

# Peripheral $\alpha$ -Defensins 1 and 2 are Elevated in Alzheimer's Disease

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**Abstract.** Biomarkers enabling the preclinical identification of Alzheimer's disease (AD) remain one of the major unmet challenges in the field. The blood cellular fractions offer a viable alternative to current cerebrospinal fluid and neuroimaging modalities. The current study aimed to replicate our earlier reports of altered binding within the AD-affected blood cellular fraction to copper-loaded immobilized metal affinity capture (IMAC) arrays. IMAC and anti-amyloid- $\beta$  ( $A\beta$ ) antibody arrays coupled with mass spectrometry were used to analyze blood samples collected from 218 participants from within the AIBL Study of Aging. Peripheral  $A\beta$  was fragile and prone to degradation in the AIBL samples, even when stored at  $-80^{\circ}\text{C}$ . IMAC analysis of the AIBL samples lead to the isolation and identification of alpha-defensins 1 and 2 at elevated levels in the AD periphery, validating earlier findings. Alpha-defensins 1 and 2 were elevated in AD patients indicating that an inflammatory phenotype is present in the AD periphery; however, peripheral  $A\beta$  levels are required to supplement their prognostic power.

**Keywords:**  $\alpha$ -defensins, Alzheimer's disease, amyloid- $\beta$ , biomarkers, blood, inflammation, mass spectrometry

## INTRODUCTION

With underlying amyloid- $\beta$  ( $A\beta$ ) pathology preceding the clinical onset of Alzheimer's disease (AD) by upwards of 20 years [1], it is recognized that to be effective, disease-specific therapeutic interventions should be implemented within the preclinical stages of the

disease, before synaptic loss and neuronal degeneration are largely irreversible [2]. However in order to implement such a strategy, peripheral biomarkers allowing preclinical identification of at-risk individuals are needed.

To date, efforts to identify peripheral biomarkers for AD have focused on plasma  $A\beta$  levels; however, the inherent variability of these investigations (reviewed in [3]) have led investigators to turn their attention toward non- $A\beta$ -centric blood-borne biomarkers. Previous investigations have reported that altered levels are present in AD for a number of non- $A\beta$  related

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43 biomarkers including plasma signaling and inflamma-  
 44 tory proteins [4] and clusterin [5]. Over the last five  
 45 years, longitudinal levels of plasma analytes have been  
 46 monitored in two large-scale studies: The Alzheimer's  
 47 Disease Neuroimaging Initiative (ADNI) and The  
 48 Australian Imaging Biomarker and Lifestyle Flagship  
 49 Study of Aging (AIBL). Using serial annual mea-  
 50 surements, the ADNI study reported that a number  
 51 of pathology analytes, including creatinine, glucose,  
 52 and cholesterol, may influence plasma A $\beta$  expression  
 53 [6]. Like ADNI, the AIBL study also focused on the  
 54 influence of pathology analytes on A $\beta$  levels, find-  
 55 ing that inflammatory and renal function analytes were  
 56 significant covariates [7] and that a panel of such mark-  
 57 ers were capable of distinguishing AD patients from  
 58 controls [8] in addition to predicting neocortical A $\beta$   
 59 burden [9]. Although a degree of overlap was observed  
 60 between the two studies, there was also a large number  
 61 of analytes that were unique to each cohort, high-  
 62 lighting the need to account for variations in sample  
 63 processing [3] as well as other comorbidities, particu-  
 64 larly those often associated with age.

65 While requiring further validation, these inves-  
 66 tigation highlight the potential of non-A $\beta$ -centric  
 67 biomarkers in the diagnosis of AD. However, they  
 68 also highlight the proclivity for researchers to focus  
 69 on plasma biomarkers at the expense of biomarkers in  
 70 other blood fractions, despite a number of reports that  
 71 A $\beta$  is readily observable within the cellular blood frac-  
 72 tions [10–12]. In 2010, using a technique previously  
 73 used to identify potential biomarkers in cerebrospinal  
 74 fluid (CSF) [13, 14], namely immobilized metal affini-  
 75 ty capture (IMAC) in conjunction with SELDI-TOF  
 76 MS [15], we identified three candidate biomarkers in  
 77 the AD-affected blood cellular fraction [15]. These  
 78 candidate markers were significantly correlated with  
 79 clinical measures of disease, including Mini-Mental  
 80 State Examination (MMSE), composite memory, brain  
 81 A $\beta$  burden, and hippocampal volume. Most perti-  
 82 nently, however, was the finding that a regression  
 83 model combining levels of these candidate markers  
 84 with peripheral A $\beta$  levels [10] enabled the distinction  
 85 of AD patients from healthy controls (HC) with high  
 86 specificity (90%) and sensitivity (77%) and, further-  
 87 more, enabled the separation of individuals with mild  
 88 cognitive impairment (MCI) who progressed to AD  
 89 from those MCI that did not [15].

90 The present investigation aimed to replicate our  
 91 earlier findings [15] using samples obtained from  
 92 the AIBL. Blood cellular fraction samples were ana-  
 93 lyzed using copper-loaded IMAC in conjunction with  
 94 SELDI-TOF MS.

## MATERIALS AND METHODS 95

96 An outline of the materials and methodologies uti-  
 97 lized in the current study, detailed methodologies are  
 98 provided in the supplementary materials.

### *Human samples* 99

100 Blood samples were collected from 218 participants  
 101 in the AIBL study, 72 elderly subjects clinically diag-  
 102 nosed with mild to moderate AD, 113 age-matched  
 103 cognitively unimpaired individuals, and 33 individuals  
 104 classified as presenting with MCI [16]. Samples were  
 105 collected at two time points: Baseline and 18-month  
 106 follow-up.

### *Neuropsychological and neuroimaging assessments* 107

108 All participants underwent a number of neuropsy-  
 109 chological assessments which have previously been  
 110 described [16] and a small subset of participants  
 111 (n<sub>HC</sub> = 18, n<sub>MCI</sub> = 13, n<sub>AD</sub> = 9) also underwent A $\beta$   
 112 imaging with [<sup>11</sup>C]PiB PET as previously described  
 113 [17]. 114

### *Preparation of human samples* 115

116 Venesection was used to collect 4 mL samples of  
 117 whole blood in EDTA vacutainers 1.6 mg/mL (C<sub>EDTA</sub>,  
 118 Greiner Bio-One) following overnight fasting. Blood  
 119 processing commenced between 60 and 240 min of  
 120 sample acquisition. Blood was separated into plasma,  
 121 cellular fraction, red blood cells (RBC) and white  
 122 blood cell (WBC) fractions. All samples were stored  
 123 at  $-80^{\circ}\text{C}$  until required, thus ensuring that all samples  
 124 only underwent a single freeze/thaw cycle. Full blood  
 125 processing details are provided in the supplementary  
 126 materials.

