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8 **Maternal 25-hydroxyvitamin D is inversely correlated with fetal serotonin.**

9

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13

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22

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24

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26

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34

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47

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49 **Abstract**

50 **Objective:** Maternal vitamin D deficiency during pregnancy has been linked to impaired
51 neurocognitive development in childhood. The mechanism by which vitamin D affects
52 childhood neurocognition is unclear but may be via interactions with serotonin, a
53 neurotransmitter involved in fetal brain development. In this study we aimed to explore
54 associations between maternal and fetal vitamin D concentrations, and fetal serotonin
55 concentrations at term.

56 **Study design and measurements:** Serum 25-hydroxyvitamin D (25(OH)D, nmol/L) and
57 serotonin (5-HT, nmol/L) concentrations were measured in maternal and umbilical cord
58 blood from mother-infant pairs (n=64). Association between maternal 25(OH)D, cord
59 25(OH)D and cord serotonin was explored using linear regression, before and after adjusting
60 for maternal serotonin levels. We also assessed the effects of siRNA knockdown of the
61 vitamin D receptor (VDR) and administration of 10nM 1,25-dihydroxyvitamin D₃ on
62 serotonin secretion in human umbilical vein endothelial cells (HUVECs) *in vitro*.

63 **Results:** We observed an inverse relationship between both maternal and cord 25(OH)D
64 concentrations with cord serotonin concentrations. The treatment of HUVECs with 1,25-
65 dihydroxyvitamin D₃ *in vitro* decreased the release of serotonin (193.9±14.8 nmol/L vs.
66 458.9±317.5 nmol/L, control, p<0.05). Conversely, inactivation of VDR increased serotonin
67 release in cultured HUVECs.

68 **Conclusions:** These observations provide the first evidence of an inverse relationship
69 between maternal 25(OH)D and fetal serotonin concentrations. We propose that maternal
70 vitamin D deficiency increases fetal serotonin concentrations and thereby contributes to
71 longer-term neurocognitive impairment in infants and children.

72 **Introduction:**

73 Vitamin D deficiency during pregnancy, at least as defined by serum vitamin D levels
74 (<50nmol/L) is endemic, present in ~20-85% of women, depending on country of residence,
75 latitude, season, and other factors¹⁻³. This may be important because maternal vitamin D
76 deficiency has been linked with poorer health outcomes for both mother and baby. For
77 example, vitamin D deficiency during pregnancy has been associated with increased risks of
78 gestational diabetes (GDM), preeclampsia (PE), low birth weight, abnormal fetal skeletal
79 development, pre-term birth, caesarean section and post-partum depression⁴⁻⁹. However, most
80 recently, the associations between maternal vitamin D deficiency and adverse pregnancy
81 outcomes have looked less convincing^{10,11}. What has remained consistent is the observation
82 that maternal vitamin D status is associated with later childhood outcomes including diabetes,
83 atopy and, in particular, learning outcomes¹²⁻¹⁶.

84
85 Of particular concern is the growing evidence that vitamin D deficiency in early life,
86 including *in utero*, may be linked to adverse neurodevelopmental and cognitive impairment¹⁶⁻
87 ¹⁹. For example, in a recent study of 363 infant mother pairs, from the China-Anhui Birth
88 Cohort Study, the toddlers who were in the lowest quintile of cord blood 25(OH)D level had
89 significantly lower mental and psychomotor development scores as assessed using the Bayley
90 Scales of Infant Development than other toddlers¹⁹. Similarly, among 910 mother-offspring
91 pairs from the Western Australian Pregnancy Cohort [Raine] Study, maternal vitamin D
92 deficiency in mid-pregnancy was also associated with increased rates of neurocognitive
93 difficulties and eating disorders at the age of 10¹⁸. In a large study of 960 women-infant pairs
94 in rural Vietnam Hanieh *et al.* (2014) reported infants born to women who were vitamin D
95 deficient in late pregnancy had significantly lower developmental language scores compared
96 with those born to women who were sufficient for vitamin D¹⁷.

97 Despite these observational reports a biologically plausible mechanism whereby vitamin D
98 might influence fetal neurocognitive development has not been obvious. That said, it is
99 known that vitamin D has a number of neurological actions. It can activate spontaneous
100 neuronal firing, modulate action potential duration, and increased intrinsic excitability and
101 sensitivity to neurotransmitters as well as to neurotransmitter receptors such as GABA
102 receptor and N-methyl-D-aspartate (NMDA) receptor²⁰. Vitamin D can also activate a variety
103 of signal transduction systems including calcium ion influx, the release of calcium ions from
104 intracellular stores, the modulation of adenylate cyclase, phospholipase C (PLC), protein
105 kinase C, protein kinase D, the mitogen-activated protein (MAP) kinases, and the rapidly
106 accelerated fibrosarcoma (Raf) kinase pathways^{21, 22}. It also regulates the actions of a variety
107 of neurotransmitters including acetylcholine²³, dopamine²⁴, and serotonin²⁵.

108
109 In particular, vitamin D can decrease the synthesis of serotonin in the brain²⁵⁻²⁷. This is likely
110 to be important in fetal brain development because serotonin is a key modulator of neuronal
111 cell proliferation, migration, and brain wiring during fetal and early postnatal life^{28, 29}.

