

1 **Identifying hotspots of alien plant naturalisation in Australia: approaches and**
2 **predictions.**

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30 (A) **ABSTRACT**

31 The early detection of newly naturalised alien species is vital to ensuring the greatest chance
32 of their successful eradication. Understanding where species naturalise most frequently is the
33 first stage in allocating surveillance effort. Using Australia's Virtual Herbarium, we compiled
34 the collection records for all plant species in Australia. We controlled for potential spatial
35 biases in collection effort to identify areas that have an elevated rate of first records of alien
36 species' occurrence in Australia. Collection effort was highly variable across Australia, but the
37 most intense collection effort occurred either close to herbaria (located in cities) or in remote
38 natural environments. Significant clusters of first records of occurrence were identified
39 around each state's capital city, coinciding with higher collection effort. Using Poisson point
40 process modelling, we were able to determine the relative influence of environmental and
41 anthropogenic factors on the spatial variation in the risk of species naturalisation. Effort-
42 corrected naturalisation risk appeared to be strongly related to land use, road and human
43 population densities, as well as environmental factors such as average temperature and
44 rainfall. Our paper illustrates how the risk of naturalisation at a location can be estimated
45 quantitatively. Improved understanding of factors that contribute to naturalisation risk
46 enhances allocation of surveillance effort, thereby detecting novel species sooner, and
47 increasing the likelihood of their eventual eradication.

48

49 **Keywords** alien flora, herbarium, invasive plants, pathway analysis, sampling bias,
50 surveillance.

51 (A) **INTRODUCTION**

52 The human mediated exchange of species is an important economic, social and
53 environmental issue (Pfeiffer 2008; Pimentel et al. 2005; Vilà et al. 2011). Despite
54 considerable investment in pre-border biosecurity measures such as import regulations tied to
55 weed risk assessment (Andersen et al. 2004b; Gordon et al. 2008; Pheloung et al. 1999),
56 alien plant species richness continues to increase in all regions across the globe (Dodd et al.
57 2015b; Lambdon et al. 2008; Maroyi 2012; Rojas-Sandoval and Acevedo-Rodríguez 2015; Wu
58 et al. 2004). Whilst many of the recently detected species likely arrived prior to the
59 strengthening of biosecurity management approaches internationally (Caley et al. 2008;
60 Phillips et al. 2010), the ongoing entry and establishment of new species requires
61 management agencies to continue to improve their biosecurity practices (Cacho and Hester
62 2011; Hester et al. 2013; Moore et al. 2010).

63

64 Preventing species introduction in the first instance is often the most cost effective
65 way of managing the impact of alien species (Finnoff et al. 2007; Kim et al. 2006). However,
66 preventing species exchange altogether is not practical in modern economies (see Hulme
67 2009; Virtue et al. 2004) and is, in fact, prohibited without technical justification under the
68 World Trade Organisation Agreement on the Application of Sanitary and Phytosanitary
69 Measures (the SPS Agreement). The majority of invasive species research has, therefore,
70 focussed on questions relating to either species' invasiveness (Elton 1958; Hayes and Barry
71 2008; Kolar and Lodge 2001; Rejmánek and Richardson 1996; Sakai et al. 2001; Williamson
72 and Fitter 1996) or community invasibility (Catford et al. 2011; Davis et al. 2000; Fridley et
73 al. 2007; Levine and D'Antonio 1999; Lonsdale 1999; Tilman 1997), because these questions
74 are vital in underpinning decision making regarding the regulation of species both pre- and
75 post-border (Andersen et al. 2004a; Hulme 2012; Simberloff 2003; Weber et al. 2009).

76

77 Once a species has established a population, eradication (sensu Newsom 1978) might
78 avoid future impacts most cost-effectively despite its high expense (Harris et al. 2001;
79 Wittenberg and Cock 2001). Several factors influence the relative cost effectiveness of

80 eradication (Dodd et al. 2015a; Hester et al. 2013; Panetta 2009), but the area occupied by
81 the species when first detected is particularly important (Pluess et al. 2012; Rejmanek and
82 Pitcairn 2002) as treatment cost and the probability of success both depend on the size of the
83 infested area. This implies that early detection of a species increases the likelihood of
84 successful eradication (Harris et al. 2001; Myers et al. 2000; Simberloff 2003).

85

86 However, few published studies focus on detecting alien plant species in the early
87 stages of naturalisation (Huang et al. 2012; Sullivan et al. 2004). The vast majority of
88 research on surveillance design has focussed on economic optimisation (Bogich et al. 2008;
89 Epanchin-Niell et al. 2012; Hauser and McCarthy 2009) or maximising detection (Baxter and
90 Possingham 2011; Kaplan et al. 2014; Moore et al. 2014), and usually in the context of a
91 single species programme (see Hauser and McCarthy 2009; Kaplan et al. 2014). The relative
92 scarcity of published surveillance methods pertaining to multi-species naturalisation likely
93 reflects a lack of spatial data (Genovesi 2005; Huang et al. 2012). Traditionally, most of the
94 information (where it exists) is held by management agencies, although this is changing (see
95 Tingley et al. 2014).

96

97 Herbaria play a vital role in the detection and consequent identification of newly
98 naturalised plant species (Pyšek et al. 2013; Pyšek et al. 2004; Stajsic and Vaughan 2007).
99 In Victoria, Australia, 76% of newly naturalised plant species detected between 2004 and
100 2007 were first detected by herbarium staff (Stajsic and Vaughan 2007), with all other
101 species reported as transitioning from casual to naturalised (*sensu* Blackburn et al. 2011)
102 during that period also lodged with herbaria. The recent digitisation of herbarium records,
103 and their collation into online databases such as Australia's Virtual Herbarium (CHAH 2014a),
104 makes these records relatively accessible (Lavoie 2013). By reconstructing the histories of
105 alien species from historical records it may be possible to infer the circumstances facilitating
106 their naturalisation (see Huang et al. 2012; Sullivan et al. 2004) and to tailor surveillance
107 programmes aimed at finding them.

