

**Identifying Antibody Responses
Associated with
Protection from Severe Malaria in Children**

by Isobel S. Walker
University of Melbourne

Identifying Antibody Responses
Associated with
Protection from Severe Malaria in Children

presented by

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DECLARATION

This is to certify that:

1. The thesis comprises only my original work towards the PhD except where indicated in the statement of contribution.
2. Due acknowledgement has been made in the text to all other materials used.
3. The thesis is fewer than 100,000 words in length, exclusive of tables, maps, bibliographies and appendices.

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STATEMENT OF CONTRIBUTION

I duly acknowledge the work and assistance provided by others who contributed to the completion of this thesis:

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All other co-authors in the manuscript under submission (Part IV) and in the publications produced from this thesis.

Taken together, this thesis constitutes more than 90% of my own work.

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*O germ! O fount! O word of love! O thought at random cast!
Ye were but little at the first, but mighty at the last.*

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Small Beginnings

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ABBREVIATIONS

au	arbitrary units
ACT	artemisinin-based combination therapy
ADCP	antibody dependant cell phagocytosis
ADNP	antibody dependant neutrophil phagocytosis
ADCC	antibody dependant cell cytotoxicity
AMA1	Apical Membrane Protein 1
APC	Activated protein C
BSA	bovine serum albumin
CD	Cluster of Differentiation
CHMI	controlled human malaria infection trial
CM	cerebral malaria
C1s	complement component 1s
CHO cells	Chinese Hamster Ovary cells
CIDR	Cysteine Rich Interdomain Region
CSA	chondritin sulphate A
CSP	Circumsporozoite Protein
DBL	duffy binding-like domain
DC	Domain Cassette
DHE	dihydroethidium
EBA	Erythrocyte Binding Antigen
E.coli	Escherichia coli
EPCR	Endothelial protein C
ELISA	enzyme linked immunosorbent assay
FBS	foetal bovine serum
FSC	forward scatter
GLUPR	Glutamate Rich Protein
GPI	Glycosylphosphatidylinositol
HBECs	Human brain endothelial cells

HEPES	N-2- hydroxyethylpiperazine- N-2-ethane sulfonic acid
HIHS	heat inactivated human sera
ICAM-1	intercellular adhesion molecule
IE	infected erythrocyte
IFN	interferon
Ig	immunoglobulin
IL	Interleukin
ITAM	Immunoreceptor tyrosine-based activation motif
ITIM	Immunoreceptor tyrosine-based inhibitory motif
KAHRP	Knob Associated Histidine Rich Protein
MHC	Major Histocompatibility Complex
MFI	median fluorescence intensity
mRNA	messenger ribonucleic acid
MSP	Merozoite Surface Protein
MTS	malaria thawing solution
NK	natural killer cell
PAMVAC	placental malaria vaccine candidate (PlacMalVac)
PAMPs	pathogen associated molecular patterns
PBS	phosphate-buffered saline
PBMCs	peripheral blood mononuclear cell
PECAM-1	Platelet endothelial cell adhesion molecule-1
PFA	paraformaldehyde
PfEMP1	<i>Plasmodium falciparum</i> erythrocyte membrane protein 1
PfPx-1	<i>Plasmodium falciparum</i> thioredoxin peroxidase I
PNG	Papua New Guinea
PRRs	pattern recognition reports receptors
RESA	Ring Infected Erythrocyte Surface Antigen
RH	Reticulocyte binding Homolog
RIFIN	Repetitive interspersed families of polypeptides
ROS	Reactive Oxygen Species

rpm	revolutions per minute
RPMI	Roswell Park Memorial Institute - cell culture medium
SD	standard deviation
SSC	side scatter
STEVOR	Subtelomeric variant open reading frames
SURFIN	Surface-associated interspersed gene family proteins
TLRs	toll- <i>like</i> receptors
TNF	Tumor Necrosis Factor
VCAM-1	Vascular cell adhesion molecule-1
VSA	variant surface antigen
WGCFS	Wheat Germ Cell-Free System
WHO	World Health Organization

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Part I

Abstract & Thesis Overview

1 Summary

1.1 Abstract

Malaria is a major global public health burden that affects individuals in 87 countries and causes 600,000 deaths annually. The majority of cases of severe malaria and malaria related deaths occur in children under the age of five, who lack protective immunity that is acquired by adulthood in endemic regions. Antibodies are a key component of protective immunity, however, the target antigens of antibodies and the functions of antibodies that confer protection are unclear. *Plasmodium falciparum* erythrocyte membrane protein 1 (PfEMP1) expressed on the surface of parasite infected erythrocytes is a highly variant, multi-domain protein that mediates adhesion to a range of vascular endothelial cell receptors including Intercellular Adhesion Molecule-1 (ICAM-1) and Endothelial Protein C Receptor (EPCR). PfEMP1 is an important virulence factor and is a strong candidate target of protective antibodies against severe malaria. Therefore, the overall objective of this thesis was to understand the antibody response to PfEMP1 antigens that may confer protection from severe malaria in children.

