



Molecular changes in the phosphatidylinositide 3-kinase (PI3K) pathway are common in gastric cancer

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| Key Words: | PIK3CA, mutation, PTEN, gene amplification |
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6 **Molecular changes in the phosphatidylinositide 3-kinase (PI3K) pathway are common**
7
8 **in gastric cancer**
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42 **Running head:** PI3K pathway alterations in gastric cancer
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47 **Synopsis:** Human gastric tumours were found to harbour a high frequency of molecular
48 changes in the PI3K pathway including *PIK3CA* mutation (5%), *PIK3CA* copy number gain
49 (13%) and PTEN loss (39%). These results are consistent with an important role for the PI3K
50 pathway in gastric tumourigenesis and identify the PI3K pathway as a potential therapeutic
51 target in gastric cancer.
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ABSTRACT

Background and Objectives. The phosphatidylinositide 3-kinase (PI3K) pathway is an important signaling pathway that is frequently activated in cancer cells. This has led to the emergence of PI3K inhibitors as potential new treatment modalities for many cancers. We have investigated the frequency of molecular changes in the PI3K pathway in gastric cancer.

Methods. A series of sixty one human gastric cancer specimens and nine human gastric cancer cell lines were screened for *PIK3CA* mutations and copy number gain by direct sequencing and multiplex ligation-dependent probe amplification (MLPA), respectively. PTEN protein levels were assessed by immunohistochemistry.

Results. Alterations in the PI3K pathway were found in 33 of 61 (54%) gastric tumours. *PIK3CA* mutation and copy number gain were detected in 3 (4.9%) and 8 (13.1%), respectively, of 61 gastric cancer samples while PTEN loss was detected in 24 (39%) of the tumours. Two tumours had both PTEN loss and *PIK3CA* copy number gain. There were no significant associations between these PI3K pathway changes and the clinical features of the tumours.

Conclusions. Alterations in the PI3K pathway are frequent in gastric tumours implicating this pathway as a legitimate therapeutic target in gastric cancer.

Key words: *PIK3CA*, mutation, gene amplification, PTEN, tissue microarray

BACKGROUND

Gastric cancer is of major importance worldwide, being the second most common cause of cancer-related death in the world [1]. Despite its decreasing incidence, gastric cancer is still a challenging disease. Apart from countries with established national screening programs like Japan and Korea, gastric cancer patients are often diagnosed with advanced tumours and their prognoses remain poor. Conventional systemic chemotherapy when combined with surgical tumour resection yields only modest improvement in survival for patients with resectable gastric cancer [2]. The knowledge of molecular pathways implicated in gastric cancer pathogenesis is still in its infancy and the contribution of molecular biology to the development of new-targeted therapies in gastric cancer is far behind other more common cancers such as breast, colon or lung [3].

The phosphatidylinositide 3-kinase (PI3K) pathway is an important intracellular pathway that is frequently activated in cancer cells [4,5]. Increased levels of phosphorylated AKT (pAKT), downstream of PI3K signaling, and low expression or mutation of PTEN, a negative regulator of PI3K signaling, have been shown to be involved in breast, ovarian and colon cancers [5-7]. This has led to the emergence of PI3K inhibitors as potential new treatment modalities [8].

Alterations in the PI3K pathway have been documented in several gastric cancer studies [9-13], although, these are limited in their sample size or vary significantly in the prevalence of *PIK3CA* mutations. Furthermore, little additional work has been performed on clinicopathologic correlates of *PIK3CA* in this tumour type. Similarly, reports on *PIK3CA* amplification and *AKT* mutation are limited with only a few reports published to date [9,10]. It is also not well understood how *PIK3CA* amplification qualitatively or quantitatively

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3 affects PI3K signaling and whether it obviates the usual mechanisms for activating PI3K
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5 pathway.
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8 Overall, the evidence for a role of PI3K in cancer progression and the lack of a
9
10 comprehensive study of PI3K pathway alterations in a simultaneous series of gastric cancer
11
12 samples, demonstrate the need for further investigations in this area. Investigating the
13
14 significance of PI3K/AKT/PTEN pathway alterations in gastric cancer and potential
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16 associations with clinicopathologic features may help determine prognosis and identify a
17
18 subset of patients who might be candidates for new molecular therapies targeting the PI3K
19
20 pathway. We therefore undertook a retrospective study to investigate the frequency of
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22 molecular changes in the PI3K pathway in gastric cancer.
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29 MATERIALS AND METHODS

30 31 **Materials**

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33
34 **Tissue samples.** Sixty one fresh primary gastric tumour specimens and corresponding non-
35
36 malignant adjacent gastric tissues were collected from consecutive patients undergoing
37
38 surgery at Western Hospital, Footscray, Victoria, Australia, between 1993 and 1999. Most
39
40 patients (55/61) had curative surgery with clear surgical margins, in the form of either partial
41
42 (67.2%) or total (23%) gastrectomies. The majority had D1 lymphadenectomy and the mean
43
44 number of lymph nodes resected was 14 ± 7 (mean \pm SEM; range = 2 - 34). Six cases were
45
46 unresectable and the samples collected by biopsy. Of these, 1 case was unresectable due to
47
48 invasion into both the lower oesophagus and the pancreas, 1 because of invasion into the
49
50 posterior abdominal wall, and 4 as a result of peritoneal metastases. Thirteen (21%) of the
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52 tumours were located in the cardia (defined as the upper third of the stomach) including 3
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3 that had total gastric involvement. The remaining 48 (79%) were restricted to the lower two
4
5 thirds of the stomach.
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8 The samples were cut into small pieces (~3mm³), snap frozen in liquid nitrogen (and/or
9
10 embedded in OCT), and stored at -80°C. The original collection of tissue and patient data
11
12 was approved by the Research and Ethics Committee of Western Hospital and the use of the
13
14 samples in the current study was approved by the Human Ethics Committee of the Peter
15
16 MacCallum Cancer Centre.
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18
19 **Cell lines.** Gastric cancer cell lines AGS, KATO-III, SNU1, SNU5, SNU16, N87, and
20
21 Hs746T were obtained from ATCC (American Type Culture Collection). Two other gastric
22
23 cancer cell lines (GTL-16, and MKN28) were a gift from Rita Busuttill, Peter MacCallum
24
25 Cancer Centre. Cell lines were maintained at 37°C and 5% CO₂ in RPMI-1640 growth
26
27 medium supplemented with 10% fetal bovine serum (FBS).
28
29