112 Disruption of the serotonin signaling system results in abnormal cortical development and
113 neuronal migration in animals²⁹ and serotonin is associated with a number of
114 neuropsychiatric disorders, such as schizophrenia³⁰, affective disorders³¹, anxiety³², and
115 autism in humans^{25, 26, 33}. Serotonin is synthesized in two steps from tryptophan, an essential
116 amino acid present in small amounts in dietary protein. Two distinct tryptophan hydroxylase
117 (TPH) enzymes encoded by two different tissue specific genes, *TPH1* and *TPH2*, regulate
118 this process. Vitamin D regulates the transcription of *TPH* both by gene activation and
119 repression^{26, 33}. If maternal vitamin D deficiency led to increased fetal serotonin levels then
120 that would offer a plausible mechanism linking vitamin D deficiency with impaired
121 neurocognitive development. To our knowledge there have been no human studies exploring
122 the association between maternal and fetal vitamin D levels and fetal serotonin levels.

123
124 In planning this study, we hypothesized that (1) maternal vitamin D is inversely correlated
125 with fetal serotonin concentrations, and (2) vitamin D decreases serotonin release in the fetal
126 endothelium through a vitamin D receptor (VDR) dependent mechanism. To address these
127 we set out to a) to determine the association between maternal serum 25(OH)D and fetal
128 serotonin in mother-infant pairs collected from term uncomplicated pregnancies, and b) to
129 investigate the mechanism by which maternal vitamin D may impact on fetal serotonin
130 release using an *in vitro* model of cultured primary human umbilical vein endothelial cells

131 (HUVECs).

132

133 **Materials and Methods**

134 *Study Participants-Mother-Infant Pairs*

135 We studied 64 mother-infant pairs from uncomplicated term pregnancies undergoing elective
136 caesarean birth at Monash Medical Centre, Clayton and the Mercy Hospital for Women,
137 Heidelberg, both in Victoria, Australia. Pregnancies from assisted reproductive technology,
138 multiple gestations, or with a history of pregnancy complications (including preeclampsia,
139 preterm birth, fetal growth restriction, high body mass-index (BMI>30), diabetes mellitus,
140 hypertension, abnormal heart function, intrahepatic cholestasis of pregnancy, and moderate or
141 severe anemia), smoking, alcohol consumption, chemical dependency (including use of
142 selective serotonin reuptake inhibitors), stillbirth, or congenital abnormalities were excluded
143 from the study. Maternal and infant information (maternal age, parity, maternal country of
144 birth, baby gender, gestation and birth weight) were collected. Matching maternal and
145 umbilical cord blood was obtained at the time of caesarean section for the subsequent
146 measurement of serum 25(OH)D and serotonin. All women gave written and informed
147 consent and with the approval of the Monash Health and Mercy Hospital Human Research
148 Ethics Committees.

149 *In vitro studies*

150 Human umbilical vein endothelial cells (HUVECs) were freshly isolated from uncomplicated
151 term pregnancies (n=12) and cultured as previously described³⁴. Briefly, cells were cultured
152 and maintained in M199 tissue culture medium (Thermo Fisher Scientific, Waltham, MA,
153 USA) with 10% fetal bovine serum supplemented with 2 mM L-glutamine, 100 U/mL
154 penicillin, 100 μ g/mL streptomycin and maintained in 5% CO₂. HUVECs were treated with
155 1,25(OH)₂D₃ (Calcitriol, Tocris Bioscience, Bristol, UK) prepared in 0.01% ethanol or
156 vehicle control (0.01% ethanol as control) for 48h. At the end of the incubation period the
157 conditioned media was collected for the measurement of serotonin. To silence *VDR*
158 expression, cultured HUVECs were transfected with two independent Ambion® *VDR*
159 siRNAs, referred to as si1 and si2 (Thermo Fisher Scientific, Waltham, MA, USA), using
160 RNAiFect transfection reagent (Qiagen, Hilden, Germany) as described previously³⁵.
161 HUVECs were transfected with 100nM of siRNA for 48h. **Non-targeting siRNA (Santa Cruz**
162 **Biotechnology Inc., Santa Cruz, CA, USA) served as a negative control (NC) for transfection**
163 **experiments, whilst cells treated with transfection reagent only was used as a technical**

164 control (Mock control, MC). The effect of various concentrations of 1,25(OH)₂D₃ including
165 2.5nM, 5nM, 10nM and 20nM treatment of HUVEC on cell viability over 24h in culture was
166 determined using a colorimetric CellTitre 96® MTT (3-(4, 5-dimethylthiazolyl-2)-2,5-
167 diphenyl tetrazolium bromide, Promega, Australia) assay as previously described (Murthi et
168 al., 2016)³⁶, and the absorbance was read at 570nm on a Spectramax L Luminescence plate
169 reader (Molecular Devices, Australia).

170

171 *Measurement of 25(OH)D and Serotonin*

172 Serum concentrations of 25(OH)D (nmol/L) were measured using the DiaSorin 25(OH)D
173 immunoassay on a Liaison analyser (Stillwater, MN). The intra-assay coefficient of variation
174 (CV) was 9%. Serotonin, also referred to as 5-hydroxy tryptophan (5HT, nmol/L), was
175 measured in maternal, cord sera and in the cultured media of the HUVEC cells using an
176 enzyme-linked immunosorbent 5HT assay (ENZO Life Sciences, New York, USA). The
177 intra-assay CV was 5.2%.

