

**Outcomes following management of Squamous Cell
Carcinoma of the Scalp: a retrospective series of 235 patients
treated at the Peter MacCallum Cancer Centre.**

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7

8 **Abstract**

9 **Background**

10 Squamous cell carcinoma (SCC) of the scalp is a common clinical problem in an
11 aging population. Despite its high incidence, little has been documented regarding
12 treatment or outcomes.

13 **Methods**

14 We retrospectively analysed 235 cases treated with curative intent at Peter
15 MaCallum Cancer Centre, between 1998 and 2010. The cohort was analysed for
16 demographics, management, survival and prognostic factors.

17 **Results**

18 The patients were primarily male (88%) with a median age of 79yrs (range 53-98).
19 There was a high proportion of patient immunosuppression (29%) and stage T2
20 (48%) tumours. Management included surgery (45%), radiotherapy (28%) and
21 surgery and adjuvant radiotherapy (26%). Median follow up from treatment was
22 4.5yrs. Estimated 5yr overall survival (OS), disease specific survival (DSS) and
23 progression free survival (PFS) were 59%, 94% and 51% respectively. The 5yr
24 cumulative incidence of local and regional relapse was 11.1% and 6.9% respectively.
25 There were 4 patients who developed distant metastases and died of their disease.
26 Statistically significant prognostic factors identified for poor outcomes for OS and
27 PFS were T2 stage (Hazard ratio 1.7 and 2.1) and immunosuppression (Hazard ratio
28 3.3 and 3.4).

29 **Conclusions**

30 We conclude the presence of immunosuppression and T2 stage is prognostic for
31 survival. Further research to establish treatment principles is warranted.

32

33 **Introduction**

34 Squamous cell carcinoma (SCC) of the scalp is a significant issue in Australia (1, 2).
35 Lesions on the scalp account for 2% of all skin cancers and 10-20% of lesions in the
36 head and neck region (3, 4). The incidence of SCC is significant in the elderly
37 population (2) with men at particular risk of primary lesions on the scalp due to
38 chronic sun exposure of this area. Clinical outcomes are assumed to be similar to
39 SCC involving other parts of the head and neck region, with most series reporting all
40 head and neck SCC's in the same cohort.

41

42 Few studies address the challenges of management and outcomes in the treatment
43 of SCC of the scalp. In a large review of the Finnish cancer registry from 1967 to
44 1981, men with SCC of the scalp had a worse overall survival compared to the
45 general cohort (80.2% vs. 87.8%) (5). Multiple publications have proposed the scalp
46 as a high-risk site for SCC (6, 7, 8, 9), however the number of scalp cases analysed
47 were small. The 7th edition of the Tumour Node Metastases (TNM) classification for
48 NMSC by the American Joint Committee on Cancer (AJCC) incorporates risk factors
49 for nodal metastases disease into the T stage in addition to tumour size (10). The
50 scalp has not been included as a 'high-risk' site in the updated AJCC staging system.
51 The National Comprehensive Cancer Network (NCCN) recommends SCC be
52 stratified into a low or high risk of recurrence based on a tiered classification of
53 anatomical sites, defining scalp SCC's as medium risk (11).

54

55 Due to the limited data available in the literature on this topic and inconsistency of
56 recommendations, we performed a retrospective review of our practice to determine
57 outcomes for patients with scalp SCC particularly with respects to patients with
58 immune-suppression.

59

60 **Methods**

61 We conducted a retrospective review of patients with histologically proven, primary
62 SCC of the scalp treated with curative intent between 1998 and 2010 at the Peter
63 MacCallum Cancer Centre (PMCC), which is a large tertiary referral cancer hospital.

64

65 Patients were identified using the hospital's Division of Pathology and Radiation
66 Oncology databases. Patient demographics, primary tumour characteristics and
67 outcomes were recorded. We considered immuno-suppression as; co-existing
68 haematological malignancy (including Hodgkin's/non-Hodgkin's lymphoma, chronic
69 lymphocytic leukemia), immunosuppressant use (defined as prednisolone 5mg or
70 more a day and/or other immunosuppressive agents for longer than one year), and
71 end stage renal failure requiring dialysis. Tumour factors collected are reported in
72 Table 1 and included SCC grade defined as G1 (well differentiated), G2 (moderately
73 differentiated) and G3 (poorly differentiated). T stage was defined using the 7th
74 edition TNM staging for NMSC (9), which allows T1 tumours <2cm in diameter to
75 upstaged to T2 if they have 2 of the following features; >2mm thickness, Clark level
76 >IV, perineural invasion, primary site ear or hair bearing lip, poorly differentiated or
77 undifferentiated.