30 31 **Methods**

32 33 **DNA assays**

34
35 *DNA extraction.* DNA was extracted from biopsies and cell lines using the QIAamp DNeasy
36
37 Blood and Tissue Kit (Qiagen, Chadstone, Victoria, Australia) according to the
38
39 manufacturer's instructions.
40
41

42
43 *PIK3CA sequencing.* Exons 7, 9 and 20 of *PIK3CA* were amplified from genomic DNA with
44
45 primers complementary to the surrounding intronic sequences using the HotStarTaq DNA
46
47 Polymerase (Qiagen). The PCR products were then directly sequenced using capillary
48
49 sequencer ABI PRISM 3130 (Applied Biosystems, Mulgrave, Victoria, Australia). The
50
51 sequencing data was analysed using AlignX program of Vector NTI 11.0 software. The
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53 chromatograms were also checked with Chromas LITE version 2.01 software. Mutations were
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55 read out using mutation nomenclature of the Human Genome Variation Society [14].
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3 *Multiplex ligation-dependent probe amplification (MLPA)*. MLPA was performed with the
4
5 SALSA MLPA P173 Gain kit (MRC-Holland, Amsterdam, the Netherlands) according to the
6
7 manufacturer's instructions. The thresholds of MLPA ratio for result interpretation were <
8
9 0.7 (copy number loss); 0.7-1.3 (normal); 1.3-3 (copy number gain); > 3 (amplification). The
10
11 P173 probemix contains 3 different probes for *PIK3CA* gene and copy number
12
13 gain/amplification was defined by 2 or more of the probes having ratios of >1.3/>3,
14
15 respectively.
16
17

18
19 *Mutation detection by high-resolution DNA melting (HRM)*. The *AKT1* E17K mutation in
20
21 Exon 4 was screened using HRM analysis. Exon 4 of *AKT1* was amplified from genomic
22
23 DNA with primers complementary to surrounding intronic sequences. PCR cycling and HRM
24
25 analysis was performed on the Light Cycler® 480 (Roche, Hawthorn, Victoria, Australia).
26
27 LightCycler® 480 Software (v1.3.0.0705) was used to analyse data. Samples showing
28
29 deviations in melting curve were treated with ExoSapIT® (Affymetrix, Cleveland, OH, USA)
30
31 according to the manufacturer's instructions and sequenced directly with the BigDye®
32
33 terminator method (Applied Biosystems) on a capillary sequencer ABI PRISM® 3130
34
35 (Applied Biosystems).
36
37

38
39 *Tissue microarray (TMA) construction*. Fresh frozen or OCT-embedded tissue samples from
40
41 all 61 tumours used for the genetic studies were formalin fixed and embedded in paraffin. A
42
43 haematoxylin and eosin (H&E) stained section was obtained from each tissue for the re-
44
45 evaluation of tissue preservation and diagnosis as well as marking up tumour or normal
46
47 mucosal areas by an anatomical pathologist. A tissue microarray (TMA) was constructed
48
49 using a manual tissue arrayer (Advanced Tissue Arrayer – ATA100, Chemicon Australia,
50
51 Boronia, Victoria, Australia). One or two representative 1 mm cores from each case were
52
53 taken for the array. An additional 24 cores of cancer cell blocks (human gastric and prostate
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55 cancer cell lines, prepared as below) and 3 human liver cores were also arrayed. The prostate
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3 cancer cell block and liver cores were used as control material and orientation markers,
4
5 respectively.
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8 *Cell block preparation.* Cell blocks were prepared as described previously [15]. Briefly, cells
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10 were cultured in a T75 flask until 70-90% of confluence. After washing with PBS,
11
12 trypsinising and spinning down the cells, the cell pellet was fixed with 1 ml of 10% neutral
13
14 buffered formalin for 10 min, resuspended in 50µl of pre-warmed 4% nutrient agar, and
15
16 placed at 4°C to form a suspended cell block. Finally, the cell block was transferred to a
17
18 tissue cassette and embedded in paraffin.
19

20
21
22 *Immunohistochemistry.* The TMA slides were de-waxed and hydrated through graded alcohol
23
24 and distilled water using an autostainer . Antigen retrieval was done using EnVision™ Target
25
26 Retrieval Solution (Dako Australia, Campbellfield, Victoria, Australia), at high pH in a
27
28 pressure cooker. Immunohistochemical staining was performed using primary antibodies and
29
30 EnVision™ FLEX DAB + Chromogen (Dako). All antibodies used were from Cell Signaling
31
32 Technology® (Danvers, MA, USA) and were diluted in 5% bovine serum albumin in Tris-
33
34 Buffered Saline (TBS; 50 mM Tris, 150 mM NaCl, pH 7.6) as follows: PTEN rabbit
35
36 monoclonal antibody (138G6, cat#9559), 1:100; pAKT (Ser473) rabbit monoclonal antibody
37
38 (736E11, cat#3787), 1:50; PI3K p110α rabbit monoclonal antibody (C73F8, cat#4249),
39
40 1:200. A section was also stained with H&E stain to confirm diagnosis and enable correlation
41
42 of immunohistochemical staining with histology.
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47 *Immunohistochemical scoring.* All slides were assessed blinded to clinical data. PTEN and
48
49 p-AKT staining were scored using an intensity scale ranging from 0 to 3: 0, no appreciable
50
51 staining in tumour cells; 1, barely detectable staining in tumour cells; 2, readily appreciable
52
53 staining in tumour cells; 3, intense staining in tumour cells. For purposes of analysis, all
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55 cases staining at level 0 or 1 were grouped as pAKT low and all cases staining at level 2 and
56
57 level 3 were grouped as pAKT high. For PTEN scoring cases staining at level 2 or 3 were
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3 considered as normal expression and cases staining at level 0 or 1 were considered to have
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5 PTEN loss. For PIK3CA protein (p110 α staining), each TMA spot was assigned an intensity
6
7 score from 0-3 as above (I_0, I_{1-3}), and the proportion of the tumour staining for that intensity
8
9 was recorded as 5% increments from a range of 0-100 (P_0, P_{1-3}). A final 'H score' (range 0-
10
11 300) was obtained by adding the sum of scores obtained for each intensity and proportion of
12
13 area stained ($H \text{ score} = I_1 \times P_1 + I_2 \times P_2 + I_3 \times P_3$). p110 α expression was grouped as low p110 α
14
15 expression ($H \leq 200$) and high p110 α expression ($H \text{ score} \geq 200$).
16
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18 19 **Drug assays**