108

109 Here we aim to identify hotspots of species naturalisation and to explore the
110 influence of environmental and anthropogenic factors in creating them. Using Australia's
111 Virtual Herbarium (CHAH 2014a), we compile records of species collection effort for the entire
112 flora of Australia. We then control for potential spatial biases in collection effort (sensu
113 Lavoie et al. 2012; Moerman and Estabrook 2006; Rich 2006; Yang et al. 2014) to identify
114 areas that have an elevated rate of first records of species occurrence. Finally, we analyse
115 these data to determine the influence of environmental and anthropogenic factors on the risk
116 of species naturalisation. By analysing this large dataset, we aim to identify robust patterns
117 that can inform future surveillance effort targeting newly-naturalised alien species.
118

119 (A) **METHODS**

120 We used the Australian Plant Census to identify the naturalised alien plant species in
121 Australia's Virtual Herbarium database. We then condensed the dataset, from species records
122 to collection events, to remove duplicate information and control for spatial biases. Finally,
123 we used Poisson point process modelling to determine the influence of environmental and
124 anthropogenic factors on the spatial variation in the risk of species naturalisation.

125

126 (B) **Data collection**

127 The complete database of catalogued flora records held by Australian herbaria was exported
128 from Australia's Virtual Herbarium (AVH) (CHAH 2014a). Records in this master dataset were
129 filtered to include only correctly georeferenced records of vascular plants (tracheophytes)
130 collected in Australia and identified to at least the rank of species. After filtering, 3,074,544
131 species records were exported for analysis. Records were then imported into the R software
132 environment for statistical computing and graphics (R Core Team 2013) where the
133 naturalisation status of each species [AVH processed name] was sourced from the Australian
134 Plant Census (APC) (CHAH 2014b).

135

136 The AVH 'processed name' indicates the currently accepted APC species name for a
137 specimen derived from the original 'supplied name' provided by the holding herbaria. This
138 matching process ensures that each record in AVH is systematically checked for taxonomic
139 issues such as invalidity, synonymy and re-classification therefore ensuring the record reflects
140 the species' current, nationally agreed, taxonomy. All families except the Orchidaceae (which
141 has one naturalised species) have been treated by APC, with extensive updates in 2014
142 (CHAH 2014b). APC follows a similar framework to Blackburn et al. (2011), with alien species
143 regarded as 'naturalised' once they form self-sustaining populations. 'Casual' species (those
144 with surviving individuals but not self-sustaining populations) and 'cultivated' species were
145 not considered in this analysis as they have not been uniformly treated by herbaria.

146

147 Using the APC naturalisation status, the master dataset was then split into three
148 subsets for analysis. The first subset, hereafter referred to as the 'native' species, contained
149 2,737,139 records of 20,376 species not considered naturalised by APC and therefore native
150 to Australia. The second subset, hereafter referred to as the 'naturalised' species, contained
151 237,541 records of 2,699 species considered naturalised by APC and therefore 'alien' to
152 Australia. The third subset, hereafter referred to as the 'first record of occurrence' dataset, is
153 a subset of the naturalised dataset containing only the earliest herbarium record for each of
154 the 2,699 naturalised species in Australia. Importantly, this dataset does not imply the exact
155 date or location of introduction nor naturalisation (which are unknown), merely the first
156 specimen held by herbaria. The first records dataset is analogous to those used in Pyšek et
157 al. (2003) and Sullivan et al. (2004) and identical to Dodd et al. (2015b).

158

159 Where multiple species records were collected during a visit to a location, these were
160 aggregated into a single 'collection event'. By removing these duplicate records we ensured
161 that the events are independent, satisfying the assumptions of parametric analyses. This
162 reduced the 3,074,544 species records to 1,273,445 unique collection events. For the
163 purposes of our analysis, we used the number of discrete collection events to represent
164 collection effort; similar to Hyndman et al. (2015) and Yang et al. (2014). One (or more) first
165 records of occurrence were detected during 2,555 collection events and these events are
166 hereafter referred to as 'detection events'. Because herbarium collection is known to be
167 spatially biased and largely unstructured (Moerman and Estabrook 2006; Rich 2006),
168 individual detection events are likely to be separated in space and/or time from the true first
169 instance of naturalisation. However, when aggregated together and adjusted for these
170 biases, we expect that the frequency of detection events at a spatial location is likely to be a
171 robust indicator of the true naturalisation risk.

172

173 (B) **Spatio-temporal distribution of events**

174 Locations of the 2,699 first records of occurrence were initially examined visually, by plotting
175 the data at the Australian scale. Points were then projected from geographic coordinates to

176 the Australian Albers (equal area conic) coordinate system (EPSG: 3577) and formatted as
177 point pattern objects to facilitate their analysis using the spatstat R package (Baddeley and
178 Turner 2005; R Core Team 2013). In order to analyse the data as a single cohort, we first
179 needed to rule out significant temporal variation in the point pattern. Using Ripley's Cross K-
180 Function (Baddeley et al. 2000) we found that the first records of separate temporal cohorts
181 were significantly (Monte Carlo p-values <0.02) clustered together, implying a dependence
182 on spatial covariates. As these spatial patterns were clustered (rather than independent or
183 dispersed) over time, we considered it appropriate to analyse the data as a single cohort.

184

185 The first step towards identifying areas with an elevated risk of naturalisation is
186 determining the spatial distribution of both detection and collection events. These were
187 estimated by calculating the kernel smoothed intensity (points per unit area) of the point
188 pattern (Diggle 1985). The smoothing kernel was edge corrected, with the bandwidth
189 automatically selected by cross-validation according to Berman and Diggle (1989). We
190 qualitatively chose 10 km x 10 km pixels as the scale for the analysis of intensity as this was
191 large enough to minimise issues caused by points with low spatial accuracy, whilst small
192 enough to still allow for meaningful inference.

193

194 (B) **Spatial statistics**

195 Once the spatial intensity of detection and collection events had been identified, we trialled
196 two approaches to identify areas with higher than expected frequencies of detection events
197 given collection effort. The first was a spatial scan test for clustering in a spatial point
198 pattern (Kulldorff 1997). A binomial scan test identifies locations that have a significantly
199 higher than expected proportion of points of one type (i.e. detection events) within a set
200 radius given the spatial location of all points (collection events) in the pattern (Baddeley and
201 Turner 2005; Kulldorff 1997). The statistical significance of a cluster is indicated by the
202 likelihood ratio test statistic of its spatial location as calculated by simulation. For our analysis
203 the radius was set to 10 km with the Monte Carlo p-value calculated from 10,000 simulations.