### *SELDI-TOF MS analysis* 127

128 Mass spectrometric analysis of the blood cellular  
 129 fractions were carried out using either ProteinChip<sup>®</sup>  
 130 PS10 Arrays (Bio-Rad; CAT #C55-30044) loaded with  
 131 WO2 (2  $\mu\text{L}$  at 0.25 mg/mL) or ProteinChip<sup>®</sup> IMAC30  
 132 Arrays (Bio-Rad; CAT # C57-30078) charged with  
 133 CuSO<sub>4</sub> (100  $\mu\text{L}$  at 0.1 M; Chem-Supply; Gillman,  
 134 South Australia).

135 All samples were analyzed blinded to diagnostic  
 136 status, using a ProteinChip SELDI System Enterprise  
 137 Edition (BioRad). Full methodological details of this

138 analysis including replicate analysis are provided in  
139 the supplementary text.

#### 140 *Isolation and purification of candidate markers*

141 Candidate markers were isolated in solution using  
142 ProteinChip IMAC Spin Columns (Bio-Rad; CAT #  
143 C54-00027) loaded with 0.1 M CuSO<sub>4</sub>. Eluted material  
144 was pooled and then frozen at  $-80^{\circ}\text{C}$  before undergo-  
145 ing overnight lyophilization. Full details are provided  
146 in the supplementary materials.

147 Lyophilized samples were resuspended and ana-  
148 lyzed using an analytical Shimadzu system coupled  
149 with an analytical C5 Jupiter Phenomenex Column  
150 (300 Å). Subsequent to HPLC, matrix-assisted laser  
151 desorption/ionization (MALDI)-TOF MS was used to  
152 assess the fractions of interest.

#### 153 *Identification of candidate markers*

154 Samples underwent tryptic digestion before under-  
155 going analysis by liquid chromatography-mass spec-  
156 trometry (LC-MS) using a LTQ Orbitrap Elite (Thermo  
157 Scientific) with a nanoelectrospray interface coupled to  
158 an Ultimate 3000 RSLC nanosystem (Dionex).

#### 159 *Statistical analysis*

160 Continuous variables including peak intensities and  
161 measures of disease severity were tested for nor-  
162 mality using the Shapiro-Wilk test. *P*-values were  
163 corrected for multiple testing controlling the false  
164 discovery rate [18]. Partial Least squares (PLS) regres-  
165 sion was performed to generate predictive models  
166 using a combination of peak intensities from the three  
167 candidate markers and other clinical variables in accor-  
168 dance to our previous study [15]. Data are presented  
169 as mean  $\pm$  standard deviation (SD) unless otherwise  
170 stated. All analyses were undertaken using Graphpad  
171 Prism<sup>®</sup> for Windows (Version 5.03, 2009), XLSTAT  
172 (Version 2010.5.05) and R (Version 2.9.2. The R Founda-  
173 tion for Statistical Computing. (2009)).

## 174 **RESULTS**

#### 175 *Participant demographics*

176 Demographic, neuropsychological and neuroimag-  
177 ing information pertaining to the 218 AIBL partici-  
178 pants are outlined in Supplementary Table 1.

#### *Blood-borne A $\beta$ was not reliably observed in the AIBL samples*

181 Cursory examination of the resulting WO2 spec-  
182 tra confirmed the inherent variability of the samples  
183 outlined in the CV data (Supplementary Table 2); how-  
184 ever, it also revealed an almost complete absence of  
185 detectable A $\beta$  within the AIBL samples (Fig. 1). The  
186 absence of detectable A $\beta$  was a remarkable finding as,  
187 not only did it contradict our earlier findings [10], it  
188 contradicted the preparatory stages of the current inves-  
189 tigation where abundant levels of both monomeric and  
190 dimeric A $\beta$  were observed. Further investigation estab-  
191 lished that while A $\beta$  levels were readily observable  
192 in the month following sample collection, analysis of  
193 the same samples that were stored at  $-80^{\circ}\text{C}$  for  $\sim 12$   
194 months revealed that A $\beta$  in the samples was no longer  
195 present at detectable levels (Supplementary Figure 1).  
196 These observations were inconsistent with our experi-  
197 ences working with cellular fraction samples from the  
198 Healthy Aging Study, where A $\beta$  levels were observed  
199 at stable levels over multiple years, and led us to ques-  
200 tion whether differences in the pre-analytical aspects  
201 of the studies had inadvertently influenced the stability  
202 of A $\beta$  in the samples.

#### *Comparisons between the pre-analytical protocols of AIBL and the Healthy Aging Study*

205 Comparisons between the pre-analytical protocols  
206 of AIBL and the Healthy Aging Study revealed  
207 consensus between the two studies with regard to  
208 anti-coagulant, tube type, centrifugation parameters  
209 and storage times. However, there were discrepancies  
210 between the two studies regarding the time taken to  
211 process the samples (Supplementary Table 3).

#### *Identifying potential biomarkers using immobilized metal affinity capture*

214 Three robust peaks were identified which were  
215 able to significantly differentiate between AD patients  
216 and controls, following adjustments for multiple test-  
217 ing (Supplementary Table 4). The candidate markers  
218 3370 Da and 3440 Da, were consistent with those  
219 observed in our previous study [15] and were both  
220 elevated in the AD cellular fraction compared to  
221 control. The candidate biomarker at 5352 Da was  
222 observed at decreased levels in AD compared to con-  
223 trol. The three markers will henceforth be referred  
224 to as candidate markers (CM) CM3370, CM3440,  
225 and CM5352 respectively (Fig. 2). Ratios between

