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7

8 **Title**9 Postnatal probiotics and allergic disease in very preterm infants: sub-study to the *ProPrem*
10 randomized trial

11

12 **Short title**

13 Probiotics, allergic disease and very preterm infants

14

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70

71 **Abstract**

72 **Background:** Probiotic supplementation to mothers and/or their term-born infants has been
73 suggested to prevent allergic disease, in particular eczema; however, no studies have investigated
74 probiotics for prevention of allergic diseases in very preterm infants. We evaluated the effect of a
75 postnatal probiotic combination on development of allergic diseases in very preterm infants.

76

77 **Methods:** This sub-study was an *a priori* secondary outcome of the ProPrems multi-centre,
78 double-blind, placebo-controlled randomized trial (ANZCTR:12607000144415). ProPrems
79 randomized 1099 very preterm infants to receive a probiotic combination or placebo from soon
80 after birth until discharge from hospital or term corrected age (CA), whichever was earlier.
81 Allergic disease (eczema, atopic eczema, food allergy, wheeze, atopic sensitization) was assessed
82 in a subgroup of ProPrems infants (n=281) as close to 12 months CA as possible by questionnaire,
83 clinical examination and skin prick tests to common allergens.

84

85 **Results:** There was no difference in eczema incidence between the probiotic and placebo group
86 (35[30%] of 118 infants vs 37[27%] of 137 infants, respectively, absolute difference 2.65%, 95%
87 CI -8.45 to 13.75). Similarly, the incidence of atopic eczema (6[5%] of 118 vs 3[2%] of 137), food
88 allergy (4[3%] of 124 vs 2[1%] of 154), wheeze (39[31%] of 127 vs 45[29%] of 154) and atopic
89 sensitization (14[13%] of 106 vs 13[11%] of 123) were similar between the probiotic and placebo
90 groups.

91

92 **Conclusion:** This study found no effect of postnatal administration of a probiotic combination on
93 the incidence of allergic diseases or atopic sensitization in the first two years of life in children born
94 very preterm. Evidence that probiotics are effective for prevention of allergic disease in premature
95 infants remains lacking; adequately powered randomized controlled trials evaluating probiotic
96 supplementation for allergy prevention in very preterm infants are needed.

97

98 **Keywords**

99 Atopic sensitization, Eczema, probiotics, preterm

100

101 **Introduction**

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102 Allergic diseases cause significant disease burden worldwide and their prevalence has increased in
103 recent times¹, in both high and low-resource countries². Approaches to prevent eczema and other
104 allergic diseases warrant investigation.

105

106 Alterations of the gut microbiota composition in early life, including reduced relative abundance
107 of Proteobacteria, *Bifidobacterium*, *Akkermansia*, *Faecalibacterium* and *Lachnospira*³⁻⁵, as well
108 as altered colonisation patterns^{6,7}, have been associated with increased risk of allergic diseases
109 such as eczema and atopic sensitization. Compared with term infants, preterm infants typically
110 have a low diversity gut microbiota with low abundance of anaerobes, and higher abundance of
111 potentially pathogenic organisms⁸. While alterations in gut microbiota composition or colonisation
112 might be expected to result in increased risk of allergic diseases, studies have failed to consistently
113 demonstrate a higher prevalence of atopy, eczema and food allergy in preterm compared with term
114 infants⁹⁻¹¹. However, preterm infants have been shown to have an increased risk of asthma and
115 wheeze by meta-analysis compared to term infants, with very preterm infants at highest risk^{12,13}.
116 Preterm infants also have a high rate of hospital readmission with lower respiratory tract
117 infections¹⁴, and there is evidence to suggest that probiotic supplementation may reduce the risk of
118 respiratory tract infections in term¹⁵ and preterm infants¹⁶.

119

120 Recent systematic reviews and meta-analyses have reported that probiotic supplementation to
121 mothers during late pregnancy and to infants in the first 6-12 months of life is effective in
122 reducing the frequency of eczema, atopic eczema and atopic sensitization in term-born children,
123 particularly in infants with family history of atopy^{17,18}. Postnatal only supplementation has failed
124 to consistently demonstrate a benefit for prevention of allergic disease¹⁹, however, few studies
125 have been conducted exclusively in the postnatal period and results have been conflicting^{20,21}.
126 Furthermore, given probiotic effects are considered strain specific, pooling data of probiotic
127 preparations that utilise different strains may be inappropriate²². Thus, caution should be exercised
128 when interpreting the results of these meta-analyses.

