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Title:

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Date:

2015-10-22

Citation:

Chambers, C. R. S., Brassington, G. B., Walsh, K. & Simmonds, I. (2015). Sensitivity of the distribution of thunderstorms to sea surface temperatures in four Australian east coast lows. *Meteorology and Atmospheric Physics*, 127 (5), pp.499-517. <https://doi.org/10.1007/s00703-015-0382-4>.

Persistent Link:

<https://hdl.handle.net/11343/282722>

1 **Sensitivity of the Distribution of Thunderstorms to**
2 **Sea Surface Temperatures in Four Australian East**
3 **Coast Lows**

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25 **Abstract**

26 The relationship between the sea surface temperature (SST) distribution and the locations of
27 thunderstorms during four Australian east coast lows is investigated using both lightning
28 observations and numerical simulation results. The focus is placed on investigating changes
29 in convective instability caused by the introduction of complex, high-resolution ocean eddy
30 and frontal structures present in Bluelink SST datasets. Global Position and Tracking System
31 lightning data are overlaid on maps of SST to investigate whether a thunderstorm-SST
32 relationship is discernible. Weather Research and Forecast model simulations are used to
33 establish what atmospheric changes contribute to the observed distributions of thunderstorms.
34 Maximum convective available potential energy (MCAPE) analysis shows a distinct
35 relationship to the SST distribution. In particular areas of elevated MCAPE are related to
36 regions of warmer SST with horizontal advection often displacing increased MCAPE
37 downwind of the warmer SST. At short timescales of 3 to 6 hours, the differences in MCAPE
38 become larger and more localized and show a strong correlation with the observed lightning.
39 This suggests that at times the thunderstorms are directly related to the complex structures in
40 the detailed SST dataset. For the damaging Pasha Bulker case the plume of thunderstorms
41 associated with the coastal damage occurs downwind of the region of enhanced MCAPE on
42 the southern flank of the warm eddy. Based on these results it is concluded that the particular
43 features of the warm eddy enhanced the thunderstorm potential over the coastal region during
44 this event and helped in localising the area of greatest impact for thunderstorm related intense
45 rainfall.

46 **1. Introduction**

47 Australian east coast lows (ECLs) or east coast cyclones (Bridgman 1985, Holland et al.
48 1987, McInnes et al. 1992, Qi et al. 2006, Browning and Goodwin 2013, Dowdy et al. 2013a,
49 b, Pepler et al. 2014) are subtropical low pressure weather systems that tend to develop
50 rapidly over the oceanic region where the warm East Australian Current (EAC) flows
51 southward off the east coast of Australia. ECLs can have characteristics of both tropical and
52 extratropical cyclones (Evans and Guishard 2009) and are thought to develop through both
53 baroclinic instability and diabatic heating related instabilities (Hart 2003).

54 ECLs have historically been responsible for major flooding events, damage to coastal
55 infrastructure, and the wrecking and beaching of multiple ships including the Norwegian bulk
56 carrier the Sygna in May 1974 (Bridgeman 1986) and the bulk carrier Pasha Bulker in June
57 2007 (Mills et al. 2010). ECLs are notoriously difficult to forecast, in part because of their
58 tendency to rapidly intensify overnight. In addition, features within these storms, such as
59 thunderstorm bands, can localize the impact, increasing further the complications in
60 producing an accurate forecast. Ocean eddies in the EAC produce a complicated sea surface
61 temperature (SST) distribution that affects the rainfall distribution and coastal impacts from
62 ECLs (Chambers et al. 2014). This work expands on Chambers et al. by investigating
63 whether the distribution of thunderstorms within three additional ECLs are related to the SST
64 distribution.

65 On the large scale, ECLs typically develop under consistent upper and lower-level
66 atmospheric patterns. The lower-atmospheric pattern is a low or trough in the subtropical
67 easterly flow known as an “easterly dip” (Fandry and Leslie 1984) that has a ridge on its
68 poleward side. ECLs can develop when an easterly dip develops under, or to the east of, a
69 mid-tropospheric cold-core cyclone or trough in the upper tropospheric westerlies. The cold
70 pools and associated cut-off cyclones present at the beginning of each of the four ECLs in

71 this study are shown in Figure 1. As the pool of cold air moves eastward, it passes from
72 relatively dry continental Australia to the warm moist air mass present over the warm waters
73 of the EAC. The presence of cold air above warm moist low level air leads to an increase in
74 vertical buoyant instability making the troposphere more favourable for deep convection.

75 The EAC is a western boundary current of the South Pacific sub-tropical gyre that
76 propagates poleward along the east coast of Australia (Figure 2). The EAC transports warm
77 tropical water from the Coral Sea poleward to the Tasman Sea and has a much greater eddy
78 kinetic energy than other western boundary currents (Hamon, 1965; Stammer, 1997;
79 Brassington et al., 2011). Consequently, anticyclonic warm eddies of the order of 250 km
80 diameter are shed from the current near 32° S. The warm eddies can have a mixed layer depth
81 exceeding 300 m in their core (Andrews and Scully-Power 1976) and their rotation and deep
82 vertical structure resists cooling of the eddy interior. As warm eddies move southward they
83 enter a progressively cooler ocean environment leading to the development of localised
84 regions of strong SST gradients.

85 SST gradients have been found to impact the overlying atmosphere in a number of
86 ways that could influence thunderstorm development. In regions of large SST gradients
87 which occur near meandering ocean currents such as the EAC, previous research has shown a
88 strong positive correlation between SST and surface wind speed perturbations at spatial
89 scales of between 100 and 1000 km (see the review by Small et al. 2008).

90 Radar observations of a persistent area of rain over the Gulf Stream led Hobbs (1987)
91 to introduce the concept of a 'Gulf Stream rainband'. Warner et al. (1990) found that sharp
92 SST gradients in the vicinity of the Gulf Stream triggered convergence in the lower
93 troposphere. The Gulf Stream rainband has been associated with rapidly developing
94 thunderstorms (Trunk and Bosart, 1990, Christian et al., 2003, Li et al., 2004) and convective

95 precipitation has been found to be sensitive to SST gradients along the flanks of the Gulf
96 Stream (Kuwano-Yoshida et al. 2010).

97 Recent studies have confirmed that modifications of lower-tropospheric flow by
98 mesoscale SST gradients can translate upward and can trigger or enhance atmospheric
99 convection (Minobe et al. 2008; Vianna et al. 2010; Xu et al. 2011; Li and Carbone 2012).
100 Miyama et al. (2012) found that a convective rainband over the Kuroshio Current was
101 successfully simulated only when a high resolution SST dataset was prescribed. Modelling
102 experiments incorporating smoothed SST fronts in the East China Sea have been completed
103 by Xu et al. (2011) and Tanimoto et al. (2011) and have demonstrated the importance of
104 incorporating detailed SSTs to generate accurate weather simulations. By comparing
105 smoothed SST with high-resolution SST simulations of a Baiu rain event over the Kuroshio,
106 Sasaki et al. (2012) demonstrated that the convective available potential energy (CAPE)
107 difference between the simulations was positively correlated with the SST difference. The
108 increased CAPE was attributed to increased equivalent potential temperature in the boundary
109 layer from enhanced surface fluxes off the warmer ocean surface.

110 While previous research has established these important results concerning the role of
111 SST gradients on the atmosphere, the dependence of the response on conditions in the
112 atmosphere has not been extensively studied. The vertical mixing of momentum will depend
113 on the vertical wind shear and buoyancy in the lower troposphere, as well as on storm
114 features such as regions of convergence and convection. An ECL is often associated with
115 unstable conditions and complicated vertical wind shear distributions because of the typical
116 presence of a cold-core mid-level cyclone propagating across the warm EAC. It is unknown
117 how this will affect the SST-atmospheric response. In addition, previous research has been
118 primarily concerned with timescales of days or longer but in an ECL the significant damaging
119 weather usually occurs on timescales of hours. Therefore, for the purpose of forecasting, it is

120 of paramount interest to determine how these SST gradient responses develop on shorter
121 timescales during these damaging storm events to determine if they play a significant role.

122 This study compares observed lightning with simulated CAPE, two factors that have
123 been found to be related in past studies. Larger CAPE has been associated with the potential
124 for more vigorous convection which promotes more lightning (Williams et al. 1992; Rutledge
125 et al. 1992). Williams et al. found that during transition from a break period to the monsoon
126 over Darwin the lightning yield decreased by more than an order of magnitude for a halving
127 of CAPE. This corresponds to a decrease in CAPE of the order 1000 Jkg^{-1} which was in turn
128 found to be equivalent to a decrease in surface wet-bulb temperature of about $1 \text{ }^\circ\text{C}$. Buechler
129 et al. (1994) noted a similar sensitivity for mid-latitude thunderstorms. Additionally, several
130 studies have found correlations between lightning and precipitation rate (Alexander et al
131 1999; Chang et al. 2001; Pessi and Businger 2009). While thunderstorms occurring in an
132 environment with larger CAPE would be expected to have more lightning, there are other
133 critical factors that will influence the occurrence and intensity of a lightning producing
134 thunderstorm, such as adequate moisture through the troposphere, vertical wind shear, and
135 some form of trigger.

136 The rate of lightning strikes has been found to be positively correlated with the
137 strength of convection (Orville and Vonnegut 1974; Orville et al. 1983; Williams et al. 1992).
138 In the case of tropical cyclones (TCs) there have been a number of investigations into the
139 potential relationship between intensification and the distribution and rate of lightning strikes.
140 Black and Hallet (1999) concluded that generally weak vertical velocities within the eyewall,
141 combined with few supercooled water droplets, limits charge separation and consequently
142 leads to sparse lightning in TC cores. A greater likelihood of eyewall lightning in strong TCs
143 was found by Cecil and Zipser (1999) and greater inner-core lightning during intensification
144 by Squires and Businger (2008) and Abarca and Corbosiero (2011). DeMaria et al. (2012)

145 also found intensifying TCs to have greater lightning density than weakening ones, however
146 the largest lightning densities were found in sheared storms that do not intensify much. They
147 also concluded that inner-core lightning outbreaks can be a signal that an intensification
148 period is coming to an end.

149 With these considerations in mind, we focus on ECLs, using the lightning data to
150 compare with model data to investigate whether the distribution of the thunderstorms is
151 sensitive to the SST initialisation. Lightning data provides a useful source of information for
152 the location of thunderstorms particularly in remote ocean areas that are partially or totally
153 beyond the range of weather radar. The paper will look at the sensitivity of atmospheric
154 instability to changing the SST from a coarse dataset to an ocean eddy resolving dataset. It
155 will investigate this sensitivity at 48-hour, 12-hour, and 3-hour timescales and compare the
156 sensitivity with the observed distribution of lightning.

157 The investigation is presented as follows. Section 2 describes the four ECL case studies
158 and methodology, section 3 presents the simulation and lightning data analysis results, with
159 the discussion in section 4 and the overall conclusions in section 5.