78 Primary treatment was recorded as surgery, radiotherapy or surgery followed by
79 adjuvant radiotherapy. The treatment start date was taken as the date of surgery or
80 the first day of radiotherapy. A recurrence was defined as local (within 2cm of the
81 primary lesion), regional (first echelon lymph node metastases) or metastatic (beyond
82 the first echelon lymph node region).

83

84 Overall survival (OS), disease specific survival (DSS) and progression free survival
85 (PFS) were calculated from the date of treatment, and the survival curves were
86 reported using Kaplan-Meier methods. Log rank test was used to assess the impact
87 of risk factors on OS and PFS for univariate analysis while Cox proportional hazard
88 models were used for multivariate analysis. All statistical analyses were performed in
89 R (version 3.0.1; R Development Core Team 2009).

90

91

92 **Results**

93 **Patient characteristics**

94 Data was collected for 235 patients. The majority of patients (88%) were male and
95 the median age at diagnosis was 79 years (range 53-98). Immunosuppression was
96 present in 29% (Table 1).

97

98 **Primary lesion characteristics**

99 The primary lesions characteristics are shown in Table 1. Despite the majority of
100 lesions being less than 20mm (63%), the proportion of stage T2 lesions was 52%
101 due to 78% of lesions graded as G2 and G3. Depth of invasion and presence or
102 absence of in-situ disease was rarely recorded in pathology reports or notes and
103 therefore not included in the analysis. No patients were found to have had nodal
104 disease at the time of first presentation.

105

106 **Treatment**

107 Of the 235 patients analyzed, 45% had definitive surgery, 28% had definitive
108 radiotherapy and 26% had surgery followed by adjuvant radiotherapy. In patients
109 who had surgery as primary therapy, margin status was difficult to assess, as this
110 information was not documented in 29% of reports. Of the cases in which margin
111 status was available, 24% were noted to be positive and the majority of these (78%)
112 had been referred directly for adjuvant radiotherapy following surgery performed
113 outside of our institution. Re-excision was performed by our surgeons in 9 patients
114 and clear margins achieved in 4. All patients that did not have a re-excision received
115 adjuvant radiotherapy.

116 Radiotherapy was delivered with adjuvant intent to the primary tumour bed in 26%
117 with a range of dose from 32Gy to 66Gy. Prophylactic radiotherapy was delivered to
118 draining lymph node basins in only 3 cases relapsed cases receiving salvage
119 treatment, with doses ranging from 55Gy to 60Gy. Definitive radiotherapy was
120 delivered in 28% of cases with a range of dose from 32Gy to 70Gy.

121

122 **Survival**

123 The median follow up time from date of first treatment was 4.5 years. The estimated
124 5 year overall survival (OS), disease specific survival (DSS) and progression free
125 survival (PFS) was 59%, 94% and 51% respectively (see Figure 1, 2, and 3).

126

127 **First site of relapse**

128 Recurrence overall was documented in 35 (14.9%) patients following treatment, with
129 21 local and 14 regional relapses. The estimated cumulative incidence of local and
130 regional relapse at 5yrs was 11.1% and 6.9% respectively. The majority of
131 recurrences occurred in the first year (local 7.3% and regional 4.5%), and the
132 incidence of recurrence reached a plateau at 3 years.

133 **Local relapse**

134 The characteristics of the 21 cases where local relapse was the initial site of failure
135 are presented in Table 2. Most were stage T2 and did not receive adjuvant
136 radiotherapy. Of the patients who recurred locally, 14% were immunosuppressed.

137 **Regional relapse**

138 The characteristics of cases where regional nodal relapse was the initial site of failure
139 are presented in Table 2. These were similar to those associated with local relapse,
140 apart from immunosuppression which was present in 50% of patients with regional
141 relapse versus 29% with local relapse. The majority of these cases (9/14) had
142 received adjuvant radiotherapy to the primary site, but none had received
143 prophylactic treatment to draining lymph node basins.

144 **Salvage and second relapse**

145 Curative treatment was attempted in all 35 patients after an initial relapse. Of the 21
146 patients that developed a local recurrence and received salvage treatment, 4
147 relapsed again (3 adjacent lymph nodes, 1 distant). Of the 14 patients that developed
148 a regional recurrence and received salvage treatment, 5 relapsed again (2 in regional
149 lymph nodes, 3 distant).

150 **Distant relapse**

151 There were no cases of distant recurrence as the initial site of relapse. All 4 patients
152 who developed distant metastases following initial or local relapse, died of their SCC
153 scalp.

