

1 **Cortex – Research Report**

2

3 **Title**

4 Neuroplasticity in older adults revealed by temporary occlusion of one eye

5

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28 **Declarations of interest**

29 None

30

31 **Author contributions**

32 **Bao N Nguyen:** Methodology, Software, Validation, Formal Analysis, Investigation, Data

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36 and Editing, Supervision, Funding Acquisition **Allison M McKendrick:** Conceptualization,

37 Methodology, Software, Resources, Writing – Original Draft, Writing – Review and Editing,

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40 **Highlights**

41 1. Temporarily depriving one eye of visual experience reveals adult

42 neuroplasticity

43 2. Two hours of monocular deprivation alters ocular dominance in healthy older

44 adults

45 3. Neuroplasticity of visual cortex is preserved and possibly strengthened in

46 healthy older adults

47

48

49 **Abstract**

50 Occluding one eye for several hours alters visual experience. Specifically, occluding one
51 eye shifts the balance of ocular dominance to favour the recently deprived eye, which can
52 be measured using binocular rivalry. This ocular dominance shift demonstrates homeostatic
53 neuroplasticity within the visual system and has been explored in detail in younger adults.
54 Here we measure whether the strength and general features of neuroplasticity revealed by
55 monocular patching are maintained in older adults. Thirty younger (18-35 years) and 30
56 older adults (60-81 years) participated. Binocular rivalry features were measured before and
57 after 2 hours of occlusion. Post-patching, perceptual dominance of the non-patched eye
58 decreased ($p < 0.001$) in both age groups. The effect of occlusion on all features of binocular
59 rivalry did not significantly differ between groups. The older visual system maintains the
60 ability to rapidly adjust to changes in perceptual experience induced by eye occlusion. This
61 preservation of neuroplasticity suggests that visual training methods designed to improve
62 visual performance based on eye occlusion should maintain effectiveness into older age.

63

64 **Keywords (max 5 words)**

65 Short-term monocular deprivation, ocular dominance, neuroplasticity, visual cortex, ageing

66

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70

71 **1. Introduction**

72 There has been considerable recent interest in the ability of short-term monocular
73 deprivation to alter visual experience in adults, as a means of exploring neuroplasticity
74 within the adult visual system (Baldwin & Hess, 2018; Lunghi, Burr, & Morrone, 2011;
75 Lunghi, Burr, & Morrone, 2013; Lunghi & Sale, 2015; Zhou, Clavagnier, & Hess, 2013). The
76 general, and highly replicated, observation is that when ocular dominance is measured
77 before and after short-term monocular deprivation (by patching one eye), there is a shift in
78 ocular dominance to favour the recently deprived eye. Ocular dominance describes the
79 preference of input from one eye over the other. Many prior experiments have used the
80 following approach: occlude one eye with a patch for a duration of around two hours, then
81 measure the temporary shift in ocular dominance after patch removal. The shift in ocular
82 dominance is maximal immediately post-patch removal but has been shown to last for
83 several hours (Lunghi et al., 2011; Lunghi et al., 2013). The most common method used in
84 these patching experiments to determine the balance in dominance between the two eyes
85 is binocular rivalry. Binocular rivalry involves presenting the two eyes with different but
86 similarly salient stimuli, which then compete for perceptual dominance. The result is regular
87 switching between the perceptual dominance and suppression of the competing stimuli.
88 After temporary occlusion, the stimulus presented to the recently patched eye dominates
89 perception, with the strength of this effect used as an index of short-term homeostatic
90 neuroplasticity (Zhou, Baker, Simard, Saint-Amour, & Hess, 2015; Zhou et al., 2013).

91 Physiologically, prior evidence from magnetic resonance spectroscopy (MRS) suggests
92 that temporary occlusion decreases resting state GABA concentration in visual cortex, with
93 the amount of GABA reduction being correlated with the magnitude of effect of deprivation

94 on the rivalry task (Lunghi, Emir, Morrone, & Bridge, 2015). Cholinergic mechanisms have
95 also been implicated, with a recent study demonstrating that the ingestion of donepezil (a
96 cholinesterase inhibitor) reduces the magnitude and duration of the ocular dominance shift
97 following temporary occlusion (Sheynin, Chamoun, et al., 2019). Consequently, short-term
98 plasticity might be phenomenologically altered in individuals with conditions that impact on
99 the regulation of either cholinergic or GABA-ergic systems. We propose that one such
100 situation is healthy human aging. Indeed, the presence or absence of visual system
101 neuroplasticity in older adults has potentially important implications for the ability to adapt
102 to changes in visual status with age and disease, and may influence the choice of future
103 clinical approaches designed to enhance visual performance through training.

104 The precise visual neural circuitry underlying the effects of temporary occlusion on eye
105 dominance is still being uncovered (for example see: Bai, Dong, He, & Bao, 2017; Baldwin &
106 Hess, 2018; Binda et al., 2018; Ramamurthy & Blaser, 2018; Wang, Yao, He, Zhou, & Hess,
107 2017). It is generally accepted that patching influences inter-ocular contrast gain control
108 mechanisms (Zhou et al., 2015; Zhou et al., 2013) such that localised changes in the balance
109 between activation and inhibition allow up/down regulation of selected neural signals. The
110 resulting effect is reduced inhibition of the signal from the deprived eye after the patch is
111 removed. To date, all reports investigating this phenomenon have tested younger adults.
112 However, other visual processes that are reliant on contrast gain control mechanisms can be
113 markedly altered in the older visual system. Examples include: differences in contrast
114 adaptation properties to chromatic stimuli (Elliott, Werner, & Webster, 2012), differences in
115 contrast gain (Elliott & Werner, 2010), and strengthening of perceptual spatial surround
116 effects involving contrast judgements (for review see: McKendrick, Chan, & Nguyen, 2018).
117 The balance of evidence from this broad range of visual perceptual studies suggests a

118 strengthening of contrast gain control mechanisms in the aging visual system. Further
119 evidence for this proposition comes from neurophysiological study of aged cat primary
120 visual cortex that demonstrates significantly stronger contrast adaptation in some visual
121 cortical cells relative to those found than in younger animals (Hua, Li, Tang, Wang, & Chang,
122 2009). Taken together, these findings suggest that the effects of temporary occlusion of one
123 eye might actually be strengthened in older adults.