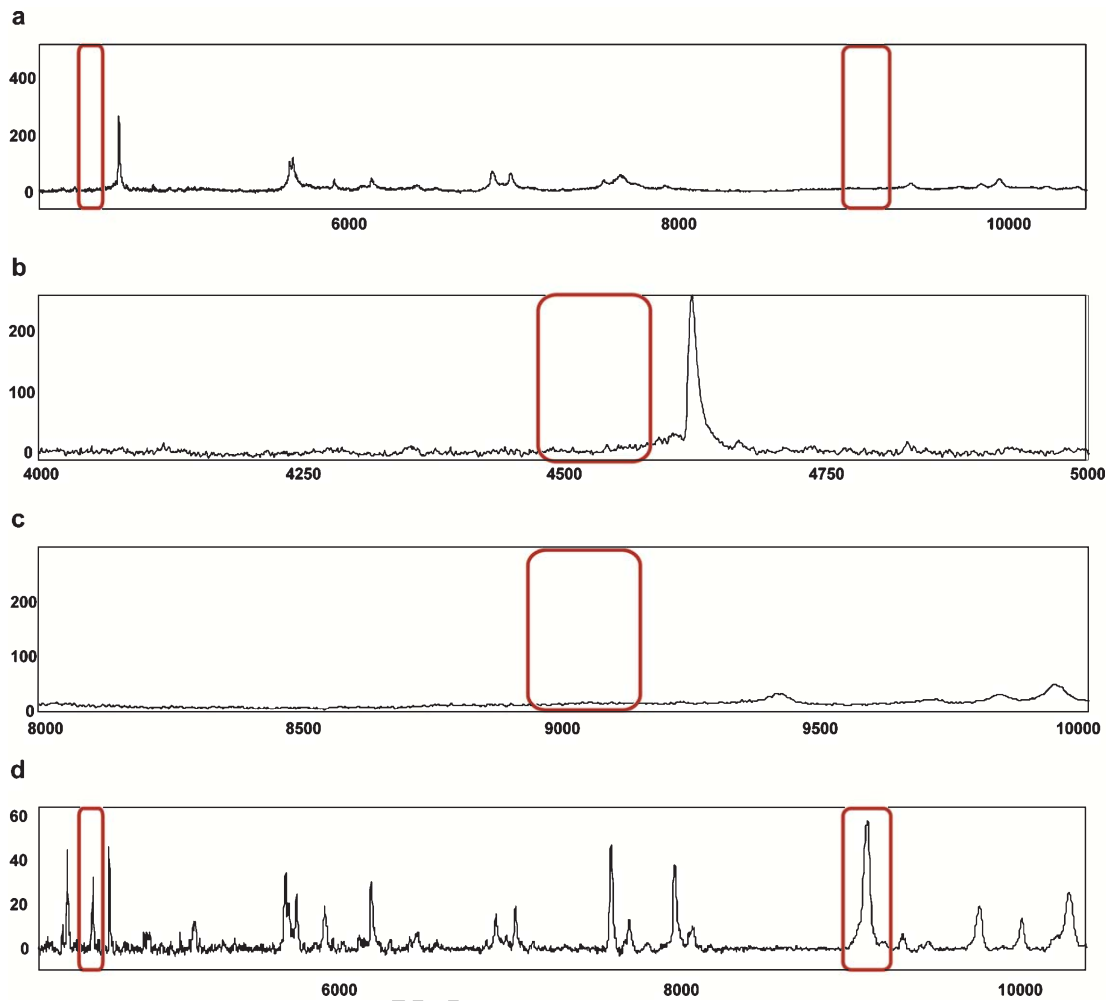


Fig. 1. Representative A $\beta$  profiles of the AIBL cellular fraction samples. (a) Representative WO2 SELDI-TOF MS spectra of the AD-affected blood cellular fraction showing the lack of both monomeric (b) and dimeric (c) A $\beta$  present in the AIBL samples. (d) Representative WO2 SELDI-TOF MS spectra of the AD-affected blood cellular fraction from the earlier Healthy Aging Study [10], showing both monomeric and dimeric A $\beta$ . Note that this analysis was conducted using a CIPHERgen PBS IIC.

226 CM3370/CM5352 ( $p=0.0001$ ) and CM3440/CM5352  
 227 ( $p=0.0005$ ) resulted in significant differences between  
 228 AD patients and HC, but no significant differences  
 229 were observed between either AD patients or HC and  
 230 MCI participants (Supplementary Figure 2). No sig-  
 231 nificant differences were observed across the groups  
 232 for CM3370/CM3440 ( $p=0.2$ ).

#### 233 *Effect of APOE genotype on candidate biomarkers* 234 *levels*

235 Peak intensities of CM5352 were significantly  
 236 higher in apolipoprotein E (APOE)  $\epsilon 4$  non-carriers  
 237 compared to  $\epsilon 4$  heterozygotes ( $p=0.002$ ), but not com-  
 238 pared to  $\epsilon 4$  homozygotes (Supplementary Figure 3).

No significant differences were observed across the  
 groups for CM3370 or CM3440.

#### 241 *Correlations between candidate biomarkers and* 242 *measures of disease severity*

243 Correlations between the peak intensities of the  
 244 candidate biomarkers and clinical measures of dis-  
 245 ease severity were conducted to ascertain the level of  
 246 overlap between AD progression and the underlying  
 247 pathological processes in the blood (Table 1). These  
 248 comparisons revealed that both CM3370 and CM3440  
 249 were significantly associated with the three measures  
 250 of disease severity: MMSE (CM3370  $r_s=-0.239$ ,  
 251  $p<0.001$ ; CM3440  $r_s=-0.203$ ,  $p<0.01$ ), Clinical

