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Article type : Research Review

From grey to green: efficacy of eco-engineering solutions for nature-based coastal defence

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Running head: Efficacy of nature-based coastal defence

Keywords: artificial structures; climate adaptation; coastal protection; experimental management; living shorelines; restoration; soft engineering; urbanisation

Paper type: Research review

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/gcb.14063](https://doi.org/10.1111/gcb.14063)

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33 **Abstract**

34 Climate change is increasing the threat of erosion and flooding along coastlines globally.
35 Engineering solutions (e.g. seawalls and breakwaters) in response to protecting coastal
36 communities and associated infrastructure are increasingly becoming economically and
37 ecologically unsustainable. This has led to recommendations to create or restore natural
38 habitats, such as sand dunes, saltmarsh, mangroves, seagrass and kelp beds, and coral and
39 shellfish reefs, to provide coastal protection in place of (or to complement) artificial
40 structures. Coastal managers are frequently faced with the problem of an eroding coastline,
41 which requires a decision on what mitigation options are most appropriate to implement. A
42 barrier to uptake of nature-based coastal defence is stringent evaluation of the effectiveness in
43 comparison to artificial protection structures. Here, we assess the current evidence for the
44 efficacy of nature-based versus artificial coastal protection and discuss future research needs.
45 Future projects should evaluate habitats created or restored for coastal defence for cost-
46 effectiveness in comparison to an artificial structure under the same environmental
47 conditions. Cost-benefit analyses should take into consideration all ecosystem services
48 provided by nature-based or artificial structures in addition to coastal protection.
49 Interdisciplinary research among scientists, coastal managers and engineers are required to
50 facilitate the experimental trials needed to test the value of these shoreline protection
51 schemes, in order to support their use as alternatives to artificial structures. This research
52 needs to happen now as our rapidly changing climate requires new and innovative solutions
53 to reduce the vulnerability of coastal communities to an increasingly uncertain future.

54

55

56

57 **Introduction**

58 Half of the world's population lives within 60 km of the ocean, and three quarters of all large
59 cities are coastal (UNEP, 2005). Erosion and inundation are hazards that threaten humans and
60 associated infrastructure in the coastal zone (Hinkel *et al.*, 2014, Kittinger & Ayers, 2010).
61 The impact of these hazards has increased as the amount and value of coastal infrastructure

62 has grown, and continues to grow. Future climate change is predicted to further increase the
63 vulnerability of communities to coastal hazards. This is due to the influence of climate
64 change on the drivers of these hazards, such as increases in sea level, greater wave height and
65 more intense, and potentially more frequent, storm events (IPCC, 2014, Young *et al.*, 2011)
66 (Fig. 1). For example, at least 70% of beaches worldwide are eroding or have a negative
67 sediment budget, resulting in shoreline erosion and inland displacement (Bird, 1985), while
68 up to 4.6% of the global population may experience annual flooding by 2100 (Hinkel *et al.*,
69 2014). Identifying effective intervention methods to protect and mitigate against such
70 contemporary and future hazards is arguably one of the most pressing challenges facing
71 coastal communities today.

72 Armouring with ‘hard’ engineered structures, such as seawalls and breakwaters, is the
73 current solution for coastal defence to protect against contemporary hazards. However,
74 financial costs of maintaining these structures under future climate change scenarios are
75 significant (Hinkel *et al.*, 2014). In parallel, this has prompted research investigating the
76 value of natural ecosystems, such as biogenic reefs, dunes, beaches and vegetation, to provide
77 protection against erosion and waves, with the benefit that these systems can adapt to changes
78 in climate and self-repair after major storm events (Gittman *et al.*, 2014). Recently, the
79 restoration or creation of habitats through ‘soft ecological engineering’ techniques has been
80 advocated as a tool for natural shoreline stabilisation, with additional ecosystem benefits,
81 such as biodiversity provision (Temmerman *et al.*, 2013). Despite the significant limitations
82 of hard coastal defence in a changing climate, these structures are continuing to be built, with
83 little changes in practices or management. One barrier to the wider use of soft eco-
84 engineering approaches for coastal defence is evidence that restored or created habitats
85 provide equivalent protection to firstly, the intact natural habitat and secondly, hard
86 engineered structures (Bouma *et al.*, 2014, Narayan *et al.*, 2016).

87 Here we present a review to determine the current evidence for the effectiveness of
88 coastal defence using soft eco-engineering versus traditional engineering solutions. As recent
89 studies have reviewed the role of natural habitats in coastal defence and climate change
90 adaptation, we focus specifically on restored or created habitats and their ability to protect the
91 coast against erosion and flooding relative to hard structures. A comparison between
92 restored/created habitats and hard structures is more relevant to management, where a
93 decision on what type of structure should be built to protect an eroding coastline needs to be
94 made. Nature-based solutions through restoration or habitat creation have considerable

95 potential for coastal defence, but have received much less attention than the additional
96 ecosystem services (e.g. biodiversity enhancement) these habitats provide.

97

98 **Natural habitats for coastal defence**

99 Coastlines support a variety of habitats, of which sand dunes and beaches, saltmarsh,
100 mangroves, seagrass and kelp beds, and coral and shellfish reefs have been identified as
101 potentially important in mitigating the impacts of coastal hazards (Fig. 1). Increasing interest
102 in the potential for these systems to function as alternatives to hard coastal defence structures
103 has prompted research into the use of natural coastal features to support coastal resilience and
104 risk reduction (Spalding *et al.*, 2014). Recent syntheses evaluating 'living infrastructure' for
105 coastal defence have focused on the effectiveness of existing coastal habitats to provide
106 protection against coastal hazards, some in comparison to hard infrastructure (Duarte *et al.*,
107 2013, Feagin *et al.*, 2015, Ferrario *et al.*, 2014, Gedan *et al.*, 2011, Hanley *et al.*, 2014,
108 Narayan *et al.*, 2016, Ondiviela *et al.*, 2014, Shepard *et al.*, 2011). Of particular interest has
109 been the ability of natural systems to prevent episodic coastal erosion and inundation during
110 storms, hurricanes and tsunamis, to halt or slow the chronic loss of coastal land due to
111 persistent erosion over medium to long time periods, and minimise coastal inundation due to
112 future sea level rise.

113 Natural habitats provide protection services against these coastal hazards through
114 ecosystem processes such as increased bed friction, local shallowing of water, sediment
115 deposition and building vertical biomass (Fig. 1). These processes elicit responses such as
116 changes in shore profile and elevation relative to sea level and wave attenuation, which in
117 turn mitigate the coastal hazards (Fig. 1). For instance, vegetated coastal habitats, such as
118 seagrasses, saltmarshes and mangroves, can reduce water flow and wave height as waves
119 pass through the dense vegetation, and similar effects are caused by the rough surfaces of reef
120 systems (reviewed in Spalding *et al.*, 2014). In addition, subtidal habitats cause localised
121 water shallowing, which promotes wave breaking (Ferrario *et al.*, 2014). Coastal vegetation
122 and shellfish reefs can stabilise shorelines by promoting sediment deposition and/or reducing
123 erosion and sediment movement (Spalding *et al.*, 2014). Further, sediment accumulation in
124 association with coastal vegetation can increase the height of the land relative to sea-level,
125 thus reducing the likelihood of flooding during storm events (Shepard *et al.*, 2011). Finally,
126 the effects of natural habitats in terms of coastal protection can be additive, since two or more
127 ecosystems may lie in close proximity (Spalding *et al.*, 2014).

128 The wave height reduction of coral reefs, saltmarsh, seagrass/kelp beds and
129 mangroves has been estimated to be 70%, 72%, 36% and 31%, respectively (Narayan *et al.*,
130 2016), which is comparable to that reported for low-crested detached breakwaters (30-70%,
131 Ferrario *et al.*, 2014). Equally, a meta-analysis found a positive effect of saltmarsh on
132 shoreline stabilisation, although these studies only compared areas with and without
133 saltmarsh (i.e., saltmarsh was not compared with an alternative hard solution; Shepard *et al.*
134 2011). For saltmarsh, the vegetation characteristics and environmental setting were important
135 for the degree of wave attenuation and shoreline stabilisation provided (Shepard *et al.* 2011).
136 For instance, an increase in marsh width, vegetation height and density and marsh elevation
137 had a positive effect on wave attenuation, while an increase in wave energy had a negative
138 effect. Similarly, an increase in biomass production, percentage cover, patch size and density
139 had a positive effect on shoreline stabilisation, but a greater tidal elevation had a negative
140 effect (Shepard *et al.* 2011).

