

Topological recursion for irregular spectral curves

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ABSTRACT

We study topological recursion on the irregular spectral curve $xy^2 - xy + 1 = 0$, which produces a weighted count of dessins d'enfant. This analysis is then applied to topological recursion on the spectral curve $xy^2 = 1$, which takes the place of the Airy curve $x = y^2$ to describe asymptotic behaviour of enumerative problems associated to irregular spectral curves. In particular, we calculate all one-point invariants of the spectral curve $xy^2 = 1$ via a new three-term recursion for the number of dessins d'enfant with one face.

1. Introduction

Topological recursion developed by Chekhov, Eynard, and Orantin produces invariants of a Riemann surface C equipped with two meromorphic functions $x, y : C \rightarrow \mathbb{C}$ and a bidifferential $B(p_1, p_2)$ for $p_1, p_2 \in C$ [3, 13]. We require the zeros of dx to be simple and refer to the data (C, B, x, y) as a *spectral curve*. For integers $g \geq 0$ and $n \geq 1$, the invariant ω_n^g is a meromorphic symmetric multilinear differential on C^n . In this paper, all spectral curves will have underlying Riemann surface \mathbb{CP}^1 and bidifferential $B = \frac{dz_1 \otimes dz_2}{(z_1 - z_2)^2}$. In that case, we may specify the spectral curve parametrically via the meromorphic functions $x(z)$ and $y(z)$. We call a spectral curve *regular* if it is non-singular at the zeros of dx — for example, if the curve is non-singular. See Section 2 for precise definitions.

The invariants ω_n^g of the *Airy curve* $x = y^2$ are (total derivatives of) the following generating functions for intersection numbers of Chern classes of the tautological line bundles \mathcal{L}_i on the moduli space of stable curves $\overline{\mathcal{M}}_{g,n}$ [14].

$$K_{g,n}(z_1, \dots, z_n) = \frac{1}{2^{2g-2+n}} \sum_{|\mathbf{d}|=3g-3+n} \int_{\overline{\mathcal{M}}_{g,n}} c_1(\mathcal{L}_1)^{d_1} \cdots c_1(\mathcal{L}_n)^{d_n} \prod_{i=1}^n \frac{(2d_i - 1)!!}{z_i^{2d_i+1}} \quad (1.1)$$

A regular spectral curve locally resembles the Airy curve $x = y^2$ near zeros of dx , which are assumed to be simple. This leads to universality in the behaviour of topological recursion on regular spectral curves — the invariants are related to intersection theory on $\overline{\mathcal{M}}_{g,n}$. Three progressively more refined statements of this relationship are as follows.

- (1) Eynard and Orantin [14] proved that the invariants ω_n^g behave asymptotically near a regular zero of dx like the invariants ω_n^g of the Airy curve $x = y^2$ at the origin. Hence, they store the intersection numbers appearing in equation (1.1).
- (2) Eynard [11, 12] pushed this further, proving that the lower order asymptotic terms of ω_n^g on a regular spectral curve also encode intersection numbers. These come in the form of explicit combinations of Hodge integrals on $\overline{\mathcal{M}}_{g,n}$ and a generalisation $\overline{\mathcal{M}}_{g,n}^a$, which he calls the moduli space of a -coloured stable curves.
- (3) For a special class of regular spectral curves, Dunin–Barkowski, Orantin, Shadrin and Spitz [10] extended the results of Eynard, by proving that the multidifferentials ω_n^g

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encode ancestor invariants in a cohomological field theory, which is fundamentally related to intersection theory on $\overline{\mathcal{M}}_{g,n}$.

In this paper, we consider irregular spectral curves that locally resemble the curve $xy^2 = 1$ near some zeros of dx . (In Section 2.1, we show that any other local irregular behaviour is ill-behaved.) For such curves, the local behaviour of the invariants ω_n^g is no longer determined by the intersection numbers of equation (1.1). An analogue of statement (1) above holds, although we do not currently have an analogue of equation (1.1) to relate the invariants of the spectral curve $xy^2 = 1$ to a moduli space. Instead, we consider a specific problem — the enumeration of dessins d'enfant — which is governed by an irregular spectral curve. We rely on this concrete example to shed light on the local behaviour of all irregular spectral curves. To achieve this, we first show that the enumeration of dessins d'enfant satisfies topological recursion on the irregular spectral curve $xy^2 - xy + 1 = 0$. We then prove a three-term recursion for its 1-point invariants, and use this to determine an exact formula for the 1-point invariants of the spectral curve $xy^2 = 1$.

A *dessin d'enfant* is a bicoloured graph embedded in a connected orientable surface, such that the complement is a union of disks. The term *bicoloured* means that the vertices are coloured black and white such that each edge is adjacent to one vertex of each colour. Consequently, the underlying graph of a dessin d'enfant is necessarily bipartite. One can interpret a dessin d'enfant as a branched cover $\pi : \Sigma \rightarrow \mathbb{P}^1$ unramified over $\mathbb{P}^1 - \{0, 1, \infty\}$, often referred to as a *Belyi map*. The bicoloured graph is given by $\pi^{-1}([0, 1]) \subset \Sigma$, with the points $\pi^{-1}(\{0\})$ representing black vertices and the points $\pi^{-1}(\{1\})$ representing white vertices.

Let $\mathcal{B}_{g,n}(\mu_1, \dots, \mu_n)$ be the set of all genus g Belyi maps $\pi : \Sigma \rightarrow \mathbb{P}^1$ with ramification divisor over ∞ given by $\pi^{-1}(\infty) = \mu_1 p_1 + \dots + \mu_n p_n$, where the points over ∞ are labelled p_1, \dots, p_n . Two Belyi maps $\pi_1 : \Sigma_1 \rightarrow \mathbb{P}^1$ and $\pi_2 : \Sigma_2 \rightarrow \mathbb{P}^1$ are isomorphic if there exists a homeomorphism $f : \Sigma_1 \rightarrow \Sigma_2$ that covers the identity on \mathbb{P}^1 and preserves the labelling over ∞ . Equivalently, one can interpret $\mathcal{B}_{g,n}(\mu_1, \dots, \mu_n)$ as the set of connected genus g dessins d'enfant with n labelled boundary components of lengths $2\mu_1, \dots, 2\mu_n$. By a boundary component of a dessin d'enfant, we mean a cycle in the underlying graph corresponding to the boundary of one of the labelled disks in Σ . We require an isomorphism between two dessins d'enfant to preserve the labelling on their boundary components.

DEFINITION 1.1. For any $\boldsymbol{\mu} = (\mu_1, \dots, \mu_n) \in \mathbb{Z}_+^n$, define

$$B_{g,n}(\mu_1, \dots, \mu_n) = \sum_{\Gamma \in \mathcal{B}_{g,n}(\boldsymbol{\mu})} \frac{1}{|\text{Aut } \Gamma|},$$

where $\text{Aut } \Gamma$ denotes the automorphism group of the dessin d'enfant Γ .

For integers $g \geq 0$ and $n \geq 1$, define the generating function

$$F_{g,n}(x_1, \dots, x_n) = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} B_{g,n}(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i}. \quad (1.2)$$

If we let $x = z + \frac{1}{z} + 2$ and define $x_i = x(z_i)$ for $i = 1, 2, \dots, n$, then we may observe that this generating function is well-behaved with respect to z_1, \dots, z_n . In particular, Theorem 1.2 below implies that $F_{g,n}(x_1, \dots, x_n)$ is a rational function of z_1, \dots, z_n for $2g - 2 + n > 0$, with poles only at $z_i = \pm 1$ of certain orders. The derivative $y = \frac{\partial}{\partial x} F_{0,1}(x)$ is also rational and together with x defines a plane curve known as the spectral curve. More precisely, Theorem 1.2 shows that the (total derivatives of) $F_{g,n}(x_1, \dots, x_n)$ satisfy topological recursion on the spectral

curve $xy^2 - xy + 1 = 0$, given parametrically by

$$x = z + \frac{1}{z} + 2 \quad \text{and} \quad y = \frac{z}{1+z}. \quad (1.3)$$

Furthermore, the topological recursion determines the generating functions $F_{g,n}(x_1, \dots, x_n)$ uniquely.

THEOREM 1.2. *For $2g - 2 + n > 0$, the multidifferential*

$$\Omega_{g,n}(z_1, \dots, z_n) = \frac{\partial}{\partial x_1} \cdots \frac{\partial}{\partial x_n} F_{g,n}(x_1, \dots, x_n) dx_1 \otimes \cdots \otimes dx_n \quad (1.4)$$

is the analytic expansion of the invariant ω_n^g of the spectral curve (1.3) at the point $x_1 = \cdots = x_n = \infty$.

From the universality property described above, the asymptotic behaviour of the generating function (1.2) near its pole at $(z_1, \dots, z_n) = (1, \dots, 1)$ is given by $4^{2g-2+n} K_{g,n}(z_1, \dots, z_n)$. More precisely, we have

$$F_{g,n}(x_1, \dots, x_n) = 2^{2g-2+n} \sum_{|\mathbf{d}|=3g-3+n} \int_{\mathcal{M}_{g,n}} c_1(\mathcal{L}_1)^{d_1} \cdots c_1(\mathcal{L}_n)^{d_n} \prod_{i=1}^n \frac{(2d_i - 1)!!}{(z_i - 1)^{2d_i}} + [\text{lower order poles}].$$

Note that the spectral curve given by equation (1.3) is irregular. The local behaviour near its poles $(z_1, \dots, z_n) = (\pm 1, \dots, \pm 1)$ is our main interest. One immediate consequence of irregularity is the novel feature that the genus 0 generating functions $F_{0,n}(x_1, \dots, x_n)$ are analytic at $(z_1, \dots, z_n) = (-1, \dots, -1)$. More generally, the orders of poles of $F_{g,n}(x_1, \dots, x_n)$ at $(z_1, \dots, z_n) = (-1, \dots, -1)$ are independent of n . This is in contrast to ω_n^g having poles of order $6g - 4 + 2n$, which is the case for most of the spectral curves that appear in the literature.

Properties of rational functions on the curve (1.3) yield a structure theorem for $B_{g,n}$ — see Theorem 3.12 — as well as explicit formulae. For example, we have

$$B_{0,n}(\mu_1, \dots, \mu_n) = \frac{2^{1-n}(n-1)!}{|\boldsymbol{\mu}|(|\boldsymbol{\mu}|+1)} \binom{|\boldsymbol{\mu}|+1}{n-1} \prod_{i=1}^n \binom{2\mu_i}{\mu_i}, \quad \text{where } |\boldsymbol{\mu}| = \sum_{i=1}^n \mu_i.$$

Another consequence of Theorem 1.2 is a general property of the invariants ω_n^g , known as the *dilaton equation*. For the spectral curve of interest, it implies that

$$B_{g,n+1}(1, \mu_1, \dots, \mu_n) - B_{g,n+1}(0, \mu_1, \dots, \mu_n) = \frac{1}{2}(|\boldsymbol{\mu}| + 2g - 2 + n) B_{g,n}(\mu_1, \dots, \mu_n),$$

where one can make sense of evaluation at $\mu_i = 0$ using the structure theorem for $B_{g,n}$. Moreover, we prove in Proposition 3.14 that for n positive, $B_{g,n+m}(\mu_1, \dots, \mu_n, 0, 0, \dots, 0)$ has a combinatorial meaning — it enumerates dessins d'enfant with m black vertices labelled.

The enumerative problem in this paper and the associated spectral curve given by equation (1.3) are closely related to others in the literature. Topological recursion on rational spectral curves with $x = \alpha + \gamma(z + \frac{1}{z})$ describe enumeration of discrete surfaces [14], which includes the special case of lattice points in moduli spaces of curves [23], a more refined version of dessin enumeration [1, 4, 19], and the Gromov–Witten invariants of \mathbb{P}^1 [10, 24]. However, note that each of these examples is governed by a regular spectral curve.

A *quantum curve* of a spectral curve $P(x, y) = 0$ is a Schrödinger-type equation $\widehat{P}(\widehat{x}, \widehat{y}) Z(x, \hbar) = 0$, where $\widehat{P}(\widehat{x}, \widehat{y})$ is a non-commutative quantisation of the spectral curve with $\widehat{x} = x$ and $\widehat{y} = \hbar \frac{\partial}{\partial x}$. This differential operator annihilates a wave function $Z(x, \hbar)$, which is a formal series in \hbar associated to the spectral curve. The path from the quantum curve to

the spectral curve is well-defined — in the semi-classical limit $\hbar \rightarrow 0$, the differential operator reduces to a multiplication operator that vanishes precisely on the spectral curve. On the other hand, constructing the quantum curve from the spectral curve is not canonical. The main issues lie in the construction of the wave function and the ambiguity in ordering the non-commuting operators \widehat{x} and \widehat{y} . One remedy for these issues is a conjectural construction of the wave function $Z(x, \hbar)$ from the invariants ω_n^g of the spectral curve, suggested for example by Gukov and Sułkowski [16]. We prove this conjecture for the spectral curve $xy^2 - xy + 1 = 0$.

Define the wave function as

$$Z(x, \hbar) = x^{-1/\hbar} \exp \left[\sum_{g=0}^{\infty} \sum_{n=1}^{\infty} \frac{\hbar^{2g-2+n}}{n!} F_{g,n}(x, x, \dots, x) \right].$$

THEOREM 1.3. *The quantum curve of $xy^2 - xy + 1 = 0$ is given by*

$$(\widehat{y}\widehat{x} - \widehat{y}\widehat{x} + 1) Z(x, \hbar) = 0.$$

Strictly speaking, to make sense of the action of a differential operator on a formal series in \hbar , it is necessary to know that all sums are finite. In Section 6, we give a more precise statement of Theorem 1.3, in terms of a differential operator annihilating the formal series $\overline{Z}(x, \hbar) = x^{1/\hbar} Z(x, \hbar) \in \mathbb{Q}[\hbar^{\pm 1}][[x^{-1}]]$.

One of the main purposes of the present paper is to understand the universality exhibited by the invariants associated to the irregular spectral curve

$$xy^2 = 1. \tag{1.5}$$

At irregular zeros of dx , this plays the role of the Airy curve at regular zeros of dx . We expect many results relating the Airy curve to invariants of spectral curves to have analogues in this setting. In particular, we expect the invariants of our curve to be related to a new moduli space. In Section 7, we apply topological recursion directly to the spectral curve given by equation (1.5). The resulting invariants are non-zero only for positive genus, much like enumeration of branched covers of a torus or volumes of spaces of holomorphic differentials.