160 **2. Case studies and method**

161 Four ECL case studies have been chosen for this study, two in 2007 and two in 2012. A
162 justification for analysing four contrasting examples is to get a more comprehensive
163 understanding of the relationships between the SST, convection and lightning. The first case
164 (JUN07a) covers the period from 1200 UTC 6 to 0000 UTC 9 June 2007. This event caused
165 over \$1.5 billion in damages and led to the beaching of the bulk carrier, the Pasha Bulker at
166 2315 UTC 7 June (0915 EST 8 June) on Nobbys Beach in Newcastle, New South Wales.
167 The low had a wide and persistent band of strong onshore winds associated with a strong
168 pressure gradient on its southern side (Figure 3a) that was responsible for the generation of

169 very rough seas, with the Sydney wave-rider buoy (33.8° S 151.4° E) recording 14.1 m
170 maximum wave heights. From 0200 UTC (1200 EST) to 1600 UTC 8 June (0200 EST 9
171 June), a long lasting, slowly southward propagating, east-west oriented thunderstorm
172 rainband moved onshore in the Newcastle area, leading to very high and localized rainfall.
173 Within this rainband, an intense mesoscale low pressure developed offshore and moved
174 onshore at 1500 UTC 8 June (0100 EST 9 June). In the ocean, a large warm eddy was present
175 centred at 33° S, 155° E as shown in Figure 4a. The sensitivity of rainfall to the introduction
176 of eddy-resolving SSTs has been investigated in Chambers et al. (2014) and they concluded
177 that the presence of a large warm eddy led to a marked enhancement in rainfall along its
178 southern flank. The more detailed SST specifications also produced a better agreement with
179 coastal observations.

180 The second case (JUN07b) occurred several days later in a similar location. The almost
181 stationary low developed near the coast between 1200 UTC 15 June and 1200 UTC 16 June
182 before moving offshore. The minimum sea level pressure reached for this system was 1003
183 hPa at 0600 UTC 17 June, as shown in Figure 3b. Impacts were less than in the JUN07a case
184 although snow over higher ground did lead to the accumulation of 30 cm south of
185 Bungendore (35.25° S, 149.45° E, location marked on Figure 4a). It has been chosen to
186 compare with the first case because the position of a warm ocean eddy had not moved a large
187 distance in the period between the two storms, as can be seen by comparing Figure 4a and b.

188 In the APR12 case, a low pressure system developed rapidly off the south-eastern coast of
189 Australia from 24 to 25 April, 2012. The Australian Bureau of Meteorology (BOM) analysis
190 shows a pressure drop of 6 hPa in 6 hours from 0000 UTC 25 April 2012 to 995 hPa (Figure
191 3c). A MODIS pass at 0325 UTC 15 April (not shown) showed a clearly defined cyclone
192 with multiple deep convective rainbands. Despite the impressive nature of this ECL, there

193 were no significant noted impacts, mainly due to a north-eastward track that took it away
194 from the coast.

195 The JUN12 case was another ECL that developed near the southeast corner of
196 Australia on 4 June 2012. This time the low moved towards the coast before moving rapidly
197 north along the coast. According to the BOM sea level pressure analyses the central pressure
198 dropped 12 hPa between 0000 and 0600 UTC 4 June to 986 hPa (Figure 3d). This ECL
199 brought strong winds and heavy rain to southeast New South Wales. The highest wind gust
200 recorded was 128 km h^{-1} at Wattamolla at 3:30 pm 5 June, while 13.8 metre waves were
201 recorded at Sydney.

202 **Model setup**

203 The four ECLs described above are simulated using the Weather Research and
204 Forecasting (WRF) Model version 3.3.1 (Skamarock et al. 2005). Two domains on a Lambert
205 conformal grid, with resolutions of 15 and 3 km, are used for the simulations, as shown in
206 Figure 2, with different domain locations for the 2007 and 2012 cases. The central grid point
207 is shifted so that the inner domain lies over the region of ECL formation. The outer domain is
208 221 by 171 grid points (3315 km by 2565 km) and the inner domain is 301 by 261 grid points
209 (903 km by 783 km). Fifty five levels are used in the vertical with a model top at 30 hPa. One
210 degree National Centers for Environmental Prediction (NCEP) Final (FNL) Analysis data
211 (Global Climate and Weather Modeling Branch 2003) is used to initialize the atmosphere in
212 the model.

213 The Thompson et al. (2004) microphysics scheme, which contains six water classes
214 (water vapor, cloud water, rain, snow, graupel, and cloud ice) is used. The Betts-Miller-Janjic
215 (Betts and Miller 1986; Janjic 1994) cumulus scheme is used only on the outer domain, with
216 the inner domain calculating the cumulus processes explicitly. Explicit depiction of
217 convection at 3-km resolution is thought to be sufficient to resolve mesoscale convective

218 features (Weisman et al. 1997). Other physics schemes used are the Yonsei University
219 planetary boundary layer parameterization, the Monin–Obukhov surface layer scheme (Hong
220 et al. 2006), the Dudhia (1989) short wave radiation scheme, and the Rapid Radiative
221 Transfer Model for long wave radiation with six molecular species (Mlawer et al. 1997).

222 Two 60-hour simulations are conducted for each of the four ECLs that are identical
223 except for the SST data used as input. The first 12 hours of the simulations are considered a
224 “spin-up” period during which the results are not analysed. The simulation names, integration
225 periods, and SST configurations used are summarised in Table 1. For all cases the SST
226 evolves on a 6-hourly basis to match the atmospheric data input period. The first of the SST
227 configurations (Skin) is the 1 degree resolution skin temperature from the NCEP FNL data
228 that are derived from the Global Data Assimilation System (GDAS). As the middle column
229 of Figure 4 shows, this dataset does not resolve any eddy variability in the EAC on domain 2.

230 The second configuration is for the 2007 cases and uses Bluelink ReANalysis
231 (BRAN, Schiller et al. 2008) SST. BRAN uses SST data from an eddy-resolving ocean model
232 simulation using the Modular Ocean Model (MOM, Griffies et al. 2004) which is constrained
233 through the data assimilation of altimetry, SST and in situ profiles using the Bluelink Ocean
234 Data Assimilation System (BODAS, Oke et al. 2008). The BRAN output data is daily-
235 averaged and is here time-interpolated to be 6 hourly to fit with the atmospheric initial
236 conditions. In an Australian-centred region (75° S - 16° N, 90° E - 180° E) the BRAN data
237 has a resolution of 0.1 degrees, and the WRF model domains used here are within this region.
238 The BRAN data contains complex SST structures in the EAC with mesoscale filaments and
239 eddies present, as can be seen in Figure 4a and d.

240 For the 2012 cases the Bluelink Ocean Model, Analysis and Prediction System
241 (OceanMAPS) version 2 (Brassington et al. 2012) SST is used. As with BRAN, OceanMAPS
242 uses a global ocean model based on the Modular Ocean Model version 4 (MOM4) with

243 assimilated BODAS observations and has a spatial resolution of 0.1 degrees. Since both the
244 BRAN and the OceanMAPS both utilize MOM, they produce qualitatively similar SST
245 structures in the EAC with mesoscale filaments and eddies present, as can be seen in the left
246 column of Figure 4.

247 **Lightning and MCAPE analysis**

248 In addition to the WRF simulations, lightning data from the commercial provider
249 Global Positioning and Tracking System Pty. Ltd. (GPATS 2013) is used to analyse the
250 distribution of thunderstorms in relation to the SST. GPATS data requires the arrival time of
251 a lightning discharge to be recorded at three or more radio receivers (Cummins and Murphy
252 2009). This allows for the detection of return strokes and the ability to distinguish between
253 cloud-to-cloud and cloud-to-ground lightning. The analysis conducted here concentrates on
254 lightning strike locations over given time periods that are compared with the model results
255 over the same periods.

256 The maximum convective available potential energy (MCAPE) is used to investigate
257 the sensitivity of tropospheric vertical instability to the SST distribution. MCAPE is
258 calculated as the CAPE at the level of greatest instability (Colman 1990). Specifically, in the
259 post-processing phase of WRF the MCAPE is calculated as the CAPE from the air parcel
260 with the maximum equivalent potential temperature in the lowest 3 km. CAPE (Moncrieff
261 and Miller 1976) is calculated by integrating the local buoyancy of a parcel from the level of
262 free convection to the equilibrium level. CAPE is a measure of instability through the depth
263 of the troposphere, is related to updraft strength, and is used to indicate thunderstorm
264 potential. MCAPE has been chosen rather than CAPE calculated using a parcel from the
265 surface, to compare with the observed lightning strikes, because the thunderstorms of interest
266 here could be surface based or elevated. Over the strong SST gradients present during these
267 cases the flow of warm moist air over cooler SSTs may lead to the warm air overrunning the

268 cooler near-surface air. In these situations it is more insightful to analyse the MCAPE rather
269 than surface based CAPE because the most unstable layer that triggers the thunderstorms may
270 not be at the surface.

271 **3. Results**

272 For the four ECL case studies the first 12 hours of the 60-hour simulations are not analysed
273 as this is considered a spin up period, which leaves the remaining 48 hours as the analysis
274 period. In the following analysis references to ‘detailed run’ refer to the BRAN or
275 OceanMAPS SST initialised simulations for the 2007 and 2012 cases respectively. Three
276 different timescales are investigated. The first is the 48-hour average that provides a less
277 noisy signal with which we can identify associations with SST more clearly. Secondly, 12-
278 hour averages provide a view during the different phases of the ECLs’ development. Thirdly,
279 for specific lightning active periods, 3-hourly averages allow a view on a timescale more
280 relevant to the thunderstorm lifecycles.

281 **2-day average structures**

282 The 48-hour average simulated MCAPE for each of the cases is plotted in Figure 5 to
283 provide a general overview of the convective energy situation. The broad distribution of
284 average MCAPE shows some similarities between the detailed and skin SST runs while local
285 differences can be seen. This suggests that the changes to the SST do not lead to large
286 changes in the broad synoptic-scale features of the storms so, for example, the areas of low-
287 level warm advection remain in similar locations and are generally associated with the high
288 MCAPE regions in Figure 5. Also of note is the lack of MCAPE over the land interior with
289 significant MCAPE only occurring over the coastal regions. During the 48-hour period over
290 which these averages are taken there are considerable changes to the MCAPE due to the
291 approach and passage of the cold-core upper-level trough.

292 In Figure 5 the SSTs are overlaid as black contours (also see Figure 4) to aid
293 visualization of relationships with MCAPE. Comparing Figure 5a and b it is apparent that the
294 warm SSTs over the central warm eddy (centred at 32.5° S, 154.5° E) in JUN07a_BN are
295 responsible for higher 48-hour averaged MCAPE than in JUN07a_skin. Also lower MCAPE
296 in JUN07a_BN located east of the warm eddy over the tongue of cold water near 32° S, 157°
297 E, is largely absent from JUN07a_skin. Of potential significance for the coastal impacts of
298 this case is the MCAPE local maximum of 350 J kg⁻¹ seen offshore at 33° S, 153° E that is
299 not present in JUN07a_skin. The JUN07a_BN average 10-metre wind vectors shown in
300 Figure 5a indicate that downwind of this maximum is a large negative temperature gradient
301 associated with cooler water nearer the coast near 33° S, 152.5° E.