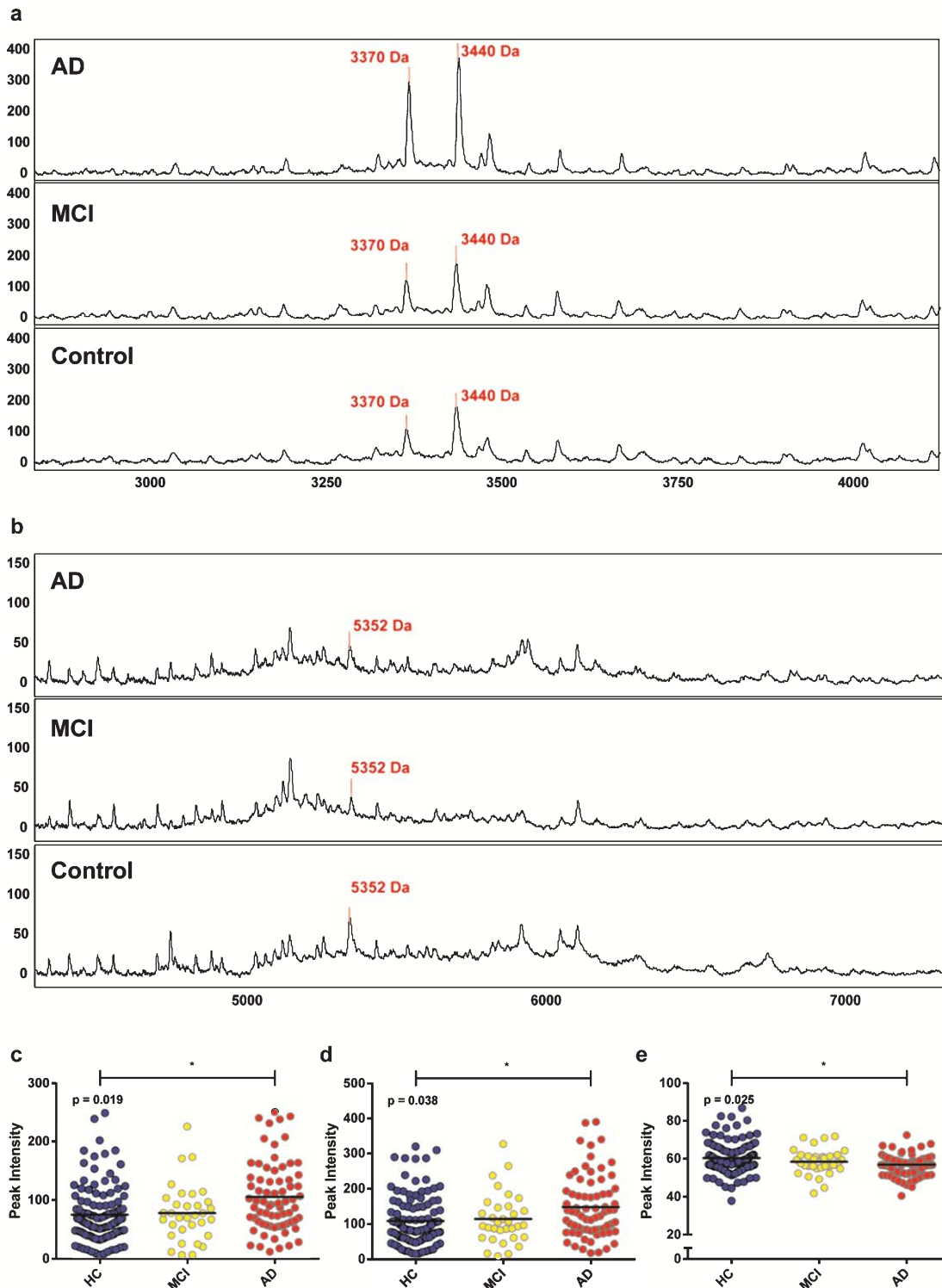


Fig. 2. Representative SELDI-TOF MS spectra arising from the copper-loaded IMAC analysis of AIBL blood cellular fraction samples. (a) Spectra illustrate elevated levels of candidate markers 3370 Da and 3440 Da in AD blood compared to control and (b) decreased levels of candidate marker 5352 Da. Scatterplots for candidate markers (c) 3370 Da ( $p=0.019$ ), (d) 3440 Da ( $p=0.038$ ), and (e) 5352 Da ( $p=0.025$ ), respectively.

Table 1

Correlations between candidate biomarkers and clinical measures of disease. Spearman's correlation coefficients between candidate biomarker peak intensities, candidate marker ratios and clinical measures of AD as assessed by neuropsychological examination and neuroimaging techniques

	CM3370	CM3440	CM5352	CM3370/CM5352	CM3440/CM5352
Age	0.104	0.078	-0.011	0.096	0.073
Mini-Mental State Exam	<b>-0.238***</b>	<b>-0.203**</b>	<b>0.171*</b>	<b>-0.253***</b>	<b>-0.225***</b>
Clinical Dementia Rating Score	<b>0.171*</b>	<b>0.144*</b>	<b>-0.175*</b>	<b>0.199**</b>	<b>0.177**</b>
Sum of boxes	<b>0.196**</b>	<b>0.164*</b>	<b>-0.226***</b>	<b>0.233***</b>	<b>0.208**</b>
Hospital Anxiety and Depression Scale					
Depression	0.044	0.020	-0.125	0.065	0.037
Anxiety	-0.011	-0.003	-0.119	0.009	0.013
Neocortical PiB SUVR †	0.257	0.194	<b>-0.448**</b>	0.271	<b>0.302**</b>

\* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.001$ . †( $n_{\text{HNC}} = 18 / n_{\text{MCI}} = 13 / n_{\text{AD}} = 9$ ).

252 Dementia Rating (CDR) score (CM3370  $r_S = 0.171$ ,  
253  $p < 0.05$ ; CM3440  $r_S = 0.144$ ,  $p < 0.05$ ) and CDR Sum  
254 of Boxes (CM3370  $r_S = 0.196$ ,  $p < 0.01$ ; CM3440  
255  $r_S = 0.164$ ,  $p < 0.05$ ). These associations indicated that  
256 as levels of the candidate biomarkers increased there  
257 was a corresponding decrease in cognitive function as  
258 measures by these clinical measures. However, no sig-  
259 nificant correlations were observed between CM3370  
260 and CM3440 and neocortical A $\beta$  burden in a subset of  
261 participants.

262 Similar correlations were observed between mea-  
263 sures of disease severity and CM5352 and the ratios  
264 CM3370/CM5352 and CM3440/CM5352. However,  
265 only CM5352 was found to be significantly negatively  
266 associated with neocortical A $\beta$  burden, as measured  
267 by PiB PET SUVR ( $r_S = -0.448$ ,  $p < 0.01$ ), suggest-  
268 ing that CM5352 may be related to the A $\beta$ PP or A $\beta$   
269 processing pathways.

#### 270 *Correlations between candidate markers*

271 Analysis using Spearman's correlation between the  
272 candidate markers revealed a very strong positive cor-  
273 relation between CM3370 and CM3440 ( $r_S = 0.964$ ,  
274  $p < 0.0001$ ), suggesting that the two markers may  
275 be monotonically related (Supplementary Figure 4).  
276 A weak negative correlation was observed between  
277 CM3370 and CM5352 ( $r_S = -0.137$ ,  $p = 0.046$ ), while  
278 no association was observed between CM3440 and  
279 CM5352.

