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## Indentation into an aluminium panel by the impact of a rigid spherical object

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## Abstract

This paper introduces algebraic expressions for determining the amount of permanent indentation caused to an aluminium panel when impacted by a rigid spherical object. The magnitude of indentation is observed to vary significantly with the position of impact within the panel when the impactor and the velocity of impact are kept the same. This spatial variation of indentation is caused by the changes in the combinational mass (which is in turn function of the participating mass of the plate) and the Coefficient of Restitution *COR*. Both parameters are shown to correlate with the position of impact. The proposed algebraic expression featuring the combinational mass and *COR* as input parameters, allows potential damage to the panel to be predicted conveniently in day-to-day engineering practices. The original contribution of this article is in illustrating this phenomenon analytically, and have the analytical predictions verified by impact experimentation which has been conducted by the authors on panels of varying dimensions.

**Keywords: Permanent indentation; Aluminium cladding; Impact; Projectile; Spatial variation**

## 1. Introduction

Building facades and roofing panels can sustain considerable damage in bad weather. Much of the damage to metal storm panels as described is caused by hail and windborne projectiles. Thus, a reliable, and effective, method of predicting the extent of damage is warranted for given projectile impact scenario which is defined by the type of impactor, impactor mass and velocity of impact. The piercing action of a projectile impact into the surface of a metal panel can cause perforations as a certain impact intensity threshold is reached. The ensuing leakage of rainwater might cause colossal damage to building contents and to electrical equipment generating fire hazards [1, 2]. With a lower intensity of impact, the defaced (dented) panels requiring replacement would also add to the damage bill which can be up to tens of millions of dollars in a city in the aftermath of a severe storm [3-5]. The impact action by a projectile is highly transient in nature. Thus, the damaging potential of this type of impact action cannot be represented by a prescribed equivalent static force, nor by an impact force that is derived by simply equating kinetic energy to elastic strain energy as stipulated by some codes of practice (e.g., [6]). Research on quantifying contact force by windborne debris and hailstones have been comprehensively studied in the past decade [7-11]. However, the indentation behaviour of the cladding panel has yet to be systematically investigated to fulfill the increasing interest from manufacturing industries.

The damage severity of a panel when subjected to the impact of hail, or windborne debris, is always a primary concern to manufacturers and property owners. Hailstone impact on steel roof sheeting causing damage such as indentation and crack formation, has been investigated and reported [12, 13]. The capacity of insulated panels or aluminium composite panels in resisting windborne projectiles have also been examined [14-16]. Research into aluminium alloy plates in response to impact of a solid object, on the other hand, was mainly centred around the perforation behaviour in conditions of high intensity impact [17, 18]. Relatively

1 little attention has been devoted to the performance of aluminium alloy panels (which are still  
2 commonly used in practice) in conditions of low intensity impact.  
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5 Numerical simulations by sophisticated finite element software packages can be used to predict  
6 the deformation behaviour of a panel under impact actions in order to offer engineers the option  
7 to circumvent dynamic testings [19-23]. However, numerical simulations have the drawback  
8 of not providing realistic, and reliable, predictions of impact inflicted permanent indentation  
9 into a metal surface, as the accuracy of the simulations would rely heavily on the meshing of  
10 the contact region and the assumed dynamic properties of the impactor and target [24]. In  
11 addition, a disproportionately high computational time is required for execution even though  
12 the panel which is subject to analysis has simple geometry and support conditions. The issue  
13 with lengthy execution of the software is compounded with the need to undertake repetitive  
14 executions for ensuring convergence of results, and for tracking trends and sensitivity to change  
15 in values of the input parameters. An analytical approach to the prediction which has been  
16 validated experimentally is therefore preferred for use in day-to-day engineering practices.  
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35 An analytical expression based on energy principles which was originally developed by Duffey  
36 [25], has been refined over the years for predicting the amount of permanent indentation into  
37 an aluminium alloy by incorporating the strain hardening behaviour of the aluminium [26-28].  
38 The challenge with employing the developed relationship is the need to accurately identify the  
39 amount of energy that has been transformed to cause indentation into the surface of the  
40 aluminium. This transformed energy is only a fraction of the kinetic energy that is delivered by  
41 the impact of the projectile. Taking the delivered energy as the transformed energy can grossly  
42 overstate the amount of permanent indentation for a given impact scenario.  
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55 An attempt to improve the abovementioned analytical model for predicting permanent  
56 indentation into aluminium, overcoming the challenges as described, was made by Pathirana,  
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1 et al. [29]. The attempt was a success in view of good agreement between the predicted and  
2 measured results, but the cited reference only deals with impact at the centre of a rectangular  
3 panel. Results from impact testing (which involved accelerating a projectile onto the specimen  
4 of an aluminium cladding by use of the gas gun) revealed varying amount of permanent  
5 indentation as the position of impact within the plate was changed when the impactor and the  
6 incident velocity of impact were both kept the same. No analytical model to explain this  
7 variation has been reported in the literature. Research into the observed change of indentation  
8 behaviour with the location of impact is very limited given that what has been reported in the  
9 literature most recently is mainly concerned with ballistic resistance of armour plates, and is  
10 not closely relevant to windborne debris which cruises at a much lower velocity [30, 31].  
11 Recognising the need to address spatial variations in indentation, this article deals with  
12 predicting damage caused to the panel when impacted at different locations. The effect of the  
13 panel size on indentation behaviour is also investigated.

14 An overview of the methodology adopted to fulfill the aims of this study is shown in Figure 1.  
15 The theoretical development of the predictive analytical model is presented in Section 2.  
16 Experimental validation of the accuracy of the proposed predictive model is then presented  
17 along with a step-by-step illustration of the calculation procedure in Section 3 and 4.

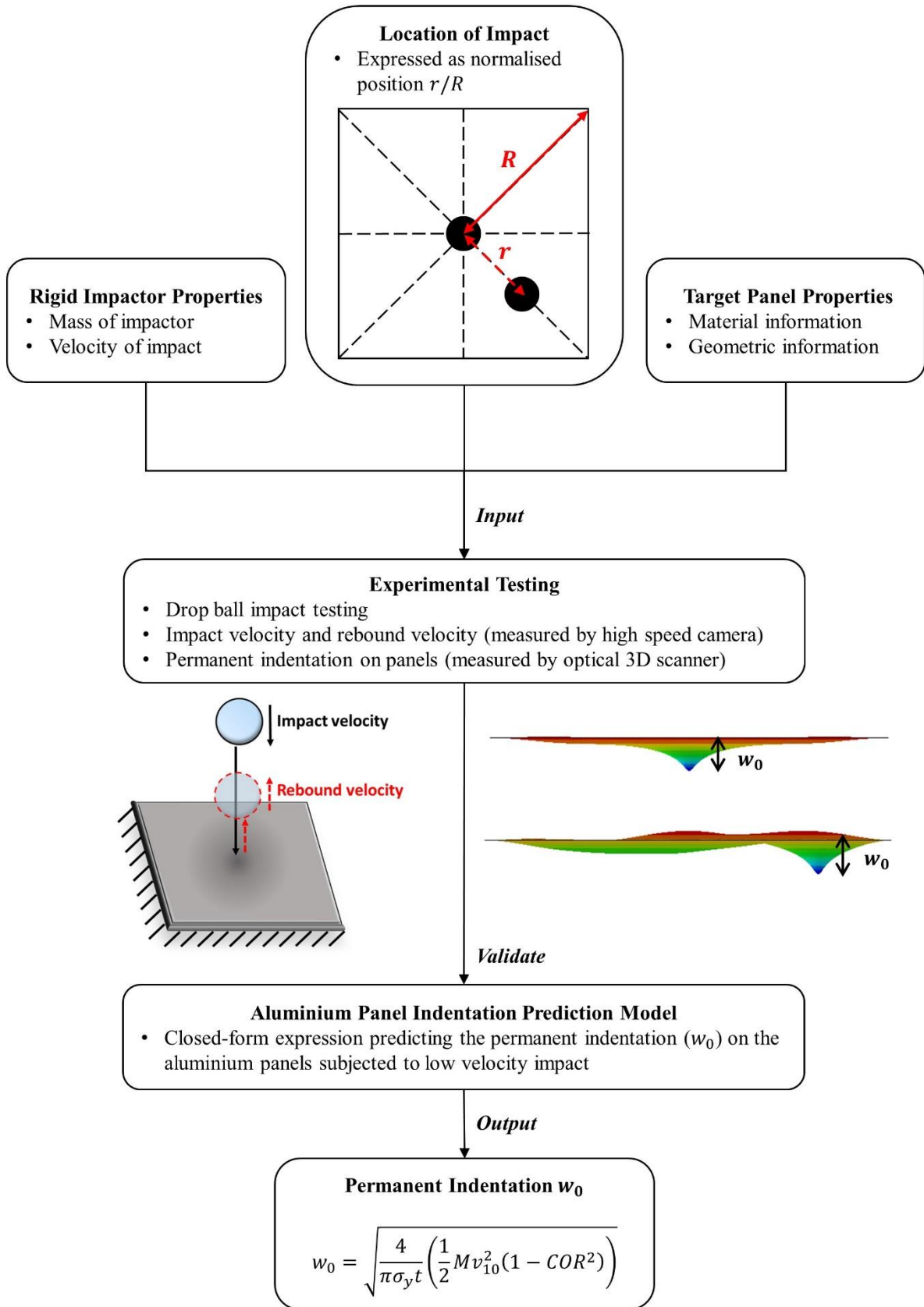


Figure 1: A schematic overview of the methodology used in this study

## 2. Underlying concepts of predictive methodology

### 2.1. Overview

An algebraic expression found on the principles of equating energy for predicting the amount of permanent indentation ( $w_0$ ) on the surface of an aluminium panel was first derived by Duffey [25]. The total plastic strain energy responsible for causing permanent indentation ( $E_p^T$ ) can be expressed as the volume integral of the sum of the product of radial and circumferential stresses and strains, based on thin plate theory and the assumption of plane stress and symmetric conditions (i.e., the *Kirchhoff* assumption), as presented in Eq. (1).

$$E_p^T = \int_{vol} [\int (\sigma_r d\varepsilon_r + \sigma_\theta d\varepsilon_\theta)] dV \quad (1)$$

where,  $E_p^T$  is the amount of energy expended on causing indentation, and  $\sigma_r$ ,  $\sigma_\theta$ ,  $\varepsilon_r$ , and  $\varepsilon_\theta$  are the stresses and strains in the radial and circumferential directions respectively.