This thesis aimed to: 1) characterize the antibody response to PfEMP1 antigens that are associated with protection from cerebral malaria (the most severe form of malaria) in a cohort of Malawian children; 2) characterize the antibody response to PfEMP1 antigens that are associated with protection from severe malaria in a cohort of Papua New Guinean children; 3) assess the association of disease severity with antibody dependent neutrophil and monocyte phagocytosis of infected erythrocytes expressing important PfEMP1 variants. For aims 1 and 2 we applied systems serology, which involves measuring multiple features of antibodies targeting multiple recombinant antigens and using machine learning with statistical methods to identify the best correlates of protection. For aim 3, we selected parasite-infected erythrocytes expressing two PfEMP1 variants (that bind to ICAM-1) and measured antibody dependent neutrophil phagocytosis (ADNP) and antibody dependent cell phagocytosis (ADCP) of opsonized infected erythrocytes by flow cytometry.

The important findings of this thesis were: 1) in both Malawian children and Papua New Guinean children, a combination of a small subset of PfEMP1 specific antibody responses could be used to differentiate between children with severe and uncomplicated malaria with high accuracy; 2) in both cohorts, DBL β 3 domains that bind to ICAM-1 receptor were targets of antibodies associated with protection from severe malaria. We also affirmed that 3) in addition to measuring the quantity of antigen specific antibodies, the biophysical properties and Fc interactions (or 'Fc features') of PfEMP1 specific antibodies are useful correlates of protection from severe malaria. A range of antibody Fc features were associated with protec-

tion, suggesting there are multiple pathways to protection from severe malaria. The antibody responses associated with protection included Fc γ RIIIb binding to antibodies targeting DBL β 3 domains that bind to ICAM-1, suggesting that antibodies to ICAM-1 binding PfEMP1 may induce killing mechanisms by neutrophils. In the final chapter, we found that 4) ADNP of ICAM-1+EPCR binding infected erythrocytes was associated with protection from cerebral malaria in Malawian children but was not associated with protection from severe malaria in Papua New Guinean children. Additionally, 5) ADCP of ICAM-1 binding infected erythrocytes was not associated with protection from severe malaria in either cohort of children. In considering the different methodological approaches used in this thesis, we concluded that systems serology is an effective approach to identify correlates of protective immunity to PfEMP1 but currently cannot replace more complex cell-based assays like ANDP and ADCP. Overall, this thesis highlights the important role of functional antibodies to PfEMP1 in protection from severe malaria in children from diverse geographic regions and supports the development of a DBL β domain based therapeutic.

1.2 Thesis overview

Part II, "*Literature review*", first describes the overall burden and clinical manifestations of malaria. Secondly, the parasite lifecycle and key pathogenic molecular mechanisms of malaria are summarized. Third, an overview of the immune response to malaria is given, including the antibody mediated response at the various stages of the parasite lifecycle. The next section focuses on PfEMP1, including structure, function and variants that are associated with severe disease. Lastly, the review details current knowledge of antibody responses to PfEMP1 and associations with protective immunity.

Part III, "*Materials & Methods*", details the experimental procedures used to characterize antibody responses to recombinant PfEMP1 antigens and infected erythrocytes, including details of the clinical samples used throughout this thesis.

Part IV, "*Characterizing the PfEMP1 targets and Fc features of antibodies associated with cerebral and uncomplicated malaria in Malawian children*", is currently under submission to Cell Reports Medicine and is therefore presented in manuscript format, with associated bibliography and supplementary files. The aim was to characterize the antibody response to PfEMP1 antigens in a case-control cohort of Malawian children with acute cerebral and uncomplicated malaria and identify responses associated with protection. We used a series of multiplex immunoassays to measure 11 features of antibodies targeting 39 proteins and used machine learning with logistic regression to identify key correlates of protective immunity.

Part V, "*Characterizing the PfEMP1 targets and Fc features of antibodies associated with severe and uncomplicated malaria in Papua New Guinean children*", aimed to characterize the antibody response to recombinant PfEMP1 antigens in a case-control cohort of Papua New Guinean children with severe and uncomplicated malaria, during acute infection and in convalescence. We used a series of multiplex immunoassays to characterize nine features of antibodies targeting 29 proteins and used machine learning with logistic regression to identify key correlates of protective immunity.