178

179 *Real-time PCR*

180 Total RNA from cultured HUVECs was extracted using the RNeasy Minikit as previously
181 described³⁷. cDNA was prepared from 200ng total RNA reverse-transcribed using Superscript
182 III ribonuclease H-reverse transcriptase (Invitrogen, Australia) in a two-step reaction also as
183 previously described^{37, 38}. *VDR* and *TPH1* mRNA expression was determined using validated
184 assays that consisted of a TaqMan® FAM™ labelled MGB probes (Thermo Fisher Scientific,
185 USA) on an ABI Prism 7500 (Thermo Fisher Scientific, USA). Gene expression quantitation
186 was performed as the second step in a two-step Reverse Transcriptase-Polymerase Chain
187 Reaction (RT-PCR) protocol according to the manufacturer's instructions. Gene expression
188 quantitation for the housekeeping gene *18S* rRNA (VIC-labelled probe, Thermo Fisher
189 Scientific, USA) was performed in the same reaction as described previously³⁷⁻⁴⁰ and the
190 gene expression differences was calculated according to the 2^{-ΔΔCT} method⁴¹.

191

192 *Immunoblotting*

193 Total cellular protein was extracted as previously described³⁷. Immunoblotting was
194 performed with 25 μg of total protein using a 10% SDS/PAGE and electroblotting onto a
195 nitrocellulose membrane (Pal Gelman, Australia). The membrane was blocked with 5% (w/v)
196 skim milk for one hour at room temperature, and followed by an overnight incubation in
197 0.05μg/μL rabbit anti-human polyclonal VDR (Santa Cruz Biotechnology, USA) at 4°C.

198 Antibody binding was visualised using horseradish peroxidase-conjugated goat anti-rabbit
199 secondary antibody (0.02µg/µL, Invitrogen/LifeTechnologies, Australia), followed by
200 autoradiography using the ECL-Western Chemiluminescence Detection Kit (GE Healthcare,
201 Australia). Immunoreactive VDR protein by scanning densitometry using loading control
202 GAPDH (ImageQuant, GE Healthcare, Australia) as described previously³⁷.

203

204 ***Statistical analysis***

205 All statistical analyses were undertaken using StataCorp. 2011. *Stata Statistical Software:
206 Release 12*. College Station, TX: StataCorp LP. A p-value<0.05 (two-tailed) was considered
207 statistically significant.

208

209 ***Mother-Infant Pairs***

210 Continuous variables were assessed for normality. Descriptive statistics of the mother-infant
211 pairs were tabulated. Spearman correlations between 25(OH)D, serotonin and maternal and
212 baby characteristics were performed to identify potential confounders. The association
213 between maternal 25(OH)D, cord 25(OH)D and cord serotonin was undertaken using linear
214 regression before and after adjusting for maternal serotonin levels. Qnorm plots of the
215 residuals were used to assess that linear regression model assumptions were met.

216

217 ***In vitro Studies***

218 Serotonin concentrations were assessed for normality. Cell-viability and VDR mRNA
219 expression following treatment of HUVEC with various concentrations of 1,25(OH)₂D₃
220 compared with vehicle control (0.01% ethanol) was determined using one-way ANOVA. The
221 difference in serotonin concentration before and after treatment with 10nM of 1,25(OH)₂D₃,
222 in the VDR/NC/MC inactivated was determined using a two-way ANOVA. Statistically
223 significant interaction between the cell types and treatment groups were determined using a
224 simple main effects analysis. Pairwise comparison with SIDAK adjustment for multiple
225 comparisons was performed. All *in vitro* experiments were performed on 6 to 12 different
226 occasions as independent experiments, with at least triplicate conditions for each treatment.

227

228 **Results**

229 Table 1 shows the characteristics of 64 mother-infant pairs. The majority of women were
230 parous and Australian born. Elective cesarean was performed due to previous history of
231 cesarean births. The median maternal and cord 25(OH)D concentrations at birth were

232 63nmol/L (IQR 50.4-78.9) and 74 nmol/L (47.2-108), respectively. The median maternal and
233 cord serotonin concentrations at birth were 96ng/mL (46-202) and 41ng/mL (9-70),
234 respectively. The scatterplots and correlations between maternal 25(OH)D and serotonin (5-
235 HT) and cord 25(OH)D and 5-HT concentrations are shown in Figure 1. Maternal 25(OH)D
236 concentrations were positively correlated with cord 25(OH)D concentrations (Panel A,
237 $\rho=0.55$, $p<0.001$). Maternal 5-HT concentrations were positively correlated with cord 5-
238 HT concentrations (Panel B, $\rho=0.52$, $p<0.001$). Maternal ($\rho=-0.24$, $p=0.06$) and cord
239 25(OH)D concentrations ($\rho=-0.37$, $p=0.03$) were both negatively correlated with cord
240 serotonin concentrations (Panels C and D). There were no significant associations between
241 maternal age, parity, ethnicity, smoking status, gender of baby, birth weight and gestation and
242 cord serotonin concentrations.