124 An additional physiological factor that suggests that older adults might have an altered
125 neuroplastic experience post-occlusion arises from the study of GABA concentrations in
126 visual cortex (Pitchaimuthu et al., 2017). Previous study of temporary monocular occlusion
127 in younger adults implicated visual cortical modulation of GABA levels as key to the strength
128 of neuroplastic effects demonstrated within individuals (Lunghi et al., 2015). While GABA-
129 ergic inhibition within visual cortex in older adults is often assumed to be reduced based on
130 inferences from single-cell neurophysiology in senescent primates (Leventhal, Wang, Pu,
131 Zhou, & Ma, 2003), direct evidence for reduced GABA-ergic inhibition in humans is lacking.
132 Indeed, a recent human MRS study actually revealed elevated GABA concentrations in visual
133 cortex in older adults (Pitchaimuthu et al., 2017). Of further relevance was the observation
134 that increased GABA levels correlated with slower binocular rivalry (Pitchaimuthu et al.,
135 2017), consistent with the idea that rivalry switch rate is dependent, at least in part, on
136 levels of cortical inhibitory neurotransmitter. While it is not immediately apparent how this
137 cross-sectional observation may relate to the ability for resting state GABA levels to change
138 under conditions of monocular deprivation, the presence of dysregulation of the GABA-ergic
139 system in older adults adds further support to the possibility of increased perceptual biasing
140 in the response to temporary occlusion.

141 Here we explore whether the strength and general features of neuroplasticity revealed
142 by two hours of monocular patching is maintained in older adults. Specifically, we
143 investigated binocular rivalry outcome measures that provide insight into the balance
144 between excitation and inhibition in visual cortex. Mutual inhibition is considered critical for
145 the alternation between two exclusive-dominance percepts (Blake, 1989; Blake &
146 Logothetis, 2002; Klink, Brascamp, Blake, & van Wezel, 2010; Said & Heeger, 2013; Tong,
147 Meng, & Blake, 2006), while pharmacological agonism of specific GABA-ergic inhibitory
148 receptors has recently been linked to the prominence of mixed percept (Mentch, Spiegel,
149 Ricciardi, & Robertson, 2019). Furthermore, the initial period of dominance before the first
150 binocular rivalry switch (or 'onset rivalry'), which has previously been unexplored in the
151 context of short-term monocular deprivation paradigms, is also considered to depend
152 critically on inhibition (akin to other forms of visual suppression or masking, see review by
153 Stanley, Forte, Cavanagh, & Carter, 2011). We show that the older visual system maintains
154 the neuroplastic ability to respond to short term changes in visual experience. The
155 preservation of neuroplasticity suggests that visual training methods designed to improve
156 visual performance based on eye occlusion (Lunghi, Sframeli, et al., 2019; Sauvan et al.,
157 2019) should maintain effectiveness into older age.

158

159 **2. Material and methods**

160 The study was approved by the University of Melbourne Human Research Ethics
161 Committee (#1851069) according to a protocol consistent with the Declaration of Helsinki.
162 We report how we determined our sample size, all data exclusions, all inclusion/exclusion

163 criteria, whether inclusion/exclusion criteria were established prior to data analysis, all
164 manipulations, and all measures in the study below.

165 2.1. *Participants*

166 We performed a power analysis based on the effect size (0.73) of patching on ocular
167 dominance reported by previous work (Lunghi & Sale, 2015) and a two-tailed difference
168 between matched pairs in G*Power (Faul, Erdfelder, Lang, & Buchner, 2007). Twenty-seven
169 participants would be required in each group to achieve a power of 0.95 at a significance
170 level of 0.05. Accordingly, thirty younger (aged 18-35 years; mean age, 25 years) and 30
171 older adults (aged 60-81 years; mean age, 68 years) were recruited via advertisements
172 within the University, on community noticeboards and from a database of previous research
173 participants. Written consent was obtained from participants prior to testing and
174 participants received a \$20 gift voucher to contribute to any out-of-pocket travel expenses.

175 The single testing session was 4 hours duration including a vision and ocular health
176 screening. The following inclusion/exclusion criteria were established prior to data analysis:
177 All participants had distance best corrected visual acuity equal or better than 6/7.5 in each
178 eye with corrective lenses of less than ± 5 D sphere and less than 2 D astigmatism. Ocular
179 health was screened using slit lamp biomicroscopy and ophthalmoscopy to ensure absence
180 of ocular pathology. All participants had a cataract grading of less than 1.5 according to the
181 Lens Opacities Classification System III (LOCS III) (Chylack et al., 1993).

182 2.2. *Experimental procedure*

183 Figure 1 is a schematic of the experimental procedure, including a depiction of the
184 binocular rivalry test stimuli and procedure. Participants were trained on the binocular

185 rivalry task and completed at least one practice run before commencing the actual trials.

186 Binocular rivalry was measured at baseline prior to two hours of monocular deprivation by

187 patching, followed by post-patching binocular rivalry tests at 0, 3, 6, 9, 12, 15, 30, 45, 60 and

188 90 minutes after patch removal. To investigate the effect of short-term monocular

189 deprivation, we compared baseline rivalry data (sum of 3-4 baseline trials) to the data

190 collated in the period 0-9 minutes immediately after patching (sum of 3 trials, one trial each

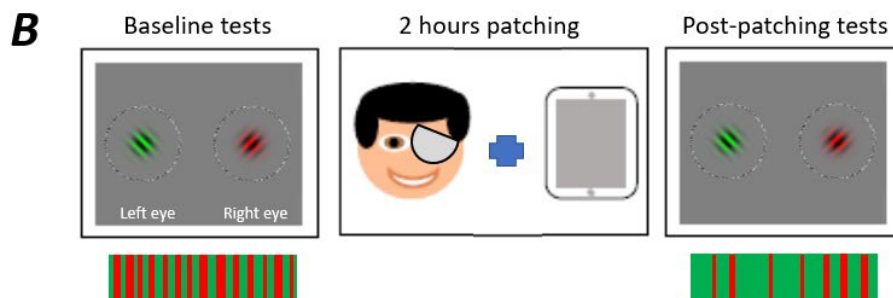
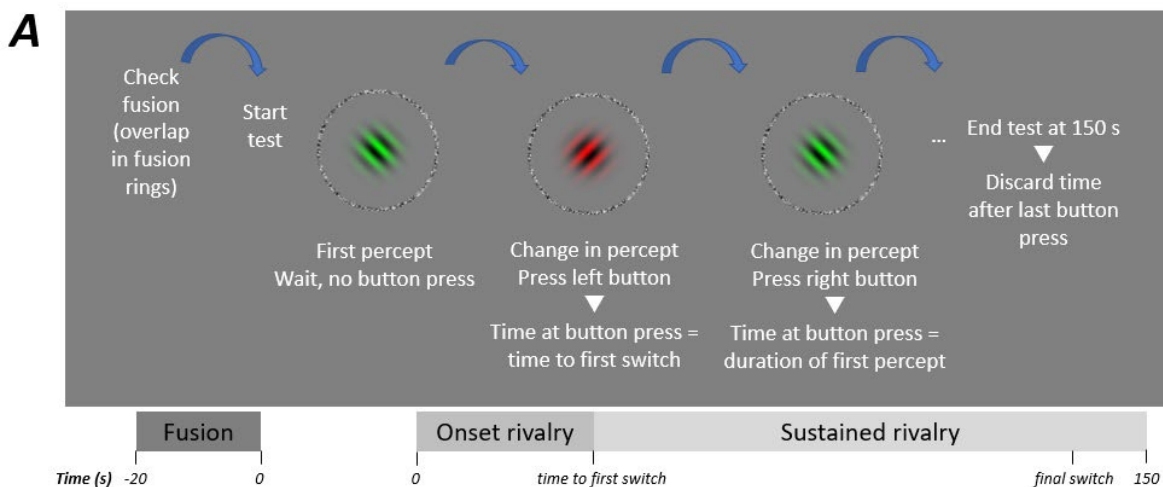
191 at 0, 3 and 6 minutes) and 9-18 minutes after patching (sum of 3 trials, one trial each at 9,

