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Article type : Special Feature Review

**TITLE: Molecular control of B-cell homeostasis in health and malignancy**

Beatriz Garcillán\*, William A. Figgett\*, Simona Infantino, Ee Xin Lim and Fabienne Mackay

\* Equal contributors

The Department of Microbiology and Immunology, School of Biomedical Sciences, Faculty of Medicine, Dentistry and Health Sciences, University of Melbourne, Parkville, Victoria, Australia.

Word count: 4,345

**Corresponding author:**

Professor Fabienne Mackay, PhD FAHMS  
Head, School of Biomedical Sciences  
Faculty of Medicine, Dentistry & Health Sciences  
The University of Melbourne  
Victoria 3010 AUSTRALIA

**T:** +61 3 8344 2726

**E:** [fabienne.mackay@unimelb.edu.au](mailto:fabienne.mackay@unimelb.edu.au)

**RUNNING HEAD**

B-cell homeostasis

**KEYWORDS**

Primary immunodeficiency disorders, B cells, Autoimmunity, Humoral immunity, BAFF, Homeostasis.

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/imcb.12030](https://doi.org/10.1111/imcb.12030)

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32 **ABSTRACT**

33 Altered B-cell homeostasis underlies a wide range of pathologies, from cancers, to  
34 autoimmunity, and immunodeficiency. The molecular safeguards against those disorders,  
35 which also allow effective immune responses, are therefore particularly critical. Here, we  
36 review recent findings detailing the fine control of B-cell homeostasis, during B-cell  
37 development, maturation in the periphery and, during activation and differentiation into  
38 antibody-producing cells.

39

40 **INTRODUCTION**

41 Homeostasis is defined as the physiological processes that maintain the equilibrium in  
42 our body. The immune system is where homeostasis is best exemplified. Indeed, in health,  
43 the size of the lymphocyte pool is remarkably constant. Lymphocyte numbers may augment  
44 transiently in response to an infection or activation, but always revert back to a standardised  
45 and species-specific set number. This clockwork control of immune cell numbers is made  
46 possible through the contribution of many genes and factors, acting with high precision at  
47 various stages of lymphocyte development. Equilibrium between production and elimination  
48 of lymphocytes is the central element ensuring homeostasis. Changes disturbing this  
49 equilibrium may result in autoimmunity or malignancy. Similarly, a defect in lymphocyte  
50 development or excessive elimination due to survival defects, may result in  
51 immunodeficiency. Here, we will particularly focus on the factors controlling B-cell  
52 homeostasis, specifically reviewing recent advances in our understanding of fine mechanisms  
53 controlling B-cell numbers and B-cell activation, and how defects in these controls lead to a  
54 loss in B-cell homeostasis.

55

56 **1. Homeostasis of B cells during development and maturation.**

57 B-cell lymphopoiesis is a tightly controlled process which involves the cooperation of diverse  
58 molecular components, all required to successfully shape the expression of a functional B-  
59 cell receptor (BCR) and its precursor (the pre-BCR). During development and maturation, B  
60 cells undergo a series of rigorous positive and negative selection to eliminate self-reactivity.  
61 This stringent selection helps generate a diverse naïve mature B-cell repertoire supporting  
62 robust host immune defences. This phase of B-cell life is also controlled by selective  
63 homeostatic factors.

64 *The early developmental control*

65 In the bone marrow (BM), the progenitor B (pro-B) cells are the earliest cells  
66 committed to the B-cell lineage. Proliferation of pro-B cells relies on signals transmitted  
67 through the interleukin-7 receptor (IL-7R),<sup>1</sup> composed of the common- $\gamma$ -chain ( $\gamma_c$ ) and the  
68 IL7R $\alpha$  chain. In the BM, IL-7R signals are critical, as deficiency in IL-7R $\alpha$  prevents B-  
69 lymphopoiesis (Fig. 1).<sup>2</sup> Pro-B cells rearrange the heavy chain (HC) locus through the  
70 expression of the recombination-activating genes 1 and 2 (*RAG1* and *RAG2*). A productively  
71 rearranged HC ( $\mu$ -chain) associates with the surrogate light chain (LC) Vpre-B and  $\lambda_5$ ,  
72 leading to the expression of the pre-BCR, the signal of which triggers the proliferation and  
73 the differentiation of large pre-B cells.<sup>3, 4</sup> Pre-BCR signals drive a proliferative wave  
74 followed by cell-cycle arrest, and transition into small-pre-B cells (Fig. 1). At this stage, in-  
75 frame rearrangement of the LC leads to the formation of the surface immunoglobulin M  
76 (IgM) BCR on immature B cells (Fig. 1). Signalling through the final BCR product further  
77 regulates B-cell development by favouring the expression of a safe single HC-LC pair per B  
78 cell, away from self-reactivity (Fig. 1). Newly generated BCRs are tested in the BM,  
79 productive rearrangements able to transmit a tonic signal of intermediate strength are  
80 positively selected. In contrast, excessive signalling to self-antigens leads to negative  
81 selection by deletion, receptor editing or anergy of the autoreactive B cells.<sup>5</sup> To secure the  
82 formation of a safe repertoire, B cells undergo a series of positive and negative selection  
83 mechanisms where 'intermediate' levels of BCR signals are required to properly balance B-  
84 cell survival (Fig. 1).<sup>5</sup> Immature B cells leave the BM and complete their development in the  
85 periphery where they differentiate into Follicular (FO) and Marginal zone (MZ) B cells.