154

155 **Prognostic factors**

156 On univariate analysis the factors significant for poorer outcome for OS were
157 immunosuppression, T stage, size and type of treatment (Table 3), and these same
158 factors in addition to positive margins and presence of LVI were significant for poorer
159 outcome for PFS (Table 4). On multi-variate only immunosuppression and T stage
160 remained significant for both.

161

162 For OS the hazard ratio (HR) for immunosuppression in the multi factor model was
163 3.4 [95% CI (2.2 – 5.1)] and the HR for T stage in the multifactor model was 1.7 [95%
164 CI (1.1 – 2.5)]. For PFS the HR for immunosuppression in the multifactor model was
165 3.3 [95% CI (2.3 – 4.9)] and the HR for T stage in the multifactor model was 2.1 [95%
166 CI (1.4 – 3.1)].

167

168 The 5yr DSS for Stage T1 versus T2 was 100% and 88% respectively (Figure 4).

169 The 5yr DSS for immune competent patients versus immune compromised patients
170 was 96% and 87% respectively (Figure 4).

171

172

173 The 5yr PFS for Stage T1 versus T2 was 67% and 36% respectively (Figure 5). The
174 5yr PFS for immune competent patients versus immunocompromised patients was
175 62% and 27% respectively (Figure 5).

176

177 **Discussion**

178 To our knowledge this is the largest reported cohort of patients (n=235) with primary
179 scalp SCC treated with curative intent. The majority of our patients were elderly men,
180 and were managed with surgery, radiotherapy or a combination of both modalities,
181 consistent with other reports. No patients had nodal disease at presentation, and the
182 role of staging was not assessed. We had a large representation of patients with high
183 risk disease with 52% of tumours being T2 and 78% being moderately or poorly

184 differentiated, and almost a third of patients (29%) being immunosuppressed. This
185 may reflect the referral patterns and nature of our unit, which is within the context of a
186 large 'cancer only' hospital.

187

188 On reviewing the literature we have found only 3 other small studies addressing
189 scalp specific populations, and another 2 addressing general skin cancer populations
190 which included scalp patients. A comparison of these studies and ours are provided
191 in Table 5. Mohs et al reported on a cohort of 83 scalp patients with good outcomes
192 following Mohs surgery (12). Lang et al reported on a series of 11 scalp cases
193 treated with Mohs microsurgery, which then progressed in an aggressive fashion.
194 They noted a higher than expected rate of death due to SCC (5/11 patients) but
195 prognostic factors were poorly reported (4). Howle et al reported on the outcomes
196 and prognostic factors for 27 patients who developed metastatic nodal disease from
197 a scalp SCC primary. The 5yr DSS was 59% reflecting the impact of nodal relapse
198 on survival outcomes (13). Schumults et al (14) assessed 985 patients with 1832
199 treated invasive SCC's from all sites, with a median follow up of 50 months. The OS,
200 loco- regional DFS and DSS at 5 years were 68%, 96% and 97% respectively.
201 Overall local recurrence was 4.6%, nodal recurrence was 3.7% and 2.1% of patients
202 died as a result of their SCC. Brantsch et al (15) reported on a prospective trial of
203 615 patients, documenting local and regional recurrence rates of 3% and a 4%.

204

205 The survival outcomes were slightly worse for our cohort of scalp SCC patients in
206 comparison to those previously published. The 5yr OS of 59% and DSS of 94%
207 suggest only a small proportion of patients died from their SCC scalp specifically,
208 with the majority succumbing to age related co-morbidities. However, overall
209 recurrence was 15% in our cohort, compared to 4-5% noted in series assessing SCC
210 from all sites (14, 15). PFS at 5yrs was 51% indicating that the likelihood patients
211 would relapse following initial treatment was high, but in most cases this was
212 successfully salvaged. Our poorer control and survival outcomes are most likely a
213 reflection of selection bias, as we demonstrated a statistically significant reduction in
214 PFS and OS in the setting of increased stage of the primary lesion above T2 (HR
215 2.1), and in the presence of host immunosuppression (HR 3.3). We had high
216 proportions of both these prognostic factors in our group.

217

218 Our finding that T2 primary lesions are associated with a worse OS and PFS
219 indicates that accurate T staging of primary lesions is important for prognostication
220 and treatment planning. Despite this, a third of pathology reports in our cohort did not
221 document prognostic factors for accurate T staging of SCC with depth of invasion,
222 status of PNI and LVI and margin status often omitted. Synoptic reporting is currently
223 recommended for melanoma, and there may be a role for a similar type of report in
224 for SCC, to ensure that the small percentage of 'high-risk' lesions are easily identified
225 and managed appropriately at diagnosis.