129

130 Information on probiotic use for prevention of allergic disease in preterm infants is lacking.
131 Recent results from a historically-controlled-cohort study showed no benefit of probiotic
132 supplementation in preventing atopic dermatitis in infants born <30 weeks' gestation²³. To date,
133 there have been no randomized control trials (RCT) investigating the impact of probiotics on the
134 primary prevention of allergic diseases and atopic sensitization in very preterm infants.

135

136 The primary objective of this study was to evaluate the effect of postnatal probiotic
137 supplementation on the development of allergic diseases (eczema, atopic eczema, food allergy,
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138 wheeze) and atopic sensitization, in very preterm infants in early childhood. The secondary
139 objective of this study was to investigate the effect of probiotic supplementation on the number of
140 infections during the first 2 years of life. This sub-study was an *a priori* secondary outcome of the
141 ProPrems RCT^{24,25}.

142

143 **Methods**

144 **ProPrems randomized controlled trial**

145 ProPrems was a multicentre, double-blind, placebo controlled randomized trial designed to evaluate
146 the effect of a probiotic combination administered daily to very preterm infants on the incidence of
147 late-onset sepsis occurring before 40 weeks' corrected age (CA) or discharge home (ANZCTR#
148 ACTRNO12607000144415)²⁴. The study protocol and clinical outcomes have been published^{24,25}.
149 ProPrems was conducted in tertiary perinatal hospitals in Australia and New Zealand and approved
150 by the Human Research Ethics Committees at each site and The Royal Children's Hospital where
151 the allergy assessments were performed.

152

153 Briefly, 1,099 very preterm infants, born <32 weeks' gestation and weighing <1500g, were
154 randomized 1:1 to receive a probiotic combination *Bifidobacterium infantis* (BB-02; 300 x 10⁶),
155 *Streptococcus thermophilus* (TH-4; 350 x 10⁶) and *Bifidobacterium lactis* (BB-12 350 x 10⁶) once
156 daily (total of 1x10⁹ organisms per 1.5g in a maltodextrin base powder) or placebo (maltodextrin),
157 from soon after birth (when infant was receiving at least 1mL of milk every 4 hours) until discharge
158 from hospital or term CA, whichever occurred first. This was the only probiotic combination
159 available at the time that had been previously evaluated in preterm infants²⁶. Compliance to
160 treatment was recorded as the number of days of study powder received.

161

162 **ProPrems Allergy sub-study**

163 Infants recruited at hospitals located in Victoria, Australia (n=683) were eligible to participate in
164 this sub-study. Enrolled participants completed an allergy questionnaire administered by research
165 staff. Participants were invited to attend an allergy follow-up visit at The Royal Children's Hospital,
166 Victoria, Australia for clinical assessment and skin prick test (SPT) to common allergens. Infants
167 were followed up as close to 12 months CA as possible. Due to limited resources, some infants
168 were assessed up to 25 months CA. Parental informed consent for the allergy sub-study was
169 obtained twice: initially during entry to the primary study and again at the time of the allergy
170 follow-up assessment. Participants and assessors were blinded to treatment allocation at the time of
171 follow-up.

172

173 **Study Outcomes, allergy questionnaire and clinical measures**

174 The primary outcomes of this sub-study were incidence of allergic diseases (eczema, atopic eczema,
175 food allergy and wheeze) and atopic sensitization in the first two years of life. The secondary
176 outcome of this sub-study was number of infections during the first 2 years of life.

177

178 The allergy questionnaire (Supplementary File 1) was adapted from the Probiotic Eczema
179 Prevention Study²⁷ and collected demographic information, allergy outcomes and potential
180 confounding factors. Socioeconomic status was established using the Index of Relative
181 Socioeconomic Advantage and Disadvantage²⁸, and classified from Category 1-4, with Category 1
182 representing the most advantaged quartile.

