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Risk factors in equine transport-related health problems: a survey of the Australian equine industry.

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Summary

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29 **Background:** Transportation can affect equine health and is a potential source of economic loss
30 to the industry.

31 **Objectives:** To identify journey (duration, vehicle, commercial/non-commercial) and horse (sex,
32 age, breed, use, amateur or professional status) characteristics associated with development of
33 transport-related health problems.

34 **Study design:** Cross-sectional online survey.

35 **Methods:** An online survey was conducted targeting amateur and professional participants in the
36 Australian equine industry; eligible respondents organised horse movements at least monthly.
37 They provided details of the last case of a transport-related health problem that had affected their
38 horse(s). Associations between type of health problem, journey and horse characteristics were
39 examined with multivariable multinomial regression analysis.

40 **Results:** Based on 214 responses, health problems were classified as injuries, muscular
41 problems, heat stroke, gastrointestinal and respiratory problems, or death/euthanasia. Respiratory
42 problems were reported most frequently (33.7%) followed by gastrointestinal problems (23.8%)
43 and traumatic injuries (16.3%). The type of health problem was associated with journey-duration
44 ($P < 0.001$) and horse breed ($P = 0.001$). Injuries were more likely to occur on short journeys,
45 while more severe illnesses (gastrointestinal and respiratory problems or death/euthanasia) were
46 more likely to occur on long journeys. Using Standardbreds as the reference group,
47 Thoroughbreds, Arabians and Warmbloods were more likely to experience a severe illness than
48 injury.

49 **Main limitation:** Self-selected participation in the study and self-reported nature of transport-
50 related problems.

51 **Conclusion:** Horses undertaking journeys longer than 24 hours were at greater risk of developing
52 severe disease or dying. Further studies are needed on long-haul transportation effects to
53 safeguard the welfare of horses moved over long distances.

54

55 **Introduction**

56 Transportation is an integral part of many horse related activities, with horses being moved
57 frequently [1] and for a wide range of reasons [2]. Horses transported frequently may become
58 habituated to travel [3]. However, for other horses, the challenges associated with transport, such
59 as confinement, noise and vibration [4] may trigger fear [5]. The physical and mental stressors

60 associated with transportation can result in adverse effects on the horses' health [6]. Fear may
61 also trigger behaviours that put horses at risk of injury [7], ranging from small abrasions to
62 catastrophic fractures [8]. The stress associated with transport, and the way in which horses are
63 managed during transport [9-11], can contribute also to the development of potentially fatal
64 infections of the respiratory [12] or gastrointestinal systems [13].

65 Transport's effects on physiological [14], behavioural [15], endocrine [16], reproductive [17],
66 muscular [18], gastric [19], inflammatory [20] and respiratory parameters [9] have been
67 described in many observational studies. In contrast, few epidemiological studies have been
68 conducted. The incidence of and risk factors for health problems has been reported in horses
69 being transported for slaughter [21-23]. The only epidemiological study on risk factors for the
70 development of transport-related health problems during long haul transportation of horses for
71 other purposes identified journey duration (more than 20 hours) and season (spring) as risk
72 factors. However, the study reported only 47 cases [24]. Consequently, the relationship between
73 journey and horse characteristics, and the risk of developing disease remains poorly defined. We
74 collected data with an online questionnaire and examined associations between transport related
75 health problems and journey and horse characteristics across a number of Australian equine
76 industry sectors.

78 **Material and methods**

79 Study design and data collection

80 The study was a cross-sectional online survey conducted in Australia from June to September
81 2015. Detail of the design and distribution of the cross sectional survey and the description of the
82 demographic characteristics of the study population have been reported previously [25]. Briefly,
83 the survey was digitised using SurveyMonkey^a. The target population was people involved in
84 any equine industry sector who had organised or participated in the movement of horses
85 (commercially or non-commercially) at least monthly over the past two years. The respondents
86 classified themselves as either professionals (people who were involved for financial reward,
87 such as trainers, stud/farm managers) or amateurs (people involved as a hobby, such as riders,
88 owners). An invitation letter and the link to the survey
89 (<https://www.surveymonkey.com/r/SM9F9SJ>) were provided to several Australian horse sport

90 associations and were published on their websites. The link was also promoted through several
91 horse magazines, relevant Facebook pages and online horse forums.