141 A reduction in the impact of extreme events (tsunami, storms and cyclones) has also
142 been reported on coasts where sand dunes were present compared to coastlines without dunes
143 (Bayas *et al.*, 2013, Hu *et al.*, 2016, Kathiresan & Rajendran, 2005, Wijetunge, 2010);
144 although the degree of coastal protection depends on the shape and height of the dunes. Low
145 dunes relative to the height of storm surge or tsunami have reduced coastal protection
146 capacities, whereas gaps in dune barriers can cause more substantial impacts by accelerating
147 water flows inland (Bayas *et al.*, 2013, Hart & Knight, 2009, Wijetunge, 2010). There are
148 few direct comparisons between dunes and hard structures; however, an assessment of a low-
149 energy tsunami in the Seychelles found that dunes were less successful than seawalls in
150 reducing flood hazards but that dunes did reduce wave strength leading to a significant
151 decrease in structural damage compared to seawalls (Bayas *et al.*, 2013). The coastal
152 protection capacity of seawalls also depends on their height, and dunes have decreased
153 inundation rates where surge levels have exceeded the height of seawalls but remained lower
154 than the dune height (Sato, 2015).

155 In terms of natural shellfish reefs, there is a paucity of information on their value for
156 coastal defence, which may be due to the widespread destruction of these habitats that has left
157 few existing mature shellfish beds (Beck *et al.*, 2011). Recent simulations, however, suggest
158 increased wave energy due to historical oyster bed loss in New York Harbour (Brandon *et al.*,
159 2016). Further, increases in the percentage cover of ribbed mussel (*Geukensia demissa*),
160 which is found synergistically with saltmarsh in the United States, decreased saltmarsh
161 shoreline erosion, however, the effects varied among locations and sites (Moody, 2012).

162 Although the protection of natural coastal features can be comparable to built
163 infrastructure, unlike artificial defences, coastal habitats are dynamic ecosystems. From one
164 perspective, this is an advantage as they may have the capacity to adapt with climate change.
165 Alternatively, dynamic systems introduce uncertainty that could be a barrier to the wider use
166 of natural habitats in coastal defence planning (see Bouma *et al.*, 2014). For instance, the
167 aboveground biomass of coastal vegetation can vary seasonally, which may impact wave
168 attenuation (Bouma *et al.*, 2014). Further, the persistence and effectiveness of habitats to
169 protect the shoreline is site specific, depending on tidal inundation and foreshore width
170 (Bouma *et al.*, 2014). Long-term persistence of coastal ecosystems needs to be predicted on
171 similar decadal scales to engineered structures, but this is hard to assess and can change due
172 to inherent ecosystem dynamics, or environmental factors. The latter could be the result of
173 the many other anthropogenic impacts simultaneously affecting coastal ecosystems alongside
174 climate change, including contamination (Browne *et al.*, 2015, Myers *et al.*, 1980, Stark,
175 1998, Stark *et al.*, 2004), extraction of resources (Duran & Castilla, 1989, Fanelli *et al.*,
176 1994, Lenihan & Peterson, 1998) and introduction and establishment of invasive species
177 (Ruiz *et al.*, 1997).

178 Although there is evidence for the protective role of coastal habitats, global losses of
179 these habitats is as high as 85% for oyster reefs (Beck *et al.*, 2011) and 50% for coral reefs
180 (Hoegh-Guldberg, 2014) and coastal wetlands (Davidson, 2014). Often, habitat destruction is
181 greatest around the most densely populated areas, ironically where the impact to humans is
182 greatest during erosion and flooding. This has driven an emerging interest in restoring or
183 creating habitats for coastal defence (Temmerman *et al.*, 2013).

184

185 **Incorporating ecological engineering into coastal defence planning**

186 Economic costs for coastal adaptation to climate change using hard infrastructure is
187 substantial. Additional costs of US\$ 4-11 billion per year are estimated for the coastal
188 engineering protection measures required with projected climate change over the next 50
189 years (Parry *et al.*, 2009). Equally, it has been well documented that building infrastructure in
190 intertidal and subtidal systems has a number of negative ecological impacts (Bulleri &
191 Chapman, 2010). For example, artificial coastal defence structures often support less diverse
192 communities than natural habitats (e.g. Chapman, 2003), with greater numbers of non-native
193 species (Dafforn *et al.*, 2009). This change in assemblage composition is likely to affect
194 ecosystem functioning in artificial systems, and consequently the services important to

195 humans (e.g. food provision), although this remains an understudied topic (Bulleri &
196 Chapman, 2015). To mitigate impacts of built infrastructure in the environment, there is an
197 increasing interest in ecological engineering, which is combining ecological processes with
198 engineering principles to develop infrastructure that benefits both humans and nature (Mitsch
199 & Jørgensen, 2003). Coastal eco-engineering research to date has ranged from ‘hard’, to
200 ‘hybrid’ to ‘soft’ solutions (Chapman & Underwood, 2011, Fig. 2).

201

202 *Hard eco-engineering*

203 In principle, hard eco-engineering is a solution to the ecological impacts of built
204 infrastructure in areas where there is not an option to manage shorelines using soft
205 engineering techniques. For instance, in coastal cities that are densely populated there may
206 insufficient space to create or restore habitats for coastal defence (Bouma *et al.*, 2014).
207 Equally, eco-engineered habitats can be retrofitted onto existing infrastructures (Dafforn *et al.*
208 *et al.*, 2015b, Fig. 2b). For example, much research has focussed on adding microhabitats, such
209 as water-retaining features and crevices, to marine infrastructure to increase the overall
210 heterogeneity of substrata and the diversity of organisms living on that structure (Chapman &
211 Underwood, 2011). Techniques for hard eco-engineering have been extensively reviewed
212 recently, and therefore are not addressed in this paper (Chapman & Underwood, 2011,
213 Dafforn *et al.*, 2015b, Firth *et al.*, 2016, Firth *et al.*, 2014).

214 Hard eco-engineering has different objectives to soft eco-engineering as ecological
215 principles are integrated into the design of existing or planned defence structures, with the
216 motivation to create multi-purpose infrastructure for enhancing diversity and ecological
217 functioning, while maintaining defence services. An exception, however, is when hard eco-
218 engineering promotes the settlement of organisms with a calcium carbonate skeleton (e.g.
219 barnacles), which can shelter the structure from weathering and erosion through bioprotection
220 (Perkol-Finkel & Sella, 2015). Conversely, while soft eco-engineering is advocated as the
221 preferred approach from an ecological perspective (Dafforn *et al.*, 2015b, Mayer-Pinto *et al.*,
222 2017), the created habitat foremost needs to provide sufficient coastal protection into the
223 future if this technique is to replace (or complement) artificial defences.

224

225 *Hybrid eco-engineering*

226 An intermediate solution between hard and soft eco-engineering is a hybrid approach
227 (Sutton-Grier *et al.*, 2015). Nature-based and built infrastructure in this case are combined to
228 provide maximal coastal protection benefits (Sutton-Grier *et al.*, 2015). This provides an
229 opportunity to harness the strengths of nature-based and hard infrastructure, while minimising
230 the weaknesses of both (Sutton-Grier *et al.*, 2015). For example, a shellfish reef may be
231 placed in front of a seawall (Fig. 2c), which could form the first line of defence, thus
232 prolonging the life of the wall as well as contributing other functions such as water filtration
233 and biodiversity enhancement. On the other hand, the seawall can provide protection during
234 reef formation. New initiatives could involve removable walls after the establishment of
235 nature-based infrastructure, or wider use of seawalls with gates that can be opened and closed
236 in extreme events (Sutton-Grier *et al.*, 2015). Thus, hybrid engineering might provide novel
237 alternatives to traditional infrastructure, particularly where soft engineering alone is not
238 appropriate.

239

240 *Soft eco-engineering*

241 The diversity of terminologies in the literature that relate to actions inspired or
242 supported by nature to solve environmental, social and economic problems may have
243 introduced ambiguity around nature-based coastal defence (Nesshöver *et al.*, 2017). This
244 includes ‘nature-based solutions’ (Nesshöver *et al.*, 2017), ‘soft engineering’ (Chapman &
245 Underwood, 2011), ‘nature-based features or infrastructure’ (Bridges *et al.*, 2015),
246 ‘green/blue infrastructure’ (Mayer-Pinto *et al.*, 2017) ‘building with nature’ (de Vriend *et al.*,
247 2014) and ‘living shorelines’ (Bilkovic *et al.*, 2016). In addition, restoration (defined as the
248 re-creation of habitat that was previously in a particular area, Elliott *et al.* 2007) and habitat
249 creation or enhancement, which is placing a different habitat within an area (Elliott *et al.*,
250 2007) have both been included under nature-based shorelines (Bilkovic *et al.*, 2016).
251 Whichever the term used, in general, all practices have in common the promotion of nature to
252 enhance climate change mitigation and adaptation, explicitly as an alternative to, or to
253 complement, built infrastructure (Nesshöver *et al.*, 2017), Fig. 2d).