302 For the JUN07b cases (Figure 5c and d) the 48-hour average MCAPE is greater than
303 the JUN07a cases along a broad band oriented southwest to northeast across domain 2. This
304 band of MCAPE is narrower in JUN07b_BN with a sharper southward reduction located near
305 34° S, 154° E. This occurs in a region of strong SST gradients (cooling southward) along the
306 southern edge of the central warm eddy. Around 31° S, 157.5° E is a region that has the
307 highest averaged MCAPE in JUN07b_BN of either of the JUN07b cases, locally in excess of
308 600 J kg⁻¹.

309 For APR12 (Figure 5e and f) there is a broad band of high MCAPE in both cases east
310 of 152° E. APR12_skin has substantially larger, in both magnitude and areal extent, MCAPE
311 within the band, particularly in the southern portions. APR12_skin fails to resolve multiple
312 regions of SST gradients within the southern region that are present in APR12_OM. For the
313 JUN12 cases (Figure 5g and h) there are less prominent MCAPE changes with broadly
314 similar distributions in both JUN12_OM and JUN12_skin. Of note is an area of higher
315 MCAPE in JUN12_OM near 35° S, 156.5° E and lower MCAPE in the region near 37.5° S,
316 152° E associated with a greater east-west SST drop in JUN12_OM.

317 To further explore the features seen in Figure 5, the 48-hour MCAPE differences
318 between the detailed SST and the skin SST runs are plotted for each case in Figure 6. In this
319 figure positive MCAPE differences are coloured in blue and green because of the association
320 of high MCAPE with heavy rain. The two 2007 cases (Figure 6a and b) show that average
321 MCAPE was greater by between 50 – 100 J kg⁻¹ over the same prominent central warm eddy
322 that persisted through the period covered by the two cases. There is also reduced MCAPE
323 associated with cooler waters in the detailed cases, with the largest reductions east of the
324 central warm eddy in both cases. In JUN07b MCAPE is reduced more than in JUN07a to the
325 east and south of the warm eddy. This corresponds to a larger (cooler) difference in SST in
326 JUN07b in these regions (Figure 4f).

327 The 48-hour-averaged winds for JUN07a_BN in Figure 6a show that the largest
328 positive MCAPE differences occur on the downwind (southern) side of the central warm
329 eddy, with maxima at 33.5° S, 155.5° E and at 33° S, 153° E, the latter location associated
330 with the offshore MCAPE max highlighted in the analysis of Figure 5a. The largest negative
331 MCAPE differences occur on the downwind side of the colder SSTs east of the warm eddy,
332 for example at 33° S, 157° E. In the persistent and strong east-southeasterly flow south of the
333 warm eddy the relationship is less clear, probably due to the strong advection of low-level
334 heat and moisture. Where heat and moisture is advected, regions of elevated MCAPE can be
335 correspondingly displaced. An example of the displacement is found in a region of positive
336 MCAPE difference near 35° S, 155.5° E that is associated with the upstream warm eddy at
337 36° S, 156.5° E. While there are considerable changes to the storm over this 48-hour period,
338 the quasi-stationary nature of the low pressure caused flow to be persistently east-
339 southeasterly across this region, lending confidence to this proposed advection relationship.

340 The JUN07b case provides an interesting comparison with JUN07a because the SST
341 distribution is similar to JUN07a. The average 10-metre wind flow is different to JUN07a and

342 the MCAPE difference distribution appears correspondingly shifted in Figure 6b. The shift
343 occurs because the locations of greatest low-level heat and moisture advection off the eddy
344 are changed because of the different pattern of wind flow. In particular the largest positive
345 MCAPE differences now occur over the northwest section of the central warm eddy around
346 32° S, 155° E. The wind vectors indicate that the flow turns from east-south-easterly to
347 southerly over the warm eddy which means that the region of largest positive MCAPE
348 difference over the eddy is again over the downwind side. The largest negative MCAPE
349 differences can also be seen to occur on the downstream side of the regions of cooler SSTs.

350 The 48-hour CAPE differences associated with the 2012 cases (Figure 6c and d) also
351 exhibit positive/negative relationships with positive/negative SST difference. The
352 APR12_OM case contained a warm current jet that spread southward from the southeast
353 corner of Australia into a warm eddy near 40° S, 151° E as shown in Figure 4g. Cooler water
354 located east of this feature leads to an east-west dipole in SST that is not present in the
355 APR12_skin case. Figure 6c shows that these SST features lead to a corresponding east-west
356 dipole in the MCAPE differences in the region south of 35° S and between 150° and 156° E
357 and strongest near 40° S, 153° E. The negative MCAPE differences dominate because of the
358 larger region of negative SST difference. As with the 2007 cases, 48-hour average winds in
359 APR12_OM show that the greatest negative MCAPE differences occur downwind of the
360 greatest negative SST differences throughout the central region where average winds are 10
361 m s^{-1} or more. South of 39° S and east of 153° E, where the average winds are lighter, there is
362 less downwind displacement of MCAPE consistent with less average lower tropospheric heat
363 and moisture advection in this region. The region of maximum positive MCAPE difference at
364 40.5° S, 153° E is less easy to explain. It lies on the eastern edge of the warm eddy in
365 southerly flow. Potentially, moisture convergence in APR12_OM simulations associated with
366 the SST gradients in this region (Figure 4g) during the intensification phase of the ECL that

367 occurred over this area played a role. Whatever the process, it will be shown later that this
368 region was associated with concentrated thunderstorm activity.

369 Similar correlations occur for the JUN12 case (Figure 6d) between MCAPE
370 difference and SST differences, with MCAPE differences on the downwind sides of SST
371 differences particularly evident in the strong 48-hour average flow south of 38° S. The largest
372 positive MCAPE differences of over 140 J kg⁻¹ occur in south-westerly flow over a strong
373 SST gradient region in JUN12_OM on the southeast edge of a warm eddy near 35.5° S, 153°
374 E. There are similar characteristics in the area of largest positive MCAPE difference in
375 APR12 where the maximum is also on the side of the eddy to the right of the wind direction.

376 To investigate whether the observed distribution of thunderstorms shows a
377 relationship with the MCAPE differences, and hence with the SST differences, all GPATS
378 detected lightning strike locations in the domain 2 region for the entire 48-hour analysis
379 periods of each of the four cases are plotted in Figure 7. In the JUN07a case (Figure 7a) a
380 concentration of lightning can be seen over, and south of, the warm eddy with a particularly
381 pronounced area of strikes over the coast in the Newcastle region near 33° S, 152° E.
382 Comparing with the 48-hour total MCAPE differences in Figure 8, the patches of intense
383 lightning over the southern flank of the central warm eddy occur in a region of generally
384 enhanced MCAPE in JUN07a_BN, however the intense lightning plume near the coast is
385 associated with a localized region of suppressed MCAPE (these regions are almost obscured
386 by lightning ‘dots’ in the Figure, but can be more easily seen in Figure 6a). There is limited
387 lightning over the cooler waters east of the eddy but significant lightning does occur over the
388 cooler water to the south of the warm eddy. Despite the cooler temperatures there is positive
389 MCAPE difference throughout most of this region. The strong south-eastward flow through
390 this region and consequent displaced MCAPE differences point at a potential relationship
391 between the lightning centred at 34.5° S, 154.5° E and the smaller warm eddy at 35.5° S,

392 156.5° E . The plume of lightning strikes that reaches the coast around 33° S is associated
393 with the severe and localized weather impacts that this region near Newcastle experienced.
394 This region lies downwind of the largest positive MCAPE differences identified earlier along
395 the southern edge of the warm eddy. Not all regions of increased MCAPE are associated with
396 lightning strikes. An example is the small region at 34° S, 159° E, which has increased
397 MCAPE but there is no lightning. The relationship between MCAPE and lightning is
398 dependent on many other factors including the presence of a trigger for initializing
399 convection. In this region it is probable that either no trigger was present, or the large-scale
400 forcing was not favourable.

401 For the JUN07b case the lightning occurs over the southeast of the warm eddy with a
402 separate area between 31° and 33° S in the vicinity of 158° E (Figure 7b). These areas are
403 generally associated with the edges of positive MCAPE differences, however the average
404 wind flow is blowing from negative to positive MCAPE difference, which is inconsistent
405 with the hypothesis of along flow SST forcing MCAPE release. Figure 5c showed that this
406 case had substantially higher average MCAPE than JUN07a, potentially leading to more
407 rapid thunderstorm development. In this case the vast majority of lightning was
408 predominantly in the first 24 hours of the period, which will be investigated in greater detail
409 in a following section.

410 The most concentrated region of 48-hour lightning in APR12 (Figure 7c) is in an arc
411 centred around 39.5° S, 152° E. Comparing with the MCAPE differences (Figure 6c) this
412 region can be seen as occurring downstream of the largest MCAPE increases in APR12_OM.
413 A significant amount of lightning occurs over the large region of negative MCAPE
414 differences located south of 36° S, and between 152° and 156° E but most of this region is
415 downwind of MCAPE reductions. Bands and complexes of thunderstorms associated with
416 storm rainbands lead to a complicated picture. In contrast to JUN07a, this case shows a less

417 clear relationship between the lightning and the MCAPE differences. The JUN12 case
418 (Figure 7d) had far less lightning than the other cases and the MCAPE differences do not
419 indicate a clear relationship with the SST.

420 **12 hour snapshots**

421 The focus now turns to investigate the JUN07a case over shorter periods to establish
422 whether SST-induced MCAPE differences and lightning distributions show relationships at
423 timescales closer to those of relevance to different phases of ECL evolution. As the upper
424 level trough moves eastward the instability of the atmosphere generally increases because of
425 the cooling of the upper troposphere. This is one reason why it is important to investigate the
426 MCAPE sensitivity at shorter time-scales. The MCAPE differences (BRAN – Skin) for the
427 four 12-hour periods that make up the 48-hour analysis period are plotted in Figure 9 and the
428 12-hour lightning strike locations in Figure 10. It should be noted that an analysis of coastal
429 rain gauge observations in Chambers et al. (2014) indicates that the simulated heaviest
430 rainfall occurred roughly 6 hours prior to the observed rainfall. This is an indication that the
431 rainband moved southward earlier in the model than in reality.

432 For the first 12-hour period (Figure 9a) it is apparent that MCAPE is higher over and
433 west of the warm eddy in JUN07a_BN. Figure 10a shows a small area of lightning just west
434 of the core of the warm eddy during this period at 32° S, 154.5° E. In the second 12-hour
435 period the largest MCAPE differences occur along the southern flank of the warm eddy with
436 increases of over 400 J kg⁻¹ (Figure 9b). This occurs in a region broadly consistent with the
437 concentrated lightning during this period. The area of greatest MCAPE increase occurs
438 slightly south of the lightning, consistent with a more southward location of the rainband by
439 this time.

440 In the third time period, lightning data in Figure 10c show a plume of lightning
441 propagating towards the coast and originating over the region of strong SST gradients. The

442 region of elevated CAPE has propagated further south (Figure 9c). A large region of lowered
443 CAPE, that originates over the cooler water to the east of the warm eddy and is evident at all
444 of the time periods, is most pronounced in the third period. By the fourth time period the
445 CAPE over the warm eddy appears to have recovered somewhat while the southward
446 propagating elevated region has spread further south.