243

244 The associations between maternal and cord 25(OH)D and cord 5-HT concentrations before
245 and after adjusting for maternal serotonin levels are presented in Table 2. After adjustment
246 for maternal 5-HT level, both maternal 25(OH)D and cord 25(OH)D concentrations remained
247 negatively associated with cord serotonin concentrations. For every 1nmol/L increase in
248 maternal 25(OH)D concentration there was an associated 0.35 nmol/L (95% CI -0.64,-0.08;
249 $p=0.01$) decrease in cord 5-HT concentrations. For every 1nmol/L increase in cord 25(OH)D
250 concentration there was an associated 0.37 nmol/L (95% CI -0.61,-0.13; $p=0.003$) decrease
251 in cord 5-HT concentrations.

252 In order to assess whether 1,25(OH)₂D₃ could directly alter the production of 5-HT in
253 cultured HUVEC, we examined effects of 1,25(OH)₂D₃ and VDR silencing on 5-HT
254 secretion. Firstly, the effect of various concentrations ranging from 2.5nM to 20nM of
255 1,25(OH)₂D₃ on HUVEC cell viability was determined. As shown in Figure 2A, a
256 significantly increased HUVEC cell viability was observed following treatment of cultured
257 HUVEC with 10nM 1,25(OH)₂D₃ compared with untreated control ($F(4, 20) = 32.52$)
258 $p<0.001$, $n=6$). Furthermore, in the same experiments, a significant increase in VDR mRNA
259 was observed in HUVEC when treated at 10nM 1,25(OH)₂D₃ compared with untreated
260 control ($F(4, 20) = 39.26$) $p<0.001$, $n=6$, Figure 2B). Therefore, all subsequent experiments
261 were performed with 10nM 1,25(OH)₂D₃. 5-HT concentrations in the conditioned media of
262 HUVEC cells were measured following treatment with 10 nM of 1,25(OH)₂D₃ or vehicle
263 control (control) alone over 48h in culture. 5-HT concentrations were significantly decreased
264 in 1,25(OH)₂D₃-treated HUVECs compared with control (203.30 ± 16.42 nmol/L, $t=10.81$,

265 df=5, 1,25(OH)₂D₃-treated cells vs. 458.9 0 ± 42.47 nmol/L, t=12/38, df=5, control,
266 p<0.001, n=6, Figure 2C).

267

268 Having shown that 1,25(OH)₂D₃ suppresses 5-HT, we investigated whether a *VDR* mediated
269 molecular mechanism modulates 5-HT in HUVEC cells. As depicted in Figure 3, following
270 inactivation with *VDR*si1 and *VDR*si2 demonstrated significant decreases of *VDR* at both the
271 mRNA and protein levels over 48h in HUVEC cells. *VDR* mRNA was significantly reduced
272 in *VDR* siRNA treated-cells compared with controls (Figure 3A). The mean difference in
273 *VDR* mRNA compared with NC was 0.62 ± 0.033; p<0.001 for the *VDR*si1 treated cells;
274 0.73 ± 0.051, p< 0.001 for the *VDR*si2 transfected cells. The decreases in *VDR* mRNA were
275 then further confirmed at the protein level using immunoblotting (Figure 3B). Significant
276 decrease of *VDR* protein was observed in *VDR* siRNA treated cells compared to control
277 siRNA-transfected cells. The mean difference in *VDR* protein compared with NC was 3098.0
278 ± 390.7, p<0.005 for *VDR*si1 treated cells; and 3412.0 ± 390.7, *VDR*si2 treated cells,
279 p<0.005, Figure 3C). As both si1 and si2 showed consistent knockdown at the mRNA and
280 protein levels, all subsequent analyses were performed with si2.

281

282 To demonstrate the effect of *VDR*-dependent mechanism on 5-HT concentrations, firstly, the
283 5-HT concentrations released into the conditioned media by cultured HUVEC cells following
284 treatment with either *VDR*si2 or NC siRNA over 48h were measured. As illustrated in Figure
285 4A, the mean concentrations of 5-HT in the control NC cells were 470±101 nmol/L
286 compared with 965±139 nmol/L in the *VDR*si2 inactivated cells (p=0.002). Secondly, in the
287 same experimental setup, following *VDR*si2 transfection in the cultured HUVECs, the
288 difference in 5-HT release was measured upon further treatment with 10nM of 1,25(OH)₂D₃
289 in MC, NC and *VDR*si2 treated cells. Statistical differences were determined using a two-way
290 ANOVA. We identified a statistically significant interaction between the cell types and
291 treatment with 10nM of 1,25(OH)₂D₃ (F=25.8; p<0.001, Figure 4A). The effect of *VDR*si2
292 transfection and treatment with 10nM of 1,25(OH)₂D₃ on 5-HT concentration was
293 determined using a simple main effects analysis. Treatment of cells with 1,25(OH)₂D₃
294 influenced 5-HT in all cell types (F(1,66) = 70.8; p<0.001 for the MC cells; F(1,66) = 9.7;
295 p=0.003) for the NC cells; and F(1,66) = 176.1; p<0.001 for the *VDR*si2 transfected cells).
296 Pairwise comparison with SIDAK adjustment for multiple comparisons was performed. The
297 mean difference in 5-HT was -296 ± 35.14; p<0.001 in the MC cells; -109.3 ± 35.14; p=0.003
298 for the NC cells; and -466.3 ± 35.15; p<0.001 for the *VDR*si2 transfected cells.