192 12 and 15 minutes). We also collected data at later timepoints (one trial each at 30, 45, 60

193 and 90 minutes) to demonstrate the longevity of the effect of temporary occlusion.

194 Between each trial, participants were given a short (~20 s) break.

195



196

197 **Figure 1 (colour print). Schematic of the visual perceptual testing.** (A) Schematic of a single
198 binocular rivalry trial. The trial started when the participant confirmed fusion. The trial ran
199 for 150 seconds. Time to first switch was taken as the measure of onset rivalry. During the
200 subsequent period of sustained rivalry, percept duration for red, green, and mixed percepts
201 was recorded. The time after the last button press was discarded for analysis. (B) Schematic
202 of the test session. Baseline binocular rivalry tests were conducted, followed by two hours of
203 monocular deprivation with a translucent patch over the dominant eye and normal visual
204 tasks (including watching a movie and/or computer work). After patch removal, binocular
205 rivalry was tested at 0, 3, 6, 9, 12, 15, 30, 45, 60 and 90 minutes (one trial at each
206 timepoint). Under each panel, we include an idealised example of perceptual switches
207 between the dominant and non-dominant exclusive percepts (red stimulus seen by right eye,
208 green stimulus seen by left eye) that typically occur randomly at baseline. After patching, the
209 deprived eye (in this case, left eye patched) is typically reported to become more dominant
210 (more green percepts).

211

212 2.3. Binocular rivalry

213 The visual stimuli for the binocular rivalry task were presented using software
214 (https://melbourne.figshare.com/articles/software/_/14368988) written in PsychoPy Version 3.0
215 and presented on an LCD monitor (BenQ ZOWIE XL2430-B, Taoyuan City, Taiwan) with a
216 refresh rate of 100 Hz and a screen resolution of 1920 x 1080 pixels. The stimuli were
217 dichoptically presented using a stereoscope (ScreenScope, Stereo Aids, Albany, Australia)
218 and the response was collected via mouse press. Participants were seated comfortably at a

219 chinrest and wore the appropriate refractive correction for the working distance (60 cm). All
220 participants were naïve to the experimental purpose.

221 A schematic of a single binocular rivalry trial (150 second duration) is shown in Figure 1.
222 A red and green circularly windowed sinusoidal grating pattern (diameter=2°, spatial
223 frequency= 4 cyc/deg), oriented at 45° and 135°, were presented to the left and right eyes,
224 respectively. The stimuli presented to each eye remained consistent throughout the
225 experiment. Two surrounding circles of 12° diameter (width = 0.3°) constructed of random
226 black and white dots with zero disparity were present throughout testing to aid fusion. At
227 the beginning of each trial, a central vertical line (left, length = 0.5°) and a central horizontal
228 line (right, length= 0.5°) were used to indicate the target location and ensure that the
229 participant could see a binocularly fused fixation cross. The binocular rivalry trial started as
230 soon as the participant indicated fusion, at which point the fixation cross disappeared but
231 the fusion circles remained throughout the trial. Participants were instructed not to press a
232 button until the first switch in percept (time to first switch). Participants then indicated
233 subsequent changes in percept by clicking a mouse button (right button if the percept was
234 predominantly red or left button if the percept was predominantly green). Otherwise, if the
235 percept was a combination of red and green (either piecemeal or superimposed) where
236 neither red nor green dominated, participants were instructed to press the middle button to
237 indicate mixed percept. Duration of each percept (time between switches) was recorded.

238

239 2.4. *Monocular deprivation*

240 The dominant eye was determined using a clinical test of sighting dominance (i.e. which
241 eye dominated when a distant target was viewed binocularly) and was occluded for two

242 hours. Two layers of translucent adhesive tape (3M Transpore surgical tape, Maplewood,
243 Minnesota, USA) was fixed to a Halberg trial lens clip over a participant's own spectacles, or
244 attached to a customised eye patch (BSN Medical Leukofix transparent tape, Mulgrave,
245 Victoria, Australia) if the participant did not wear spectacles. The translucent tape degraded
246 form perception but allowed light to reach the retina (approximately 30% light attenuation).
247 Participants were advised to sit quietly during the two hours of patching and were free to
248 perform normal activities in standard room lighting such as working on a computer,
249 watching a movie on an iPad and having food and beverages, with the exception of caffeine
250 intake, which was avoided due to cholinergic alteration of perceptual eye dominance
251 plasticity (Sheynin, Chamoun, et al., 2019) and the potential for caffeine to increase brain
252 acetylcholine levels (Carter, O'Connor, Carter, & Ungerstedt, 1995; Corradetti, Pedata,
253 Pepeu, & Vannucchi, 1986).

254

255 2.5. *Data analysis*

256 Our outcome measures of interest are presented herein in the sequential order by
257 which binocular rivalry characteristics are extracted, starting with time to first switch (as a
258 measure of onset rivalry), sustained rivalry switch rate (ratio of the number of perceptual
259 switches to total sustained rivalry time), and proportion of total sustained rivalry time spent
260 in the dominant eye, non-dominant eye and mixed percepts. Time to first switch and time
261 after final switch (Figure 1A) were deducted from 150 seconds (length of trial) to obtain
262 total sustained rivalry time. Although we recorded all percept durations for each of the
263 dominant eye, non-dominant eye and mixed percepts, binocular rivalry percept duration
264 distributions are typically a gamma distribution (Levelt, 1967) hence calculating median

265 percept duration is a limited descriptor of the data. Nevertheless, we present median
266 percept duration data in Supplementary Material A for comparison to other published
267 reports. Our analysis concentrates on the timepoints immediately after patch removal (0-9
268 minutes), and on the final measured timepoint (90 minutes post patch removal). Data for all
269 timepoints is provided in Supplementary Material A.