254 Coastal protection may often not be a primary motive in soft engineering projects. For
255 example, the restoration of oysters in the United States started with the aim of enhancing
256 fisheries, but since the framework has been used to restore other ecosystem services,

257 including coastal defence (Beck *et al.*, 2011). Here, we evaluate the current evidence for the
258 effectiveness of restored or created (hereafter collectively referred to as 'restored') dunes,
259 coral and shellfish reefs, seagrass and kelp beds, mangroves, and saltmarsh as coastal
260 defence, in comparison to hard infrastructure.

261

262 **Current evidence for nature-based coastal defence**

263 A relatively small percentage of studies reported coastal defence as a primary
264 objective of coral reef (18%), mangrove (26%), saltmarsh (16%) and shellfish (26%)
265 restoration projects, and none for kelp and seagrass (Supplementary methods and Table S4,
266 Fig. 3). In contrast, over half (65%) of dune restoration studies were for coastal defence. For
267 coral reefs and mangroves designed for coastal defence, monitoring to determine whether the
268 created habitat had succeeded in protecting the coast was done in half, or less, of the studies
269 (Fig. 3). A questionnaire-based study on coral reef restoration revealed a similar result, where
270 19.6% of respondents reported designing reefs to deliver coastal defence services, but only
271 10% reported measuring those benefits (Fabian *et al.*, 2014). For dunes, saltmarsh and
272 shellfish coastal defence projects, field measurements of shoreline erosion and/or wave
273 attenuation was greater (60-80% of projects, Fig. 3). However, all studies (except see,
274 Gittman *et al.*, 2014) compared restored habitats to control areas without habitats, leaving a
275 paucity of information on how nature-based defences compare to hard infrastructure (Fig. 3).

276

277 *Wave attenuation*

278 Wave attenuation is the reduction in wave height or energy that occurs as waves pass
279 over coastal habitats. Created oyster reefs, installed to combat both natural and anthropogenic
280 erosion, attenuated 25% of the wave height caused by boating pressures, in comparison to
281 controls with no reefs, and was equivalent to a natural reef (23% attenuation) (Garvis, 2009).
282 As might be expected, wave energy reduction significantly increased from immediate
283 deployment of the oyster reef (18.7%) to one year after establishment (44.7% reduction)
284 (Manis *et al.*, 2015). Under the same conditions, newly created and established saltmarsh
285 reduced 6.9% and 31.4% of wave energy, respectively (Manis *et al.*, 2015). A design that
286 incorporated both saltmarsh and oyster reef, however, was the most effective at attenuating
287 wave energy (67.3% after one year; Manis *et al.*, 2015).

288 Oyster reefs reduced the power of larger wind-waves (> 0.03 m in height) by 42-44%
289 (Taube, 2010). Restored reefs, however, dissipated less wave energy than a natural reef in the
290 same area (61%). Under some conditions, restored saltmarsh was recorded to dissipate
291 virtually all wave energy, over half of which was within the first few metres of the bed
292 (Knutson *et al.*, 1982). There is likely to be an optimal water depth, however, for wave
293 attenuation by coastal habitats, where decoupling occurs between the surface waves and
294 structure on the seabed when depth is too great (Knutson *et al.*, 1982, Taube, 2010).

295 The rate of wave reduction for mangroves can be as high as 20% per 100 m of forest
296 (Mazda *et al.*, 1997). Further, using vegetation parameters from a restored mangrove forest, a
297 model simulation estimated a 60% wave height reduction, even under predicted sea level rise
298 (Cuc *et al.*, 2015). Concurrently, field measurements showed that wave reduction of restored
299 mangroves was unaffected by changes in water depth, where mangroves were sufficiently tall
300 (Mazda *et al.*, 1997). Thus, mangroves may be more effective at shoreline protection over a
301 larger range of depths, in comparison to subtidal habitats or low-lying coastal vegetation.

302

303 *Shoreline response*

304 Shoreline response describes the extent of lateral (i.e., a change in shoreline position)
305 or vertical erosion/accretion to built or natural/nature-based infrastructure. The majority of
306 studies on shoreline response came from restored dune habitats (Tables S5 and S6). Dune
307 restoration for defence takes many forms including the direct addition of sand (i.e.,
308 nourishment) to dunes and beaches (Achab *et al.*, 2014, Matias *et al.*, 2005), the construction
309 of sand ridges with and without hard cores (do Carmo *et al.*, 2009, Kratzmann & Hapke,
310 2012, Wamsley *et al.*, 2011), and the facilitation of sand accumulation using fences,
311 vegetation and by managing pedestrian access (Anthony *et al.*, 2007, Johnston & Ellison,
312 2014, Lin, 1996, Miller *et al.*, 2001, Table S3). Utilising multiple techniques within a site, for
313 example beach renourishment in combination with sand fences, to build dunes is common
314 (Bocamazo *et al.*, 2011, Khalil & Lee, 2006). Dune restoration in conjunction with more
315 traditional hard engineering structures has also been applied (Bezzi *et al.*, 2009, Bocamazo *et*
316 *al.*, 2011, Wamsley *et al.*, 2011).

317 Although few dune restoration studies made meaningful comparisons with control
318 sites, there is some evidence that created dunes can reduce shoreline loss in comparison to
319 non-restored sites (Achab *et al.*, 2014, Dias *et al.*, 1999, Matias *et al.*, 2005). Restoration
320 often results in an initial seaward shift in shoreline position, particularly when sand is added

321 to the system or when the created dune was constructed seawards of the existing shoreline
322 (Dias *et al.*, 1999, Matias *et al.*, 2005). Subsequent post-restoration beach retreat and dune
323 erosion during storms is also frequently reported (Dias *et al.*, 1999, Kratzmann & Hapke,
324 2012, Matias *et al.*, 2005, Shibutani *et al.*, 2016), in extreme cases leading to the total
325 removal of the restored dune (do Carmo *et al.*, 2010, Froede, 2010, Gares *et al.*, 2006).
326 Restored dunes are potentially able to function in a manner akin to natural dunes and rebuild
327 following erosive events (Nordstrom *et al.*, 2000). Information on the post-storm recovery of
328 restored dunes is sparse but appears limited due to the negative sediment budgets, frequent
329 erosive events, or poor beach management practises that necessitated construction of the
330 dunes in the first place (Bezzi *et al.*, 2009, do Carmo *et al.*, 2010, Froede, 2010, Shibutani *et*
331 *al.*, 2016). Over decadal time scales shoreline stability or net progradation was only achieved
332 through repeated dune restoration interventions (Bakker *et al.*, 2012, Bocamazo *et al.*, 2011,
333 Gares *et al.*, 2006, Keijsers *et al.*, 2015) or the construction of hybrid eco-engineering
334 structures (do Carmo *et al.*, 2010).

335 Created oyster reefs can reduce shoreline loss in comparison to control sites with no
336 reefs, although in some cases (La Peyre *et al.*, 2014, La Peyre *et al.*, 2013b, Moody *et al.*,
337 2013), but not others (La Peyre *et al.*, 2013a), shorelines continued to erode, albeit less.
338 Shoreline exposure can impact the defence value of oyster reefs, however, data that supports
339 whether reefs are more successful in low (Piazza *et al.*, 2005) or mid- to high energy (La
340 Peyre *et al.*, 2015) environments is unclear. Oysters are the only group of shellfish that have
341 been restored for the goal of coastal defence and these created reefs may be able to match or
342 exceed natural reefs in meeting this objective (Stricklin *et al.*, 2010).

343 Experimental saltmarsh planting in the United States represents some of the earliest
344 examples of creating habitats for coastal defence (Knutson *et al.*, 1981). Planted areas were
345 successful in stabilising shorelines compared to unplanted areas, with some accretion also
346 observed (Benner *et al.*, 1982, Woodhouse *et al.*, 1976). Equally, coastal stabilisation and
347 land reclamation have been achieved through years of ecological engineering with saltmarsh
348 in China (Chung, 2006, Chung *et al.*, 2004). The success of saltmarsh plantings may depend
349 on sediment grain size, length of fetch and shoreline configuration, with greater effectiveness
350 in sheltered areas (Knutson *et al.*, 1981). Establishment of saltmarsh, however, may be
351 achievable in more exposed areas using temporary wave breakers (Dodd & Webb, 1975) or
352 in combination with shellfish (e.g. mussels) to attenuate wave energy (Newcombe *et al.*,
353 1979).