226

227 Following accurate staging, adequate surgical management of the primary lesion is
228 the gold standard of treatment. The impact of margin status on outcomes remains
229 unclear, and the heterogeneity and poor documentation of the margin status in our
230 cohort makes the results difficult to interpret. Of the 41 patients with documented
231 positive margins, the majority of cases were positive at the deep margin (32/41),
232 which is not un-expected for scalp lesions. In the group identified by Howle et al (7),
233 a high proportion of patients with nodal spread had close or positive margins (51%)
234 following treatment of the primary lesion. In the absence of clear evidence, basic
235 oncological principles indicate clear margins should be the surgical goal. This
236 approach is supported by surgical series suggesting that clear margins are
237 associated with very low rates of recurrence, particularly if margins of $>2-4$ mm can
238 be achieved (16, 17, 18, 19). In order to achieve this in the scalp, it may be
239 necessary to consider re-excision, removal of periosteum or even removal of the
240 outer table of the skull.

241

242 Host immunosuppression is now accepted as an important risk factor for adverse
243 outcomes following management of SCC, and our results have further confirmed this.
244 Immunosuppression is associated with an increased risk of nodal metastases, distant
245 metastases and death from SCC as shown by multiple studies (20, 21, 22, 23). In our
246 series, the 5yr PFS for immune-competent versus immune-compromised patients
247 was 62 vs. 27%, with half of the patients who developed regional recurrence being

248 immuno-compromised. Although deaths from SCC are rare, in our and other cohorts
249 most patients who died from their disease did so in the setting of nodal metastases
250 (7, 24, 25).

251

252 Although not statistically significant, patients in our study who developed recurrent
253 disease had poorer survival outcomes following salvage treatment with high rates of
254 regional (14.3%) and distant relapse (11.4%). Lebovitch et al demonstrated a
255 statistically significant increased risk of recurrence of 6.9% vs. 2.6% in patients
256 treated for recurrent versus primary SCC (26). Mohs et al demonstrated a 5year cure
257 rate of SCC of the scalp was 98.8% following Mohs microsurgery. However the
258 presence of recurrent disease reduced the chance of cure to 90% (12). This
259 suggests that prevention of local and regional relapse following the first presentation
260 may be of significant value. The role of prophylactic surgery or radiotherapy of the
261 clinically node negative neck remains unclear in this setting due to a lack of high
262 quality clinical data. Only 3 of our patients received prophylactic nodal radiotherapy
263 to draining lymph nodes at relapse, and none at first presentation, so we are unable
264 to comment on its efficacy in this setting. However, elective nodal irradiation has
265 been addressed in other series of SCC patients, showing it can reduce the risk of
266 regional lymph-node recurrence in patients with high risk primary disease (27, 28,
267 29). Therefore, it could be considered in high-risk cases, but patients must be
268 selected following an individualized risk: benefit analysis, acknowledging that
269 enlarging treatment fields can have a significant impact on toxicity and quality of life,
270 which may not be appropriate in some patients. More accurate nodal staging may
271 aid in better patient selection for aggressive treatment. Sentinel lymph node biopsy
272 (SLNB) in the staging of SCC has been investigated in small series and may be
273 feasible, identifying occult nodal metastases in 20% of cases (30). Where available
274 this could be useful in the setting of scalp SCC where lymphatic drainage is
275 unpredictable, and could aid patient selection for elective nodal treatment versus
276 observation.

277

278 In summary, we have presented a large cohort of scalp SCC cases and found that,
279 although the majority of patients do well, there is a group who may have significantly

280 poorer outcomes. Patients with T2 primary lesions and immunosuppression have a
281 lower OS and PFS, and although there is no evidence at this point that more
282 aggressive management of these patients will result in improved survival outcomes, it
283 is reasonable to offer treatments aimed at maximizing loco-regional control. SCC's
284 of the scalp will become a more common problem with an aging population, and
285 future research into the utility of staging and prophylactic nodal treatment of the
286 clinically negative neck is warranted.

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404

405 **Figures**

- 406 Figure 1 Overall survival (OS) for primary SCC of the scalp
- 407 Figure 2 Disease specific survival (DFS) for primary SCC of the scalp
- 408 Figure 3 Progression free survival (PFS) for primary SCC of the scalp
- 409 Figure 4 Disease specific survival (DSS) according to T stage and
410 immunosuppression status

411 Figure 5 Progression free survival (PFS) according to T stage and
412 immunosuppression status

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Table 1. Characteristics of 235 patients and primary cSCC scalp lesions.