447 As mentioned earlier, the JUN07a simulations had a 6 hour discrepancy in the timing
448 of peak coastal rainfall. In an attempt to take account of this, an additional 12-hour MCAPE
449 difference plot is in Figure 11, where the 12-hour periods for calculating the MCAPE are 6
450 hours earlier than the lightning periods. This plot shows a more clear consistency between the
451 location of increased MCAPE and of lightning strikes associated with a more accurate
452 location of the principal rainband. In particular Figure 11c shows that the plume of
453 thunderstorms that impacted the coast so severely occur in a narrow band of increased
454 MCAPE. The relationship is complicated by the fact that the occurrence of thunderstorms
455 releases CAPE and so if enough storms occur then the MCAPE will decrease. These results
456 show that at a 12-hourly timescale, and accounting for the 6 hour discrepancy with
457 observations, the effect of introducing eddy resolving SSTs is to increase CAPE in regions
458 generally associated with regions of observed thunderstorms.

459 **3-hour analysis**

460 To continue the shift down to smaller timescales, an analysis of the 3-hourly lightning
461 strikes and MCAPE difference over the period over which significant coastal impact occurred
462 (1800 UTC 7 June to 0600 UTC 8 June) has been conducted. 3-hourly timescales are more
463 representative of a thunderstorm complex lifecycle and so are used to look at the instability
464 sensitivity for specific periods of intense thunderstorm activity. At these timescales it is
465 essential to take account of the 6 hour error in the timing of simulated heaviest coastal
466 rainfall. Therefore the MCAPE difference plots are made for 3-hourly periods that starts 6

467 hours earlier than the beginning of each 3-hour lightning period. A potential source of error in
468 this type of analysis could come because of changes to the MCAPE due to the diurnal cycle,
469 however diurnal radiative forcing should be less significant over this region at this time of
470 year (winter). The results of this analysis for four 3-hour periods is shown in Figure 12. For
471 the first two periods (Figure 12a and b) an area of strongly enhanced MCAPE in JUN07a_BN
472 (up to and over 400 J kg^{-1}) can be seen to be propagating southward (with embedded
473 westward propagating regions). Associated with the southern and western sides of this region
474 are areas of concentrated lightning. Figure 12c shows that the lightning extended westward in
475 a plume from the middle of the three lightning patches in the prior 3-hour period. By
476 comparing Figure 12b and c the intense lightning plume can be seen to develop downwind of
477 the area of greatest enhanced MCAPE located along 33° S between 153° and 156° E . In the
478 final 3-hour period the intense lightning continues in this plume and is associated with
479 elevated coastal and upwind MCAPE while regions of suppressed MCAPE occur to the north
480 and south. Figure 12d shows that the largest MCAPE increases over land of the four periods
481 occur in the final period near 33° S , 152° E .

482 **4. Discussion**

483 This research compares lightning data with model MCAPE differences caused by changing
484 the SST input data in an investigation into potential relationships between complex SST
485 structures in the EAC and thunderstorms during ECLs. This was motivated initially by
486 comparisons made between the distribution of lightning and the distribution of SST (for
487 example Figure 7) that suggested a connection between the two. It is also physically
488 motivated since, prior to significant vertical mixing of air, an air mass moving over water
489 would be expected to become more unstable more quickly if SSTs are warmer because
490 warmer waters imply greater surface fluxes of heat and moisture into the boundary layer.

491 Hypothetically the instability should continue to increase unless the low-level air mixes
492 upward and warms the middle and upper layers of the troposphere or if the low-level air
493 reaches thermal and moist near-equilibrium with the ocean surface that prevents further
494 increases in near-surface moist static energy. On the 48-hour average MCAPE differences in
495 Figure 6, there is evidence of this process emerging with MCAPE tending to be larger on the
496 downwind side of areas of warmer waters in the detailed SST runs. However there are a
497 number of other complicating factors to consider with respect to this process, three of which
498 we discuss below.

499 The first complication comes from the effect changes in SST can have on the surface
500 wind speed. Past research (see review by Small et al 2008) suggests that surface winds tend
501 to increase over warm water because greater vertical thermal instability mixes momentum
502 from aloft down to the surface. If this is assumed to be the case then this effect will change
503 the vertical wind shear profile which is known to be important for thunderstorm development
504 and severity. There is a further surface wind complication that is not generally considered in
505 the previous research which concerns situations where the momentum aloft is weaker than, or
506 opposing, the surface flow. In these situations the vertical mixing of momentum over warmer
507 waters should decrease the surface winds, not increase them, with consequent vertical wind
508 shear changes.

509 A second complication issue is associated with the formation of the thunderstorms
510 themselves. In a classic thunderstorm situation the thunderstorm will be triggered once
511 warming and moistening of the boundary layer is enough for a lifted parcel to overcome
512 convective inhibition. Once the thunderstorm occurs it will tend to warm the middle and
513 upper troposphere and consequently reduce the CAPE. It is therefore not difficult to imagine
514 situations where warmer water areas are associated with reduced CAPE caused by areas of
515 moist convection triggered by the same, or other areas of warmer waters.

516 The third complication comes from the impact of SST on the broader storm due to
517 processes such as baroclinic instability. The APR12 case stands out as the most prominent
518 example of the four cases that exhibited complications of this kind. In this case the storm was
519 more compact and intense in APR12_OM than in APR12_skin and this impacted the
520 distributions of MCAPE differences substantially, particularly in the later hours of the
521 simulations.

522 With these considerations in mind the lightning data analysis reveals a complicated
523 but at times marked relationship between eddy and filament structures in the EAC. On the 48-
524 hour timescale, increased MCAPE shows a clear relationship with increased SST with
525 displacement of MCAPE difference from SST difference occurring in regions consistent with
526 low level heat and moisture advection. The effect of the complex SST patterns is to rearrange
527 the pattern of MCAPE across the region and we hypothesize that this process also re-arranges
528 the distribution of thunderstorms. Further evidence for this hypothesis is found when shorter
529 timescales, more relevant to thunderstorm processes, are considered. The 3-hourly analysis of
530 the JUN07a case during the period of greatest coastal impact shows that regions of elevated
531 MCAPE associated with changing the SST occur in regions consistent with lightning activity.
532 On these shorter timescales increased MCAPE associated with changing the SST shows less
533 of a correlation with SST differences.

534 The 3-hourly results emphasize the importance of including accurate SSTs in future
535 numerical forecasts of similar events on this fine scale. The results suggest that the instability
536 in the thunderstorm band was significantly increased because of the warm eddy present
537 offshore. The effect of the eddy and associated SST gradients along its southern flank
538 increase the convectively instability and focus it into a narrow band in a consistent location
539 with respect to the observed thunderstorm band. The significant damaging impacts were
540 largely associated with this focussed thunderstorm band despite the fact that the low pressure

541 system covered a much broader region. Since the model results suggest this region may be
542 more favourable for thunderstorms in the BRAN simulations through increased MCAPE, it is
543 evidence that this highly significant storm feature was directly triggered by the warm eddy
544 and associated strong SST gradient.

545 Past research on the effect of SST gradients on surface winds has shown that
546 convergence tends to occur when air flows from a warm to a cold sea surface (e.g. Sweet et
547 al. 1981). Given this relationship, the maximum in MCAPE difference seen on the 48-hour
548 average in JUN07a in Figure 6a along the southern edge of the warm eddy could be explained
549 by a couple of factors. The first is that on the low-level flow trajectory beyond this point (to
550 the south), the MCAPE tends to release because the convergence over the strong SST
551 gradient provides enough uplift to trigger deep convection. This is consistent with Kuwano-
552 Yoshida et al. (2010) who found evidence of deep convection and thunderstorms
553 preferentially occurring over strong SST gradients. Under the northwest average flow over
554 the eddy, the southern edge of the eddy has a tendency to be the final point on the trajectory
555 that built up MCAPE across the warm eddy, and therefore should have the highest MCAPE
556 value. The second influence might be from higher moisture content within a region of
557 moisture convergence forced by the SST gradient. This should increase the dew point
558 temperature that will tend to increase the MCAPE (all other factors remaining equal). This
559 complicated process will require further detailed work and analysis.

560 A warm eddy in JUN12_OM near 35° S, 152° E is associated with the greatest
561 positive MCAPE differences. This region is also where the low pressure intensified and on
562 initial impression it appears that the low tracks along the SST gradient on the edge the eddy
563 (not shown). Despite this impression the JUN12_Skin simulation, that does not resolve an
564 eddy in this location, produced a very similar track and reached a similar intensity to
565 JUN12_BN. This point is made because it suggests that in this case the impression of a track

566 along an SST gradient may not be causal (as suggested by Holland et al. 1987 for a similar
567 case) but coincidental and instead possibly governed by other factors such as synoptic or
568 topographic steering.

569 Overall, a cold pool of air in the middle troposphere associated with ECL
570 development moving over a focussed region of warm water is conducive to vertical
571 instability that will be released where there is a convective trigger. This release happens
572 within broad scale storm triggers such as fronts, rainbands, topography, and as this study
573 suggests, SST features. The four cases studies show that the relationship between SST and
574 thunderstorm development during ECLs is not straightforward, but is compelling. For the
575 most damaging case, the results strongly indicate that the severe thunderstorm rainband was
576 intensified because of the large warm offshore eddy and its associated southern side strong
577 SST gradient.

578 **5. Conclusion**

579 The relationship between thunderstorm distributions and SST has been investigated using
580 lightning data and WRF simulations for four ECLs. WRF simulations initialised with coarse
581 SSTs are compared with detailed SST runs. Complex eddy and frontal structures in the
582 detailed SST runs cause MCAPE increases associated with regions of warmer SST and
583 decreases associated with colder SST. There is evidence that horizontal heat and moisture
584 advection displaces the MCAPE changes downwind. At 3 hour timescales, the differences in
585 MCAPE become larger and more localized and show a compelling correlation with the
586 observed lightning. The focused study of the 7-9 June 2007 case has shown that the plume of
587 thunderstorms associated with the coastal damage occurs downwind of the region of
588 enhanced MCAPE on the southern flank of the EAC warm eddy present at the time.

589 It is concluded that the complex upper ocean heat content structure present during this case
590 significantly influenced the impact from thunderstorms. Therefore an accurate eddy resolving
591 SST dataset may be important for accurate forecasts of future storms of similar nature.

592 **Acknowledgments**

593 This research is funded by Lloyd's Register Foundation (LRF), a UK registered charity and
594 sole shareholder of Lloyd's Register Group Ltd, which invests in science, engineering and
595 technology for public benefit, worldwide. Prasanth Divakaran provided valuable help in
596 working with the BRAN data. The University of Melbourne provided additional financial
597 support for this work. This work forms part of an international research network headed by
598 Prof. Jinyu Sheng of Dalhousie University, investigating extreme marine events.