270 Statistical tests were predominantly conducted in SPSS version 25.0 (IBM, Armonk, NY,
271 USA). Data were tested for normality using a Kolmogorov-Smirnov test (see Supplementary
272 Material A). Between-group comparisons of normally distributed data (switch rate,
273 proportion of time spent in deprived and non-deprived eye percepts) were conducted using
274 independent student t-tests (paired and unpaired, where appropriate) and repeated
275 measures analysis of variance (RM-ANOVA). A Huynh-Feldt correction was applied for data
276 that did not satisfy Mauchly's test of sphericity. For non-normally distributed data (time to
277 first switch, proportion of time spent in mixed percept), between-group comparisons were
278 conducted using Mann-Whitney rank sum tests, paired non-parametric Wilcoxon signed
279 rank tests to compare across two timepoints within groups, and Friedman non-parametric
280 tests to compare across several timepoints within groups. A p value of 0.05 was set as the
281 criterion for statistical significance. Effect sizes (eta-squared, or partial eta-squared values)
282 and Bayes factors are provided. Bayes factors were determined using JASP (JASP Team,
283 2020). In addition, in order to demonstrate the effect of deprivation, we normalised the
284 binocular rivalry outcome measures to each individual's baseline and compared these
285 normalised values between the groups.

286

287

289 No part of the study procedures nor study analysis were preregistered prior to the research
290 being conducted.

291 3. Results

292 3.1. Onset of rivalry at baseline and after temporary occlusion

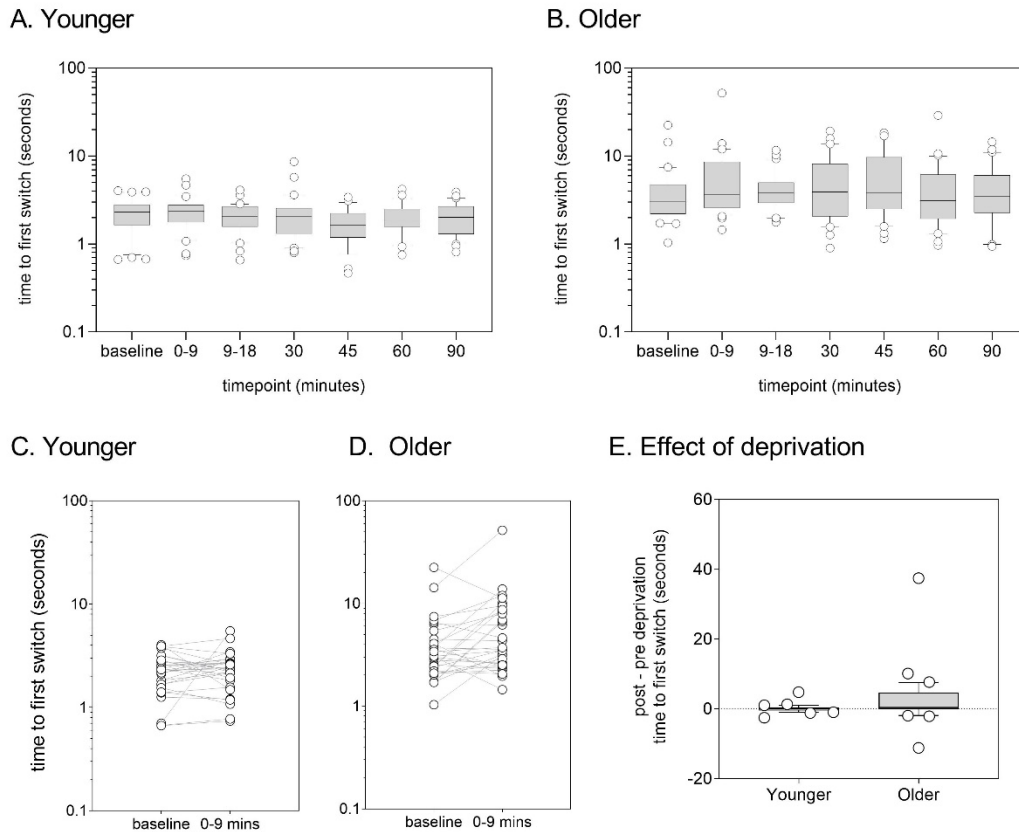
293 Figure 2 shows the time to first switch (i.e. onset of rivalry) in the younger and older
294 groups at baseline and after temporary occlusion. At baseline, time to first switch was
295 delayed in the older group by, on average, ~750ms relative to the younger group (median \pm
296 IQR: younger 2.30 ± 1.16 seconds, older 3.06 ± 2.56 seconds; Mann-Whitney rank sum test:
297 $p=0.003$, $\eta^2 = 0.15$). It is worth noting that this difference between age-groups is
298 substantively larger than predicted by age-related differences in choice-reaction times
299 which are slowed by approximately 20ms per decade (Woods, Wyma, Yund, Herron, &
300 Reed, 2015). Immediately after occlusion (i.e. comparing baseline to 0-9 minutes
301 immediately after patching), time to first switch increased in the older group (Figure 2D;
302 Wilcoxon paired signed rank test: $p=0.032$, partial $\eta^2 = 0.15$) but not in the younger group
303 (Figure 2C; Wilcoxon paired signed rank test: $p=0.77$, partial $\eta^2 = 0.003$). This age-related
304 delay in time to first switch remained at the 90 min timepoint (Figure 2B; Wilcoxon paired
305 signed rank test: $p=0.079$, partial $\eta^2 = 0.10$), suggesting a prolonged effect of short-term
306 monocular deprivation in older adults.

307 To directly compare the effect of deprivation on onset rivalry between groups, we
308 normalised the time to first switch at 0-9 minutes after patch removal to baseline for each
309 individual. A larger positive value on the y-axis (Figure 2E) indicates a delayed time to first
310 switch after deprivation relative to baseline. Although some older adults show a substantial

311 delay in time to first switch immediately after patching (see outliers in Figure 2E), there was
312 no between-group difference in normalised time to first switch at 0-9 minutes (Mann-
313 Whitney rank sum test, $p=0.08$, $\eta^2 = 0.052$). Bayes factor analysis suggested anecdotal
314 evidence for the null hypothesis ($BF_{01} = 1.20$).

315 We also investigated the nature of the immediate percept after patch removal (i.e.
316 whether from the deprived or non-deprived eye). At the first timepoint after deprivation,
317 older adults showed relatively more deprived eye percepts (63% dominant/deprived eye,
318 37% non-dominant eye) than the younger group (30% dominant/deprived eye, 70% non-
319 dominant eye; chi-square test of proportions: $\chi^2=6.70$, $p=0.010$). This was not the case at
320 baseline, where the proportion of dominant and non-dominant percepts at onset was the
321 same for both younger and older groups (47% dominant eye, 53% non-dominant eye).