Characteristic		Number	Percentage
Gender	Male	207	88%
	Female	28	12%
Immunosuppression	Yes	67	29%
	Co-existing malignancy	49/67	73%
	Chronic immunosuppressant's	16/67	24%
	End stage renal failure	2/67	3%
Size	20mm or less	149	63%
	>20mm	86	37%
Grade	G1	38	22%
	G2	77	46%
	G3	54	32%
Margin status	Positive	41	24%
	Negative	128	76%
Positive margin type	Deep	32	78%
	Radial	9	22%
Perineural invasion	Positive	29	12%
	Negative or not reported	206	88%
Lymphovascular invasion	Positive	15	6%
	Negative or not reported	220	96%
T stage	T1	111	48%
	T2	122	52%

	T4	2	<1%
Treatment modality	Surgery alone	105	45%
	Radiotherapy alone	66	28%
	Surgery and adjuvant radiotherapy	62	27%

*35/49 (72.5%) patients with co-existing malignancy had hematological malignancy

Table 2. Characteristics of local and regional relapse as the first site of recurrence following definitive surgery of the primary lesion

	Local	Regional
Variable	Count	Count
Size: median (range)	22 (7 - 80mm)	8 (5 - 12mm)
Grade		
1	5	1
2	11	4
3	5	7
Margin		
Positive	4	6 [£]
Negative	7	8
PNI [¥]		
Positive	4	3
Negative	6	10
LVI [¥]		
Positive	3	5
Negative	7	8
T stage		
I	7	0
II	14	14
Adjuvant RT (primary only)		
Yes	5	9
No	16	5
Immunosuppression		
Yes	6	7
No	15	7

[£] 4 of the 6 positive margins were deep

Table 3. Unifactor analysis of possible risk factors for overall survival.

Variable	Category	Coun		HR (95% CI)	p-value
		t	%		
Immunosuppression	No	168	71	1	<0.001
	Yes	67	29	3.3 (2.2 - 5.0)	
T Stage ¹	Stage 1	111	48	1	0.013
	Stage 2	122	52	1.7 (1.1 - 2.6)	
Grade ²	Grade 1	38	22	0.9 (0.7 - 1.3)	0.608
	Grade 2	77	46		
	Grade 3	54	32		
Size	20mm or less	149	63	1	0.013
	More than 20mm	86	37	1.7 (1.1 - 2.6)	
Margins positive ³	No	128	76	1	0.399
	Yes	41	24	1.3 (0.7 - 2.1)	
Margin type ⁴	Deep	32	78	1	0.737
	Radial	9	22	0.8 (0.3 - 2.4)	
Closest deep margin ⁵	<1mm	27	71	1	0.660
	1mm or more	11	29	0.8 (0.3 - 2.4)	
PNI	No	206	88	1	0.247
	Yes	29	12	1.4 (0.8 - 2.4)	

LVI	No	220	94	1	0.899
	Yes	15	6	0.9 (0.4 - 2.2)	
Treatment	RT	66	28	1	0.031
	Surgery	105	45	0.6 (0.3 - 0.9)	
	Surgery + RT	62	27	0.9 (0.5 - 1.7)	

Table 4: Unifactor analysis of possible risk factors for progression free survival.

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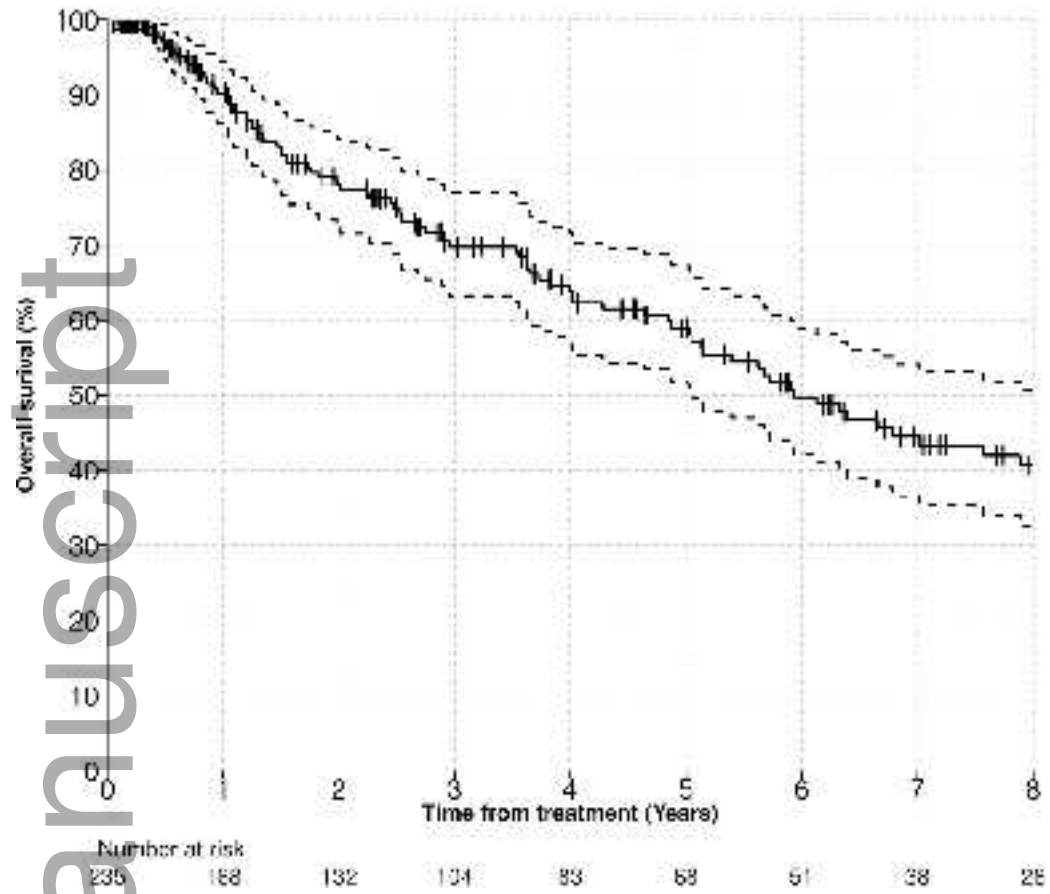
Table 5: Comparison of series data