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21
22 *Drugs and reagents.* LY294002 (Calbiochem – EMD Millipore, Billerica, MA, USA), 5-
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24 Fluorouracil (5-FU) (Sigma-Aldrich, Sydney, NSW, Australia) and oxaliplatin (Eloxatin[®],
25
26 Sanofi, Macquarie Park, NSW, Australia) were diluted in dimethyl sulfoxide (DMSO) to
27
28 create a stock that was stored according to the manufacturer's instructions. Alamar Blue was
29
30 purchased from Invitrogen[™] (Life Technologies[™], Mulgrave, VIC, Australia).
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32

33
34 *Cytotoxic assay.* The cytotoxicity of chemotherapeutic agents (5-FU and oxaliplatin) or PI3K
35
36 inhibitor (LY294002) was tested using nine gastric cancer cell lines. Serial drug
37
38 concentrations were used to determine the IC₅₀ of each cell line for 5-FU, oxaliplatin and
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40 LY294002. The gastric cancer cells were seeded at the optimal density and incubated at
41
42 37°C, 5% CO₂ overnight to allow for cell attachment. The cells were then treated with drugs
43
44 in serial diluted concentrations, or DMSO as control, and incubated for 72 hours before
45
46 adding 10% volume of Alamar Blue[®] and incubated for a further 6 hours. Finally, the
47
48 fluorescent intensity of cells was measured in an OPTIMA micro-plate reader using a
49
50 fluorescent excitation wavelength of 540-570 nm. The IC₅₀ of each drug for each cancer cell
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52 line was calculated based on the proportion of fluorescent intensity of treated cells and
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54 control cells. Finally, drug combinations were tested at constant ratios based on the estimated
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3 IC₅₀ of each drug for each cancer cell line. All experiments were carried out in triplicate and
4
5 replicated at least twice.
6

7
8 *Data analysis.* The calculations of IC₅₀ and combination index were based on Chou and
9
10 Talalay theory and CalcuSyn software version 2.1 [16]. Doses were calculated by the
11
12 formula: $D = D_m [Fa / (1 - Fa)] / m$; where D_m is IC₅₀, the dose required for 50% growth
13
14 inhibition, Fa is the fraction affected and m is the slope. The combination index (CI) was
15
16 calculated using the following formula:
17

$$18 \quad CI = [(D)1 / (Dx)1] + [(D)2 / (Dx)2] + [a(D)1(D)2 / (Dx)1(Dx)2];$$

19
20 Where $a=1$ for mutually non-exclusive drugs, (D)1 and (D)2 are the doses of the separate
21
22 drugs and the combination, and (Dx)1 and (Dx)2 are the doses resulting in a growth
23
24 inhibition of $x\%$. A CI of < 0.9 , $0.9-1.1$, or > 1.1 indicates synergism, additivity, or
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26 antagonism respectively.
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34 RESULTS

35 *PI3K alterations in gastric cancer tissues*

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37 A total of 61 human gastric cancer samples were analysed for alterations in various
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39 components of the PI3K pathway (Table I).
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41
42

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44 DNA extracted from the samples was screened for somatic mutations in exons 9 and 20 of
45
46 *PIK3CA* and exon 4 of *AKT1*, and for *PIK3CA* copy number. Three missense *PIK3CA*
47
48 mutations were identified (4.9% of tumours); one of the mutations was identified in exon 9
49
50 (c.1615C>T; p.P539S) and two were found in exon 20 (c.3140A>G: p.H1047R) (Table 1).
51
52

53
54 No mutations were detected in corresponding adjacent non-malignant mucosa indicating that
55
56 the mutations are somatic. Two tumours were found to harbour silent somatic changes in
57
58 exon 20 (c.3075C>T; p.T1025T) but as this sequence change does not alter the protein
59
60

1
2
3 sequence these were considered likely to be non-pathogenic passenger polymorphisms and
4
5 not considered further. No mutations were detected in *AKT1*.

6
7
8 *PIK3CA* copy number gains were detected in 8 of 61 (13.1%) gastric tumour samples (Table
9
10 1). Seven of the 8 samples with copy number gain also had high (above average) p110 α
11
12 protein expression. None of the tumours with a *PIK3CA* copy number gain harboured a
13
14 *PIK3CA* mutation.

15
16
17 Immunohistochemistry was used to assess the level of PTEN protein expression in 61 gastric
18
19 tumours and identified 24 (39%) with loss of PTEN protein (Figure 1). Interestingly, two of
20
21 these tumours also had *PIK3CA* copy number gain but none harboured a *PIK3CA* mutation
22
23 (Table 1).

24
25
26 pAKT levels were examined as a surrogate marker for PI3K pathway activity. Twenty eight
27
28 tumours (46%) were classed as having high pAKT levels (Figure 1). These included 6 of the
29
30 8 tumours with *PIK3CA* gain and 2 of the 3 with *PIK3CA* mutation. Interestingly, loss of
31
32 PTEN was significantly associated with low pAKT (Table II).

33
34
35 The changes in PI3K pathway were compared to clinical features of the tumours (Table III).
36
37 Interestingly, there was an association with tumour location with tumours in the cardia
38
39 having significantly less alterations in the PI3K pathway than non-cardia tumours (p=0.03).
40
41 No other significant clinical associations were found but this may be a reflection of the
42
43 relatively small numbers of PI3K alterations in some groups resulting in limited statistical
44
45 power to detect differences (type II error).
46
47
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49 50 51 52 *PI3K alterations in gastric cancer cell lines*

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55 The genetic alterations related to PI3K pathway were also examined in nine human gastric
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57 cancer cell lines. The AGS cell line was found to harbour the *PIK3CA* mutation c.1357G>A
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3 (p.E453K). (We also detected a c.1634A>C base change in *PIK3CA* in this cell line,
4
5 however, the significance of this change is unclear as this has been suggested to be due to the
6
7 presence of a pseudogene on chromosome 22 [17,18].) *PIK3CA* copy number gains were
8
9 identified in KATO-III, GTL-16 and Hs746T (Table IV). All three of the cell lines with
10
11 *PIK3CA* gain had above average expression of p110 α protein as assessed by
12
13 immunohistochemistry (data not shown).
14
15