183

184 Presence of eczema was defined as parent report of doctor diagnosis of eczema or fulfilment of the
185 modified UK Working Party's Diagnostic Criteria for Atopic Dermatitis²⁹, i.e. a history of itchy
186 skin in the preceding 12 months, plus ≥ 3 of the following: visible dermatitis involving the skin
187 creases extensor surfaces and/or cheeks; a history of rash involving the skin creases, extensor
188 surfaces and/or cheeks; a history of generally dry skin in the preceding 12 months; a history of
189 asthma or allergic rhinitis (or history of atopic disease in a first degree relative). Atopic eczema was
190 defined as eczema together with a positive SPT to one or more allergen. If eczema was reported in
191 the questionnaire and was visible at the follow-up visit, the severity of the eczema was evaluated by
192 the scoring atopic dermatitis index (SCORAD). If eczema was not present at the clinic visit, the
193 SCORAD was not performed³⁰.

194

195 Food allergy was defined as parent report of doctor diagnosed cow milk, soy, egg, wheat or peanut
196 allergy with or without a positive SPT to the relevant food allergen to capture both IgE and non-IgE
197 mediated food allergy phenotypes. Wheeze was defined as any parental reported wheeze and
198 number of infections during the first 2 years of life was defined as parent reported number of colds
199 with fever lasting at least 3 days to ensure medically significant infections were captured.

200

201 Infants underwent SPT to a panel of six common allergens (egg white, cow's milk, peanut, cat hair,
202 perennial rye grass pollen and house dust mite), a positive control (10mg histamine) and a negative
203 control. The SPT was deemed valid if the diameter of the wheal for the positive control was ≥ 1 mm
204 than the negative control; a positive SPT was defined as a wheal diameter ≥ 3 mm. Atopic
205 sensitization was defined as a positive SPT to one or more allergen.

206

207 **Statistical Analysis**

208 The ProPremis RCT sample size was estimated on a baseline rate of 23% of at least one episode of
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209 late-onset sepsis in infants born <32 weeks' gestation and <1500g. In this sub-study we recruited as
210 many eligible participants as possible from the original study. No formal a priori power analysis
211 was performed, as this sub-study was a secondary analysis of the original RCT. A retrospective
212 power calculation was performed, which revealed that a sample size of n=255 provides 80% power
213 to detect an absolute difference of 15% (30% vs 15%) between the placebo and probiotic groups
214 with a 0.05 2-sided significance level.

215

216 Perinatal characteristics, allergy risk factors and allergic disease outcomes were compared between
217 probiotic and placebo treated infants. Proportions were calculated for categorical variables;
218 continuous variables were summarized using medians and interquartile ranges (IQR). The absolute
219 difference in disease outcomes between the probiotic and placebo groups was calculated. Logistic
220 regression was used to examine the association between each allergic disease outcome and probiotic
221 administration. Linear regression was used to investigate the association between number of
222 infections and probiotic administration. Regression analyses were adjusted for baseline imbalances
223 between the two groups. Chi-square test (or Fisher's exact where expected values <5) was used to
224 compare differences in reactivity to specific SPT allergens between probiotic and placebo treated
225 infants. Wilcoxon rank-sum test was used to compare differences in SCORAD scores between
226 probiotic and placebo treated infants. Results were presented with 95% CI to indicate the degree of
227 uncertainty around the estimates. Analyses were performed using Stata Version 14.0 (Stata Corp).

228

229 **Results**

230 **Participant recruitment and characteristics**

231 There were 683 infants recruited from Victorian hospitals in the ProPrems RCT. One-hundred and
232 sixty-eight (25%) families declined participation in the allergy sub-study at the time of entry to the
233 primary study, three families withdrew from the parent study and 59 infants were excluded
234 (deceased at time of follow-up, did not receive allocated intervention, were not approached; Figure
235 1). Of the 453 families that were eligible to be approached to participate in the allergy sub-study,
236 165 (37%) either refused to participate or were uncontactable at the time of follow-up. Between
237 December 2008 and March 2013, 288(64%) families consented to participate at the time of follow-
238 up; seven families did not complete any study procedures. Data from 281 (62%) infants were
239 analysed, 127 from the probiotic group and 154 from the placebo group.