Indirectly, we use the asymptotic behaviour of $F_{g,n}(x_1, \dots, x_n)$ defined in equation (1.2) near its pole $(z_1, \dots, z_n) = (-1, \dots, -1)$ to study the spectral curve (1.5). More generally, the type of enumerative problem governed by (1.5) necessarily has no contribution in genus 0. To make this idea clearer, consider for the moment the enumeration of non-bipartite fatgraphs with bipartite boundary components — in other words, boundary components of even lengths. For example, the square graph pictured below left is bipartite and may be considered the boundary of the non-bipartite genus 1 fatgraph pictured below right.



Define $\mathcal{NB}_g(\mu_1, \dots, \mu_n)$ to be the weighted count of connected genus g non-bipartite fatgraphs with labelled bipartite boundaries of lengths $2\mu_1, \dots, 2\mu_n$ and such that the vertices are required to have valency greater than or equal to two — see equation (3.4). The valency condition on the vertices reduces the growth in μ_i from exponential to polynomial. In particular, this invariant vanishes in genus zero since bipartite boundary components implies that the graph is bipartite for simple homological reasons. One can show that $\mathcal{NB}_g(\mu_1, \dots, \mu_n)$ is quasi-polynomial in μ_1, \dots, μ_n modulo 2. An interesting invariant is obtained by measuring its failure to be polynomial, which we do in the following way. Recall that if $p(\mu)$ is a quasi-polynomial modulo 2, then it has a natural decomposition $p(\mu) = p^+(\mu) + (-1)^\mu p^-(\mu)$, where $p^\pm(\mu)$ are

polynomials. For the analogous decomposition of a quasi-polynomial $p(\mu_1, \dots, \mu_n)$ in severable variables, it is the coefficient of $(-1)^{|\mu|}$ that interests us in the expression

$$p(\mu_1, \dots, \mu_n) = p^+(\mu_1, \dots, \mu_n) + (-1)^{|\mu|} p^-(\mu_1, \dots, \mu_n) + [\text{other terms}].$$

For example,

$$\begin{aligned} \mathcal{NB}_0(\mu_1, \dots, \mu_n) &= 0, \\ \mathcal{NB}_1(\mu_1) &= \frac{1}{8}\mu_1^2 - \frac{\epsilon(\mu_1)}{8}, \\ \mathcal{NB}_1(\mu_1, \mu_2) &= \frac{1}{16}(\mu_1^2 + \mu_2^2)(\mu_1^2 + \mu_2^2 - 2) - \frac{\epsilon(|\mu|)}{16}, \end{aligned}$$

where $\epsilon(\mu) = \frac{1}{2}[1 - (-1)^\mu]$. From these expressions, one can extract the non-polynomial parts $p_1^-(\mu_1) = \frac{1}{8}$ and $p_1^-(\mu_1, \mu_2) = \frac{1}{16}$, which determine the invariants ω_1^1 and ω_2^1 of the spectral curve $xy^2 = 1$. More generally, the top degree part of $p_g^-(\mu_1, \dots, \mu_n)$ is equivalent to the invariant ω_n^g of $xy^2 = 1$.

Returning to the enumeration of dessins d'enfant, consider the three-term recursion

$$n(n+1)B_{g,1}(n) = 2(2n-1)(n-1)B_{g,1}(n-1) + (n-1)^2(n-2)^2B_{g,1}(n-2),$$

which is proven in Section 4. We remark that it has a rather different character to the topological recursion of Theorem 1.2. In particular, it enables one to calculate $B_{g,1}$ recursively from $B_{h,1}$ for $h \leq g$, without requiring $B_{h,n}$ for $n \geq 2$. It is analogous to the three-term recursion for fatgraphs with one face of Harer and Zagier [17]. Our three-term recursion implies a recursion satisfied by the 1-point invariants of the spectral curve $xy^2 = 1$, which then leads to the following exact formula.

THEOREM 1.4. *The 1-point invariants of the spectral curve $xy^2 = 1$, given parametrically by $x(z) = z^2$ and $y(z) = \frac{1}{z}$, are*

$$\omega_1^g(z) = 2^{1-8g} \frac{(2g)!^3}{g!^4(2g-1)} z^{-2g} dz.$$

We would hope to recognise some type of intersection number in the formula of Theorem 1.4 analogous to the 1-point invariants of the Airy curve $x = y^2$, which are given by

$$\omega_1^g(z)_{\text{Airy}} = 2^{3-8g} \frac{(6g-3)!}{3^g g! (3g-2)!} z^{2-6g} dz = 2^{1-2g} (6g-3)!! \int_{\mathcal{M}_{g,1}} c_1(\mathcal{L}_1)^{3g-2} z^{2-6g} dz.$$

The spectral curve $xy^2 - xy + 1 = 0$ bears a clear resemblance to the regular spectral curve $y^2 - xy + 1 = 0$, which has been well-studied in the literature, since it arises from a matrix model with pure Gaussian potential. The invariants of the curve $y^2 - xy + 1 = 0$ take the form $\omega_n^g = \sum M_{g,n}(\mu_1, \dots, \mu_n) x_1^{-\mu_1} \dots x_n^{-\mu_n}$, where

$$M_{g,n}(\mu_1, \dots, \mu_n) = \sum_{\Gamma \in \mathcal{F}_{g,n}(\mu)} \frac{1}{|\text{Aut } \Gamma|}.$$

Here, $\mathcal{F}_{g,n}(\mu)$ denotes the set of connected genus g fatgraphs — graphs embedded in a connected orientable surface such that the complement is a union of disks — with n labelled boundary components of lengths μ_1, \dots, μ_n . Again, we require an isomorphism between two fatgraphs to preserve the labelling on their boundary components. Note that each bipartite fatgraph can be bicoloured in two distinct ways, thereby producing two dessins d'enfant, so we obtain

$$B_{g,n}(\mu_1, \dots, \mu_n) \leq 2M_{g,n}(2\mu_1, \dots, 2\mu_n).$$

In fact, equality occurs when $g = 0$, although no such explicit relation exists in higher genus.

One can obtain the spectral curves $y^2 - xy + 1 = 0$ and $xy^2 - xy + 1 = 0$ from the Stieltjes transforms

$$y = \int_{-2}^2 \frac{\rho(t)}{x-t} dt = \sum_{n=0}^{\infty} \frac{C_n}{x^{2n+1}} \quad \text{and} \quad y = \int_0^4 \frac{\lambda(t)}{x-t} dt = \sum_{n=0}^{\infty} \frac{C_n}{x^{n+1}}$$

of the probability densities

$$\rho(t) = \frac{1}{2\pi} \sqrt{4-t^2} \cdot \mathbb{1}_{[-2,2]} \quad \text{and} \quad \lambda(t) = \frac{1}{2\pi} \sqrt{\frac{4-t}{t}} \cdot \mathbb{1}_{[0,4]}.$$

These are known as the Wigner semicircle distribution and the Marchenko–Pastur distribution, respectively. It is elementary to show that the Catalan numbers $C_n = \frac{1}{n+1} \binom{2n}{n}$ arise as moments of these probability densities.

$$C_n = \int_{-2}^2 t^{2n} \rho(t) dt = \int_0^4 t^n \lambda(t) dt$$

Regular behaviour of spectral curves arise from so-called *soft edge* statistics, while the irregular behaviour of the spectral curve given by equation (1.3) arises from so-called *hard edge* statistics. These terms refer to the behaviour of the associated probability densities at the endpoints of the interval of support.

2. Topological recursion

Topological recursion takes as input a *spectral curve* (C, B, x, y) consisting of a compact Riemann surface C , a bidifferential B on C , and meromorphic functions $x, y : C \rightarrow \mathbb{C}$. We furthermore require that the zeros of dx are simple and disjoint from the zeros of dy [13]. A more general setup allows *local spectral curves*, in which C is an open subset of a compact Riemann surface. In this paper, we deal exclusively with the case when C is the Riemann sphere \mathbb{CP}^1 , with global rational parameter z , endowed with the bidifferential $B = \frac{dz_1 \otimes dz_2}{(z_1 - z_2)^2}$. The two main examples that we consider take the pair of meromorphic functions to be $(x, y) = (z + \frac{1}{z} + 2, \frac{z}{1+z})$ and $(x, y) = (z^2, \frac{1}{z})$. They are mild variants of the usual setup, since in both cases, dy has a pole at a zero of dx . Nevertheless, as we will see below, topological recursion is well-defined in this case and retains many of the desired properties, while losing some others.

For integers $g \geq 0$ and $n \geq 1$, topological recursion outputs meromorphic symmetric multilinear differentials $\omega_n^g(p_1, \dots, p_n)$ on C^n , where $p_i \in C$. When $2g - 2 + n > 0$, $\omega_n^g(p_1, \dots, p_n)$ is defined recursively in terms of local information around the poles of $\omega_{n'}^{g'}(p_1, \dots, p_{n'})$ for $2g' - 2 + n' < 2g - 2 + n$.

Since each zero α of dx is assumed to be simple, for any point $p \in C$ close to α , there is a unique point $\hat{p} \neq p$ close to α such that $x(\hat{p}) = x(p)$. The recursive definition of $\omega_n^g(p_1, \dots, p_n)$ uses only local information around zeros of dx and makes use of the well-defined map $p \mapsto \hat{p}$ there. The invariants are defined as follows, for $C = \mathbb{CP}^1$ and $B = \frac{dz_1 \otimes dz_2}{(z_1 - z_2)^2}$ with $z_i = z(p_i)$. Start with the base cases

$$\omega_1^0 = -y(z) dx(z) \quad \text{and} \quad \omega_2^0 = \frac{dz_1 \otimes dz_2}{(z_1 - z_2)^2}.$$

For $2g - 2 + n > 0$ and $S = \{2, \dots, n\}$, define

$$\omega_n^g(z_1, \mathbf{z}_S) = \sum_{\alpha} \text{Res}_{z=\alpha} K(z_1, z) \left[\omega_{n+1}^{g-1}(z, \hat{z}, \mathbf{z}_S) + \sum_{\substack{g_1+g_2=g \\ I \sqcup J=S}} \omega_{|I|+1}^{g_1}(z, \mathbf{z}_I) \omega_{|J|+1}^{g_2}(\hat{z}, \mathbf{z}_J) \right], \quad (2.1)$$

where the outer summation is over the zeros α of dx and the \circ over the inner summation means that we exclude terms that involve ω_1^0 . We define K by the following formula

$$K(z_1, z) = \frac{-\int_{\hat{z}}^z \omega_2^0(z_1, z')}{2[y(z) - y(\hat{z})] dx(z)} = \frac{1}{2[y(\hat{z}) - y(z)] x'(z)} \left(\frac{1}{z - z_1} - \frac{1}{\hat{z} - z_1} \right) \frac{dz_1}{dz},$$

which is well-defined in the vicinity of each zero of dx . Note that the quotient of a differential by the differential $dx(z)$ is a meromorphic function. The recursion is well-defined even when y has poles at the zeros α of dx . It does not use all of the information in the pair (x, y) , but depends only on the meromorphic differential $y dx$ and the local involutions $p \mapsto \hat{p}$. For $2g - 2 + n > 0$, the multidifferential ω_n^g is symmetric, with poles only at the zeros of dx and vanishing residues.

In the case $(x, y) = (z^2, \frac{1}{z})$, the differential $y dx = 2 dz$ is analytic and non-vanishing at the zero $z = 0$ of dx . This leads to the vanishing of its genus zero invariants, since the kernel $K(z_1, z)$ has no pole at $z = 0$ and by induction, ω_n^0 has no pole at $z = 0$. Interesting invariants arise via ω_1^1 , since a pole at $z = 0$ occurs in the expression $\omega_2^0(z, \hat{z}) = \frac{dz \otimes d\hat{z}}{(z - \hat{z})^2}$. Non-triviality of ω_1^1 leads to non-triviality of ω_n^g for all $g \geq 1$.

For $2g - 2 + n > 0$, the invariants ω_n^g of regular spectral curves satisfy the following *string equations* for $m = 0, 1$ [13].

$$\sum_{\alpha} \text{Res}_{z=\alpha} x^m y \omega_{n+1}^g(z, z_S) = - \sum_{j=1}^n dz_j \frac{\partial}{\partial z_j} \left(\frac{x^m(z_j) \omega_n^g(z_S)}{dx(z_j)} \right) \quad (2.2)$$

They also satisfy the dilaton equation [13]

$$\sum_{\alpha} \text{Res}_{z=\alpha} \Phi(z) \omega_{n+1}^g(z, z_1, \dots, z_n) = (2 - 2g - n) \omega_n^g(z_1, \dots, z_n), \quad (2.3)$$

where the summation is over the zeros α of dx and $\Phi(z) = \int^z y dx(z')$ is an arbitrary antiderivative. The dilaton equation enables the definition of the so-called *symplectic invariants*

$$F_g = \frac{1}{2 - 2g} \sum_{\alpha} \text{Res}_{z=\alpha} \Phi(z) \omega_1^g(z),$$

which holds for $g \geq 2$. The dilaton equation still holds for irregular spectral curves whereas the string equations are no longer valid. The failure of the string equations can be explicitly observed for the curve $xy^2 = 1$.

2.1. Irregular spectral curves

One can classify the local behaviour of a spectral curve near a zero of dx into four types — one of these is regular and the other three are irregular. In all four cases, one can define multidifferentials ω_n^g using equation (2.1). If α is a zero of dx , then one of the following four cases must occur.

- (1) **Regular.** The form dy is analytic and $dy(\alpha) \neq 0$.

Equivalently, α is a regular zero of dx if it is a smooth point of C . In this case, there is a pole of ω_n^g at α of order $6g - 4 + 2n$ [13].

- (2) **Irregular.**

- (i) The form dy is analytic at α and $dy(\alpha) = 0$.