16
17 The levels of pAKT and PTEN protein were also assessed by immunohistochemistry (Figure
18
19 2). PTEN was found to be lost in 2 cell lines (SNU-16) and MKN-28). pAKT levels were
20
21 found to be high in 6 of the 9 cell lines including all those with *PIK3CA* mutation or gain and
22
23 one of the two with PTEN loss (Table IV).
24
25

26 27 28 29 *Sensitivity of gastric cancer cell lines to the PI3K inhibitor LY294002.*

30
31 LY294002 significantly inhibited the proliferation of AGS, SNU-1, MKN-28, N87, GTL-16,
32
33 and Hs746T with IC₅₀ values of less than 30 μ M. Much higher doses of LY294002 (>500 μ M)
34
35 were required to inhibit the proliferation of the KATO-III, SNU-5, and SNU-16 cell lines
36
37 (Figure 3, Table V).
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40 41 42 43 44 *Synergistic effects of PI3K inhibition with chemotherapeutic agents.*

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46 The combination of LY294002 with oxaliplatin and 5-FU were tested (using constant ratios
47
48 between LY294002 and 5-FU or oxaliplatin) in cell lines with a computable IC₅₀ value. A
49
50 synergistic effect was found in AGS and SNU-1 cell lines when 5-FU was combined with
51
52 LY294002 (combination index <0.9 at effect dose of 75% and 90% growth inhibition).
53
54 Synergism was also detected between LY294002 and oxaliplatin in the Hs746T cell line
55
56 (Figure 4, Table V).
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58
59
60

DISCUSSION

After more than half a decade since first reports of *PIK3CA* mutations in human cancers, genetic alterations in *PIK3CA* have been investigated in large-scale studies in a variety of human cancers as well as in sub-types of individual cancers and in different ethnic groups [5-7,19-22]. COSMIC (Catalogue of Somatic Mutation in Cancer) (<http://www.sanger.ac.uk/genetics/CGP/cosmic/>), the largest public resource for information on somatically acquired mutations in human cancer, currently lists the frequency of *PIK3CA* mutations in stomach cancers as 12% (96/823) while Barbi *et al.* have reported an incidence of 16% (42/262) [23]. The incidence of *PIK3CA* mutation found in gastric cancer in our study (4.9%) is lower than these but is consistent with previous reports by Li *et al.* [12] (4.3%) and Lee *et al.* (5.1%) [11]. In addition, in this study *PIK3CA* copy number gain was found to be more frequent (13.1%) than *PIK3CA* mutations. However, this prevalence was also lower than that previously reported in gastric cancer (36.4%) [9].

A mutation in the *AKT1* gene that results in E17K substitution in the PH domain of *AKT1* has been recently reported in a number of human tumours including breast cancer (5/61; 8.2%), colorectal cancer (3/51; 5.9%) and ovarian cancer (1/50; 2%) [24]. It was also documented in endometrial cancer (2.2%; 2/89) [25]. However, we were unable to detect any E17K mutations in *AKT1* in our gastric cancer cohort. This is consistent with the work of Kim *et al.*, who examined a variety of tumour types and detected *AKT1* E17K mutations in 4 of 93 breast cancers but none of 180 gastric adenocarcinomas [10]. Similarly, Soung *et al.* who found no *AKT1* mutations (but 1 *AKT2* mutation) in 51 gastric cancers [26].

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2
3 PTEN, a well characterised tumour suppressor gene, is a known negative regulator of the
4
5 PI3K pathway and loss of PTEN has been reported in many tumour types [27]. Consistent
6
7 with a previous report [9], we detected PTEN loss in 24 of the 61 tumours (39%).
8
9

10 In general, the abnormalities detected in the PI3K pathway in our study were mutually
11
12 exclusive. Only 2 tumours contained more than one alteration and both of these had *PIK3CA*
13
14 gain and *PTEN* loss.
15
16

17 The overall activity of the PI3K pathway was assessed using the phosphorylation of AKT as
18
19 a surrogate marker of PI3K pathway activity. The number of samples with *PIK3CA*
20
21 mutations was too small to draw any conclusions regarding an association with pAKT levels
22
23 but the 2 tumours with the common H1047R mutation both exhibited above average pAKT
24
25 levels. Also, 6 of the 8 tumours with *PIK3CA* gain had high pAKT, as did the 3 cell lines
26
27 with *PIK3CA* gain. Interestingly, the 2 tumours with *PIK3CA* gain that did not have high
28
29 pAKT were those that had also lost PTEN (Table I). Indeed, in general, PTEN loss was found
30
31 to be significantly associated with low pAKT levels ($p= 0.002$, Table II), a finding that is
32
33 perhaps surprisingly given PTENs known role as a negative regulator of the PI3K pathway.
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35

36
37 However, not only is the regulation of the PI3K pathway complex with abundant cross-talk
38
39 and feed-back loops but Akt is not the only downstream effector of PI3K [28]. It is thus
40
41 possible that in the absence of PTEN the increased PI3K activity in these tumours is directed
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43 through other alternative effector molecules.
44
45

46 Apart from an apparent preponderance of PI3K pathway abnormalities in the non-cardia
47
48 gastric tumours, compared to tumours in the cardia, there were no significant clinical
49
50 associations detected. However, it must be acknowledged that we cannot rule out the
51
52 possibility that our sample numbers may be too small to detect clinically relevant associations
53
54 (type II errors). We are also unable to comment on any potential association between PI3K
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56 changes and survival as we, unfortunately, did not have access to appropriate follow-up data.
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Activation of PI3K pathway in gastric cancer cell lines

In order to identify a model system in which to test potential therapeutic agents, we investigated the activation of the PI3K pathway in a series of established gastric cancer cell lines. Two thirds (6/9) of the cell lines had increased PI3K pathway activity as evidenced by high pAKT (Table IV). In most cases this was consistent with the presence of a detectable molecular alteration in the PI3K pathway (*PIK3CA* mutation or copy number gain or PTEN loss). While this correlation was not absolute, it is possible that some of the cell lines may have PI3K pathway alterations that have not been identified in our limited screen. Nevertheless, the overwhelming evidence for a role for the PI3K pathway activation in the initiation and/or progression of tumourigenesis makes the PI3K pathway a logical target for therapeutic intervention. Consistent with this, many of the cell lines tested in this study were found to be sensitive to the PI3K inhibitor LY294002 (Table IV).