299

300 Furthermore, in the same experiment, the mRNA expression of the key enzyme, tryptophan
301 hydroxylase 1 (*TPHI*), which is important for 5-HT production in HUVECs, was measured
302 (Figure 4B). We identified a significant interaction between cell group and treatment with
303 10nM of 1,25(OH)₂D₃ (F=137.8;p<0.001). The effect of *VDR*si2 transfection and treatment
304 with 10nM of 1,25(OH)₂D₃ on *TPHI* mRNA was determined using a simple main effects
305 analysis. Treatment with 1,25(OH)₂D₃ influenced *TPHI* mRNA levels in the *VDR*si2
306 (F(1,20)=14.1; p<0.001) cells but not the control NC cells F(1,20)=0.9;p=0.36). Pairwise
307 comparison with SIDAK adjustment for multiple comparisons was performed. The mean
308 differences in *TPHI* mRNA for the *VDR*si2 cells was 2.2 ± 0.18; p<0.001.

309

310 Discussion

311 Here we show that both maternal and fetal vitamin D levels are inversely correlated with fetal
312 serotonin levels. We also show that 1,25(OH)₂D₃ treatment suppresses serotonin secretion
313 from umbilical vein endothelial cells and that silencing of VDR in those cells increases
314 serotonin secretion. That 1,25(OH)₂D₃ treatment dose-dependently increased VDR mRNA
315 in HUVEC cells suggests a VDR-dependent mechanism on serotonin concentration. We
316 believe that these observations provide a biologically plausible mechanism to explain how
317 maternal vitamin D deficiency might increase fetal serotonin levels and thereby impair fetal
318 and early childhood neurodevelopment. This is of course eminently testable through a
319 randomized clinical trial of maternal vitamin D supplementation.

320

321 Animal model studies have demonstrated that prenatal vitamin D-deficiency leads to
322 alterations in brain morphology and genes related to neuronal survival and dopamine
323 synthesis in the offspring^{42, 43}. Many observational studies have linked maternal vitamin D
324 deficiency with impaired neurodevelopmental outcomes in the offspring, in quite diverse
325 social settings including both high and low income countries^{17, 44}. However, a plausible
326 biological mechanism to explain why vitamin D deficiency might impair human fetal brain
327 development has been lacking. The lack of such a mechanism has weakened calls for both
328 routine supplementation and confirmatory large-scale, and expensive, randomized clinical
329 trials. That changed with the recent suggestion by Patrick and colleagues²⁵ that vitamin D
330 regulation of serotonin may be such a mechanism.

331

332 Serotonin acts as a developmental signal in the immature brain, prior to the time it assumes
333 its role as a neurotransmitter⁴⁵. Using *in vitro* and *in-vivo* animal models serotonin has been
334 shown to influence a variety of processes during brain development, including inhibition of
335 outgrowth of its own neurons and neurogenesis in target neurons⁴⁶. The serotonin system of
336 the central nervous system modulates numerous physiologic functions including regulation of
337 the stress response and behavioral traits, such as aggression, fear, and anxiety²³. Accordingly,
338 dysregulation of serotonergic neurotransmission is implicated as a contributing cause of
339 several psychiatric disorders including attention-deficit hyperactivity disorder, autism,
340 bipolar disorder, depression, and schizophrenia and in antisocial, obsessive-compulsive, and
341 suicidal behaviors²⁶.

342

343 Although there is no direct evidence to suggest that serotonin in the blood reflects the levels
344 in the human brain, animal model studies provide evidence for the direct regulation of brain
345 serotonin via the serotonin transporter. For example, Nakatani et al., (2008) have reported
346 that augmented brain serotonin crosses the blood-brain barrier through the serotonin
347 transporter in the rat⁴⁷. On the contrary Lee et al. (2007)⁴⁸, using a mouse model, reported
348 that circulating serotonin and brain serotonin are separately regulated. Therefore, it is
349 important to understand the mechanisms by which the brain serotonin level is regulated.

350

351 We believe that there are two possible biological mechanisms by which Vitamin D may have
352 a direct or indirect effect on serotonin synthesis. Firstly, vitamin D may differentially regulate
353 the transcription of *TPH1* or *TPH2* by binding to the vitamin D receptor response element,
354 *VDRE*¹⁹. As demonstrated in this study *TPH1* mRNA was significantly increased in HUVEC
355 cells following VDR inactivation compared with HUVECs treated in combination with
356 1,25(OH)₂D₃ treated cells. Therefore, it is possible that elevated expression of *TPH1* as a
357 consequence of low vitamin D levels may cause changes in *TPH1* activity to act as a
358 tryptophan trap, thus causing an imbalance in tryptophan catabolism in the placenta resulting
359 in an excess of serotonin and a relative deficiency of kynurenine²⁵. Thus, an excess of
360 serotonin leads to an autoimmune response attacking the fetal brain, causing an imbalance
361 toward inflammation and autoimmunity^{18, 19}. However, this deserves further investigation.