240

241 All 281 participants completed the questionnaire, 46 (16%) by telephone. Two hundred and thirty-
242 five infants (84%) underwent clinical examination and 229 (82%) underwent SPT. The age range of
243 participants at allergy assessment was 8-25 months CA. The median CA at allergy assessment was

244 16 months CA (IQR=13-20) in the probiotic group and 16 months CA (IQR=14-19) in the placebo
245 group. Demographic variables and possible risk factors for allergy were similar between the
246 probiotic and placebo groups (Table 1), with the exception that a larger proportion of infants
247 randomized to placebo were born before 28 weeks' gestation compared with those randomized to
248 probiotic (47% vs 35%). However, the median gestational age of infants was similar between the
249 two groups, 28.7 weeks (IQR=27-30.1) in the probiotic group and 28.1 weeks (IQR=26.6-29.9) in
250 the placebo group.

251
252 Commencement of the parent study treatment intervention began at a median of four days of age
253 (IQR=3-6) in both the probiotic and placebo group. The median number of treatment days was 65
254 (IQR=52-80).

255
256 The 281 infants included in this sub-study are representative of the Victorian ProPrems cohort with
257 regard to demographic characteristics, as well as age at which study powder was commenced and
258 duration of treatment (Supplementary table 1). A larger proportion of sub-study participants had a
259 parent-reported family history of allergic disease compared to the Victorian ProPrems infants who
260 did not participate in this allergy sub-study (71% vs 56%; Supplementary table 1).

261

262 **Eczema**

263 Presence of eczema could not be established in 26 infants due to missing data. Eczema outcome
264 data was available for 255 of 281 (91%) infants, and atopic eczema outcome data was available for
265 255 of 281 (91%) infants. The overall incidence of eczema and atopic eczema in the first two years
266 of life were 28% (95% CI 23 to 34%) and 4% (95% CI 2 to 7%), respectively. The incidence of
267 eczema was similar in the probiotic and placebo group (35 [30%] of 118 infants vs 37 [27%] of 137
268 infants, respectively, absolute difference 2.65%, 95% CI -8.45 to 13.75, p=0.639). Similarly, the
269 incidence of atopic eczema was similar in the probiotic and placebo group (6 [5%] of 118 vs 3 [2%]
270 of 137, absolute difference 2.89%, 95% CI -1.76 to 7.56, p=0.212). There was no association
271 between probiotic supplementation and eczema (AOR 1.12, 95% CI 0.64 to 1.93, Table 2) or atopic
272 eczema (AOR 2.11, 95% CI 0.51 to 8.70) in unadjusted analyses or following adjustment for
273 gestation <28 weeks.

274

275 SCORAD was performed in 25 infants. The median SCORAD in the probiotic supplemented
276 infants was 11.5 (n=17, IQR 6.7-16.6) and it was marginally lower in the placebo infants (7.4
277 [n=9, IQR 3.9-8.6], p=0.200).

278

279 **Atopic sensitization**

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280 Atopic sensitization outcomes were available for 229 of 281 (81%) infants. The incidence of atopic
281 sensitization in the first two years of life was 12% (95% CI 8 to 17%). The incidence of atopic
282 sensitization was similar in the probiotic and placebo group (14 [13%] of 106 vs 13 [11%] of 123,
283 absolute difference 2.64%, 95% CI -5.79 to 11.07, p=0.537). There was no association between
284 probiotic supplementation and atopic sensitization in unadjusted analyses or following adjustment
285 for gestation <28 weeks (AOR 1.24, 95% CI 0.55 to 2.79; Table 2). Similarly, there was no
286 difference in incidence of atopic sensitization to specific allergens between the probiotics and
287 placebo groups (Supplementary table 2).

288

289 **Food allergy and wheeze**

290 Data were available from 278 of 281 (99%) infants for food allergy outcomes and from all 281
291 infants for wheeze outcomes. The incidence of doctor diagnosed food allergy in the first two years
292 of life was 2% (95% CI 1 to 5%). Doctor diagnosis of food allergy was made in six infants. Two
293 infants were allergic to cow's milk, two allergic to egg, one allergic to both cow's milk and egg,
294 and one allergic to both egg and peanut. Four infants had an IgE mediated food allergy, confirmed
295 by a positive SPT to the specific diagnosed food allergen/s. One infant with a diagnosis of cow's
296 milk and egg allergy had a negative SPT to cow's milk but a positive SPT to egg, indicative of non-
297 IgE mediated allergy to cow's milk. One infant with a diagnosis of cow's milk allergy had a
298 negative SPT. The incidence of food allergy was similar in the probiotic and placebo group (4 [3%]
299 of 124 vs 2 [1%] of 154, absolute difference 1.93%, 95% CI -1.66 to 5.51, p=0.272).