Part VI, "*Antibody dependant monocyte and neutrophil phagocytosis of ICAM-1 binding IE and protection from severe malaria*", aimed to assess the association of disease severity with antibodies that induce neutrophil or monocyte phagocytosis of infected erythrocytes expressing ICAM-1 binding variants of PfEMP1. We assessed the ability of antibodies from Malawian and Papua New Guinean children to induce ADCP and ADNP by flow cytometry.

Part VII, "*Final Discussion*", brings together findings across all research chapters and discusses new insights into protective immunity to severe malaria and translational potential of our

research findings. The strengths and limitations of the research chapters are summarized and future directions for the understanding of antibody mediated immunity against severe malaria are established.

Two published works were produced during this thesis – a book chapter describing the multiplex immunoassay used to measure antibodies to PfEMP1 antigens (Walker et al. [2022a](#)) and a peer-reviewed literature review on the pathogenesis of malaria (Walker et al. [2022b](#)).

Part II

Literature Review

2 Introduction to malaria and clinical manifestations

2.1 Overview of the burden of malaria

Worldwide, an estimated 229 million cases of malaria occur per year. Malaria is caused by the *Plasmodium* parasite of which there are five species that can infect humans – *Plasmodium falciparum*, *Plasmodium vivax*, *Plasmodium ovale*, *Plasmodium malariae* and *Plasmodium knowlesi*. The parasites are transmitted by female Anopheles mosquitos taking a blood feed (Fujioka et al. 1999). The majority of cases occur in Africa (200.5 million, 92%), followed by South-East Asia (11.3 million, 5%) and the Eastern Mediterranean (4.4 million 2%) and are predominantly caused by *P. falciparum* (99.7% of African cases). Malaria can be deadly, leading to an estimated 600,000 deaths per year – around 1000 people per day (World Health Organization 2021c).

The burden of malaria is disproportionally carried by children under five years, who account for approximately 40% of cases and at least two-thirds of malaria related deaths (61%) (Weiss et al. 2019; World Health Organization 2021c). In low transmission settings, older children are also vulnerable (Kapesa et al. 2018). The risk of infection and severe symptoms increase in pregnancy and malaria during pregnancy can lead to low birth weight - a significant risk factor for infant morbidity and mortality - as well as stillbirth and miscarriage (Guyatt et al. 2004; Rogerson et al. 2018). Malaria is treatable and artemisinin-based combination therapy (ACT) is the most effective and the most commonly used treatment for malaria in Africa. However, progression from mild to severe malaria can occur rapidly in children (Molyneux et al. 1989) and prompt seeking of and access to treatment is a limiting factor for survival rates. A median of half of children with febrile malaria see a medical provider and 30% receive antimalarial drugs (Mudenda et al. 2011; World Health Organization 2021c). Additionally, parasite resistance to artemisinin is present in South East Asia and there is some evidence that it has emerged in Africa (Ikeda et al. 2018; Uwimana et al. 2020). The first malaria vaccine, RTS,S/AS01E, induced 36% protective efficacy for uncomplicated malaria and 29% for severe malaria in children in Phase III clinical trials over four years (Alonso et al. 2004; RTS, S Clinical Trials Partnership 2015) and Phase IV trials of country wide implementation in Malawi, Kenya and Ghana commenced in 2018. Although the vaccine had modest efficacy, a combination of four doses of RTS,S with chemoprophylaxis and insecticide treated bed net access resulted in 63% protective efficacy for clinical malaria and 70% protective efficacy for severe malaria over three years (Chandramohan et al. 2021). In 2022, the vaccine was endorsed by the World Health Organization as a safe and efficacious intervention tool “in the context of comprehensive malaria control” (World Health Organization 2021b; World Health Organization

2022). Further development of RTS,S to improve protective efficacy is ongoing (Dattoo et al. 2021), as is the development of alternative vaccines.

Malaria incidence and mortality worldwide have reduced dramatically since the turn of the century, due to vector control efforts including bed net usage and insecticide spraying, use of ACTs, as well as physical and socio-economic changes such as urbanization (including improved access to healthcare) (Tatem et al. 2013; Weiss et al. 2019; World Health Organization 2021c). However, the burden of malaria remains high and incidence reduction has plateaued since 2015 (World Health Organization 2020). Continuation with the current levels of malaria intervention is predicted to reduce but not eradicate malaria in the next 30 years (Feachem et al. 2019). A pull-back of investment and delivery of interventions prior to reaching elimination has historically resulted in a dramatic increase in malaria cases and deaths (Rogerson et al. 2020).