Assuming that circumferential strains equal to zero (i.e.,  $\varepsilon_\theta \approx 0$ ) based on Duffey [25], contributions from the second term of the integrand in Eq. (1) can be neglected. Following the incorporation of non-linear strain hardening behaviour of the aluminium material, the radial stress is assumed to take the following form:  $\sigma_r = (\sigma_y + B\varepsilon_r^n)$ , where  $\sigma_y$  is the initial yield stress,  $B$  and  $n$  are strain hardening material constants from the Johnson-Cook material model [28]. The derivation of the expression for predicting permanent indentation ( $w_0$ ) is accordingly presented as follows.

$$E_p^T = 2\pi t \int_0^R \left( \sigma_y \varepsilon_r + \frac{1}{2} B \varepsilon_r^{n+1} \right) r dr \quad (2)$$

where,  $t$  is thickness of the plate.

Radial strain  $\varepsilon_r$  can be predicted using Eq. (3) the proof of which is given by Duffey [25].

$$\varepsilon_r = \frac{1}{2} \left( \frac{\partial w(r)}{\partial r} \right)^2 \quad (3)$$

where,  $w(r)$  is the deflection at radius  $r$  from the origin of the cylindrical coordinate system which can be approximated as  $w(r) = w_0 e^{-r}$ .

Substituting Eq. (3) into Eq. (2), results in Eq. (4) [29].

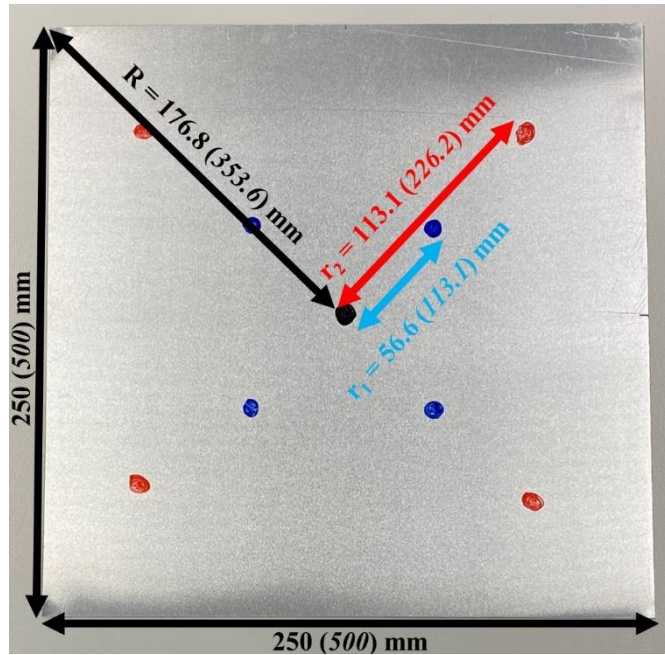
$$w_0^2 \left[ 1 + \frac{a^{2n_B}}{2^{n+1} \sigma_y (n+1)^2} w_0^{2n} \right] = \frac{4}{\pi \sigma_y t} (E_P^T) \quad (4)$$

where  $a$  is a constant.

It is noted that the term  $\frac{a^{2n_B}}{2^{n+1} \sigma_y (n+1)^2} w_0^{2n} \ll 1$  for aluminium alloy. Thus Eq. (4) can be simplified further and expressed in terms of  $w_0$ , as shown in Eq. (5).

$$w_0 = \sqrt{\frac{4}{\pi \sigma_y t} (E_P^T)} \quad (5)$$

The energy term  $E_P^T$  in Eq. (5) refers to the energy that is expended in causing indentation into the plate, which is not to be confused with the kinetic energy delivered by the impact. In the rest of this article, the  $r$  and  $R$  parameters as illustrated in Figure 2 will be used to define the location of impact within the targeted panel.



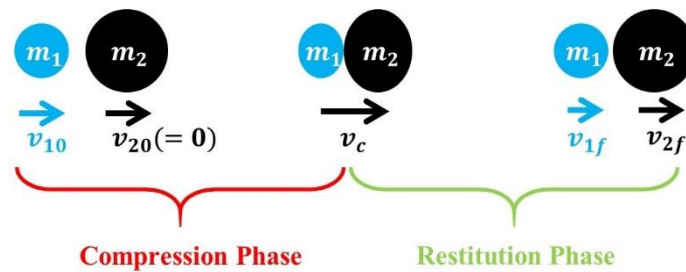
**Figure 2: Varying impact locations on a tested panel of planar dimensions 250 mm × 250 mm (500 mm × 500 mm) defined by  $r$  and  $R$**

In the following sub-section, an analytical model is presented to explain how the kinetic energy delivered by the impactor is transformed into different forms of energy, and how the distribution of energy varies with the position of impact as defined by the  $r/R$  ratio.

## 2.2. Energy absorbed by the deforming panel

The analytical model presented in below goes through the physical process of the collision in details for the purpose of deriving the mathematical relationship for resolving the distribution of energy into different forms. The time instance when the impactor first makes contact with the surface of the target (the cladding panel) marks the commencement of the compression phase of the impact action. At this instance, the initial velocity of the two colliding objects is denoted as  $v_{10}$  (for the impactor) and  $v_{20}$  (for the target). Once the two objects are in compression the amount of compressive contact pressure increases gradually until the maximum limit is reached. As the centre of the impactor is moving closer, and closer, to the surface of the target during the compression phase of the impact, the contact pressure continues

to increase. At the conclusion of the compression phase both the impactor and the target possess a common velocity of travel  $v_c$ . At the conclusion of the compression phase, the impact enters the restitution phase during which time the compressive pressure eases as deformation in the two bodies starts to restore. As this happens, the two objects start to move away from each other. At the conclusion of the restitution phase the two objects become separated. Their final velocities are denoted as  $v_{1f}$  (for the impactor) and  $v_{2f}$  (for the target). The velocity of motion of the two objects at different instances are shown in the schematic diagram of Figure 3 illustrating the two phases of the impact.



**Figure 3: Schematic diagram of two phases during an impact action: compression phase & restitution phase**

According to the law of conservation of energy, a relationship of the energy conversion within the system can be written in the form of Eq. (6).

$$E_0 = E_K^T + E_p^T + E_K^I + E_D^I + E_{loss} \quad (6)$$

where,  $E_0$  is the kinetic energy delivered by the projectile ( $= \frac{1}{2} m_1 v_{10}^2$ ),  $E_K^T$  and  $E_K^I$  are the kinetic energy of the target and the impactor at the end of restitution phase (as the colliding bodies start to separate), and are equal to  $\frac{1}{2} m_2 v_{2f}^2$  and  $\frac{1}{2} m_1 v_{1f}^2$ , respectively,  $E_D^I$  is the energy dissipated within the impactor,  $E_p^T$  is the energy expended to cause permanent indentation into the aluminium plates, and  $E_{loss}$  is the energy dissipated in the form of sound, heat and friction.

Substituting the expressions of kinetic energy into Eq. (6) and rearranging it in terms of  $E_p^T$ , Eq. (7) can be obtained,

$$E_p^T = \frac{1}{2}m_1v_{10}^2 - \left(\frac{1}{2}m_1v_{1f}^2 + \frac{1}{2}m_2v_{2f}^2\right) - E_D^I - E_{loss} \quad (7)$$

The expression for predicting the kinetic energy difference immediately prior to, and following, the impact can be simplified further into Eq. (8).

$$\frac{1}{2}m_1v_{10}^2 - \left(\frac{1}{2}m_1v_{1f}^2 + \frac{1}{2}m_2v_{2f}^2\right) = \frac{1}{2}Mv_{10}^2(1 - COR^2) \quad (8)$$

where,  $COR$  is the coefficient of restitution,  $M$  is the combinational mass of the system as defined by Eq. (9), and  $m_2$  is the participating mass, of the targeted plate.

$$M = \frac{m_1m_2}{m_1+m_2} \quad (9)$$

Finally, the energy dissipated during the impact process in the form of sound, heat, and friction, which is characterised by the term  $E_{loss}$  [32], is caused by elastic waves generated within the object, and by Coulomb friction at the contact surfaces. Energy loss due to stress wave propagation in the plastic phase of the impact as investigated by Hutchings [33] was found to be very minor (only a few percent; < 3% of the kinetic energy). If permanent indentation is formed on the colliding surfaces, plastic deformation would be the dominant source of energy dissipation; energy loss due to Coulomb friction can therefore be neglected [34]. When neglecting the energy loss term  $E_{loss}$ , Eq. (7) can be rewritten into Eq. (10).

$$E_p^T = \frac{1}{2}Mv_{10}^2(1 - COR^2) - E_D^I \quad (10)$$

The use of a rigid solid steel sphere as impactor in the example impact scenario as described has the advantage of minimising dissipation of energy through deformation within the sphere. As the Young's modulus of steel which the spherical impactor is made of is almost three times higher than the Young's modulus of aluminium which the targeted panel is made of, the amount

of energy dissipation within the steel sphere ( $E_D^I$ ) is minor and can be assumed to be close to zero. Thus, indentation into the target can be observed with better clarity. By substituting Eq. (10) into Eq. (5) whilst neglecting  $E_D^I$ , an algebraic expression for estimating the amount of permanent indentation into the surface of the aluminium panel, taking into account spatial variation of  $M$ , is derived as shown by Eq. (11).

$$w_0 = \sqrt{\frac{4}{\pi\sigma_{yt}}(E_P^T)} = \sqrt{\frac{4}{\pi\sigma_{yt}}\left(\frac{1}{2}Mv_{10}^2(1 - COR^2)\right)} \quad (11)$$

Both  $M$  and  $COR$  are key unknowns to be resolved for input into Eq. (11) for predicting  $w_0$ . Determination of the two parameters is dealt with in Section 2.3 and 2.4, respectively.