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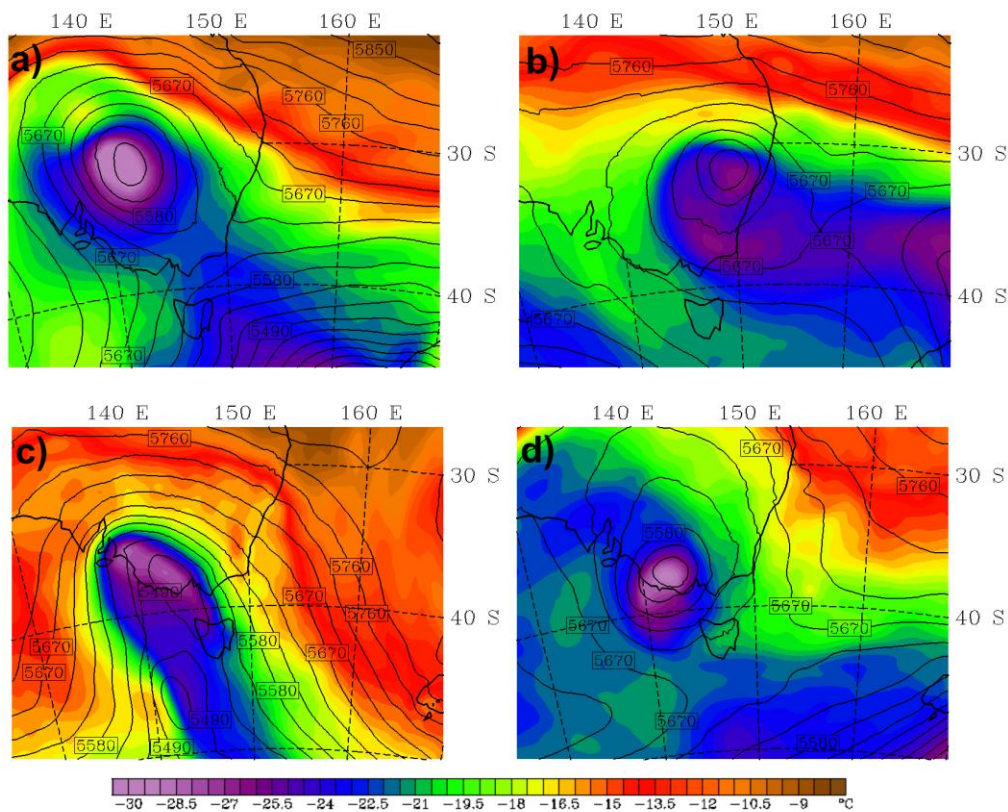
801 **Tables and figures**

802 Table 1: WRF simulation names, dates, and SST data used.

Case name	Dates	SST data input
JUN07a_skin	1200 UTC 6 to 0000 UTC 9 June 2007	NCEP skintemps

JUN07a_BN		BRAN
JUN07b_skin	0000 UTC 15 to 1200 UTC 17 June 2007	NCEP SSTs
JUN07b_BN		BRAN
APR12_skin	1200 UTC 23 to 0000 UTC 26 April 2012	NCEP skintemps
APR12_OM		OceanMAPS
JUN12_skin	0000 UTC 3 to 1200 UTC 5 June 2012	NCEP skintemps
JUN12_OM		OceanMAPS

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Figure 1: Initial domain 1 500 hPa temperatures ($^{\circ}\text{C}$) and heights (m) for each case; a) 1200 UTC 6 June 2007, b) 0000 UTC 15 June 2007, c) 1200 UTC 23 April 2012, and d) 0000 UTC 3 June 2012.

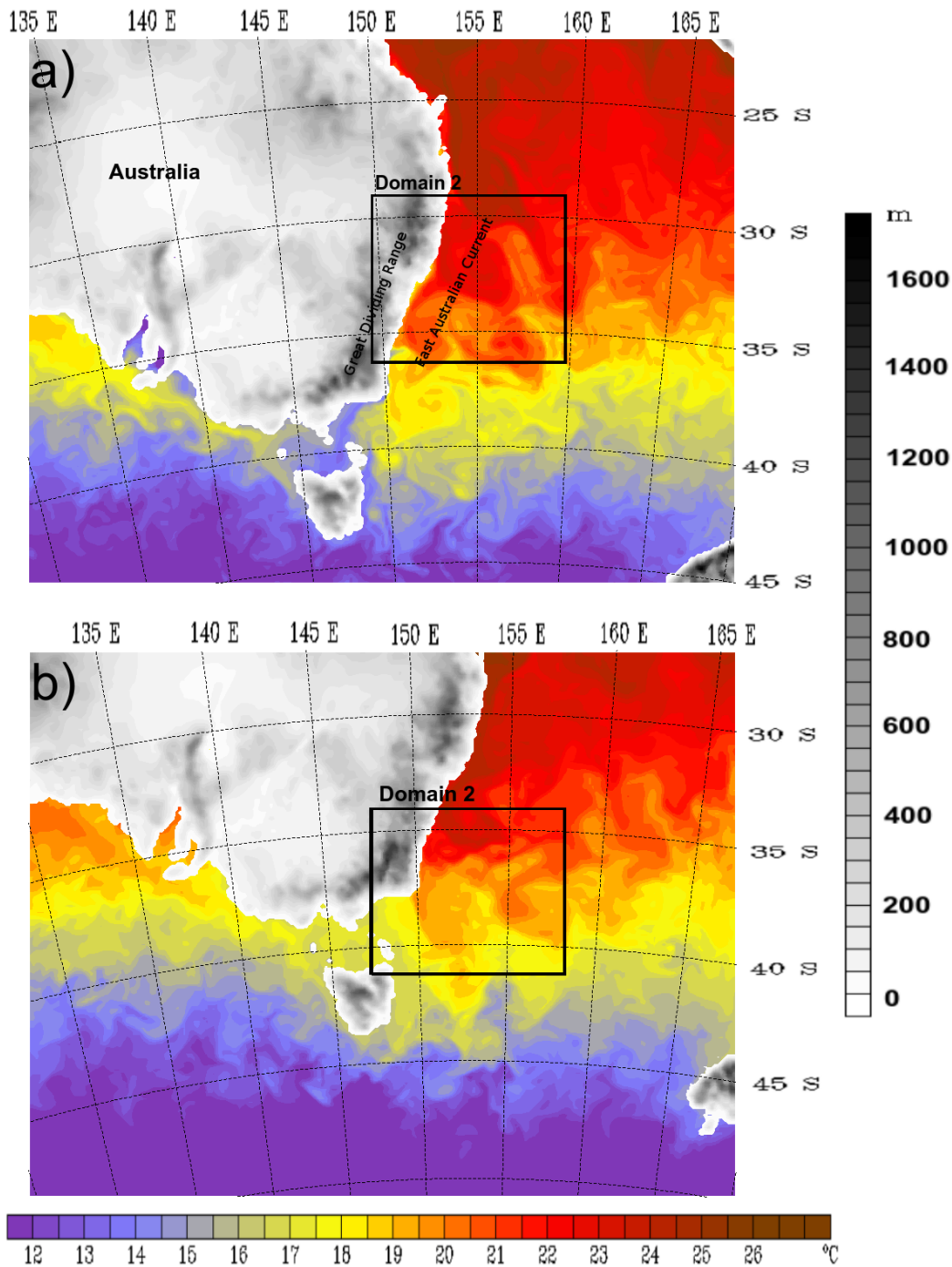


Figure 2: 15 km (whole map) and 3 km (inner box) resolution domains with terrain height (m) for a) the 2007 cases (with BRAN SST for 1200 UTC 6 June 2007 plotted over the ocean), and b) the 2012 cases (with OceanMAPS SST for 1200 UTC 23 April 2012 plotted over the ocean).

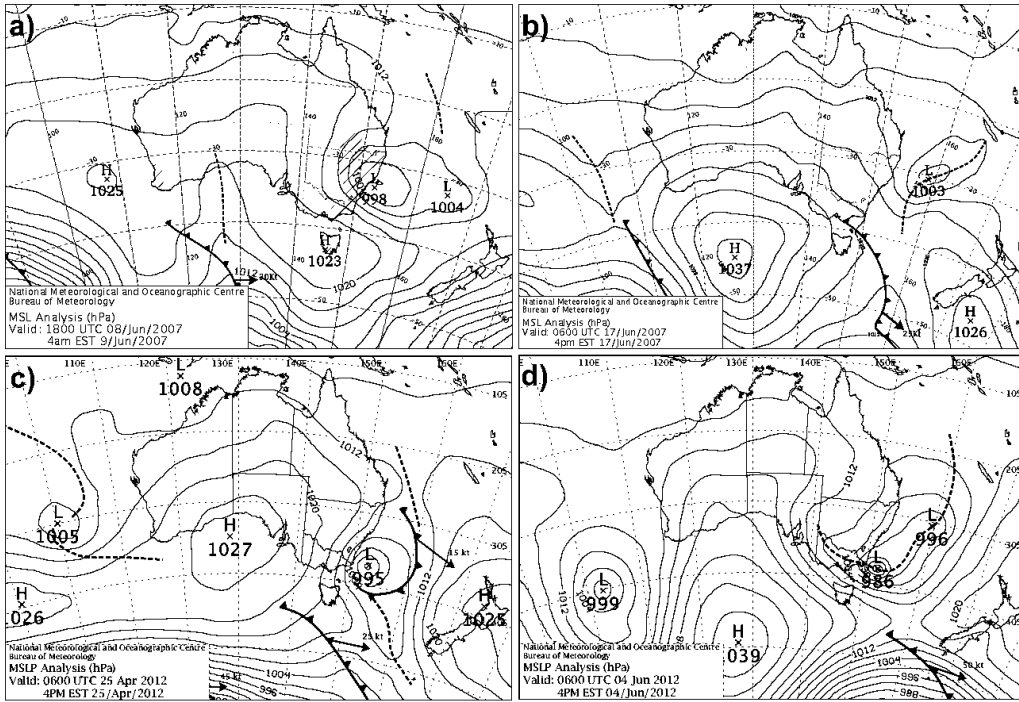
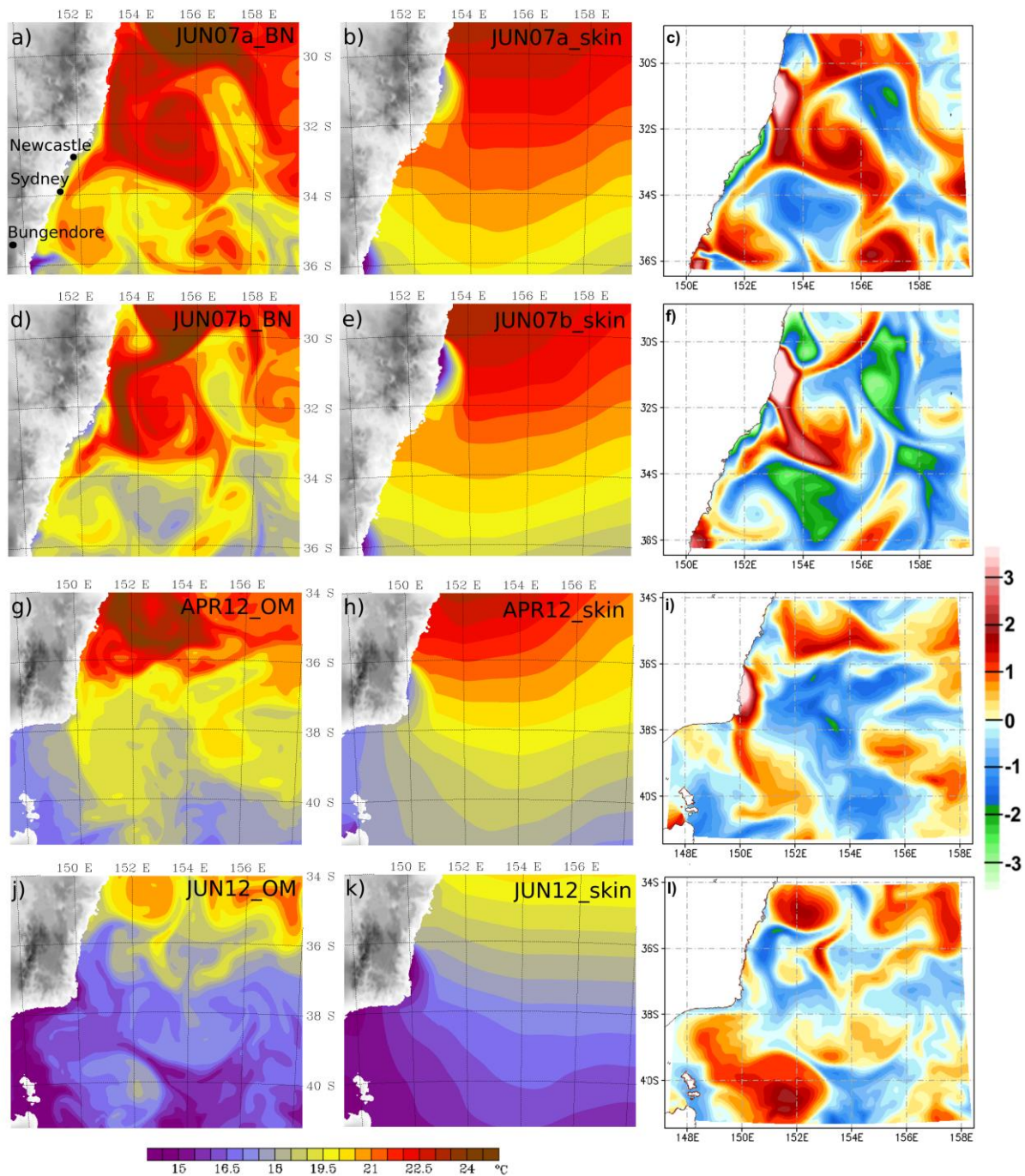