#### 280 *Isolation and identification of candidate* 281 *biomarkers*

282 Isolation of RBCs, platelets, and WBCs from the cel-  
283 lular fraction revealed that both CM3370 and CM3440  
284 were enriched within the WBC fraction in AD patients,  
285 observed as clean peaks unimpeded by adjacent pep-

286 tides in the sample (Fig. 3a). CM5352 on the other  
287 hand, appeared to be predominantly located within the  
288 RBC fraction (Fig. 3b); however, the relative intensity  
289 of the peak and the presence of neighboring pep-  
290 tides made further purification of this candidate marker  
291 difficult.

#### 292 *Purification and identification of CM3370 and* 293 *CM3440 from WBCs*

294 CM3370 and CM3440 were isolated and purified  
295 using ProteinChip IMAC Spin Columns in conjunc-  
296 tion with HPLC (Fig. 3c-d). A trypsin digest of the  
297 HPLC fraction was followed by LC MS/MS in an  
298 effort to identify the resulting tryptic peptides (Supple-  
299 mentary Table 5). This analysis identified three tryptic  
300 peptides belonging to  $\alpha$ -Defensin-1, also known as  
301 human neutrophil peptide-1 (HNP-1) and enabled near  
302 full sequence coverage of the peptide (Supplementary  
303 Figure 5). These findings enabled the unambiguous  
304 assignment of peak 3440 Da observed in the IMAC  
305 analysis as HNP-1. Furthermore, the identical HPLC  
306 retention times of CM3440 and CM3370 was consid-  
307 ered sufficient evidence to assign the 3370 Da IMAC  
308 peak as HNP-2. The sequence of HNP-2 is identical  
309 to HNP-1 with the exception of a single N-terminal  
310 alanine which is missing from the truncated HNP-2.

311 Confirmatory analysis was performed using elec-  
312 trospray ionization (ESI) fragmentation coupled with  
313 high accuracy measurements. HPLC purified fractions  
314 of CM3440 were compared to synthetic HNP-1 on  
315 a nanoscale HPLC coupled to an Orbitrap Elite MS,  
316 with both fractions showing matching retention times  
317 and mass (Fig. 4). Mass alignment between CM3440  
318 and synthetic HNP-1 showed differences of less than  
319 3.4 ppm for the  $[M+4H]^{4+}$  peptide and less than  
320 1.7 ppm for the  $[M+5H]^{5+}$  peptide. Further isotopic  
321 modelling using the mMass software on the  $[M+5H]^{5+}$

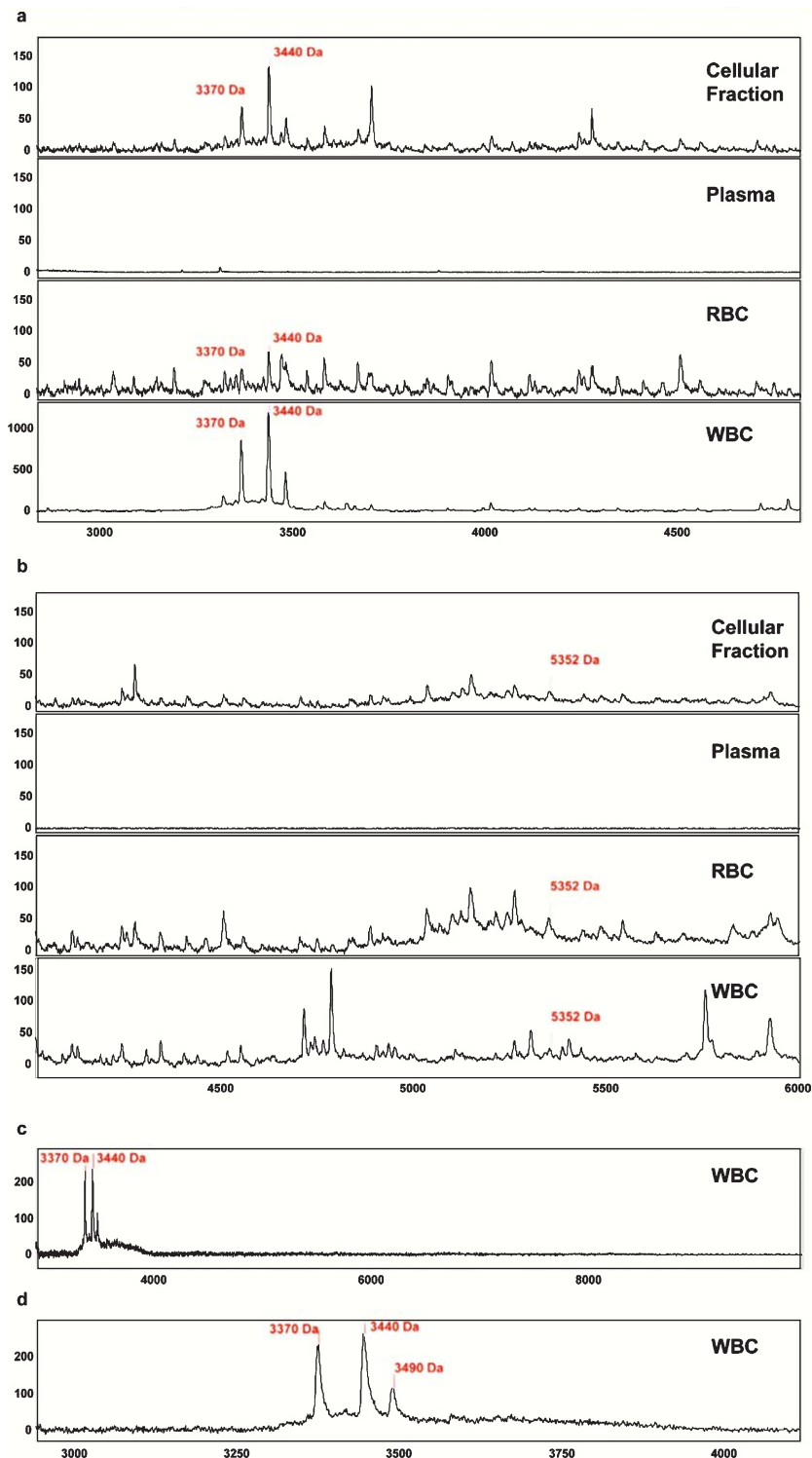


Fig. 3. Isolation and purification of candidate biomarkers from AIBL blood fractions. (a) Representative SELDI-TOF MS spectra arising from copper-loaded IMAC analysis of the cellular fraction (top), plasma (second), red blood cell (RBC; third), and white blood cell (WBC; bottom) fractions of AD-affected blood, indicates that CM3370 and CM3440 are enriched within WBCs. (b) CM5352 appears to be predominantly found within the RBC fraction. Pooled WBCs were isolated using copper-loaded IMAC spin column analysis followed by HPLC purification. (c) Full MALDI-TOF MS spectra and d zoomed spectra.

