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Process-explicit models reveal pathway to extinction for woolly mammoth using pattern-oriented validation

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1 **Process-explicit models reveal pathway to extinction for woolly mammoth**
2 **using pattern-oriented validation**

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25

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45 **Abstract**

46 Pathways to extinction start long before the death of the last individual. However, causes of
47 early-stage population declines and the susceptibility of small residual populations to
48 extirpation are typically studied in isolation. Using validated process-explicit models, we
49 disentangle the ecological mechanisms and threats that were integral in the initial decline and
50 later extinction of the woolly mammoth. We show that reconciling ancient DNA data on
51 woolly mammoth population decline with fossil evidence of location and timing of extinction
52 requires process-explicit models with specific demographic and niche constraints, and a
53 constrained synergy of climatic change and human impacts. Validated models needed

54 humans to hasten climate-driven population declines by many millennia, and to allow woolly
55 mammoths to persist in mainland Arctic refugia until the mid-Holocene. Our results show
56 that the role of humans in the extinction dynamics of woolly mammoth began well before the
57 Holocene, exerting lasting effects on the spatial pattern and timing of its range-wide
58 extinction.

59 **Introduction**

60 Despite knowledge from the early 19th century (Cuvier 1807) that species go
61 extinct, ecological mechanisms that underpin extinctions remain poorly resolved (Beissinger
62 2000; Channell & Lomolino 2000; Traill *et al.* 2007). This is because pathways to extinction
63 can begin long before the extinction event, resulting from driver-state relationships that are
64 difficult to detect and disentangle (Soulé 1983; Caughley 1994). In contrast, the
65 demographic, ecological and genetic processes that make small populations susceptible to
66 eventual extinction are better established (Lande 1993; Frankham 2005). Here we develop a
67 process-explicit modelling framework that integrates the declining and small population
68 paradigms, central to ecology and conservation biology (Caughley 1994), using pattern-
69 oriented validation (Grimm *et al.* 2005). We apply it to the range collapse and extinction of
70 the woolly mammoth (*Mammuthus primigenius*) to develop a more holistic understanding of
71 spatiotemporal extinction dynamics. The woolly mammoth was one of many large mammals
72 that went extinct during the Pleistocene-Holocene transition (Barnosky *et al.* 2004; Cooper *et*
73 *al.* 2015).

74 Global warming following the Last Glacial Maximum, which extended from the late
75 Pleistocene to the early Holocene, resulted in regional temperature increases of 4 to > 10 °C
76 (Clark *et al.* 2012). During this deglaciation phase, many megafaunal species (terrestrial taxa
77 > 45 kg) became extinct (Barnosky *et al.* 2004) and many others suffered regional
78 extirpations (Cooper *et al.* 2015). This biotic simplification radically changed the structure
79 and function of ecosystems (Gill *et al.* 2009; Doughty *et al.* 2016). At the same time,
80 Palaeolithic human populations were spreading and becoming more ubiquitous, facilitated by
81 increases in primary productivity associated with climatic change (Eriksson *et al.* 2012;
82 Timmermann & Friedrich 2016). What has remained fiercely contested is the relative role of
83 human hunting and climate change, or a synergy of these impacts, on the fate of the
84 megafauna (Barnosky *et al.* 2004; Stuart *et al.* 2004; Stuart 2005; Nogués-Bravo *et al.* 2008;
85 Lorenzen *et al.* 2011; MacDonald *et al.* 2012; Cooper *et al.* 2015). Major barriers to a

86 resolution have included a sparse and uncertain fossil record (Haile *et al.* 2009), a lack of
87 high-resolution spatiotemporal projections of climatic change and human abundances
88 (Fordham *et al.* 2018), reliance on correlative rather than process-based approaches to infer
89 drivers of extinction from ecological and molecular data (Fordham *et al.* 2020), and a focus
90 on extinctions of populations once at critically small thresholds (Palkopoulou *et al.* 2015;
91 Graham *et al.* 2016; Rogers & Slatkin 2017), rather than the causes of smallness itself
92 (Caughley 1994).

93 Recent developments in macroecological modelling are enabling the drivers and
94 processes of megafauna extinctions to be unravelled from the point of initial population
95 decline to the final extinction event, using a wide body of evidence from paleo-archives
96 (Fordham *et al.* 2020). These novel approaches, which simulate the dynamics of an
97 ecological system as explicit driver-state relationships (Rangel *et al.* 2018), offer fresh
98 opportunities to disentangle the mechanisms responsible for ecological responses to climate-
99 and human-driven changes in species distributions and abundances across space and time
100 (Fordham *et al.* 2016a). Unlike correlative approaches, fossil and molecular signatures of past
101 demographic change are used as independent, objective targets for directly evaluating a
102 model's structural adequacy and parameterization (Grimm *et al.* 2005). This new pattern-
103 oriented approach to refining and validating process-explicit models of species' range
104 dynamics allows relevant global change drivers and ecological processes to be simulated and
105 tested, revealing the most likely chains of causality that lead to extinction (Fordham *et al.*
106 2021).

107 The iconic woolly mammoth was present on earth for more than half a million years
108 (Bevan *et al.* 2017) before going extinct in the mid-Holocene (Stuart *et al.* 2004). During this
109 time, woolly mammoths co-existed with Neanderthals (*Homo neanderthalensis*) and modern
110 humans (*H. sapiens*) for many millennia, and were exploited for meat, skins, bones and ivory
111 (Stuart *et al.* 2004; Stuart 2005; Nogués-Bravo *et al.* 2008). As the earth warmed rapidly
112 during the last deglaciation (approximately 19 to 11 ka (thousand years ago) BP), boreal
113 forests spread throughout Eurasia, replacing tundra grassland and forbs (Binney *et al.* 2017),
114 the preferred habitat for woolly mammoths.

115 Previous process-explicit examinations of the extinction dynamics of woolly
116 mammoth focused largely on threats to persistence for populations at already critical
117 thresholds (Palkopoulou *et al.* 2015; Graham *et al.* 2016; Rogers & Slatkin 2017), concluding
118 that genomic meltdown through inbreeding caused its extinction (Palkopoulou *et al.* 2015;
119 Rogers & Slatkin 2017). Where long-lasting roles of climate and humans have been

120 considered, their function in setting the location and timing of woolly mammoth extinction
121 (and extinctions of other large mammals) during the Pleistocene-Holocene transition has been
122 inferred from snapshots (points in time $\geq 12,000$ years apart) of projected historical range
123 movement (Nogués-Bravo *et al.* 2008; Lorenzen *et al.* 2011) and analysis of time-binned
124 fossil and archaeological data (Stuart *et al.* 2004; MacDonald *et al.* 2012), using correlative
125 (not process-explicit) modelling methods. Consequently, the spatiotemporal dynamics of
126 extinction forces, and resultant long-term patterns in population and range collapse, remain
127 unclear.

128 To unravel the determinants of early-stage population declines, and subsequent range
129 collapse and extinction of woolly mammoth in Eurasia, we built process-explicit spatially
130 dynamic macroecological models that simulate how ecological requirements and
131 demographic processes interact with climate change and human pressures to affect the
132 geographical range, population dynamics and the range-wide extirpation pattern of woolly
133 mammoths from 21 ka BP (kilo annum Before Present). We explored more than 90,000
134 scenarios, deriving best estimates of model parameters with pattern-oriented validation
135 methods. As validation targets, we used spatiotemporal inferences of local extinctions and
136 demographic change from hundreds of radiocarbon dated fossils and ancient DNA sequences.
137 These validation targets identified models with the combinations of ecological processes
138 (niche and demographic constraints for movement and extinction) and rates of global change
139 (climate-change, human-impact and their interaction) that best reconcile with ancient DNA
140 data on the timing and rate of population decline, along with fossil evidence of the extirpation
141 pattern, and location and timing of eventual species extinction. In doing so, our new
142 macroecological modelling approach was able to directly disentangle in space and time the
143 processes and threats crucial to the initial population decline and later extinction of the
144 woolly mammoth, revealing that its pathway to extinction started long before the final
145 extinction event.