This case is ill-behaved since $\omega_n^g(z_1, \dots, z_n)$ loses the key property of symmetry under permutations of z_1, \dots, z_n . (Note that the symmetry of ω_n^g is not a priori apparent, since the recursion of equation (2.1) treats z_1 as special.) For example, if we consider the rational spectral curve given parametrically by $x(z) = z^2$ and

$y(z) = z^3$, then topological recursion yields

$$\omega_3^0(z_1, z_2, z_3) = \frac{1}{2z_1^4 z_2^4 z_3^4} [3z_1^2 z_2^2 + 3z_1^2 z_3^2 + z_2^2 z_3^2 - 4z_1 z_2 z_3].$$

- (ii) The meromorphic function y has a pole at α of order greater than one. In this case, we see that the kernel $K(z_1, z)$ defined above has a zero of degree at least 2 at $z = \alpha$. This implies that the $z = \alpha$ contribution to equation (2.1) in the case $g = 0$ vanishes for $n \geq 3$. Now since the term $\omega_2^0(z, \hat{z})$ has a double pole, the $z = \alpha$ contribution to equation (2.1) in the case $g = n = 1$ vanishes as well. Then one can prove by induction that the $z = \alpha$ contribution vanishes for all $g \geq 1$ and $n \geq 1$. It follows that the invariants in this case match those of the local spectral curve obtained by removing the point $z = \alpha$.
- (iii) The meromorphic function y has a simple pole at α . This case is the main concern of the present paper. This time, the kernel $K(z_0, z)$ defined above has a simple zero at $z = \alpha$, and one may deduce that the $z = \alpha$ contribution to equation (2.1) in the case $g = 0$ vanishes for $n \geq 3$. However, the pole of $\omega_2^0(z, \hat{z})$ allows the $z = \alpha$ contribution to equation (2.1) in the case $g = n = 1$ to be non-zero. This, in turn, creates non-zero contributions at $z = \alpha$ for $g \geq 1$. The invariants thus obtained enjoy many of the properties of the invariants for regular curves, such as symmetry of $\omega_n^g(z_1, \dots, z_n)$ under permutations of z_1, \dots, z_n . The pole of ω_n^g at α is now of order $2g$, which follows from the local analysis in Section 7.

We conclude that the only interesting cases are (1) and (2)(iii), which involve regular zeros of dx or a zero of dx at which y has a simple pole. If case (2)(i) is to prove interesting, then one would probably need to adjust the definition of topological recursion in order to recover the symmetry of the invariants.

3. Enumerating dessins d'enfant

3.1. Loop equations

Kazarian and Zograf [19] prove that $U_g(\mu_1, \dots, \mu_n) = \mu_1 \cdots \mu_n B_{g,n}(\mu_1, \dots, \mu_n)$ satisfies the recursion

$$U_g(\mu_1, \boldsymbol{\mu}_S) = \sum_{j=2}^n \mu_j U_g(\mu_1 + \mu_j - 1, \boldsymbol{\mu}_{S \setminus \{j\}}) + \sum_{i+j=\mu_1-1} \left[U_{g-1}(i, j, \boldsymbol{\mu}_S) + \sum_{\substack{g_1+g_2=g \\ I \sqcup J=S}} U_{g_1}(i, \boldsymbol{\mu}_I) U_{g_2}(j, \boldsymbol{\mu}_J) \right], \quad (3.1)$$

for $S = \{2, \dots, n\}$ and the base case $U_0(0) = 1$. The proof uses an elementary cut-and-join argument that is a variation of the Tutte recursion [14]. Note that $U_0(\mu) = C_\mu = \frac{1}{\mu+1} \binom{2\mu}{\mu}$ is a Catalan number. This is due to the fact that the recursion of equation (3.1) in the case $(g, n) = (0, 1)$ reproduces the Catalan recursion and initial condition

$$C_m = \sum_{i+j=m-1} C_i C_j \quad \text{and} \quad C_0 = 1.$$

The recursion (3.1) is equivalent to the fact that the generating functions

$$W_g(x_1, \dots, x_n) = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} U_g(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i-1}$$

satisfy loop equations

$$\begin{aligned}
 W_g(x_1, \mathbf{x}_S) &= W_{g-1}(x_1, x_1, \mathbf{x}_S) + \sum_{\substack{g_1+g_2=g \\ I \sqcup J=S}} W_{g_1}(x_1, \mathbf{x}_I) W_{g_2}(x_1, \mathbf{x}_J) \\
 &+ \sum_{j=2}^n \left[\frac{\partial}{\partial x_j} \frac{W_g(x_1, \mathbf{x}_{S \setminus \{j\}}) - W_g(\mathbf{x}_S)}{x_1 - x_j} + \frac{1}{x_1} \frac{\partial}{\partial x_j} W_g(\mathbf{x}_S) \right] + \frac{\delta_{g,0} \delta_{n,1}}{x_1}. \quad (3.2)
 \end{aligned}$$

The solution of the loop equations for $(g, n) = (0, 1)$ defines the spectral curve via the equation

$$y = W_0(x) = \sum_{\mu=0}^{\infty} U_0(\mu) x^{-\mu-1} = \sum_{\mu=0}^{\infty} \frac{1}{\mu+1} \binom{2\mu}{\mu} x^{-\mu-1} = \frac{z}{1+z}, \quad \text{where } x = z + \frac{1}{z} + 2.$$

The proof of the equivalence of (3.1) and (3.2) is standard and relies on the following observations.

- The coefficient of $\prod x_i^{-\mu_i-1}$ in $W_g(x_1, \mathbf{x}_S)$ is $U_g(\mu_1, \boldsymbol{\mu}_S)$.
- The coefficient of $\prod x_i^{-\mu_i-1}$ in $W_{g-1}(x_1, x_1, \mathbf{x}_S)$ is $\sum_{i+j=\mu_1-1} U_{g-1}(i, j, \boldsymbol{\mu}_S)$.
- The coefficient of $\prod x_i^{-\mu_i-1}$ in $W_{g_1}(x_1, \mathbf{x}_I) W_{g_2}(x_1, \mathbf{x}_J)$ is $\sum_{i+j=\mu_1-1} U_{g_1}(i, \boldsymbol{\mu}_I) U_{g_2}(j, \boldsymbol{\mu}_J)$.
- The coefficient of $\prod x_i^{-\mu_i-1}$ in $\frac{\partial}{\partial x_j} \left[\frac{W_g(x_1, \mathbf{x}_{S \setminus \{j\}}) - W_g(\mathbf{x}_S)}{x_1 - x_j} + \frac{W_g(\mathbf{x}_S)}{x_1} \right]$ is $\mu_j U_g(\mu_1 + \mu_j - 1, \boldsymbol{\mu}_{S \setminus \{j\}})$. This observation uses the fact that

$$\frac{\partial}{\partial x_j} \left(\frac{x_1^{-k} - x_j^{-k}}{x_1 - x_j} + \frac{x_j^{-k}}{x_1} \right) = -\frac{\partial}{\partial x_j} \sum_{m=1}^{k-1} x_1^{m-k-1} x_j^{-m} = \sum_{m=1}^{k-1} m x_1^{m-k-1} x_j^{-m-1}.$$

REMARK 3.1. The loop equations (3.2) are almost a special case of the loop equations appearing in the work of Eynard and Orantin [14, Theorem 7.2]. Using their notation, equation (3.2) would correspond to $V'(x) = 1$ and $P_n^{(g)}(x_1, \dots, x_n)$ would not be polynomial in x_1 . Note that such a choice of V and $P_n^{(g)}$ has no meaning there.

3.2. Pruned dessins

Define $\mathfrak{b}_{g,n}(\boldsymbol{\mu}) \subseteq \mathcal{B}_{g,n}(\boldsymbol{\mu})$ to be the set of genus g dessins without vertices of valence 1 and with n labelled boundary components of lengths $2\mu_1, \dots, 2\mu_n$. We refer to such dessins without vertices of valence 1 as *pruned*. The notion of pruned structures has found applications for various other problems [8] and will allow us to prove polynomiality for the dessin enumeration.

DEFINITION 3.2. For any $\boldsymbol{\mu} = (\mu_1, \dots, \mu_n) \in \mathbb{Z}_+^n$, define

$$b_{g,n}(\mu_1, \dots, \mu_n) = \sum_{\Gamma \in \mathfrak{b}_{g,n}(\boldsymbol{\mu})} \frac{1}{|\text{Aut } \Gamma|}.$$

PROPOSITION 3.3. The numbers $b_{g,n}(\mu_1, \dots, \mu_n)$ and $B_{g,n}(\mu_1, \dots, \mu_n)$ are related by the equation

$$\sum_{\nu_1, \dots, \nu_n=1}^{\infty} b_{g,n}(\nu_1, \dots, \nu_n) \prod_{i=1}^n z_i^{\nu_i} = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} B_{g,n}(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i}, \quad (3.3)$$

where $x_i = z_i + \frac{1}{z_i} + 2$. The two sides are analytic expansions of the generating function of equation (1.2) at $z_1 = \dots = z_n = 0$.

Proof. The main idea is that a dessin can be created from a pruned dessin by gluing planar trees to the boundary components. The bicolouring of the vertices extends in a unique way to the additional trees. Conversely, one obtains a unique pruned dessin from a dessin via the process of pruning — in other words, repeatedly removing degree one vertices and their incident edges until no more exist.

By gluing planar trees, the count $b_{g,n}(\nu_1, \dots, \nu_n)$ contributes to $B_{g,n}(\nu_1 + k_1, \dots, \nu_n + k_n)$ for all non-negative integers k_1, \dots, k_n . The contribution is equal to $b_{g,n}(\nu_1, \dots, \nu_n)$ multiplied by the number of ways to glue planar trees with a total of k_1 edges to boundary component 1, multiplied by the number of ways to glue planar trees with a total of k_2 edges to boundary component 2, and so on.

The number of ways to glue k edges to a boundary component of length b can be computed as follows. It is simply the number of ways to pick rooted planar trees T_1, T_2, \dots, T_b with k edges in total. There are C_i rooted planar trees with i edges, where $C_0 = 1, C_1 = 2, C_3 = 5, C_4 = 14, \dots$ is the sequence of Catalan numbers. So the number of ways to choose rooted planar trees T_1, T_2, \dots, T_b with k edges in total is simply the x^b coefficient of $(C_0 + C_1X + C_2X^2 + C_3X^3 + \dots)^b = \left(\frac{1-\sqrt{1-4X}}{2X}\right)^b = f(X)^b$. If we call this number C_k^b , we obtain the formula[†]

$$B_{g,n}(\mu_1, \dots, \mu_n) = \sum_{k_1, \dots, k_n=0}^{\infty} b_{g,n}(\mu_1 - k_1, \dots, \mu_n - k_n) C_{k_1}^{2\mu_1-2k_1} \dots C_{k_n}^{2\mu_n-2k_n}.$$

Therefore, we have the chain of equalities

$$\begin{aligned} \sum_{\mu_1, \dots, \mu_n=1}^{\infty} B_{g,n}(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i} &= \sum_{\substack{\mu_1, \dots, \mu_n=1 \\ k_1, \dots, k_n=0}}^{\infty} b_{g,n}(\mu_1 - k_1, \dots, \mu_n - k_n) \prod_{i=1}^n C_{k_i}^{2\mu_i-2k_i} x_i^{-\mu_i} \\ &= \sum_{\nu_1, \dots, \nu_n=1}^{\infty} b_{g,n}(\nu_1, \dots, \nu_n) \prod_{i=1}^n x_i^{-\nu_i} \sum_{k_i=0}^{\infty} C_{k_i}^{2\nu_i} x_i^{-k_i} \\ &= \sum_{\nu_1, \dots, \nu_n=1}^{\infty} b_{g,n}(\nu_1, \dots, \nu_n) \prod_{i=1}^n \left(\frac{f(x_i^{-1})^2}{x_i}\right)^{\nu_i}. \end{aligned}$$

It remains to show that

$$z_i = \frac{f(x_i^{-1})^2}{x_i} = \frac{x_i - 2 - \sqrt{x_i^2 - 4x_i}}{2},$$

which follows directly from the relation $x_i = z_i + \frac{1}{z_i} + 2$. \square

The next proposition shows that $b_{g,n}$ satisfies a *functional recursion*, in the sense that it involves only terms with simpler (g, n) complexity on the right hand side. This will be useful in understanding the pole structure of the generating function (1.2). Such a recursion is in contrast with equation (3.1) in the non-pruned case, which includes (g, n) terms on both sides of the equation.

[†]In fact, one can show that $C_k^b = \frac{b}{b+k} \binom{b-1+2k}{k}$.

PROPOSITION 3.4. *The pruned dessin enumeration satisfies the following recursion for $(g, n) \neq (0, 1), (0, 2), (0, 3), (1, 1)$.*

$$|\boldsymbol{\mu}| b_{g,n}(\boldsymbol{\mu}) = \sum_{i \neq j} \sum_{p+q=\mu_i+\mu_j} pq b_{g,n-1}(\boldsymbol{\mu}_{S \setminus \{i,j\}}) + \sum_{i=1}^n \sum_{p+q+r=\mu_i} pqr \left[b_{g-1,n+1}(p, q, \boldsymbol{\mu}_{S \setminus \{i\}}) + \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J = S \setminus \{i\}}} b_{g_1,|I|+1}(p, \boldsymbol{\mu}_I) b_{g_2,|J|+1}(q, \boldsymbol{\mu}_J) \right]$$

Here, $S = \{1, 2, \dots, n\}$ and we set $\boldsymbol{\mu}_I = (\mu_{i_1}, \mu_{i_2}, \dots, \mu_{i_k})$ for $I = \{i_1, i_2, \dots, i_k\}$. The word stable over the summation indicates that we exclude all terms that involve $b_{0,1}$ or $b_{0,2}$.

Proof. We count dessins in $\mathfrak{b}_{g,n}(\boldsymbol{\mu})$ with a marked edge. The most obvious way to count such objects is to choose a dessin in the set, which can be accomplished in $b_{g,n}(\boldsymbol{\mu})$ ways, and then to choose a suitable edge, which can be accomplished in $|\boldsymbol{\mu}|$ ways. So the total number of such objects is $|\boldsymbol{\mu}| b_{g,n}(\boldsymbol{\mu})$, which forms the left hand side of the recursion.

To form the right hand side of the recursion, we count the same objects in the following way. For a dessin in $\mathfrak{b}_{g,n}(\boldsymbol{\mu})$ with a marked edge, remove the marked edge and repeatedly remove degree 1 vertices and their incident edges to obtain a pruned dessin. One of the following three cases must arise.

- **Case 1.** The marked edge is adjacent to face i on both sides and its removal leaves a connected dessin.