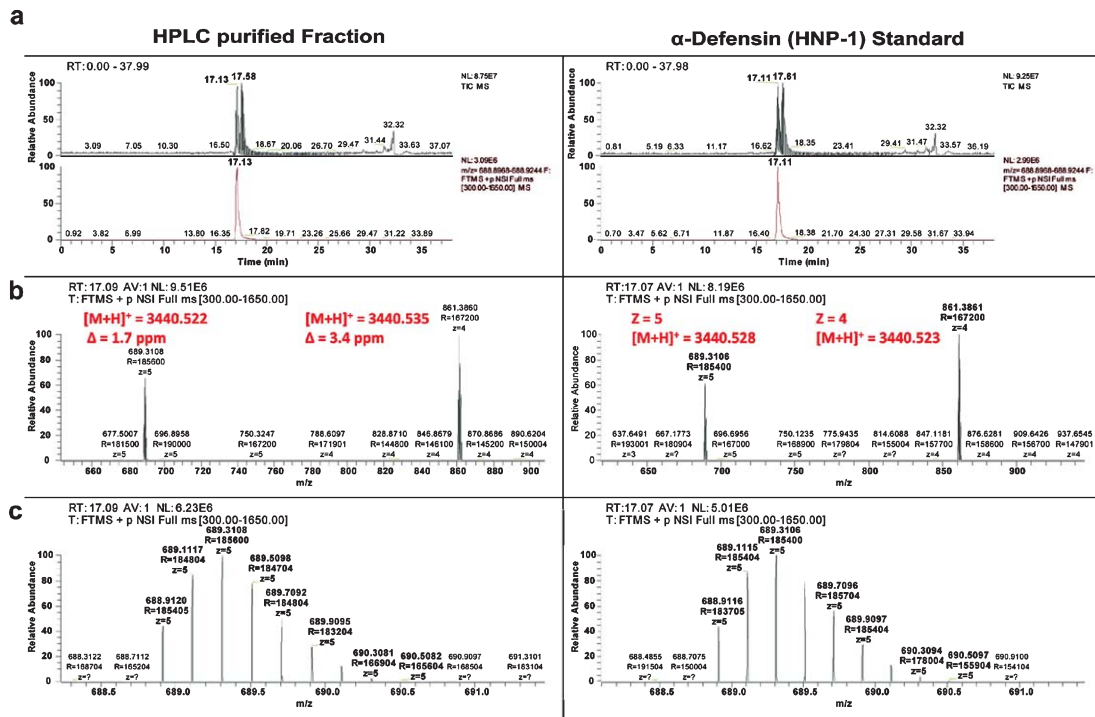


Fig. 4. Identification of CM3440 as human neutrophil peptide-1. Comparative analysis of HPLC purified CM3440 against synthetic human neutrophil peptide (HNP)-1 enabled the identification of CM3440 as HNP-1. (a) Total ion chromatogram (top panel) and extracted ion chromatogram (lower panel) of the  $[M+5H]^+$  peptide indicates that both samples display near identical HPLC retention time (lower panel). (b) MS spectra of the  $[M+5H]^+$  and  $[M+4H]^+$  peptide showing differences of less than 3.4 ppm for the  $H^{4+}$  peptide and less than 1.7 ppm for the  $H^{5+}$  peptide. (c) Enhanced zoom of the  $[M+5H]^+$  spectra demonstrates that isotopic clustering of the two fractions resulted in similar masses between both samples.  $Z$  = charge state and  $R$  = resolution.

322 peptide enabled precise match to both the theoretical  
323 and synthetic peptide's isotopic patterns.

341 affected blood following normalization to neutrophil  
342 levels (HNP-2  $p = 0.008$ , HNP-1  $p = 0.032$ ; Fig. 5).

#### 324 *Alpha-defensins and white blood cell fractions*

#### 343 *Using Partial Least Squares regression to generate* 344 *predictive models*

325 The identification of HNP-2 and HNP-1 raised the  
326 question of whether the elevation of these biomark-  
327 ers in the periphery of AD patients was an indication  
328 of aberrant WBC levels. Spearman's correlation was  
329 used to analyze the association between HNP levels  
330 and levels of WBCs, neutrophils and lymphocytes  
331 (Fig. 5). These analyses revealed strong positive cor-  
332 relations between  $\alpha$ -defensin levels and both white  
333 cell count (HNP-2  $r_s = 0.377$ ,  $p < 0.0001$ ; HNP-1  
334  $r_s = 0.354$ ,  $p < 0.0001$ ) and neutrophil count refer-  
335 ence interval (HNP-2  $r_s = 0.426$ ,  $p < 0.0001$ ; HNP-1  
336  $r_s = 0.402$ ,  $p < 0.0001$ ). However, no correlation was  
337 observed between  $\alpha$ -defensin levels and lymphocyte  
338 count reference intervals (HNP-2  $r_s = 0.053$ ,  $p > 0.05$ ;  
339 HNP-1  $r_s = 0.044$ ,  $p > 0.05$ ). Further analysis revealed  
340 that  $\alpha$ -defensin levels remained elevated in AD-

345 In order to enhance the distinction between the  
346 spectral profiles of AD patients and their healthy coun-  
347 terparts, PLS regression was utilized to investigate  
348 which combination of the candidate peaks, if any,  
349 would allow for the optimal separation of the clinical  
350 diagnoses. In accordance with our earlier investigation  
351 [15], data for these models included peak intensities of  
352 the candidate peaks, ratios between candidate markers  
353 and participants' age, gender and APOE status. How-  
354 ever, only participant's age and APOE  $\epsilon 4$  were found  
355 to have variable importance of the projection (VIP)  
356 values 1 standard deviation above 0.8 (Supplementary  
357 Table 6). These findings indicated that the candidate  
358 markers were not able to produce a robust diagnos-  
359 tic model and thus no subsequent PLS analyses were