146 **Materials and Methods**

147 The process-explicit macroecological model of climate-human-woolly mammoth interactions
148 we built was designed to reconstruct the pattern of range collapse, population decline and
149 extinction of woolly mammoth in Eurasia using pattern-oriented modelling methods (Grimm
150 *et al.* 2005) and spatiotemporal evidence from hundreds of radiocarbon dated fossils and
151 ancient DNA sequences (Fig 1 in S1 Text). Driver-state relationships simulated the effects of

152 climate change and hunting by humans on key ecological processes of extinction: niche
153 lability, dispersal, population growth and Allee effect. Models were coded in Program R
154 (available here: <https://doi.org/10.5281/zenodo.5567859>) and are described in detail in the
155 Methods in S1 Text.

156 *Woolly mammoth niche*

157 Radiocarbon dated and georeferenced fossils for the woolly mammoth (*M. primigenius*)
158 during the Late Pleistocene and Holocene were sourced from publicly accessible databases
159 and published literature (Fordham & Brown 2020). Their age reliability was assessed
160 (Barnosky & Lindsey 2010) and all reliable ages were calibrated using OxCal (Bronk
161 Ramsey 2009) and the IntCal13 calibration curve (Reimer *et al.* 2013). See Methods in S1
162 Text.

163 The TraCE-21 simulation of the transient climate of the last 21,000 years was used to
164 generate monthly mean climatic parameters from 21 ka BP to 0 BP (Fordham *et al.* 2017).
165 HadCM3 paleoclimate simulations from 60 to 21 ka BP (Singarayer & Valdes 2010) were
166 harmonized with TraCE-21 simulations and resampled to a $1 \times 1^\circ$ resolution (Methods in S1
167 Text). We intersected fossil locations and time periods (calibrated age ± 1 SD) with
168 paleoclimate simulations of climatic parameters that affect the population dynamics of large
169 herbivores in polar regions (Sæther 1997) and characterized an n-dimensional hypervolume
170 of climatic suitability through time (Fig 2 in S1 Text), generating a biologically relevant
171 representation of the climate history over which woolly mammoths were present at fossil site
172 (Nogués-Bravo 2009). The resulting hypervolume of climate suitability, which approximates
173 the fundamental niche of the woolly mammoth (Nogués-Bravo 2009), was exhaustively
174 subsampled (Methods in S1 Text). This allowed the realized niche of the woolly mammoth
175 (Fordham *et al.* 2016a) to be identified using process-explicit macroecological modelling (see
176 below). Subsampling was done with Outlier Mean Index (OMI) analysis, using plausible
177 bounds of climatic specialization and niche breadth (Dolédec *et al.* 2000).

178 Spatial projections of climate suitability for woolly mammoths in Eurasia were
179 generated from 21 ka to 0 BP at 25-year time steps for each realized niche ($n = 1,862$
180 subsamples of the full hypervolume of climate suitability), using a standard maximum
181 entropy method (Phillips *et al.* 2006), accounting for latitudinal variation in grid-cell size and
182 temporal variation in the proportion of a cell that are land or sea ice (Methods in S1 Text).

184 The peopling of Eurasia by Palaeolithic humans, and their relative abundance, was modelled
185 using a Climate Informed Population Genetics Model (CISGEM) that accurately reconstructs
186 arrival times of anatomically modern humans and current-day distributions of global and
187 regional genetic diversity (Eriksson *et al.* 2012; Raghavan *et al.* 2015). CISGEM simulates
188 local effective population sizes (N_e) as a function of genetic history, local demography,
189 paleoclimate and vegetation. Like other numerical models of early human migration
190 (Timmermann & Friedrich 2016), arrival, occupancy and density (here N_e) are forced by
191 spatiotemporal estimates of climate and sea level changes over the past 125 k years. To
192 account for parameter uncertainty in spatiotemporal projections of N_e we ran thousands of
193 different models, each with a unique combination of parameter settings (based on established
194 upper and lower confidence bounds; Eriksson *et al.* 2012), selected using Latin hypercube
195 sampling (McKay *et al.* 1979). These N_e values were scaled between 0 and 1 and used in the
196 process-explicit macroecological model as potential spatiotemporal measures of relative
197 abundance ($n = 10,000$ potential scenarios of migration and population growth). CISGEM
198 and its application is described in detail in the Methods in S1 Text.

199 *Climate-human-woolly mammoth interactions*

200 Extinction and colonization dynamics were simulated as landscape-level population
201 processes, operating at 25-year generational time steps from 21 ka BP. Models centred on
202 ‘best estimates’ for demographic processes (population growth rate and its variance,
203 dispersal, Allee effect), environmental attributes (niche breadth and climatic specialisation)
204 and threats (human abundance and rates of exploitation) were varied across wide but
205 plausible ranges using Latin hypercube sampling of uniform probability distributions, to
206 provide a robust coverage of multi-dimensional parameter space (Fordham *et al.* 2016b). This
207 procedure produced $> 90,000$ conceivable models (parameterizations) with different
208 combinations of rates of climate change, hunting by humans and demographic processes.
209 Each model was run for a single replicate (Prowse *et al.* 2016). The structure and parameters
210 of the process-explicit model are described in detail in the Methods in S1 Text.

211 Pattern-oriented modelling (Grimm *et al.* 2005) was used to evaluate different model
212 versions and parameterization, by cross-matching simulations with inferences from paleo-
213 archives (Fordham *et al.* 2020), using Approximate Bayesian Computation (ABC; Csilléry *et al.*
214 *et al.* 2010). Specifically, simulations of range and extinction dynamics were validated against a

215 four-parameter multivariate target, consisting of trend in total population size (based on N_e),
216 inferred from ancient DNA (Lorenzen *et al.* 2011); time and location of range-wide
217 extinction, inferred from the fossil record (corrected for the Signor-Lipps effect; Saltré *et al.*
218 2015); and occupancy at fossil sites. The top 1% of feasible parameterizations of climate-
219 human-woolly mammoth interactions were retained ($n = 900$) and used to generate ensemble
220 averaged estimates of spatial abundance, timing of extirpation (extinction at the grid cell),
221 total population size, probability of occupancy and hunting rates. Estimates were weighted by
222 the Euclidean distance of the model from the idealized targets.

223 Our process-explicit modelling approach also permits possible alternatives to past
224 events to be simulated and the biological consequences assessed (Fordham *et al.* 2020). For
225 example, we held human-hunting parameters constant at zero harvesting in the best 1% of
226 validated models and then analysed the effect of this constraint on dynamical processes and
227 emergent patterns, and compared these to model simulations of climate and human
228 interactions with the woolly mammoth.