### 86 ***The program engaged by BCR ligation of mature B cells***

87 Although the strength of BCR ligation to self-antigens is central for B-cell tolerance,  
88 it is now evident that signals through the receptor for B-cell Activating Factor from the  
89 tumour necrosis factor (TNF) superfamily (BAFF), BAFF-R (also known as TNFRSF13C),  
90 Toll-like receptors (TLRs), and CD40 collaborate with BCR signals to establish a healthy B-  
91 cell repertoire.<sup>6</sup> Indeed, expression of both BCR and BAFF-R is required to maintain B-cell  
92 survival in the periphery (Fig. 1). Interestingly, BAFF-R is expressed at higher levels on non-  
93 autoreactive B cells and plays a role in positive selection and differentiation of non-  
94 autoreactive immature B cells, following tonic BCR signalling.<sup>7</sup> Through the activation of the  
95 Src family kinase (Src), BAFF-R signals induce the phosphorylation of the spleen tyrosine  
96 kinase (Syk) and Ig- $\alpha$ , both being proximal components of the BCR signalosome. Although it  
97 is established that BAFF-R/BCR cross-talk leads to B-cell survival,<sup>8</sup> the mechanism behind  
98 BAFF-dependent Ig- $\alpha$  phosphorylation remains to be determined.

99 Likewise, BAFF induces CD19 phosphorylation, which supports phosphoinositide 3-  
100 kinase (PI3K)-dependent B-cell survival.<sup>9</sup> The PI3K pathway is essential for B-cell  
101 development and is involved in both positive and negative B-cell selection. Indeed, altered  
102 PI3K function leads to either autoimmunity or immunodeficiency. PI3K also mediates the  
103 activation of Akt, which phosphorylates the transcriptional factor forkhead box protein O1  
104 (FOXO1), thereby allowing its exclusion from the nucleus.<sup>10</sup> FOXO1 controls the expression  
105 of genes implicated in cell cycle, apoptosis, oxidative stress and DNA damage repair.<sup>11</sup>

106 Arginine methylation of FOXO1 by the Protein Arginine Methyltransferase 1  
107 (PRMT1) prevents its phosphorylation and induces its accumulation in the nuclei.<sup>10</sup> PRMT1  
108 activity does not only regulate FOXO1 but also controls BCR signalling and supports B-cell  
109 survival, proliferation and differentiation by methylating the signalling subunit Ig- $\alpha$ .<sup>12, 13</sup>  
110 While PRMT1 is ubiquitously expressed in all B-cell subsets, the methylation status of Ig-  
111  $\alpha$  differs between BCR and pre-BCR, a difference key for B lymphopoiesis.<sup>13</sup> Indeed,  
112 methylation of Ig- $\alpha$ , defined as a negative mark, is absent in the constitutively active pre-  
113 BCR.<sup>13</sup> The PRMT1 and B-cell translocation gene 2 (BTG2) module constrain pre-B-cell  
114 expansion by methylating the cyclin-dependent kinase 4 (CDK4). In addition, deficiency in  
115 PRMT1 induces a partial block in pre-B-cell differentiation.<sup>12</sup> ~~During maturation in the~~  
116 ~~periphery, immature B cells undergo a series of rigorous positive and negative selection to~~  
117 ~~eliminate self reactivity. This stringent selection helps generate a diverse naïve mature B cell~~  
118 ~~repertoire supporting robust host immune defences. This phase of B cell life is also~~  
119 ~~controlled by selective homeostatic factors.~~

120 Following antigen recognition, B cells differentiate into antibody secreting cells  
121 (ASC) which are comprised of short-lived plasmablasts, and long-lived plasma cells. Short-  
122 lived plasmablasts are generated in rapid bursts from activated MZ B cells in response to  
123 TLR activation. However, resulting plasma cells produce low affinity antibodies.<sup>14</sup> In  
124 contrast, FO B cells, activated in a T-dependent manner, proliferate extensively and expand  
125 into germinal centre (GC) B cells with help from specialised T follicular helper (T<sub>FH</sub>) cells.  
126 Differentiation into GC B cell is mediated by activation of the BCR and CD40 co-stimulation  
127 (Fig. 1), which induces translation of the transcription factor (TF) B-cell lymphoma 6  
128 (BCL6). BCL6 is pivotal for the formation of GC due to its role as repressor of p53  
129 signalling, which prevents class switch recombination (CSR) and somatic hypermutation  
130 (SHM)-induced DNA damage.<sup>15</sup> Furthermore, IL-21 signalling is critical for GC B-cell  
131 responses, isotype switching and the generation of memory B cells.<sup>16</sup> T-bet and ROR $\alpha$  are

132 TFs associated with IgG2a/2c and IgA isotype class-switching, respectively, and are vital for  
133 the formation and maintenance of some memory B-cell subsets.<sup>17</sup>

134 Activated GC B cells differentiate into high affinity, long-lived plasma cells and  
135 memory B cells. Plasmablasts are cycling and are expanded early during an immune  
136 response, whereas plasma cells are post-mitotic. Transcriptional differences between these  
137 two subsets and the core B-cell program have now been defined from whole transcriptome  
138 sequencing.<sup>18</sup> B-cell maturation antigen (BCMA, also known as TNFRSF17) is expressed on  
139 plasma cells and is required for their survival (Fig. 1), as demonstrated in BCMA<sup>-/-</sup> mice,  
140 lacking subsets of long-lived plasma cells.<sup>19</sup> BCMA signalling strongly up-regulates the  
141 expression of the anti-apoptotic factor myeloid cell leukemia-1 (Mcl-1), in plasma cells from  
142 the BM.<sup>20</sup> Induced genetic deletion of Mcl-1 in adult mice, caused a rapid depletion of  
143 plasma cells, indicating that Mcl-1 is essential for maintaining plasma cell survival. The  
144 requirement for Mcl-1 in plasma cell survival precedes that of TF B-lymphocyte-induced  
145 maturation protein 1 (Blimp-1).<sup>20</sup>