362

363 Secondly, disruption of serotonin signaling pathways including administration of certain
364 direct or indirect serotonin agonists, combinations of serotonin enhancing drugs such as 5-HT
365 precursors, 5HTP (tryptophan/tryptamine); use of inhibitors of monoamine oxidase (MAO-

366 A/B-I); releasers of serotonin (para-chloroamphetamine); direct serotonin receptor agonists;
367 selective serotonin uptake inhibitors (SSRI); genetic ablation of serotonin synthesising
368 enzyme, TPH; serotonin receptors, have all shown to cause neuropathology in experimental
369 animal models⁴⁶.

370

371 *Limitations*

372 Our study has a number of limitations. Regarding the samples used in this study, firstly, the
373 cross-sectional design does not allow causation to be shown, however, the *in vitro* studies
374 suggest a possible temporal association. Secondly, all our samples were collected from
375 women at term Caesarean section prohibiting the ability to comment on associations earlier in
376 gestation or the affect Caesarean delivery may have on circulating serotonin levels. Schulpis
377 et al. (2008)⁴⁹ have reported that maternal serotonin was unaltered at the time of labour in
378 vaginal delivery, however, in post-delivery maternal blood and in the cord blood, an increase
379 in serotonin was associated with the activation of the neuroendocrine system and the
380 participation of skeletal and uterine muscles. Therefore, effects of the mode of delivery on
381 maternal and cord blood serotonin in our study are unknown. Thirdly, we have excluded
382 complicated pregnancies and therefore potentially excluded those with the lowest vitamin D
383 levels, thus our study may have introduced sampling bias, however, such bias would only
384 underestimate rather than over-estimate the true association between maternal vitamin D and
385 fetal serotonin.

386

387 Regarding the *in vitro* model system, HUVECs were used to model fetal endothelium and to
388 study the effect of maternal vitamin D deficiency on fetal development. These cells are
389 commonly used to study changes in function that are apparent at birth as they are the first
390 point of exposure of fetal endothelial cells to the blood after placental exchange between
391 maternal and fetal circulations. Future studies, using neuronal cells (astrocytes), are required
392 to study the direct effect of vitamin D and serotonin on neurocognitive deficits. Finally,
393 whether the infants and children from the pregnancies included in this study displayed normal
394 neurocognitive development is unknown.

395

396 *Conclusions*

397 In this study, we report for the first time that low maternal vitamin D is associated with
398 increased fetal serotonin. Our *in vitro* studies have demonstrated that treatment of HUVECs
399 with 1,25(OH)₂D₃ suppressed serotonin and silencing of *VDR* increased serotonin, possibly

400 by *VDRE* activation of *TPH* gene transcription. While our study has shown a possible
401 temporal association in term uncomplicated pregnancies, we have not investigated the
402 neurocognitive outcomes in infants included in this study. Future longitudinal study
403 addressing these limitations is now therefore warranted.

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520
521

522 **Table 1. Variables in 64 mother-infant pairs.**

	N=64
Maternal age	33.20 (4.5) ¹
Parous	48 (76%) ³
Country of birth	
Australian	44 (69%) ³
Asian	16 (25%) ³
Other	4 (6%) ³
Maternal vitamin D	63 (50.4-78.9) ^{2*}
Maternal serotonin	544.80 (261.05-1146.35) ^{2*}

Cord vitamin D	74 (47.2-108) ^{2*}
Cord serotonin	230.97 (51.07-397.25) ^{2*}
Gestation at birth	38.60 (1.00) ³
Infant gender-Female	31 (48%)
Infant birth weight	3349.00 (422.00) ¹

523 ¹Mean (SD)

524 ²Median (IQR)

525 ³Number (%)

526 * nmol/L

527

528 **Table 2. Associations between maternal and cord 25(OH)D and cord 5-HT**

529 **concentrations before and after adjusting for maternal serotonin (5-HT) concentrations.**

	Univariate β co-efficient (95% CI)	P Value	Multivariate β co-efficient (95% CI)	P Value
Maternal 25(OH)D	-1.98 (-3.74, -1.92)	0.03	-1.98 (-3.63, -0.45)	0.01
Cord 25(OH)D	-0.91 (-3.74, 0.73)	0.004	-2.09 (-3.46, -0.73)	0.003

530 Multivariate linear regression with maternal serotonin in the model.

531

532 **Figure Legends**

533 **Figure 1.**

534 Vitamin D (25(OH)D, nmol/L) and serotonin (5-HT, nmol/L) concentrations were measured
535 in maternal and cord serum from mother-infant matching pairs (n=64) using immunoassays.
536 The scatterplots and correlations between maternal 25(OH)D and 5-HT and cord 25(OH)D
537 and 5-HT are shown in Panels A-D. The association between maternal 25(OH)D, cord
538 25(OH)D and cord 5-HT was undertaken using linear regression before and after adjusting
539 for maternal 5-HT levels. A p-value<0.05 (two-tailed) was considered statistically
540 significant.