92 The questionnaire was divided into four parts: respondent details; management strategies pre-,
93 during and post-transport; transport-related behavioural and health problems identified during
94 and after transportation in the past two years; details of the most recent case including horse sex,
95 age, breed, the use of the horse, the vehicle in which the horse was transported, the journey
96 duration, and whether the horse was moved by a commercial or non-commercial carrier. The
97 results for the first three parts are presented elsewhere [25]. The data collected within the first
98 and fourth part of the questionnaire (Supplementary Item 1) are presented in the current report.

99 Risk factors and outcome

100 Horse-level predictive variables were sex (mare/filly, gelding, stallion/colt), age (8-24 months, 2-
101 5 years, 6-10 years, >10 years), breed (Arabian, Quarter horse, Standardbred, Thoroughbred,
102 Warmblood, use (breeding, recreational non-competitive activities, Standardbred racing,
103 Thoroughbred racing, endurance, equestrian competitive sport), and amateur or professional
104 status.

105 Journey-level predictive variables were categorised according to the type of vehicle used (truck,
106 horse trailer) and operator (commercial versus non-commercial transporter). The journey
107 duration was categorised as: short (less than 8 hours), intermediate (8-24 hours) and long (more
108 than 24 hours). These cut-offs were chosen on the basis of the European and Australian Code of
109 Animal Transportation, in which the maximum journey durations without watering are of 8 and
110 24 hours respectively [26; 27]. In Australia a rest stop of 12 hours is recommended after 12 and
111 compulsory after 24 hours of journey [26]. Thus all reported multiday trips in this dataset
112 included mandatory rest stops.

113 To categorise outcome, there was an open question in which the respondents reported a
114 description of the signs and their veterinarians' diagnosis of any transport-related health problem
115 that affected the horse. Based on the respondent's description, health problems were classified
116 into six categories (injuries, muscular problems, heat stroke, gastrointestinal problems,
117 respiratory problems, death/euthanasia) by an experienced equine veterinarian (B.P.; Table 1).

118 Data analysis

119 Initial descriptive analysis was undertaken using statulor^{beta}
120 (<http://statulor.com/descriptive.html>). Associations between the predictive variables were
121 explored using Contingency tables and χ^2 tests using GenStat[®] Version 14^b.

122 A multivariable multinomial regression analysis was constructed using SPSS Version 22^c with
123 health problem category as outcome with injuries as the reference level for comparisons. Vehicle
124 and operator were excluded as they were found to be collinear with journey duration. Horse age,
125 breed, sex, use, amateur or professional status and journey-duration were considered for
126 inclusion in the final multinomial model. A stepwise backward elimination was then conducted
127 to remove the least significant variable one at a time until all variables within the model had P
128 value < 0.05. The data met with all assumptions for multinomial regression including that of IIA.
129 The findings are presented as odds ratio (OR), confidence interval (95% CI) and P value for each
130 predictive variable value.

131

132 **Results**

133 Population

134 Of the 797 responses to the survey, 214 included details of a transport-related health problem and
135 these 214 records make up the database. The distribution of the data (including missing values)
136 is reported in Supplementary Item 2.

137 The frequency of the health outcomes according to the predictive variables is shown in
138 Supplementary Item 3. Ten horses died during transit: 8 were humanely destroyed due to
139 fractures (5 limb, 1 pelvis, and 2 neck), and 2 were found dead. A further 15 horses were
140 humanely destroyed within one week after the journey due to colic (5 cases), colitis (5 cases),
141 and pneumonia (5 cases). Additionally, 7 deaths occurred within 24 hours after arrival, of which
142 5 underwent post mortem examination, one was diagnosed with water intoxication; no cause of
143 death was identified in the other four cases.

144 Journey variables

145 Journey duration was associated with vehicle (Pearson chi-square: 71.51, df = 2; P<0.001) and
146 transport by a commercial company (Pearson chi-square: 78.74, df = 2; P<0.001). Companies
147 transported fewer horses on short journeys and more horses on long journeys. The number of
148 horses moved by horse trailer was larger for short journeys, and smaller for long journeys
149 (Supplementary Item 4).