It is noteworthy that two of the gastric cancer cell lines (KATO-III and SNU-16) were found to be insensitive to LY294002 even though they have genetic abnormalities in the PI3K pathway and demonstrated upregulation of pAKT protein. This suggests that the presence of genetic abnormalities in the PI3K pathway may not necessarily be the optimal marker for sensitivity to PI3K inhibitors. Interestingly, both of these cell lines have previously been shown to also harbor a p53 mutation [29,30]. Recently, several studies have reported that LY294002 induces apoptosis in a p53-dependent manner [31-33]. Thus, p53 mutation might be a possible reason for the insensitivity to LY294002 in these cell lines.

In addition to its potential as a direct target, previous studies have demonstrated that PI3K activation is associated with resistance to chemotherapy and radiotherapy [34,35]. This raises the possibility that inhibition of PI3K might be effective in combination with conventional

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2
3 therapeutic agents. Oxaliplatin and 5-FU are conventional cytotoxic agents that are
4
5 commonly used to treat gastric cancers. Our results show that 5-FU in combination with
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7 LY294002 had a synergistic effect in AGS and SNU-1 cell lines, while oxaliplatin and
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9 LY294002 were synergistic in the Hs746T cell line. These observations, although
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11 preliminary in nature, support the exploration of combination therapies using PI3K inhibitors
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13 together with conventional chemotherapeutic agents.
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20 CONCLUSIONS

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22 Our results are consistent with an important role of the PI3K pathway in gastric
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24 tumourigenesis and identify the PI3K pathway as a legitimate therapeutic target in gastric
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26 cancer. Further studies are needed to fully understand the mechanism underlying the action of
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28 the PI3K pathway and to identify patients most likely to respond to PI3K-targeted therapies.
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40 Medical Research Council (NHMRC) of Australia.
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FIGURE LEGENDS

Figure 1. Expression of PI3K pathway proteins in gastric tumours. Tissue microarrays of formalin-fixed paraffin-embedded normal-appearing gastric mucosa and gastric tumour tissue were examined for expression of PI3K pathway proteins. Shown are representative low power and high power images of adjacent normal-appearing mucosa (a,b,e,f,i,j) and primary tumour tissue (c,d,g,h,k,l) immuno-stained for pAKT^{Ser473} (a-d), PTEN (g-h), and p110 α (i-l). Scale bars are 50 μ m.

Figure 2. Expression of PI3K pathway proteins in gastric cancer cell lines. Tissue microarrays of formalin-fixed paraffin-embedded cell pellets of gastric cancer cell lines Kato-III (a, d, g), SNU-16 (b, e, h) and MKN-28 (c, f, i) were examined for expression of PI3K pathway proteins. Shown are representative sections immuno-stained for pAKT^{Ser473} (a-c), PTEN (d-f), and p110 α (g-i). Scale bars are 50 μ m.

Figure 3. Viability of gastric cancer cell lines treated with LY294002. The indicated cell lines were treated with LY294002 for 72 hours and then assessed for cell number using Alamar Blue dye. Data is expressed as % control (no drug) and shown is the mean of triplicate determinations from a representative experiment.

Figure 4. Effect of LY294002 in combination with 5-FU or oxaliplatin on viability of gastric cancer cell lines. Gastric cancer cell lines AGS (a, b), SNU-1 (c, d) and Hs746T (e, f) were treated with a range of concentrations of LY294002 (LY) with 5-FU (a-d) or oxaliplatin (e, f) alone in combination (at a constant ratio) for 72 hours and then assessed for cell number using Alamar Blue dye. CalcuSyn software was used to derive dose-effect curves (a, c, e) and combination index (CI) plots (b, d, f). Horizontal dotted line: CI = 1.0

Table I. Summary of clinical features and PI3K pathway alterations in gastric cancer patients.

| Patient ID | Clinical features | | | | | | PI3K pathway changes | | | |
|------------|-------------------|---------------------|-----------------------|--------------------|-----------------------|--------------------|----------------------|-------------|-----------|------|
| | Age ^a | Gender ^b | Sub-type ^c | Stage ^d | Location ^e | Nodes ^f | PIK3CA mutation | PIK3CA gain | PTEN loss | pAKT |
| 12 | 78 | F | D | IV | Lower | + | H1047R | No | No | High |
| 46 | 45 | M | D | III | Lower | + | P539S | No | No | Low |
| 51 | 65 | M | D | III | Lower | + | H1047R | No | No | High |
| 5 | 85 | F | D | III | Lower | + | No | Yes | No | High |
| 10 | 75 | F | I | IV | Total | + | No | Yes | No | High |
| 23 | 79 | M | I | III | Lower | + | No | Yes | No | High |
| 37 | 77 | F | I | I | Lower | - | No | Yes | No | High |
| 38 | 91 | F | I | I | Lower | - | No | Yes | No | High |
| 45 | 45 | M | D | II | Upper | - | No | Yes | No | High |
| 47 | 71 | F | D | I | Lower | - | No | Yes | Yes | Low |
| 48 | 66 | M | D | II | Lower | - | No | Yes | Yes | Low |
| 1 | 65 | M | D | II | Lower | - | No | No | Yes | Low |
| 2 | 70 | M | D | IV | Lower | + | No | No | Yes | Low |
| 6 | 45 | F | D | I | Lower | - | No | No | Yes | High |
| 14 | 71 | M | I | III | Upper | + | No | No | Yes | Low |
| 16 | 70 | F | D | IV | Lower | + | No | No | Yes | Low |
| 17 | 65 | M | I | II | Lower | - | No | No | Yes | Low |
| 18 | 71 | F | D | III | Lower | + | No | No | Yes | Low |
| 19 | 67 | F | D | III | Middle | + | No | No | Yes | High |
| 21 | 66 | M | I | IV | Lower | + | No | No | Yes | High |
| 28 | 51 | M | D | II | Lower | - | No | No | Yes | Low |
| 33 | 63 | F | D | III | Lower | + | No | No | Yes | High |
| 34 | 85 | M | D | III | Lower | + | No | No | Yes | High |
| 35 | 71 | M | D | III | Lower | - | No | No | Yes | Low |
| 39 | 88 | M | D | III | Lower | + | No | No | Yes | Low |
| 40 | 64 | M | I | III | Upper | + | No | No | Yes | Low |
| 43 | 74 | F | I | I | Lower | - | No | No | Yes | Low |
| 49 | 68 | F | D | III | Lower | + | No | No | Yes | Low |
| 52 | 47 | F | D | III | Lower | + | No | No | Yes | Low |
| 53 | 72 | M | D | IV | Lower | - | No | No | Yes | Low |
| 57 | 74 | F | D | III | Lower | + | No | No | Yes | Low |
| 60 | 83 | M | I | III | Lower | + | No | No | Yes | Low |
| 61 | 74 | M | D | III | Lower | + | No | No | Yes | Low |