229 *Statistical analysis*

230 To identify and evaluate the processes and drivers that caused the initial population collapse
231 of woolly mammoth, and later the susceptibility of small residual populations to eventual
232 extinction (declining and small population paradigms; Caughley 1994), we discretised results
233 from the best 900 models into three distinct climatic periods (T1 = 21-15 ka BP, T2 = 15-11
234 ka BP and T3 = 11-5 ka BP) (Clark *et al.* 2012) and three sub-regions (Europe, Asia,
235 Beringia). For each period and region (including all of Eurasia) we computed the magnitude
236 and pace of climatic change, human population growth and expansion (Fordham & Brown
237 2020). We calculated Expected Minimum Abundance (EMA) at the termination of each
238 period (T1-T3) for each region. EMA quantifies risks of both overall population decline
239 (quasi-extinction) and total extinction (McCarthy & Thompson 2001). Statistical learning
240 models (Wright & Ziegler 2017) were used to identify spatiotemporal determinants of
241 extinction risk (Pearson *et al.* 2014). Spatiotemporal autocorrelation between climatic and
242 human drivers of extinction was calculated using the Lee's L Statistic (Lee 2001). Phase
243 synchrony and peak coincidence was calculated using the synchrony package for R (Gouhier
244 & Guichard 2014).

245 **Results**

246 Successfully simulating vital aspects of the range collapse and extinction of woolly
247 mammoth, inferred from paleo-archives, required highly constrained environmental attributes
248 (climatic requirements) and demographic mechanisms in our process-explicit model (Fig 1).
249 This indicates that ecological niche requirements and individual fitness at small population
250 size are likely to have been crucial drivers of the extinction dynamics of woolly mammoth in
251 continental Eurasia. Our prior-to-posterior checks show that non-marginal niches (niches
252 with low specialisation), low maximum abundances and a small Allee effect is needed to
253 simulate both the timing and rate of population decline (based on ancient DNA) and the
254 location and timing of range-wide extinction (based on the fossil record) (Table 1 in S1 Text).
255 By comparison, posterior distributions for exploitation parameters (including harvest rate)
256 more closely matched prior distributions (Fig 1).

257 The best models (top 1% of all models, which most closely matched the validation
258 targets) reconstructed a north-eastward range contraction for woolly mammoth from 19 ka
259 BP, with extirpation in most of Europe by ~ 14 ka BP (Fig 2), with the exception of refugial
260 areas in what is now Britain, northern France and Belgium (as well as pockets in the
261 Netherlands and Denmark), where patches of steppe-tundra ecosystems are likely to have
262 persisted in favourable climatic areas until the termination of the Pleistocene (Binney *et al.*
263 2017). These best models simulated an accelerated rate of range collapse in Asia following
264 rapid warming at ~ 15 ka BP (S1 Movie), with populations persisting within the Siberian
265 refugia of Taimyr, Beringia and the Yamal Peninsula, in accordance with fossil remains
266 (Stuart *et al.* 2004; Stuart 2005). Furthermore, these models accurately projected a steep
267 decline in total population size during the Late Pleistocene, as inferred here from ancient
268 DNA estimates of effective population size (Fig 2). Our simulations, however, did not project
269 a continent-wide extirpation during the early Holocene.

270 To converge on the validation targets, simulations needed woolly mammoth to persist
271 in Beringia, along the Kara Sea (including the Taimyr Peninsula) and, possibly in northern
272 Fennoscandia (following the retreat of glacial ice sheets), until at least the mid-Holocene (Fig
273 2). Model agreement for persistence in these mainland Arctic refugia until the mid-Holocene
274 was generally high in the best 1% of models (S1 Movie), pinpointing locations of Holocene-
275 age refugia. These refugial locations are likely, given the incompleteness of the fossil record
276 (Fig 3 in S1 Text) and low numbers of mammoths projected during the Holocene (Fig 2). In
277 contrast, refugia projected in the high elevation plateaux of southern Asia, and in Svalbard,

278 during the Holocene had low model agreement: on average < 9% probability of occurrence
279 (S1 Movie).

280 *Spatiotemporal determinants of extinction*

281 Across Eurasia, climatic change during the last deglaciation and the Holocene affected the
282 geography of human and woolly-mammoth abundance differently based on the best models
283 of climate-human-woolly mammoth interactions (Fig 3). Accelerated warming following
284 Heinrich Event 1 (~ 17.5 ka BP) resulted in climatically preferred conditions (temperature
285 and precipitation) for mammoths and humans becoming decoupled (mean Lee's L statistic of
286 spatial autocorrelation = - 0.07 between 17.5 and 15 ka BP), due likely to humans responding
287 to environmental change by colonizing and remaining resident in new niches (Giampoudakis
288 *et al.* 2017), and the woolly mammoth retreating to the coldest areas of its climatic niche,
289 where conditions for people were most harsh.

290 Using statistical learning analysis to identify spatiotemporal determinants of
291 extinction risk from these best models, we show that during the last deglaciation, climatic
292 shifts alone explained 52 and 57 % of the variance in expected minimum abundance (EMA)
293 of woolly mammoth in Eurasia at 15 ka BP and 11 ka BP, respectively (Fig 3). Larger
294 magnitudes of change in the climatic conditions suitable for woolly mammoth persistence,
295 along with a faster pace of loss of these conditions, resulted in increased extinction risk
296 during the periods 21-15 ka BP (T1) and 15-11 ka BP (T2) (Fig 4 in S1 Text). The magnitude
297 and pace of human population growth became as important as climatic change during the
298 Holocene in influencing the EMA of woolly mammoth in Eurasia at 5 ka BP (Fig 3). A lower
299 explained variance in the Holocene compared to earlier time periods occurs because pattern-
300 oriented validation procedures retain models that simulate mechanisms of Late Pleistocene
301 range collapse and population decline (those occurring in T1 and T2) having a long-lasting
302 effect on the timing and location of extinction in the Holocene (T3; 11-5 ka BP).

303 Demographic responses of woolly mammoths to impacts of humans and climate were
304 spatiotemporally heterogeneous, with these differences being essential for setting the time and
305 place for extinction. Populations of woolly mammoths in distinct regions of Eurasia
306 experienced very different magnitudes of climatic and human impacts over time (Fig 5 in S1
307 Text), suggesting that the dynamics of extinction determinants were labile. Accurately
308 reconstructing inferences of range collapse and population change from fossils and ancient
309 DNA required that humans impacted woolly mammoth prior to the Holocene in Europe.

310 The magnitude and pace of climatic and human impacts during the last deglaciation in
311 Europe explained relatively similar amounts of variance in EMA of woolly mammoth (Fig 4).
312 During this period, humans and mammoths responded differently to climatic change in
313 Europe (mean Lee's $L = 0.21$ for the last deglaciation, indicating low autocorrelation),
314 confirming direct and important roles of hunting and climatic change on mammoth EMA.
315 Together these results indicate that humans had a sustained effect on the population dynamics
316 and extirpation of woolly mammoths in Europe. For the few populations in northern
317 Fennoscandia that were simulated to have colonized the region following the retreat of glacial
318 ice sheets (S2 Movie), gradual climatic change during the Holocene was the greatest threat to
319 simulated persistence (Fig 4) not hunting by humans, which remained relatively low (S3
320 Movie), owing to small population densities of humans in northern Fennoscandia (S4 Movie).

321 In Asia and Beringia, the role of humans on the range collapse and extirpation of
322 woolly mammoth more closely mirrored the pattern of continent-wide extinction observed for
323 Eurasia, with humans having a proportionately larger threatening influence on EMA by the
324 Holocene (Fig 5 in S1 Text). In Beringia, climatic changes during the last deglaciation and
325 Holocene affected humans and mammoths more similarly than for other regions of Eurasia
326 (Fig 5 in S1 Text; mean Lee's $L = 0.44$ for the last deglaciation; and 0.35 for the Holocene),
327 indicating greater synchrony in shifts in range and abundance.