354 In the tropics, artificial reefs transplanted with corals were effective in promoting
355 beach accretion following a period of significant erosion (Arnouil, 2008, Fabian *et al.*, 2014),
356 although specific reports detailing shoreline response were unavailable, or not measured for
357 many coral reef projects (Fabian *et al.*, 2014). Equally, although case studies were presented
358 where mangroves were planted to provide coastal protection (IFRC, 2011), there was little
359 data on the shoreline response to planted mangroves, despite evidence for higher sediment
360 accretion rates at greater mangrove plantation densities (Kumara *et al.*, 2010). More
361 commonly, a hybrid eco-engineering approach to mangrove restoration was reported (Table
362 S3). This involved planting in combination with a breakwater to facilitate sediment
363 accumulation and wave attenuation for mangrove establishment (Hashim *et al.*, 2010,
364 Motamedi *et al.*, 2014, Van Cuong *et al.*, 2015). Although there are no studies on shoreline
365 protection provided by seagrass and kelp restoration, tests of an artificial seaweed bed
366 installed to promote build-up of a beach in the United Kingdom showed some accretion over
367 its lifespan. However, the artificial seaweed was severely damaged by storms within a year of
368 installation (Price *et al.*, 1968).

369

370 *Flood water and storm surge attenuation*

371 Flood water and storm surge attenuation is the ability of coastal habitats to reduce the
372 height or duration of flood waters and protect the coast during extreme episodic events (e.g.,
373 hurricanes). There is less empirical data on the effectiveness of nature-based coastal defence
374 for flood water and storm surge attenuation. Furthermore, even whether natural ecosystems
375 provide effective defence against storms, in particular extreme events, such as tsunami and
376 hurricanes is hotly debated (Kumar, 2015). Storm events were stated to have occurred in
377 many studies that observed shoreline response over a number of years (Table S5 and S6),
378 although the effect of the individual storm event was not necessarily quantified. Regardless,
379 the value of nature-based coastal defence had been predicted to be negligible under storm
380 surges where the sea level is elevated (Knutson *et al.*, 1982, Taube, 2010), although this is
381 likely to be habitat and context dependent. For example, observations of an artificial coral
382 reef in the Dominican Republic suggest that while the reef remained stable during two
383 hurricanes, wave attenuation was not enough to prevent significant beach erosion (Fabian *et*
384 *al.*, 2014). In contrast, remote sensing data suggested little flooding after cyclones in areas
385 where there were natural mangroves and for coastal islands that were protected by a
386 combination of dykes and mangrove plantings (Blasco *et al.*, 1992).

387 In some studies, repeated surveys of restored dunes identified the response of these
388 systems to storm events. There were mixed results with created dunes withstanding
389 significant storm events in some cases (Bezzi *et al.*, 2009, Dias *et al.*, 1999, Harley &
390 Ciavola, 2013, Wamsley *et al.*, 2011), but failing in others (do Carmo *et al.*, 2010, Froede,
391 2010). Where measured, the surviving created dunes protected against overwash compared to
392 adjacent non-dunal areas or areas where dunes had been destroyed (Harley & Ciavola, 2013,
393 Wamsley *et al.*, 2011). Smaller narrower dunes are more frequently destroyed by wave action
394 than larger ones but can also be rebuilt quickly (Nordstrom *et al.*, 2000), and in the
395 appropriate environments can accord the desired protective function (Harley & Ciavola,
396 2013). Dunes constructed close to the sea were frequently eroded (do Carmo *et al.*, 2010,
397 Froede, 2010), while those constructed further inland were able to accumulate sand even
398 during storm events (Miller *et al.*, 2001). A wide, high beach can minimise dune erosion and
399 serve as a source of sand for dune building (Bezzi *et al.*, 2009, Bocamazo *et al.*, 2011),
400 although erosion of artificially elevated nourished beaches can also limit aeolian processes
401 and dune growth (Dias *et al.*, 1999, Jackson *et al.*, 2010). The frequency and magnitude of
402 erosive events, and the use of hybrid eco-engineering methods also influenced dune survival
403 (Anthony *et al.*, 2007, do Carmo *et al.*, 2010, Mendelssohn *et al.*, 1991, Wamsley *et al.*,
404 2011).

405 The most compelling evidence for effective protection by nature-based coastal
406 defence is provided by Gittman *et al.* (2014) for created saltmarshes with sills (rock or oyster
407 shell seaward of marsh) after a hurricane in the United States. Following the hurricane, storm
408 damage was reported for 76% of bulkheads protecting shorelines, whereas no damage was
409 found for restored marshes with sills. From before to after the hurricane event, there was no
410 effect on marsh surface elevation, although vegetation density was reduced. After one year,
411 however, the vegetation had recovered to pre-hurricane levels (Gittman *et al.*, 2014). This
412 study exemplifies the approach that should be taken in assessing soft versus traditional
413 engineered shoreline protection schemes.

414

415 *Traditional versus nature-based coastal defence*

416 We extracted data from 15 and 23 studies for wave attenuation and shoreline
417 response, respectively, for inclusion in our meta-analysis. In addition, we undertook a
418 qualitative review for those studies that only presented written statements about their results
419 (n = 76; Supplementary methods). Studies on either or both artificial and nature-based

420 infrastructure occurred in many countries throughout the world, although a clear hotspot for
421 this research was the United States (Fig. 4). A meta-analysis was possible for wave
422 attenuation for saltmarsh, oyster reef and breakwaters (emergent and submerged combined,
423 Supplementary methods, Table 1) and shoreline response for saltmarsh, oyster reef, dunes,
424 coral reefs and breakwaters (emergent and submerged combined). Further, a qualitative
425 review of the effect on shoreline response of mangroves, emergent and submergent
426 breakwaters, groynes, revetments and seawalls (Table 1), as well as additional papers on
427 coral reefs and dunes was done.

428 Breakwaters significantly reduced wave height by 45% ($n = 7$; 14 - 90%; Fig. 5a,b).
429 There was no significant effect, however, of saltmarsh ($n = 6$; 4 - 74%) or oyster reefs ($n = 2$,
430 26 - 27%) on wave attenuation (Fig. 5a,b). Coral reefs and breakwaters had a significant
431 accretionary effect on shoreline response (Fig. 6a). In addition, the proportion of studies that
432 cited accretion in the qualitative review was significantly different among habitats ($\chi^2 =$
433 77.14, d.f. = 7, $P < 0.001$). There was, however, no significant effect of restored saltmarsh,
434 oyster reefs and dunes on shoreline response (Fig. 6a). For dunes, this result contrasts with
435 the qualitative review, where a greater proportion of studies reported accretion (Fig. 6b),
436 which likely reflects the small sample size in the meta-analysis. All studies on mangroves
437 reported accretion following mangrove planting, which in some cases was in combination
438 with a temporary breakwater to facilitate mangrove establishment (Fig. 6b). A greater
439 proportion of studies on emergent breakwaters reported shoreline accretion, however erosion
440 was more often the result of submerged breakwaters (Fig. 6b). Similarly, shoreline retreat
441 was also reported in a greater number of studies for seawalls (Fig. 6b).

442 For saltmarsh, this result is in direct contrast to a recent meta-analysis, which showed
443 a significantly positive effect of natural, intact saltmarsh on shoreline stabilisation and wave
444 attenuation (Shepard *et al.*, 2011). The obvious point of distinction is the meta-analysis by
445 Shepard *et al.* (2011) included more studies, but only two (wave attenuation) and three
446 (shoreline change) papers included here looked at restored saltmarsh, highlighting the need
447 for more research on the coastal defence value of restored habitats.