146 Subsequent plasma cell development hinges on the expression of Blimp-1, which  
147 induces cell cycle arrest and commits B lymphocytes to a plasma cell fate by inhibiting genes  
148 involved in cellular proliferation, including BCL6 and Myc.<sup>21</sup> Blimp-1 also suppresses genes  
149 encoding several TFs such as PAX-5, Spi-B and the inhibitor of DNA binding-3 (Id3),  
150 driving terminal differentiation into plasma cells, while allowing expression of key plasma  
151 cell genes such as X-box binding protein 1 (XBP1).<sup>21</sup> In addition, plasma cell migration and  
152 maintenance in the BM is dependent on the C-X-C chemokine receptor type 4 (CXCR4) (Fig.  
153 1).<sup>22</sup>

154 BCR crosslinking or CD40 stimulation protect B lymphocytes from Fas-induced  
155 apoptosis (Fig. 1). However, reduction in the expression of CD40L on T-helper cells,  
156 following resolution of the immune response, lifts the inhibition on Fas signalling in B  
157 cells.<sup>23</sup> Indeed, Fas expression, which is induced on activated B cells, is then activated by  
158 FasL expressed on T-helper cells, which leads to B-cell apoptosis. This process is referred to  
159 as activation-induced cell death (AICD).

160 Together, past and recent findings illuminate the complexity of B-cell development  
161 and maturation, orchestrated by finely tuned transcriptional and post-transcriptional events.  
162 Each individual event is key and any alteration of steps within this process has consequences,  
163 driving either immunodeficiency, autoimmunity or cancer as detailed below.

164

## 165 **2. Loss of B-cell homeostasis: causes and consequences**

166 **Primary Immunodeficiency**

167 Several genetic defects in genes controlling B-cell homeostasis are associated with B-  
168 cell deficiency or loss of B-cell function. Some cause severe symptoms and are easily  
169 diagnosed in infants, while others only become apparent during adulthood.<sup>24</sup> The most severe  
170 defects occur early and impair T- and/or B-cell development, whereas the less severe defects  
171 normally affect B-cell maturation/activation.<sup>24</sup> Here, we provide a comprehensive list of gene  
172 mutations in primary immunodeficiencies, linked to defect in B-cell homeostasis.

173 Mutations in genes essential for T and B-cell development, such as those necessary  
174 for VDJ recombination (e.g. *RAG1*, *RAG2* and *ARTEMIS*), or encoding enzymes essential for  
175 functional purine metabolism (*ADA*) cause T<sup>-</sup>B<sup>-</sup> Severe Combined Immunodeficiency  
176 (SCID).<sup>25</sup> Patients are diagnosed early in life with markedly decreased T and B-cell numbers,  
177 which leads to impaired cellular and humoral immunity. Genetic defects exclusively affecting  
178 the T-cell compartment (e.g. *CD3D*, *CD3E* and *IL-2RG*) lead to B-cell dysfunction and  
179 defective humoral immunity due to impaired T-cell help.<sup>25</sup> These defects are characterised by  
180 absent circulating T cells and normal B-cell numbers (T<sup>B</sup><sup>+</sup> SCID), together with decreased  
181 serum immunoglobulin levels. Infants with SCID present with opportunistic infections and  
182 failure to thrive, and require prophylactic treatment and intravenous immunoglobulin.  
183 However, SCID is incurable and life-threatening. Therefore, the preferred treatment is  
184 hematopoietic stem cell transplantation or gene therapy to correct the underlying genetic  
185 defect.<sup>24</sup>

186 As discussed above, signalling through the BCR is critical for the survival of  
187 developing B cells. Accordingly, mutations in components of the pre-BCR or BCR, or in  
188 molecules downstream of the BCR, lead to defects in B-cell development and typically result  
189 in primary antibody deficiency with absence of circulating B cells. The most common of  
190 these conditions is X-linked agammaglobulinaemia (XLA) which is caused by mutations in  
191 the Bruton's tyrosine kinase (*BTK*), a cytoplasmic kinase of the BCR signalling pathway.  
192 XLA patients suffer from severe bacterial infections.<sup>26</sup>

193 Other genetic defects may cause less profound immunodeficiency. For example,  
194 mutations in *CD19*, *CD20*, *CD21* or *CD81*, which amplify signalling through the BCR and  
195 cause primary antibody deficiency with normal B-cell numbers. Mutations in genes encoding  
196 for proteins important for T-dependent B-cell responses cause Hyper IgM Syndrome  
197 (HIGM). This syndrome is characterised by defects in immunoglobulin CSR and leads to  
198 decreased serum levels of IgG, IgA and IgE, but high levels of IgM together with normal  
199 circulating B-cell numbers. The most common genetic defect associated with this syndrome

200 is mutations in the gene encoding for CD40L (*CD40LG*), which is expressed on activated T  
201 cells.<sup>27</sup> Other less common mutations include, among others, those in genes coding for  
202 activation-induced cytidine deaminase (AID) and uracil-DNA glycosylase (UNG).<sup>28</sup>