### 2.3. Participating Mass and Combinational Mass

The combinational mass as defined by Eq. (9), which is function of the impactor mass ( $m_1$ ) and participating mass of the target ( $m_2$ ), is introduced as a parameter to help determine the fraction of energy that is expended in causing indentation to the panel. It is inferred from Eq. (9) that as the value of  $m_2$  is increased to a very large value, the value of  $M$  converges to that of  $m_1$ . As implied by Eq. (11), in an idealised impact scenario where the rigid impactor is accelerated onto the surface of a target of infinite mass, the initial kinetic energy delivered by the impact would be absorbed totally by the plate in the form of indentation (i.e.,  $M \sim m_1$  as  $m_2/m_1$  tends to infinity) if assuming  $COR \approx 0$ . Consider a similar, but modified, scenario where the mass of the target is reduced to that of the impactor (i.e.,  $m_1 = m_2$ ). The amount of kinetic energy which is transformed into energy causing indentation ( $E_P^T$ ) is reduced to 50% of the initial kinetic energy delivered by the impact, as the combination mass  $M$  is reduced to  $0.5m_1$  (according to Eq. 9). The remaining 50% of the energy is transformed into kinetic energy generated by the motion of the target. Energy of this form will be dissipated eventually in the course of vibration of the plate. Details of energy conversion during the phase of free

1 vibration of the target will not be discussed herein, as the study is instead focused on the phase:  
2 from the start of compression to the end of restitution (which normally lasts for a few  
3 milliseconds only). As illustrated in the scenarios described above, when the value of  $m_2$   
4 (hence  $M$ ) is decreased, the amount of energy expended to cause indentation is diminished  
5 accordingly. In a range of impact scenarios (involving the same impactor and velocity of impact  
6 applied to a rectangular plate of a finite size), the value of  $m_2$  (hence  $M$ ) which controls the  
7 amount of indentation is shown to be highly dependent on the location of the impact. This is  
8 an original discovery that can be illustrated analytically, and validated experimentally, as  
9 presented in the later sections of the article.  
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22 A common way of simplifying a plate element (which is targeted for a solid object impact) is  
23 having it reduced into an equivalent single-degree-of-freedom (SDOF) lumped mass system.  
24 The value of the participating mass ( $m_2$ ), of a simply supported rectangular plate in free  
25 vibration has been found to be approximately  $\frac{1}{4}$  of the total mass of the plate ( $M_{plate}$ ) [35-37].  
26 It is cautioned herein that the quoted *rule-of-the-thumb* is only valid for a plate undergoing free  
27 vibration. Results from impact experimentation (to be reported in the later part of this article)  
28 show that the actual value of  $m_2$  can deviate significantly from predictions by the described  
29 *rule-of-the-thumb*, and is sensitive to the location of impact.  
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43 An inexpensive method of determining the value of  $m_2$  is by conducting a simple experiment  
44 which involves striking the plate with a dropped rigid object, and then observing the motion  
45 behaviour of the tested objects. The underlying principles of the methodology as described  
46 herein is based on idealising the target as a lumped mass ( $m_2$ ) which is supported on a spring.  
47 By the conservation of momentum principles, the change in momentum of the rigid impactor  
48 prior to, and immediately following, the impact can be equated to the amount of momentum  
49 transferred to the target (the plate). This approach of determining the value of  $m_2$  is represented  
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algebraically by Eq. (12a). Rearranging Eq. (12a) into Eq. (12b) gives the following expression for determining  $m_2$ .

$$m_1 v_{10} = m_1 v_{1f} + m_2 v_{2f} \quad (12a)$$

$$m_2 = \frac{m_1(v_{10} - v_{1f})}{v_{2f}} \quad (12b)$$

where,  $m_1$  is the mass of the impactor,  $v_{10}$  is the incident velocity of impact, and  $v_{1f}$  and  $v_{2f}$  is the velocity of the impactor and that of the idealised lumped mass, respectively, at the end of the restitution phase of the impact. The positive direction of the velocity is assumed to be the same as the direction of impact (i.e., the direction of  $v_{10}$ )

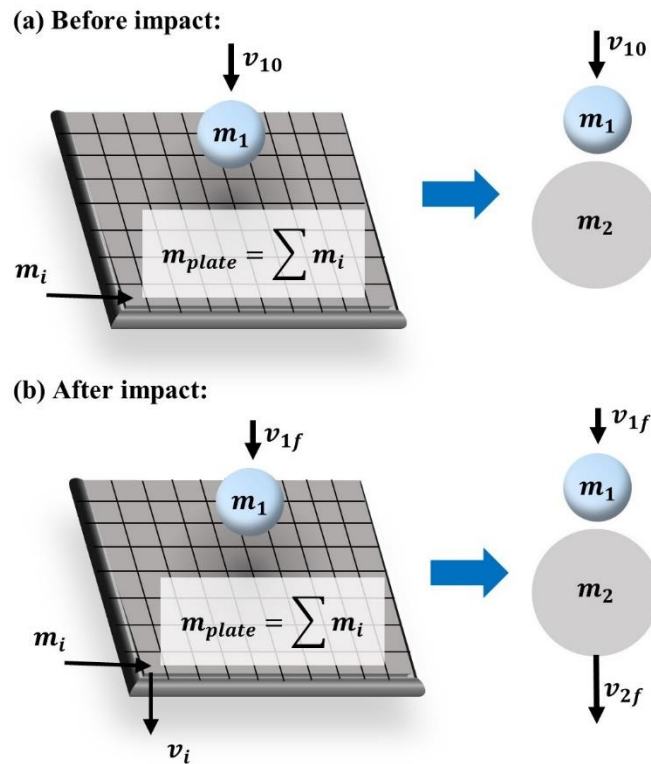
In other words, the value of  $m_2$  is equal to the change in momentum divided by the velocity recorded from the target lumped mass immediately following the impact.

The prototype model of the target is a plate which is deformable, whereas the idealised model which Eq. (12b) is based upon is a rigid lumped mass. In conditions of an impact scenario, deformation of the prototype (the plate) can be modelled as discrete finite elements, and with each element having its own tributary mass  $m_i$  and tributary velocity  $v_i$ . This dual model approach is illustrated by the schematic diagram of Figure 4. To achieve equivalence between the prototype and the lumped mass model the momentum and the kinetic energy generated from both systems are set the same through calibration, as shown in Eq. (13a) and Eq. (13b). Rearranging the two equations into Eq. (13c) gives the expression for determining the velocity  $v_{2f}$  of the equivalent single lumped mass system, being function of the array of  $v_i$  values defining the deflection profile of the plate in 3D.

$$\sum m_i v_i = m_2 v_{2f} \quad (13a)$$

$$\sum \frac{1}{2} m_i v_i^2 = \frac{1}{2} m_2 v_{2f}^2 \quad (13b)$$

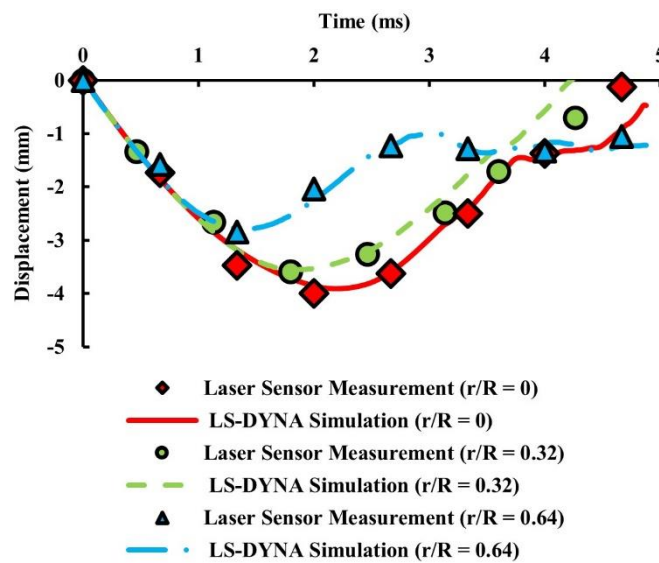
$$v_{2f} = \frac{\sum m_i v_i^2}{\sum m_i v_i} = \frac{\sum v_i^2}{\sum v_i} \quad \text{for plate of uniform thickness} \quad (13c)$$



**Figure 4: Equivalent single-degree-of-freedom (SDOF) structure for the plate structure (a) before impact (b) after impact**

In order to obtain information in relation to the tributary velocity field  $v_i$  of all the finite elements of the plate structure, numerical simulations were implemented by the use of commercial software LS-DYNA. Initially, a homogenous aluminium plate 5050-H34 (with fully clamped edges) which was subjected to a low velocity impact of a 30 mm diameter steel ball cruising at a velocity of 3.13 m/s was modelled to validate the accuracy of the simulated results. The impact locations on the plate were chosen as  $r/R = 0$ ,  $r/R = 0.32$  and  $r/R = 0.64$ , as defined in Figure 2. The aluminium plate had dimensions of 250 mm  $\times$  250 mm  $\times$  1 mm thick and was modelled as planar shell elements with an element size of 1.0 mm  $\times$  1.0 mm to ensure the convergence of results. Material models MAT\_020\_RIGID and

MAT\_018\_POWER\_LAW\_PLASTICITY [38] have been employed to characterise the behaviour of the impactor and the target, respectively. The detailed material properties are as shown in Table 1. The contact behaviour between the projectile and the targeted object can be specified by the use of the following command: AUTOMATIC\_SURFACE\_TO\_SURFACE [39]. The accuracy of the numerical model has been validated by the comparison of the displacement histories at different impact locations from simulations by LS-DYNA and from experimental measurements (Figure 5).



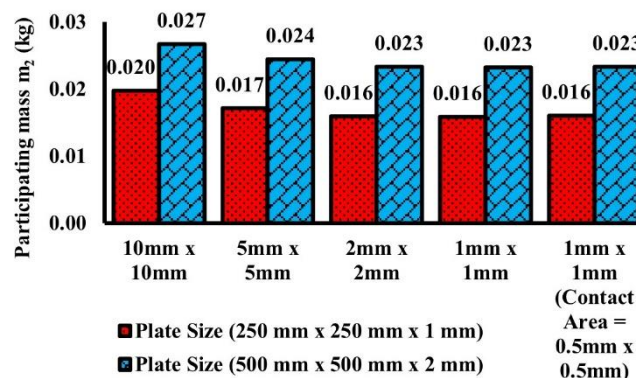
**Figure 5: Comparison of displacement time histories at different impact locations (defined by the  $r/R$  ratio) measured from experiments and calculated by LS-DYNA**

Similar simulation techniques have also been applied to a plate of dimensions 500 mm  $\times$  500 mm  $\times$  2 mm thick which was subjected to the same impact scenarios as described above. Convergence studies were performed to ensure that the adopted mesh elements would always achieve convergence. As shown in Figure 6, regardless of the plate planar dimensions, as the mesh size is reduced to 2 mm  $\times$  2 mm or smaller, the value of  $m_2$  as calculated using Eq. (12b) would not vary significantly. The effects of changing boundary conditions have also been investigated by altering the edge restraints (from fully fixed to simply supported conditions). As shown in Figure 7 (a) and (b) the value of  $m_2$  of a fully fixed plate would typically be the

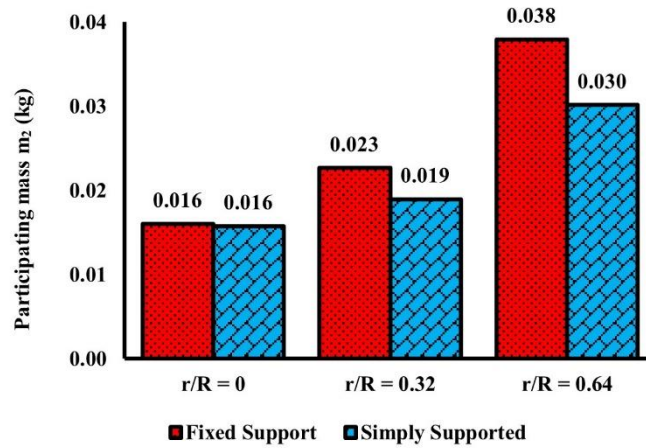
1 same as, or slightly higher than, a simply supported plate. The difference in  $m_2$  is generally  
 2 minor when the location of impact is at the centre of the plate (i.e.,  $r/R = 0$ ).; but would  
 3 increase as the location of impact moves closer to the boundary (i.e., as  $r/R$  becomes larger).  
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 5 As can be inferred from Eqs. (9) and (11), the magnitude of the permanent indentation ( $w_0$ ) is  
 6 directly proportional to the square root of the combinational mass ( $M$ ) which would increase  
 7 with the participating mass ( $m_2$ ). Therefore, adopting a fully fixed boundary condition in the  
 8 prediction of damage would translate to a higher margin of safety.  
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18 **Table 1: Summary of material properties input for steel ball impactor and aluminium**  
 19 **targeted plate used in LS-DYNA**