Suppose that r edges are removed in total — they necessarily form a path. The resulting pruned dessin must lie in the set $\mathfrak{b}_{g-1,n+1}(p, q, \boldsymbol{\mu}_{S \setminus \{i\}})$, where $p + q + r = \mu_i$.

Conversely, there are pqr ways to reconstruct a marked pruned dessin in $\mathfrak{b}_{g,n}(\boldsymbol{\mu})$ from a pruned dessin in the set $\mathfrak{b}_{g-1,n+1}(p, q, \boldsymbol{\mu}_{S \setminus \{i\}})$, where $p + q + r = \mu_i$, by adding a path of r edges. The factor r accounts for choosing a marked edge along the path. The factors p and q account for the choice of endpoints of the path.

- **Case 2.** The marked edge is adjacent to face i on both sides and its removal leaves the disjoint union of two dessins.

Suppose that r edges are removed in total — they necessarily form a path. Suppose that the two components have faces I and J . The resulting pruned dessins must lie in the sets $\mathfrak{b}_{g_1,|I|+1}(p, \boldsymbol{\mu}_I)$ and $\mathfrak{b}_{g_2,|J|+1}(q, \boldsymbol{\mu}_J)$, where $p + q + r = \mu_i$. Furthermore, we cannot obtain a pruned dessin of type $(0, 1)$ in this manner.

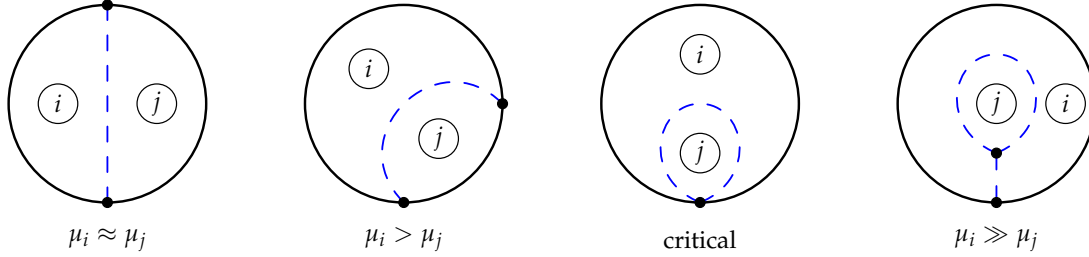
Conversely, there are pqr ways to reconstruct a marked pruned dessin in $\mathfrak{b}_{g,n}(\boldsymbol{\mu})$ from two pruned dessins in the sets $\mathfrak{b}_{g_1,|I|+1}(p, \boldsymbol{\mu}_I)$ and $\mathfrak{b}_{g_2,|J|+1}(q, \boldsymbol{\mu}_J)$, where $p + q + r = \mu_i$, by adding a path of r edges. The factor r accounts for choosing a marked edge along the path. The factors p and q account for the choice of endpoints of the path.

- **Case 3.** The marked edge is adjacent to faces i and j , for $i \neq j$.

Suppose when walking along the marked edge from the white vertex to the black vertex that face i lies on the left and face j on the right. Suppose that q edges are removed in total — they necessarily form a path. The resulting pruned dessin must lie in the set $\mathfrak{b}_{g,n-1}(p, \boldsymbol{\mu}_{S \setminus \{i,j\}})$, where $p + q = \mu_i + \mu_j$.

Conversely, there are pq ways to reconstruct a marked pruned dessin in $\mathfrak{b}_{g,n}(\boldsymbol{\mu})$ from a pruned dessin in the set $\mathfrak{b}_{g,n-1}(p, \boldsymbol{\mu}_{S \setminus \{i,j\}})$, where $p + q = \mu_i + \mu_j$, by adding a path of q edges. The factor q accounts for choosing an edge along the path. The factor p arises from choosing where to glue one of the ends of the path. Note that there is a unique choice to glue in the other end to create a face of perimeter μ_i on the left and a face of perimeter μ_j on the right.

There is a crucial subtlety that arises in the third case, which we now address. One can discern the issue by considering the sequence of diagrams below, in which μ_i increases from left to right, relative to μ_j .



The third case actually contributes to diagrams like the one on the far right, in which face i completely surrounds face j , or vice versa. In fact, the edge that we remove can lie anywhere along the dashed path in the schematic diagram. Note that this contributes to the second case, in which the marked edge is adjacent to the face labelled i on both sides and its removal leaves the disjoint union of two connected graphs. However, observe that this surplus contribution is precisely equal to the terms from the second case that involve $b_{0,2}$, so one can compensate simply by excluding such terms. Given that we have already witnessed that $b_{0,1} = 0$, we can restrict to the so-called *stable* terms in the second case, which are precisely those that do not involve $b_{0,1}$ or $b_{0,2}$.

Therefore, to obtain all marked dessins in $\mathfrak{b}_{g,n}(\boldsymbol{\mu})$ exactly once, it is necessary to perform the reconstruction process

- in the first case for all values of i and $p + q + r = \mu_i$;
- in the second case for all *stable* values of i , $p + q + r = \mu_i$, $g_1 + g_2 = g$, and $I \sqcup J = S \setminus \{i\}$; and
- in the third case for all values of i, j , and $p + q = \mu_i + \mu_j$.

We obtain the desired recursion by summing up over all these contributions. □

EXAMPLE 3.5. One can directly calculate $b_{1,1}(\mu_1)$ by building dessins from a p -edge loop to obtain

$$2\mu_1 b_{1,1}(\mu_1) = \frac{1}{2} \sum_{\substack{2p+q=2\mu_1 \\ p \text{ even}}} pq = \begin{cases} \frac{1}{12}\mu_1(\mu_1^2 - 4) & \mu_1 \text{ even,} \\ \frac{1}{12}\mu_1(\mu_1^2 - 1) & \mu_1 \text{ odd.} \end{cases}$$

Note that this is precisely the recursion of Proposition 3.4, using the fact that $b_{0,2}(\mu_1, \mu_2) = \frac{\delta(\mu_1, \mu_2)}{\mu_1}$. Taking this definition, one can also apply the recursion in the case $(g, n) = (0, 3)$. Finally we obtain

$$b_{0,3}(\mu_1, \mu_2, \mu_3) = 2, \\ b_{1,1}(\mu_1) = \begin{cases} \frac{1}{24}(\mu_1^2 - 4), & \mu_1 \text{ even,} \\ \frac{1}{24}(\mu_1^2 - 1), & \mu_1 \text{ odd.} \end{cases}$$

Calculation of $b_{g,n}$ for small values of g and n indicates that it is a quasi-polynomial modulo 2, although this structure does not follow immediately from the recursion above. In order to prove it, we use the following asymmetric version of the recursion.

PROPOSITION 3.6. *The pruned dessin enumeration satisfies the following recursion for $(g, n) \neq (0, 1), (0, 2), (0, 3), (1, 1)$.*

$$\begin{aligned} \mu_1 b_{g,n}(\mu_1, \boldsymbol{\mu}_S) &= \sum_{p+q+r=\mu_1} pqr \left[b_{g-1,n+1}(p, q, \boldsymbol{\mu}_S) + \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J=S}} b_{g_1,|I|+1}(p, \boldsymbol{\mu}_I) b_{g_2,|J|+1}(q, \boldsymbol{\mu}_J) \right] \\ &+ \sum_{i \in S} \left[\sum_{p+q=\mu_1+\mu_i} pq b_{g,n-1}(\boldsymbol{\mu}_S) \Big|_{\mu_i=p} + \text{sign}(\mu_1 - \mu_i) \sum_{p+q=|\mu_1-\mu_i|} pq b_{g,n-1}(\boldsymbol{\mu}_S) \Big|_{\mu_i=p} \right] \end{aligned}$$

Here, $S = \{2, \dots, n\}$ and for $I = \{i_1, i_2, \dots, i_k\}$, we set $\boldsymbol{\mu}_I = (\mu_{i_1}, \mu_{i_2}, \dots, \mu_{i_k})$.

Proof. Use the fact that both the symmetric recursion given by Proposition 3.4 and the asymmetric recursion here uniquely determine all $b_{g,n}(\boldsymbol{\mu})$ from the base cases $b_{0,3}$ and $b_{1,1}$. So it suffices to show that the symmetric version follows from the asymmetric version, and this can be seen by symmetrising. \square

COROLLARY 3.7. *For $(g, n) \neq (0, 1)$ or $(0, 2)$, $b_{g,n}(\mu_1, \dots, \mu_n)$ is a quasi-polynomial modulo 2 of degree $3g - 3 + n$ in μ_1^2, \dots, μ_n^2 .*

Proof. To show that $b_{g,n}$ is a polynomial in the squares, we use the following two facts, which are straightforward to verify.

- For all non-negative integers a and b , the functions

$$f_{a,b}^{(0)}(\mu) = \sum_{\substack{p+q+r=\mu \\ p+q \text{ even}}} p^{2a+1} q^{2b+1} r \quad \text{and} \quad f_{a,b}^{(1)}(\mu) = \sum_{\substack{p+q+r=\mu \\ p+q \text{ odd}}} p^{2a+1} q^{2b+1} r$$

are odd quasi-polynomials modulo 2 in μ of degree $2a + 2b + 5$.

- For all non-negative integers a , the functions

$$\begin{aligned} g_a^{(0)}(\mu_1, \mu_2) &= \sum_{\substack{p+q=\mu_1+\mu_2 \\ p \text{ even}}} p^{2a+1} q + \text{sign}(\mu_1 - \mu_2) \sum_{\substack{p+q=|\mu_1-\mu_2| \\ p \text{ even}}} p^{2a+1} q \\ g_a^{(1)}(\mu_1, \mu_2) &= \sum_{\substack{p+q=\mu_1+\mu_2 \\ p \text{ odd}}} p^{2a+1} q + \text{sign}(\mu_1 - \mu_2) \sum_{\substack{p+q=|\mu_1-\mu_2| \\ p \text{ odd}}} p^{2a+1} q \end{aligned}$$

are quasi-polynomials modulo 2 that are odd in μ_1 and even in μ_2 of degree $2a + 5$.

By the previous example, the base cases $b_{0,3}(\mu_1, \mu_2, \mu_3)$ and $b_{1,1}(\mu_1)$ are indeed even quasi-polynomials modulo 2 of degrees 0 and 1, respectively. Now consider $b_{g,n}$ satisfying $2g - 2 + n \geq 2$ and suppose that the proposition is true for all $b_{g,n}$ of lesser complexity. Then the recursion of Proposition 3.6 expresses $\mu_1 b_{g,n}(\mu_1, \dots, \mu_n)$ as a finite linear combination of terms of the form

$$f_{a,b}^{(c)}(\mu_1) \prod_{k \in S} \mu_k^{2a_k} \quad \text{and} \quad g_a^{(c)}(\mu_1, \mu_i) \prod_{k \in S \setminus \{i\}} \mu_k^{2a_k},$$

where a and b are non-negative integers and $c \in \{0, 1\}$. Upon dividing by μ_1 , we find that $b_{g,n}(\mu_1, \dots, \mu_n)$ is a quasi-polynomial modulo 2 that is even in μ_1, \dots, μ_n . Furthermore, one can check that it is of the correct degree. Therefore, we have proven the proposition by induction on $2g - 2 + n$. \square

g	n	condition on (μ_1, \dots, μ_n)	$b_{g,n}(\mu_1, \dots, \mu_n)$
0	1	none	0
0	2	none	$\frac{\delta(\mu_1, \mu_2)}{\mu_1}$
0	3	none	2
0	4	none	$\mu_1^2 + \mu_2^2 + \mu_3^2 + \mu_4^2 - 1$
1	1	μ_1 even	$\frac{1}{24}(\mu_1^2 - 4)$
1	1	μ_1 odd	$\frac{1}{24}(\mu_1^2 - 1)$
1	2	$\mu_1 + \mu_2$ even	$\frac{1}{48}(\mu_1^2 + \mu_2^2 - 2)(\mu_1^2 + \mu_2^2 - 4)$
1	2	$\mu_1 + \mu_2$ odd	$\frac{1}{48}(\mu_1^2 + \mu_2^2 - 1)(\mu_1^2 + \mu_2^2 - 5)$
2	1	μ_1 even	$\frac{1}{276480}(\mu_1^2 - 4)(\mu_1^2 - 16)(5\mu_1^4 - 38\mu_1^2 + 72)$
2	1	μ_1 odd	$\frac{1}{276480}(\mu_1^2 - 1)(\mu_1^2 - 9)(5\mu_1^4 - 88\mu_1^2 + 227)$

The structure theorem for $b_{g,n}(\mu_1, \dots, \mu_n)$ implies the structure theorem

$$\Omega_{g,n}(z_1, \dots, z_n) = \frac{\partial}{\partial x_1} \cdots \frac{\partial}{\partial x_n} F_{g,n}(x_1, \dots, x_n) dx_1 \otimes \cdots \otimes dx_n,$$

via Proposition 3.3. This will play an important role in the proof of Theorem 1.2.