204

205 The second approach was to calculate the spatially-varying probability of detection
206 events (Bivand et al. 2008; Kelsall and Diggle 1995) using spatstat's relative risk function
207 (Baddeley and Turner 2005). In our context, the probability was calculated by comparing the
208 intensity of detection events with the intensity of collection events at the pixel scale. As with
209 the intensity estimates above, the smoothing kernel was edge corrected, with the bandwidth
210 automatically selected by cross-validation according to Berman and Diggle (1989). The pixel
211 size was increased to 20 km x 20 km for this analysis to avoid over-fitting in areas with
212 unusually low collection effort. This also aligned the scales of the two spatial statistics (both
213 to 20 km widths) making their results directly comparable.

214

215 (B) **Model construction and evaluation**

216 To determine the influence of environmental and anthropogenic factors on the spatial
217 variation in the risk of species naturalisation, we constructed a series of 5 km x 5 km raster
218 grids for factors previously suggested to influence naturalisation success. Only Sullivan et al.
219 (2004) and Moodley et al. (2014) have looked directly at plant naturalisation, so we used a
220 selection of factors previously found to be influential in studies of gross [country-scale]
221 naturalisation rates (Dalmazzone 2000; Hulme 2009; Pyšek et al. 2010; Vila and Pujadas
222 2001), invasibility (Catford et al. 2011; Huang et al. 2012; Lin et al. 2007) and herbarium
223 biases (Lavoie et al. 2012; Moerman and Estabrook 2006; Rich 2006; Yang et al. 2014) to
224 ensure an adequate range of variables (Table 1).

225

226 We used inhomogenous Poisson Point Process Modelling (Renner et al. 2015) to test
227 the relationship between the explanatory variables and the intensity of detection events.
228 Poisson point process modelling (Poisson PPM) differs from conventional Poisson regression in
229 that it models the response variable's intensity rather than its count (Baddeley and Turner
230 2006; Renner et al. 2015). Poisson PPM is particularly well suited to this application because
231 it can include an exposure variable (such as the intensity of collection events) as an offset,
232 allowing us to model the rate of detection events per unit of collection effort (Baddeley and
233 Turner 2006). Hyndman et al. (2015) used a similar approach to control for collection effort.

234

235 Prior to model fitting, the explanatory variables were examined using a scatterplot
236 matrix to identify pairwise correlations. Average annual days of frost and average daily solar
237 exposure were excluded from the dataset due to their strong ($r>0.7$) Pearson correlation with
238 average yearly rainfall and average daily mean temperature respectively. Human population
239 density was log-transformed to enable us to sensibly fit a linear response to it. Due to our
240 use of the log link function, the intensity of collection events was calculated at 30 km x 30 km
241 pixels (an additional increase in scale) to prevent over-fitting the more complex model where
242 the intensity of collection events was close to zero.

243

244 Models were fitted with maximum likelihood using the Berman-Turner approximation
245 in the spatstat package (Baddeley and Turner 2005). The full model (containing all
246 explanatory variables and the offset) was trained using the data rich south-eastern states of
247 Australia (Victoria, New South Wales, Tasmania and the Australian Capital Territory).
248 Performance was evaluated using the Akaike Information Criterion (Burnham and Anderson
249 2002) with the best performing model selected using backwards stepwise selection. Checks
250 of model assumptions, including spatial residuals (Baddeley et al. 2005), were also
251 performed. Final model evaluation was undertaken by predicting the expected probability
252 (and conditional intensity) for two adjacent states (Queensland and South Australia) and
253 comparing the results with the observed pattern of first records of occurrence.

254

255 Unless otherwise specified, all data processing and analyses were undertaken in the
256 R software environment for statistical computing and graphics (R Core Team 2013) with the
257 following packages installed: reshape2 (Wickham 2007), plyr (Wickham 2011), data.table
258 (Dowle et al. 2014) and doParallel (Revolution Analytics and Weston 2014) for data
259 management; sp (Pebesma and Bivand 2005), rgdal (Bivand et al. 2013), rgeos (Bivand and
260 Rundel 2013), maptools (Bivand and Lewin-Koh 2013), raster (Hijmans and van Etten 2013)
261 and geostatsp (Brown 2015) for spatial operations; ggplot2 (Wickham 2009) and scales
262 (Wickham 2012) for plotting. The R script used for the analysis is included in Appendix S1.

263 (A) **RESULTS**

264 (B) **Spatio-temporal distribution of events**

265 At the continental scale, the majority of the 2,699 first records of occurrence were located in
266 the eastern half of the continent, close to the coast, with the greatest density of points in
267 areas adjacent to capital cities (Figure 1). This spatial pattern remained relatively constant
268 across 30-year cohorts, with significant clustering between temporal cohorts (data not
269 shown). Queensland had the highest number of first records (673), followed by New South
270 Wales (538), South Australia (502) and Victoria (444). In contrast, Western Australia had the
271 highest number of collection events (345,176), followed by Queensland (253,310), New
272 South Wales (216,261) and South Australia (177,600; data not shown).

273

274 In order to visualise the relatively fine spatial patterns (relative to Australia's large
275 size), we use the state of Victoria here as a consistent basis for presenting our approach. As
276 was observed at the continental scale (Figure 1), the spatial location of detection events was
277 concentrated around the capital city (Melbourne) with fewer, scattered distributions
278 elsewhere (Figure 2a). This pattern resulted in a kernel smoothed intensity (number of first
279 records per square metre) ranging from 0 to 2.97×10^{-7} events m^{-2} (Figure 2b) with the most
280 intense areas corresponding to those in Figure 2a. Collection effort was highly variable
281 across the state (Figure 2c) with the intensity of collection events ranging from 0 to $9.18 \times$
282 10^{-6} events m^{-2} with more than twenty areas of elevated intensity (Figure 2d). These broad
283 patterns were consistent across each of the states (data not shown).

284

285 (B) **Hotspot (cluster) detection**

286 Significant clusters of detection events were identified by the spatial scan test in areas
287 adjacent to major human population centres across each of the states (Figure 2e). In most
288 instances, clusters correlated with the highest intensity of detection events identified in Figure
289 2b and the results of the two approaches were spatially similar. The maximum value of the
290 likelihood ratio test statistic for areas in the eastern states was between 200 and 300 (Figure
291 2e) with Monte Carlo p-values < 0.0001 indicating significantly higher proportions of

292 detection events in these locations than expected given the spatial distribution of collection
293 events. Although the number of detection events in each state reached into the hundreds
294 (Figure 2a), the number of clusters identified was usually less than five (Figure 2e).