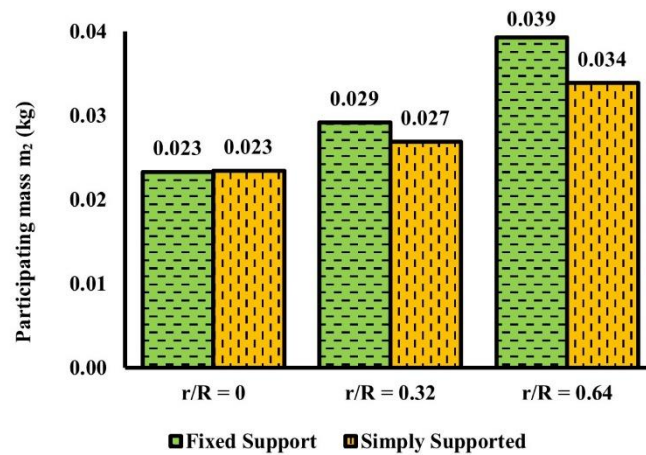
Material properties of steel ball impactor (MAT_020) (ANSI/AFBMA Std. 10-1989)				
Density $\rho$ (kg/m <sup>3</sup> )	Young's modulus $E$ (GPa)		Poisson's ratio $\nu$	
7800	200		0.3	
Material properties of aluminium targeted plate (MAT_018) [40]				
Density $\rho$	Young's modulus	Poisson's ratio $\nu$	Strength	Hardening
(kg/m <sup>3</sup> )	$E$ (GPa)		coefficient $K$	exponent $N$
			(MPa)	
2700	69	0.33	254	0.0782



58 **Figure 6: Convergence analysis of finite element models in LS-DYNA: effect of shell**  
 59 **element size on the values of participating mass  $m_2$**



(a)



(b)

**Figure 7: Sensitivity analysis of finite element models in LS-DYNA: effect of boundary conditions on the values of participating mass  $m_2$  at different impact locations defined by the  $r/R$  ratio (a) 250 mm  $\times$  250 mm  $\times$  1 mm plate (b) 500 mm  $\times$  500 mm  $\times$  2 mm plate**

Further simulations have been conducted by varying the diameter of the steel ball impactor from 30 mm to 40 mm, and 50.8 mm; and the velocity of impact from 3.13 m/s to 4.50 m/s, and 6.26 m/s. This simulation approach has been verified further in a later section of the paper where the amount of indentation as predicted by the proposed analytical relationship is compared against experimental measurements. The arrays of  $v_i$  values derived from the

1 simulations were input into Eq. (13c) for determining the value of  $v_{2f}$  which in turn were input  
2 into Eq. (12b) for solving the value of  $m_2$ .  
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5 It was found from the simulations that the velocity of the plate at the location of contact  
6 (denoted herein as  $v_n$ ), was constrained to 0.77 – 0.94 times the value of  $v_{2f}$  as calculated  
7 using Eq. (13c). The  $v_n/v_{2f}$  ratio tends to be lower when moving closer to the centre of the  
8 plate, but becomes higher when moving towards the corner. When the location of impact was  
9 in the vicinity of the corner of the plate (e.g.,  $r/R = 0.64$ )  $v_n$  came within 7 % of  $v_{2f}$ . These  
10 observations, which were consistent across different velocities of impact, can be used in the  
11 future to infer the value of  $v_{2f}$  when only  $v_n$  has been measured, thus sparing the need to  
12 perform the whole plate analysis. In other words, taking  $v_{2f} = v_n$  would err on the  
13 conservative side in the prediction of  $w_0$ , and would only slightly overstate  $m_2$ .  
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29 In summary, the combined use of Eq. (12b) and Eq. (13c) enables the value of  $m_2$  to be found  
30 once the following information is available: (1) impactor mass,  $m_1$  (2) velocity of the impactor  
31 at different stages of the impact:  $v_{10}$  and  $v_{1f}$ , and (3) the array of velocity values  $v_i$  defining  
32 the deflection plate. Once the value of  $m_2$  is known, the value of  $M$  of the impactor-plate system  
33 can be solved using Eq. (9). Substituting  $M$  into Eq. (11) returns the predicted value of  $w_0$ .  
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#### 43 **2.4. Coefficient of Restitution**

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46 The *Coefficient of Restitution (COR)* is used to characterise energy recovery during the  
47 restitution phase of the impact (refer Figure 3). *COR* as a modelling parameter may be defined  
48 in a number of ways. The three most common definitions are: (1) the kinetic coefficient of  
49 restitution which is ratio of the impulse transferred across two colliding bodies in the  
50 compression and restitution phase, (2) kinematic coefficient of restitution which is ratio of the  
51 relative velocity of the two colliding bodies immediately prior to, and following, the occurrence  
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of impact, or (3) energetic coefficient of restitution which is ratio of the strain energy of absorption and release in the compression and restitution phase, respectively. These three definitions which have been reviewed in [41] are presented in the mathematical expressions of Equations (14a) - (14c), respectively.

$$COR = \frac{p_r}{p_c} = \frac{\int_{t_c}^{t_f} F(t) dt}{\int_{t_0}^{t_c} F(t) dt} \quad (14a)$$

$$COR = \frac{v_{2f} - v_{1f}}{v_{10} - v_{20}} \quad (14b)$$

$$COR = \sqrt{\frac{E_r^e}{E_c^{ep}}} \quad (14c)$$

where,  $p_r$  and  $p_c$  are restitution impulse and compression impulse;  $t_0$ ,  $t_c$  and  $t_f$  are the time instants of the start of the compression phase, the end of the compression phase and the end of restitution phase respectively;  $F(t)$  is the contact force between the two bodies;  $E_r^e$  is the elastic strain energy restored during the restitution phase; and  $E_c^{ep}$  is the energy dissipated during the compression phase due to both elastic and plastic deformations.

Whilst there are different definitions of  $COR$ , their respective values are typically similar [42]. Should the deformation of the impactor and surface of the target be purely elastic (i.e.,  $COR = 1$ ), all the strain energy developed in the compression phase be transformed back into kinetic energy which is carried by both objects which move away from each other. In such a condition, the amount of permanent indentation occurring at the point of contact would be limited. Should there be negligible amount of recovery of strain energy in the restitution phase of the impact (i.e.,  $COR = 0$ ), the two colliding objects remain attached. In such a condition, a significant amount of indentation is expected to occur in the plate than the condition of elastic impact. In the current study, the kinematic coefficient of restitution definition is used and simplified into Eq. (15).

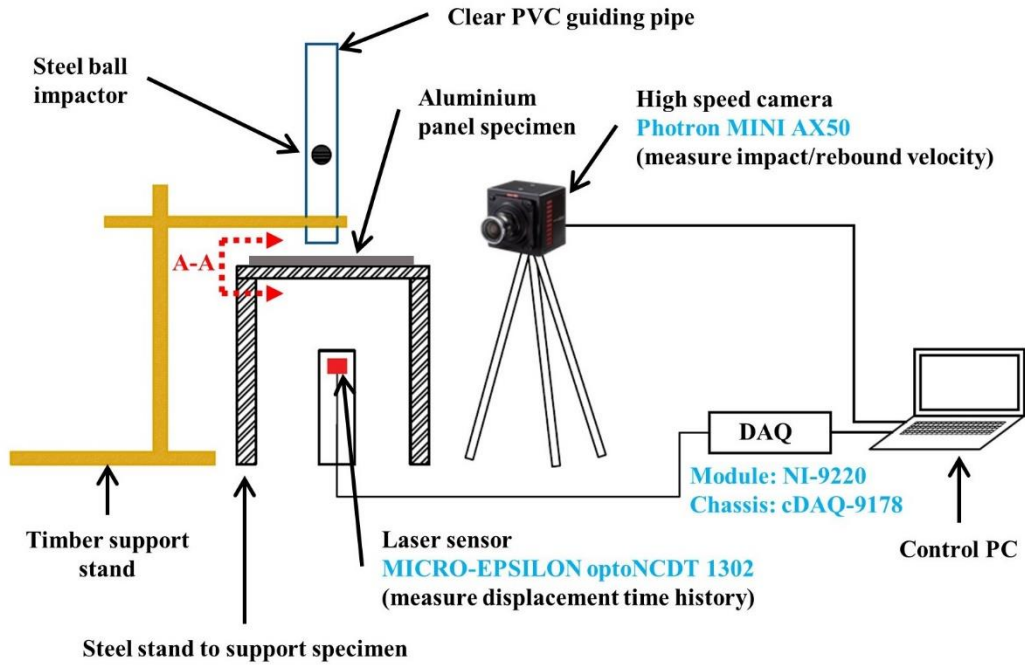
$$COR = \frac{\text{Rebound velocity}}{\text{Impact velocity}} = \sqrt{\frac{\text{Rebound height}}{\text{Drop height}}} \quad (15)$$

### 3. Experimental Investigation

#### 3.1. Experimental setup and test parameters

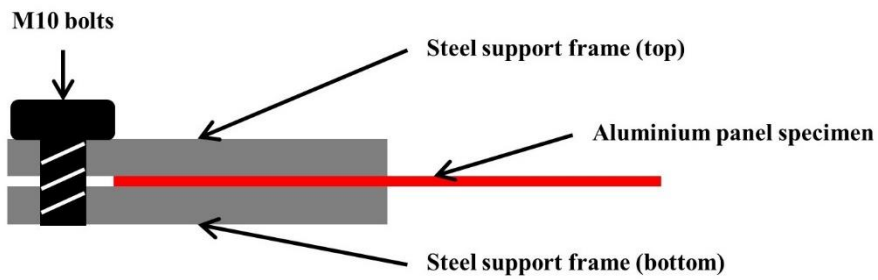
Predictive expressions presented in Section 2 for determining permanent deformation of the plate are evaluated in this section based on analyses of results from impact experiments conducted by the authors. The spatial dependent parameters:  $m_2$  and  $COR$  were of key interests in the investigation as the amount of indentation into the plate is greatly influenced by these two parameters.