2.2 Clinical manifestations

2.2.1 General spectrum of disease

Clinical manifestations of *Plasmodium* spp. infection can be asymptomatic, uncomplicated and mild, or severe and life threatening. Symptoms are initially non-specific and are difficult to use as the basis of clinical diagnosis. 'Uncomplicated malaria' generally presents as fever, nausea, vomiting, headache and diarrhoea. Progression from the onset of fever to severe disease typically occurs after 5 days in adults or 2-3 days in children (Hawkes et al. 2013; Molyneux et al. 1989). 'Severe malaria' is defined by the occurrence of one or more of the severe symptoms summarized in Table 1 (World Health Organization 2014b). In areas of high endemicity, individuals develop protective immunity to clinical malaria after repeat infections (Gupta et al. 1999) although they may still carry the parasite asymptotically and contribute to transmission. Correspondingly, severe cases typically occur in children under 5 years and non-immune adults. In low transmission settings, adults and children are susceptible to severe disease although symptoms vary with age: renal failure is more common in adults and severe anaemia is more common in children (White 2018; World Health Organization 2014b). Alongside children under the age of 5 and non-immune adults, pregnant women are particularly susceptible to severe malaria, especially severe anaemia, as well as poor pregnancy outcomes (Umbers et al. 2011). The pathogenic mechanisms that lead to different clinical outcomes may differ considerably and hence the broad definition of 'severe malaria' is problematic for interpreting and comparing epidemiological data.

Table 1: Diagnosis of severe malaria is based on the presence of one of the following symptoms, signs or laboratory indicators*

Severe malaria symptoms
Impaired consciousness
Respiratory distress
Multiple convulsions
Prostration
Shock
Pulmonary oedema
Abnormal bleeding
Jaundice
Laboratory indicators
Severe anaemia (Haemoglobin < 5 g/dL in children, <7 g/dL in adults)
Hypoglycaemia (<2.2 mmol/L or <40 mg/dL)
Acidosis (plasma bicarbonate <15 mmol/L)
Hyperlactataemia (lactate > 5 mmol/L)
Renal impairment (serum creatine > 265 µmol/L)
Hyperparasitaemia (> 250,000/µL or >5%)

Table 1: * Adapted from (World Health Organization 2014b)

2.2.2 Cerebral malaria

Cerebral malaria is an important form of severe malaria defined as unrousable coma, without another known cause, in *P. falciparum* infected individuals. With treatment, an estimated 15-25% of children with cerebral malaria die (Dondorp et al. 2010), accounting for the majority of annual deaths from malaria. A third of survivors of cerebral malaria experience long term neurological sequelae, including motor, visual and language deficits, seizures and behavioral difficulties (Seydel et al. 2015).

In post mortem histology, cerebral malaria can be sub-classified as CM1, identified by sequestration of parasites in the brain capillaries, or CM2, identified by parasite sequestration with additional pathologies, including hemorrhages in the white matter of the cerebral cortex, thrombi (blood clotting) or the presence of haemozoin in the perivascular spaces (Milner et al. 2014; Ponsford et al. 2012). Cerebral malaria (both CM1 and CM2) typically results in swelling of the brain white matter and subsequent pressure on the brain stem that causes fatal respiratory arrest (Seydel et al. 2015).

The mechanisms linking parasite sequestration to brain swelling and death are not entirely clear and are likely multifactorial. Possible factors include decreased cerebral blood flow due to

parasite sequestration, and possibly post-ischemic damage, and blood brain barrier disruption leading to vasogenic edema (Taylor et al. 2004). Infected erythrocytes and several parasite factors have been proposed to compromise blood brain barrier integrity by inducing excessive localized inflammation and disruption of coagulation pathways (reviewed in (Jensen et al. 2020)). Clinical trials of anti-TNF α therapy and inhaled nitric oxide therapy have failed and there are currently no adjunctive therapies for the treatment of cerebral malaria.

The severity of coma in young children can be estimated from the Blantyre coma score, an index from 0 – 5 based on physical and verbal responsiveness, where a score of <5 demonstrates impaired consciousness and a score of <2 demonstrates non-responsiveness and has been used as to classify cerebral malaria (Molyneux et al. 1989). This diagnostic method does not distinguish between cerebral malaria and other causes of coma with incidental parasitaemia, which can result in improper treatment (Taylor et al. 2004). Cerebral malaria pathology correlates strongly with a set of distinct changes to the retina, especially retinal whitening and vessel changes, and retinal examination is the most accurate way to diagnose cerebral malaria (95% sensitivity and 90% specificity) (Beare et al. 2006).