328 *Climate-human interactions*

329 Counterfactual models with no mammoth exploitation by humans provide further evidence
330 that humans acted to hasten the timing of range collapse and extinction of woolly mammoths.
331 We show that in the absence of humans and their interactions with climatic change, woolly
332 mammoths would have been more abundant across time (including during the Late
333 Pleistocene), and populations would have persisted for much longer, perhaps even avoiding
334 extinction within climatic refugia (Fig 5). Mid-to-late Holocene population sizes of woolly
335 mammoths were much larger in simulations in the absence of human harvesting (Table 2 in
336 S1 Text), causing a 24% increase in persistence beyond 3.8 ka BP; the estimated time of
337 extinction (95% confidence interval = 4089 to 3450 BP) based on the fossil record (Methods
338 in S1 Text). Although some model simulations with humans on the landscape also did not
339 result in range-wide extinction by 3.8 ka BP (Fig 6 in S1 Text), confidence intervals for EMA
340 intersect zero (Table 2 in S1 Text).

341 While simulations of mammoth abundance, with and without humans, were
342 asynchronous (except for Beringia prior to 15 ka BP), the extreme peaks and troughs in
343 abundance generally occurred at similar times in the simulations (Table 3 in S1 Text). This
344 was not the case for Europe during the early part of the last deglaciation (21 – 15 ka BP) and
345 Asia during the latter part of the last deglaciation (15 – 11 ka BP), confirming that the
346 strength of the regulatory role of humans on the extinction dynamics of woolly mammoth
347 was regionally and temporally variable.

348 **Discussion**

349 Macroecological models of mechanistic interactions of climate and humans with the woolly
350 mammoth show that its pathway to extinction was long and lasting, starting many millennia
351 before the final extinction event with successive regional extirpations rather than a rapid
352 range-wide collapse. We show that reconciling spatiotemporal evidence of the decline and
353 extinction of woolly mammoths from fossils and ancient DNA requires quite tight
354 demographic and niche constraints, and spatiotemporally variant rates of climatic change and
355 human impacts (and their synergy) since the Last Glacial Maximum. Our simulations reveal
356 that human population growth and northward migrations during the Late Pleistocene led to
357 the premature extirpation of populations of woolly mammoth in areas of Eurasia that were
358 climatically suitable into the Holocene, hastening climate-driven declines by up to 4,000
359 years in some regions. They also show that simulating important aspects of the extinction
360 dynamics of woolly mammoths as inferred from paleo-archives requires persistence in
361 mainland Arctic refugia until the mid-Holocene. Thus process-explicit models that
362 continuously simulate the causes of early-stage population declines, and later the
363 susceptibility of small residual populations to eventual extinction, provide new opportunities
364 to unravel ecological mechanisms of extinction that occurred in the ancient past.

365 The role of humans as a causative agent in the extinction of woolly mammoths is
366 likely to have been both direct and indirect. In addition to exploitation-driven changes in
367 demographic processes, the climate-change-facilitated co-occupation of steppe and forb
368 habitats by humans and woolly mammoths could have affected metapopulation structures and
369 processes by interrupting sub-population connectivity, affecting movement between resource-
370 rich zones (Cooper *et al.* 2015). Posterior distributions for exploitation parameters (including
371 harvest rate) for Eurasia-wide simulations more closely matched prior distributions when
372 compared to some ecological niche and demographic parameters, indicating reduced

373 parameter importance. The reduced importance of the role of human hunting in the extinction
374 dynamics of woolly mammoth at a continental scale likely reflects variable rates of hunting
375 of woolly mammoths by humans in space and time and a likelihood that, to some extent,
376 humans impacted mammoth metapopulation processes independent of hunting (e.g., via
377 habitat change, with hunting being most damaging at specific ‘pinch-points’ such as
378 movement corridors).

379 Correctly simulating inferences of extinction dynamics from fossils and ancient DNA,
380 required the survival of woolly mammoth in mainland Arctic refugia until the mid-Holocene,
381 some 5,000 years longer than previously thought based on fossil evidence alone (Stuart *et al.*
382 2004; Nikolskiy *et al.* 2011; Dehasque *et al.* 2021). Extirpation and extinction events for
383 megafauna are commonly revised as younger fossils and environmental DNA are discovered,
384 often causing persistence to be extended by several millennia (Haile *et al.* 2009; Wang *et al.*
385 submitted). This is because actual extinction dates are often underestimated using date of last
386 fossil appearance, which usually records the last time a species was abundant not last
387 occurrence (Mann *et al.* 2019). This raises the real possibility that populations of woolly
388 mammoth persisted in mainland arctic refugia until the mid-Holocene, as predicted by our
389 model. Especially given that population abundances of mammoths during the Holocene
390 would have been low (Fig 2), indicator species of mammoth persisted in Siberia during the
391 mid-Holocene (Boeskorov 2020), the refugial areas that we pinpoint remain poorly sampled
392 (Fig 2 in S1 Text), and environmental DNA evidence of woolly mammoths in Beringia and
393 the Taimyr Peninsula to the mid-Holocene (Wang *et al.* submitted). The recent discovery of
394 persistence of woolly mammoths in Siberia to 3.9 ka using environmental metagenomics
395 (Wang *et al.* submitted) provides an important independent validation of our process-based
396 model (Grimm *et al.* 2005), indicating a strong ability of the simulations to detect hidden
397 refugia and unveil spatiotemporal pathways to extinction.

398 Although equifinality was avoided in our analyses, using multiple validation targets,
399 our finding that mammoths likely persisted in mainland refugia in Eurasia until the mid-
400 Holocene was dependent on currently available inferences of extinction from the fossil
401 record, estimates of population decline from ancient DNA, and projections of spatially and
402 temporally variant rates of climatic change and human impacts. While the fossil record for
403 woolly mammoth, during the Pleistocene-Holocene transition, is more complete than for
404 many other megafauna species, there are still vast areas of Eurasia which remain poorly
405 represented by fossil samples (Fig 3 in S1 Text). Likewise, projections of population growth
406 and migrations of people during the Late Pleistocene and Holocene do not yet account for

407 topographical processes and cultural changes (Eriksson *et al.* 2012; Timmermann & Friedrich
408 2016), which could potentially influence our results. Therefore, uncertainty in our estimates
409 of the extinction dynamics of woolly mammoths in space and time is likely to be reduced
410 through new fossil discoveries, and higher spatial resolution projections of the peopling of
411 Eurasia.

412 The prior and posterior parameter distributions of some demographic and exploitation
413 parameters in the process-explicit models were similar, indicating that a wide range of values
414 for these parameters will reconstruct spatiotemporal evidence of the decline and extinction of
415 woolly mammoths. For these non-identifiable parameters, it is important to consider whether
416 the empirical targets used for pattern-oriented modelling best fit the study animal and system
417 (Gelman *et al.* 2013), which they do for the woolly mammoth. Nevertheless, it does mean
418 that a variety of different parameter combinations can give a close fit to inferences of
419 extinction dynamics from the fossil record and ancient DNA, potentially resulting in different
420 ecological interpretations.

421 Here we opened a window into late Quaternary biodiversity dynamics using process-
422 based macroecological models, in order to synthesize disparate evidence from paleo-archives
423 (*sensu* Fordham *et al.* 2020), establishing that ecological pathways to extinction can start
424 many millennia before populations are at critically small thresholds. This reinforces the need
425 for long-term perspectives when testing theories and making generalisations regarding the
426 spatial dynamics of range collapses and extinctions of species. Our results emphasise that
427 extinctions can only be explained by combining the declining and small population
428 paradigms (Caughley 1994). They also highlight that range shifts during the late Quaternary
429 offer distinct opportunities to test the circumstances under which geographic ranges collapse
430 first along the periphery versus those which start within the range interior (Channell &
431 Lomolino 2000), particularly if they are reconstructed using process-explicit models and
432 pattern-oriented approaches (Fordham *et al.* 2021).