203 Dysregulation on some TFs may also impair B-cell homeostasis. For example,  
204 dominant mutations in the gene encoding for signal transducer and activator of transcription 3  
205 (STAT3) result in Hyper-IgE syndrome. Patients present with severe eczema, recurrent  
206 candidiasis, *Staphylococcal* abscesses, poor antibody responses, and elevated serum IgE,  
207 together with other non-immunological features such as hyper extensible joints and  
208 osteoporosis.<sup>29</sup> STAT3 is an important TF downstream of many cytokine receptors, which  
209 signalling pathways regulate T-cell and B-cell function. For many years, it has been unclear  
210 whether elevated serum IgE levels were caused by an intrinsic B-cell defect or by defective  
211 T-cell help. Recently, Kane *et al.* have demonstrated, using a B-cell specific *Stat3*-deficient  
212 mouse model, that the observed elevated IgE is the result of aberrant isotype class-switching  
213 to IgE, caused by B cell-intrinsic STAT3 signalling, and therefore, suggesting that STAT3 is  
214 a negative regulator of IgE class-switching.<sup>30</sup>

215 Lastly, the commonest symptomatic primary immunodeficiency, characterised by  
216 recurrent infections, poor responses to vaccines and late onset, is a heterogeneous group  
217 termed Common Variable Immunodeficiency Disorders (CVID). It is caused by defective B-  
218 cell function, which leads to reduced isotype-switched B cells and depletion of plasma cells.  
219 The defect can be B cell-intrinsic or -extrinsic, due to insufficient T-cell help, or attributable  
220 to monocyte/dendritic cell defects or loss of NK cells. In most cases, the genetic cause of  
221 CVID is unknown.<sup>31</sup> Aside from immunodeficiency, patients suffering from CVID show  
222 increased risk of autoimmunity, malignancy and systemic granulomatous disease.  
223 Mutations/polymorphisms in the gene encoding for transmembrane activator and cyclophilin  
224 ligand interactor (TACI, also named TNFRSF13B) have been associated with CVID.<sup>32</sup>  
225 However, they are considered not disease-causing mutations on their own, but rather  
226 modifying mutations, as the same defects can be found in healthy individuals, probably  
227 indicating that CVID may be caused by a combination of genetic (polygenic) and  
228 environmental factors.<sup>31</sup> In summary, numerous genetic defects affect B-cell development  
229 and/or function and cause primary immunodeficiency. However rare, the discovery of the  
230 causative gene mutations, has shed light on the function of the proteins encoded by these  
231 genes.

232 ***Autoimmunity***

233 Another example of loss of homeostatic control of B-cell function is autoimmunity.  
234 Prolonged disturbance of B-cell homeostasis is often causing loss of tolerance to self-tissues,  
235 with the emergence of self-reactive B cells, contributing to autoimmune pathologies. These  
236 pathologies can involve aberrant B cells excessively differentiating into plasma cells and  
237 secreting pro-inflammatory autoantibodies or cytokines, or B cells acting as antigen-  
238 presenting cells to autoreactive T cells. This section will discuss disrupted B-cell homeostasis  
239 in the context of systemic autoimmune rheumatic diseases, such as systemic lupus  
240 erythematosus (SLE) and rheumatoid arthritis (RA), as well as non-systemic autoimmune  
241 diseases such as multiple sclerosis (MS).

#### 242 *Dysregulation of B-cell survival factors supporting B-cell mediated diseases*

243 Excessive activation of B cells in an autoimmune disease state is often driven by  
244 excessive production of survival factors, which would normally be available in limiting  
245 amounts. A well-established example of this is overexpression of the B-cell survival factor  
246 BAFF, as detected in the serum of a subset of patients with SLE, RA or Sjögren's  
247 syndrome.<sup>33</sup>

248 Experimentally, mice overexpressing BAFF develop an autoimmune condition  
249 associated with the expansion of the B cell compartment and resembling SLE.<sup>34</sup> This  
250 discovery was followed by an intensive race for the development of BAFF inhibitors, which  
251 resulted in several candidate therapies tested in the clinic, with one, belimumab, approved for  
252 use in the clinic (Table 1). Belimumab was approved as a therapy for a subset of seropositive  
253 patients with SLE, validating BAFF as an important therapeutic target in autoimmunity.  
254 Interestingly, it has been assumed that excessive self-reactive B-cell survival was the  
255 underlying cause for B-cell dysregulation in SLE. However, recent work showed that  
256 deletion of TACI, which does not support B-cell survival, was protective against excess  
257 BAFF-driven SLE in mice,<sup>35, 36</sup> and TACI deletion was also protective in the MRL-Fas/lpr  
258 model.<sup>37</sup> As such, TACI is emerging as a novel therapeutic target in SLE, and these results  
259 suggest that dysregulation of innate immunity, rather than a catastrophic breakdown in B-cell  
260 tolerance, is driving excessive pro-inflammatory autoantibody production, responsible for  
261 disease symptoms. Indeed, past work has demonstrated that alteration of B-cell tolerance is  
262 limited when BAFF is overexpressed,<sup>38</sup> supporting an alternative mechanism via TACI, as  
263 the underlying cause of SLE in mice.