2.2.3 Severe malarial anaemia

In adults, haemoglobin <7 g/dL is considered as severe anaemia whereas haemoglobin <5 g/dL is considered severe anaemia in children (World Health Organization 2021c). Severe anaemia is associated with multiple health issues in Africa including malaria, sickle cell anaemia, bacteremia, infection with hookworm or human immunodeficiency virus, glucose-6-phosphate dehydrogenase deficiency disorder and vitamin A or vitamin B12 deficiency (Calis et al. 2016). Malaria interventions have been estimated to reduce the risk of moderate to severe anaemia (<8 g/dL) by 60% in children under five years (Korenromp et al. 2004), indicating that malaria is a significant contributor to the overall burden of anaemia in Africa. A large-scale multi-country survey of children under five revealed that 79% of malaria infected children were anaemic (haemoglobin <12 g/dL) and 8% had severe anaemia (World Health Organization 2021c). Pregnant women are also at a high risk of severe malarial anaemia.

The mechanisms linking anaemia and malaria in children are multifactorial (White 2018). Parasite infected erythrocytes are destroyed during schizont rupture, however, malaria also results in significant loss of uninfected erythrocytes (White 2018). Destruction of non-infected erythrocytes during malaria may arise from increased erythrocyte rigidity that increases erythrocyte removal by the spleen (Dondorp et al. 1999), and complement deposition on uninfected erythrocytes that may increase lysis or phagocytotic clearance (Dasari et al. 2014; Oyong et al. 2018). Additionally, malaria results in impaired bone marrow production of new erythrocytes,

possibly due to direct interactions with parasite factors or systemic inflammation (Lamikanra et al. 2015; Thawani et al. 2014). Interestingly, in high transmission settings, haemoglobin rapidly increases upon antimalarial treatment, at a rate that cannot be accounted for by the production of new erythrocytes (White 2018). This may indicate the release of a hidden reservoir of non-infected erythrocytes, possibly in the spleen, that contributes to malarial anaemia.

3 Molecular manifestations and pathogenesis

3.1 Lifecycle

Plasmodium has a complex lifecycle shared between female anopheline mosquitos and human hosts (Figure 1). In humans, sporozoites are injected into the skin during the mosquito blood feed and then migrate to the liver within hours. Sporozoites are coated in circumsporozoite protein (CSP), which is important for liver invasion and is the target of the RTS,S vaccine (Chatterjee et al. 2021). *P. falciparum* sporozoites invade and replicate within liver hepatocytes over 1 – 2 weeks (although *P. vivax* can remain dormant in the liver for years) to produce up to 40,000 merozoites per hepatocyte. Merozoites are released into the bloodstream, initiating the onset of clinical symptoms. During the blood stage, asexual parasites replicate within erythrocytes over a repeated 24 – 72 hour lifecycle. Within seconds of release, merozoites invade erythrocytes via a process that involves several proteins, including Merozoite Surface Proteins (MSPs), Apical Membrane Proteins (AMAs) and Erythrocyte Binding Antigens (EBA) (Cowman et al. 2012) that are of interest in this thesis. Intraerythrocytic parasites initially resemble a ring under the microscope and remodel the infected erythrocyte for protein trafficking (Warncke et al. 2019). Rings develop into trophozoites that export Knob-associated histidine-rich protein (KHARP), to form knobs on the erythrocyte surface (Pologé et al. 1987) and anchor *Plasmodium falciparum* erythrocyte membrane protein 1 (PfEMP1) (Kilejian 1979). Trophozoites progress into schizonts that rupture the erythrocyte and release 16-32 merozoites, recommencing the blood stage cycle, as well as metabolized haemoglobin in the form of haemozoin (Francis et al. 1997). A small number of merozoites develop into male and female gametocytes, to be taken up by the mosquito. Gametocytes undergo sexual reproduction in the mosquito gut and develop into sporozoites that are transmitted from the salivary glands to the next human host (Fujioka et al. 1999; Melancon-Kaplan et al. 1993; Sinden 2015).