Impact tests which involved dropping a solid steel sphere onto an aluminium plate was conducted by the authors. The test setup as illustrated by Figure 8, along with photos of Figure 9, features the use of a PVC pipe to guide the fallen object onto various designated positions on a plate specimen which had plan dimensions of either: (1) 250 mm × 250 mm or (2) 500 mm × 500 mm. The plate thickness was 1 mm with the smaller plate and either 1 mm or 2 mm with the larger plate. The boundary of the targeted panel was fully clamped by two steel support frames and fixing bolts as illustrated in Figure 8(b). This type of boundary condition can be approximated as fully fixed support since both displacement and rotation at the support were restrained. The solid steel sphere had mass of 110 grams, 260 grams or 535 grams, as listed in Table 2. Physical properties of the test specimens were as listed in Table 2. The impact velocity of impact was (1) 3.13 m/s, (2) 4.5 m/s and (3) 6.26 m/s as measured by a high-speed camera (*Photron MINI AX50*) which was also used to measure the velocity of rebound. A laser device (*MICRO-EPSILON optoNCDT 1302*) and associated data acquisition system (DAQ) for recording the displacement time-history was placed under the specimen.



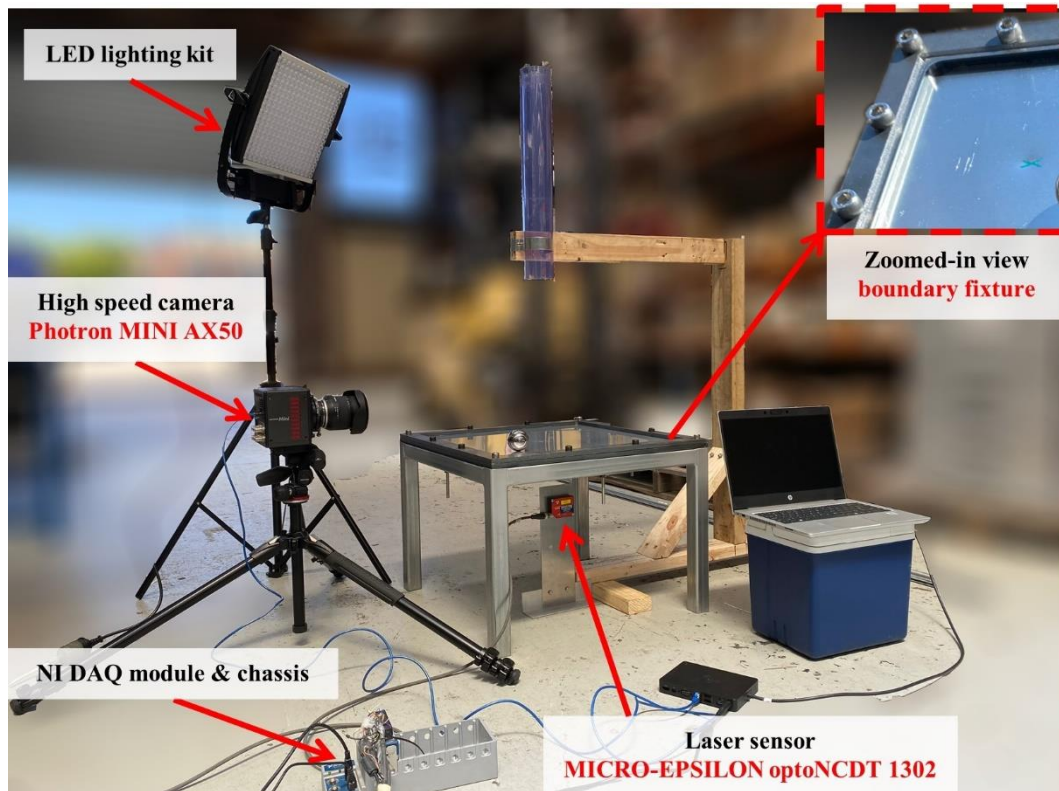
(a)

**Cross section A-A view (boundary fixture detail):**



(b)

**Figure 8: Schematic diagrams of (a) experimental setup (b) details of the fixture at boundary locations**



28 **Figure 9: Photograph of experimental setup and instrumentations for measurement**

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33 **Table 2: Dimensions and material properties of E52100 chrome steel ball impactor (ANSI/AFBMA Std. 10-1989)**

	Impactor Type I	Impactor Type II	Impactor Type III
Diameter ( <i>mm</i> )	30	40	50.8
Mass $m_1$ ( <i>g</i> )	110	260	535

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47 **Table 3: Dimensions and material properties of targeted aluminium panels grade 5050-H34 (ASTM B209M standard)**

	Panel Type I	Panel Type II	Panel Type III
Dimensions ( <i>mm</i> )	250 × 250 × 1( <i>THK</i> )	500 × 500 × 1( <i>THK</i> )	500 × 500 × 2( <i>THK</i> )
Yield strength $\sigma_y$ ( <i>MPa</i> )	140	140	140

## 3.2. Discussion of experimental results

### 3.2.1. Participating mass $m_2$

In determining the value of  $m_2$  for every considered test scenario, impact experiments and numerical simulations were conducted to obtain measurements of the velocities taken from both the impactor and the targeted plate. Parameters characterising each of the impact tests including the size of the impactor, size of the test specimens, the velocity of impact and the location of impact are listed in Tables 4a and 4b. The participating mass ratio  $\lambda$  is also introduced herein as defined in Eq. (16).

$$\lambda = \frac{m_2}{m_1} \quad (16)$$

The increase in value of  $\lambda$  (i.e., the ratio of  $m_2/m_1$ ), with increasing offset of the impact location from the centre of the plate is notable; so is the insensitivity of the ratio with change in velocity of impact. As shown in Table 4a, the value of  $\lambda$  would not change significantly with the increase in the velocity of impact when other parameters remain unchanged. The trend of increase in  $\lambda$  with increasing  $r/R$  is evident in Figure 10. Linear correlations of the value of  $\lambda$  with the location of impact can also be approximated for plates of two different thicknesses (1 mm and 2 mm) as shown in Figure 10(a) and (b) respectively. Such linear correlations as reflected in Eqs. (17a) and (17b) are applicable for locations along the diagonals as defined by  $r/R$  (refer Figure 2):

$$\lambda_1 = 0.316 \left( \frac{r}{R} \right) + 0.135 \quad \text{for plate of 1 mm thickness} \quad (17a)$$

$$\lambda_2 = 0.067 \left( \frac{r}{R} \right) + 0.039 \quad \text{for plate of 2 mm thickness} \quad (17b)$$

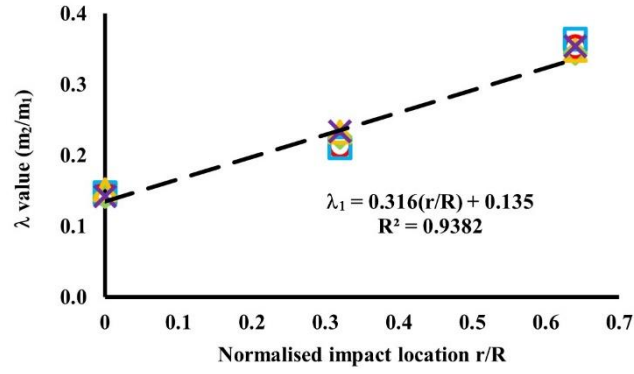
**Table 4: Participating mass of the impact**

(a) 250 mm × 250 mm × 1mm plate

$m_1$ (g)	D (mm)	$v_{10}$ (m/s)	Impact location $r/R$	$v_{1f}$ (m/s)	$v_{2f}$ (m/s) Eq.(13c)	$m_2$ (g) Eq.(12b)	$\lambda$
110	30	3.13	0.0	2.63	3.44	16.0	<b>0.146</b>
110	30	3.13	0.32	2.69	2.09	23.4	<b>0.213</b>
110	30	3.13	0.64	2.27	2.44	38.9	<b>0.353</b>
110	30	4.50	0.0	3.81	4.69	16.1	<b>0.147</b>
110	30	4.50	0.32	3.77	3.41	23.5	<b>0.214</b>
110	30	4.50	0.64	3.25	3.48	39.4	<b>0.359</b>
110	30	6.26	0.0	5.52	5.06	16.1	<b>0.147</b>
110	30	6.26	0.32	5.27	4.70	23.1	<b>0.211</b>
110	30	6.26	0.64	4.50	4.85	39.9	<b>0.364</b>

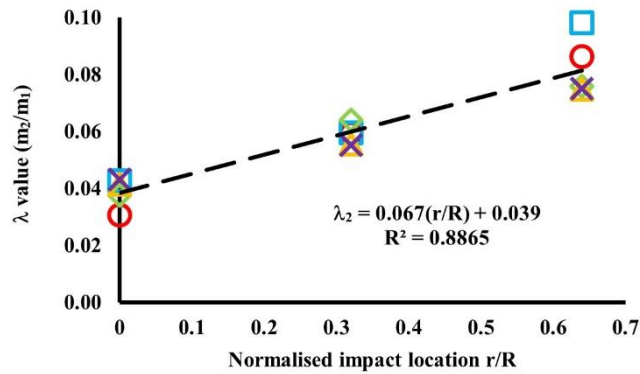
(b) 500 mm × 500 mm × 2 mm plate

$m_1$ (g)	D (mm)	$v_{10}$ (m/s)	Impact location $r/R$	$v_{1f}$ (m/s)	$v_{2f}$ (m/s) Eq.(13c)	$m_2$ (g) Eq.(12b)	$\lambda$
261	40	3.13	0.0	3.02	2.92	9.8	<b>0.038</b>
261	40	3.13	0.32	2.94	3.01	16.6	<b>0.064</b>
261	40	3.13	0.64	2.92	2.77	19.8	<b>0.076</b>
261	40	6.26	0.0	6.04	5.30	10.8	<b>0.042</b>
261	40	6.26	0.32	5.97	5.23	14.5	<b>0.055</b>
261	40	6.26	0.64	5.85	5.55	19.5	<b>0.075</b>
535	50.8	3.13	0.0	3.00	3.01	23.0	<b>0.043</b>
535	50.8	3.13	0.32	2.97	2.90	29.4	<b>0.055</b>
535	50.8	3.13	0.64	2.96	2.27	40.0	<b>0.075</b>



- 30mm ball - 250x250x1 plate (impact velocity = 3.13 m/s)
- 30mm ball - 250x250x1 plate (impact velocity = 6.26 m/s)
- ◆ 30mm ball - 500x500x1 plate (impact velocity = 3.13 m/s)
- ▲ 40mm ball - 500x500x1 plate (impact velocity = 3.13 m/s)
- ✕ 50.8mm ball - 500x500x1 plate (impact velocity = 3.13 m/s)

(a)



- 30mm ball - 500x500x2 plate (impact velocity = 3.13 m/s)
- 30mm ball - 500x500x2 plate (impact velocity = 6.26 m/s)
- ◆ 40mm ball - 500x500x2 plate (impact velocity = 3.13 m/s)
- ▲ 40mm ball - 500x500x2 plate (impact velocity = 6.26 m/s)
- ✕ 50.8mm ball - 500x500x2 plate (impact velocity = 3.13 m/s)

(b)

**Figure 10: Participating mass ratio  $\lambda$  ( $m_2/m_1$ ) at different impact locations defined by  $r/R$  (a) plate of 1 mm thickness (b) plate of 2 mm thickness**

### 3.2.2. Coefficient of Restitution $COR$

A separate parametric study was undertaken to study the spatial variation of  $COR$ , and its correlation with different impact parameters. It is observed from experiments that the value of  $COR$  would be decreased if the mass of the impactor, or the velocity of impact, is increased. The value of  $COR$  also tends to be a higher value when the impact occurs in closer proximity to the boundary of the plate, or when a thicker plate is impacted. In addition,  $COR$  is shown to be monotonically correlated with one parameter when other parameters remain unchanged, indicating the viability of having all the correlations to be covered by one expression.