Prognostic factors	Moh et al 1981	Lang et al 2006	Howle et al	Brantsch et al 2008	Schmults et al 2013	Estall et al 2014
Total number	83	11	27	653	1832	235
Scalp specific	Yes	Yes	Yes	ND	ND	100%
H&N specific	NA	NA	NA	ND	28.7%	NA
Male		55%	96%	63%	52.7%	88%
Size >20mm		ND **	50%	31%	12.9%	37%
MD/PD		36%	71%	47%	33.6%	78%
PNI		ND	ND	8%	4.3%	12%
LVI		ND	ND	ND	ND	6%
+margin		ND	51%	0	ND	24%
Immunosuppressed		ND	3%	5%	14.5%	29%
Excision/Mohs alone	100%	100%	77%	100% (with clear margins)	89%	45%
Radiotherapy alone		0		0	0	28%
Excision/Mohs + adjuvant RT		0	23%	0	1.2%	27%
Other/not documented		0	0	0	8.8%	0
5yr OS					69%	59%
5yr PFS	98.8%			97%	83%	51%
5yr DSS		54%	59%		97.5%	94%

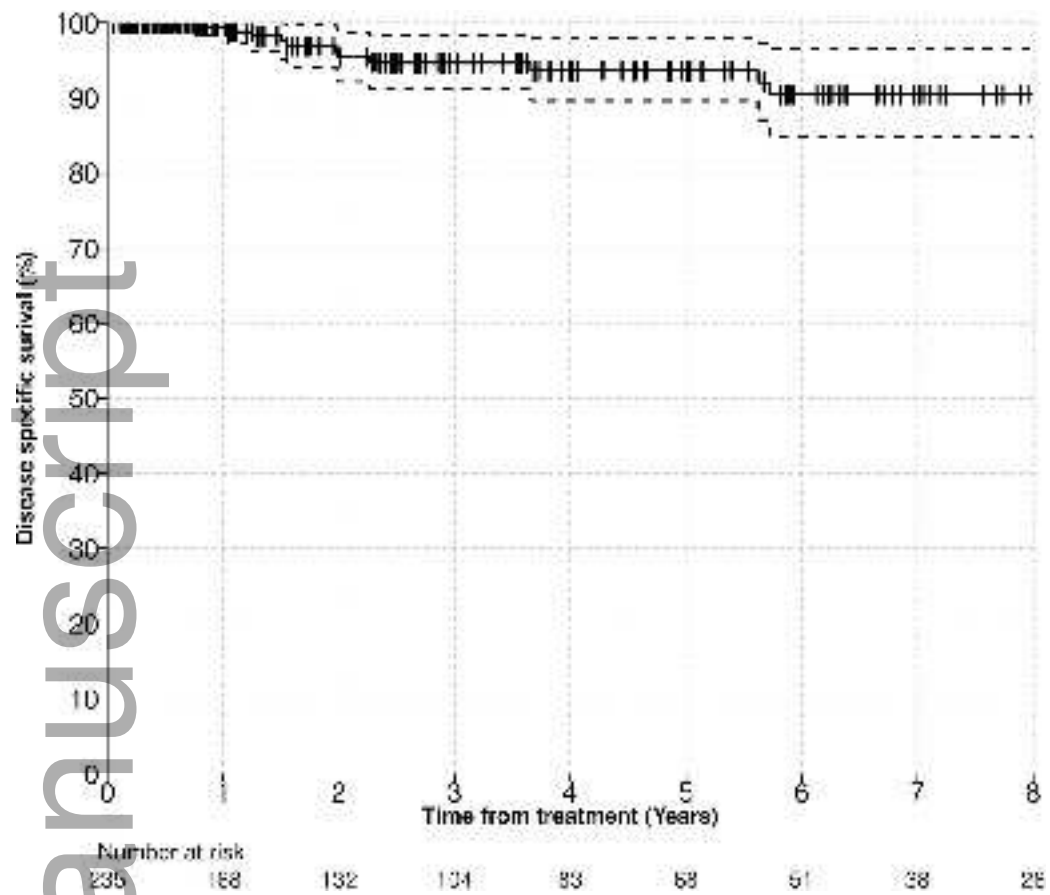
Local recurrence		73%		3%	4.6%	10.3%
Nodal recurrence		27%*	100%	4%	3.7%	6.9%