^a Age of diagnosis

^b F, female; M, male

^c Lauren Classification: D, diffuse; I, intestinal. No mixed cases were identified in this cohort.

^d TNM stage (AJCC Cancer Staging Manual (6th Edition) [17])

^e Upper, Middle or Lower third of stomach. Total, total stomach involvement.

^f +, positive for lymph node metastasis; -, negative for lymph node metastasis

Table II. Association between PI3K pathway alterations and AKT phosphorylation

| PI3K alteration | Total | pAKT | | <i>p</i> ^a |
|-------------------------------|-----------|------|------|-----------------------|
| | | Low | High | |
| <i>PIK3CA</i> mutation | | | | |
| No | 58 | 32 | 26 | <i>0.59</i> |
| Yes | 3 | 1 | 2 | |
| <i>PIK3CA</i> gain | | | | |
| No | 53 | 31 | 22 | <i>0.13</i> |
| Yes | 8 | 2 | 6 | |
| PTEN loss | | | | |
| No | 37 | 14 | 23 | <i>0.002</i> |
| Yes | 24 | 19 | 5 | |
| Any^b | | | | |
| No | 28 | 13 | 15 | <i>0.31</i> |
| Yes | 33 | 20 | 13 | |

^a two-tailed Fisher's Exact test

^b *PIK3CA* mutation, *PIK3CA* gain, and/or PTEN loss

Table III. Association between clinical features and PI3K pathway alterations in gastric cancer patients

| Clinical features | Total | <u>PIK3CA mutation</u> | | | <u>PIK3CA gain</u> | | | <u>PTEN loss</u> | | | <u>Any alteration^a</u> | | | <u>pAKT</u> | | |
|--|-------|------------------------|---|-----------------------|--------------------|---|-----------------------|------------------|----|-----------------------|-----------------------------------|----|-----------------------|-------------|----|-----------------------|
| | | N | Y | <i>p</i> ^b | N | Y | <i>p</i> ^b | N | Y | <i>p</i> ^b | N | Y | <i>p</i> ^b | L | H | <i>p</i> ^b |
| Age | | | | | | | | | | | | | | | | |
| < 65 | 17 | 16 | 1 | | 16 | 1 | | 12 | 5 | | 10 | 7 | | 8 | 9 | |
| ≥ 65 | 44 | 42 | 2 | 1.0 | 37 | 7 | 0.42 | 25 | 19 | 0.39 | 18 | 26 | 0.26 | 25 | 19 | 0.57 |
| Gender | | | | | | | | | | | | | | | | |
| Male | 38 | 36 | 2 | | 33 | 5 | | 24 | 14 | | 20 | 18 | | 22 | 16 | |
| Female | 23 | 22 | 1 | 1.0 | 20 | 3 | 1.0 | 13 | 10 | 0.79 | 8 | 15 | 0.20 | 11 | 12 | 0.60 |
| Location | | | | | | | | | | | | | | | | |
| Non-cardia | 48 | 45 | 3 | | 41 | 7 | | 26 | 22 | | 18 | 30 | | 26 | 22 | |
| Cardia | 13 | 13 | 0 | 1.0 | 11 | 2 | 1.0 | 11 | 2 | 0.06 | 10 | 4 | 0.03 | 7 | 6 | 1.0 |
| Lauren classification^c | | | | | | | | | | | | | | | | |
| Intestinal type | 20 | 20 | 0 | | 16 | 4 | | 14 | 6 | | 10 | 10 | | 8 | 12 | |
| Diffuse type | 41 | 38 | 3 | 0.54 | 37 | 4 | 0.42 | 23 | 18 | 0.40 | 18 | 23 | 0.79 | 25 | 16 | 0.17 |
| TNM stage^d | | | | | | | | | | | | | | | | |
| Stage I-II | 23 | 23 | 0 | | 18 | 5 | | 16 | 7 | | 13 | 10 | | 12 | 11 | |
| Stage III-IV | 38 | 35 | 3 | 0.28 | 35 | 3 | 0.14 | 21 | 17 | 0.29 | 15 | 23 | 0.29 | 21 | 17 | 1.0 |
| Lymph node metastasis | | | | | | | | | | | | | | | | |
| Negative | 24 | 24 | 0 | | 19 | 5 | | 14 | 10 | | 12 | 12 | | 13 | 11 | |
| Positive | 37 | 34 | 3 | 0.27 | 34 | 3 | 0.24 | 23 | 14 | 0.79 | 16 | 21 | 0.79 | 20 | 17 | 1.0 |

^a PIK3CA mutation, PIK3CA gain, and/or PTEN loss

^b two-tailed Fisher's Exact test

^c No mixed type tumours were identified

^d AJCC Cancer Staging Manual (6th Edition) [17]

Table IV. Summary of PI3K pathway alterations in gastric cancer cell lines

| Cell Line | Morphology | <i>PIK3CA</i> mutation | <i>PIK3CA</i> gain | PTEN loss | pAKT | LY294002 Sensitivity ^a |
|-----------|---------------------------|------------------------|--------------------|-----------|------|-----------------------------------|
| AGS | Moderately differentiated | E453K | no | no | High | Sensitive |
| KATO-III | Signet ring cell | wt | Gain | no | High | Resistant |
| SNU-1 | Poorly differentiated | wt | no | no | Low | Sensitive |
| SNU-5 | Poorly differentiated | wt | no | no | Low | Resistant |
| SNU-16 | Poorly differentiated | wt | no | Loss | High | Resistant |
| MKN-28 | Poorly differentiated | wt | no | Loss | Low | Sensitive |
| N87 | Well differentiated | wt | no | no | High | Sensitive |
| GTL-16 | Poorly differentiated | wt | Gain | no | High | Sensitive |
| Hs746T | Poorly differentiated | wt | Gain | no | High | Sensitive |