PROPOSITION 3.8. *For $(g, n) \neq (0, 1)$ or $(0, 2)$, $\Omega_{g,n}(z_1, \dots, z_n)$ is a meromorphic multi-differential on the rational curve $x = z + \frac{1}{z} + 2$, with poles only at $z_i = \pm 1$. Furthermore, it satisfies the skew invariance property*

$$\Omega_{g,n}(z_1, \dots, \frac{1}{z_i}, \dots, z_n) = -\Omega_{g,n}(z_1, \dots, z_n), \quad \text{for } i = 1, 2, \dots, n.$$

Proof. We begin with the result of Proposition 3.3, which states that

$$F_{g,n}(x_1, \dots, x_n) = \sum_{\nu_1, \dots, \nu_n=1}^{\infty} b_{g,n}(\nu_1, \dots, \nu_n) \prod_{i=1}^n z_i^{\nu_i}.$$

The structure theorem for $b_{g,n}$ allows us to express this as a linear combination of terms

$$\prod_{i=1}^n f_{k_i}^{(s_i)}(z_i),$$

where

$$f_k^{(0)}(z) = \sum_{\nu \text{ even}} \nu^{2k} z^\nu = \left(z \frac{\partial}{\partial z} \right)^{2k} \frac{z^2}{1-z^2} \quad \text{and} \quad f_k^{(1)}(z) = \sum_{\nu \text{ odd}} \nu^{2k} z^\nu = \left(z \frac{\partial}{\partial z} \right)^{2k} \frac{z}{1-z^2}.$$

Note that

$$f_0^{(0)}(z) + f_0^{(0)}\left(\frac{1}{z}\right) = \frac{z^2}{1-z^2} + \frac{\frac{1}{z^2}}{1-\frac{1}{z^2}} = -1 \quad \text{and} \quad f_0^{(1)}(z) + f_0^{(1)}\left(\frac{1}{z}\right) = \frac{z}{1-z^2} + \frac{\frac{1}{z}}{1-\frac{1}{z^2}} = 0.$$

Since $(z \frac{\partial}{\partial z})^2 = (w \frac{\partial}{\partial w})^2$ for $w = \frac{1}{z}$, we have $f_k^{(s)}(z) + f_k^{(s)}(\frac{1}{z}) = 0$, for $s = 0, 1$ and $k \geq 1$. It follows that

$$F_{g,n}(x_1, \dots, x_n) = -F_{g,n}(x_1, \dots, x_n)|_{z_i \mapsto \frac{1}{z_i}} + [\text{terms independent of } z_i].$$

Apply the total derivative to both sides to obtain the skew invariance property for $\Omega_{g,n}$. \square

REMARK 3.9. The pruned version of the enumeration of fatgraphs $M_{g,n}(\boldsymbol{\mu})$ defined in the introduction gives rise to

$$N_{g,n}(\mu_1, \dots, \mu_n) = \sum_{\Gamma \in \mathfrak{f}_{g,n}(\boldsymbol{\mu})} \frac{1}{|\text{Aut } \Gamma|}.$$

for $\mathfrak{f}_{g,n}(\boldsymbol{\mu}) \subseteq \mathcal{F}_{g,n}(\boldsymbol{\mu})$ defined as the subset of connected genus g fatgraphs without vertices of valence 1. This was studied in [22, 23] and shown to satisfy

- $N_{g,n}(\mu_1, \dots, \mu_n)$ is a degree $6g - 6 + 2n$ quasi-polynomial modulo 2
- $N_{g,n}(\mu_1, \dots, \mu_n) = 0$ for $|\boldsymbol{\mu}|$ odd;
- $N_{g,n}(0, \dots, 0) = \chi(\mathcal{M}_{g,n})$; and
- highest coefficients of $N_{g,n}$ are psi-class intersection numbers on $\overline{\mathcal{M}}_{g,n}$.

Genus 0 fatgraphs with even length boundary components admit bipartite colourings, and there are exactly two ways to bicolour the vertices, so

$$b_{0,n}(\boldsymbol{\mu}) = 2N_{0,n}(2\boldsymbol{\mu}).$$

The $N_{g,n}$ satisfy a recursion similar to that in Proposition 3.4 and the two recursions coincide in genus 0 with all μ_i even. The recursion relation does not specialise to the case of even μ_i in general — for example, $N_{1,1}(\mu_1)$ requires $N_{0,3}(\mu_1, \mu_2, \mu_3)$ with some μ_i odd. The non-bipartite enumeration mentioned in the introduction can be obtained as

$$NB_g(\mu_1, \dots, \mu_n) = 2N_{g,n}(2\mu_1, \dots, 2\mu_n) - b_{g,n}(\mu_1, \dots, \mu_n), \quad (3.4)$$

which vanishes when $g = 0$. It is worth noting that $N_{g,n}(2\mu_1, \dots, 2\mu_n)$ is a polynomial in μ_1, \dots, μ_n rather than a quasi-polynomial.

REMARK 3.10. For positive genus, we have $b_{g,n}(\boldsymbol{\mu}) \neq 2N_{g,n}(2\boldsymbol{\mu})$ in general. To check that a given fatgraph Γ is bipartite, one needs to check that $\beta_1(\Gamma)$ independent cycles have even length, where

$$\beta_1(\Gamma) = e(\Gamma) - v(\Gamma) + 1 = 2g(\Gamma) - 1 + n(\Gamma)$$

is the first Betti number of Γ . Here, e, v, g, n denote the number of edges, number of vertices, genus, and number of boundary components, respectively.

For $g = 0$, one can perform this check on $n(\Gamma) - 1$ cycles formed by boundary components. Hence, we obtain the relation $b_{0,n}(\boldsymbol{\mu}) = 2N_{0,n}(2\boldsymbol{\mu})$, as desired. For general genus, we can check on $n(\Gamma) - 1$ face cycles formed by boundary components. However, it is still necessary to check on $2g$ more independent cycles, and each of these imposes an independent parity condition. So we obtain the asymptotic relation

$$b_{g,n}(\boldsymbol{\mu}) \sim \frac{1}{2^{2g}} 2N_{g,n}(2\boldsymbol{\mu}).$$

We know that $N_{g,n}(2\boldsymbol{\mu})$ is polynomial in μ_1, \dots, μ_n with leading coefficients for $a_1 + \dots + a_n = 3g - 3 + n$ given by

$$[\mu_1^{2a_1} \dots \mu_n^{2a_n}] N_{g,n}(2\boldsymbol{\mu}) = \frac{2^g}{a_1! \dots a_n!} \int_{\overline{\mathcal{M}}_{g,n}} c_1(\mathcal{L}_1)^{a_1} \dots c_1(\mathcal{L}_n)^{a_n}.$$

It follows that

$$[\mu_1^{2a_1} \dots \mu_n^{2a_n}] b_{g,n}(\boldsymbol{\mu}) = \frac{1}{2^{g-1}} \frac{1}{a_1! \dots a_n!} \int_{\overline{\mathcal{M}}_{g,n}} c_1(\mathcal{L}_1)^{a_1} \dots c_1(\mathcal{L}_n)^{a_n}.$$

3.3. Proof of topological recursion

Proof of Theorem 1.2. The proof that the loop equations (3.2) imply topological recursion for the spectral curve $x = z + \frac{1}{z} + 2$ and $y = \frac{z}{1+z}$ is standard. If we write $y = W_0(x)$, then (3.2)

implies for $2g - 2 + n > 0$ that

$$\begin{aligned} W_g(x_1, \mathbf{x}_S) - 2y W_g(x_1, \mathbf{x}_S) &= W_{g-1}(x_1, x_1, \mathbf{x}_S) + \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J=S}} W_{g_1}(x_1, x_I) W_{g_2}(x_1, x_J) \\ &+ \sum_{j=2}^n \left[2 W_0(x_1, x_j) W_g(x_1, \mathbf{x}_{S \setminus \{j\}}) + \frac{\partial}{\partial x_j} \frac{W_g(x_1, \mathbf{x}_{S \setminus \{j\}})}{x_1 - x_j} - \frac{\partial}{\partial x_j} \frac{W_g(\mathbf{x}_S)}{x_1 - x_j} + \frac{1}{x_1} \frac{\partial}{\partial x_j} W_g(\mathbf{x}_S) \right]. \end{aligned}$$

The word *stable* over the summation indicates that we exclude all terms that involve $W_0(x_1)$ or $W_0(x_1, x_i)$.

From Section 3.2, we know that $\Omega_g(z_1, \dots, z_n) = W_g(x_1, \dots, x_n) dx_1 \cdots dx_n$ is a meromorphic multidifferential on the rational curve $x = z + \frac{1}{z} + 2$, with poles only at $z_i = \pm 1$ and satisfying skew invariance in the sense that

$$\Omega_g(z_1, \dots, \frac{1}{z_i}, \dots, z_n) = -\Omega_g(z_1, \dots, z_i, \dots, z_n), \quad \text{for } i = 1, 2, \dots, n.$$

Put $\omega_2^0(z, w) = \frac{dz dw}{(z-w)^2}$ and note that

$$\left[2 W_0(x_1, x_j) + \frac{1}{(x_1 - x_j)^2} \right] dx_1 dx_j = \omega_2^0(z_1, z_j) - \omega_2^0\left(\frac{1}{z_1}, z_j\right).$$

Hence,

$$\begin{aligned} \Omega_g(z_1, \mathbf{z}_S) \frac{1 - z_1}{1 + z_1} dx_1 &= \Omega_{g-1}(z_1, z_1, \mathbf{z}_S) + \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J=S}} \Omega_{g_1}(z_1, \mathbf{z}_I) \Omega_{g_2}(z_1, \mathbf{z}_J) \\ &+ \sum_{j=2}^n \left[\omega_2^0(z_1, z_j) - \omega_2^0\left(\frac{1}{z_1}, z_j\right) \right] \Omega_g(z_1, \mathbf{z}_{S \setminus \{j\}}) - \frac{\partial}{\partial x_j} \sum_{j=2}^n \frac{W_g(\mathbf{x}_S) x_j}{(x_1 - x_j) x_1} dx_1^{\otimes 2} d\mathbf{x}_S \\ &= -\Omega_{g-1}(z_1, \frac{1}{z_1}, \mathbf{z}_S) - \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J=S}} \Omega_{g_1}(z_1, \mathbf{z}_I) \Omega_{g_2}\left(\frac{1}{z_1}, \mathbf{z}_J\right) - \frac{\partial}{\partial x_j} \sum_{j=2}^n \frac{W_g(\mathbf{x}_S) x_j}{(x_1 - x_j) x_1} dx_1^{\otimes 2} d\mathbf{x}_S \\ &- \sum_{j=2}^n \omega_2^0(z_1, z_j) \Omega_g\left(\frac{1}{z_1}, \mathbf{z}_{S \setminus \{j\}}\right) - \omega_2^0\left(\frac{1}{z_1}, z_j\right) \Omega_g(z_1, \mathbf{z}_{S \setminus \{j\}}). \end{aligned}$$

A rational differential is a sum of its principal parts. Recall that the *principal part* of a meromorphic differential $h(z)$ with respect to the rational parameter z at $\alpha \in C$ is

$$[h(z)]_\alpha := \text{Res}_{w=\alpha} \frac{h(w) dw}{z-w} = \text{negative part of the Laurent series of } h(z) \text{ at } \alpha.$$

Hence, express $\Omega_g(z_1, \dots, z_n)$ as a sum of its principal parts via the equation

$$\begin{aligned} \Omega_g(z_1, \mathbf{z}_S) &= - \sum_{\alpha=\pm 1} \text{Res}_{z=\alpha} \frac{dz}{z_1 - z} \frac{1+z}{1-z} \frac{1}{dx(z)} \left[\Omega_{g-1}(z, \frac{1}{z}, \mathbf{z}_S) + \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J=S}} \Omega_{g_1}(z, \mathbf{z}_I) \Omega_{g_2}\left(\frac{1}{z}, \mathbf{z}_J\right) \right. \\ &\quad \left. + \sum_{j=2}^n \omega_2^0(z, z_j) \Omega_g\left(\frac{1}{z}, \mathbf{z}_{S \setminus \{j\}}\right) + \omega_2^0\left(\frac{1}{z}, z_j\right) \Omega_g(z, \mathbf{z}_{S \setminus \{j\}}\right) \right]. \end{aligned}$$

The third term is annihilated by the residue, since

$$\frac{1+z_1}{1-z_1} \frac{\partial}{\partial x_j} \sum_{j=2}^n \frac{W_g(\mathbf{x}_S) x_j}{(x_1 - x_j) x_1} dx_1 d\mathbf{x}_S$$

is analytic at $z_1 = \pm 1$. This follows from the fact that $\frac{1+z}{1-z} \frac{dx}{x} = -\frac{dz}{z}$ is analytic at $z = \pm 1$.

Skew invariance under $z \mapsto \frac{1}{z}$ of all terms allows us to express this as

$$\begin{aligned} \Omega_g(z_1, \mathbf{z}_S) &= \sum_{\alpha=\pm 1} \operatorname{Res}_{z=\alpha} \frac{1}{2} \left(\frac{dz}{z_1 - \frac{1}{z}} - \frac{dz}{z_1 - z} \right) \frac{1+z}{1-z} \frac{1}{dx(z)} \left[\Omega_{g-1}(z, \frac{1}{z}, \mathbf{z}_S) \right. \\ &\quad \left. + \sum_{\substack{\text{stable} \\ g_1+g_2=g \\ I \sqcup J = S}} \Omega_{g_1}(z, \mathbf{z}_I) \Omega_{g_2}(\frac{1}{z}, \mathbf{z}_J) + \sum_{j=2}^n \omega_2^0(z, z_j) \Omega_g(\frac{1}{z}, z_S \setminus \{j\}) + \omega_2^0(z^{-1}, z_j) \Omega_g(z, z_S \setminus \{j\}) \right] \\ &= \sum_{\alpha=\pm 1} \operatorname{Res}_{z=\alpha} K(z_1, z) \left[\Omega_{g-1}(z, \frac{1}{z}, \mathbf{z}_S) + \sum_{\substack{\circ \\ g_1+g_2=g \\ I \sqcup J = S}} \Omega_{g_1}(z, \mathbf{z}_I) \Omega_{g_2}(\frac{1}{z}, \mathbf{z}_J) \right]. \end{aligned}$$

Here, $K(z_1, z) = \frac{1}{2} \left(\frac{dz}{z_1 - \frac{1}{z}} - \frac{dz}{z_1 - z} \right) \frac{1+z}{1-z} \frac{1}{dx(z)}$ and the \circ over the inner summation means that we exclude terms that involve Ω_1^0 . This completes the proof that $\Omega_g(z_1, \dots, z_n) = \omega_n^g(z_1, \dots, z_n)$ for the spectral curve C given by equation (1.3). \square

3.4. Polynomial behaviour of invariants

It is possible to solve the recursion (3.1) explicitly in low genus.

PROPOSITION 3.11. *In genus 0, we have the explicit formula*

$$B_{0,n}(\mu_1, \dots, \mu_n) = 2^{1-n} (|\boldsymbol{\mu}| - 1)(|\boldsymbol{\mu}| - 2) \cdots (|\boldsymbol{\mu}| - n + 3) \prod_{i=1}^n \binom{2\mu_i}{\mu_i}, \quad \text{for } n \geq 3. \quad (3.5)$$

It is unclear how to prove Proposition 3.11 by substituting directly into the recursion. Instead, we first prove a general structure theorem for $B_{g,n}(\mu_1, \dots, \mu_n)$ — namely, that it is a polynomial multiplied by an explicit separable part.