264 Protein kinase C-associated kinase (PKK, also known as RIP4) is another factor,  
265 which supports the survival of B1 B cells, mature recirculating B2 conventional B cells, and  
266 B lymphoma cells. Oleksyn *et al.*<sup>45</sup> made a B cell-specific PKK knockout of the SLE-prone

267 congenic mouse strain B6.*Sle1.Sle3*, and showed that PKK deficiency protected mice against  
268 splenomegaly, autoantibody production, and kidney nephritis. PKK-deficient mice were also  
269 protected from an expansion of the plasma cell compartment and GCs. PKK is therefore  
270 critical for disease progression in this model, in which disease is underpinned by excessive B-  
271 cell survival and activation. PKK phosphorylates inhibitor of kappa B kinase (IKK) $\alpha$  and  
272 IKK $\beta$ , thereby supporting nuclear factor  $\kappa$ B (NF- $\kappa$ B) signalling, such as what is observed  
273 downstream from BAFF signalling.<sup>46</sup>

274 MS is a progressive autoimmune disease in the central nervous system (CNS)  
275 mediated by autoreactive T cells, with CD4<sup>+</sup> T cells driving CNS inflammation and CD8<sup>+</sup> T  
276 cells directly damaging axons. There is also evidence of humoral autoimmunity and B-cell  
277 involvement, both in pathogenesis,<sup>39, 43, 47</sup> and in protection via regulatory B cells (B<sub>regs</sub>).<sup>48</sup>  
278 Clinical trials testing B-cell depleting therapy with anti-CD20 monoclonal antibodies have  
279 shown a significant improvement of lesions in MS patients.<sup>49</sup> Another clinical trial with  
280 atacicept (TACI-Fc fusion protein) which partially reduces B-cell numbers by neutralizing  
281 the B-cell survival factors BAFF and a proliferation-inducing ligand (APRIL, also known as  
282 TNFSF13), was conducted with the rationale that excess BAFF production from astrocytes in  
283 the CNS supports survival of pathogenic B cells recruited into MS lesions. However,  
284 atacicept led to worsening MS symptoms and lesions, and the trial was halted.<sup>50</sup> These  
285 surprising trial outcomes highlighted the complexity of the role of B cells in MS. As  
286 mentioned above B cells can be active pathogenic players in MS, however, recent work has  
287 demonstrated that B<sub>regs</sub> produce immuno-suppressing factors such as IL-10 and IL-35 which  
288 have a protective role in MS.<sup>51, 52</sup> Recent results for atacicept were more promising with SLE  
289 in a phase IIb trial showing reduced flare activity and more acceptable safety profile in  
290 patients compared to patients with MS.<sup>44</sup>

#### 291 *Impaired B-cell homeostasis via interactions with other immune cells*

292 B cells can contribute to autoimmune indirectly or cooperatively via cell-cell  
293 interactions. In the RA setting, a combination of mass cytometry by time-of-flight (CyTOF)  
294 and transcriptomics approaches was used to characterise a pathogenically expanded  
295 population of PD-1<sup>hi</sup> CXCR5<sup>-</sup> peripheral helper T (T<sub>PH</sub>) cells in the synovium. T<sub>PH</sub> cells  
296 express factors promoting B-cell help, including: IL-21, C-X-C ligand 13 (CXCL13),  
297 inducible T-cell costimulatory (ICOS), and MAF (a transcription factor that promotes IL21  
298 production). By providing these factors, T<sub>PH</sub> cells represent a specialised cell population  
299 promoting B-cell responses in inflamed non-lymphoid tissues.<sup>53</sup> An excessive amount of T<sub>PH</sub>  
300 cells would thereby drive additional B cells to contribute to auto-inflammatory pathologies.

301 T<sub>PH</sub> cells were transcriptionally distinct from T<sub>FH</sub> cells, which may be analogous cells but  
302 residing within lymphoid tissues in GC.

303 Particularly in SLE, plasmacytoid dendritic cells (pDC) are implicated as major  
304 producers of type-I interferon. *In vitro* co-culture experiments suggest interactions between  
305 pDC and B cells isolated from patients with SLE, and, in the presence of RNA-containing  
306 immune complexes, presence of pDC expanded the proportions of CD27<sup>+</sup>IgD<sup>-</sup> B cells.<sup>54</sup> B  
307 cells with these surface marker were previously noted to be substantially elevated in  
308 circulation in SLE patients.<sup>55</sup> Increased expression of IL-21R, ICOS ligand (ICOSL), IL4R,  
309 and signalling lymphocytic activation molecule family 1 (SLAMF1) on the CD27<sup>+</sup>IgD<sup>-</sup> B  
310 cells was suggested to increase sensitivity to costimulatory signals, such as those from T<sub>FH</sub>  
311 cells.<sup>54</sup>

312 OX40L is a TNF superfamily ligand expressed on B cells and T cells. *TNFSF4* (the  
313 gene encoding OX40L) is a risk-associated gene for SLE.<sup>56</sup> Cortini *et al.*<sup>57</sup> recently reported  
314 that OX40L expression on B cells supports T<sub>FH</sub> cell development, contributing to SLE  
315 pathogenesis; B-cell-conditional OX40L<sup>fl/fl</sup>CD19-cre mice crossed to the B6.*Sle16* lupus-  
316 prone mouse model were protected from SLE, with protection likely involving a major  
317 reduction in T<sub>FH</sub> cells.