322



323

324 **Figure 2. Onset of rivalry (time to first switch).** Time to first switch is shown at all timepoints

325 for the (A) younger adults and (B) older adults. Before-after plots show the paired

326 comparisons between baseline vs 0-9 minutes only for the (C) younger adults and (D) older

327 adults. (E) Effect of deprivation (difference between time to first switch measured before and

328 after monocular deprivation), at 0-9 minutes immediately after patch removal. A higher

329 positive value on the y-axis indicates a relatively delayed time to first switch after

330 deprivation compared to baseline. Boxes depict the median, 25th and 75th percentiles, with

331 the whiskers showing the 10th and 90th percentiles. All outliers are shown as individual

332 symbols.

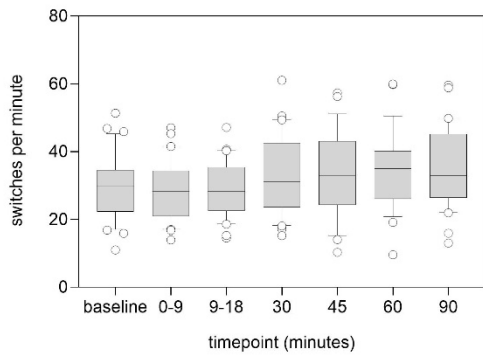
333

334 3.2 Sustained rivalry switch rate at baseline and after temporary occlusion

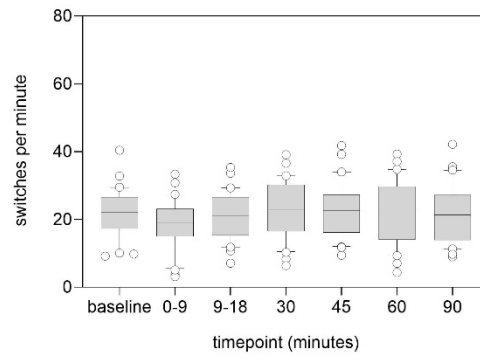
335 At baseline, older adults showed slower sustained rivalry switching compared to
336 younger adults ($t_{58}=3.73$, $p<0.001$, $\eta^2 = 0.19$), consistent with previous reports (Abuleil,
337 McCulloch, & Thompson, 2019; Pitchaimuthu et al., 2017). The age-related difference in
338 switch rate was also present after patching (Figure 3; RM-ANOVA main effect of group:
339 $F(1,58)=18.9$, $p<0.001$, partial $\eta^2 = 0.25$). Both groups showed a similar reduction in switch
340 rate 0-9 mins immediately after temporary occlusion (RM-ANOVA main effect of patching:
341 $F(1,58)=13.6$, $p=0.001$, partial $\eta^2 = 0.19$; group x patching interaction: $F(1,58)=1.70$, $p=0.20$,
342 partial $\eta^2 = 0.03$), suggesting no age-related difference in the effect of short-term monocular
343 deprivation on switch rate. This result is further supported by directly comparing the switch
344 rate normalised to baseline (Figure 3E; Mann-Whitney rank sum test, $p=0.10$, $\eta^2 = 0.046$). A
345 larger positive value on the y-axis (Figure 3E) indicates slower sustained rivalry switch rate
346 after deprivation relative to baseline. The Bayes factor for the data in Figure 3E was 1.24
347 (BF_{01}), providing anecdotal support for the null hypothesis (that the effect of deprivation on
348 switch rate is equivalent between groups).

349 To consider the longevity of the effect of patching on switch rate, we compared data
350 from 0-9 mins to 90 mins post-patching. Both groups showed an increase in switch rate at
351 90 mins post-patching (RM-ANOVA main effect of time post-patching: $F(1,58)=35.7$,
352 $p<0.001$, partial $\eta^2 = 0.38$; group x time post-patching interaction: $F(1,58)=3.79$, $p=0.056$,
353 partial $\eta^2 = 0.06$), suggesting a recovery in the number of switches over time in younger and
354 older adults.

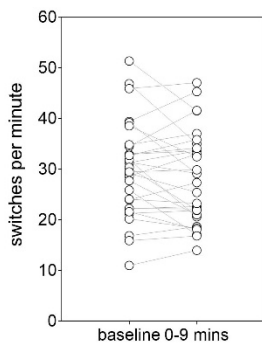
A. Younger



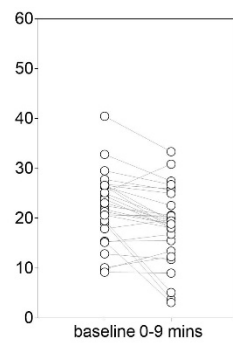
B. Older



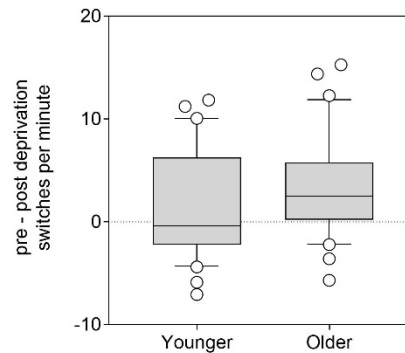
C. Younger



D. Older



E. Effect of deprivation



355

356 **Figure 3. Sustained rivalry switch rate (switches per minute).** Switch rate is shown at all
 357 timepoints for the (A) younger adults and (B) older adults. Before-after plots show the paired
 358 comparisons between baseline vs 0-9 minutes only for the (C) younger adults and (D) older
 359 adults. (E) Effect of deprivation (difference between switch rate measured before and after
 360 monocular deprivation), at 0-9 minutes immediately after patch removal. A higher positive
 361 value on the y-axis indicates a relatively slower switch rate after deprivation compared to
 362 baseline. Boxes depict the median, 25th and 75th percentiles, with the whiskers showing the
 363 10th and 90th percentiles. All outliers are shown as individual symbols.