433 Our analyses strengthen and better resolves the case for human impacts as a crucial
434 and chronic driver of early-stage population declines of megafauna, revealing an essential
435 role of humans in population declines of mammoths in Eurasia during the Late Pleistocene; a
436 period when climatic conditions warmed rapidly. In doing so, it refutes a prevalent theory
437 that the role of humans in the extinction dynamics of woolly mammoths was limited to a mid-
438 Holocene coup de grâce (Nogués-Bravo *et al.* 2008), and highlights the importance of
439 disentangling demographic responses to varying biotic and abiotic stressors for

440 metapopulations at regional scales, particularly when assessing species survival under climate
441 change.

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444 fossil record and ancient DNA.

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602

603 **Figures**

604

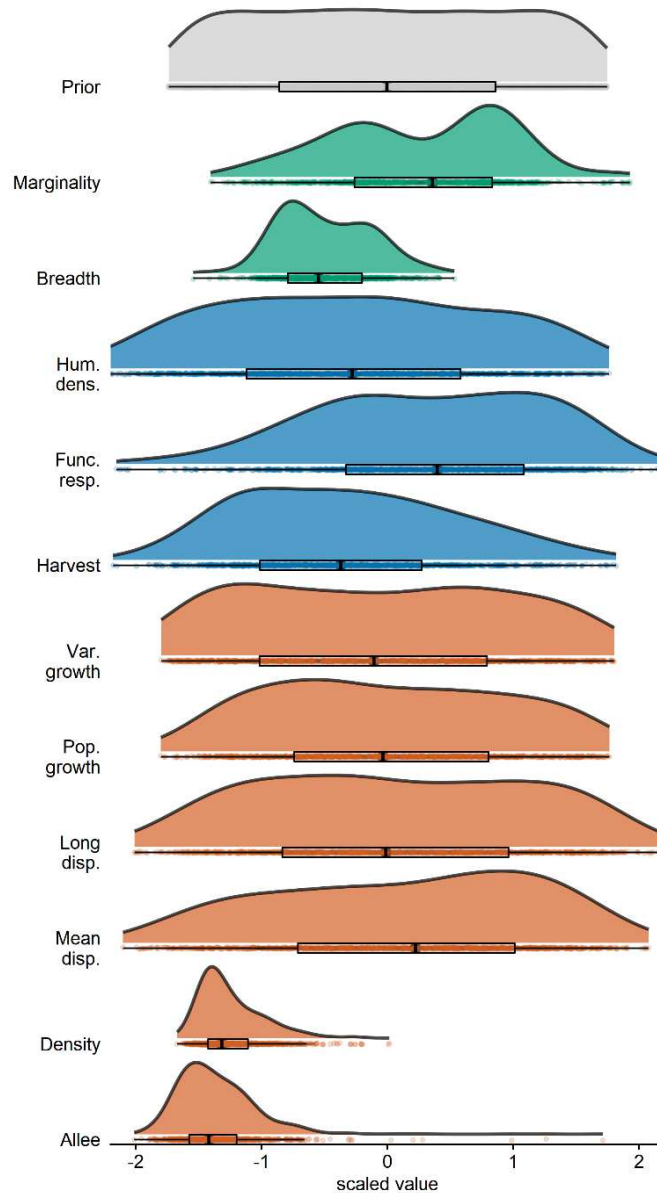
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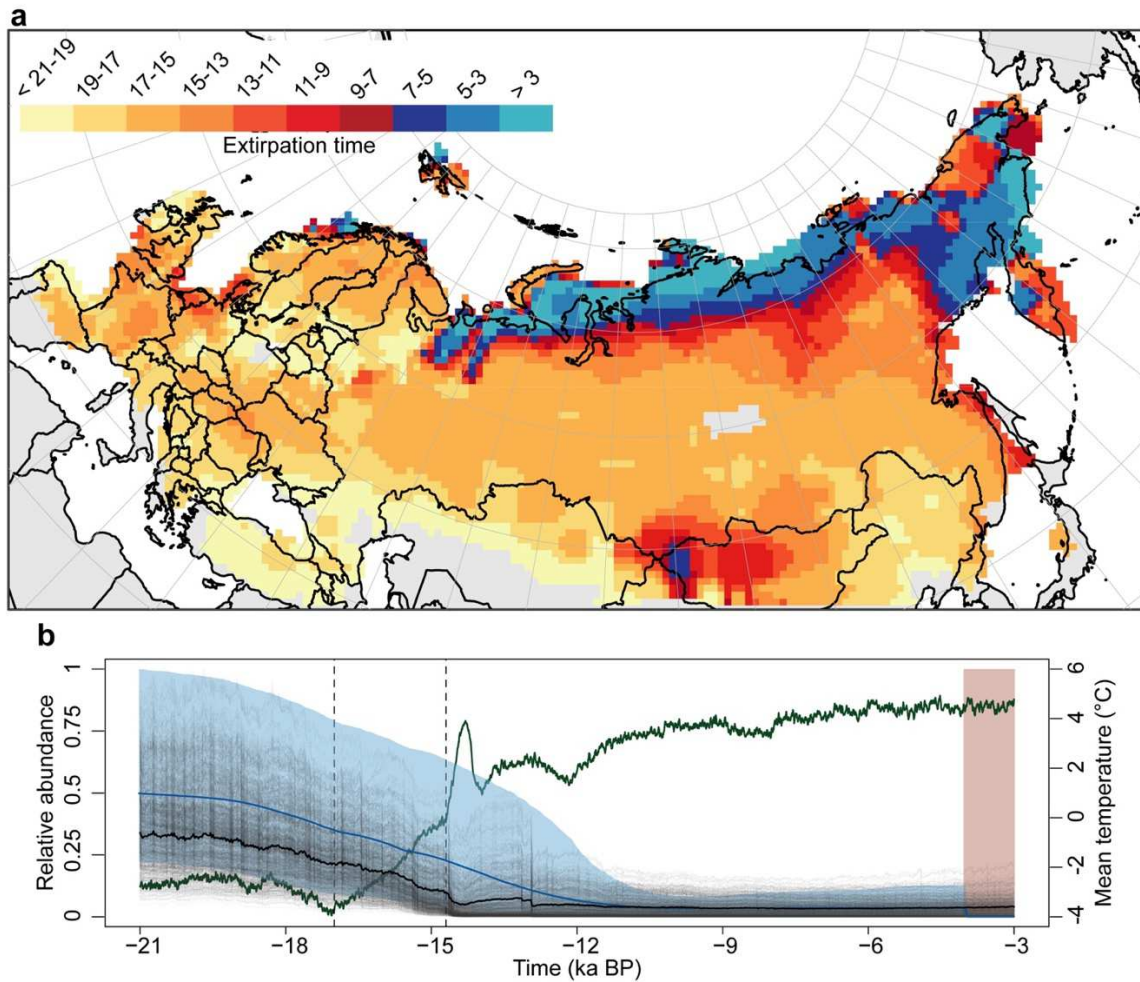