150 Factors associated with Health Outcomes

151 The final multivariate multinomial model for risk factors associated with health problems
152 included journey-duration (χ^2 : 88.153, df:10, $P < 0.001$) and breed (χ^2 : 46.087, df:20, $P = 0.001$).
153 None of the other predictive variables considered for inclusion reached significance. Figure 1
154 shows the distribution of the different transport-related illness according to the journey duration
155 category. Using injuries as reference, death/euthanasia (odds ratio, OR: 101.6, 95% confidence
156 interval (CI):10.2-1010.5, $P < 0.001$), gastrointestinal (OR:14.2, CI:1.5-133.8, $P = 0.02$) and
157 respiratory (OR:113.9; CI:12.2-1060.7, $P < 0.001$) problems were more likely to occur on long
158 journeys than on short journeys. Respiratory problems were also more likely (OR: 15.7, CI:4.3-
159 56.7, $P < 0.001$) to occur on intermediate journeys than on short journeys. Using the injury group
160 as the reference, muscular problems were more likely to occur on an intermediate journey than
161 on a short one (OR:5.8, CI:1.1-29.5, $P = 0.03$). There was no significant difference among the
162 journey-duration categories comparing injuries versus heat stroke (Supplementary Item 5).
163 Using injuries as reference group, gastrointestinal problems were more likely to occur in
164 Arabians (OR: 95.8, CI: 4.6-1990.3, $P = 0.003$) and Warmbloods (OR: 43.0, CI: 3.8-485.9, $P =$
165 0.002) compared with Standardbreds. Respiratory problems were more likely to occur in
166 Arabians (OR: 20.8, CI: 1.2-345.2, $P = 0.034$), Warmbloods (OR: 18.5, CI: 2.5-136.89, $P =$
167 0.004), and Thoroughbreds (OR: 7.4, CI: 1.2-45.7, $P = 0.031$) compared with Standardbreds.
168 Death/euthanasia was more likely to occur in Thoroughbreds than in Standardbreds (OR; 7.5, CI:
169 1.0-56.0, $P = 0.048$) (Supplementary Item 5).

170 **Discussion**

171 This is the first study to investigate whether journey and horse characteristics were associated
172 with transport-related health problems across a diverse range of Australian horses used for
173 various activities undertaking different journeys. Journey duration and breed were identified as
174 risk factors for the development of transport-related health problems, while horse sex, age, use
175 and amateur or professional status were not predictors. The main finding of this study was the
176 association between journey-duration and the nature of transport-related health problems,
177 confirming that journeys longer than 24 hours pose the greatest risk of horses having serious
178 health outcomes [24; 28]. The association between health problem category and breed should be
179 considered preliminary, and warrants future research using a larger dataset.

180 The observation that shorter trips are associated with a higher risk of injury is in agreement with
181 previous reports. In an epidemiological study conducted in Australia, injuries occurred more
182 often at the beginning of a 3.5 day journey and that they were often related to behavioural
183 problems [24]. Previous studies have also identified that behavioural problems and movement of
184 the horse within the vehicle are greatest during the first hour of transportation, and that horses
185 become habituated after 5 hours of transport [14; 17; 29; 30]. The higher risk of injuries during
186 short trips is likely to be associated with behavioural problems and lack of habituation.

187 In this study muscular problems were reported to occur more often during non-commercial
188 transport of non-racing horses, and the risk of muscular problem was greater for intermediate
189 journeys compared with short journeys. The reasons for this cannot be ascertained from the
190 available data, although it could be speculated that limitations in driver ability and in horse
191 fitness could have contributed. In an electromyographic study on the effects of transportation on
192 muscle, horses transported by less experienced drivers required more muscular effort to maintain
193 balance compared with horses transported by expert drivers [18]. In another study it was found
194 that the effort required to maintain balance during a 300 km journey had the same impact on
195 muscles as a 1,500 metres canter, and caused a comparable increase in serum muscle enzyme
196 activities [31]. The effects of journey-duration on muscular problems warrant future research.

197 Respiratory diseases were the most commonly identified problem in this study, a finding that
198 agrees with previous studies [32; 33]. In our study the likelihood of respiratory problems was
199 approximately 15 times greater on intermediate journeys and approximately 100 times greater on
200 long journeys than on short journeys. The relationship between duration of transport and
201 incidence of respiratory disease has been previously reported [6; 12; 34; 35] and our data
202 confirm it. This relationship may relate to the head position of the transported horses, vehicle
203 ventilation or air quality. If horses are restrained in a way that prevents them lowering their
204 head, mucociliary clearance will be adversely affected [9]. Ventilation may be inadequate in
205 many types of vehicles [36] resulting in an accumulation of dust, bacteria and noxious gases in
206 the vehicle as journey length increases [11]. The ongoing high incidence of respiratory diseases
207 suggest that more research is needed to identify how ventilation systems can be improved and
208 how any periods of enforced head elevation can be shortened.