541

542 **Figure 2.**

543 The effect of various concentrations ranging from 2.5nM to 20nM of 1,25(OH)₂D₃ or vehicle
544 control alone on **A.** HUVEC cell viability was measured using the MTT assay and the
545 absorbance read at 570nm. **B.** VDR mRNA relative to 18S rRNA as measured by real-time
546 PCR as described in the methods section. Significant differences in cell viability or VDR

547 mRNA expression following treatment with various concentrations of 1,25(OH)₂D₃ or
548 vehicle control was determined by one-way ANOVA. **C.** Serotonin (5-HT) concentration
549 (nmol/L) in the conditioned media of HUVEC cells was measured over 48h in culture
550 following treatment with 10nM of 1,25(OH)₂D₃ or vehicle control alone. The difference in 5-
551 HT concentration between untreated and 1,25(OH)₂D₃-treated cells was determined using a
552 paired t-test. A p-value<0.05 (two-tailed) was considered statistically significant.

553

554 **Figure 3.**

555 **A.** Cultured HUVEC were treated with *VDR* siRNAs, si1 and si2 for 48h. *VDR* mRNA
556 relative to *18S rRNA* was determined using real-time PCR. Significant decrease was observed
557 in both si1 and si2 treated HUVEC cells compared with *NC* (p<0.05, n=6). **B.** Cultured
558 HUVECs were treated with *VDR* siRNAs, si1 and si2 for 48h. *VDR* immunoreactive protein
559 (51 kDa) and loading control GAPDH (36 kDa) was determined using Western
560 immunoblotting. **C.** Semi-quantitative analyses of *VDR* immunoreactive protein relative to
561 GAPDH showed a significant decrease in both si1 and si2 treated HUVEC cells compared
562 with *NC* (p<0.05, n=6).