300

301 The incidence of parent reported wheeze in the first two years of life was 30% (95% CI 25 to 36%),
302 and there was no difference between the probiotic and placebo infants (39 [31%] of 127 vs 45
303 [29%] of 154, absolute difference 1.49%, 95% CI -9.28 to 12.26, p=0.786).

304

305 There was no association between probiotic supplementation and significant difference in the
306 incidence of food allergy (AOR 2.25, 95% CI 0.40 to 12.61) or wheeze (AOR 1.14, 95% CI 0.68 to
307 1.91) in unadjusted analyses or following adjustment for gestation <28 weeks.

308

309 **Secondary outcome**

310 Probiotic supplemented infants experienced fewer colds with fever lasting 3 or more days than
311 placebo infants (adj. coefficient=-0.54[95% CI -1.06 to -0.01], p=0.044; adjusted for gestation <28
312 weeks).

313

314 **Discussion**

315

316 We found no significant effect of postnatal probiotic supplementation on the incidence of eczema,
317 atopic eczema, food allergy, wheeze or atopic sensitization in the first two years of life in a
318 subgroup of very preterm infants from the ProPrems RCT. However, as our study was powered to
319 detect a 15% reduction in incidence, smaller magnitudes of effect cannot be excluded. Larger
320 studies of probiotic supplementation for allergy prevention in preterm infants that are adequately
321 powered to detect smaller effects are required.

322

323 The results of our study are consistent with a historically controlled cohort study that found no
324 benefit of probiotic supplementation for prevention of atopic dermatitis in infants born <30 weeks'
325 gestation²³. Recent systematic reviews and meta-analyses suggest that probiotic supplementation in
326 the prenatal and continuing into the postnatal period (to breastfeeding mother or infant) is important
327 for prevention of allergic disease, particularly eczema^{17,18}. Conversely, administration of probiotics
328 exclusively during the postnatal period may not be effective in preventing allergic diseases in
329 infants^{18,19}, with some studies finding a protective effect³¹, while others report no effect after
330 probiotic administration, and one reported increased rates of atopic and food sensitisation²¹.

331

332 Varied incidences of atopic sensitization in preterm infants have been reported, with some studies
333 linking preterm birth or low birth weight to an increased risk of atopy³², and others showing the
334 opposite³³ or finding no association⁹. Immune development during the antenatal and the immediate
335 postnatal period of very preterm born infants differ markedly from those born at term, which can
336 affect the development of tolerance versus sensitization to antigens³⁴.

337

338 We found the incidence of eczema in very preterm infants in the first two years of life to be 28%
339 (95% CI, 23,34%). This is higher than previous reports. Barbarot et al reported the prevalence of
340 eczema to be 17.6% within the first two years of life and 21.5% within the first five years of life in
341 two different cohorts of preterm infants born between 29-32 weeks' gestation (EpiPAGE cohort of
342 infants born in 1997 and LIFT cohort of infants born 2003-2005, respectively)¹¹. Similarly, Damm
343 et al reported the prevalence of eczema to be 20.9% in a cohort of probiotic treated infants (born
344 2010-2013 at <30 weeks' gestation) and 17.1% in a cohort of preterm infants not treated with
345 probiotics born 2007-2010 at < 30 weeks' gestation²³. Geographic location, increasing prevalence
346 of allergic disease, greater awareness of allergic diseases among parents and healthcare workers,
347 different study populations and different ages at follow up combined with the fluctuating course of
348 the disease and use of different diagnostic criteria are possible factors to explain the variation in
349 reported incidence of eczema between infants in our study and previous studies.

350

351 Martin et al, evaluated data from 4,485 infants in the HealthNuts study and found a population
352 prevalence of eczema at 12 months of age of 20.3% and a cumulative prevalence of parent-reported
353 eczema of 28%³⁵. The HealthNuts study investigated term infants from the same geographical area,
354 at the same age and around the same time (2008-2011) and using similar methods for identifying
355 eczema as our study (clinical examination and parent-report). As the prevalence of parent-reported
356 eczema from Martin et al³⁵ is consistent with our findings we hypothesise that the prevalence of
357 eczema does not differ greatly between preterm and term infants in Victoria, Australia.