364

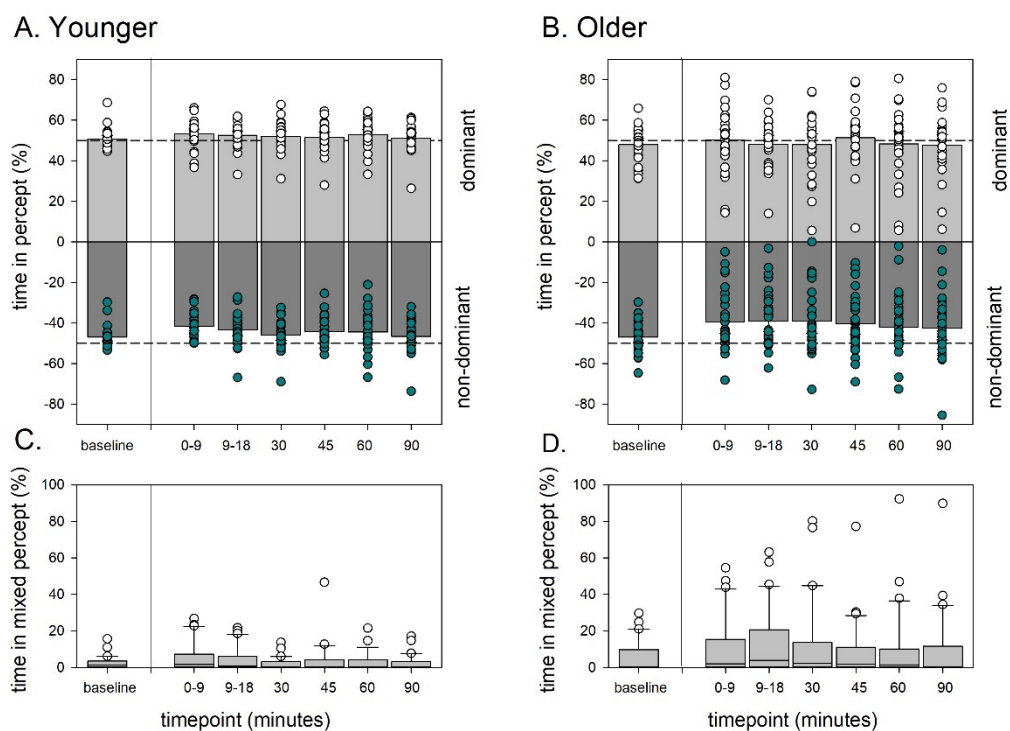
365 **3.3. Time spent in exclusive dominance and mixed percepts after temporary occlusion**

366 Figure 4 summarises the percentage of time spent in the deprived (or dominant) eye,
367 non-deprived (or non-dominant) eye and mixed percepts before and after patching. Time
368 spent in the dominant eye percept tended to increase in the 0-9 mins after the patch was
369 removed (white symbols in Figures 4A and 4B; RM-ANOVA main effect of patching:
370 $F(1,58)=3.71$, $p=0.059$, partial $\eta^2 = 0.06$). However, there was no group difference (RM-
371 ANOVA main effect of group: $F(1,58)=2.00$, $p=0.16$, partial $\eta^2 = 0.03$; group x patching
372 interaction: $F(1,58)=0.002$, $p=0.97$, partial $\eta^2 < 0.001$), indicating that both older and
373 younger adults showed similar effects of short-term monocular deprivation on the
374 dominant eye percept. In both groups, the dominant eye percept returned to baseline
375 dominance at 90 mins post-patching (RM-ANOVA main effect of time post-patching:
376 $F(1,58)=4.53$, $p=0.038$, partial $\eta^2 = 0.07$; group x time post-patching interaction:
377 $F(1,58)=0.10$, $p=0.75$, partial $\eta^2 = 0.002$).

378 On the other hand, time spent in the non-dominant eye percept decreased immediately
379 following patching (green symbols in Figures 4A and 4B; main effect of patching:
380 $F(1,58)=29.6$, $p<0.001$, partial $\eta^2 = 0.34$) in both groups (main effect of group: $F(1,58)=0.21$,
381 $p=0.65$, partial $\eta^2 = 0.004$; group x patching interaction: $F(1,58)=0.64$, $p=0.43$, partial $\eta^2 =$
382 0.01). At 90 mins post-patching, the time spent seeing the non-dominant eye percept
383 increased in both groups (RM-ANOVA main effect of time post-patching: $F(1,58)=7.99$,
384 $p=0.006$, partial $\eta^2 = 0.12$; group x time post-patching interaction: $F(1,58)=0.53$, $p=0.47$,
385 partial $\eta^2 = 0.009$). Overall, our results are consistent with a shift in ocular dominance
386 towards the dominant eye after short-term monocular deprivation, in both younger and
387 older groups, which did not persist at 90 minutes after the patch was removed.

388 A possible interpretation of our ocular dominance data is that the increase in time spent
 389 in the dominant eye percept compensated entirely for the reduction in time spent in the
 390 non-dominant eye percept following patching. However, this interpretation does not
 391 consider the time spent in mixed percept. Ageing did not affect baseline levels of mixed
 392 percept (Mann-Whitney rank sum test, $p=0.96$, $\eta^2 < 0.001$). Patching significantly increased
 393 the time spent in mixed percept in younger adults (Figure 4C; Wilcoxon paired signed rank
 394 test: $p=0.007$, partial $\eta^2 = 0.24$), which returned to baseline levels at 90 mins after patch
 395 removal (Wilcoxon paired signed rank test: $p=0.022$, partial $\eta^2 = 0.18$). The same increase
 396 was seen in mixed percept after short-term monocular deprivation in the older adults
 397 (Figure 4D; Wilcoxon paired signed rank test: $p=0.044$, partial $\eta^2 = 0.13$). However, the effect
 398 did not return to baseline and rather persisted at 90 minutes post-patching (Wilcoxon
 399 paired signed rank test: $p=0.66$, partial $\eta^2 = 0.007$) in the older adults.

400



401

402 **Figure 4 (colour print). Time spent in each percept (%) at baseline and after short-term**
403 **monocular deprivation (0-9, 9-18, 30, 45, 60, 90 minutes after patch removal) in the**
404 **younger and older groups. (A) Time spent seeing the dominant and non-dominant eye**
405 **percept for the younger group (n=30). The bars indicate the group mean, and the symbols**
406 **represent individual results. Horizontal dashed lines at 50% demonstrate that at baseline,**
407 **the average % time spent in the dominant eye percept is just above 50%, and the average %**
408 **time spent in the non-dominant eye percept is below 50%. (B) Time spent seeing the**
409 **dominant and non-dominant eye percept for the older group (n=30). (C) and (D) show**
410 **boxplots of the time spent in mixed percept for the younger and older groups, respectively.**
411 **Boxes depict the median, 25th and 75th percentiles, with the whiskers showing the 10th and**
412 **90th percentiles. All outliers are shown as individual symbols.**