Figure 3: Sea level pressure analyses from the National Meteorological and Oceanographic Centre, Australian Bureau of Meteorology for the time of lowest analysed sea level pressure for each case; a) 1800 UTC 8 June 2007, b) 0600 UTC 17 June 2007, c) 0600 UTC 25 April 2012, and d) 0600 UTC 4 June 2012. Hatched areas indicate rainfall.



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Figure 4: Comparison of initial domain 2 SST inputs into each of the WRF simulations (labelled in top right, refer to Table 1) for 1200 UTC 6 June 2007 [a) and b)], 0000 UTC 15 June 2007 [d) and e)], 1200 UTC 23 April 2012 [g) and h)], and 0000 UTC 3 June 2012 [j) and k)]. In the right column are the 48-hour averaged SST differences (detailed – skin, °C) for the analysis period of each case.

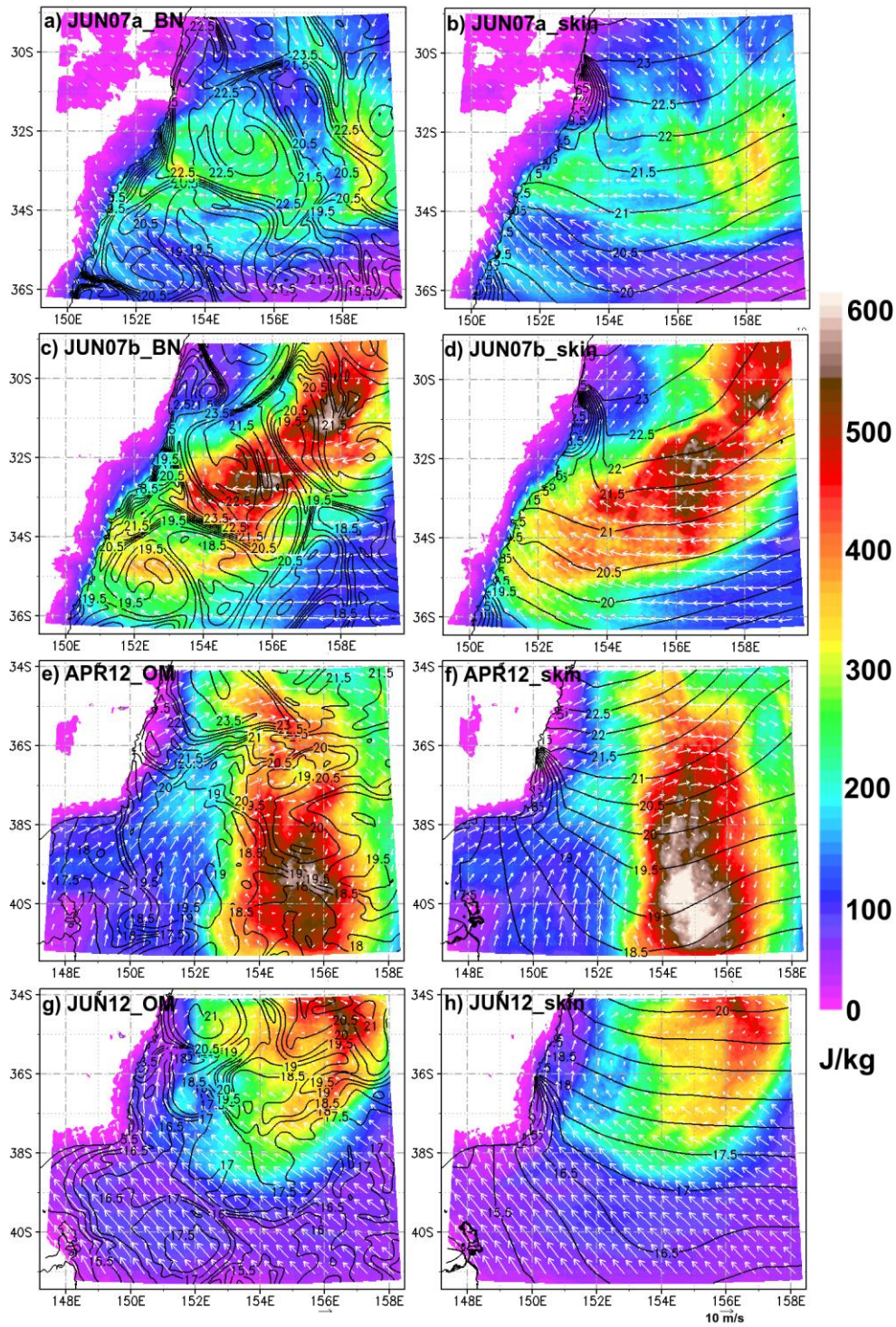
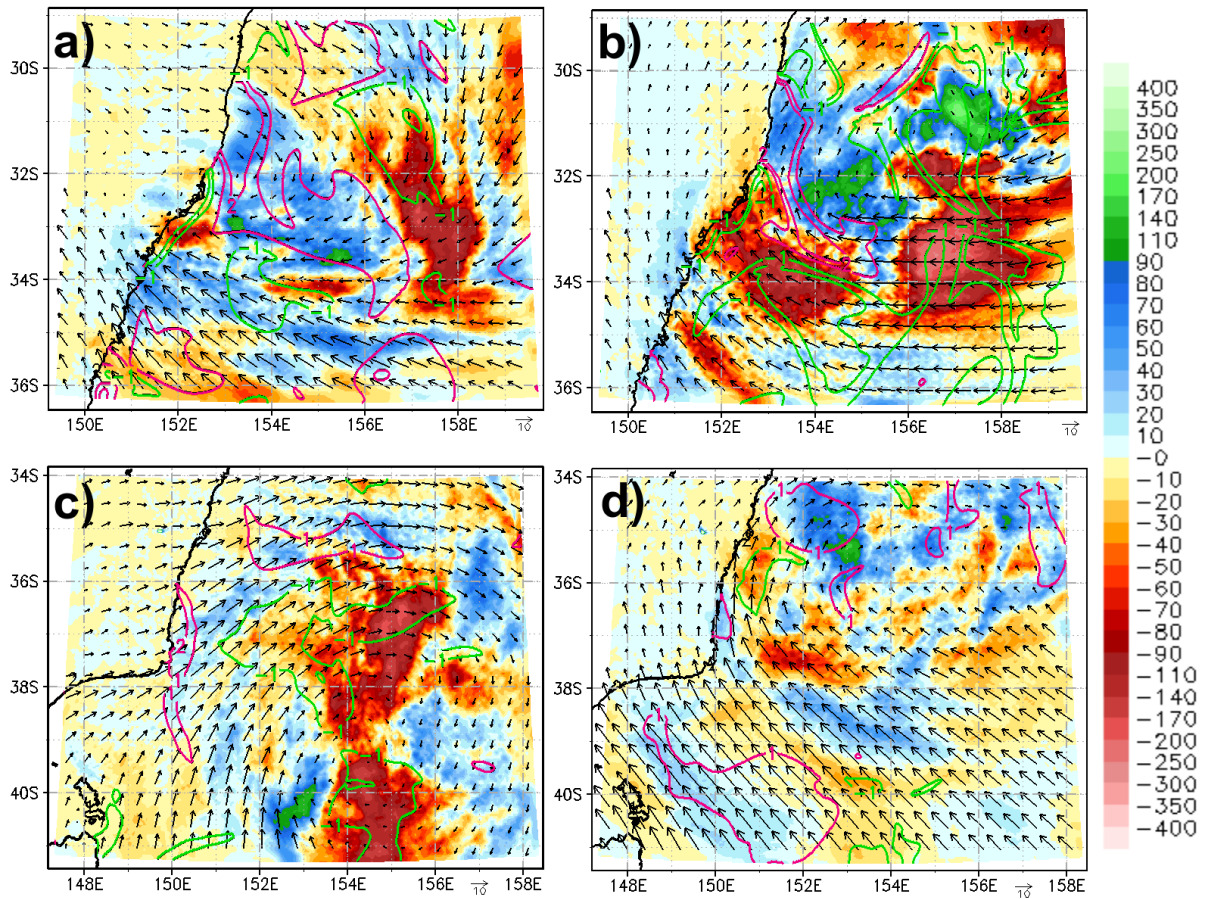
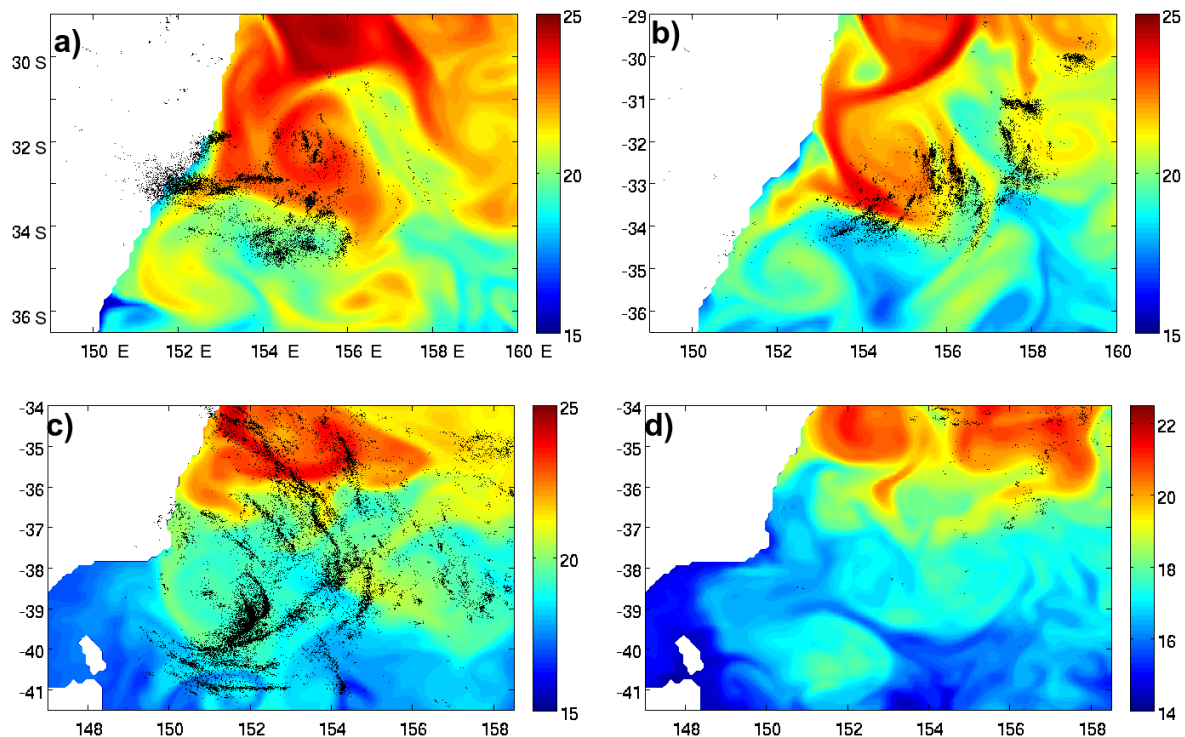