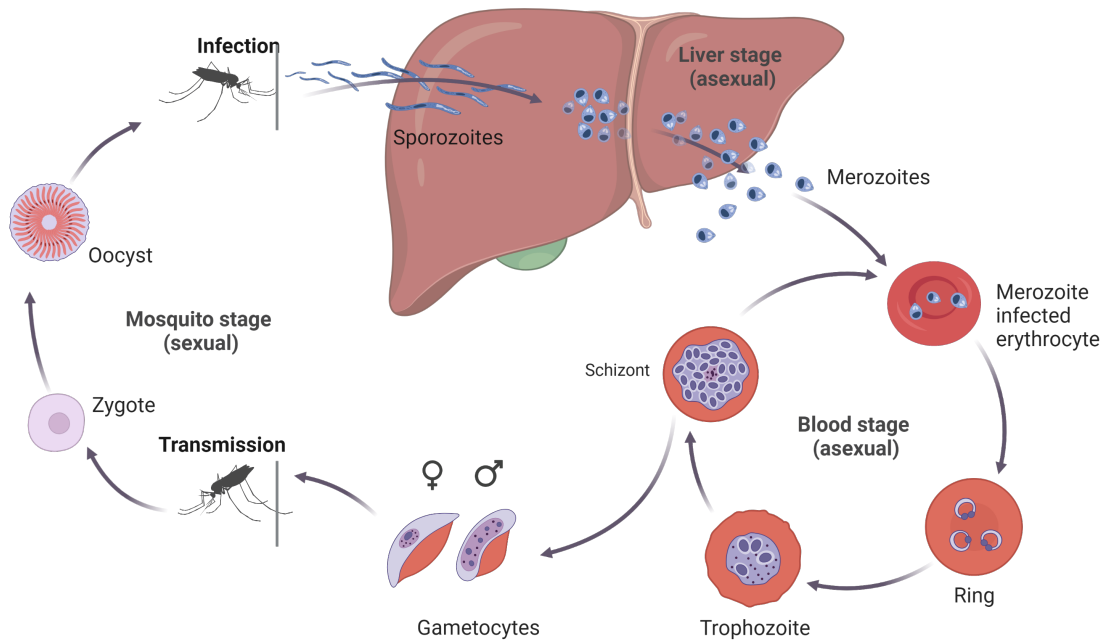


Figure 1: **Life cycle of *Plasmodium falciparum***. Mosquitos transmit the sporozoite forms of the parasite to humans whilst feeding on human blood and the parasites migrate to the liver. Sporozoites replicate in liver hepatocytes then burst into the bloodstream where they infect erythrocytes. Over 24 hours, the parasites develop from the ring stage to trophozoites, then to schizonts that burst to release merozoites and infect more erythrocytes. A small portion of ring stage parasites commit to becoming gametocytes, the sexual form of the parasites, that are up taken by mosquitoes taking a blood feed. Gametocytes develop into sporozoites in the mosquito part of the life cycle and are transmitted to the next human host. (Image created with BioRender.com)

3.2 Molecular pathogenesis

We have published a review of the key pathogenic factors that contribute to *Plasmodium falciparum* malaria, including genetic factors, drug resistance, epidemiological factors, parasite factors and host immunity (Walker et al. 2022b). Here we summarize the key pathogenic parasite mechanisms that contribute to severe malaria: hyperparasitaemia, cytoadhesion and sequestration, rosetting and Variant Surface Antigen diversity. Naturally acquired immunity, a key determinant of clinical outcomes, will be discussed in detail in the following section.

3.2.1 High Parasitaemia

High parasite load (>10% parasitaemia) is strongly linked to poor clinical outcomes, although severe malaria can also occur at low parasitaemia (World Health Organization 2014b). Fatal cerebral malaria in children is associated with a higher mean parasitaemia non-fatal cerebral malaria (McGilvray et al. 2000) and high density parasitaemia is more common in children than adults in holoendemic areas (Owusu-Agyei et al. 2001). High parasite biomass, indicated by PfHRP2 levels, is also associated with severe malaria and cerebral malaria compared to non-severe malaria (Bernabeu et al. 2016; Kessler et al. 2017). High parasitaemia may contribute to other pathological mechanisms such as sequestration– Bernabeu *et al.* found a combination of high parasitaemia and expression of particular PfEMP1 types could distinguish between individuals hospitalized with severe malaria compared to those treated as outpatients (Bernabeu et al. 2016). It is also possible that high parasitaemia directly contributes to endothelial cell damage by release of parasite factors such as haemozoin or histones (Gillrie et al. 2012).

3.2.2 Cytoadhesion and sequestration

During the blood stage of infection, *P. falciparum* infected erythrocytes adhere to endothelial cells in the vascular periphery and can lead to sequestration in various organs. Cytoadhesion occurs during the trophozoite and schizont stage and has been attributed to the expression of parasite antigens on the surface of infected erythrocytes – predominantly PfEMP1. PfEMP1 is a highly diverse protein family and variants can adhere to a range of endothelial cell receptors, including Intercellular adhesion molecule-1 (ICAM-1), E selectin, Cluster of Differentiation 36 (CD36), P-Selectin, Vascular cell adhesion molecule-1 (VCAM-1), Endothelial protein C receptor (EPCR), chondroitin sulfate-A (CSA), Platelet endothelial cell adhesion molecule-1 (PECAM-1), Integrins and fibronectins (Mahamar et al. 2017). Parasite adhesion via PfEMP1 will be discussed in detail in Section 5. The knobby surface of infected erythrocytes is critical for sequestration (Stanisic et al. 2016; Webster et al. 2021), possibly by decreasing blood flow