THEOREM 3.12. *For $(g, n) \neq (0, 1)$ or $(0, 2)$,*

$$B_{g,n}(\mu_1, \dots, \mu_n) = p_{g,n}(\mu_1, \dots, \mu_n) \prod_{i=1}^n c_g(\mu_i),$$

where $p_{g,n}$ is a polynomial of degree $3g - 3 + n + ng$ and

$$c_g(\mu) = \frac{(2\mu - 2g)!}{\mu! (\mu - g)!} = \binom{2\mu}{\mu} 2^{-g} \prod_{k=1}^g \frac{1}{2\mu - 2k + 1}.$$

The right hand expression for $c_g(\mu)$ allows for evaluation at $\mu = 0, 1, \dots, g - 1$.

REMARK 3.13. The two unstable cases $(g, n) = (0, 1)$ and $(0, 2)$ do in fact satisfy a similar structure result if one allows polynomials of negative degree.

$$B_{0,1}(\mu_1) = \frac{1}{\mu_1(\mu_1 + 1)} \binom{2\mu_1}{\mu_1} \quad B_{0,2}(\mu_1, \mu_2) = \frac{1}{2(\mu_1 + \mu_2)} \binom{2\mu_1}{\mu_1} \binom{2\mu_2}{\mu_2}$$

Proof. The proof simply uses the structure of meromorphic functions on the spectral curve $xy^2 - xy + 1 = 0$. We begin with the genus 0 invariants. From Theorem 1.2, it is easy to see

by induction that the generating function

$$W_0(x_1, \dots, x_n) = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} U_0(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i-1}$$

is an expansion of a function that is rational in z_i for $x_i = z_i + \frac{1}{z_i} + 2$, with poles only at $z_i = 1$ of total order $2n - 4$. Furthermore, the principal part at $z_i = 1$ is skew invariant under $z_i \mapsto \frac{1}{z_i}$ for each $i = 1, 2, \dots, n$. (Note that since there is only one pole, $W_0(x_1, \dots, x_n)$ is equal to its principal part at $z_i = 1$ for each $i = 1, 2, \dots, n$.) Eynard and Orantin [13] show that there would also be poles at $z_i = -1$ of order $2n - 4$, *under the assumption that y is analytic at the zeros of dx* . However, that assumption does not hold here, since y has a pole at $z = -1$.

Let \mathcal{V}_n be the vector space of meromorphic differentials of a single variable with a pole only at $z = -1$ of order at most $2n$, and (with principal part) skew invariant under $z \mapsto \frac{1}{z}$. It has dimension n , because a basis for this vector space can be obtained by taking the principal part at $z = -1$ of $s^{-2k} ds$, for $k = 1, 2, \dots, n$ and s the local coordinate defined by $x = 4 + s^2$.

The expansion of any $\xi \in \mathcal{V}_n$ at $x = \infty$ can be understood from the fundamental expansion

$$\xi_1(z) := \sum_{k=0}^{\infty} \binom{2k}{k} x^{-k} = \sqrt{\frac{x}{x-4}} = \frac{1+z}{1-z}.$$

(A different choice of $\sqrt{\frac{x}{x-4}}$ replaces z by $\frac{1}{z}$ on the right hand side.) Since $\xi_1(\frac{1}{z}) = -\xi_1(z)$, then $d\xi_1 \in \mathcal{V}_1$. Consider the operator

$$-x \frac{d}{dx} = \frac{z(z+1)}{1-z} \frac{d}{dz}.$$

It preserves skew invariance under $z \mapsto \frac{1}{z}$, since $x(\frac{1}{z}) = x(z)$. Any function with only poles at $z = -1$ has no new poles introduced, because $z = \infty$ remains a regular point.

Hence, for the meromorphic function

$$\xi_n(z) = \left(-x \frac{d}{dx}\right)^{n-1} \xi_1(z) = \sum_{k=1}^{\infty} k^{n-1} \binom{2k}{k} x^{-k},$$

we have $d\xi_n \in \mathcal{V}_n$. In particular, the dimension n vector space \mathcal{V}_n is spanned by differentials with expansion around $x = \infty$ given by $\sum_{k=1}^{\infty} p(k) \binom{2k}{k} x^{-k-1} dx$, where $p(k)$ is a polynomial of degree at most n with $p(0) = 0$.

The multidifferential

$$W_0(x_1, \dots, x_n) dx_1 \cdots dx_n = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} U_0(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i-1} dx_i$$

is a linear combination of monomials in the single variable differentials, and the total order of the pole corresponds to the total degree of the polynomial. Hence, this proves the theorem in the genus 0 case.

For higher genus, Theorem 1.2 shows that the generating function

$$W_g(x_1, \dots, x_n) = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} U_g(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i-1}$$

is an expansion of a function which is rational in z_i for $x_i = z_i + \frac{1}{z_i} + 2$, with poles only at $z_i = 1$ of total order $6g + 2n - 4$ and at $z_i = -1$ of total order $2g$. Furthermore, the principal part at $z_i = \pm 1$ is skew invariant under $z_i \mapsto \frac{1}{z_i}$ for each $i = 1, 2, \dots, n$.

The operator

$$-\frac{d}{dx} = \frac{z^2}{1-z^2} \frac{d}{dz}$$

introduces poles at $z = -1$. An order $2g - 1$ pole at $z = -1$ is obtained from

$$\left(-\frac{d}{dx}\right)^g p(k) \binom{2k}{k} x^{-k} = k(k+1) \cdots (k+g-1) p(k) \binom{2k}{k} x^{-k-g} = q(m) \binom{2m-2g}{m-g} x^{-m}.$$

A pole of order $2g - 1$ also consists of lower order terms, or equivalently, terms coming from lower genus contributions. Given polynomials $p_i(m)$ for $i = 0, 1, \dots, g$, there exists a polynomial $p(m)$ such that

$$p(m) \frac{(2m-2g)!}{m!(m-g)!} = p_g(m) \binom{2m-2g}{m-g} + p_{g-1}(m) \binom{2m-2g+2}{m-g+1} + \cdots + p_0(m) \binom{2m}{m},$$

which proves the theorem. \square

Proof of Proposition 3.11. The proof uses the structure theorem and an elementary relation known as the divisor equation. By Theorem 3.12

$$B_{0,n}(\mu_1, \dots, \mu_n) = p(\mu_1, \dots, \mu_n) \prod_{i=1}^n \binom{2\mu_i}{\mu_i}, \quad \text{for } n \geq 3.$$

The following *divisor equation* is easy to prove combinatorially, by considering the result of doubling any edge of a dessin.

$$B_{0,n+1}(1, \mu_1, \dots, \mu_n) = |\boldsymbol{\mu}| \cdot B_{0,n}(\mu_1, \dots, \mu_n) \tag{3.6}$$

In terms of the polynomial part of $B_{0,n}$, it implies that

$$p(1, \mu_1, \dots, \mu_n) = \frac{1}{2} |\boldsymbol{\mu}| \cdot p(\mu_1, \dots, \mu_n). \tag{3.7}$$

It is easy to check that the recursion (3.7) is satisfied by

$$p(\mu_1, \dots, \mu_n) = 2^{1-n} (|\boldsymbol{\mu}| - 1)(|\boldsymbol{\mu}| - 2) \cdots (|\boldsymbol{\mu}| - n + 3).$$

A degree $n - 3$ symmetric polynomial in n variables $p(\mu_1, \dots, \mu_n)$ is uniquely determined by its evaluation at one variable, say $\mu_1 = a$. Hence, equation (3.7) uniquely determines $p(\mu_1, \dots, \mu_n, \mu_{n+1})$ from $p(\mu_1, \dots, \mu_n)$ and inductively, from the initial condition $p(\mu_1, \mu_2, \mu_3) = \frac{1}{4}$. (This last fact is equivalent to $b_{0,3}(\mu_1, \mu_2, \mu_3) = 2$.) Thus, the required solution $p(\mu_1, \dots, \mu_n) = 2^{1-n} (|\boldsymbol{\mu}| - 1)(|\boldsymbol{\mu}| - 2) \cdots (|\boldsymbol{\mu}| - n + 3)$ is indeed the unique solution and the proposition is proven. \square

The definition of $B_{g,n}(\mu_1, \dots, \mu_n)$ requires all μ_i to be positive. However, the polynomial structure of $B_{g,n}(\mu_1, \dots, \mu_n)$ allows one to evaluate at $\mu_i = 0$. The following proposition gives a combinatorial meaning to such evaluation.

PROPOSITION 3.14. *For m and n positive integers, $B_{g,n+m}(\mu_1, \dots, \mu_n, 0, 0, \dots, 0)$ enumerates dessins in $\mathcal{B}_{g,n}(\mu_1, \dots, \mu_n)$ with m distinct black vertices labelled.*

Proof. Intuitively, $\mu_i = 0$ represents a diameter 0 boundary component, which we think of as the labelled black vertices. Thus, it is natural to use the labels $n + 1, n + 2, \dots, n + m$. More precisely, we can use Theorem 1.2 to write the dilaton equation (2.3) equivalently as

$$B_{g,n+1}(1, \mu_1, \dots, \mu_n) - B_{g,n+1}(0, \mu_1, \dots, \mu_n) = \frac{1}{2} (|\boldsymbol{\mu}| + 2g - 2 + n) B_{g,n}(\mu_1, \dots, \mu_n).$$

Together with the divisor equation (3.6), we have

$$B_{g,n+1}(0, \mu_1, \dots, \mu_n) = \frac{1}{2} (|\boldsymbol{\mu}| - 2g + 2 - n) B_{g,n}(\mu_1, \dots, \mu_n) = \frac{1}{2} V \cdot B_{g,n}(\mu_1, \dots, \mu_n),$$

where $V = |\boldsymbol{\mu}| - 2g + 2 - n$ is the number of vertices of any dessin in the set $\mathcal{B}_{g,n}(\mu_1, \dots, \mu_n)$. So the expression $V \cdot B_{g,n}(\mu_1, \dots, \mu_n)$ can be interpreted as the enumeration of dessins in $\mathcal{B}_{g,n}(\mu_1, \dots, \mu_n)$ with one vertex labelled. Due to the symmetry between black and white vertices, one can interpret the expression $\frac{1}{2}V \cdot B_{g,n}(\mu_1, \dots, \mu_n)$ as the enumeration of dessins in $\mathcal{B}_{g,n}(\mu_1, \dots, \mu_n)$ with one black vertex labelled. Now apply this relation m times to the expression $B_{g,n+m}(\mu_1, \dots, \mu_n, 0, 0, \dots, 0)$ to obtain the desired result. \square

The previous proposition does not apply when $n = 0$, but we conjecture that

$$B_{g,m}(0, \dots, 0) = 2^{1-m} \chi(\mathcal{M}_{g,m}).$$

This would be a consequence of the dilaton equation and the $m = 1$ case $B_{g,1}(0) = \chi(\mathcal{M}_{g,1})$. We have not yet proven that $B_{g,1}(0) = \chi(\mathcal{M}_{g,1})$, although we have verified it numerically for small values of g . It should follow from the three-term recursion of the next section, using a method analogous to that of Harer and Zagier [17].

4. Three-term recursion for dessins d'enfant

Harer and Zagier calculated the virtual Euler characteristics of moduli spaces of smooth curves via the enumeration of fatgraphs with one face [17]. They define $\epsilon_g(n)$ to be the number of ways to glue the edges of a $2n$ -gon in pairs and obtain an orientable genus g surface. Equivalently, $\epsilon_g(n)$ is equal to $2n$ multiplied by the number of genus g fatgraphs with one face and n edges, counted with the usual weight $\frac{1}{|\text{Aut } \Gamma|}$. Through the analysis of a Hermitian matrix integral, they arrive at the following three-term recursion for fatgraphs with one face.

$$(n+1)\epsilon_g(n) = 2(2n-1)\epsilon_g(n-1) + (n-1)(2n-1)(2n-3)\epsilon_{g-1}(n-2)$$

There are myriad combinatorial results concerning the enumeration of fatgraphs with one face, and many of these have analogues for the enumeration of dessins with one face. For example, the first equation below, which appears in [17] with $C(n, z)$ in place of $F_n(z)$, gives a formula for the polynomial generating function of fatgraphs with one face and n edges. The second is known as Jackson's formula [18] and gives the polynomial generating function for dessins with one face and n edges.

$$F_n(z) = \sum_{g=0}^{\infty} \epsilon_g(n) z^{n+1-2g} = \frac{(2n)!}{2^n n!} \sum_{r=0}^n 2^r \binom{n}{r} \binom{z}{r+1} \quad (4.1)$$

$$G_n(z) = \sum_{g=0}^{\infty} U_g(n) z^{n+1-2g} = n! \sum_{r,s=0}^{n-1} \binom{n-1}{r,s} \binom{z}{r+1} \binom{z}{s+1} \quad (4.2)$$

Here, we use the notation $\binom{n-1}{r,s} = \frac{(n-1)!}{r!s!(n-1-r-s)!}$ with the convention that if $r+s > n-1$, then the expression is equal to zero.

To the best of our knowledge, the following analogue of the Harer–Zagier three-term recursion for dessins does not appear in the literature. It will play an important role in our calculation of the 1-point invariants of the spectral curve $xy^2 = 1$.