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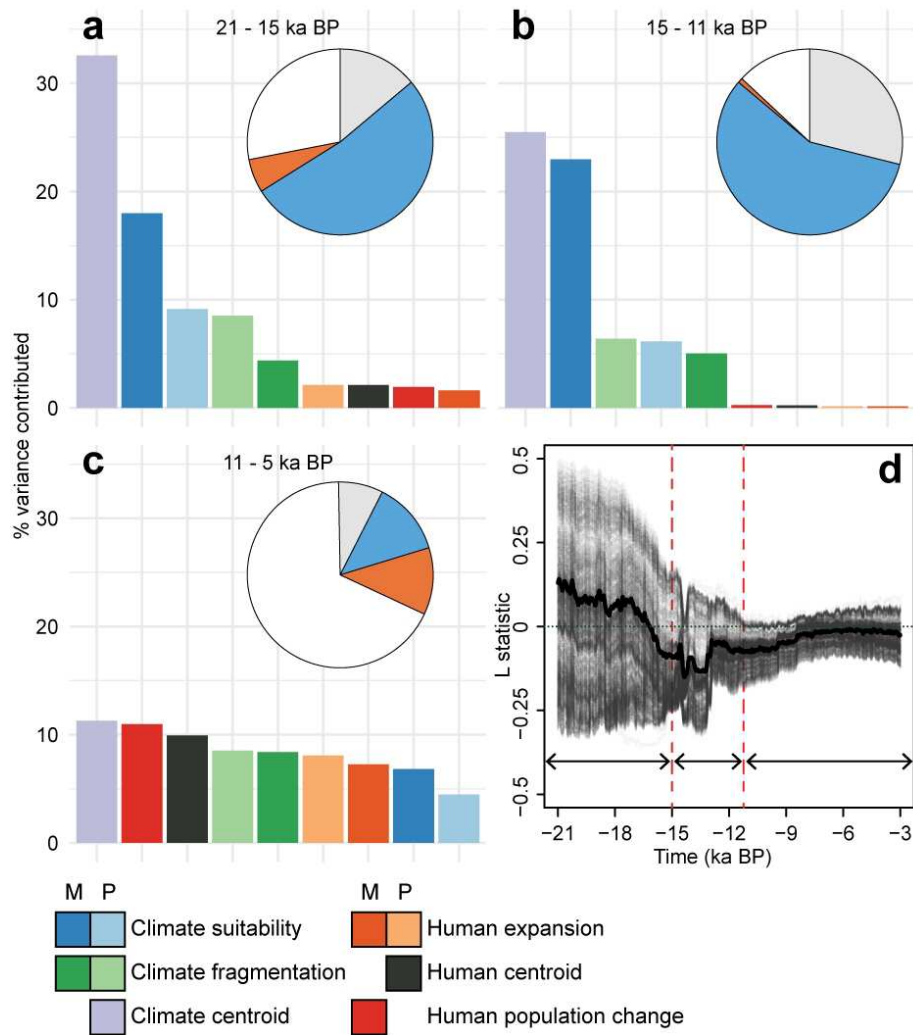
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612 **Fig. 1. Posterior distribution of parameter values.** Distributions for prior values (grey),
 613 and posterior values for ecological niche requirements (green), exploitation parameters (blue)
 614 and demographic parameters (orange). Values on the y-axis have been scaled so that the
 615 maximum height of each density plot is 1. Values on the x-axis are centred and scaled. Box
 616 plots show the 25th, 50th and 75th percentiles. Whiskers show maximum values. Ecological
 617 niche requirements are distance between average climatic conditions of the occupied niche
 618 and the average climatic condition in the study region (Marginality); and breadth of climatic
 619 conditions the species can occupy (Breadth). Harvest parameters are percentage of the
 620 population that is harvested (Harvest); extent to which harvest follows a Type II to Type III
 621 functional response (Func. resp.); and maximum human abundance in a grid cell (Hum.

622 dens.). Demographic processes are Allee effect, maximum abundance (Density); maximum
 623 dispersal distance (Long disp.); mean dispersal rate (Mean disp.); maximum population
 624 growth rate (Pop growth); and variation in population growth rate (Var growth). See Methods
 625 in S1 Text and Appendix 3 in Fordham and Brown (2020) for more details.



626
 627 **Fig 2. Population decline and extinction of the woolly mammoth in Eurasia. (A)**
 628 Simulated timing of extirpation (model weighted) for woolly mammoth in Eurasia (ka BP).
 629 **(B)** Ancient DNA estimates of effective population size (N_e) (blue line = mean, blue banding
 630 = 95% CI) and simulated total population size (black line = weighted mean, grey lines =
 631 range) rescaled between 0 and 1. Green line shows change in mean annual temperature for
 632 Eurasia. Vertical dashed lines show Heinrich 1 and 14.7 k climatic events. Red band shows
 633 estimated time of mammoth extinction.
 634



635

636 **Fig 3. Effects of humans and climate on the decline of woolly mammoth across Eurasia.**

637 Drivers of expected minimum for the periods 21-15 ka BP (A), < 15-11 ka BP (B), < 11-5 ka

638 BP (C) for the 1% best validated models. Pie charts show variance explained (%) by climate

639 (blue), humans (orange) and area of occupancy at the start of each period (grey). Histograms

640 show contribution to explained variance for magnitude (M) or pace (P) of variables detailed

641 in Appendix 1 of Fordham and Brown (Fordham & Brown 2020). White areas of pie chart

642 represent unexplained variance. (D) shows the relationship (Lee's L statistic) between human

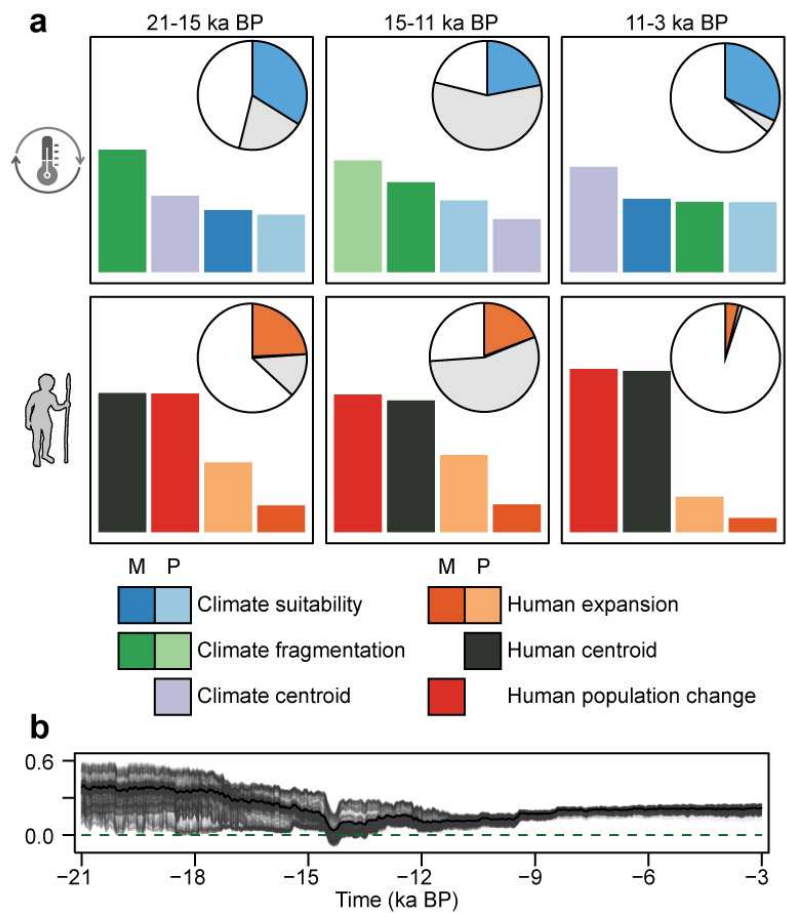
643 abundance and climate suitability for mammoths across time for Eurasia (black line =

644 weighted mean, grey lines = individual model runs). Positive values indicate positive

645 correlation, whilst negative values indicate a decoupling of the variables (negative

646 correlation). Fig 7 in S1 Text shows variable importance for area of occupancy.