295

296 In contrast, the spatially-varying probability analysis (Figure 2f) indicated a more
297 widespread distribution of elevated naturalisation risk. The probability of detection events
298 ranged from 0 to 6% (1 in 17 events) compared to an overall base rate of 0.2% (1 in 500
299 events). Species were more likely to be detected along major roadways, particularly where
300 roads intersected at rural townships. The risk of species detection was also higher around
301 major urban centres (Figure 2f), coinciding with the clusters identified at those locations by
302 the scan test (Figure 2e). This trend remained even after their higher collection effort
303 (Figures 2c-d) had been accounted for.

304

305 (B) **Evaluation of the factors influencing hotspot location**

306 The best performing model included all ten of the candidate variables and the offset for
307 collection effort, although the influence of proximity to ports and watercourses was not
308 significant (Table 2). Human population and road densities, land use intensity, average
309 rainfall and proximity to railways all increased the probability of a detection event.
310 Conversely, proximity to herbaria, average temperature and increasing Normalised Difference
311 Vegetation Index (NDVI) negatively influenced the probability. The estimated model
312 coefficient for each parameter and its Z score is included in Table 2.

313

314 Using the model trained on events in the four south-eastern states, we predicted the
315 probability of detection events across the adjacent states of South Australia (Figure 3b) and
316 Queensland (Figure 4b). In both states, the expected probability ranged from 0% to 3%,
317 with the highest probability found in areas along the coastline and adjacent to capital cities
318 similar to the broad patterns identified in Figure 1. We were unable to formally test these
319 predictions using conventional methods (chi-square or Kolmogorov–Smirnov tests) due to the
320 unavoidable presence of zeroes in both the observed and predicted patterns.

321

322 Notwithstanding, the observed first records in both states (Figures 3a & 4a) nearly all
323 occurred in areas predicted to have an elevated probability of detection events (Figures 3b &
324 4b). The model appeared to over-estimate the probability of detection events in central
325 areas of South Australia and under-estimate them in far-north Queensland near Cairns.
326 However, these discrepancies disappeared when collection effort was used to predict the
327 intensity of detection events (effectively re-biasing the predictions), indicating that collection
328 effort has likely influenced the observed patterns of naturalisation (Figures 3c & 4c).

329

330

331 (A) **DISCUSSION**

332 By modelling plant naturalisation as a Poisson point process our analysis consistently showed
333 that alien plants are most frequently first recorded around major human population centres,
334 even when corrected for collection (sampling) effort. We demonstrate how the rate of first
335 detection is significantly influenced by anthropogenic factors such as human population and
336 road density, land use and distance to railways as well as environmental factors such as
337 average rainfall and temperature. Finally, our paper illustrates how the risk of naturalisation
338 can be estimated spatially and discusses how these estimates could be used to inform
339 surveillance programmes targeting newly naturalised alien species.

340

341 (B) **Separating spatial biases from naturalisation hotspots**

342 First records of occurrence were most frequently located in areas with the highest human
343 influence. This is not at all surprising, given that alien species are by definition introduced
344 (either accidentally or deliberately) by humans (Blackburn et al. 2011) and this basic
345 relationship has previously been demonstrated by Sullivan et al. (2004) for New Zealand. In
346 Australia, this has resulted in a pattern of first occurrence that largely follows the eastern
347 coastal fringe with isolated events in the north and west consistent with the distribution of
348 human settlement (Figure 1). These patterns have remained relatively constant over time,
349 despite changing purposes of species introduction (Dodd et al. 2015b; Essl et al. 2011). This
350 may indicate that any spatial changes are occurring at a finer scale than our analysis, or
351 [because most introduction pathways are inherently associated with humans] that the spatial
352 patterns of first occurrence are more heavily influenced by the patterns of human settlement
353 than the specific pathway by which the species arrives.

354

355 However, collection effort is also known to be spatially biased towards areas close to
356 where people, particularly botanists, live (Aikio et al. 2010; Moerman and Estabrook 2006;
357 Rich 2006; Yang et al. 2014). Because the likelihood of detection increases with additional
358 effort (Hauser and McCarthy 2009; Moore et al. 2014), it is important to determine whether
359 these patterns of first occurrence reflect differences in propagule pressure, ecosystem

360 invasibility, or collection effort (Aikio et al. 2010; Hulme 2012; Lavoie et al. 2012). As
361 expected, our analysis clearly indicated that the intensity of collection effort was highly
362 variable across our study area (Figures 2c-d), apparently similar to China (Yang et al. 2014)
363 and the British Isles (Rich 2006), with elevated collection in natural areas and areas with high
364 human population density respectively. One exception is the area of elevated collection
365 around the rural town of Dimboola where the amateur botanist Felix M. Reader collected over
366 10,000 specimens in the 1890s. Whilst Reader didn't detect first occurrences at a
367 substantially higher rate than average, the intensity of his collection effort resulted in the
368 naturalisation hotspot found at Dimboola (Figures 2b & 2e) and is a clear demonstration of
369 the 'botanist effect' (sensu Moerman and Estabrook 2006). Fortunately, collection effort is
370 highly variable across the study area allowing us to effectively disentangle it from propagule
371 pressure and human-mediated modification of the environment.

372

373 Of the two approaches we used to control for elevated collection effort, the spatially-
374 varying probability estimates (Bivand et al. 2008; Kelsall and Diggle 1995) had the most
375 potential for correctly guiding surveillance effort. Because the scan test (Kulldorff 1997) is
376 designed only to detect clusters, it tended to reduce the observed occurrences into too few
377 areas (clusters) with elevated naturalisation risk (Figure 2e). In comparison to the spatially
378 varying probability estimates (Figure 2f), this would result in type II errors when allocating
379 surveillance effort as many of the observed occurrences would not have been detected.
380 Nonetheless, both approaches indicated that naturalisation risk was elevated in areas
381 adjacent to major human population centres, even once controlled for collection effort
382 (Figures 2e & 2f).