448 Currently, the evidence for the efficacy of restored habitats versus traditional
449 infrastructure suggests that restored coral reefs, mangroves and dunes could be equivalent to
450 artificial structures at maintaining or building the shoreline, although more quantitative
451 evidence is needed for these habitats. The effect of saltmarsh and oyster reefs was, however,
452 more variable and did not show a significant effect on shoreline response or wave attenuation
453 in comparison to breakwaters. Variability in results among studies highlights the need to

454 identify not only which habitats are effective at providing coastal defence, but also under
455 what range of physical conditions (i.e. what locations and types of environments). Further, as
456 with natural habitats (e.g. Shepard *et al.* 2011) the design of soft engineering projects (e.g.
457 tidal height, length and width, density of organisms) will impact effectiveness. Thus, the
458 design elements that contribute to the success or failure of nature-based coastal defence need
459 to be identified. Interestingly, a greater proportion of studies on seawalls and submerged
460 breakwaters reported erosion compared to accretion. These are structures that are commonly
461 and increasingly used as coastal defence, but equally can result in adverse impacts in some
462 areas (Ranasinghe & Turner, 2006).

463 Comparisons between traditional and soft engineering approaches, however, are
464 difficult if they have not been tested under the same environmental conditions. Indeed, for
465 those studies that recorded the tidal and average significant wave heights at the study area, a
466 greater percentage of soft engineering structures were tested under smaller tidal ($n = 30$;
467 micro- = 70%; meso- = 27%; macro-tidal = 3%) and wave energy conditions ($n = 14$;
468 significant wave height $< 1\text{ m} = 79\%$; $< 2\text{ m} = 21\%$, Table S5 and S6). In comparison, studies
469 on artificial structures included a higher percentage tested under larger tidal ($n = 24$; micro- =
470 54%; meso- = 13%; macro-tidal = 33%) and wave energy conditions ($n = 14$; significant
471 wave height $< 1\text{ m} = 42\%$; $< 2\text{ m} = 25\%$; $2^+ \text{ m} = 33\%$, Table S5 and S6). Further, as many
472 studies only monitored over short-time periods (months to years) following restoration, there
473 is limited information on the long-term effectiveness of the created habitats (Table S5 and
474 S6). Thus, while soft engineering structures offer the potential for low-impact, effective
475 coastal defence, until there is a greater number of studies globally to test the value of these
476 shoreline protection schemes, their wider use in place of artificial structures is likely to
477 remain relatively limited.

478

479 **Cost-benefit of nature-based coastal defence**

480 Nature based coastal defence needs to be effective and have an equal or greater cost-
481 benefit when compared to traditional infrastructure. As there are few studies that make
482 comparisons between the effectiveness of nature-based and traditional defences, it is
483 unsurprising there is also a lack of data for site-specific cost-benefit comparisons. This is
484 regarded as one of the significant challenges for widespread use of habitats for coastal
485 protection (Narayan *et al.*, 2016).

486 A recent synthesis estimated the cost and effectiveness of nature-based coastal
487 defences through pairing information on restored habitats for defence with nearby field data
488 on wave attenuation at natural habitats (Narayan *et al.*, 2016). This was integrated with
489 engineering knowledge to estimate the costs of coastal defence if the equivalent was achieved
490 with a submerged breakwater. Saltmarsh and mangroves were the only habitats with
491 sufficient data for comparison, with both being assessed as cost-effective alternatives to
492 traditional infrastructure. Similarly, Ferrario *et al.* (2014) reported a comparable range of
493 wave height reduction for coral reefs and low-crested detached breakwaters, and significantly
494 cheaper costs for coral reef restoration in comparison to building tropical breakwaters.

495 Ideally, however, to produce more accurate cost-benefit analyses for the habitats
496 reviewed, field measurements on the effectiveness of nature-based coastal defence as well as
497 the costs associated with their creation/restoration should be reported for each project.
498 Importantly, data for the coastal protection benefits of existing natural habitats may not
499 necessarily translate to nature-based projects for defence because of the trade-offs that can
500 occur when restoring or creating these systems (see below). Further, comparing the cost
501 relative to the effectiveness of the single service of coastal protection will result in
502 undervaluing nature-based approaches, which are expected to provide a number of additional
503 ecosystem services.

504

505 *Additional ecosystem services*

506 One rationale for nature-based coastal defence is the assumption that they will provide
507 other ecosystem services in addition to coastal protection (Table 2). With the global decline
508 in natural estuarine and coastal systems, there is much interest in economically valuing the
509 services provided by these habitats to leverage their conservation and restoration (Barbier *et*
510 *al.*, 2011). To accurately evaluate the benefits of using nature-based coastal defence, cost-
511 benefit analyses need to include all ecosystem services provided by eco-engineered and
512 traditional coastal defence. However, created or restored habitats may not be effective at
513 providing the same suite of services as natural ecosystems (Bilkovic & Mitchell, 2013).
514 Thus, monitoring of restored habitats needs to include an evaluation of all ecosystem services
515 relevant to that habitat, in addition to coastal protection.

516 With restoration for coastal defence, trade-offs among ecosystem services can occur
517 due to the fact that coastal protection is predominantly needed during extreme events, which
518 may result in different requirements for particular features of a habitat compared to

519 biodiversity conservation (van Loon-Steensma & Vellinga, 2013). In particular, ecological
520 trade-offs may occur with hybrid coastal protection that incorporates structural elements to
521 facilitate restored habitats (Bilkovic & Mitchell, 2013). For instance, created saltmarsh in
522 combination with a stabilising structure, such as a low-profile rock sill is increasingly used in
523 the United States for shoreline protection, as well as to restore coastal habitat. Marsh sills,
524 however, supported epifaunal suspension feeders, such as oysters, which colonised the rock
525 sill and a lower deposit-feeding infaunal biomass than natural marshes (Bilkovic & Mitchell,
526 2013). As deposit feeders are important for bioturbation and nutrient cycling, incorporating
527 marsh sills for coastal protection may result in a trade-off for this ecological service provided
528 by saltmarsh systems. A greater number of suspension feeders, however, could increase water
529 filtration and thus the water quality services (Bilkovic & Mitchell, 2013).

530 The reviewed restored coastal habitats are commonly evaluated for their effectiveness
531 at maintaining biodiversity (Table 2). For coral reefs and kelp, other ecosystem services, such
532 as fisheries provision and nutrient cycling have not been evaluated, which is likely due to the
533 shorter history of restoration efforts in these habitats. There is evidence that restored
534 mangroves, saltmarsh, seagrass and shellfish reefs can provide similar ecosystem services to
535 natural habitats, although some gaps remain such as nutrient cycling in mangroves, fisheries
536 provision for seagrass and provision of raw materials and food for saltmarsh (Table 2).
537 Equally, an evaluation of additional ecosystem services potentially provided by artificial
538 structures is largely unknown, beyond patterns of biodiversity between artificial structures
539 and natural shorelines (Bulleri & Chapman, 2010).

540 Artificial structures introduce a novel substratum into the marine environment, which
541 can be colonised by organisms. It has been well documented that human-made structures
542 generally support less diverse assemblages than natural habitats (e.g. Chapman 2003), with
543 greater numbers of non-indigenous species (Dafforn *et al.* 2009). Thus, artificial structures
544 cannot be considered to provide the same biodiversity provisioning services as natural
545 habitats. In some areas globally, however, artificial structures such as seawalls are colonised
546 by large numbers of filter feeders (e.g. oysters, Scanes *et al.*, 2016). Whether the organisms
547 living on coastal defence structures have the same filtration capacity as those on natural
548 substrata, and how this contributes to water quality services is yet to be tested. Similarly,
549 artificial structures can be associated with diverse fish assemblages (Fowler & Booth, 2013).
550 Whether this is due to the attraction of existing biomass or new production of fish is still not
551 well resolved, so the contribution to fisheries provisioning is difficult to quantify (Bohnsack,
552 1989).

553 Hard ecological engineering may also be used to enhance biodiversity and ecosystem
554 functioning of coastal defence structures (Chapman & Underwood, 2011, Dafforn *et al.*,
555 2015a). Although it is unlikely that hardened shorelines will be able to provide the same suite
556 of ecosystem services as softer habitats, comparisons of all ecosystem services across
557 restored and artificial habitats are required to make reliable cost-benefit comparisons.

558

559 **Conclusions**

560 Uptake of nature-based coastal defence depends on its acceptance as an alternative to
561 traditional engineering solutions. Support needs to come from a number of stakeholder
562 groups including coastal managers, engineers and the public (Nesshöver *et al.*, 2017). For
563 this, we need rigorous data that assesses the costs and effectiveness of habitats created for
564 protection to mitigate coastal hazards. It is clear that for most of the habitats reviewed, the
565 data is currently lacking. This is highlighted by the meta-analysis, for which we found very
566 few studies on the coastal defence value of restored habitats to make comparisons to artificial
567 structures. Collaborations among stakeholders, such as scientists, coastal managers and
568 engineers, are required to facilitate the necessary research to identify which restored habitats
569 provide effective coastal defence, where those habitats work and what is the best design to
570 implement. Ideally, nature-based defences should be compared directly in the field to an
571 artificial structure at a location under similar conditions, with ecosystem services of interest
572 evaluated before and after the creation of habitat (and traditional infrastructure) at
573 experimental sites relative to unaltered control sites (Chapman, 1999). Alternatively, the cost
574 of an artificial structure to achieve the same coastal protection as a soft engineered shoreline
575 can be estimated in cost-benefit analyses if the opportunity to compare nature-based and
576 traditional infrastructure in the field is not possible (*sensu* Narayan *et al.*, 2016).