209 In agreement with our previous study [24], the risk of gastrointestinal and respiratory disorders
210 and death/euthanasia was greater than the risk of injury for journeys longer than 24 hours. In

211 livestock the association between adverse outcomes and journey duration is influenced by the
212 physiological and clinical state of the animal before and during journey, the management of
213 feeding and watering, the opportunities animals have to rest and the thermal environment rather
214 than journey length per se [28]. These factors may also be important in determining whether
215 horses experience adverse outcomes as a result of transport. However, the reasons why some
216 horses develop fatal diseases during and after a multi-day journey, while others remain healthy
217 under the same conditions are unknown. Protracted transport stress may compromise the immune
218 system and lead to psychological and physical exhaustion and death [35]. The use of
219 immunostimulants before shipping has been found to be useful in reducing the incidence of
220 transport-related pneumonia in horses transported for more than 24 hours [37]. Thus, the higher
221 risk of severe diseases in horses transported for longer than 24 hours might be related to
222 immunosuppression, and the relationship between long journeys and the immune system requires
223 further investigation.

224 Compared with Standardbreds, Thoroughbred, Arabian and Warmblood horses were more likely
225 to develop gastrointestinal and respiratory diseases than to be injured during transportation.
226 Thoroughbreds were found at higher risk of transport pleuropneumonia in a previous study [12].
227 Arabians have been found at higher risk of colic compared with other breeds [38]. There might
228 therefore be a breed-predisposition for developing a particular type of transport-related diseases.
229 However, our data should be considered preliminary and a larger data set would be required to
230 determine the actual effects of breed on different types of transport related illnesses.

231 This study has a number of limitations that must be considered in interpreting the results. The
232 problems of bias associated with self-selected participation in the study could not be addressed,
233 nor could the possibility of response bias in the answers provided. It was not possible to check
234 the diagnoses reported, nor was there any standardisation of the processes by which the
235 diagnoses were made. The target population was not estimated and it was not possible to
236 calculate a response rate, a common problem with online surveys [39]. Notwithstanding these
237 limitations, this is the first study which analysed 214 cases with a novel approach and our
238 findings may be important in helping reduce the negative impact of transportation on horse
239 health.

240

241 **Conclusions**

242 There is an association between transport-related health problems and journey-duration and the
243 likelihood of developing a more severe illness (i.e. respiratory and gastrointestinal problem or
244 death/euthanasia) was higher on journeys over 24 hours than on journeys of less than 8 hours,
245 suggesting the need to decrease the maximum journey time in Australia. This study also
246 highlights the need for further research into the effects of long haul transport on the respiratory,
247 gastrointestinal and immune systems to assist in proposing improved management practices for
248 safeguarding horse welfare during travel, particularly over long distances.

249

250 **Authors' declaration of interests**

251 No competing interests have been declared.

252

253

254 **Ethical animal research**

255 The ethical aspects of this study have been approved by the Human Research Ethics Committee
256 of the University of Sydney [2015/308].

257

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269

270 **Authorship**

271 B. Padalino, E. Hall, S. Raidal, P. Knight, P. Celi, L. Jeffcott, and G. Muscatello conceived and
272 designed the survey; B. Padalino and E. Hall analysed the data, B. Padalino wrote the paper; E.
273 Hall, S. Raidal, P. Knight, L. Jeffcott, and G. Muscatello edited the paper.

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276 **Manufacturers' addresses**

277 ^aSurveyMonkey Inc., California, USA. (www.surveymonkey.com)

278 ^bVSNi International, Hemel Hempstead, Hertfordshire, UK.

279 ^cIBM SPSS

280 **Supplementary Information**

281

282 **Supplementary Item 1:** Questionnaire.

283 **Supplementary Item 2:** Data distribution.

284 **Supplementary Item 3:** Health outcomes.

285 **Supplementary Item 4:** Associations between journey variables.

286 **Supplementary Item 5:** Associations between health outcomes and horse and journey variables.

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433 **Table 1:** Categorisation of health problems observed in transported horses.

Health problem category	Definition
Injuries	Laceration, abrasion, contusion, swelling.
Muscular problems	Typing up, sore muscle, stiffness.
Heat stroke	Rectal temperature >38.5°C, sweating, lethargy.
Gastrointestinal problems	Oesophagal obstruction, gastric ulceration, diarrhoea, colic, enterocolitis.
Respiratory problems	Nasal discharge, coughing, inflammation/infection of the upper or lower respiratory tract, and pneumonia.
Death	Horses found dead or humanely destroyed.

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