for the slip/no-slip surface (figure 16b) and least for the uniform no-slip smooth wall (figure 16c). The large spanwise wavelengths ($\lambda_y^+ \gtrsim 250$) that may be affected by the Kelvin–Helmholtz instability in figure 16(d–f), carry far more energy over the blade riblets than over the slip/no-slip surface. Nevertheless, wall-normal velocity at the large spanwise wavelengths is slightly stronger over the slip/no-slip wall compared to the uniform no-slip smooth wall. Importantly though, these fluctuations do not extend down close to the surface as they do above the riblet. The permeable plane at the riblet crest allows for $w \neq 0$, which stability analysis shows to be critical for the development of Kelvin–Helmholtz rollers (Jiménez *et al.* 2001; García-Mayoral & Jiménez 2011b, cf. mode shapes in their figure 19c–e). Consequently, only the riblet flow in figure 16(d) has a distinct and isolated peak at $z^+ - z_t^+ \lesssim 12$ and $\lambda_x^+ \approx 180$, i.e. in the region of Kelvin–Helmholtz rollers (§3.2). Decomposing the drag-change as in §4 shows that the slip/no-slip surface has a drag penalty from wavelengths in the region of Kelvin–Helmholtz rollers $\Delta U_{KH}^+ = 1.7 \times 10^{-5} \approx 0$, whereas for the blade riblets the penalty is $\Delta U_{KH}^+ \approx 0.13$.

Overall, the spectral analysis of the impermeable (i.e. $\ell_g^+ = 0$) case BS49 supports our conclusions in §5 on Kelvin–Helmholtz rollers: shear forces the Kelvin–Helmholtz instability, but impermeability suppresses it. In figure 15(c), the slip/no-slip case BS49 with full momentum absorption at the riblet tips and $\ell_g^+ = 0$ would appear in the top left corner, outside of the region of Kelvin–Helmholtz rollers.

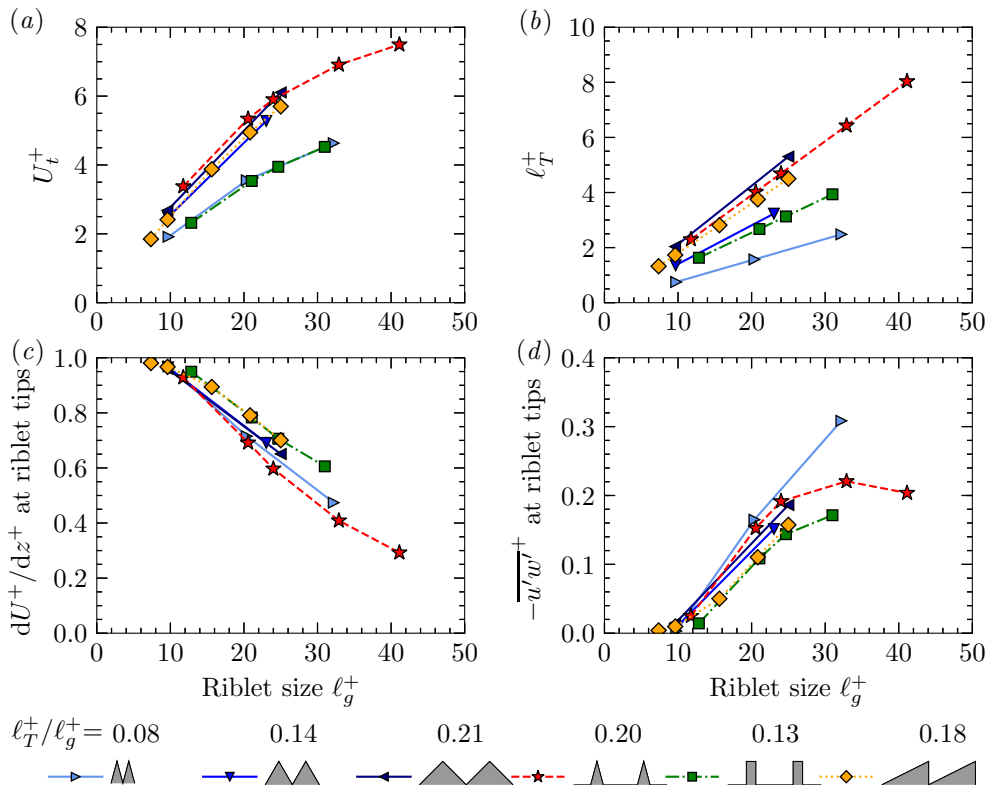


FIGURE 17. Terms at the riblet tips, that make up the smooth-wall mixing length $\ell_{\text{BL}}^+ = \kappa \ell_T^+ (1 - e^{-\ell_T^+/26})$, the mixing length due to the Kelvin–Helmholtz instability $\ell_{\text{ML}}^+ = L_s^+ = U_t^+ / (dU^+/dz^+)$ and the combined effective mixing length $\ell_{\text{eff}}^+ = \sqrt{-\overline{u'w'}} / (dU^+/dz^+)$, following the model by Poggi *et al.* (2004) in § 5.2.2. The slip velocity at the riblet tips U_t^+ (a) is marked by (•) in the profiles of figure 4. The slip length ℓ_T^+ (b, § 2.3) measures the distance between the virtual origin and the riblet crest.

Appendix B. Flow at the riblet tips

In the viscous regime of small riblet sizes, the slip velocity at the riblet tips U_t^+ and the slip length ℓ_T^+ describe the drag-change $\Delta U^+ \approx \ell_T^+ - \ell_V^+$, because the streamwise protrusion height $\ell_V^+ \approx U_t^+$ (Luchini (1996) and §1). It appears that in the turbulent regime, the influence of the Kelvin–Helmholtz instability on the drag-change is qualitatively noticeable through the same two parameters: for cases with strong Kelvin–Helmholtz rollers, U_t^+ and ℓ_T^+ are particularly low at a given ℓ_g^+ (figure 17(a,b) and ratio ℓ_T^+/ℓ_g^+ in the legend), i.e. the virtual origin is close to the riblet crest. This has implications for the mixing length model (§ 5.2.2 based on that by Poggi *et al.* 2004), that compares the mixing length of Kelvin–Helmholtz rollers to that of turbulent eddies based on the slip length ℓ_T^+ and three quantities measured at the riblet crest: U_t^+ , dU^+/dz^+ and $\overline{u'w'}$. The last two vary as a function of ℓ_g^+ that is roughly the same for all considered riblet shapes (figure 17c,d). Therefore, the value of α_{ML} in the mixing length model, that indicates the presence of Kelvin–Helmholtz rollers in figure 14(d), is predominantly influenced by ℓ_T^+ and U_t^+ .

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