577 Where soft engineering approaches are established, a remaining challenge is how to
578 estimate their persistence over similar decadal scales to engineered structures (Bouma *et al.*,
579 2014). At least for dunes, different restoration designs may allow for different levels of
580 dynamism in the system (Nordstrom *et al.*, 2011). However, managing nature-based coastal
581 defence for engineering resilience by promoting constancy and predictability may come at a
582 cost to ecological resilience, when it is precisely this variability that allows natural
583 ecosystems to absorb disturbances and remain stable (Holling, 1996). Adopting soft
584 engineering practices will thus necessitate a change in the way we approach the design and
585 evaluation of coastal defence infrastructure. This change in mindset needs to happen now as

586 our rapidly changing climate requires new and innovative solutions to reduce the
587 vulnerability of coastal communities to an increasingly uncertain future.

588

589 **Acknowledgements**

590 The National Centre for Coasts and Climate is funded through The Earth Systems and
591 Climate Change Hub by the Australian Government's National Environmental Science
592 Programme. We thank J. Morrongiello and M. Sievers for advice on the statistical analyses.

593

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990 Table 1. Artificial structures evaluated in this study, defined per Van Rijn (2013).

Structure	Definition
Groyne	Narrow, shore-perpendicular structure built on the shore extending into the surf zone for shoreline stabilisation
Emergent breakwater	Offshore barrier built parallel to the shore for wave attenuation and shoreline stabilisation. Crest positioned above still water height.
Submerged breakwater	Offshore barrier built parallel to the shore for wave attenuation and shoreline stabilisation. Crest positioned below still water height.
Revetment	Shore-parallel sloped structure built to reduce inundation and protect land behind from erosion.
Seawall	Shore-parallel vertical structure built to reduce inundation and protect land behind from erosion.

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1005 Table 2. Other ecosystem services provided by a) natural habitats, b) restored habitats and c)

1006 hard coastal defences. ✓ indicates ecosystem service is provided, ✗ indicates ecosystem

1007 service is not provided, ? data are not available.

	<i>Maintenance of wildlife</i>	<i>Raw materials and food</i>	<i>Fisheries provision</i>	<i>Nutrient cycling, water purification</i>	<i>Carbon sequestration</i>	<i>Tourism, recreation, education, research</i>	<i>References</i>
a) Natural habitats							
Coral reef	✓	✗	✓	✓	✗	✓	Barbier <i>et al.</i> (2011)
Foredunes	✓	✓	✗	✓	✓	✓	Everard <i>et al.</i> (2010), Barbier <i>et al.</i> (2011)
Kelp	✓	✓	✓	✓	✗	✓	Smale <i>et al.</i> (2013)
Mangrove	✓	✓	✓	✓	✓	✓	Barbier <i>et al.</i> (2011)
Saltmarsh	✓	✓	✓	✓	✓	✓	Barbier <i>et al.</i> (2011)
Seagrass	✓	✓	✓	✓	✓	✓	Barbier <i>et al.</i> (2011)
Shellfish reef	✓	✓	✓	✓	✗	✓	Beck <i>et al.</i> (2011)
b) Restored habitats							
Coral reef	✓	✗	?	?	✗	✓	Clark and Edwards (1999)
Foredunes	✓	?	✗	?	✗	✓	Nordstrom <i>et al.</i> (2000), Russell <i>et al.</i> (2009), Gallego-Fernandez <i>et al.</i> (2011)
Kelp	✓	?	?	?	✗	✓	Marzinelli <i>et al.</i> (2016)

Mangrove	✓	✓	✓	?	✓	✓	Bosire <i>et al.</i> (2008), Dung <i>et al.</i> (2016)
Saltmarsh	✓	?	✓	✓	✓	✓	Davis <i>et al.</i> (2015), La Peyre <i>et al.</i> (2007), Sparks <i>et al.</i> (2015)
Seagrass	✓	✓	?	✓	✓	✓	Cole and McGlathery (2012), Greiner <i>et al.</i> (2013), McSkimming <i>et al.</i> (2016)
Shellfish reef	✓	✓	✓	✓	✗	✓	Milbrandt <i>et al.</i> (2015), Scyphers <i>et al.</i> (2011)

c) Hard defences

Breakwater	✗	✗	?	?	✗	✓	Bulleri and Chapman (2010)
Groynes	✗	✗	?	?	✗	✓	Bulleri and Chapman (2010)
Revetment/Riprap	✗	✗	?	?	✗	✓	Bulleri and Chapman (2010)
Seawall	✗	✗	?	?	✗	✓	Bulleri and Chapman (2010)

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1011 Fig. 1. Coastal hazards and protection services provided by natural habitats.

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1013 Fig. 2. Ecological engineering incorporated into coastal defence infrastructure ranges from
 1014 hard to soft approaches. a) Traditional seawall, b) Seawall with water-retaining features to
 1015 enhance biodiversity, c) Oyster reef in front of seawall, d) Created oyster reef with saltmarsh
 1016 and e) Natural mangrove forest.

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1018 Fig. 3. Heat map of the number of restoration projects implemented for different management
 1019 objectives. ‘Measured’ refers to the number of projects implemented for coastal defence that
 1020 made field measurements to determine their effectiveness. ‘Vs. artificial’ refers to the number
 1021 of projects that made comparisons between soft and traditional engineering shoreline
 1022 protection.

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1024 Fig. 4. Map of the location and number of studies included in the review. The smallest circles
 1025 represent 1-5 studies, the largest represents 45-50 studies.

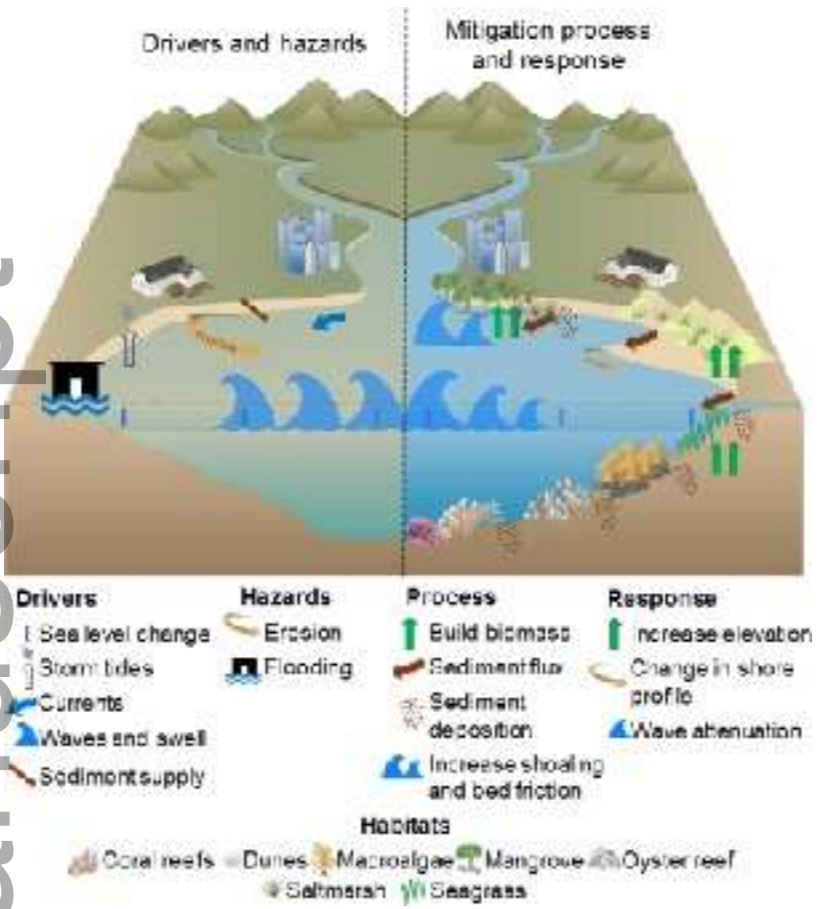
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1027 Fig. 5. a) Results of the meta-analysis (log response ratios and 95% confidence intervals)
1028 testing the effects of different habitats (black = nature-based, grey = artificial) on wave
1029 attenuation. b) Graph of the percentage wave attenuation (95% confidence intervals).