*8/11 patients developed satellite lesions and dermal spread, 3/11 developed nodal disease

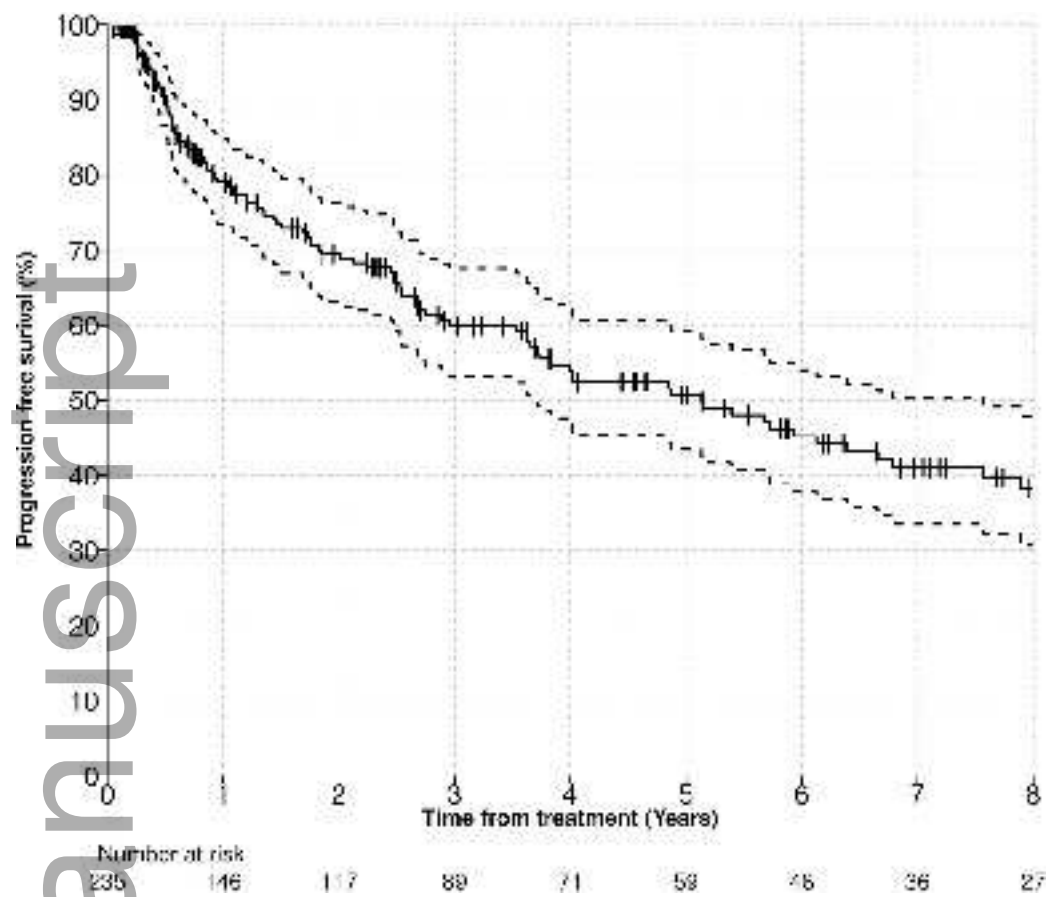
** not documented



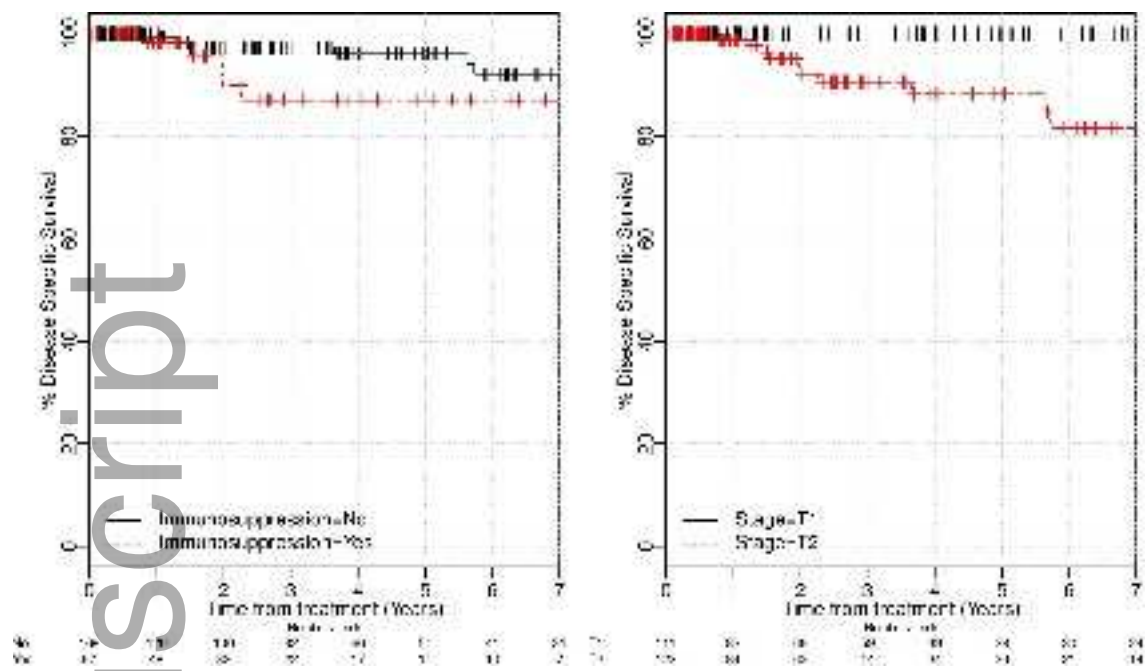