358
359 Interestingly, parent-reported family history of atopic disease was very high in our cohort. Almost
360 three-quarters of infants had a history of eczema, asthma, allergic rhinitis or food allergy in a first
361 degree relative. Family history of allergies was reported as 45.8% in the Epipage preterm cohort
362 and 36.9% in the LIFT preterm cohort¹¹ and. Damm et al reported a family history of atopic disease
363 in 16% of children²³. The high rate of family history of atopic disease in our cohort could explain
364 our relatively high incidence of eczema in comparison to other studies. Furthermore, there was a
365 high proportion of caesarean section births in our study, a high rate of breastfeeding (with 95% of
366 infants receiving any breastmilk and over 50% receiving 5 months or more of breastfeeding) and
367 just over half of the participants received antibiotics in the 6 months prior to the allergy follow-up
368 visit. These factors are known to influence the gastrointestinal microbiota, which may in turn
369 influence the development of eczema and other allergic diseases^{4,8}.

370
371 Although there is mechanistic data to suggest probiotics may have a role in the treatment of food
372 allergy³⁶, there is very little evidence that such an approach is effective for induction of tolerance.
373 To date, there have been no published trials investigating the use of probiotics to prevent food
374 allergy in preterm infants. Similarly, there are no studies investigating probiotics for the prevention
375 of asthma or wheeze in preterm infants. Our finding of no positive effect of probiotics on the
376 prevalence of wheeze is consistent with meta-analysis findings in term-born infants³⁷. Preterm
377 infants are generally considered to be at increased risk for asthma^{13,38}. However, as recurrent
378 wheeze is also a primary manifestation of chronic lung disease of prematurity (bronchopulmonary
379 dysplasia, BPD)¹¹, it is unclear whether wheeze in preterm infants represents atopic disease or a
380 manifestation of BPD.

381
382 Interestingly, despite observing no effect of probiotic supplementation on wheeze, we found that
383 infants receiving probiotic were less likely to experience frequent colds with fever compared to
384 placebo infants. This is consistent with a previous report of reduced incidence of viral respiratory
385 tract infections (primarily rhinovirus) in preterm infants receiving a probiotic (*Lactobacillus*
386 *rhamnosus GG*) or prebiotic compared to placebo¹⁶.

387

388 Systematic reviews and meta-analyses demonstrate safety of probiotics in preterm infants³⁹,
389 although cases of bacteraemia with the administered probiotic strains have been reported⁴⁰. No
390 safety concerns arose during the ProPrems trial, with similar rates of AE reported in both the
391 intervention and placebo groups. Furthermore, Jacobs et al.⁴¹ followed *ProPrems* infants at 2-5
392 years CA and found that the probiotic combination of *Bifidobacterium infantis* BB-02,
393 *Streptococcus thermophilus* Th-4 and *Bifidobacterium lactis* BB-12 did not negatively impact
394 neurodevelopment or behaviour.

395

396 The World Allergy Organisation-McMaster University Guidelines for Allergic Disease Prevention
397 recommend probiotic use in pregnancy and the postnatal period for infants at increased risk of
398 developing allergy⁴². The guidelines do not address the circumstance of prematurity, and the
399 authors of these guidelines acknowledged that recommendations were based on limited poor quality
400 evidence and did not provide guidance on which probiotic strains/species to use, making it difficult
401 to implement these guidelines in clinical practice. As probiotic effects are dose, disease and strain-
402 specific⁴³, the lack of beneficial effect in our study may be attributable to the probiotic combination
403 used, and evaluation of alternate probiotic species or strains may be warranted. Further adequately
404 powered studies in premature infants are required to determine if there might be protective effects
405 against development of allergic disease provided by probiotic supplementation in this population.

406

407 Strengths of this study include that it was a planned secondary outcome of a double-blinded, multi-
408 centre RCT and the use of well-validated diagnostic criteria for eczema. Moreover, this is the first
409 prospective study to examine the role of probiotic supplementation for prevention of allergic
410 disease in preterm infants. Finally, stool samples are available for Victorian ProPrems participants,
411 which will enable correlation of allergy with gut microbiota.