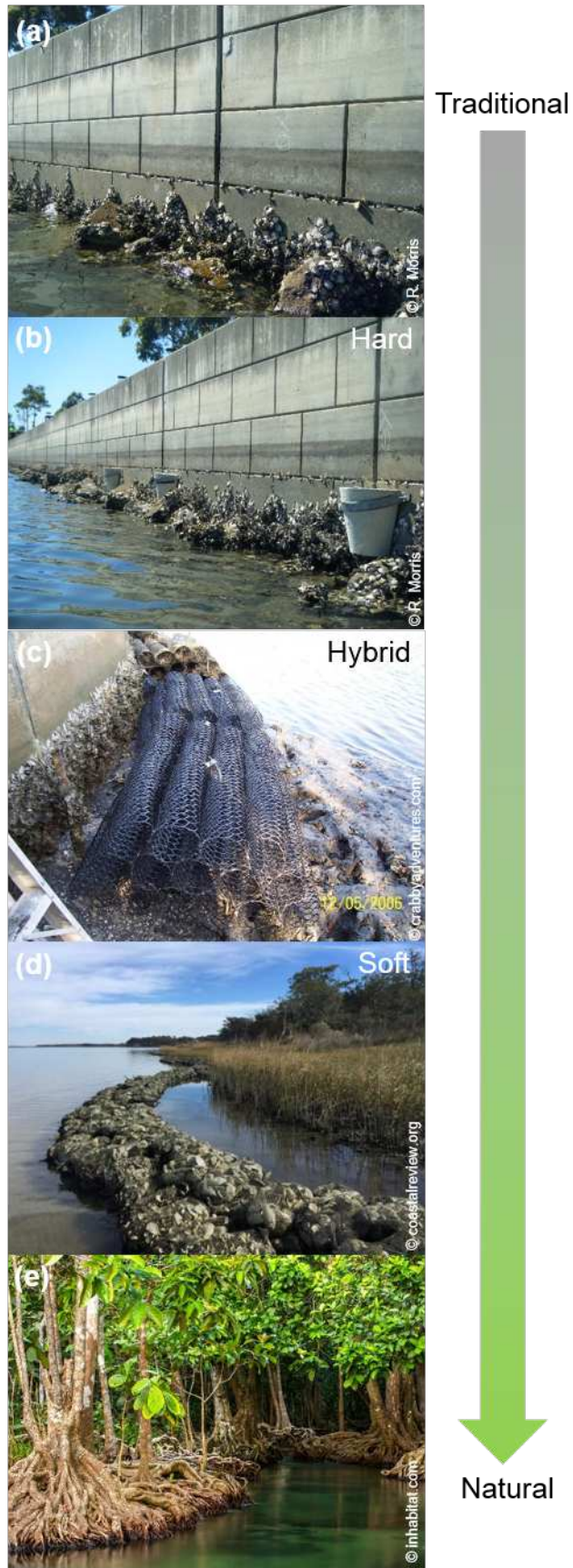
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1031 Fig. 6. Results of the a) meta-analysis (Hedge's g standard mean difference effect size and
1032 95% confidence intervals) and b) qualitative analysis (proportion of studies citing accretion)
1033 testing the effects of different habitats (black = nature-based, grey = artificial) on sediment
1034 stabilisation.

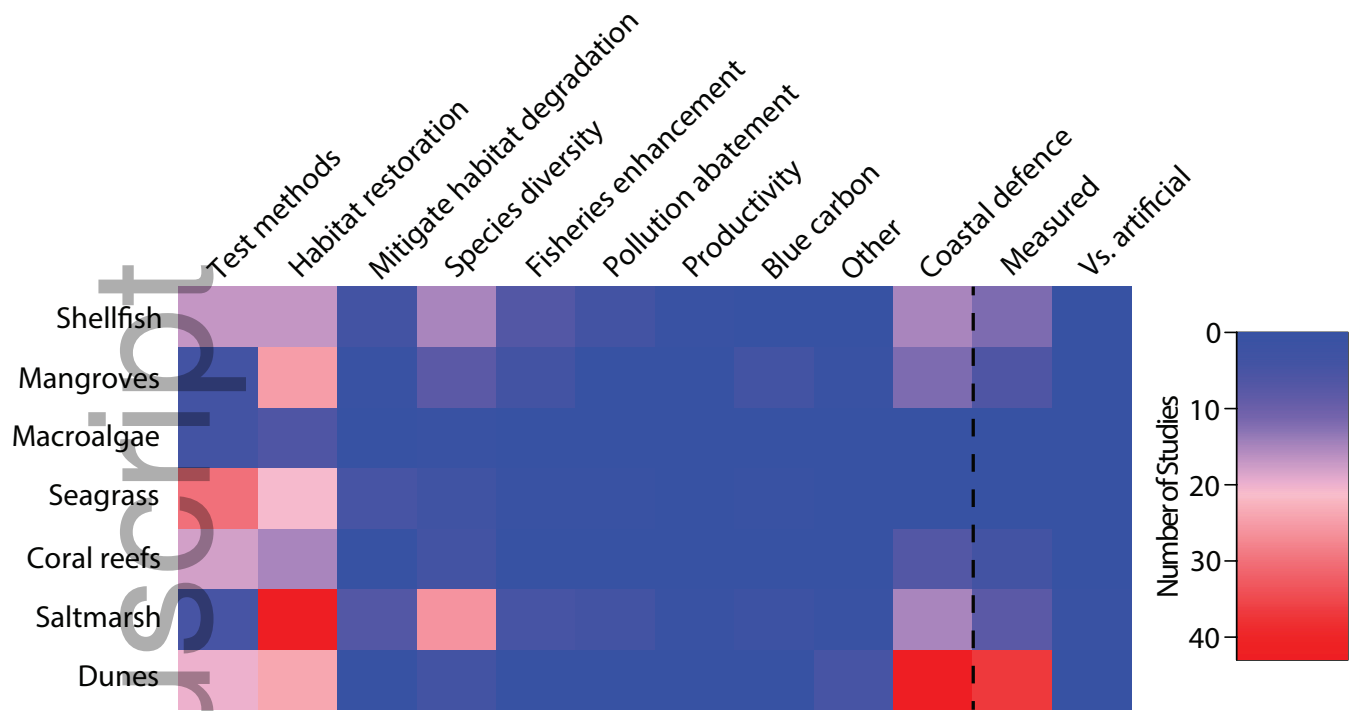
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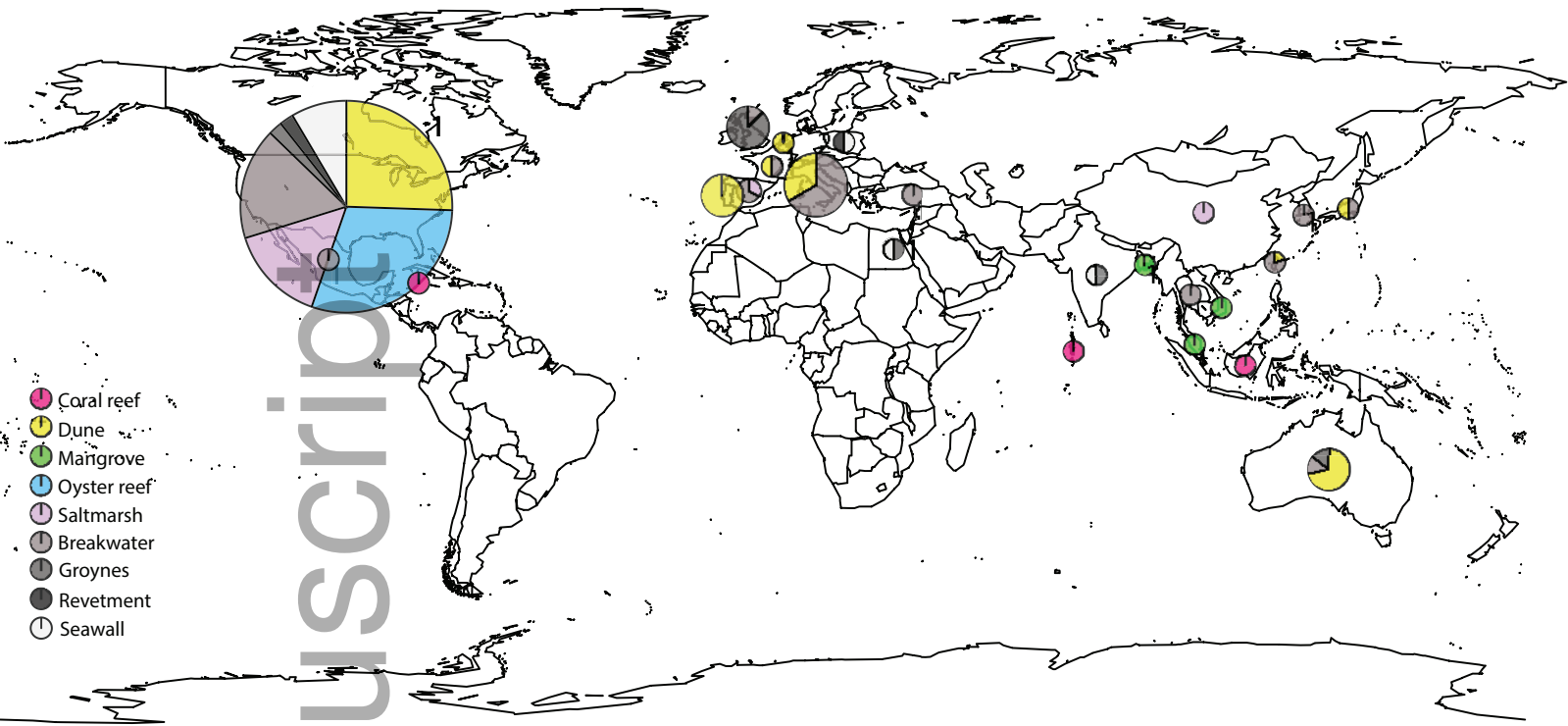