Figure 5: 48-hour averaged MCAPE (J kg^{-1} , colours), SST ($^{\circ}\text{C}$, black contours), and 10-metre wind vectors (m s^{-1} , representative 10 m s^{-1} vector in bottom right) for the cases as labelled in the top left of each panel.



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Figure 6: 48-hour average MCAPE differences (colours) and SST differences (magenta for +1 and +2 °C and green for -1 and -2 °C) for a) JUN07a_BN – JUN07a_skin b) JUN07b_BN – JUN07b_skin c) APR12_OM – APR12_skin and d) JUN12_OM – JUN12_skin. The 48-hour average 10 metre wind vectors for the detailed runs are overlaid (m s^{-1} , representative vector in bottom right).



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Figure 7: 48-hour total detected Global Position and Tracking System

(<http://www.gpats.com.au>) lightning strikes (black dots) for a) 0000 UTC 7 June to 0000 UTC 9 June 2007, b) 1200 UTC 15 June to 1200 UTC 17 June 2007, c) 0000 UTC 24 April to 0000 UTC 26 April 2012, and b) 1200 UTC 3 June to 1200 UTC 5 June 2012. Daily BRAN (for 2007 cases) or OceanMAPS (for 2012 cases) SST is plotted in colour for the initial day in each period.

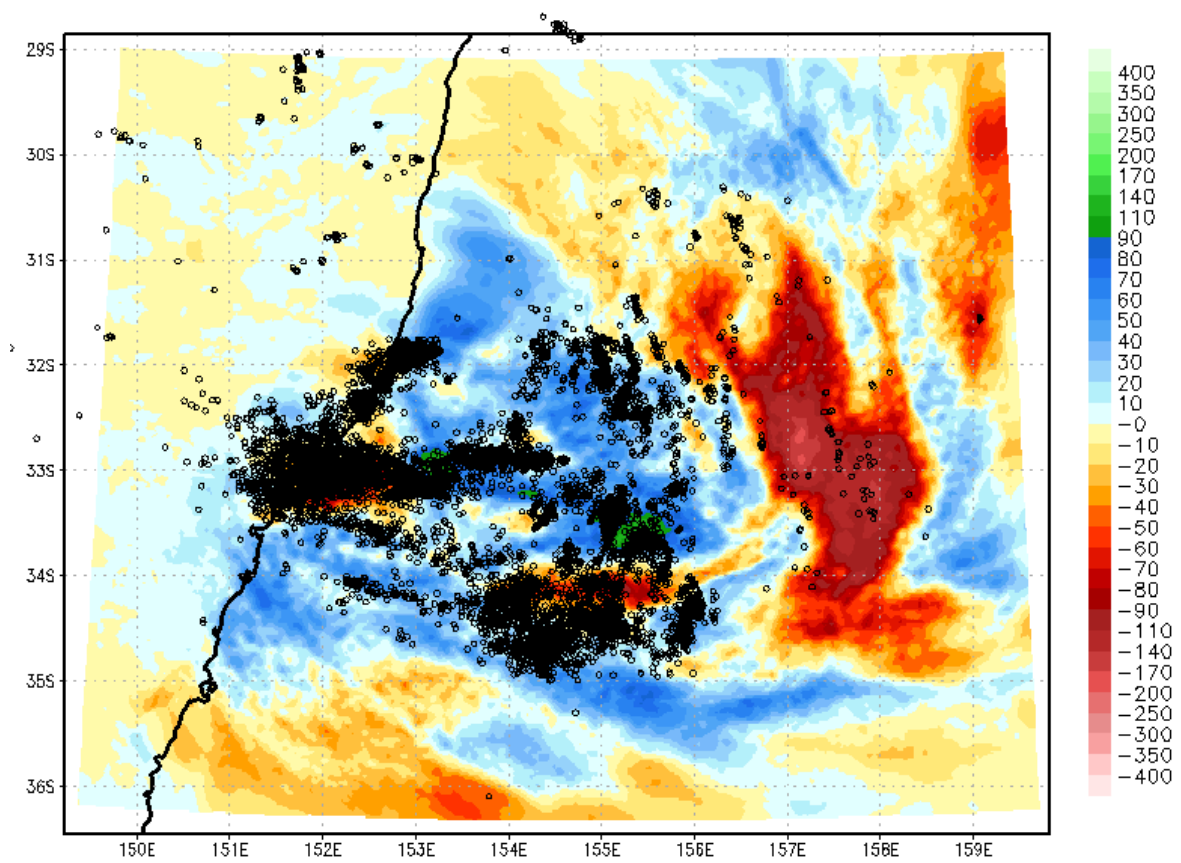
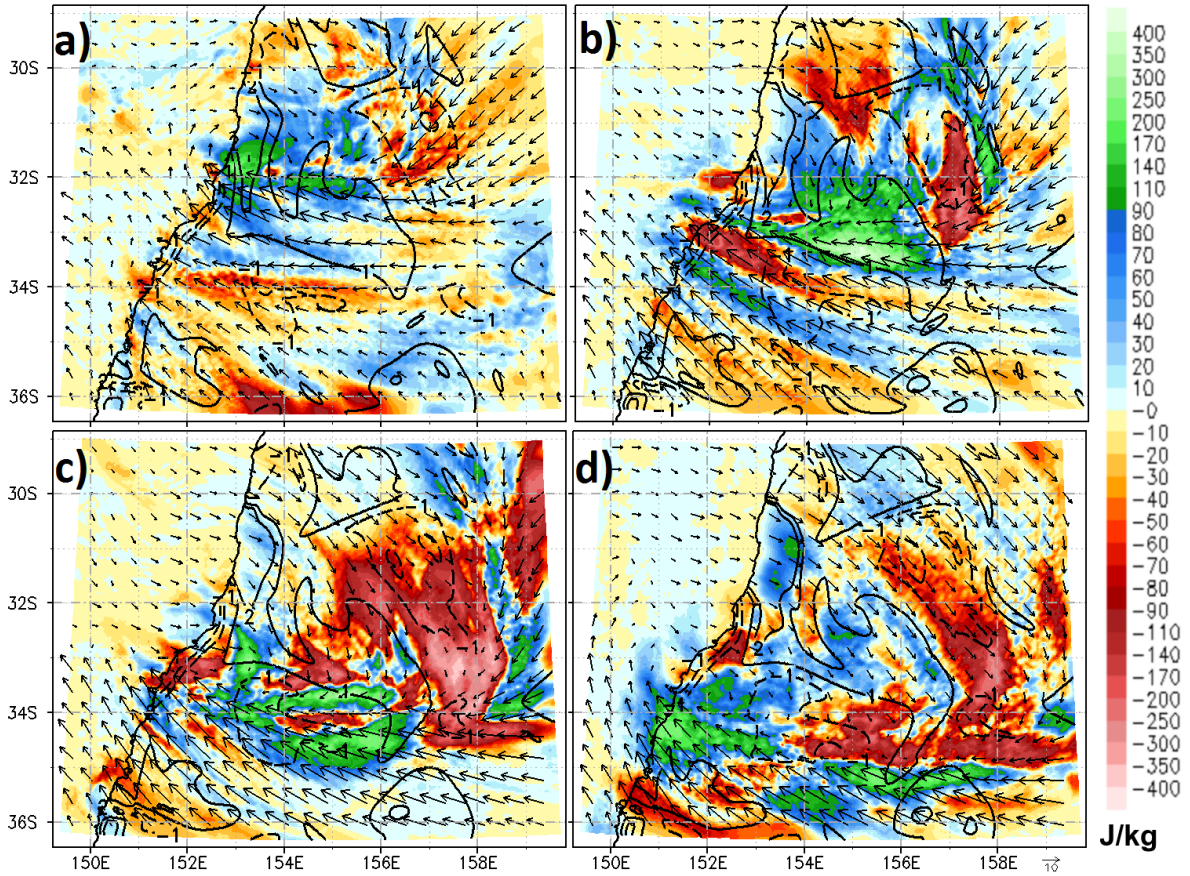
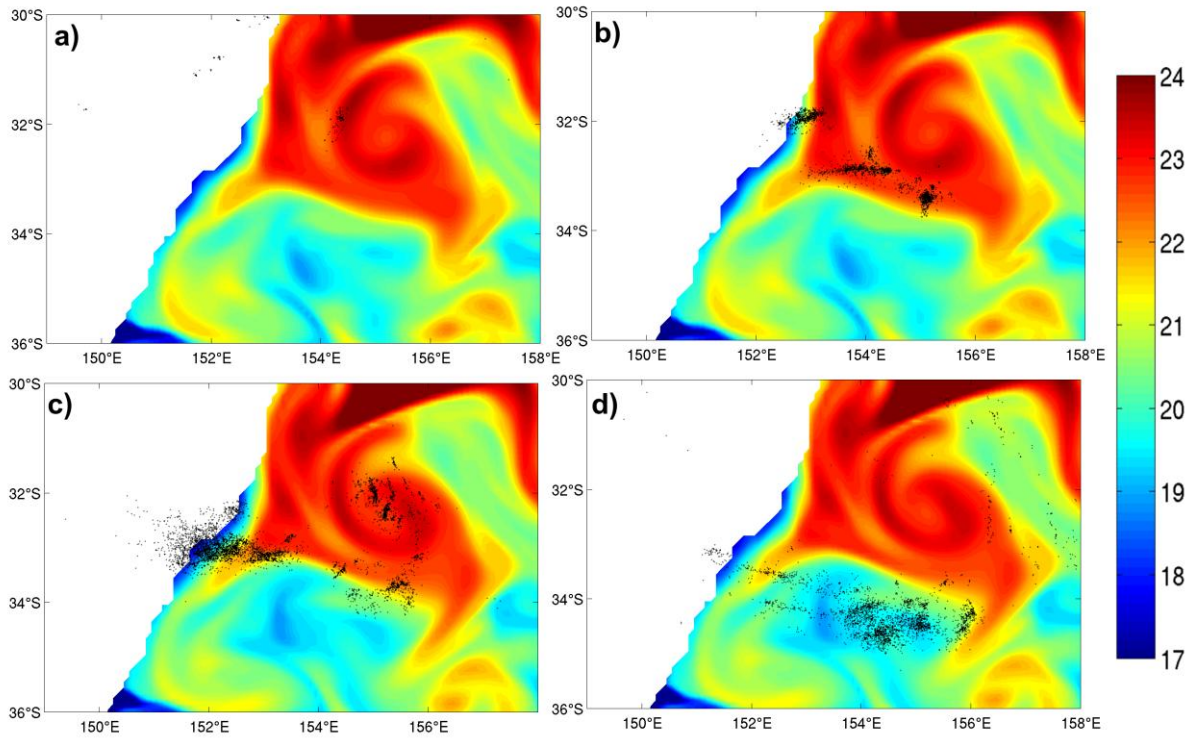