383

384 (B) **Factors influencing naturalisation risk**

385 Inhomogeneous Poisson point process modelling builds on the spatially varying probability
386 estimates by considering the intensity of detection events to be a function of not just the
387 intensity of collection events but also of spatial covariates (Baddeley and Turner 2006). One
388 of the limitations of our analysis, as well as similar previous analyses (Yang et al. 2014), is

389 that the collection events and some of the spatial covariate data necessarily relate to different
390 time ranges (collection events have mostly occurred over the last 120 years whilst the
391 anthropogenic data are from the last ten years). However, as discussed above, the observed
392 patterns of naturalisation haven't changed substantially over time nor have the majority of
393 our predictive variables such as ports, major roads and railways (which are drawn at the
394 1:1M scale). We therefore consider the influence of this limitation to be minimal.

395

396 Of the factors included in our regression model, anthropogenic variables had the
397 greatest effect on the intensity of detection events (Table 2). As expected, human population
398 density had the largest influence the probability of occurrence, reinforcing that species
399 exchange is a human mediated phenomenon and that human activities facilitate alien species
400 establishment (Blackburn et al. 2011; Pyšek et al. 2010; Sullivan et al. 2004). Commonly
401 used indicators of human activity, such as road density and distance to railways, were also
402 influential, reflecting their known importance as dispersal pathways, as well as their
403 correlation with habitat disturbance (Catford et al. 2011; Lin et al. 2007; Vila and Pujadas
404 2001). It is therefore reasonable to conclude that proximity to these features also increases
405 naturalisation risk.

406

407 Land use class (ABARES 2010) also significantly influenced the probability of
408 occurrence (Table 2). As the intensity of the land use increased from 'conservation and
409 natural environments', through increasing agricultural intensity (natural, dryland and
410 irrigated) to 'intensive uses', the probability also increased. Increasing land use intensity is
411 generally considered to be correlated with both increased disturbance and propagule pressure
412 (Catford et al. 2011; Moodley et al. 2014; Vila and Pujadas 2001), so this result is intuitive.
413 Indeed, the influence of these factors on alien species naturalisation [establishment] and
414 invasion [spread] is generally agreed (Catford et al. 2011; Dalmazzone 2000; Hulme 2009;
415 Moodley et al. 2014; Pyšek et al. 2010; Sullivan et al. 2004; Vila and Pujadas 2001).

416

417 The role of the environmental variables including rainfall and temperature was less
418 clear. Our finding that rates of first occurrence were significantly higher in cooler and wetter
419 climates (Table 2) supports the previous observation that temperate mainland areas are more
420 invaded than tropical ones (Lonsdale 1999; Pyšek and Richardson 2006; van Kleunen et al.
421 2015). However, these climates also correspond with the most populous areas of human
422 settlement in Australia (ABS 2012). As such, temperate regions also correspond with the
423 most intense agriculture and the most dense road, rail and port networks. Analysing the data
424 by biogeographic, rather than geo-political, boundaries may help understand the influence of
425 climate on plant naturalisation. By identifying the first occurrences in each biogeographic
426 region it may be possible to compare the rates of first occurrence and species-area
427 relationships across environmental gradients at a finer scale than previously possible (see
428 Huang et al. 2012; Pyšek et al. 2010; van Kleunen et al. 2015).

429

430 One advantage of point process models is that we can estimate the risk of
431 naturalisation at a relatively fine scale. We were able to test the predictive value of our
432 model by estimating the probability of detecting a first occurrence in the states adjacent to
433 the study area. The model performed well in comparison to the observed events; indicating
434 elevated risk in nearly all areas where first records of occurrence were observed and
435 conversely, indicating reduced risk in areas where events were not detected (Figures 3 & 4).
436 A small number of areas were either over- or under- predicted, although this is to be
437 expected given the highly random nature of long distance dispersal events leading to
438 naturalisation (Aikio et al. 2010). These deviations also largely disappeared once historical
439 collection effort was included as a predictor, indicating that the observed spatial pattern of
440 first occurrence in Australia is clearly influenced by collection effort.

441

442 **(B) Implications for designing surveillance programmes**

443 We believe our analysis is an important step towards the 'integrated risk maps' envisaged by
444 Hulme (2009). However, the scale of our results is still higher than the underlying biological
445 processes (Catford et al. 2009) and, as discussed above, may also be too coarse to detect the

446 fine-scale temporal changes possibly occurring due to changing pathways of introduction
447 (Essl et al. 2011). This is likely to be at least partly due to the unknown spatial and temporal
448 separation between the first records of occurrence and the true first instance of naturalisation
449 (Hyndman et al. 2015). Notwithstanding these limitations, the spatial patterns identified by
450 our analysis appear robust enough to inform the spatial allocation of resources to general
451 awareness activities and passive surveillance programs (Cacho and Hester 2011).

452

453 The logical extension of our work then is to look at finer scale patterns within
454 identified areas of elevated risk, possibly in a multi-scale framework similar to Kaplan et al.
455 (2014). Using Victoria as an example, we could select one of the identified hotspots (e.g. the
456 north eastern suburbs of Melbourne or the agricultural area between Longerenong and
457 Warracknabeal; Figure 2e-f) and re-scale the analysis down to just that area; potentially
458 identifying the hotspots within the hotspot. It would also be interesting to separate species
459 by their suspected purpose or pathway of introduction (Dodd et al. 2015b; Essl et al. 2011;
460 Virtue et al. 2004) and contrast their spatial patterns.

461

462 The early detection of newly naturalised species is critical to ensure the best chance
463 of successful eradication (Harris et al. 2001; Myers et al. 2000; Simberloff 2003). However,
464 as we have demonstrated, the base rate of species detection through herbarium lodgement is
465 extremely low (1 in >500 events) meaning finding new species can be like finding a needle in
466 a haystack (Schmidt et al. 2010). Should agencies ultimately allocate surveillance resources
467 to areas where the probability of detection is highest, with consideration to optimising costs
468 and expected benefits (sensu Hauser and McCarthy 2009), our analysis indicates that there is
469 potential to improve upon this default rate by tenfold.

470

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738 (A) **SUPPORTING INFORMATION**

739 Additional Supporting Information may be found in the online version of this article:

740 **Appendix S1** R script for the analysis.