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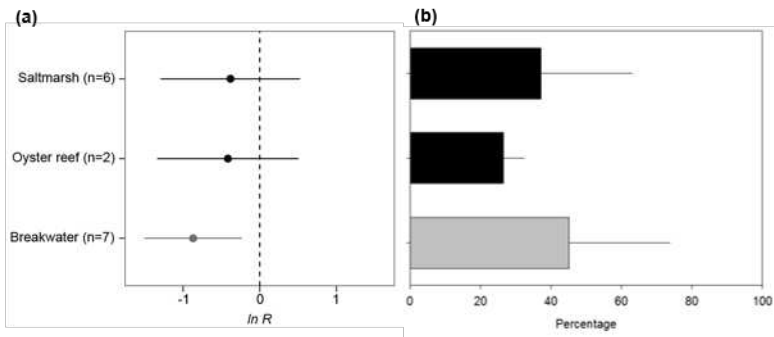
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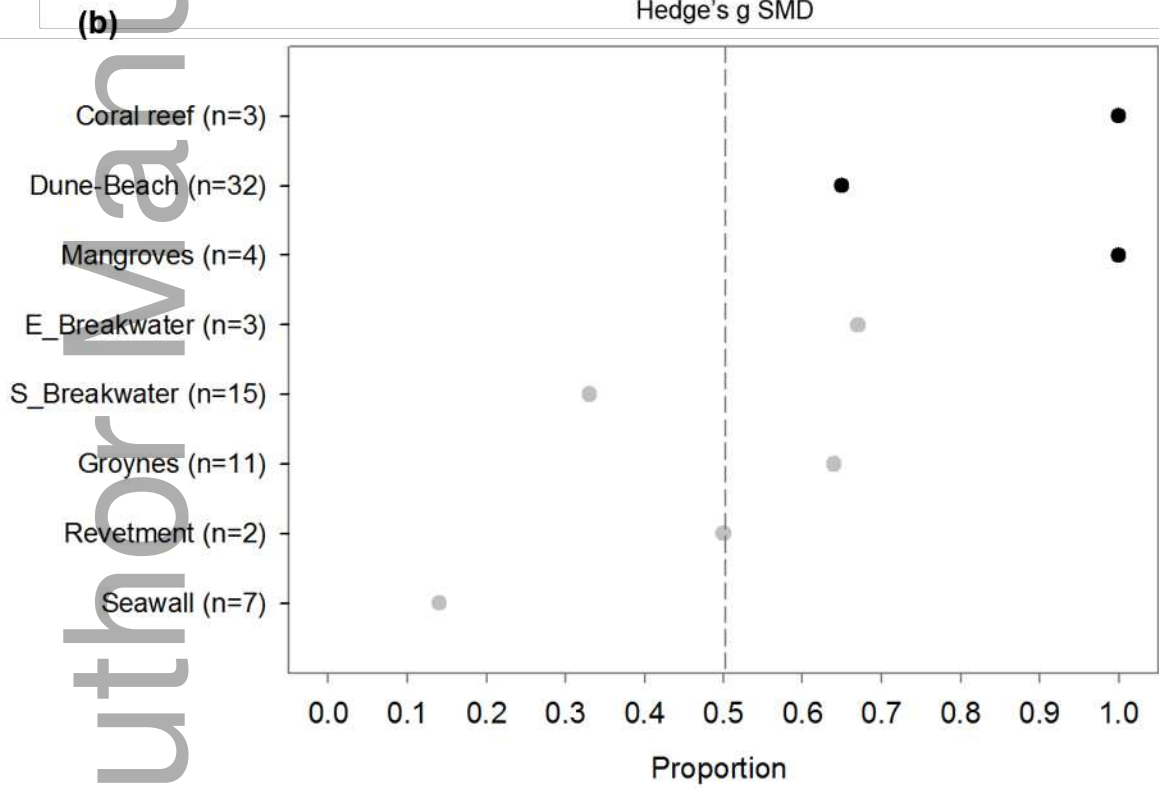
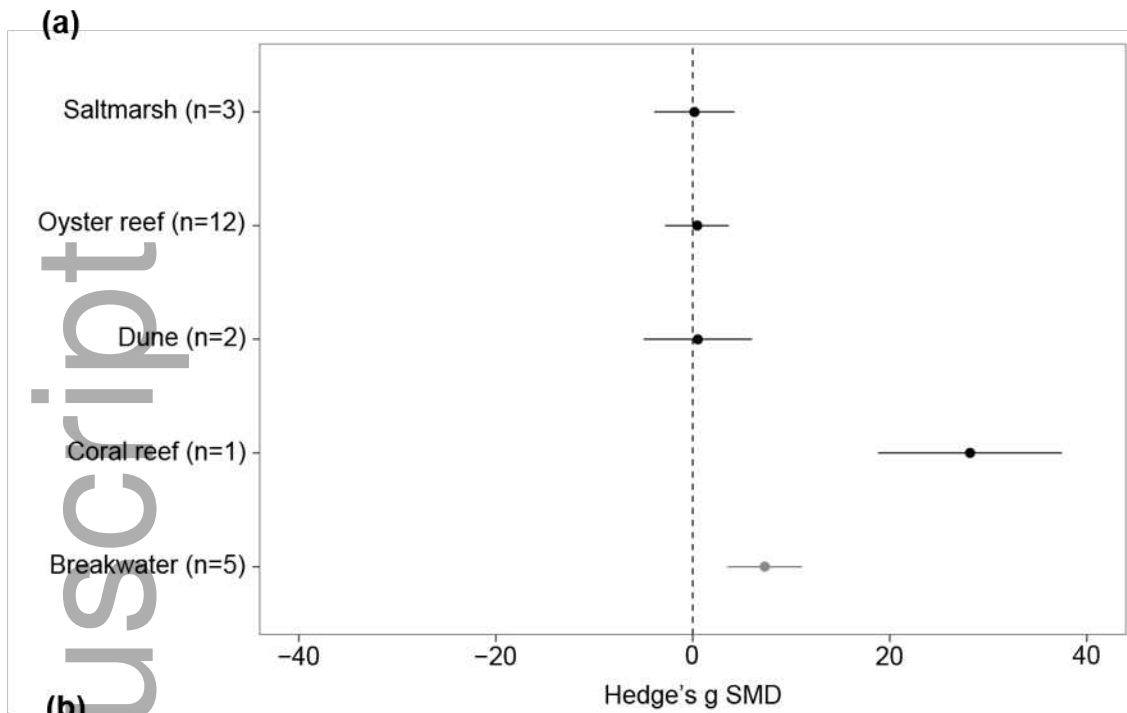


- Coral reef
- Dune
- Mangrove
- Oyster reef
- Saltmarsh
- Breakwater
- Groynes
- Revetment
- Seawall

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