Figure 8: JUN07a 48-hour MCAPE difference (JUN07a_BN – JUN07a_skin, J kg^{-1}) and GPATS observed lightning strike locations (black circles).



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Figure 9: 12-hourly averaged MCAPE differences (JUN07a_BRAN – JUN07a_skin) for a) 0000 to 1200 UTC 7 June, b) 1200 UTC 7 June to 0000 UTC 8 June, c) 0000 UTC to 1200 UTC 8 June, and d) 1200 UTC 8 June to 0000 UTC 9 June. Corresponding 12-hour averaged SST difference (solid black contours for +1 and +2 °C and dashed for -1 and -2 °C) and JUN07a_BRAN 10 metre wind vectors are overlaid as where dashed contours indicate negative SST difference.



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Figure 10: 12-hourly GPATS lightning overlaid on BRAN SST ($^{\circ}\text{C}$, at start time of each period) for a) 0000 to 1200 UTC 7 June, b) 1200 UTC 7 June to 0000 UTC 8 June, c) 0000 UTC to 1200 UTC 8 June, and d) 1200 UTC 8 June to 0000 UTC 9 June.

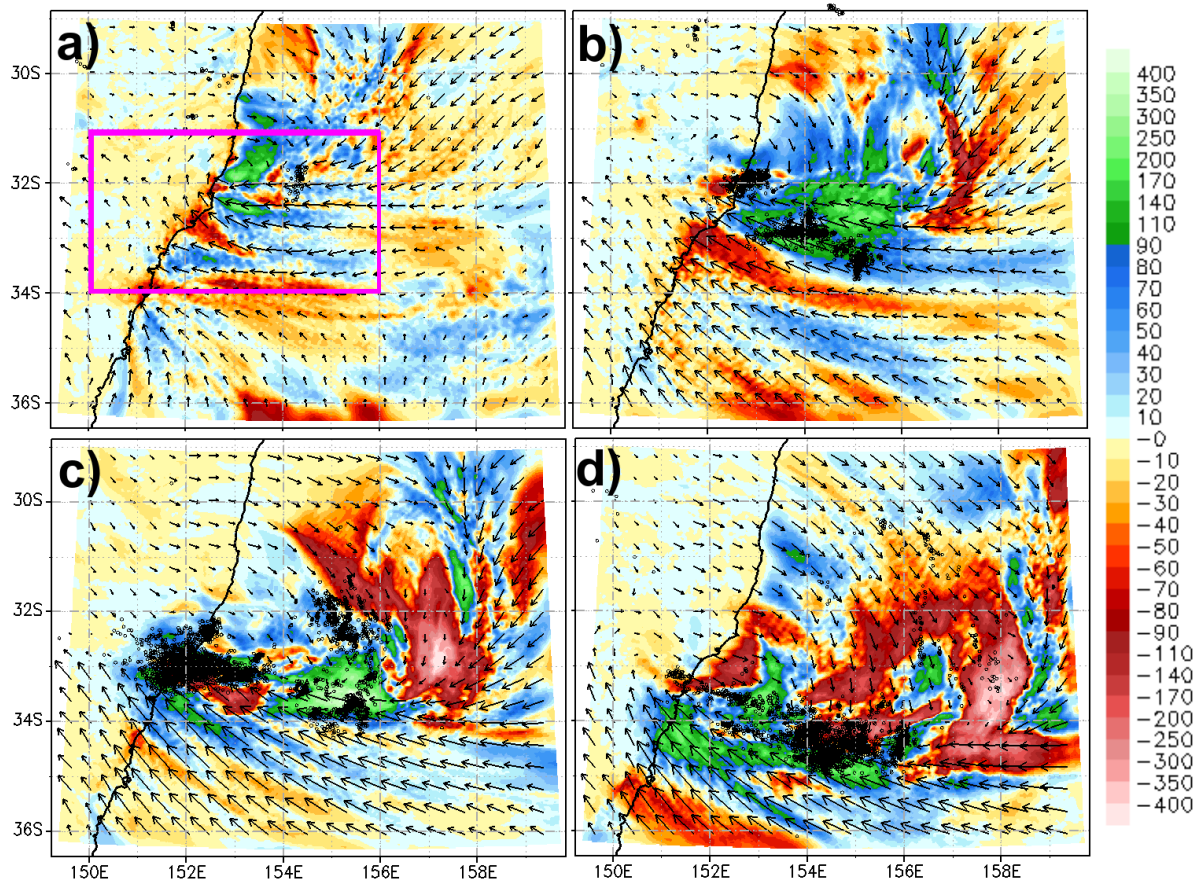


Figure 11: 12-hourly MCAPE difference and winds as in Figure 9 but for 6 hours earlier, plotted to account for the 6 hour lead seen in the model over the observed, rainfall. Overlaid as black dots are the lightning strikes for the original 12-hour periods as defined in Figure 10. The pink box outlines the zoomed region in Figure 12.

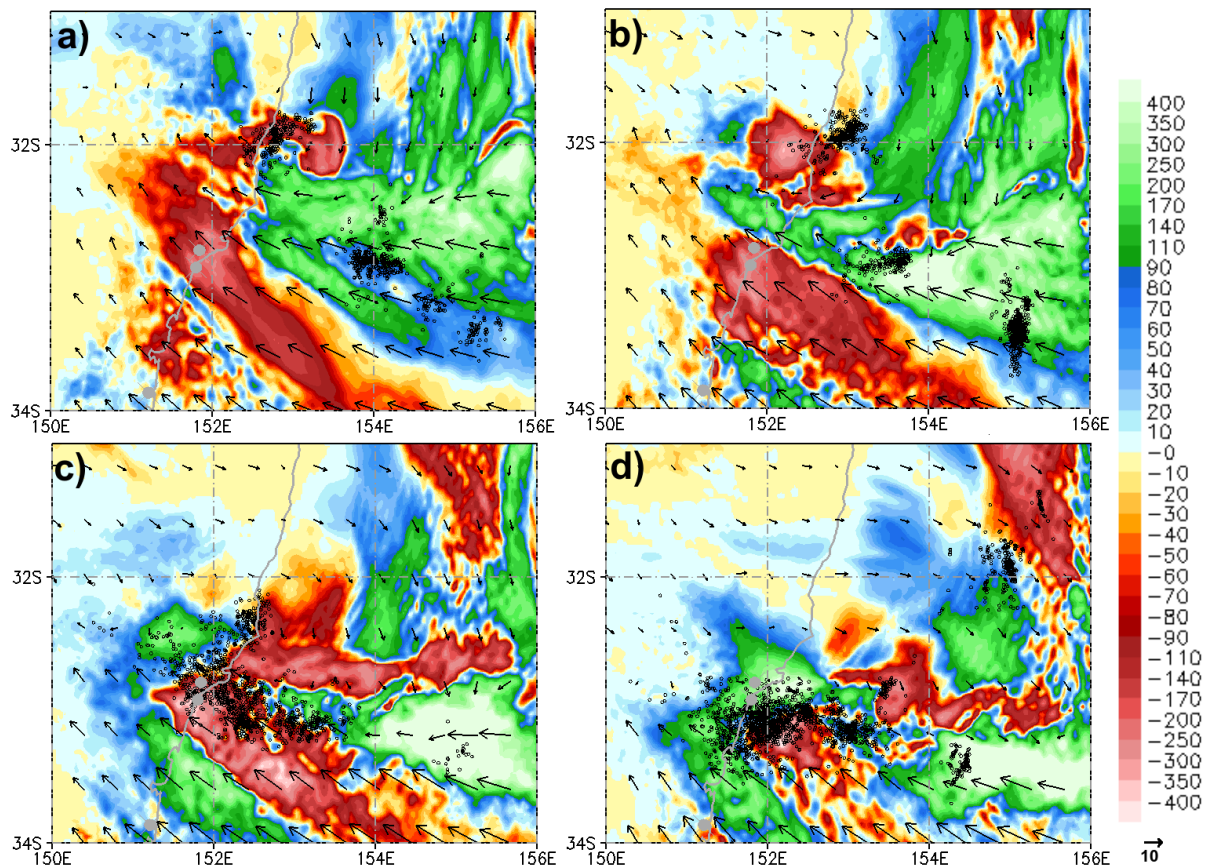


Figure 12: 3-hourly average MCAPE difference (J kg^{-1} , colour shades, JUN07a_BN - JUN07a_skin) and 10 metre JUN07a_BN horizontal wind vectors (ms^{-1} , representative vector in bottom right) for a) 1200 to 1500 UTC 7 June, b) 1500 to 1800 UTC, c) 1800 to 2100 UTC 7 June, and d) 2100 UTC 7 June to 0000 UTC 8 June. 3 hour total lightning strikes are overlaid for periods 6 hours later than the MCAPE difference plot so the lightning periods are a) 1800 to 2100 UTC 7 June, b) 2100 UTC 7 June to 0000 UTC 8 June, c) 0000 to 0300 UTC, and d) 0300 to 0600 UTC 8 June. In a) are the locations of representative observation station Williamtown, Nobbys, and Sydney.