through the narrow capillaries construction zones to enhance cytoadhesion (Arakawa et al. 2020). Cytoadhesion and sequestration are generally considered to be mechanisms to evade detection by circulating immune cells and to evade splenic clearance (Bachmann et al. 2009). Cytoadhesion can result in organ sequestration of infected erythrocytes, including in the brain (cerebral malaria), placenta (placental malaria), heart, lungs, skin and gastrointestinal tract (Milner et al. 2014). *P. vivax* IE do not express PfEMP1 or adhere to endothelial cells, however, both asexual *P. vivax* and *P. falciparum* sequester in the spleen (Kho et al. 2021). The clinical consequences of splenic sequestration are unclear, although splenomegaly is common in malaria endemic regions (Leoni et al. 2015). Early stage *P. vivax* and *P. falciparum* gametocytes also sequester in the bone marrow parenchyma (Joice et al. 2014), possibly by cytoadhesion to bone marrow stromal cells (Messina et al. 2018a). The mechanisms and consequences of bone marrow sequestration are also unclear but are unlikely to involve PfEMP1, which is not highly expressed at this stage (Messina et al. 2018b).

3.2.3 Rosetting

Rosetting is a phenomenon observed *in vitro* where trophozoite or schizont stage infected erythrocytes adhere to uninfected erythrocytes. Rosetting occurs when variants of PfEMP1 bind to various receptors on the surface of the uninfected erythrocyte, including complement receptor 1, heparan sulfate, and blood groups A and B antigens. (Chen et al. 1998; Rowe et al. 1997; McQuaid et al. 2020), as well as IgM and α_2 macroglobulin (Stevenson et al. 2015a; Stevenson et al. 2015b). Other variant surface antigens STEVORs or RIFINs (Sub-telomeric variable open reading frame proteins or Repetitive interspersed family proteins) may also contribute to rosetting (Fernandez et al. 1999; Niang et al. 2014).

Rosetting does not occur in all field isolates and was found to be more common in isolates from individuals with severe malaria in several studies, although it is unclear if it is associated with a particular clinical pathology such as cerebral malaria or severe malaria anaemia (Carlson et al. 1990; Doumbo et al. 2009; Rowe et al. 1995). Others have found no association with clinical presentation (Al-Yaman et al. 1995). Rosetting might contribute to severe malaria by obstructing capillary blood flow (Wang et al. 2015) or by acting as a protective barricade around the IE to inhibit antibody recognition and phagocytosis (Hedberg et al. 2021; Moll et al. 2015; Albrecht et al. 2020).

3.2.4 Variant surface antigen diversity

In the blood stage, variant surface antigens (VSAs) are displayed on the surface of the infected erythrocytes. PfEMP1 is the most well characterized VSA that is encoded by 60 var genes found in each parasite genome. The other known VSA families for *P. falciparum* are RIFINs, STEVORs and Surface-associated interspersed gene family proteins (SURFIN). RIFIN, SURFIN and STEVOR are encoded by 150 – 200 *rif* genes, 10 *surf* genes and 30 - 40 *stevor* genes, respectively (Chan et al. 2014). The diversity PfEMP1 potentially enhances parasite survival by evading antibody recognition in previously exposed human hosts. Indeed, a greater breadth of antibodies to PfEMP1 antigens is acquired with age, although a broad antibody response may not be sufficient for protection from severe disease (Kanoi et al. 2018). Diversity in PfEMP1 VSA also enables cytoadhesion to a diverse range of endothelial cell receptors, despite antibody recognition (Roberts et al. 1992).

4 Immune responses to *P. falciparum* malaria

Early records from the 1900s established that individuals in high transmission settings acquire species specific protective immunity to malaria by adulthood but can still be infected with *Plasmodium* spp. asymptotically (reviewed in (Doolan et al. 2009)). The acquisition of immunity is exposure dependent and is lost if exposure is interrupted, accounting for the higher burden of malaria in children under five years of age. Age dependent gains in immune function are also likely to contribute but are not clearly defined (Griffin et al. 2015; Kurtis et al. 2001). Malaria has a complex lifecycle, multiple pathogenic mechanisms and multiple clinical manifestations; therefore it is expected that there are multiple immune pathways that confer protection. Further, the serological responses to malaria are influenced by the local environment of infections, such as the placenta or brain (Jensen et al. 2019; Rogerson et al. 2003). The following is an overview of the immune response to *P. falciparum*, with a particular focus on serological responses.

4.1 Innate immunity

The innate immune system describes the primary, non-antigen specific response to infection, often referred to as the 'front line' defense. Innate immune cells, including dendritic cells (DCs), monocytes, macrophages, neutrophils and Natural Killer cells (NK cells) and are activated by pattern recognition receptors (PRRs) that recognize non-specific ligands common to many pathogens, known as pathogen associated molecular patterns (PAMPs) (Janeway et al.