To establish this expression, the technique of dimensional analysis was employed [43]. Two independent dimensionless groups were identified as  $COR$  and  $(R - r)m_1v_{10}/(L^2\sqrt{\bar{m}D})$ . The latter term is referred as the dimensionless impact intensity which can be expressed in the form of Eq. (18a) which is product of two dimensionless variables.

$$\frac{(R-r)m_1v_{10}}{L^2\sqrt{\bar{m}D}} = \frac{R-r}{L} \times \frac{m_1v_{10}}{L\sqrt{\bar{m}D}} \quad (18a)$$

where,  $R$  and  $r$  are measurements of the impact location as defined by Figure 2 (and  $R - r$  measures the distance from the impact location to the boundary);  $L$  is planar dimension of the targeted plate;  $\bar{m}$  is mass per unit area of the plate; and  $D$  is flexural rigidity of the plate as defined by Eq. (18b)

$$D = \frac{Et^3}{12(1-\nu^2)} \quad (18b)$$

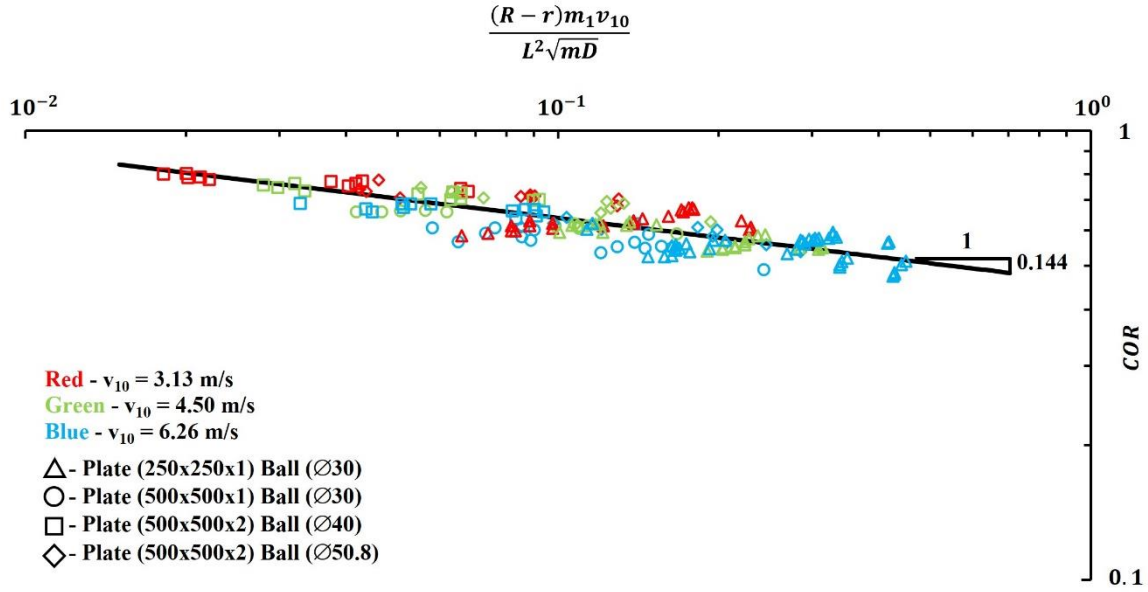
where,  $E$ ,  $t$ , and  $\nu$  are the Young's modulus, thickness, and Poisson's ratio of the targeted plate respectively.

1 In Eq. (18a), the first term  $\frac{R-r}{L}$  is a dimensionless variable characterising the position of impact  
 2  
 3 on panels of any given size  $L$ . The second term  $\frac{m_1 v_{10}}{L\sqrt{mD}}$  is another dimensionless variable  
 4  
 5 describing the intensity of impact. The nominator represents the amount of momentum  
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 7 delivered by the projectile whereas the denominator represents the flexural resistance of the  
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 9 plate when subjected to impact in the transverse direction.  
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15 In Figure 11, the measured values of  $COR$  are plotted against the dimensionless impact  
 16  
 17 intensity (as defined by Eq. (18a)). The experimental data points are shown to be distributed  
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 19 following the same trend. A straight line can be approximated in this double logarithmic plot,  
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 21 indicating a power law relationship in Eq. (19), the values of the two coefficients were obtained  
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 23 via the best fitted curve technique.  
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$$28 \quad COR = 0.46 \left( \frac{(R-r)m_1 v_{10}}{L^2 \sqrt{mD}} \right)^{-0.144} \quad (19)$$

31 The value of  $COR$  is inferred from experimental measurements to be inversely correlated with  
 32  
 33 the offset of the location of impact from the boundary of the panel, and the amount of  
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 35 momentum delivered by the projectile; but directly correlated with the thickness of the plate  
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 37 (assuming that the material of the plate is homogenous).  
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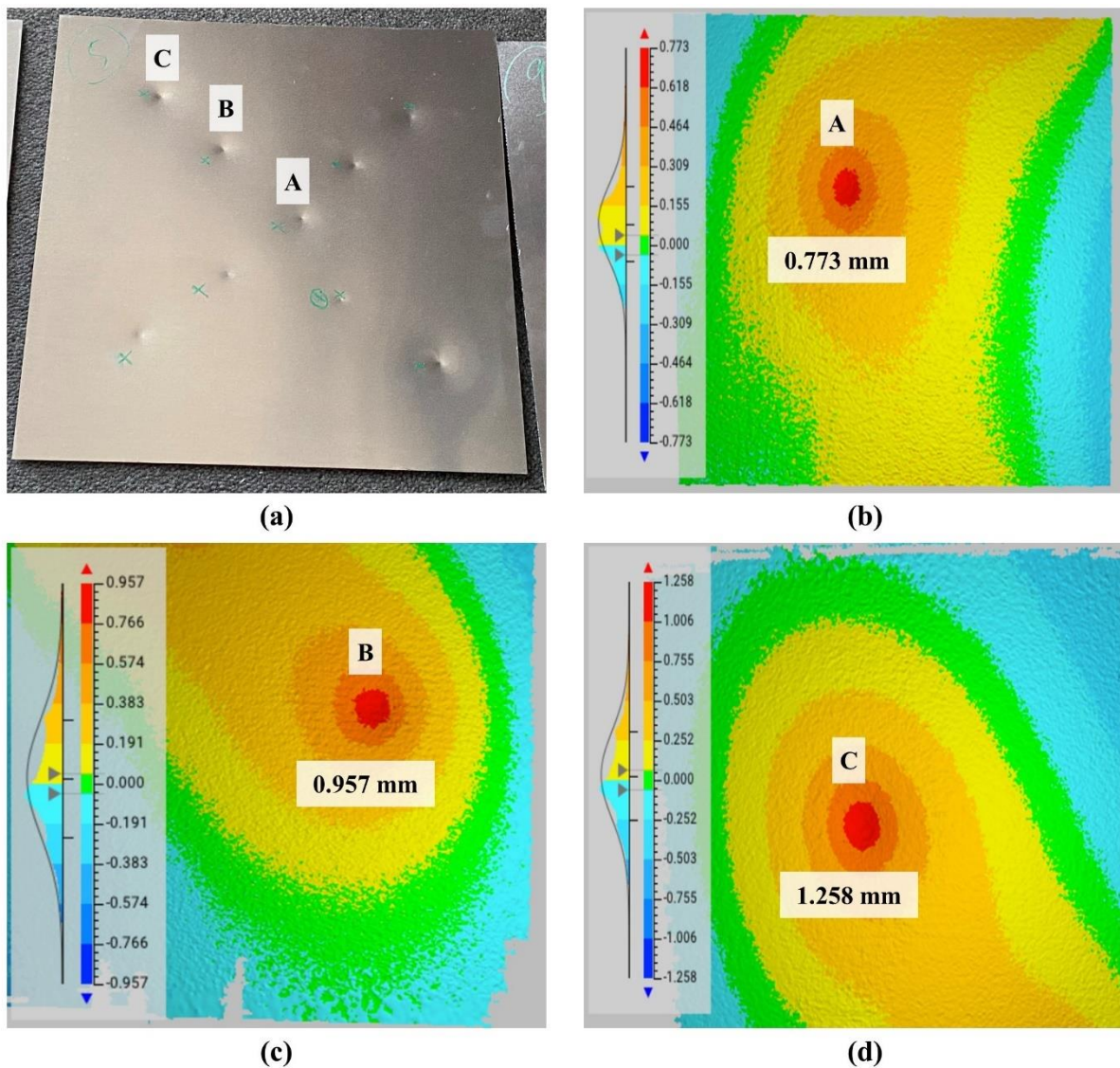
**Figure 11: Correlation of coefficient of restitution (COR) with varying impact location defined by  $R - r$  (expressed in the dimensionless format)**

### 3.2.3. Permanent indentation $w_0$

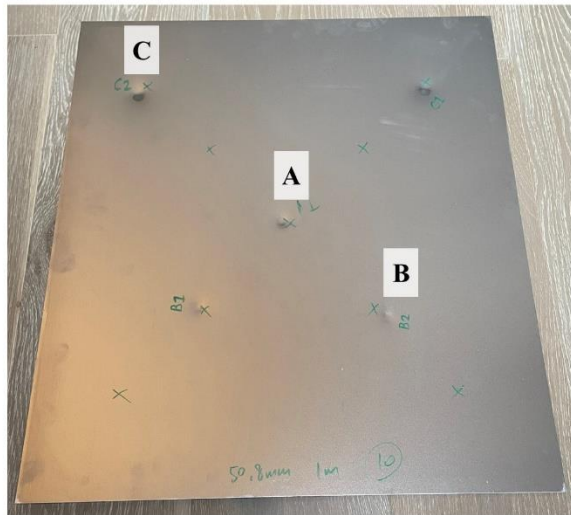
In summary, the analytical prediction of permanent indentation into the plate ( $w_0$ ) can be determined by use of Eq. (11) when the combinational mass  $M$ , the velocity of impact  $v_{10}$ , and Coefficient of Restitution  $COR$  are well defined. The value of  $M$  can be found using Eq. (9) once the value of  $m_2$  is calculated first from Eqs. (16), (17a) or (17b). The amount of permanent indentation into the plate generated in each impact test has also been measured by an optical 3D scanner (*Artec Space Spider 3D*) (refer Figure 12 and 13 which present some scanned images). Good agreement between experimental measurements and analytical predictions across all the tests that have been conducted by the authors is demonstrated as shown in Figure 14.