318

### 319 ***Cancer (B-cell lymphomas/leukaemias)***

320 As mentioned above, B-cell numbers are tightly controlled at each stage of their  
321 development and differentiation. Indeed, a breakdown in B-cell homeostasis can lead to an  
322 uncontrolled accumulation of B cells, which may result in cancer. B-cell malignancies are the  
323 most common lymphoid cancer and originate as the result of genetic and epigenetic changes  
324 in B-cell genes that lead to excessive proliferation and/or survival or insufficient apoptosis.

325 Oncogenic transformation can occur during B-cell differentiation, for example Acute  
326 Lymphoblastic Leukaemia (B-ALL) arises from pre-B cells, while B Chronic Lymphocytic  
327 Leukaemia (B-CLL), lymphomas and Multiple Myeloma (MM) originate from mature and  
328 terminally differentiated B cells,<sup>58</sup> respectively (see Table 2 for a summarised list of B-cell  
329 malignancies).

330 ~~B cells intrinsically possess a powerful proliferative capacity to respond effectively to~~  
331 ~~pathogens, which may illustrate why B-cell malignancies are the commonest type of~~  
332 ~~lymphoid cancer. In addition~~ During GC reaction, activated B cells undergo affinity  
333 maturation via hypermutation of their Ig genes. However, when this process is misdirected, it

334 can lead to chromosomal translocations or target non-Ig genes critical for B-cell control. As a  
335 result, a number of B-cell malignancies derive from GC B cells.

336 In recent years and with the advancement and affordability of high-throughput  
337 sequencing technologies, the genetic defects underlying B-cell malignancies are being  
338 identified. Genetic abnormalities commonly associate with oncogene activation (e.g., *MYC*,  
339 *NOTCH1*, *BCL2*) or tumour suppressor gene repression (*TP53*, *ATM*). Chromosomal  
340 aberrations are common, probably as a result of aberrant SHM, for example virtually all  
341 Burkitt's lymphoma (BL) cases show c-Myc overexpression as a result of a chromosomal  
342 translocation of the *MYC* oncogene into one of the immunoglobulin loci.<sup>59</sup> Chromosomal  
343 deletions are also frequently found in B-cell malignancies, for example, around 60% of B-  
344 CLL patients show deletions at chromosome 13q,<sup>60</sup> this defect correlates with overexpression  
345 of *BCL2*, an important oncogene that inhibits apoptosis. Importantly, this observation led to  
346 the development of *BCL2* inhibitors,<sup>61</sup> ABT-199 (Venetoclax), which was approved to treat  
347 B-CLL in the United States in 2016 and in Australia and the European Union in 2017, and is  
348 also showing promising results in other B-cell malignancies. The commonly observed 13q  
349 deletion in B-CLL patients is the first example of changes in microRNAs (miRNAs or miRs)  
350 expression profiles in B-cell malignancies. miRNAs are non-coding RNAs involved in post-  
351 transcriptionally regulating gene expression. miR-15/16 is lost in patients with 13q deletion,  
352 it acts as tumour suppressor as it negatively regulates *BCL2* expression, hence its deletion  
353 leads to *BCL2* overexpression.<sup>60</sup> Since the discovery of miR15/16 in B-CLL, many other  
354 miRNAs relevant for B-cell malignancies have been identified. Overexpression of miRNAs  
355 targeting oncogenes (miR-21 in ABC-DLBCL and B-CLL; miR-155 in MCL, DLBCL, FL  
356 and B-CLL; miR-17–92 cluster in DLBCL and B-CLL) or loss of those targeting tumour  
357 suppressor genes (miR-34a in B-CLL, DLBCL and MCL; miR-150 in DLBCL, MCL, B-  
358 CLL and BL) have been described.<sup>62</sup>

359 In addition to changes in oncogenes or tumour suppressor genes, alterations in several  
360 signalling pathways may also result in the development of B-cell malignancies. For example,  
361 transient activation of various types of NF- $\kappa$ B is needed to control the survival, proliferation,  
362 activation and differentiation of normal B cells. By contrast, enhanced activity of the NF- $\kappa$ B  
363 signalling pathway causes uncontrolled proliferation and survival.<sup>63</sup> Enhanced NF- $\kappa$ B  
364 activation is a hallmark of ABC-DLBCL, HL and PMBL-DLBCL, and inhibition of the NF-  
365  $\kappa$ B pathway *in vitro* promotes apoptosis in cell lines derived from these malignancies.  
366 Mutations in genes encoding NF- $\kappa$ B proteins, gain of function mutations in positive

367 regulators, and loss of function mutations in negative regulators of this signalling pathway  
368 have been identified in a small proportion of cancer patients.<sup>64</sup> Other mechanisms  
369 contributing to the enhanced activation of this pathway may be signalling through NF- $\kappa$ B  
370 activator surface receptors (BCR, BAFF receptors, TLRs, CD40, CD30, IL-6) and may be  
371 responsible for the NF- $\kappa$ B signature observed in B-CLL and MM.<sup>65, 66</sup> Lastly, lymphoma-  
372 associated viruses such as Epstein-Barr virus (EBV) can induce constitutive NF- $\kappa$ B  
373 signalling. The principal EBV viral oncoprotein, Latent Membrane Protein 1 (LMP1) mimics  
374 CD40 signalling, thereby activating the NF- $\kappa$ B signalling pathway. Remarkably, LMP1 is  
375 frequently expressed in a variety of B-cell lymphomas.<sup>67</sup>