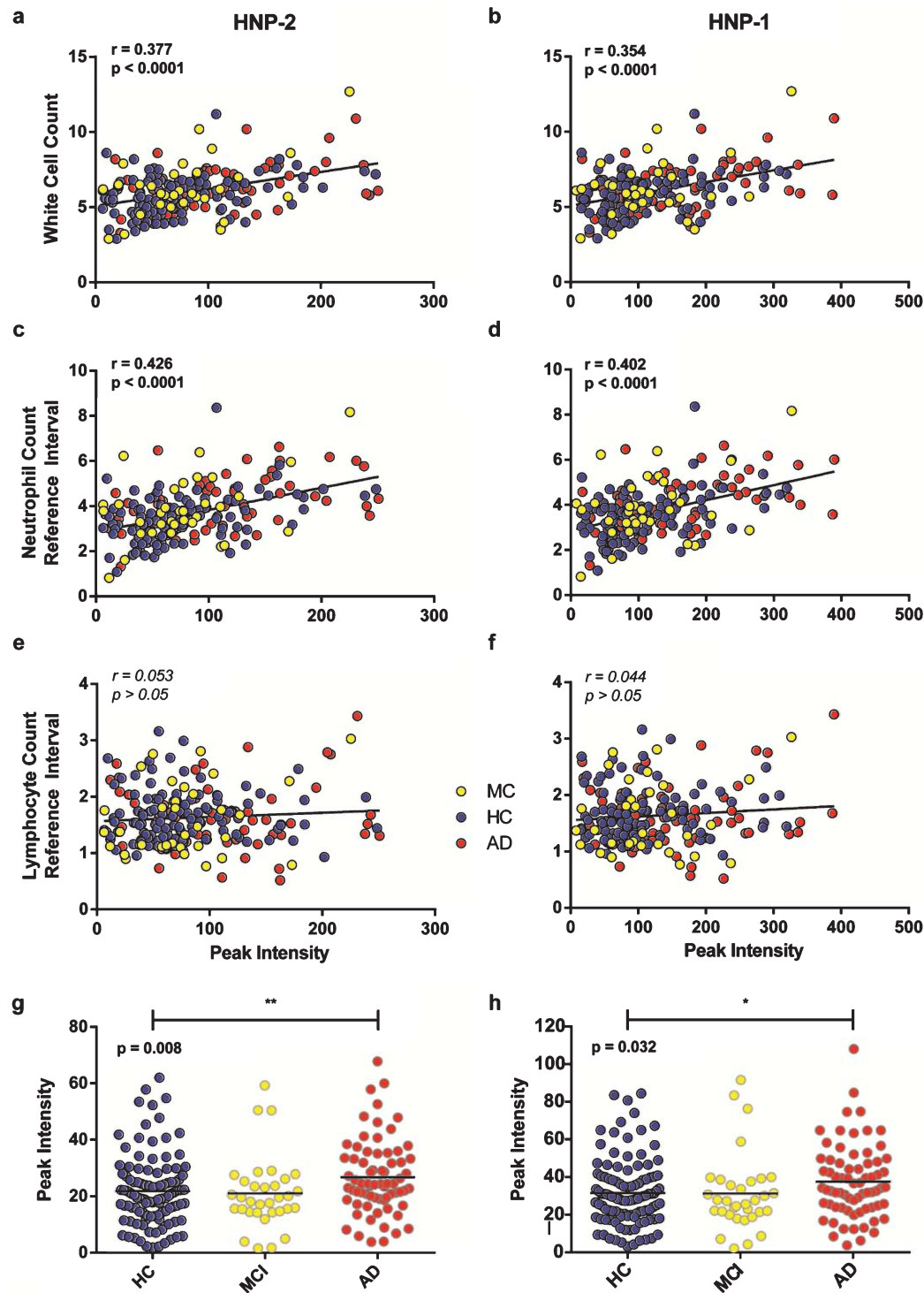


Fig. 5. Correlations between candidate biomarkers and WBC components and comparative analyses of  $\alpha$ -defensin levels normalized to neutrophil levels. a-f) Spearman's correlations revealed strong positive correlations between  $\alpha$ -defensin levels and both white cell count (HNP-2  $r_S = 0.377$ ,  $p < 0.0001$ ; HNP-1  $r_S = 0.354$ ,  $p < 0.0001$ ) and neutrophil count reference interval (HNP-2  $r_S = 0.426$ ,  $p < 0.0001$ ; HNP-1  $r_S = 0.402$ ,  $p < 0.0001$ ). No correlation was observed between  $\alpha$ -defensin levels and lymphocyte count reference intervals. Comparative analyses demonstrated that following normalization to neutrophil levels,  $\alpha$ -defensin levels, both (g) HNP-2 and (h) HNP-1 remained significantly elevated in AD-affected blood ( $p = 0.008$  and  $p = 0.032$ , respectively).

360 performed. It should be noted that the success of HNP-  
361 2 (CM3370) and HNP-1 (CM3440) in earlier PLS  
362 models was reliant on monomeric and dimeric A $\beta$  lev-  
363 els [15]; however, the instability of A $\beta$  levels in the  
364 AIBL samples rendered current attempts to produce  
365 predictive models ineffective. Attempts to generate  
366 predictive models using HNP levels in association with  
367 previously reported plasma A $\beta$  levels [19]; however,  
368 these values were not able to add to the predictive  
369 power of the model (data not shown).

370 An additional attempt to generate a predictive PLS  
371 model was undertaken by combining  $\alpha$ -defensin levels  
372 with the panel of 18 biomarkers identified in the AIBL  
373 cohort by Doecke et al. [8]. This analysis indicated that  
374 participant's age, APOE  $\epsilon$ 4, insulin-like growth factor  
375 binding protein 2, pancreatic polypeptide and inter-  
376 leukin 17 had VIP values 1 standard deviation above  
377 0.8 (Supplementary Table 7) and indicated that levels  
378 of the  $\alpha$ -defensins and CM5352, in addition to ratios  
379 between these marker levels, as measured by IMAC,  
380 were not able to provide additional predictive power to  
381 the model produced by Doecke et al. [8].