^a Sensitive, IC₅₀ <30μM; Resistant, IC₅₀ ≥30μM

Table V. IC₅₀ and Effect of the combination between LY294002 and 5-FU or oxaliplatin in gastric cancer cell lines

| Cell line | <u>5-FU</u> | <u>Oxaliplatin</u> | <u>LY294002</u> | <u>LY294002 and 5-FU</u> | | | <u>LY294002 and Oxaliplatin</u> | | |
|-----------|-------------------------------|--------------------|------------------|-------------------------------|------------------|------------------|---------------------------------|------------------|------------------|
| | IC ₅₀ ^a | IC ₅₀ | IC ₅₀ | ED ₅₀ ^b | ED ₇₅ | ED ₉₀ | ED ₅₀ | ED ₇₅ | ED ₉₀ |
| AGS | 1.3 | 1.4 | 22 | 2.8 | 0.17 | 0.010 | 6.2 | 10 | 28 |
| KATO-III | >500 | >500 | >500 | NA ^c | NA | NA | NA | NA | NA |
| SNU-1 | 1.6 | 1.9 | 13 | 5.7 | 1.7 | 0.85 | 0.55 | 6.3 | 73 |
| SNU-5 | >500 | >500 | >500 | NA | NA | NA | NA | NA | NA |
| SNU16 | 8.7 | 21 | >500 | NA | NA | NA | NA | NA | NA |
| MKN-28 | 1.0 | 7.9 | 22 | 4.1 | 3.0 | 2.2 | 2.5 | 2.4 | 2.3 |
| N87 | 2.3 | 1.4 | 16 | 1.1 | 2.2 | 6.3 | 45 | 35 | 34 |
| GTL-16 | 0.3 | 0.1 | 8.1 | 2.3 | 2.0 | 1.6 | 2.4 | 2.7 | 2.9 |
| Hs746T | 22 | 86 | 20 | 1.1 | 3.0 | 8.7 | 0.62 | 0.79 | 1.0 |

^a IC₅₀ = 50% inhibition concentration (μM)

^b ED₅₀, ED₇₅ and ED₉₀ = Combination index at effective dose of 50%, 75% and 90% growth inhibition, respectively.

^c NA = not available

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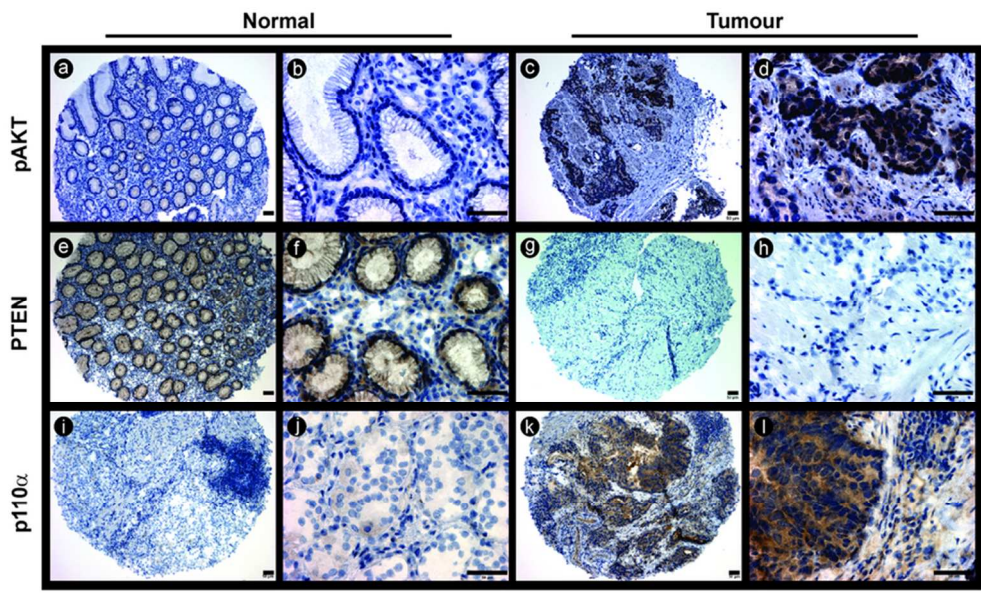


Figure 1
78x47mm (300 x 300 DPI)