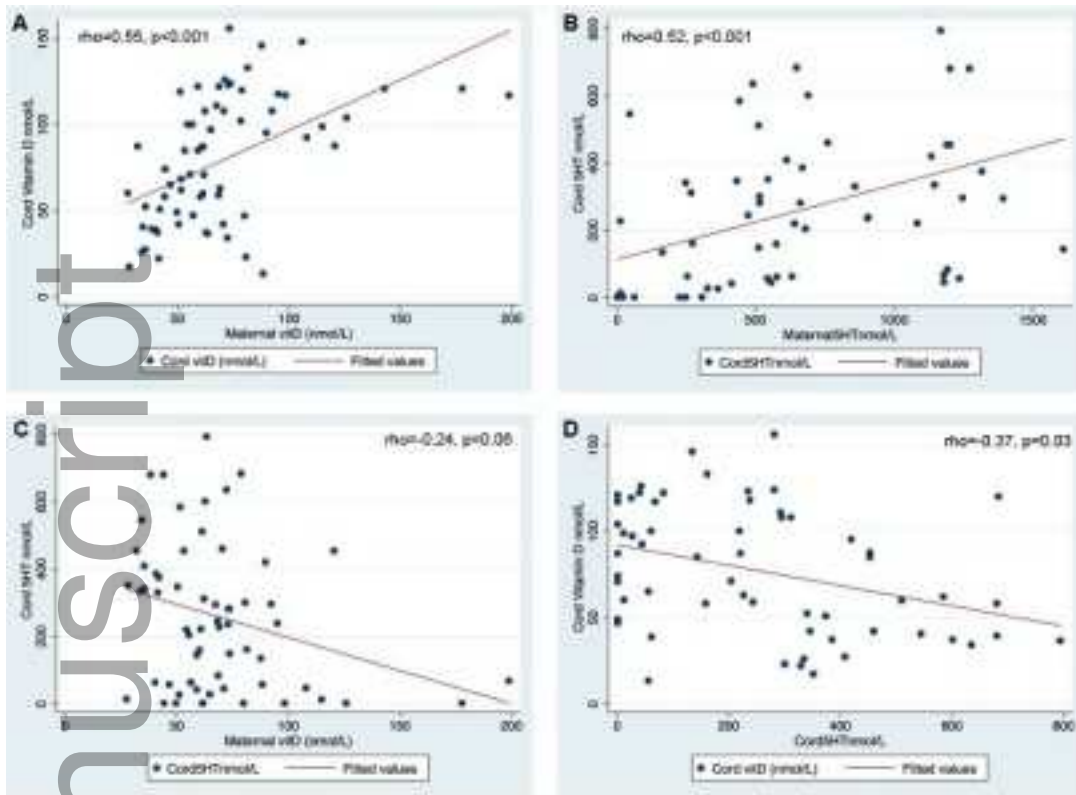
563

564 **Figure 4.**

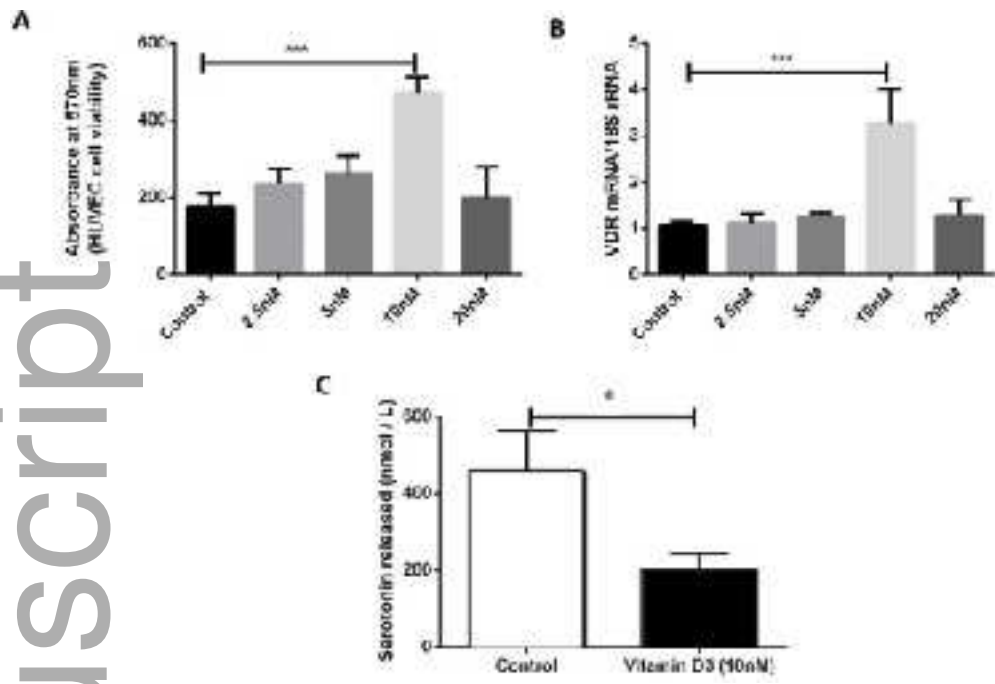
565 **A.** Serotonin concentrations (5-HT, nmol/L) were measured in conditioned media from
566 cultured HUVEC when treated with *VDR* siRNA (si2) and non-targeted siRNA (*NC*).
567 Serotonin, 5-HT concentrations were assessed for normality. Following *VDR* inactivation, the
568 difference in 5-HT concentration in the presence of 1,25(OH)₂D₃ in *VDR* inactivated cells or
569 in *NC* treated cells was determined using a two-way ANOVA. Further statistical analyses for
570 interaction between the cell types and treatment with 10nM of 1,25(OH)₂D₃ on 5-HT
571 concentration was determined by using a simple main effects analysis. Pairwise comparison
572 with SIDAK adjustment for multiple comparisons was performed. A p-value<0.05 was
573 considered statistically significant for n=12 independent experiments. **B.** Tryptophan
574 hydroxylase 1 (*TPHI*) mRNA was measured in cultured HUVEC when treated with *VDR*
575 siRNA (si2) and non-targeted siRNA (*NC*). The difference in *TPHI* mRNA between *NC* and
576 *VDR* inactivated cells was determined using a paired t-test. A p-value <0.05 (two-tailed) was
577 considered statistically significant for n=6 independent experiments. Following *VDR*
578 inactivation, the difference in *TPHI* mRNA in the presence of 1,25(OH)₂D₃ in *VDR*
579 inactivated cells or in *NC* treated cells was determined using a two-way ANOVA. Further
580 statistical analyses for interaction between the cell types and treatment with 10nM of

581 1,25(OH)₂D₃ on *TPH1* mRNA was determined by using a simple main effects analysis.
582 Pairwise comparison with SIDAK adjustment for multiple comparisons was performed. A p-
583 value <0.05 was considered statistically significant for n=6 independent experiments.

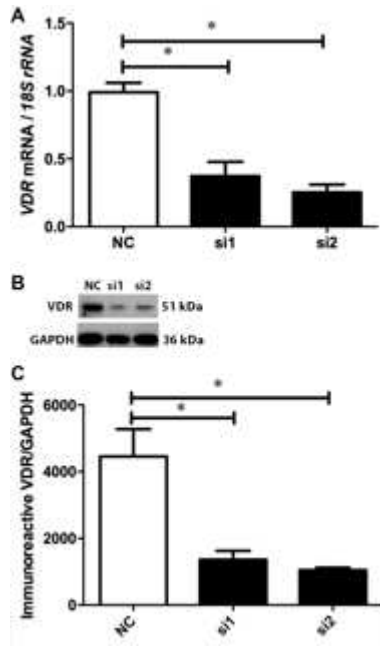
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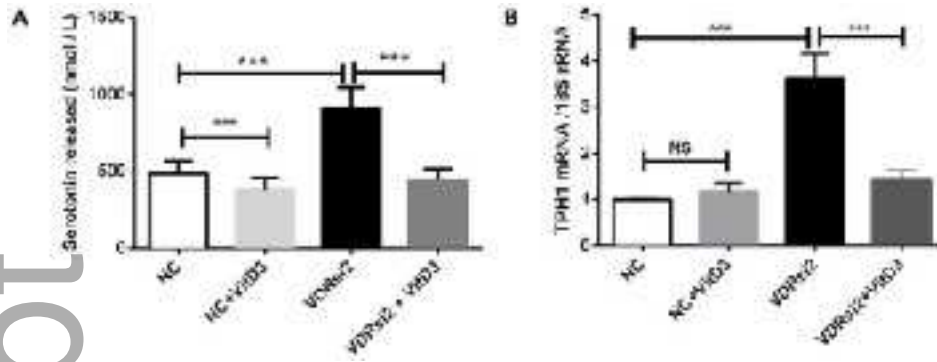
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