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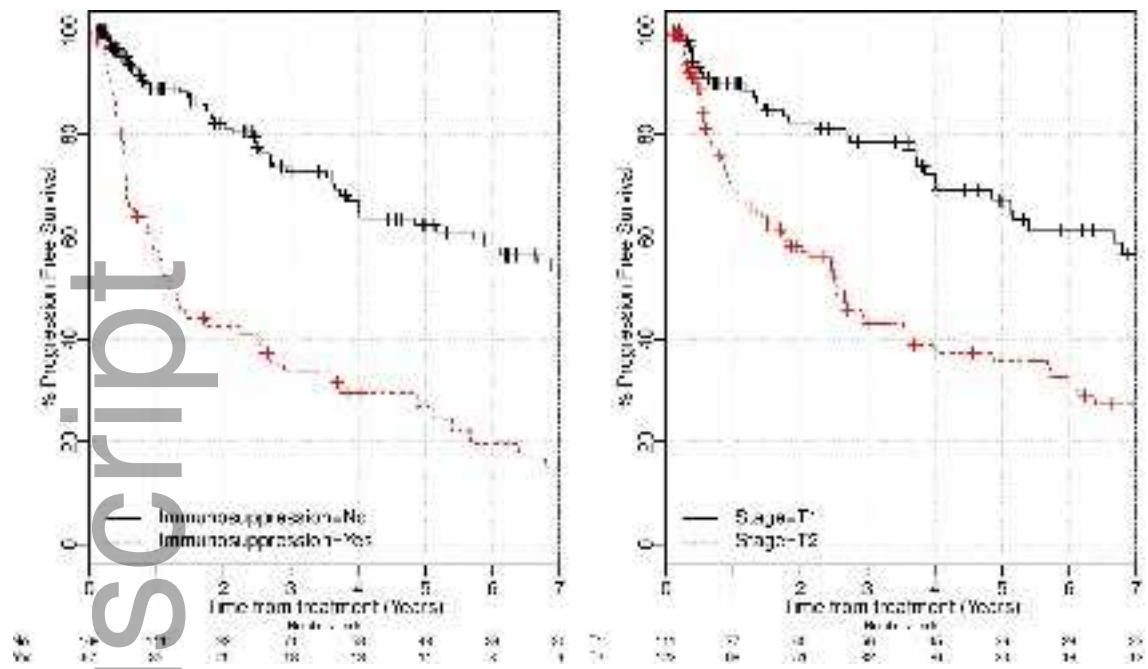
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