413

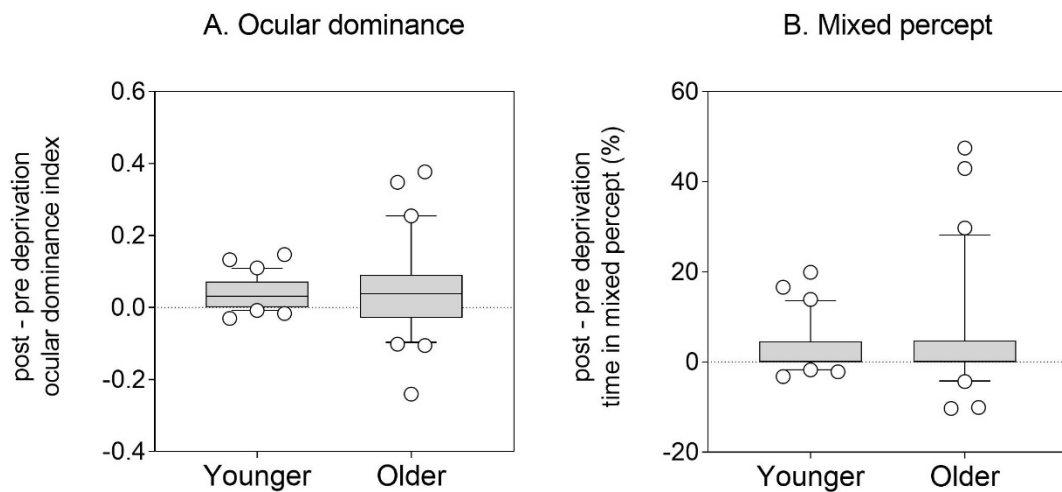
414 To compare the effect of monocular deprivation on exclusive dominance percepts
415 between groups, we first calculated an index of ocular dominance (Equation 1) as per
416 previous work (Lunghi, Daniele, et al., 2019), and subtracted the pre-deprivation ocular
417 dominance index from the post-deprivation ocular dominance index. In equation 1, T refers
418 to time, spent in the deprived (Dep) and non-deprived (NonDep) eyes.

419
$$\frac{T_{Dep}}{T_{Dep}+T_{NonDep}} \quad \text{(Equation 1)}$$

420 Complete dominance of the deprived eye is indicated by an ocular dominance index of 1;
421 therefore, a smaller difference in ocular dominance on the y-axis (Figure 5A) implies a
422 weaker effect of deprivation. At 0-9 minutes immediately after patch removal, although
423 both groups showed a consistent bias towards the deprived (or dominant) eye percept,

424 there was no difference between older and younger adults in the strength of the effect of
 425 deprivation on ocular dominance (Figure 5A; Mann-Whitney rank sum test, $p=0.84$, $\eta^2 <$
 426 0.001). The Bayes factor for this analysis is 3.55 (BF_{01}) suggesting moderate evidence for the
 427 null hypothesis. Similarly, when comparing the proportion of mixed percept before and
 428 after deprivation between groups, older and younger adults did not differ in the effect of
 429 deprivation at 0-9 minutes (Figure 5B; Mann-Whitney rank sum test, $p=0.95$, $\eta^2 < 0.001$, BF_{01}
 430 $= 3.66$). At 90 minutes post-deprivation (Figures 5C and 5D), neither ocular dominance
 431 (Mann-Whitney rank sum test, $p=0.32$, $\eta^2 = 0.017$, $BF_{01} = 2.10$) nor mixed percept (Mann-
 432 Whitney rank sum test, $p=0.13$, $\eta^2 = 0.038$, $BF_{01} = 1.69$) showed significant group differences
 433 when normalised to baseline. Thus we find no evidence for a difference in neuroplasticity
 434 effects on exclusive dominance and mixed percepts with ageing.

435



436

437 **Figure 5. Effect of deprivation (difference between outcomes measured before and after**
 438 **monocular deprivation) on ocular dominance and mixed percept. Immediate effect of**
 439 **deprivation at 0-9 minutes on (A) ocular dominance index, calculated as per Equation 1**

440 *based on proportion of total time spent in the deprived (or dominant) and non-deprived (or*
441 *non-dominant) eye percepts, and on (B) proportion of total time spent in mixed percept.*
442 *Boxes depict the median, 25th and 75th percentiles, with the whiskers showing the 10th and*
443 *90th percentiles. All outliers are shown as individual symbols. Smaller values on the y-axis*
444 *indicate a weaker effect of deprivation.*

445

446 **4. Discussion**

447 Our data demonstrates that the older adult visual system is still highly capable of short-
448 term neuroplastic regulation of visual experience. The ability of a short period (2 hours) of
449 monocular translucent occlusion to alter binocular rivalry dynamics is similar in older and
450 younger adults, despite age-related differences in rivalry characteristics in the absence of
451 occlusion (i.e. slower rivalry switching and longer time to the first switch in older adults).

452 Based on previous literature suggesting a strengthening of some forms of contrast gain
453 regulation effects in older adults (Elliott & Werner, 2010; Elliott et al., 2012; McKendrick et
454 al., 2018), we hypothesised that the effects of short-term deprivation might be
455 strengthened in older adults. Our data did not provide evidence in support of this outcome.
456 Specifically, the standard metric of homeostatic neuroplasticity (ocular dominance index,
457 Figure 5A) was not different between groups. Interpretation of the Bayes factor suggests
458 moderate evidence in support of the null hypothesis in this case. However, it is worth noting
459 that we observed substantial inter-individual differences in the effect of occlusion,
460 particularly in the older adult group.

461 Further work is required to explore the likely mechanisms underpinning inter-individual
462 differences in various aspects of binocular rivalry, however, there is recent data that

463 points to interesting relationships between mechanisms underpinning different types of
464 inhibitory phenomena in human vision. Of particular relevance is a recent observation
465 that interindividual differences in tilt illusion strength (an alternate measure of inhibition
466 within the visual system) partially predicts the magnitude of effect of occlusion on ocular
467 dominance in younger adults, such that a stronger tilt illusion predicts a stronger effect of
468 occlusion (Steinwurz, Animal, Cicchini, Morrone, & Binda, 2020). We have previously
469 shown that the tilt illusion strength is, on average, elevated in older adults (Nguyen &
470 McKendrick, 2016). However substantial interindividual differences in performance existed
471 in that dataset also. One interpretation of the finding of Steinwurz et al (2020), is
472 that older adults with stronger tilt illusion strength, which is associated with stronger visual
473 cortical inhibition (Seymour, Stein, Clifford & Sterzer 2018), should be predicted to have
474 slower binocular rivalry switch rates, and in turn, a stronger effect of occlusion on the ocular
475 dominance index. Clearly we do not have data on the strength of the tilt illusion in our
476 participants. However, we did check whether there was any evidence in our data for a
477 relationship between baseline rivalry switch rate and the effect of occlusion on ocular
478 dominance. There was not (Kendall's tau = 0.054, $p=0.54$, $BF_{01} = 4.9$). We also considered
479 whether ocular dominance plasticity is related to the proportion of mixed percept at
480 baseline (Kendall's tau = -0.03, $p = 0.74$, $BF_{01} = 5.6$). Consequently, in our dataset, we did not
481 find evidence to support a relationship between baseline features of rivalry and the strength
482 of the effect of occlusion.