412

413 This study has limitations including recruitment bias and small sample size. Despite 453 families
414 initially agreeing to participate, only 281 infants completed study procedures. Though we found
415 limited differences in demographic characteristics between sub-study participants and the wider
416 Victorian ProPrems cohort, it should be noted that a higher proportion of participants in this allergy
417 sub-study had a parental report of family history of allergic disease compared to the Victorian
418 ProPrems infants that did not participate in the sub-study. It is likely that infants with signs or
419 parental suspicion of allergy were more likely to participate, therefore the true incidence of allergic
420 disease may be lower than reported. However most probiotic prevention studies have included
421 infants with high risk of allergies⁴⁴. Another limitation is that several of the outcomes analysed were
422 based on parenteral report, which may be limited by recall bias or may be biased depending on the

423 personal and/or family history of allergic disease. We had highly trained nurses assessing the
 424 questionnaire responses and the definition of eczema was based on ‘doctor diagnosis’ which should
 425 minimize bias.

426

427 We found no effect of the probiotic combination *Bifidobacterium infantis* BB-02, *Streptococcus*
 428 *thermophilus* Th-4 and *Bifidobacterium lactis* BB-12 on the incidence of allergic disease (eczema,
 429 atopic eczema, food allergy and wheeze) or atopic sensitization in very preterm infants in the first
 430 two years of life. Although current guidelines recommend probiotics for the prevention of allergic
 431 diseases in infants at high-risk of developing allergy, our findings highlight that there is insufficient
 432 evidence in premature infants and further well powered studies in the preterm setting are required to
 433 determine if the recommendation is applicable to this population.

434

435 **Table 1.** Participant characteristics

	Placebo N=154	Probiotic N=127
<i>Perinatal characteristics:</i>		
Gestational age, wk, median (IQR)	28.1 (26.6-29.9)	28.7 (27-30.1)
Gestational age		
< 28 weeks	72 (47)	44 (35)
≥ 28 weeks	82 (53)	83 (65)
Birth weight, g, median (IQR)	1062 (825-1275)	1081 (885-1295)
Birth weight		
<1000g	70 (45)	54 (43)
≥1000g	84 (55)	73 (57)
Gender		
Male	81 (53)	68 (54)
Female	73 (47)	59 (46)
Delivery Mode		
Vaginal	33 (21)	37 (29)
Breech Vaginal	7 (5)	3 (2)
C-section (no labour)	72 (47)	55 (43)
C-section (in labour)	42 (27)	32 (25)
Age commenced study powder, d, median (IQR)	4 (3-6)	4 (3-6)
Age study powder started (in days)		
< 4 days	67 (44)	55 (43)
≥ 4 days	87 (56)	72 (57)
Age finished study powder, d, median (IQR)	71 (60-88)	70 (54-81)
Length of supplementation, d, median (IQR)	65 (56-83)	65 (50-77)

<i>Allergy risk variables at follow-up assessment:</i>		
Corrected age at follow-up assessment, m, median (IQR)	16 (14-19)	16 (13-20)
Socioeconomic status[†]		
Cat. 1	47 (33)	36 (31)
Cat. 2	29 (21)	27 (23)
Cat. 3	44 (31)	32 (28)
Cat. 4	21 (15)	21 (18)
Family History of allergic disease[‡]		
No	46 (31)	33 (27)
Yes	103 (69)	87 (73)
Breastfeeding duration		
Never breastfed	8 (5)	5 (4)
Breastfed (1-4 months)	50 (33)	48 (38)
Breastfed (5-11 months)	56 (37)	52 (41)
Breastfed (≥ 12 months)	39 (25)	21 (17)
Age solids introduced		
< 6 months	69 (46)	54 (43)
≥ 6 months	82 (54)	72 (57)
Maternal antibiotics[§]		
No	89 (70)	80 (73)
Yes	39 (30)	30 (27)
Antibiotics given to infant in the last 6 months		
No	60 (52)	45 (47)
Yes	56 (48)	51 (53)
Age formula started		
< 4 months	86 (63)	74 (64)
≥ 4 months	50 (37)	42 (36)
Age formula stopped		
≤ 12 months	56 (41)	46 (40)
> 12 months	80 (58)	70 (60)
Dog exposure (inside or outside the home)		
No	85 (55)	67 (53)
Yes	69 (45)	60 (47)
Cat exposure (inside or outside the home)		
No	112 (73)	92 (73)
Yes	41 (27)	34 (27)
Farm residence		
No	148 (97)	119 (96)
Yes	5 (3)	5 (4)
Number of children living at home		