THEOREM 4.1. *The following recursion holds for $g \geq 0$ and $n \geq 1$, where we set $U_0(0) = 1$.*

$$(n+1)U_g(n) = 2(2n-1)U_g(n-1) + (n-1)^2(n-2)U_{g-1}(n-2) \quad (4.3)$$

Proof. We begin with the following observation of Bernardi and Chapuy [2, Theorem 5.3].

$$G_n(z) = (n-1)!n! \sum_{i+j=n-1} \frac{F_i(z) F_j(z)}{(2i)! (2j)!}.$$

To see this, we simply substitute the expressions from equation (4.1) and (4.2) on both sides.

$$\begin{aligned} & n! \sum_{r,s=0}^{n-1} \binom{n-1}{r,s} \binom{z}{r+1} \binom{z}{s+1} \\ &= (n-1)!n! \sum_{i+j=n-1} \frac{1}{2^i i!} \frac{1}{2^j j!} \sum_{r=0}^i 2^r \binom{i}{r} \binom{z}{r+1} \sum_{s=0}^j 2^s \binom{j}{s} \binom{z}{s+1} \\ &= (n-1)!n! \sum_{i+j=n-1} \frac{1}{i! j!} \sum_{r,s=0}^{n-1} 2^{r+s-n+1} \binom{i}{r} \binom{j}{s} \binom{z}{r+1} \binom{z}{s+1} \\ &= (n-1)!n! \sum_{r,s=0}^{n-1} \frac{1}{r! s!} \binom{z}{r+1} \binom{z}{s+1} \sum_{k=0}^{n-1-r-s} \frac{2^{r+s-n+1}}{k! (n-1-r-s-k)!} \end{aligned}$$

The two sides are equal since the inner summation on the right hand side simplifies to $\frac{1}{(n-1-r-s)!}$. It immediately follows that

$$G_n(z) = n!(n-1)! [t^{n+1}] E(z, t)^2,$$

where $E(z, t)$ is the generating function

$$\begin{aligned} E(z, t) &= \sum_{n=0}^{\infty} \frac{F_n(z)}{(2n)!} t^{n+1} = \sum_{n=0}^{\infty} \sum_{k=0}^{\infty} \frac{2^k}{2^n n!} \binom{n}{k} \binom{z}{k+1} t^{n+1} = t \sum_{k=0}^{\infty} \frac{2^k}{k!} \binom{z}{k+1} \sum_{n=k}^{\infty} \frac{(t/2)^n}{(n-k)!} \\ &= t e^{t/2} \sum_{k=0}^{\infty} \binom{z}{k+1} \frac{t^k}{k!}. \end{aligned}$$

This expansion implies that $E(z, t) = z M_{-z, 1/2}(t)$, where M denotes the Whittaker function. It follows that $E(z, t)$ satisfies the second order differential equation

$$\frac{\partial^2}{\partial t^2} E(z, t) - \left(\frac{1}{4} + \frac{z}{t} \right) E(z, t) = 0,$$

which one can verify directly from the expansion above. Further differentiation shows that its square satisfies the third order differential equation

$$\frac{\partial^3}{\partial t^3} E(z, t)^2 = \left(\frac{4z}{t} + 1 \right) \frac{\partial}{\partial t} E(z, t)^2 - \frac{2z}{t^2} E(z, t)^2.$$

By collecting terms in the t -expansion of both sides, we obtain

$$(n+1)n(n-1) [t^{n+1}] E(z, t)^2 = 4nz [t^n] E(z, t)^2 + (n-1) [t^{n-1}] E(z, t)^2 - 2z [t^n] E(z, t)^2,$$

which is equivalent to

$$(n+1) G_n(z) = 2(2n-1)z G_{n-1}(z) + (n-1)^2(n-2) G_{n-2}(z).$$

Now we use $U_g(n) = [z^{n+1-2g}] G_n(z)$ to deduce that

$$(n+1) U_g(n) = 2(2n-1) U_g(n-1) + (n-1)^2(n-2) U_{g-1}(n-2). \quad \square$$

The three-term recursion for enumeration of dessins with one face is equivalent to a recursion for the generating functions $F_{g,1}(x)$. From this recursion, one can extract the highest order coefficients of the poles at $z = -1$. This is enough to prove Theorem 1.4, which states that the

1-point invariants of the spectral curve $xy^2 = 1$ are given by

$$\omega_1^g(z) = 2^{1-8g} \frac{(2g)!^3}{g!^4(2g-1)} z^{-2g} dz.$$

Proof of Theorem 1.4. As in Section 3.1, we use the notation $W_g(x)$ to denote

$$W_g(x) dx = dF_{g,1}(x) = \sum_{n=0}^{\infty} U_g(n) x^{-n-1} dx.$$

Then equation (4.3) is equivalent to the differential equation

$$\left[\frac{x-4}{x} \frac{d}{dx} - \frac{2}{x^2} \right] W_g(x) = \left[\frac{d^3}{dx^3} + \frac{4}{x} \frac{d^2}{dx^2} + \frac{2}{x^2} \frac{d}{dx} \right] W_{g-1}(x).$$

Write $\omega_1^g(z) = w_g(z) dz = W_g(x) dx$, where $w_g(z)$ is a rational function of z with poles at $z = \pm 1$. The differential equation above can be written in terms of $w_g(z)$ and $w_{g-1}(z)$. Extract the highest order terms of the principal part at $z = -1$ of the resulting differential equation to obtain

$$\left[\frac{d}{dz} - 1 \right] w_g(z) = \frac{1}{16} \left[\frac{d^3}{dz^3} + \frac{2}{1+z} \frac{d^2}{dz^2} - \frac{1}{(1+z)^2} \frac{d}{dz} + \frac{1}{(1+z)^3} \right] w_{g-1}(z) + [\text{lower order}].$$

By “lower order terms”, we mean terms with lower order poles at $z = -1$. This becomes an exact differential equation for the 1-point invariants $\omega_1^g(z) = v_g(z) dz$ of the spectral curve $xy^2 = 1$. For $g \geq 1$, we have

$$\frac{d}{dz} v_g(z) = \frac{1}{16} \left[\frac{d^3}{dz^3} + \frac{2}{z} \frac{d^2}{dz^2} - \frac{1}{z^2} \frac{d}{dz} + \frac{1}{z^3} \right] v_{g-1}(z),$$

with the boundary condition $v_g(\infty) = 0$. This comes from the fact that the differential $v_g(z) dz$ has no pole at $z = \infty$ for $g \geq 1$. Given the initial condition $v_0(z) = -2$, the system has a unique solution. Since $v_0(z)$ is homogeneous in z , each $v_g(z)$ is homogeneous of degree $-2g$. If we write $v_g(z) = a_g z^{-2g}$, then the previous equation implies that

$$-2g a_g = -\frac{1}{16} (2g-3)(2g-1)^2 a_{g-1}.$$

From the initial condition $a_0 = -2$, we obtain the solution $a_g = 2^{1-8g} \frac{(2g)!^3}{g!^4(2g-1)}$. It follows that the 1-point invariants of the spectral curve $xy^2 = 1$ are given by

$$\omega_1^g(z) = v_g(z) dz = 2^{1-8g} \frac{(2g)!^3}{g!^4(2g-1)} z^{-2g} dz. \quad \square$$

5. Relation to the Kazarian–Zograf spectral curve

Kazarian and Zograf [19] study a more refined version of our enumeration of dessins d’enfant. They define $\mathcal{N}_{k,\ell}(\boldsymbol{\mu})$ to be the weighted sum of connected Belyi covers with k points above 0, ℓ points above 1 and ramification prescribed by $\boldsymbol{\mu} = (\mu_1, \dots, \mu_n)$ above infinity. Hence, we have the relation

$$B_{g,n}(\boldsymbol{\mu}) = \sum_{k+\ell=|\boldsymbol{\mu}|+2-2g-n} \mathcal{N}_{k,\ell}(\boldsymbol{\mu}).$$

They prove that the generating function

$$W_g^{KZ}(s, u, v, x_1, \dots, x_n) = \sum_{\boldsymbol{\mu}} \sum_{k+\ell=|\boldsymbol{\mu}|+2-2g-n} \mathcal{N}_{k,\ell}(\boldsymbol{\mu}) s^{|\boldsymbol{\mu}|} u^k v^\ell x_1^{\mu_1} \dots x_n^{\mu_n}$$

satisfies topological recursion on the regular spectral curve C given by

$$xy^2 + \left(-\frac{1}{s} + (u+v)x\right)y + uvx = 0. \tag{5.1}$$

One expects the $u \rightarrow v$ limit to be related to the unrefined count — however, the curve (5.1) is ill-behaved in the limit since $\omega_n^g \rightarrow 0$. This can be seen from

$$x = \frac{1}{s} \frac{y}{(y+u)(y+v)} \quad \Rightarrow \quad dx = \frac{1}{s} \frac{uv - y^2}{(y+u)^2 (y+v)^2} dy,$$

so in the $u \rightarrow v$ limit dx has a single zero since one of the two zeros of dx cancels with a pole. We can choose a family of rational parametrisations that fix the poles and zeros of dx and hence counteract the collision of zeros and poles.

$$x = \frac{\sqrt{uv}}{s(u-v)^2} \left(z + \frac{1}{z}\right) + \frac{u+v}{s(u-v)^2} \quad y = -\sqrt{uv} \frac{z\sqrt{u} + \sqrt{v}}{z\sqrt{v} + \sqrt{u}} = -u + \frac{u-v}{1 + \sqrt{\frac{v}{u}}z}$$

The meromorphic functions

$$x' = \frac{1}{s(v-u)} \left[\sqrt{uv} \left(z + \frac{1}{z}\right) + u+v \right] \quad \text{and} \quad y' = \frac{\sqrt{\frac{v}{u}}z}{1 + \sqrt{\frac{v}{u}}z}$$

define a spectral curve C' that yields equivalent invariants $\omega_n^g(C) = \omega_n^g(C')$. The invariants are preserved under the transformations $y \mapsto y+v$ and $(x, y) \mapsto ((v-u)x, \frac{y}{v-u})$, since x and y appear in the recursion only via the combination $y dx$. The limit $u \rightarrow v$ still causes the ω_n^g to degenerate to zero, but now in a controlled way. In fact, we have

$$\omega_n^g(C) = (v-u)^{2g-2+n} \omega_n^g(C''),$$

where C'' is the spectral curve given by

$$x'' = \sqrt{uv} \left(z + \frac{1}{z}\right) + u+v \quad \text{and} \quad y'' = \frac{\sqrt{\frac{v}{u}}z}{1 + \sqrt{\frac{v}{u}}z}.$$

This resembles our original spectral curve (1.3), although C'' is regular for $u \neq v$ and becomes irregular only when $u = v$. We have not been able to prove Theorem 1.2 via this limit. The qualitative difference between regular and irregular curves may explain this.

6. The quantum curve

Recall from Theorem 1.2 that the topological recursion applied to the spectral curve

$$x = z + \frac{1}{z} + 2 \quad \text{and} \quad y = \frac{z}{1+z}$$

produces the invariants

$$\omega_n^g(z_1, \dots, z_n) = \frac{\partial}{\partial x_1} \cdots \frac{\partial}{\partial x_n} F_{g,n}(x_1, \dots, x_n) dx_1 \otimes \cdots \otimes dx_n, \quad \text{for } 2g - 2 + n > 0.$$

Here, the so-called *free energies*

$$F_{g,n}(x_1, \dots, x_n) = \sum_{\mu_1, \dots, \mu_n=1}^{\infty} B_{g,n}(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i}$$

are natural generating functions for the enumeration of dessins of type (g, n) . By exception, we modify $F_{0,1}$ by defining

$$F_{0,1}(x_1) = -\log x_1 + \sum_{\mu_1=1}^{\infty} B_{0,1}(\mu_1) x_1^{-\mu_1}.$$

The logarithmic term appearing in the definition of $F_{0,1}(x_1)$ is consistent with the fact that $U_0(0) = 1$ — for example, see Section 3.1 and Theorem 4.1 — and the fact that

$$\frac{\partial}{\partial x_1} \cdots \frac{\partial}{\partial x_n} F_{g,n}(x_1, \dots, x_n) = (-1)^n \sum_{\mu_1, \dots, \mu_n} U_g(\mu_1, \dots, \mu_n) \prod_{i=1}^n x_i^{-\mu_i - 1}.$$

From these, one defines the wave function as

$$Z(x, \hbar) = \exp \left[\sum_{g=0}^{\infty} \sum_{n=1}^{\infty} \frac{\hbar^{2g-2+n}}{n!} F_{g,n}(x, x, \dots, x) \right],$$

which differs from the expression given in Section 1 due to the adjustment of $F_{0,1}$.

THEOREM 6.1. For $\hat{x} = x$ and $\hat{y} = -\hbar \frac{\partial}{\partial x}$, we have the equation

$$[\hat{y}\hat{x}\hat{y} - \hat{x}\hat{y} + 1] Z(x, \hbar) = 0,$$

which is the quantum curve corresponding to the spectral curve $xy^2 - xy + 1 = 0$.

In order to interpret Theorem 6.1, we need to make precise what we mean by this equation, given that the \hbar -expansion of $Z(x, \hbar)$ is not well-defined. One way to do this is to express the wave function as

$$Z(x, \hbar) = x^{-1/\hbar} \bar{Z}(x, \hbar),$$

where the term $x^{-1/\hbar}$ comes from the exceptional logarithmic term in the definition of $F_{0,1}$. So we interpret Theorem 6.1 as

$$x^{1/\hbar} [\hat{y}\hat{x}\hat{y} - \hat{x}\hat{y} + 1] x^{-1/\hbar} \bar{Z}(x, \hbar) = 0 \quad \Rightarrow \quad \left[x\hbar^2 \frac{\partial^2}{\partial x^2} + \hbar(\hbar - 2 + x) \frac{\partial}{\partial x} + x^{-1} \right] \bar{Z}(x, \hbar) = 0. \quad (6.1)$$

The proposition below asserts that $\bar{Z}(x, \hbar)$ has an expansion in x^{-1} with coefficients that are Laurent polynomials in \hbar — in other words, $\bar{Z}(x, \hbar) \in \mathbb{Q}[[\hbar^{\pm 1}]][[x^{-1}]]$. So the rigorous statement of Theorem 6.1 is via equation (6.1), in terms of a differential operator annihilating the formal series $\bar{Z}(x, \hbar) \in \mathbb{Q}[[\hbar^{\pm 1}]][[x^{-1}]]$.

In fact, we will explicitly calculate the coefficients of $\bar{Z}(x, \hbar)$ in the x^{-1} -expansion and use this to derive the quantum curve. The strategy is to interpret the coefficients of $\bar{Z}(x, \hbar)$ combinatorially using the following observations about the definition of the wave function $Z(x, \hbar)$.

- The expression $F_{g,n}(x, x, \dots, x)$ counts dessins not with respect to the tuple of boundary lengths, but with respect to the sum of the boundary lengths. This is precisely the number of edges in the dessin.
- The term $\frac{\hbar^{2g-2+n}}{n!}$ ignores the labels of the boundary components and organises the count of dessins by Euler characteristic rather than by genus.
- The exponential in the definition of $Z(x, \hbar)$ passes from a count of connected dessins to a count of disconnected dessins, via the usual exponential formula.