741

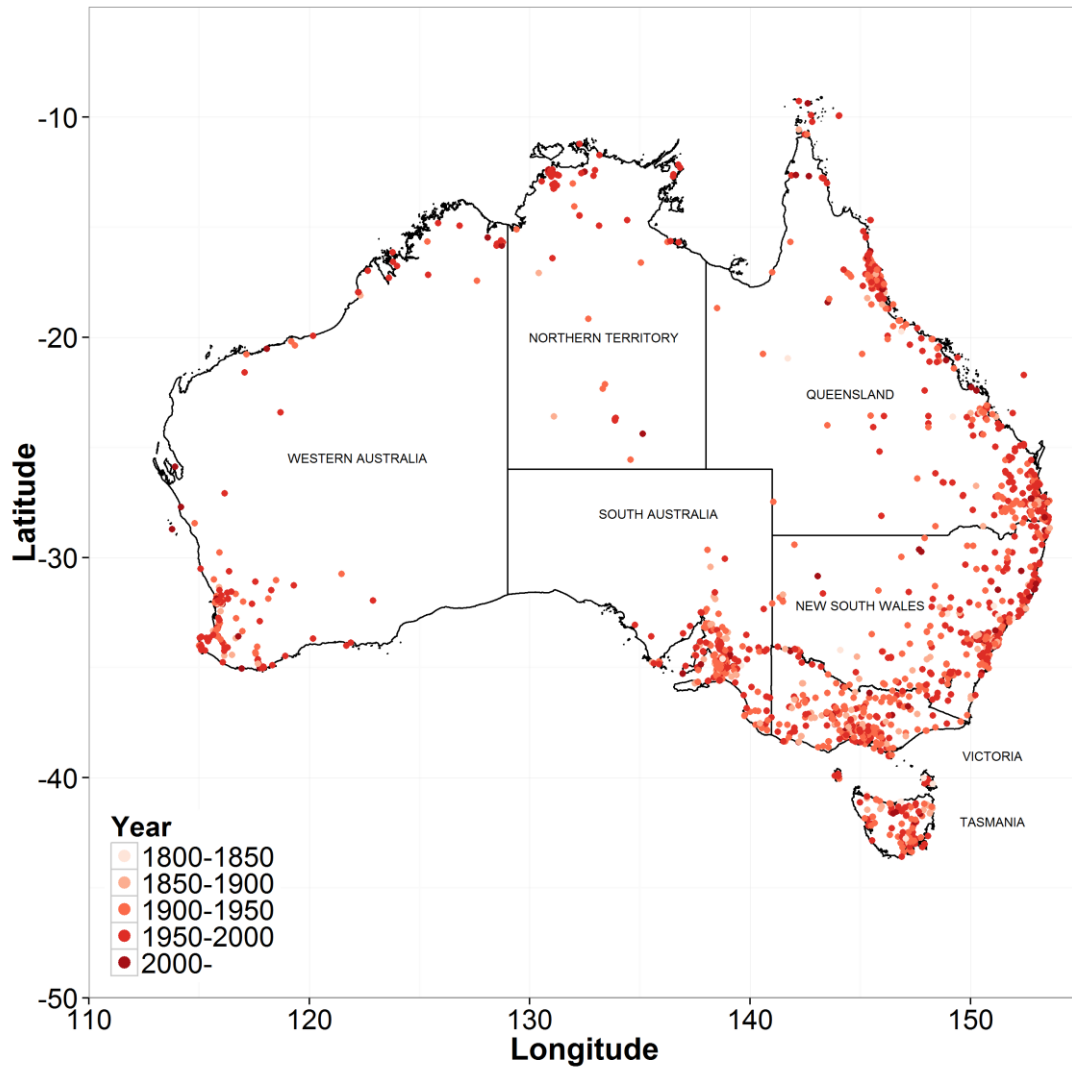
742 **Table 1** Summary information for the factors selected for use in the analysis

Factor	Categories / Units	Source format	Conversion	Final format	Data Source
Environmental					
Average yearly rainfall	Measurement (mm)	0.025 degree raster	Projection	5000 m raster	(BoM 2015)
Average daily mean temperature	Measurement (°C)	0.025 degree raster	Projection	5000 m raster	(BoM 2015)
Average annual days of frost	Count (days)	0.005 degree raster	Projection	5000 m raster	(BoM 2015)
Average daily solar exposure	Measurement (MJ m ⁻²)	0.005 degree raster	Projection	5000 m raster	(BoM 2015)
Normalised Difference Vegetation Index	Index	0.250 degree raster	Projection	5000 m raster	(BoM 2015)
Distance to nearest watercourse	Measurement (m)	1:1M Line & Polygon	Minimum Distance	5000 m raster	(Geoscience Australia 2004)
Anthropogenic					
Distance to nearest railway	Measurement (m)	1:1M Line	Minimum Distance	5000 m raster	(Geoscience Australia 2004)
Distance to nearest port	Measurement (m)	Point	Minimum Distance	5000 m raster	(Natural Earth 2014)
Distance to nearest herbaria	Measurement (m)	Point	Minimum Distance	5000 m raster	(CHAH 2014a)
Road density	Measurement (km pixel ⁻¹)	1:1M Line	Total Distance	5000 m raster	(Geoscience Australia 2004)
Human population density	Measurement (n ha ⁻¹)	Polygon	Density	5000 m raster	(ABS 2012)
Land Use	ALUM Land Use Class ^a	50 m raster	Mode	5000 m raster	(ABARES 2014)

743 ^aThe ALUM classification was sourced from ABARES (2010).