For each and every group of experiments conducted, results are presented in column charts of different colours (red, blue and green) showing: (1) experimental result, (2) analytical prediction (based on the experimentally measured  $COR$ ), and (3) analytical prediction (based on the estimated  $COR$  calculated by use of Eq. (19)). The comparison between columns (1) and

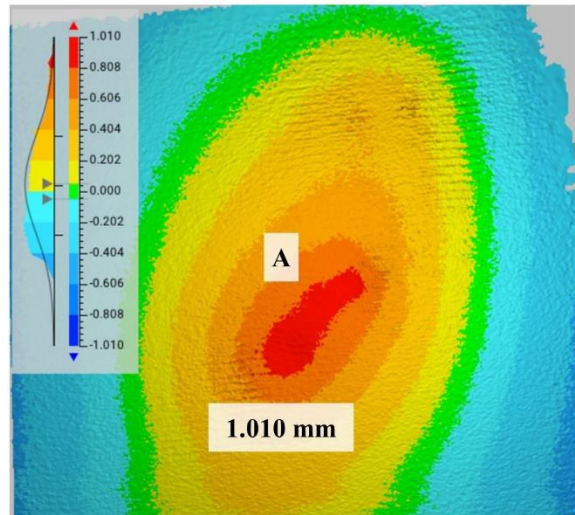
(2) demonstrates the validity of Eq. (11) whereas the comparison between (2) and (3) further validates accuracy of the empirical relationship of Eq. (19). The numerical discrepancies between (1) and (3) for all the tested groups are within 20%, and with the majority of the results within 10%, as indicated by figures displayed at the respective column chart. Therefore, the accuracy of the proposed analytical model as represented by Eq. (11) and the associated relationships for calculation of  $M$  and  $COR$ , is validated.



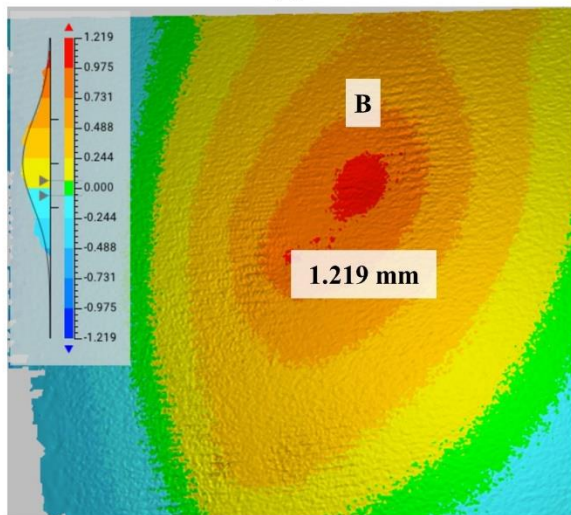
**Figure 12: Damage to 250 mm × 250 mm × 1 mm aluminium plate (a) photo of specimen (b) scanned image at point A (c) scanned image at point B (d) scanned image at point C**



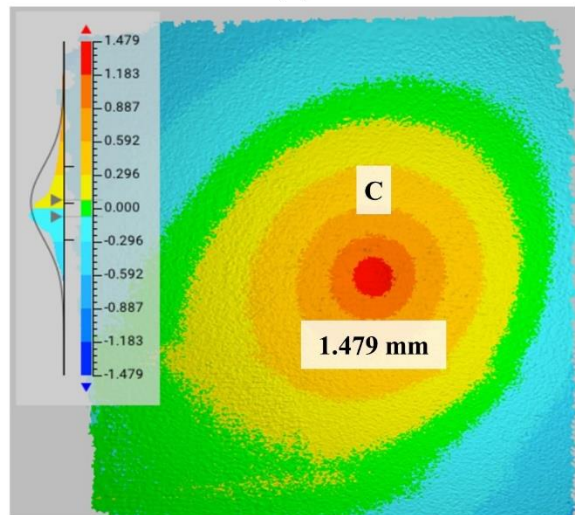
(a)



(b)



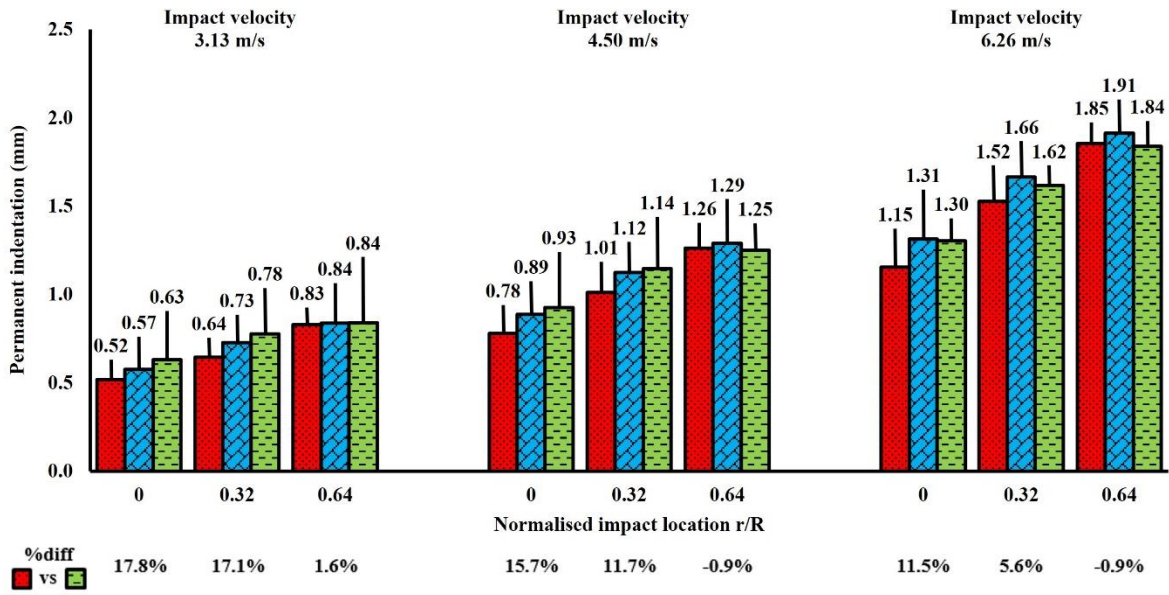
(c)



(d)

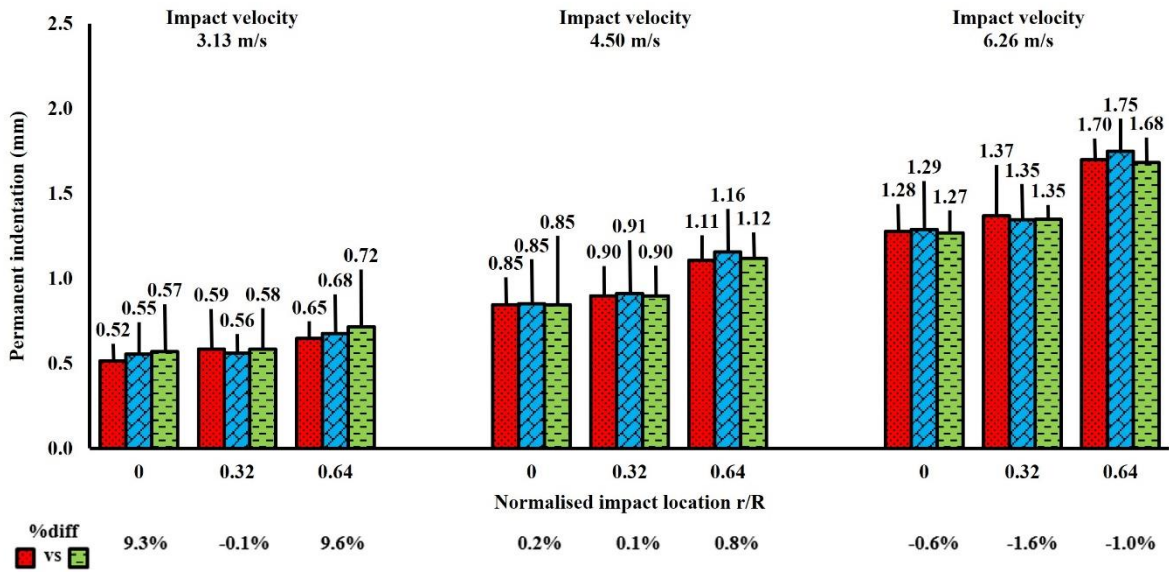
**Figure 13: Damage to 500 mm × 500 mm × 2 mm aluminium plate (a) photo of specimen (b) scanned image at point A (c) scanned image at point B (d) scanned image at point C**

1 ■ Experimental result ■ Analytical prediction (COR measured experimentally) ■ Analytical prediction (COR calculated from Eq.19)



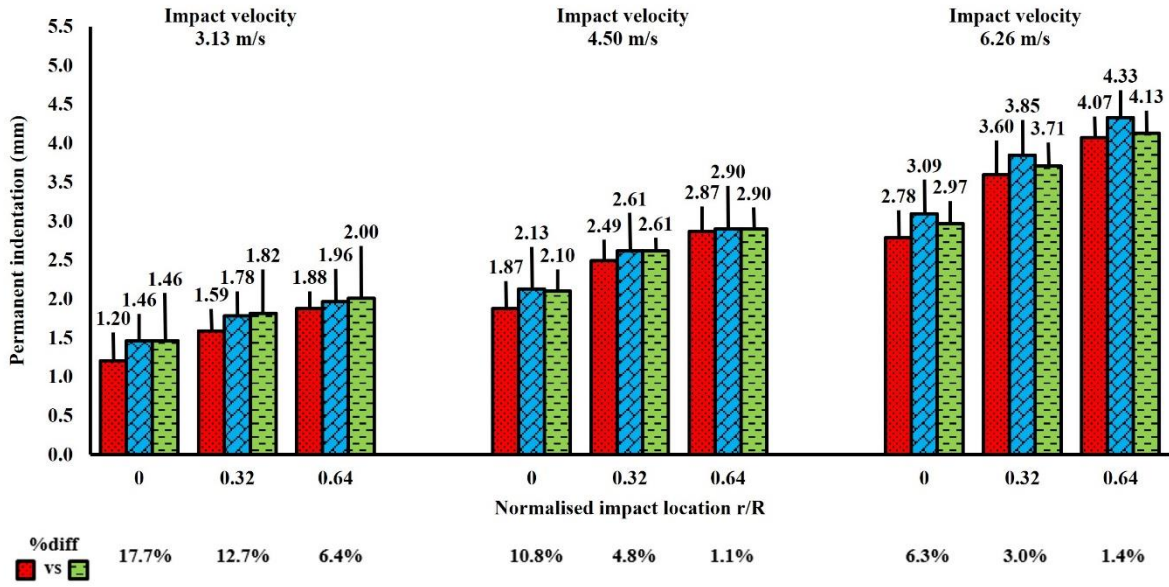
23 (a) 250 mm × 250 mm × 1 mm plate (30 mm diameter impactor)