PROPOSITION 6.2. The modified wave function $\bar{Z}(x, \hbar)$ is an element of $\mathbb{Q}[[\hbar^{\pm 1}]][[x^{-1}]]$. Furthermore, it can be expressed as

$$\bar{Z}(x, \hbar) = 1 + \sum_{e=1}^{\infty} \hbar^e [\hbar^{-1}(\hbar^{-1} + 1)(\hbar^{-1} + 2) \cdots (\hbar^{-1} + e - 1)]^2 x^{-e}.$$

Proof. First, consider the logarithm of the modified wave function.

$$\begin{aligned} \log \bar{Z}(x, \hbar) &= \sum_{g=0}^{\infty} \sum_{n=1}^{\infty} \frac{\hbar^{2g-2+n}}{n!} F_{g,n}(x, x, \dots, x) \\ &= \sum_{g=0}^{\infty} \sum_{n=1}^{\infty} \frac{\hbar^{2g-2+n}}{n!} \sum_{\mu_1, \dots, \mu_n=1}^{\infty} B_{g,n}(\mu_1, \dots, \mu_n) x^{-(\mu_1 + \dots + \mu_n)} \\ &= \sum_{v=1}^{\infty} \sum_{e=1}^{\infty} f(v, e) \hbar^{e-v} x^{-e} \end{aligned}$$

Here, $f(v, e)$ denotes the weighted count of connected dessins with v vertices, e edges, and unlabelled boundary components. To obtain this last expression, we have used the fact that $v - e = 2g - 2 + n$ and $\mu_1 + \dots + \mu_n = e$ for any dessin. The factor $\frac{1}{n!}$ accounts for the fact that we are now considering dessins with unlabelled faces. Note that we exclude from consideration the dessins consisting of an isolated vertex.

Next, we use the exponential formula to pass from the connected count to its disconnected analogue.

$$\bar{Z}(x, \hbar) = 1 + \sum_{v=1}^{\infty} \sum_{e=1}^{\infty} f^{\bullet}(v, e) \hbar^{e-v} x^{-e}$$

Here, $f^{\bullet}(v, e)$ denotes the weighted count of possibly disconnected dessins with v vertices, e edges, and unlabelled faces. We furthermore require that no connected component consists of an isolated vertex.

Now note that $f^{\bullet}(v, e)$ is equal to $\frac{1}{e!}$ multiplied by the number of triples $(\sigma_0, \sigma_1, \sigma_2)$ of permutations in the symmetric group S_e such that $\sigma_0 \sigma_1 \sigma_2 = \text{id}$ and $c(\sigma_0) + c(\sigma_1) = v$. Here, we use $c(\sigma)$ to denote the number of disjoint cycles in the permutation σ . However, this is clearly equal to $\frac{1}{e!}$ multiplied by the number of pairs (σ_0, σ_1) of permutations in S_e such that $c(\sigma_0) + c(\sigma_1) = v$. Recall that the Stirling number of the first kind $\begin{bmatrix} n \\ k \end{bmatrix}$ counts the number of permutations in S_n with k disjoint cycles. So we have deduced that[†]

$$f^{\bullet}(v, e) = \frac{1}{e!} \sum_{a+b=v} \begin{bmatrix} e \\ a \end{bmatrix} \begin{bmatrix} e \\ b \end{bmatrix}.$$

It is evident from this formula that for fixed e , we require $2 \leq v \leq 2e$ to have $f^{\bullet}(v, e) \neq 0$. Therefore, the modified wave function $\bar{Z}(x, \hbar)$ is indeed an element of $\mathbb{Q}[\hbar^{\pm 1}][[x^{-1}]]$.

Now we simply use the fact that the generating function for Stirling numbers of the first kind is given by

$$\sum_{k=1}^n \begin{bmatrix} n \\ k \end{bmatrix} x^k = x(x+1)(x+2) \cdots (x+n-1).$$

Use this in the expression for the modified wave function as follows.

$$\begin{aligned} \bar{Z}(x, \hbar) &= 1 + \sum_{v=1}^{\infty} \sum_{e=1}^{\infty} \frac{1}{e!} \sum_{a+b=v} \begin{bmatrix} e \\ a \end{bmatrix} \begin{bmatrix} e \\ b \end{bmatrix} \hbar^{e-v} x^{-e} = 1 + \sum_{e=1}^{\infty} \frac{1}{e!} \sum_{a=1}^{\infty} \begin{bmatrix} e \\ a \end{bmatrix} \hbar^{-a} \sum_{b=1}^{\infty} \begin{bmatrix} e \\ b \end{bmatrix} \hbar^{-b} \hbar^e x^{-e} \\ &= 1 + \sum_{e=1}^{\infty} \frac{\hbar^e}{e!} [\hbar^{-1}(\hbar^{-1} + 1)(\hbar^{-1} + 2) \cdots (\hbar^{-1} + e - 1)]^2 x^{-e} \quad \square \end{aligned}$$

[†]The numbers $f^{\bullet}(v, e)$ appear in the triangle of numbers given by sequence A246117 in the OEIS. There, the number $f^{\bullet}(v, e)$ is described as the number of parity-preserving permutations in S_{2e} with v cycles. A parity-preserving permutation p on the set $\{1, 2, \dots, n\}$ is one that satisfies $p(i) \equiv i \pmod{2}$ for $i = 1, 2, \dots, n$.

The quantum curve for the enumeration of dessins is now a straightforward consequence of the previous proposition.

Proof of Theorem 6.1. We use Proposition 6.2 to derive the quantum curve. Start by writing

$$\bar{Z}(x, \hbar) = \sum_{e=0}^{\infty} a_e(\hbar) x^{-e}, \quad \text{where } a_e(\hbar) = \frac{\hbar^e}{e!} [\hbar^{-1}(\hbar^{-1} + 1)(\hbar^{-1} + 2) \cdots (\hbar^{-1} + e - 1)]^2.$$

Then take the relation $(e + 1) a_{e+1}(\hbar) = \hbar(\hbar^{-1} + e)^2 a_e(\hbar)$, multiply both sides by x^{-e-1} , and sum over all e to obtain

$$\begin{aligned} \sum_{e=0}^{\infty} (e + 1) a_{e+1}(\hbar) x^{-e-1} &= \sum_{e=0}^{\infty} \hbar(\hbar^{-1} + e)^2 a_e(\hbar) x^{-e-1} \\ \sum_{e=0}^{\infty} e a_e(\hbar) x^{-e} &= \hbar^{-1} \sum_{e=0}^{\infty} a_e(\hbar) x^{-e-1} + 2 \sum_{e=0}^{\infty} e a_e(\hbar) x^{-e-1} + \hbar \sum_{e=0}^{\infty} e^2 a_e(\hbar) x^{-e-1} \\ -x \frac{\partial \bar{Z}}{\partial x} &= \hbar^{-1} x^{-1} \bar{Z} - 2 \frac{\partial \bar{Z}}{\partial x} + \hbar \frac{\partial}{\partial x} \left[x \frac{\partial \bar{Z}}{\partial x} \right]. \end{aligned}$$

Now use the product rule on the final term and rearrange the equation to obtain the desired quantum curve, as expressed in equation (6.1). \square

REMARK 6.3. In the semi-classical limit, the quantum curve differential operator becomes a multiplication operator. The limit is obtained by sending $\hbar \rightarrow 0$ in the following way. Put

$$S_m(x) = \sum_{2g-2+n=m-1} \frac{1}{n!} F_{g,n}(x, x, \dots, x) \quad \Rightarrow \quad Z(x, \hbar) = \exp \left[\sum_{m=0}^{\infty} \hbar^{m-1} S_m(x) \right].$$

Then we have

$$\begin{aligned} &\lim_{\hbar \rightarrow 0} \exp \left[-\frac{1}{\hbar} S_0(x) \right] (\widehat{y}\widehat{x}\widehat{y} - \widehat{x}\widehat{y} + 1) Z(x, \hbar) \\ &= \lim_{\hbar \rightarrow 0} \exp \left[-\frac{1}{\hbar} S_0(x) \right] (\widehat{y}\widehat{x}\widehat{y} - \widehat{x}\widehat{y} + 1) \exp \left[\frac{1}{\hbar} S_0(x) \right] \exp \left[\sum_{m=1}^{\infty} \hbar^{m-1} S_m(x) \right] \\ &= \lim_{\hbar \rightarrow 0} (xS'_0(x)^2 - xS'_0(x) + 1 + \hbar S'_0(x) - \hbar) \exp \left[\sum_{m=1}^{\infty} \hbar^{m-1} S_m(x) \right]. \end{aligned}$$

For this expression to vanish, we must have $xS'_0(x)^2 - xS'_0(x) + 1 = 0$, which is precisely the spectral curve given by equation (1.3) since $y = F'_{0,1}(x) = S'_0(x)$.

REMARK 6.4. One can express an operator $\widehat{P}(\widehat{x}, \widehat{y})$ in terms of the \hbar -expansion

$$\widehat{P}(\widehat{x}, \widehat{y}) = P(\widehat{x}, \widehat{y}) + P_1(\widehat{x}, \widehat{y}) \hbar + P_2(\widehat{x}, \widehat{y}) \hbar^2 + \cdots.$$

Here, each $P_k(\widehat{x}, \widehat{y})$ is a normally ordered operator in the sense that \widehat{y} terms in a monomial are placed to the right of \widehat{x} -terms. The $P_k(\widehat{x}, \widehat{y})$ can be reconstructed recursively from the wave function. In other rigorously known instances of the topological recursion/quantum curve paradigm where the spectral curve is polynomial, the quantum curve often contains no higher order terms. For example,

- the spectral curve $y^2 - xy + 1 = 0$ that governs the enumeration of ribbon graphs has quantum curve $\widehat{y}^2 - \widehat{x}\widehat{y} + 1$ [21];
- the spectral curve $xy^2 + y + 1 = 0$ that governs monotone Hurwitz numbers has quantum curve $\widehat{x}\widehat{y}^2 + \widehat{y} + 1$ [5]; and

– the spectral curve $y^a - xy + 1 = 0$ that governs the enumeration of a -hypermaps has quantum curve $\widehat{y}^a - \widehat{x}\widehat{y} + 1$ [6, 9].

In this particular instance, we have a quantum curve that is not obtained simply by the normal ordering of operators. Imposing a normal ordering introduces an \hbar term in the following way, where we use the commutation relation $[\widehat{x}, \widehat{y}] = \hbar$.

$$\widehat{P}(\widehat{x}, \widehat{y}) = \widehat{y}\widehat{x}\widehat{y} - \widehat{x}\widehat{y} + 1 = (\widehat{x}\widehat{y} - \hbar)\widehat{y} - \widehat{x}\widehat{y} + 1 = (\widehat{x}\widehat{y}^2 - \widehat{x}\widehat{y} + 1) - \hbar\widehat{y}$$

REMARK 6.5. The statement

$$\lim_{\hbar \rightarrow 0} \hbar \frac{d}{dx} \log \overline{Z}(x, \hbar) = y = \int_0^4 \frac{\lambda(t)}{x-t} dt, \quad \text{where } \lambda(t) = \frac{1}{2\pi} \sqrt{\frac{4-t}{t}} \cdot \mathbb{1}_{[0,4]},$$

agrees with equation (3.4) of [15], where $\overline{Z}(x, \hbar)$ is replaced by $\langle \det(x - A) \rangle$, the expectation value of a matrix integral over positive definite Hermitian matrices. This confirms the known fact in the physics literature that the wave function corresponds to the expectation value $\langle \det(x - A) \rangle$.

7. Local irregular behaviour

The asymptotic behaviour of ω_n^g near zeros of dx is governed by the local behaviour of the curve C there [14]. The usual assumption is that the local behaviour is described by $x = y^2$ which, as a global curve, has invariants ω_n^g that store tautological intersection numbers over the compactified moduli space of curves $\mathcal{M}_{g,n}$. Here, we also consider the local behaviour described by $xy^2 = 1$.

Consider the rational spectral curve

$$x = \frac{1}{2}z^2 \quad \text{and} \quad y = \frac{1}{z}. \tag{7.1}$$

We include the factor of $\frac{1}{2}$ in x simply to reduce powers of 2 in the resulting invariants. One can calculate invariants via topological recursion and obtain

$$\begin{aligned} \omega_n^0 &= 0, & \text{for } n \geq 3, & & \omega_n^2 &= 2^{-8} 3^2 (n+1)! \prod_{i=1}^n \frac{dz_i}{z_i^2} \sum_{i=1}^n \frac{1}{z_i^2}. \\ \omega_n^1 &= 2^{-3} (n-1)! \prod_{i=1}^n \frac{dz_i}{z_i^2}, \end{aligned}$$

If we write

$$\omega_n^g = \sum u_g(\mu_1, \dots, \mu_n) \prod_{i=1}^n \frac{dz_i}{z_i^{\mu_i+1}},$$

then the coefficients satisfy the recursion

$$\begin{aligned} u_g(\mu_1, \boldsymbol{\mu}_S) &= \sum_{j=2}^n \mu_j u_g(\mu_1 + \mu_j - 1, \boldsymbol{\mu}_{S \setminus \{j\}}) \\ &+ \frac{1}{2} \sum_{i+j=\mu_1-1} \left[u_{g-1}(i, j, \boldsymbol{\mu}_S) + \sum_{\substack{g_1+g_2=g \\ I \sqcup J=S}} u_{g_1}(i, \boldsymbol{\mu}_I) u_{g_2}(j, \boldsymbol{\mu}_J) \right], \end{aligned} \tag{7.2}$$

for $S = \{2, \dots, n\}$. We impose the base cases $u_0(\mu_1, \dots, \mu_n) = 0$ for all μ_1, \dots, μ_n and $u_g(\mu_1, \dots, \mu_n) = 0$ if any of μ_1, \dots, μ_n are even. In low genus, the recursion is solved by

$$\begin{aligned} u_1(1, \dots, 1) &= 2^{-3}(n-1)! & \text{and} & & u_1(\mu_1, \dots, \mu_n) &= 0 & \text{otherwise,} \\ u_2(3, 1, \dots, 1) &= 2^{-8}3(n+1)! & \text{and} & & u_2(\mu_1, \dots, \mu_n) &= 0 & \text{otherwise,} \\ u_3(5, 1, \dots, 1) &= 2^{-13}75(n+3)!, \\ u_3(3, 3, 1, \dots, 1) &= 2^{-12}\frac{189}{5}(n+3)! & \text{and} & & u_3(\mu_1, \dots, \mu_n) &= 0 & \text{otherwise.} \end{aligned}$$

The invariant $u_g(\mu_1, \dots, \mu_n)$ is non-zero only if μ is a partition of $2g - 2 + n$ with only odd parts. This suggests a possible relationship with connected branched covers of the torus with n branch points of ramification orders μ_1, \dots, μ_n . By the Riemann–Hurwitz formula, such a cover is necessarily of genus g .

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