## 382 DISCUSSION

383 The identification of a panel of biomarkers capable  
384 of identifying preclinical AD remains one of the major  
385 unmet goals in the field. The measurement of corti-  
386 cal A $\beta$  burden using PiB-PET and CSF measurements  
387 of A $\beta$  and tau remain the most clinically effective  
388 diagnostic markers of AD [20–22], with both show-  
389 ing strong potential as measures of preclinical disease  
390 [1, 23]. However, given the goal of screening asymp-  
391 tomatic individuals, PET imaging and CSF sampling  
392 remain logistically and economically impractical [21,  
393 24]. Such obstacles could be overcome by utilizing  
394 a more readily accessible biological sample, such as  
395 blood. As in previous studies [10, 15], the fractionation  
396 protocol utilized in the current investigation was kept  
397 purposely minimalistic; reflective of the standard pro-  
398 tocols utilized in clinical laboratories worldwide. The  
399 plasma and cellular fractions were separated before the  
400 cellular fraction was analyzed using IMAC in conjunc-  
401 tion with SELDI-TOF MS, leading to the identification  
402 of three candidate biomarkers of AD: HNP-1, HNP-2  
403 and CM5352.

404 CM5352 levels were lower in the AD cellular frac-  
405 tion and were significantly associated with cognitive  
406 performance and cortical amyloid burden. Unfortu-  
407 nately, the low levels of CM5352 observed in the  
408 cellular fraction combined with the abundance of

409 neighboring peaks prevented further identification of  
410 this marker. This highlights a limitation of the com-  
411 bined use of IMAC and SELDI-TOF MS in that the  
412 peptide or protein of interest may not be suitable for  
413 identification due to low peak intensity/poor relative  
414 abundance or the presence of extraneous peaks in a  
415 similar mass range. Such peaks are often not resolv-  
416 able using electrophoresis and subsequent MS/MS  
417 identification.

418 The observation of elevated levels of HNP-1 and  
419 HNP-2 in the AD cellular fraction was of particular  
420 importance as it corroborated our earlier findings in an  
421 independent cohort [15]. As in the earlier study, these  
422 were observed to be elevated in AD patients through  
423 processes independent of age, gender and APOE sta-  
424 tus; indicating that the elevation of these blood markers  
425 was likely driven by underlying pathogenic processes.

426 Defensins are a family of mammalian peptides  
427 found in a number of human biological fluids includ-  
428 ing blood, milk, saliva, tears, and urine [25–27].  
429 Three  $\alpha$ -defensins, HNP-1, HNP-2, and HNP-3, are  
430 cationic antimicrobial peptides that are produced pre-  
431 dominantly by human neutrophils and are active  
432 components of the innate immune system [26, 28–30].  
433 The sequence of the three peptides is identical with the  
434 exception of a single N-terminal residue, which is an  
435 alanine in HNP-1, an aspartate in HNP-3 and which is  
436 missing from the truncated HNP-2 [31].  $\alpha$ -defensins  
437 are released in biological fluids during inflammation  
438 [26] and elevated levels of the peptides have previ-  
439 ously been reported as markers of cancer [32–34],  
440 schizophrenia [35], HIV [36], and herpes simplex virus  
441 [37].

442 The role of inflammation in AD remains somewhat  
443 controversial, with researchers continuing to question  
444 whether microglial activation in the AD brain has a  
445 neuroprotective or neurodegenerative function [38].  
446 However, regardless of their exact role in AD pro-  
447 gression, neuropathological and neuroimaging studies  
448 have consistently reported that microglial activation  
449 accompanies A $\beta$  deposition in AD and genes encod-  
450 ing inflammatory proteins are often upregulated in AD,  
451 even at the early stages of the disease [39–43]. Reports  
452 of elevated inflammatory markers in the AD periphery  
453 have also been well established [8, 44–46], leading to  
454 speculation regarding the presence of an inflammatory  
455 endophenotype in the lead up to a dementia diagnosis  
456 [44, 47, 48]. The findings of elevated  $\alpha$ -defensins pro-  
457 vide further support for this notion and indicate that a  
458 more thorough understanding of systemic inflamma-  
459 tion may help to elucidate the preclinical stages of AD  
460 pathogenesis.

Our previous investigation indicated that it was the combination of blood borne A $\beta$  and HNP levels that provided the most robust predictive model, while on their own the respective marker lacked the predictive power to be of significant prognostic value [15]. In the present study, it is thought that the extended sample processing time in the AIBL study resulted in decreased sample durability and a consequential lack of data pertaining to levels of monomeric and dimeric A $\beta$ . As a result of this, attempts to generate predictive models using levels of HNP-1 and HNP-2 alone were unsuccessful, with all markers failing to meet the requisite VIP levels for inclusion in the model. It is important to note however, that the failure of HNP-1 and HNP-2 to meet the requisite VIP levels for model inclusion is based on the measurement of their levels using broad-based IMAC analysis, rather than a more targeted antibody-based approach; something to be considered in future investigations. However, regardless of the analytical techniques employed to investigate markers of peripheral inflammation, such as the  $\alpha$ -defensins, the ubiquitous elevation of such markers across a wide range of disease states (cancer [32–34], schizophrenia [35], HIV [36], and herpes simplex virus [37]) necessitates the parallel observation of aberrations in A $\beta$ , tau, or other AD-specific protein levels. Attempts to measure A $\beta$  in these samples were undertaken, albeit unsuccessfully, in the current study; however, peripheral tau levels were not assessed and data pertaining to these levels was not available from the AIBL database.

The deteriorating A $\beta$  signal observed in the AIBL samples over time is of considerable concern and raises the question of whether biofluid samples held within the AIBL, and potentially the ADNI and DIAN, biobanks will contain viable levels of the peptide in the years to come. This finding, whilst requiring further investigation, is testament to the fragile nature of the A $\beta$  peptide and highlights the logistical difficulties of analyzing longitudinal A $\beta$  levels in complex samples. Finally, despite the aforementioned issues with sample durability, both HNP-1 and HNP-2 remained at significantly elevated levels in the AD periphery; suggesting that these markers offer a more robust measure of disease state than the more fragile A $\beta$  peptides.

The finding that  $\alpha$ -defensins are elevated in the AD-affected periphery reaffirms, not only our earlier investigation [15], but also the notion that the identification of peripheral inflammation may be a key step toward identifying AD in its earlier stages. While it is not being asserted that additional investigation into the underlying causes of elevated  $\alpha$ -defensin levels

will further our understanding of AD pathogenesis; it is clear that non-amyloidogenic biomarkers offer an alternative pathway in the diagnosis and monitoring of AD.

## DISCLOSURE STATEMENT

Authors' disclosures available online (<http://www.j-alz.com/disclosures/view.php?id=2600>).

## SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <http://dx.doi.org/10.3233/JAD-142286>.

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