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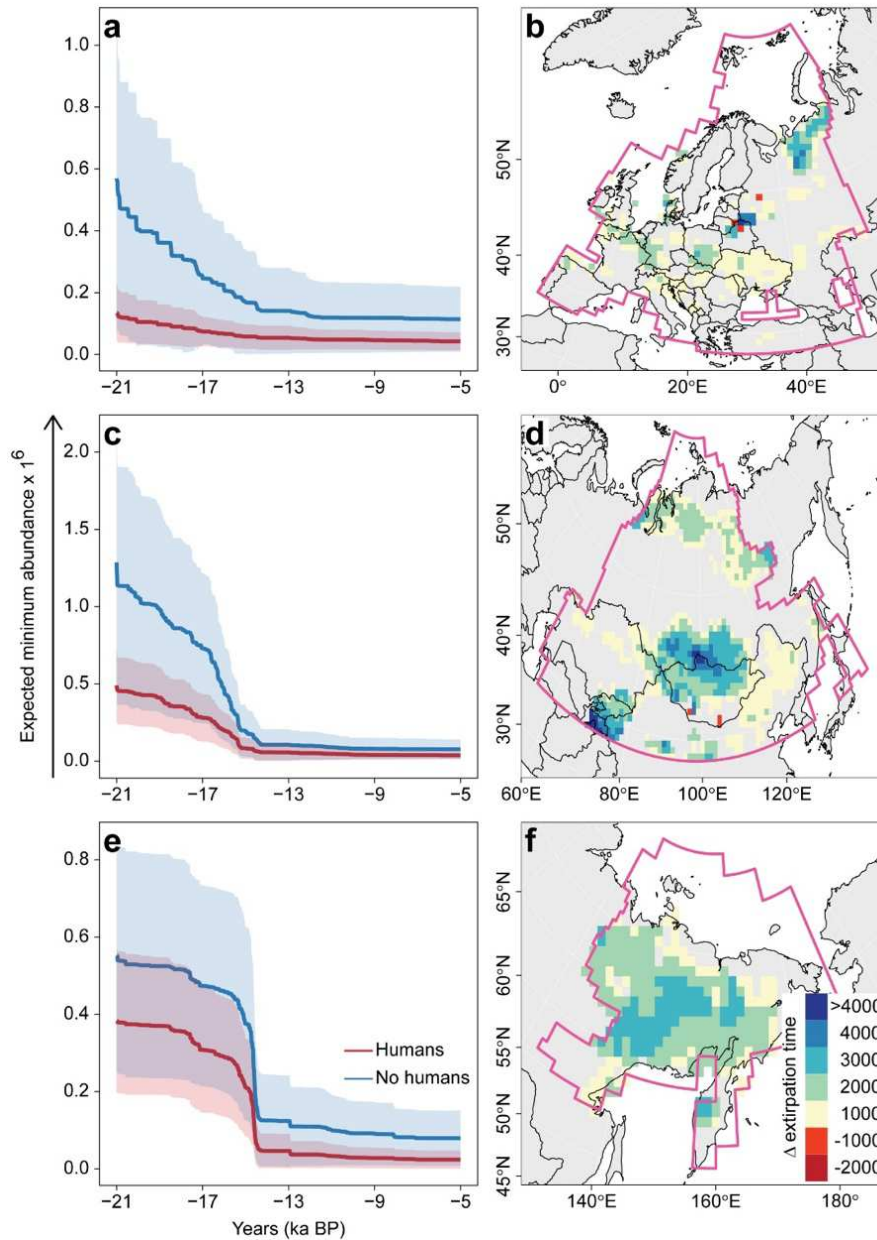
649 **Fig 4. Extinction risk in Europe for woolly mammoth due to humans and climate. (A)**

650 Variable importance (histograms) and variance explained (pie charts) for climate (top row)

651 and human parameters (middle row). Fig 2 describes the legend. **(B)** Lee's L statistic of

652 autocorrelation between human abundance and climate suitability for mammoths.

653



654

655 **Fig 5. Footprint of humans on the extinction dynamics of woolly mammoths.** Relative
 656 change in expected minimum abundance of woolly mammoth in response to climate change
 657 and exploitation from 21 ka BP (Humans) and a counterfactual no-exploitation scenario (No
 658 humans) for Europe (A), Asia (C) and Beringia (E). Maps show the difference in timing of
 659 extirpation for woolly mammoths in the absence of hunting by humans (i.e. No humans –
 660 Humans) for Europe (B), Asia (D) and Beringia (F). Positive values indicate a later
 661 extirpation date in the absence of humans. Differences of ± 500 years are not shown. Pink
 662 lines mark regional boundaries.

663

664

665 **Supporting Information**

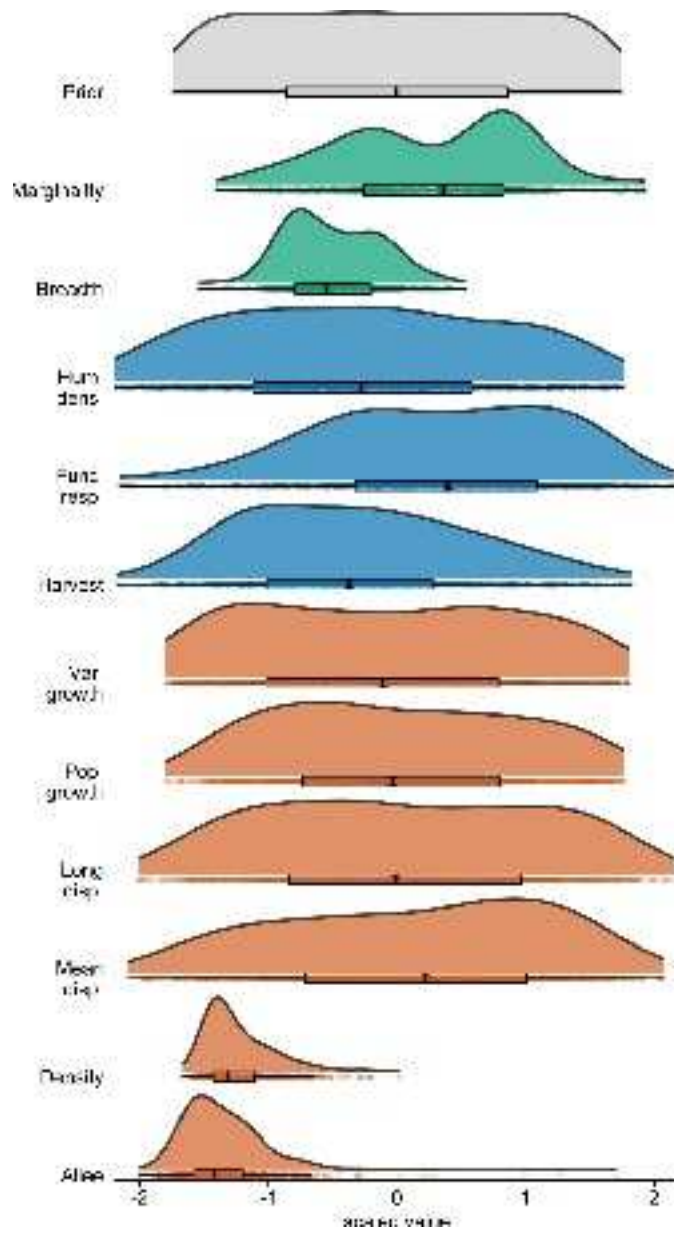
666 **S1 Text.** Supporting methods, figures, and tables

667 **S1 Movie.** Probability of occupancy for woolly mammoth in Eurasia from 21,000 BP