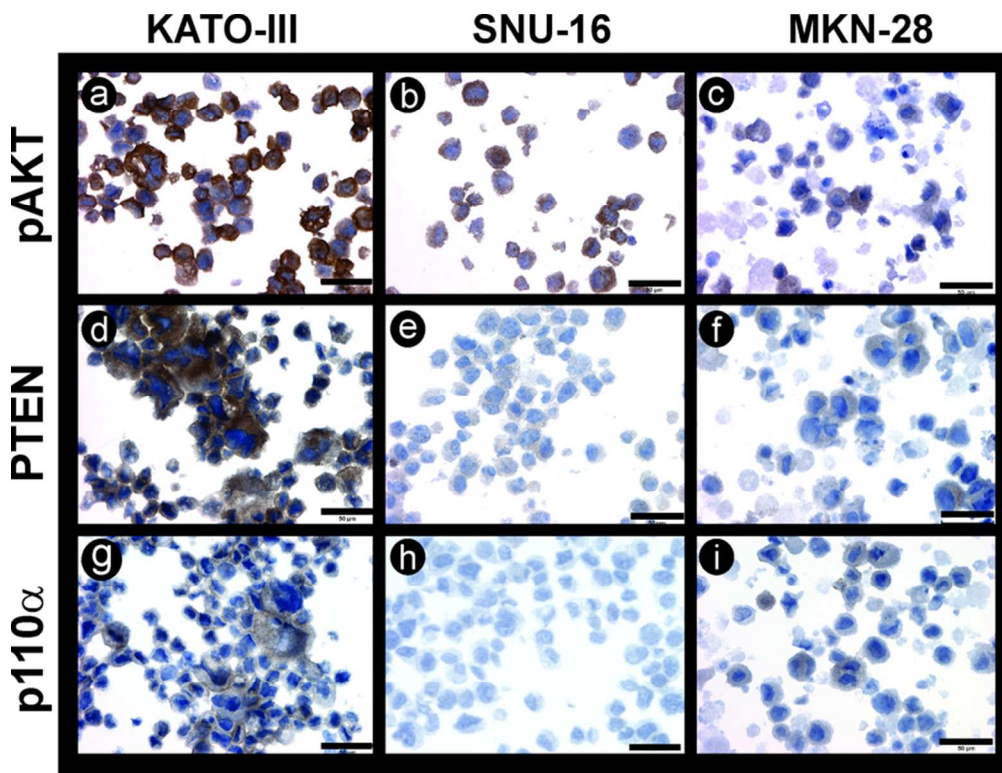


Figure 2
76x57mm (300 x 300 DPI)

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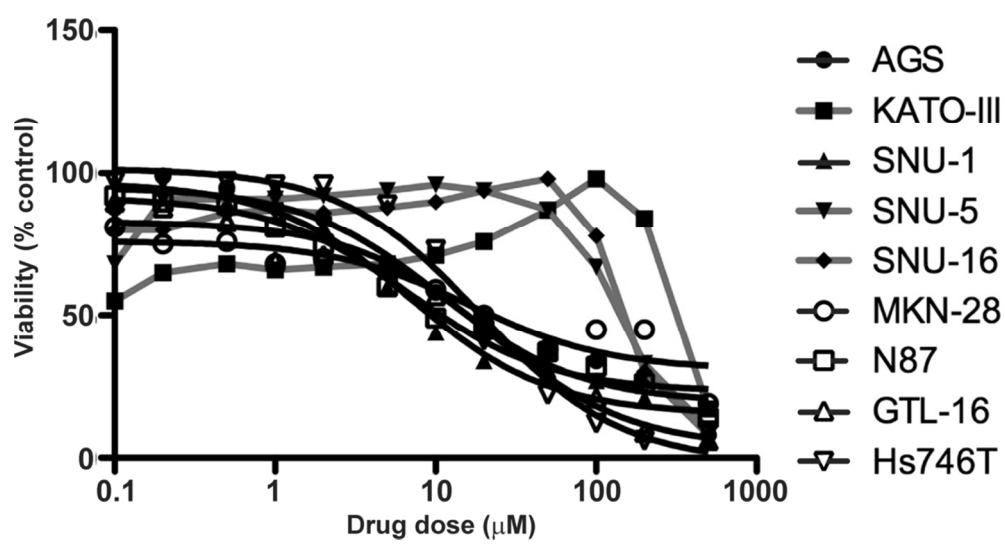


Figure 3
52x27mm (600 x 600 DPI)

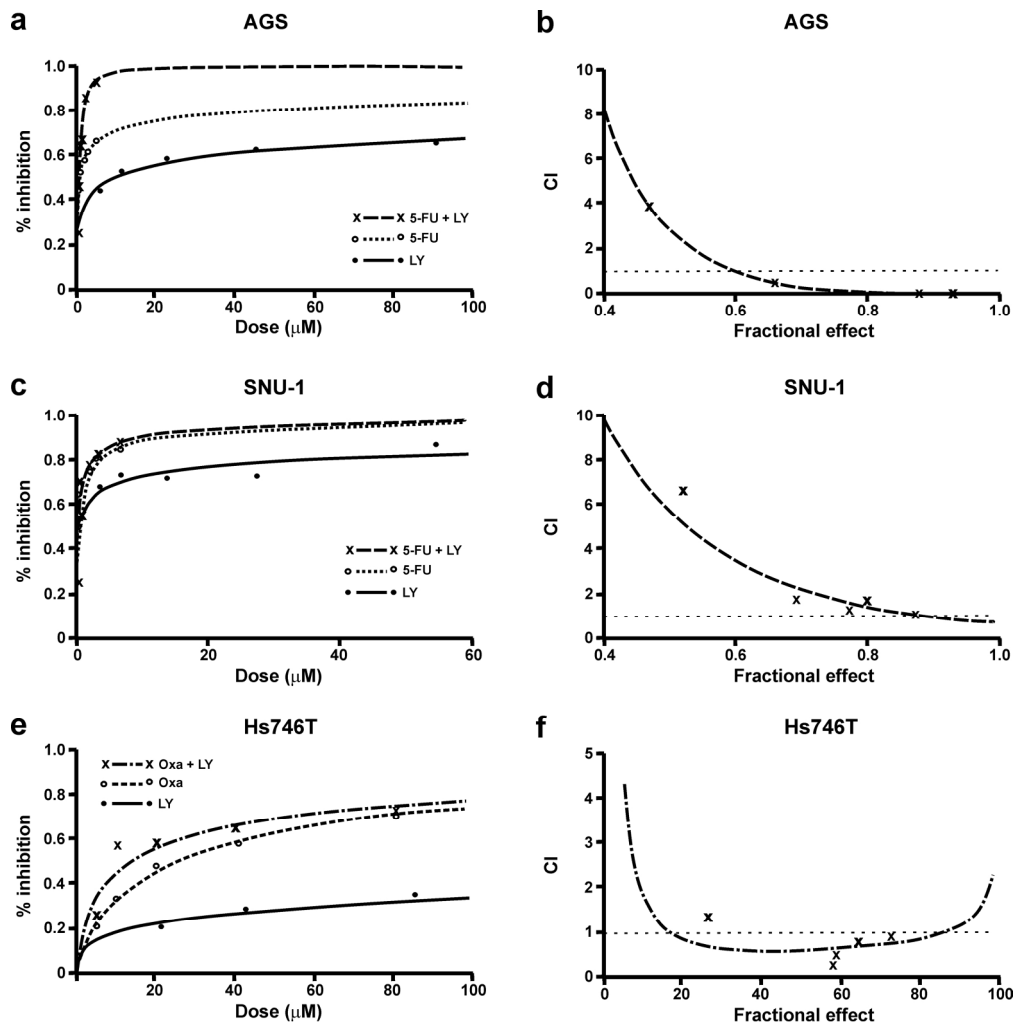


Figure 4
101x102mm (600 x 600 DPI)