376 Normal B cells require tonic BCR signalling for their survival. Likewise, activation of  
377 this pathway has been identified as a main contributor to the pathogenesis of several B-cell  
378 malignancies.<sup>68</sup> In B-CLL, a third of patients express stereotyped BCRs, meaning near  
379 identical immunoglobulin variable regions, hence suggesting that antigen driven activation of  
380 the BCR has an important role in pathogenesis. The cognate antigen of some B-CLL BCRs  
381 have been identified, unmutated-*IGHV* show polyreactivity to several auto-antigens,<sup>69</sup> some  
382 stereotyped BCRs have been shown to react to bacterial and fungal antigens,<sup>70</sup> whereas others  
383 recognise and internal epitope in the BCR itself and therefore are able of autonomous antigen  
384 independent signalling.<sup>71</sup> Pathogen derived antigens can also engage the BCR of some  
385 malignant B cells, for example, antigen from hepatitis C virus (HCV) has been shown to  
386 drive SMZL and antiviral therapy in HCV infected patients correlates with complete  
387 remission.<sup>72</sup> Similarly, MALT lymphomas very frequently correlate with *Helicobacter pylori*  
388 infection, eradication with antibiotic treatment has proven efficacious, leading to a complete  
389 remission for 60-80% of patients. However, a direct correlation with antigen driven  
390 lymphoma expansion has not been proven yet, and the association may be due to the  
391 inflammatory milieu created by the infection.<sup>73</sup>

392 In addition to antigenic activation, the BCR signalling pathway can be intrinsically  
393 activated by mutations in *CD79B* or *CARD11*, as observed in some ABC-DLBCL cases. All  
394 this evidence highlights the importance of BCR signalling in the pathology of B-cell  
395 malignancies and has led to the development of novel therapies targeting key kinases in this  
396 pathway (BTK, SYK and PI3K inhibitors), which are proving to be remarkably efficacious,  
397 irrespective of markers of poor prognosis,<sup>68</sup> a major advance in the treatment of these  
398 cancers.

### 399 **3. Concluding Remarks**

400 Precise control of B-cell numbers is achieved by a multitude of molecular  
401 mechanisms throughout the development, maturation, and activation of B cells. Genes alone  
402 are only one aspect of these controls. Indeed, epigenetic, post-translational protein  
403 modifications, regulated cytokine production, interaction with other immune cells and the  
404 anatomical localisation of B cells, are other factors contributing to the regulation of B-cell  
405 homeostasis. As such, there are many elements of importance which if defective alter the B-  
406 cell compartment and/or its function and regulation. The study of human primary  
407 immunodeficiencies clearly demonstrated the specific importance of B cells in some  
408 infections. In addition, the use of therapies targeting B cells in the clinic have shed light on  
409 their central pathogenic role, in a number of autoimmune diseases. However, current  
410 therapies targeting B cells remain very broad and unspecific. New knowledge and advanced  
411 technologies, such as single cell analysis, may in the future better identify subsets of human  
412 B cells contributing to diseases, and unique markers of these cells may allow for the  
413 development of more targeted and safer therapies.

414

#### 415 **CONFLICT OF INTEREST**

416 The authors declare no conflict of interest.

417

#### 418 **ACKNOWLEDGMENTS**

419 This work is supported by National Health and Medical Research Council (NHMRC)  
420 research grants (APP1113659, APP1113659) to FM. WF and BG are supported by the  
421 Victorian Cancer Agency (ECSG15029).

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686

687 **Table 1: An update on selected B-cell targeted treatments for autoimmune diseases**  
688 **(SLE, RA, and MS).** Abbreviations: APRIL, a proliferation inducing ligand; BAFF, B-cell  
689 activating factor of the TNF family; FDA, federal drug administration (USA); mAb,  
690 monoclonal antibody; MS, multiple sclerosis; RA, rheumatoid arthritis; SLE, systemic lupus  
691 erythematosus.

Biologic	Trial status and mechanistic commentary
Rituximab (anti-CD20)	Potent depletion of B-cells. Phase III trials failed to show efficacy for lupus nephritis, although anecdotal case studies report efficacy in some patients. FDA approved for RA in 2006, subsequent trials with anti-CD20 and anti-BAFF agents below did not show an advantage over rituximab.
Ocrelizumab (anti-CD20)	Targets a different CD20 epitope to rituximab, but also depletes B-cells. Phase III (MS): reduced lesions and reduced disease progression compared to placebo; <sup>39</sup> FDA approved for progressive MS in March 2017. Phase III (SLE): failed to meet primary endpoint for lupus nephritis

	Phase III (RA): terminated
Ofatumumab (anti-CD20)	As above, depletes B-cells. Development for RA was discontinued since no advantage was seen over rituximab. Phase II (MS) demonstrated reduced formation of new brain lesions. <sup>40</sup> Phase III (MS) recruiting.
Belimumab (anti-BAFF)	Partial depletion of B-cells by loss of BAFF:BAFF-R pro-survival signalling (not required for memory, or plasma cells). Mechanism of therapy in SLE may actually be via reducing TACI signalling rather than B-cell depletion, based on studies showing absence of TACI expression completely protects BAFF-Tg mice from disease. <sup>35, 36</sup> Statistically significant but modest efficacy may stem from not blocking signalling through TACI by APRIL. FDA approved for seropositive lupus in March 2011.
Tabalumab (anti-BAFF)	Phase III (SLE, RA); only modest efficacy in SLE <sup>41</sup> – discontinued development. Phase II (MS)
Blisibimod (BAFF-binding peptibody)	Phase III (SLE: “CHABLIS-SC1”) – lack of efficacy. Phase III (SLE: “CHABLIS-7.5”) – stopped based on results above. The tetravalent peptibody modality offers potentially better anti-BAFF potency, compared to the bivalent mAbs. A potential drawback may be increased potential for immunogenicity against the peptibody preventing long-term use. <sup>42</sup> Further development is not expected to go ahead for SLE, although the FDA granted orphan drug status for the treatment of IgA nephropathy.
Atacicept (TACI-Ig)	Blockade of BAFF and APRIL, preventing signalling through three receptors (BAFF-R, TACI, BCMA), expected to cause a loss of B-cell survival. Phase II trials in MS were stopped due to safety issues and increased disease activity. <sup>43</sup> Phase IIb (SLE: “ADDRESS II”) showed evidence of efficacy, mainly in high-disease-activity and seropositive patients, and reduced flare activity. <sup>44</sup>