26 ■ Experimental result ■ Analytical prediction (COR measured experimentally) ■ Analytical prediction (COR calculated from Eq.19)



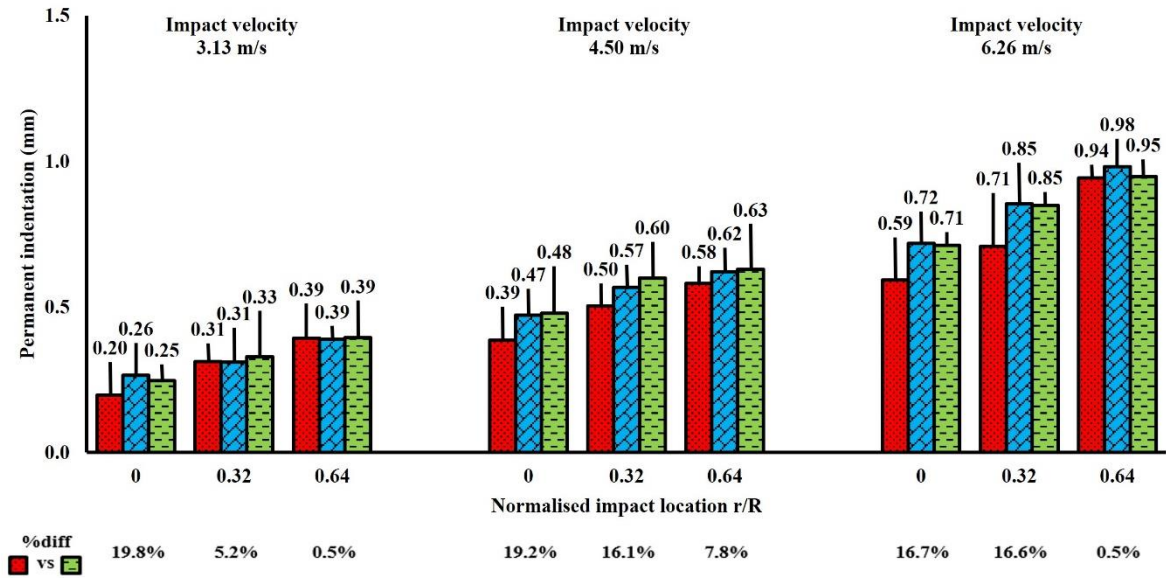
49 (b) 500 mm × 500 mm × 1 mm plate (30 mm diameter impactor)

■ Experimental result ■ Analytical prediction (COR measured experimentally) ■ Analytical prediction (COR calculated from Eq.19)



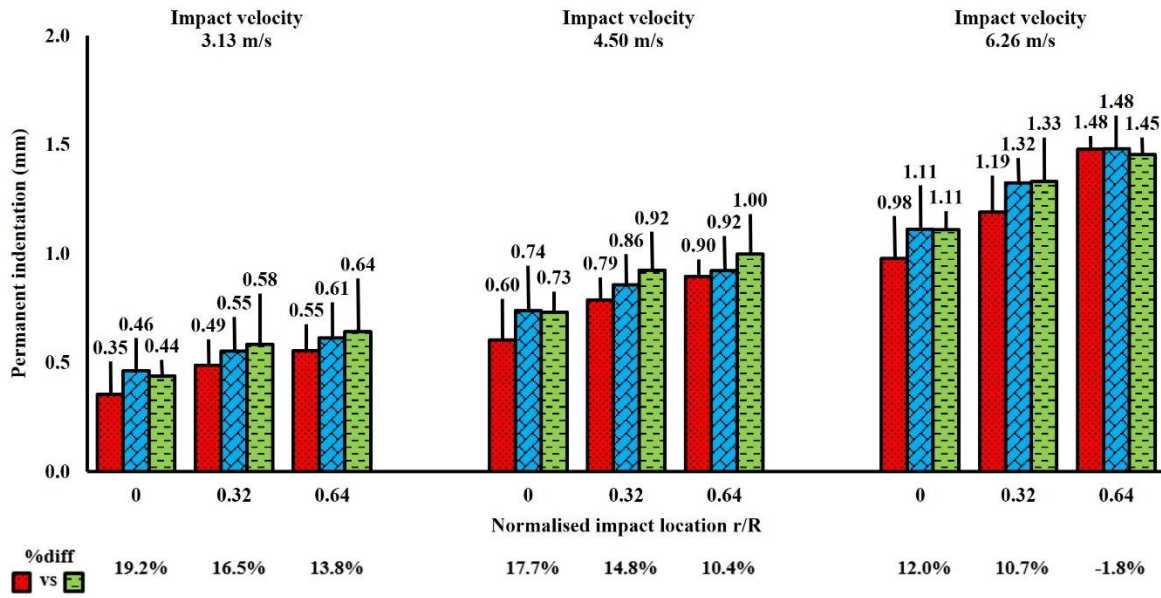
(c) 500 mm × 500 mm × 1 mm plate (50.8 mm diameter impactor)

■ Experimental result ■ Analytical prediction (COR measured experimentally) ■ Analytical prediction (COR calculated from Eq.19)



(d) 500 mm × 500 mm × 2 mm plate (50.8 mm diameter impactor)

■ Experimental result ■ Analytical prediction (COR measured experimentally) ■ Analytical prediction (COR calculated from Eq.19)



(e) 500 mm × 500 mm × 2 mm plate (40 mm diameter impactor)

Figure 14: Comparison of permanent indentations predicted by the analytical model and measured by 3D scanner

#### 4. Proposed calculation procedure for prediction of permanent indentation

One of the impact tests conducted by the authors is used herein as example for demonstrating the application of the proposed algebraic predictive relationships. The considered impact scenario was a solid steel sphere impacting at the centre position of an aluminium panel with incident velocity of 6.26 m/s. The targeted panel, which was fully fixed on all the edges, had dimensions of 250 mm × 250 mm × 1mm thick, weighed 158 grams, and was made of alloy type 5050-H34. The impactor was a spherical object made of solid steel of 30 mm in diameter weighting 110 grams. The density, *Poisson's* ratio, Young's modulus, and the yield strength may be taken as 2700 kg/m<sup>3</sup>, 0.33, 69 GPa and 140 MPa, respectively. Determine the amount of permanent indentation for the given impact scenario.

**Solution:**

### Step 1 – Solving for participating mass $m_2$

The participating mass ( $m_2$ ) of a plate with 1mm thickness when impacted at the centre is predicted by this calculation:  $m_2 = \lambda_1 m_1 = \left(0.316 \left(\frac{r}{R}\right) + 0.135\right) m_1 = 0.135 \times m_1 = 0.015 \text{ kg}$

### Step 2 – Solving for combinational mass $M$

The combinational mass  $M$  of the system as given by Eq. (5), is calculated as follows:

$$M = \frac{m_1 m_2}{m_1 + m_2} = \frac{0.11 \times 0.015}{0.11 + 0.015} = 0.013 \text{ kg}$$

### Step 3 – Estimating the value of $COR$

As defined in Eq. (13a), the following parameters need to be calculated first in order to estimate the value of  $COR$ :

(a).  $R - r = 0.125 \times \sqrt{2} - 0 = 0.177 \text{ m}$

(b).  $\bar{m} = \rho t = 2700 \times 0.001 = 2.7 \text{ kg/m}^2$

(c).  $D = \frac{Et^3}{12(1-\nu^2)} = \frac{69 \times 10^9 \times (0.001)^3}{12(1-0.33^2)} = 6.45 \text{ Nm}^2$

$$COR = 0.46 \left( \frac{(R - r)m_1 v_{10}}{L^2 \sqrt{\bar{m} D}} \right)^{-0.144} = 0.46 \left( \frac{0.177(0.11)(6.26)}{(0.25)^2 \sqrt{2.7(6.45)}} \right)^{-0.144} = 0.512$$

### Step 4 – Predicting the permanent indentation $w_0$

Permanent indentation  $w_0$  as estimated from Eq. (7) is shown in below:

$$\begin{aligned}
w_0 &= \sqrt{\frac{4}{\pi\sigma_y t} \times \left(\frac{1}{2} M v_{10}^2 (1 - COR^2)\right)} \\
&= \sqrt{\frac{4}{\pi(140 \times 10^6)(0.001)} \times \left(\frac{1}{2} (0.013)(6.26)^2 (1 - 0.512^2)\right)} \\
&= 0.013 \text{ m or } 1.3 \text{ mm}
\end{aligned}$$

The predicted value of 1.3 mm can be compared against the experimentally measured value of 1.15 mm demonstrating reasonably good accuracy of the predictive relationships.

## 5. Conclusion

- (i) The algebraic expression for predicting the amount of permanent indentation into the surface of the aluminium has been developed to incorporate the *combinational mass* ( $M$ ) and the *coefficient of restitution* ( $COR$ ) as additional input parameters.
- (ii) The *participating mass* of the targeted rectangular plate (hence the *combinational mass* of the system) was found to correlate closely with the mass of the impactor; the participating mass ratio ( $\lambda$ ) was found to increase with increasing distance from the centre of the plate, and decrease with increasing plate thickness.
- (iii) Correlation of the *coefficient of restitution* ( $COR$ ) with distance from the boundary of the plate and the momentum delivered by the impact has also been identified; and an empirical formula for estimating the value of  $COR$  is proposed.
- (iv) The accuracy of predictions by the proposed expression has been validated by comparison with results recorded from impact testing which involved dropping a rigid impactor onto aluminium panel specimens of different dimensions.

## 6. CRediT authorship contribution statement

**Shuangmin Shi:** Conceptualization, Methodology, Validation, Formal analysis, Investigation, Writing – Original Draft, Visualization. **Nelson Lam:** Conceptualization, Methodology,

1 Writing – Review & Editing, Supervision. **Yiwen Cui:** Conceptualization, Methodology,  
2 Formal analysis. **Lihai Zhang:** Resources, Supervision, Project administration, Funding  
3 acquisition. **Guoxing Lu:** Resources, Funding acquisition. **Emad Gad:** Project administration,  
4 Funding acquisition.  
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