744

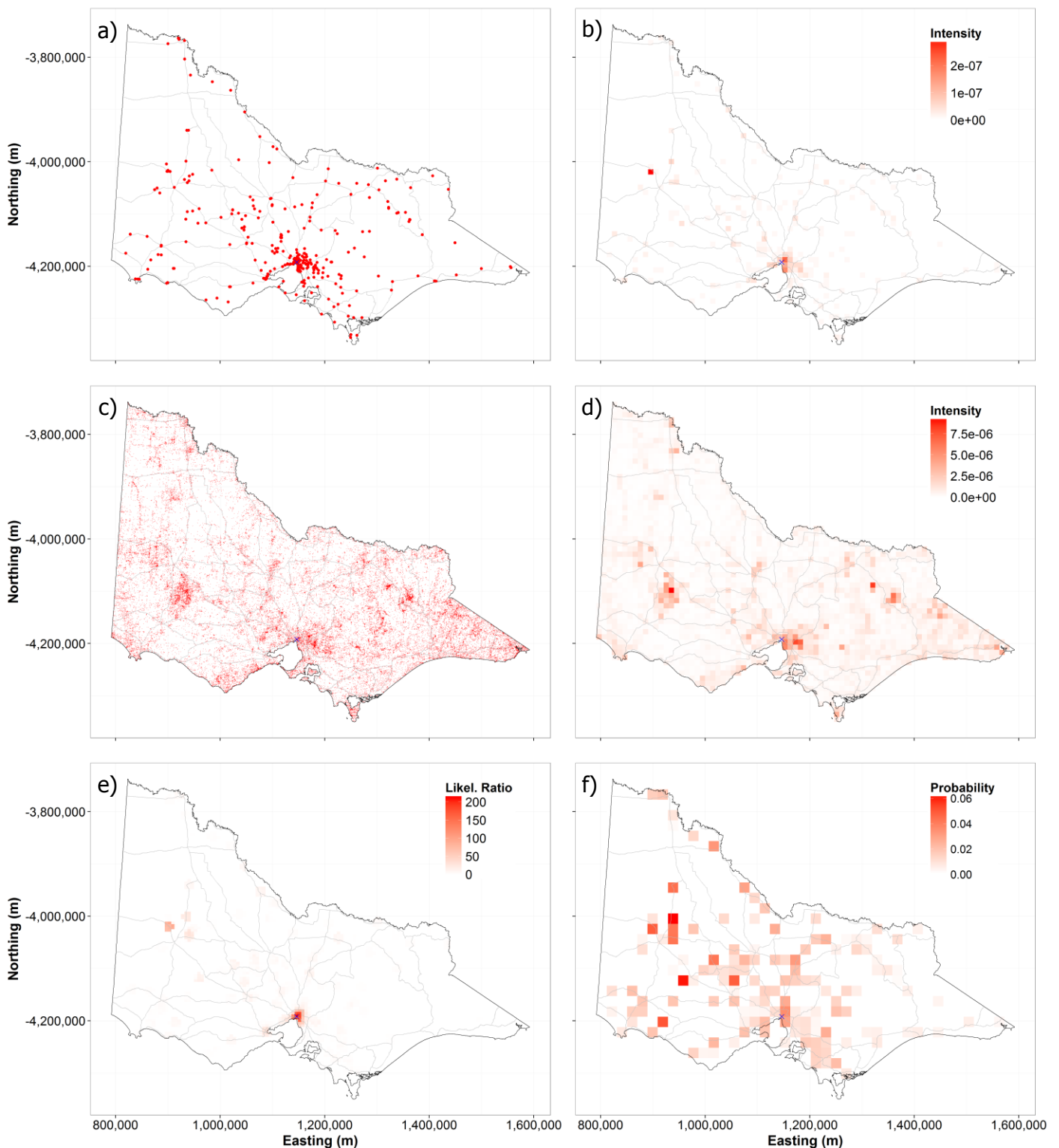
745 **Fig. 1** Map of Australia showing the first records of occurrence for the 2,699 naturalised alien
746 plant species known in Australia. Colour indicates the year in which the record was collected
747 between 1800 and 2010. Map is geographic



748

749

750 **Fig. 2** Detection events (a); kernel smoothed intensity (events per square metre) of detection
751 events (b); collection events (c); kernel smoothed intensity (events per square metre) of
752 collection events (d); likelihood ratio test statistic for locations identified to have higher than
753 expected proportion of detection events than expected given the collection effort (e); and the
754 probability of a detection event occurring (f) in the state of Victoria, Australia. All records
755 collected between 1800 and 2010 are shown in comparison to major roadways. Melbourne
756 (state capital city) is indicated by a cross. Map projection is Australian Albers.



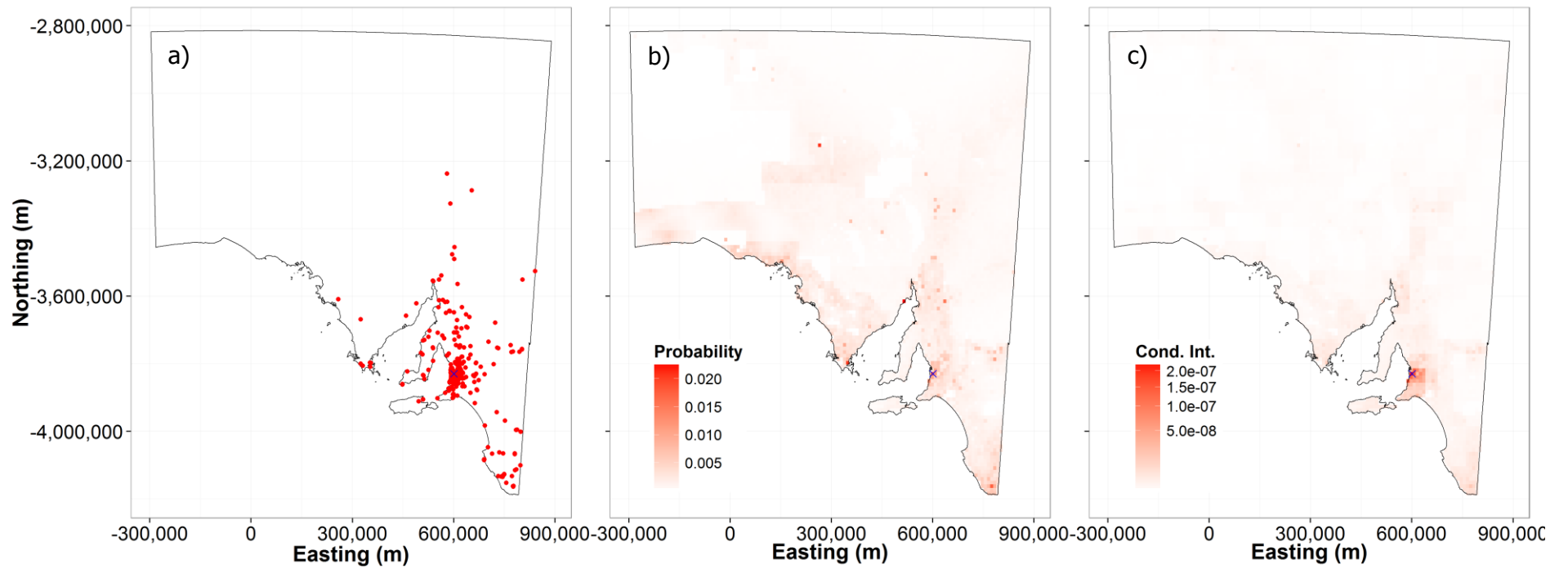
759 **Table 2** Relative importance of model variables in the best performing model. The
 760 categorisation of Z-test scores was "*" $p < 0.05$, "***" $p < 0.01$, and "****" $p < 0.001$