483 At the time of experimental design, we were primarily interested in the measurement of
484 exclusive visual percepts (entirely red or entirely green) because the majority of previous
485 literature exploring the effects of short-term monocular deprivation on binocular rivalry
486 dynamics has concentrated on the analysis of exclusive percepts. We did ask participants to

487 report the presence of mixed percept (a mix of red and green) but did not explore in detail
488 the specific phenomenology of the mixed percept experience. Mixed percepts can have
489 varied forms but are typically either superimposed versions of the two component gratings
490 or piecemeal representations. It has recently been reported that short-term monocular
491 occlusion results in an increase in mixed percept, and specifically, an increase of
492 superimposition (Sheynin, Proulx, & Hess, 2019); although an increase of mixed percept
493 post occlusion is not universal (Steinwurz et al., 2020). Consistent with the general findings
494 of Sheynin et al (2019), our Figure 4 shows a trend for a decrease in the time spent in the
495 non-dominant eye post-patching with some reallocation of that time to mixed percept. This
496 effect occurred in both younger and older adults. While the mechanisms underlying mixed
497 percept are a matter of considerable debate, a recent study has shown that the
498 pharmacological modulation of GABA receptors in humans can increase the predominance
499 of exclusive perceptual dominance relative to mixed percept (Mentch et al., 2019). Our
500 study clearly cannot contribute to further informing knowledge of the mechanisms
501 underpinning mixed percept, with the exception of suggesting that the regulation of these is
502 not markedly affected by the normal aging process, at least for the age groups tested
503 herein.

504 On average, our deprivation effects on ocular dominance are smaller than reported
505 previously in younger adults (Lunghi, Daniele, et al., 2019, Binda & Lunghi 2017, Steinwurz
506 et al., 2020). In our main results, we chose to present ocular dominance in terms of the total
507 time spent in the deprived (or dominant) and non-deprived (or non-dominant) eye percept
508 (see Equation 1 from Lunghi et al., 2019) to make use of all data collected across the
509 binocular rivalry runs, instead of taking a single estimate of central tendency (i.e. mean or
510 median percept duration). Subtracting the pre-deprivation ocular dominance index from the

511 post-deprivation ocular dominance index (Figure 5A), we found approximately half the
512 effect of deprivation as reported previously in normal-weighted adults (Lunghi et al., 2019).
513 To directly compare the magnitude of the effect of patching with other previous work, we
514 have also calculated a ‘deprivation index’ (Equation 2) based on mean percept duration,
515 using the same method as in Binda and Lunghi (2017):

516
$$\frac{\text{deprived eye}_{pre}}{\text{deprived eye}_{post}} \times \frac{\text{nondeprived eye}_{post}}{\text{nondeprived eye}_{pre}} \quad (\text{Equation 2})$$

517

518 An index of 1 indicates no change in ocular dominance after deprivation, an index of < 1
519 indicates increased dominance of the deprived eye, and an index of > 1 indicates increased
520 dominance of the non-deprived eye. The mean deprivation effect in our study was smaller
521 (mean ± standard deviation: younger 0.9 ± 0.1, older 0.9 ± 0.5) than that reported by Binda
522 and Lunghi (2017) of 0.7 (± 0.3). There are numerous differences in experimental design that
523 might contribute to the difference in strength of deprivation. These include methodological
524 differences in the binocular rivalry paradigm such as the use of shutter goggles in the study
525 of Binda and Lunghi (2017), continuous button pressing (here we asked participants to press
526 a button only when a switch in percept was observed) and duration of test run; and the
527 choice of dominant eye being through sighting dominance (as was the case here) or
528 assessed from the baseline binocular rivalry data. It is also worth noting that the study of
529 Binda and Lunghi (2017) only assessed 10 individuals and that some significant variance in
530 the effect of deprivation was noted within that group.

531 Temporary occlusion is a potent method for demonstrating short-term visual system
532 neuroplasticity, but in addition to being an experimental method, may have some direct
533 utility in improving visual performance. There has been intense interest in this effect in the

534 context of treatments for sight improvement in amblyopia (Lunghi, Sframeli, et al., 2019;
535 Sauvan et al., 2019). Amblyopia is a developmental disorder resulting in reduced visual
536 acuity in one eye, and typically occurs due to strabismus, anisometropia or deprivation (for
537 example due to congenital cataract), early in life. Approximately 1-5% of people have
538 amblyopia (Webber & Wood, 2005), which carries significant risk of further visual
539 impairment in older age, if the residual eye with better vision is damaged due to common
540 age-related eye disease. Traditionally, therapies for amblyopia are considered effective in
541 childhood, but more recently have been shown to maintain effectiveness into adulthood
542 (Astle, Webb, & McGraw, 2011; Li et al., 2013; Simonsz-Toth, Joosse, & Besch, 2019). Such
543 treatments typically involve varying the regulation of input from the two eyes to the
544 binocular percept. Recent studies have begun to explore whether short-term occlusion of
545 the amblyopic eye (which is counter to traditional therapies that occlude the “good” eye in
546 order to make the amblyopic eye work) can be used to upregulate the visual system to
547 respond to input from the amblyopic eye after patch removal (Lunghi, Sframeli, et al., 2019;
548 Sauvan et al., 2019). Our findings suggest that these effects should be maintained in older
549 age. Future studies are required to determine whether occlusion driven neuroplastic
550 responses can be exploited to improve perceptual training in older adults, not only for
551 amblyopia, but to perhaps improve perceptual retraining post visual loss due to age-related
552 eye disease.

553

554 **5. Conclusions**

555 The older brain is able to rapidly adjust to changes in perceptual experience induced by
556 temporary eye occlusion similarly to that observed previously in younger adults. We find no

557 evidence for a reduction in this ability. Previous studies have shown that visual perceptual
558 learning methods are often equally as effective in older as in younger adults (DeLoss,
559 Watanabe, & Andersen, 2015; McKendrick & Battista, 2013). Our data provides additional
560 evidence for the ability of the older brain to dynamically respond to local visual experience
561 and demonstrates that visual plasticity is maintained throughout the lifespan.

562

563 **6. Appendices**

564 Supplementary Material A contains all individual data for a) age, b) percentage time spent in
565 dominant percept, non-dominant percept and mixed percept; c) switches per minute; d)
566 time to first switch; e) median percept duration for dominant eye, non-dominant eye and
567 mixed percept.

568

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