692

693

**Table 2. Overview of B-cell cancers and their corresponding B-cell subtypes.**

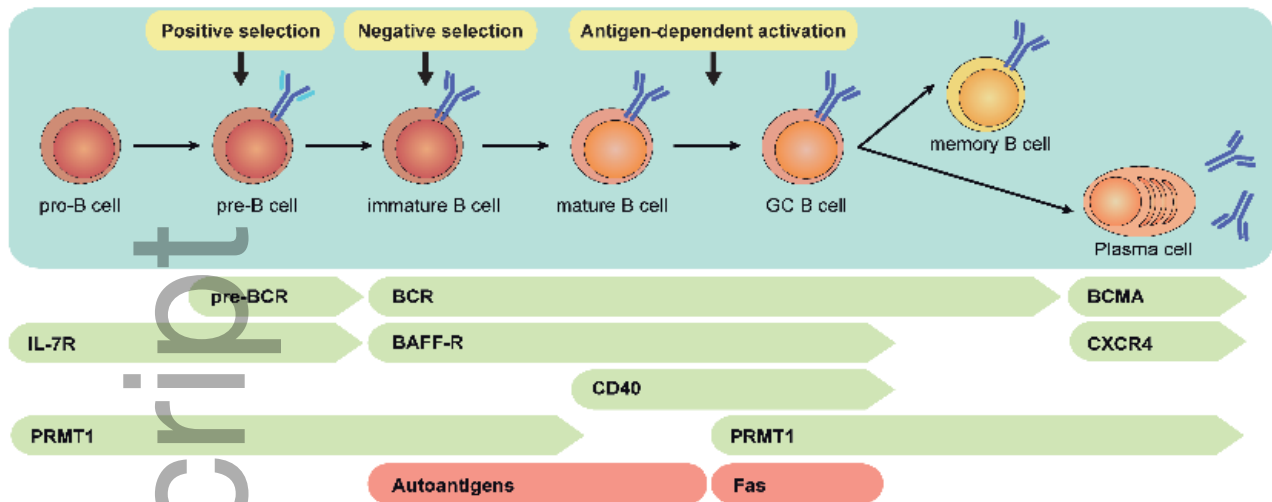
<b>B-cell malignancy</b>	<b>Closely related normal B-cell</b>
Acute Lymphoblastic Leukaemia (B-ALL)	pre-B-cell
Hodgkin Lymphoma (HL)	GC-B-cell
Non-Hodgkin lymphoma (NHL)	
Chronic Lymphocytic Leukaemia (B-CLL)	
<i>IGHV</i> -unmutated	Mature pre-GC B-cell
<i>IGHV</i> -mutated	Mature post-GC B-cell

Diffuse Large B-cell lymphomas (DLBCL)	
Germinal Centre B-cell-like phenotype (GCB-DLBCL)	GC-B-cell
Activated B-cell like (ABC-DLBCL)	Plasmablast
Primary Mediastinal B-cell Lymphoma (PMBL-DLBCL)	medullary thymic B-cell
Follicular Lymphoma (FL)	GC-B-cell
Mantle Cell Lymphoma (MCL)	Mature pre-GC B-cell
Burkitt's Lymphoma (BL)	GC-B-cell
Hairy Cell Leukaemia (HCL)	post-GC memory B-cell
Marginal Zone Lymphomas (MZL)	
Splenic marginal zone lymphoma (SMZL)	MZ B-cell
Nodal Marginal Zone Lymphoma (NMZL))	MZ B-cell
Mucosa-Associated Lymphoid Tissue (MALT) lymphoma	MZ B-cell
<hr/>	
Multiple Myeloma (MM)	Plasma cell
<hr/>	

694

695 **FIGURE LEGEND**

696 **Figure 1:** Key homeostatic control mechanisms. In the bone marrow, progenitor B (pro-B)  
697 and precursor B (pre-B) cells require signalling through the IL-7R. Constitutive pre-BCR and  
698 BCR signalling support survival and development. In the periphery, BAFF-R and tonic BCR  
699 signalling are essential for survival. Autoreactive B cells are removed or rendered anergic, at  
700 multiple checkpoints throughout development in the bone marrow and in the periphery.  
701 Antigen activation through the BCR and CD40 co-stimulation drive Germinal Centre (GC)  
702 formation, where GC B cells undergo somatic hypermutation to select for B cells with  
703 optimal antigen affinity. GC B cells with suboptimal affinity are deleted via Fas-mediated  
704 apoptosis. PRMT1 activity is essential for B-cell development and it is required for the  
705 generation of immune responses. Plasma cell survival is supported by APRIL signalling  
706 through BCMA and CXCL12 through CXCR4.



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