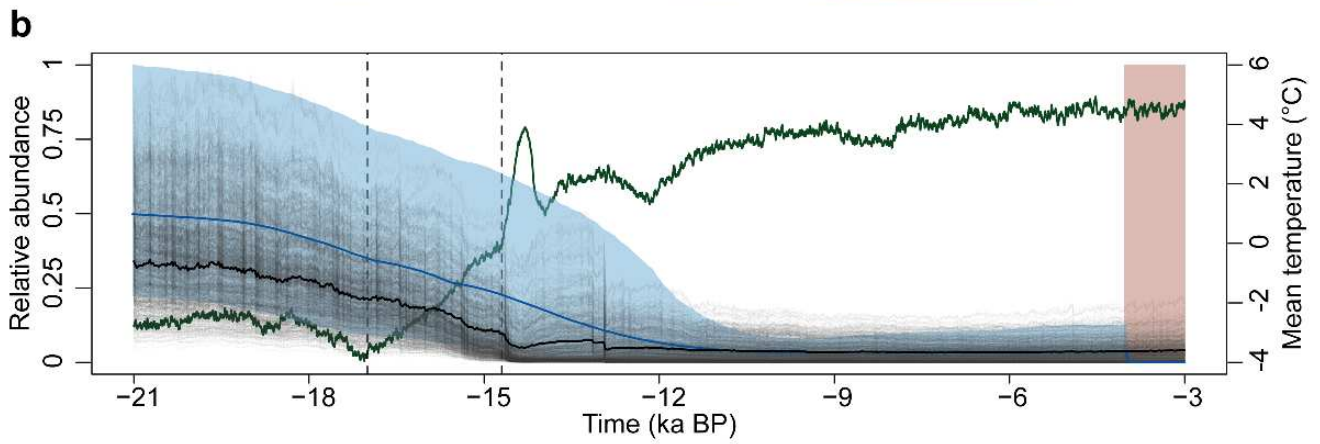
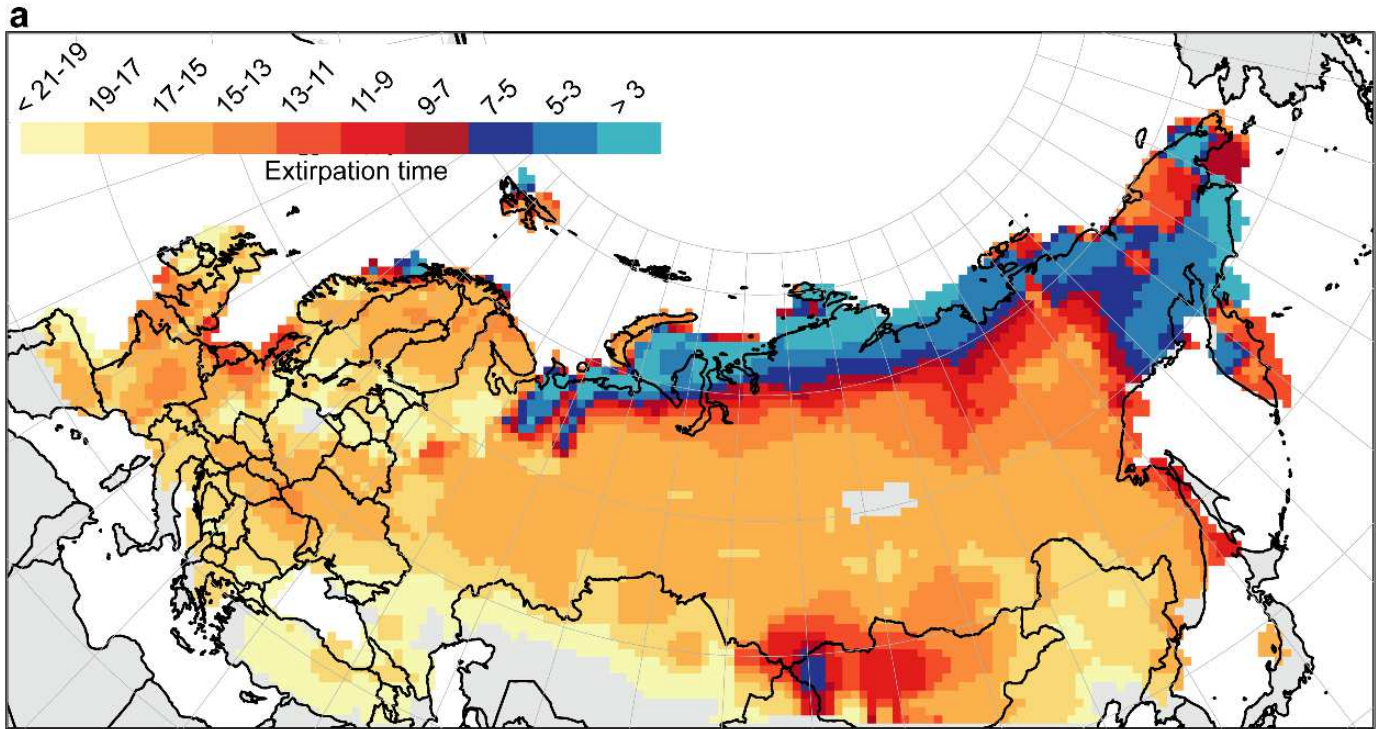
668 **S2 Movie.** Density estimates of woolly mammoth in Eurasia from 21,000 BP

669 **S3 Movie.** Harvest rates of woolly mammoth in Eurasia from 21,000 BP

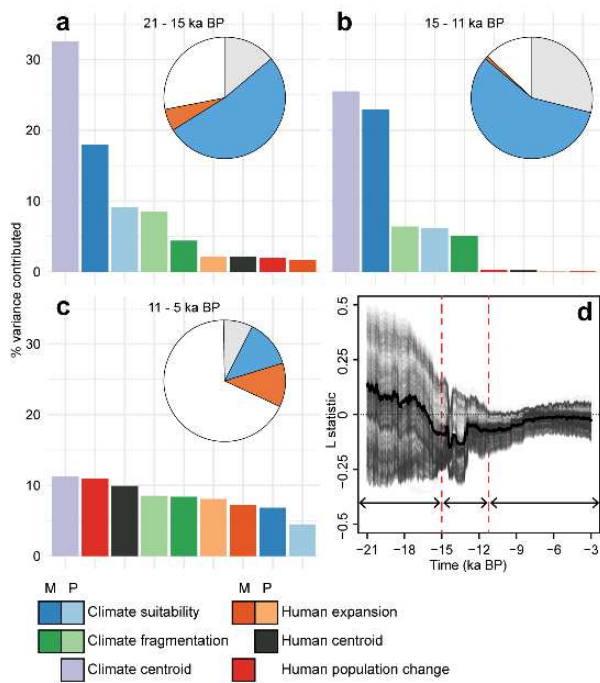
670 **S4 Movie.** Effective population size of humans in Eurasia from 21,000 BP



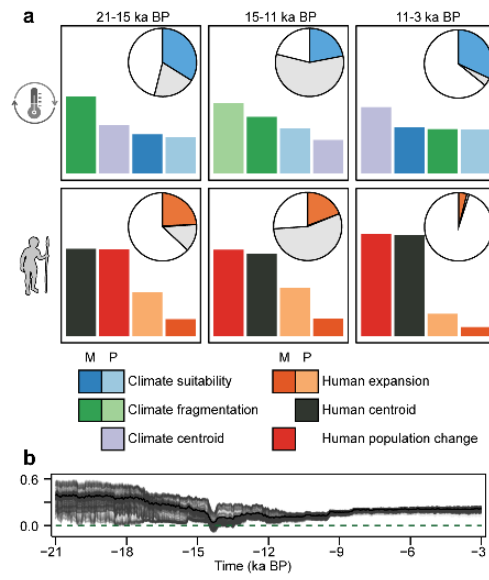
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