Nil	49 (32)	44 (35)
1 other child	64 (42)	53 (42)
2 other children,	27 (18)	19 (15)
≥ 3 other children	14 (9)	9 (7)
Day-care attendance in first 12 months of life		
No	100 (65)	82 (66)
Yes	54 (35)	43 (34)
Tobacco smoke exposure per day		
Nil	123 (80)	98 (77)
1-10 cigarettes	14 (9)	16 (13)
11-20 cigarettes	11 (7)	8 (6)
≥ 21 per day	6 (4)	5 (4)

436 Data presented as n(%) unless otherwise specified. Data missing for some variables for up to 69 infants and percentages
 437 were calculated using available data.

438 † Socio-economic status defined as ‘Index of Relative Socio-economic Advantage and Disadvantage’²⁴ according to
 439 infant suburb of residence with Cat. 1 representing the highest quartile, Cat. 2 representing the second highest quartile,
 440 Cat. 3 representing the second lowest quartile and Cat. 4 representing the lowest quartile, in Australia.

441 ‡ Family history of allergic disease defined as parent-reported history of eczema, asthma, allergic rhinitis or food allergy
 442 in the mother and/or father and/or sibling. Family history data missing for 11 infants.

443 § ≥ 1 course of any antibiotics while breastfeeding

444

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Table 2 Allergic disease outcomes

Allergic disease outcome	TOTAL[†] n/N (%); [95% CI]	Probiotic[†] n/N (%); [95% CI]	Placebo[†] n/N (%); [95% CI]	Absolute difference (95% CI)	Crude OR[‡] (95% CI)	AOR[§] (95% CI)	P value[§]
Eczema	72/255 (28); [23,34]	35/118 (30); [21,39]	37/137 (27); [20,35]	2.65 (-8.45 to 13.75)	1.14 (0.66 to 1.97)	1.12 (0.64 to 1.93)	0.695
Atopic eczema	9/255 (4); [2,7]	6/118 (5); [2,11]	3/137 (2); [0,6]	2.89 (-1.76 to 7.56)	2.39 (0.59 to 9.79)	2.11 (0.51 to 8.70)	0.303
Atopic sensitization	27/229 (12); [8,17]	14/106 (13); [7,21]	13/123 (11); [6,17]	2.64 (-5.79 to 11.07)	1.29 (0.58 to 2.88)	1.24 (0.55 to 2.79)	0.605
Food allergy	6/278 (2); [1,5]	4/124 (3); [1,8]	2/154 (1); [0,5]	1.93 (-1.66 to 5.51)	2.53 (0.46 to 14.07)	2.25 (0.40 to 12.61)	0.358
Wheeze	84/281 (30); [25,36]	39/127 (31); [23,40]	45/154 (29); [22,37]	1.49 (-9.28 to 12.26)	1.07 (0.64 to 1.79)	1.14 (0.68 to 1.91)	0.629

Abbreviations: OR, odds ratio; CI, confidence interval; AOR, adjusted odds ratio

[†] Denominator changes with each outcome, due to missing data for some variables (for example, a history of doctor diagnosed eczema was not reported by two parents and UK working party criteria was missing for 30 infants, resulting in missing eczema outcome data for 26 infants) and not all infants participated in each component of the allergy sub-study (for example, 229 infants out of 281 had a valid skin prick test).

[‡] Logistic regression was used to determine differences in allergic disease outcomes between probiotic and placebo assigned infants.

[§] Logistic regression was used to determine differences in allergic disease outcomes between probiotic and placebo assigned infants, analyses adjusted for gestation (gestation was expressed as above or below 28 weeks' gestation as a binary variable)

Figures

Figure 1. Consort flow diagram of study participants

^a Victorian participant refers to an infant recruited at hospitals located in Victoria, Australia.

^b Infant's parents not approached (n=7)

Supplementary Information

Supplementary file 1. Study questionnaire

Supplementary table 1. Comparison of demographics of sub-study participants and the wider Victorian ProPrems cohort

Supplementary table 2. Effect of probiotic supplementation on skin prick test reactivity

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