Factor	Estimate	S.E.	Z-test	Z-score
Intercept	-3.294553e+00	2.816843e-01	***	-11.6959064
Environmental				
Average yearly rainfall (mm)	4.615e-04	1.152e-04	***	4.003
Average daily mean temperature (°C)	-1.099e-01	1.418e-02	***	-7.750
Average annual days of frost (n)	N/A			
Average daily solar exposure (MJ m ⁻²)	N/A			
Normalised Difference Vegetation Index	-2.797e+00	3.411e-01	***	-8.198
Distance to nearest watercourse (m)	-2.176e-05	1.413e-05		-1.539
Anthropogenic				
Distance to nearest railway (m)	-4.455e-06	1.374e-06	**	-3.240
Distance to nearest port (m)	-7.767e-07	4.068e-07		-1.909
Distance to nearest herbaria (m)	3.888e-06	4.528e-07	***	8.587
Road density (km pixel ⁻¹)	2.948e-02	4.332e-03	***	6.805
Human population density (people ha ⁻¹)	2.445e-01	1.679e-02	***	14.563
Land Use – 2 (Natural area agriculture)	-3.877e-01	1.685e-01	*	-2.301
Land Use – 3 (Dryland agriculture)	2.810e-01	1.023e-01	**	2.745
Land Use – 4 (Irrigated agriculture)	4.699e-01	2.316e-01	*	2.029
Land Use – 5 (Intensive uses)	8.636e-02	1.229e-01		0.702
Land Use – 6 (Water)	-7.173e-01	4.586e-01		-1.564

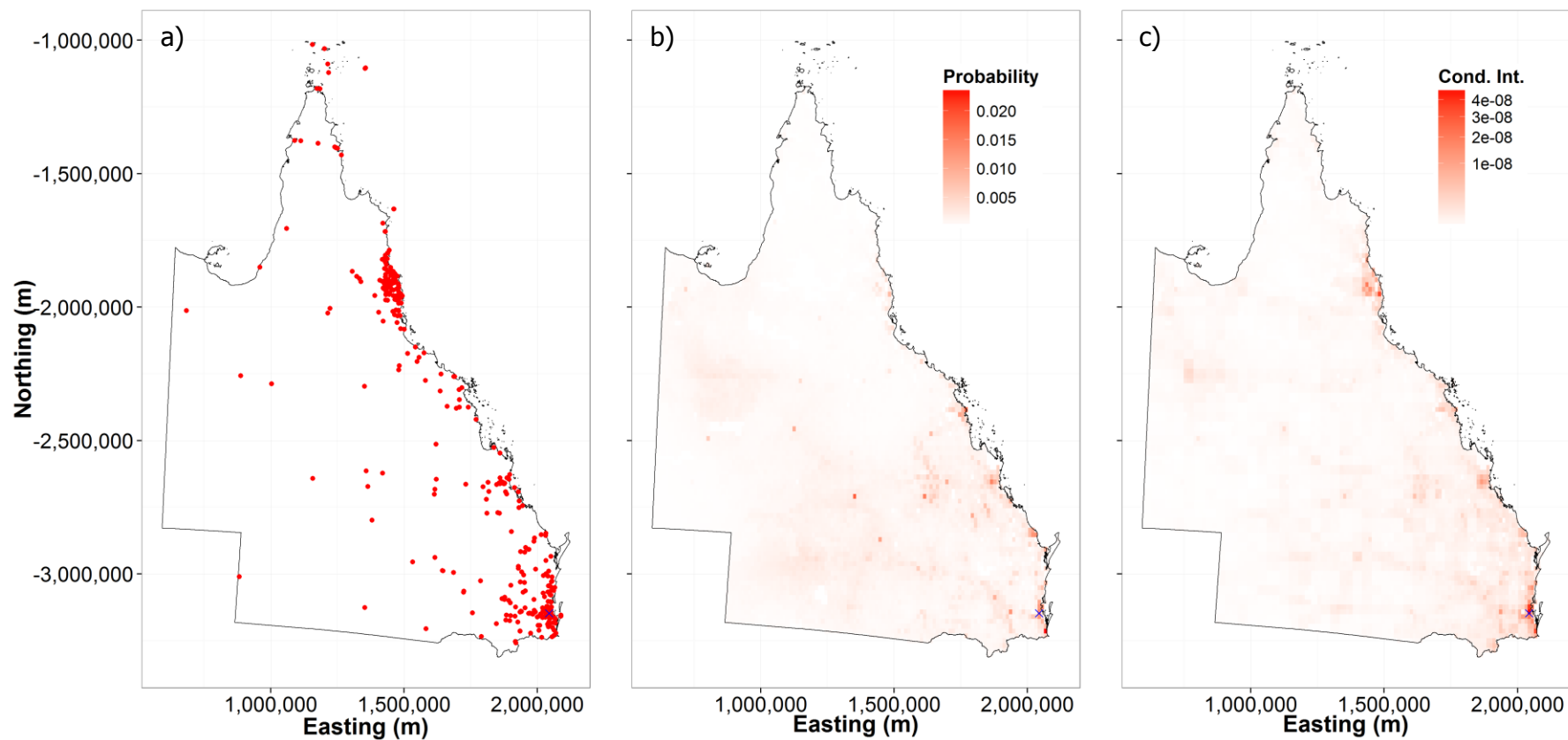
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762

763 **Fig. 3** Observed location of the first records of occurrence for alien plant species found in the State of South Australia, Australia (a); compared with the
764 predicted probability of a detection event given constant collection effort (b); and the predicted intensity of detection events conditional on historic collection
765 effort (c). Adelaide (state capital city) is indicated by a cross. Pixel size is 5km x 5km. Map projection is Australian Albers
766
767



768 **Fig. 4** Observed location of the first records of occurrence for alien plant species found in the State of Queensland, Australia (a); compared with the
769 predicted probability of a detection event given constant collection effort (b); and the predicted intensity of detection events conditional on historic collection
770 effort (c). Brisbane (state capital city) is indicated by a cross. Pixel size is 5km x 5km. Map projection is Australian Albers



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