2015). Toll like receptors (a PRR) found on monocytes recognize excess GPI on the surface of merozoites (Krishnegowda et al. 2005) and 'scavenger receptors' such as CD36 recognize parasite antigens on the surface of infected erythrocytes (McGilvray et al. 2000). Recognition induces phagocytotic clearance of the parasite and release of inflammatory cytokines and chemokines (Gowda et al. 2013). Following internalization, PRRs such as TLR8, TLR9, cGAS and AIM2 recognize parasite DNA that is released from parasites or haemozoin in endosomes. This in turn leads to activation of signaling pathways and caspase 1, and downstream production of cytokines, including Type 1 INFs, IL-1 and IL-8 by phagocytic cells such as monocytes and DCs (Gowda et al. 2018). The innate immune response to blood stage malaria is enhanced by the complement system, (Roestenberg et al. 2007; Wenisch et al. 1997; Garred et al. 2003; Holmberg et al. 2008; Korir et al. 2014), which is comprised of circulating serum proteins and membrane bound proteins that can initiate opsonic phagocytosis or parasite lysis (Garred et al. 2003; Rathnayake et al. 2021; Pawluczkwyc et al. 2007). The complement cascade can be triggered prior to an adaptive immune response by haematin released during cell lysis and platelet factors released from damaged vascular endothelial cells (Silver et al. 2010b).

4.2 Cell mediated adaptive immunity

Unlike the innate immune system, the adaptive immune system is an acquired, antigen specific response to infection that primarily involves lymphocytes, including CD8⁺ T cells, CD4⁺ T cells, and B cells. CD8⁺ T cells recognize antigens presented by Major Histocompatibility Complex (MHC) class I on the surface of most nucleated cells and lyse target cells by creating perforin pores in the target cell membrane (Janeway et al. 2015). CD8⁺ T cells targeting CSP may protect from liver stage infection or may be a useful activation target for pre-erythrocytic stage vaccines (Cockburn et al. 2013; Ishizuka et al. 2016; Sedegah et al. 1992), however there is little evidence for a role of CD8⁺ T cells in clearance of blood stage infection. In experimental cerebral malaria in mice models, CD8⁺ T cells infiltrates drive blood brain barrier breakdown (Swanson et al. 2016), however this is not thought to be the main driver of cerebral malaria in humans (Ghazanfari et al. 2018). CD4⁺ T cells recognize antigens presented by MHC class II molecules on antigen presenting cells such as B cells, macrophages and DCs. CD4⁺ T cells aid in CD8⁺ T cell activation and secrete cytokines that regulate the immune response (Janeway et al. 2015). In malaria, CD4⁺ T cells are polarized into T helper 1 (Th1) cells that produce pro-inflammatory cytokines including IFN γ and IL-2 and TNF, which activate innate immune cells such as macrophages and NK cells. The presence of CSP specific Th1 cells has been associated with reduced time to parasitaemia following malaria challenge in RTS,S vaccinated individuals (Reece et al. 2004) however there is little direct evidence for an association between naturally acquired malaria specific Th1 cells and protection from severe malaria (Kumar et al.

2020). A strong Th1 response may also be detrimental to immunity because malaria infection hinders the development of T follicular helper cells, which are critical for optimal B cell function and antibody responses (Nurieva et al. 2010; Obeng-Adjei et al. 2015; Pérez-Mazliah et al. 2019).

4.3 Antibody mediated adaptive immunity

4.3.1 Overview

Antibodies, or Immunoglobulins, are circulating molecules produced by B cells. B cells can display 10^5 unique cell surface receptors and upon recognition of a non-self antigen by one of these receptors, B cells secrete soluble antibodies that bind to the same specific antigen (Alberts et al. 2002; Hoehn et al. 2016). Early studies from the 1960s established that antibodies protect from parasitaemia and malarial fever (Cohen et al. 1961) and since then, many targets of antibodies have been identified at the various stages of the parasite lifecycle. Antibodies to the blood stage of infection are most likely to confer protection from severe disease, as this is the symptomatic stage of infection, whereas antibodies to the pre-erythrocytic and sexual stages are more likely to reduce transmission, although sterile protection is not thought to occur naturally. In malaria endemic areas, the breadth of antigens recognized by antibodies increases with age (Cham et al. 2009; Crompton et al. 2010; Kanoi et al. 2018; Nielsen et al. 2002) and transmission intensity (Cham et al. 2009), however the kinetics of antibody acquisition are antigen dependent, with antibodies to some antigens acquired early in life and antibodies to other antigens acquired in a more dynamic process over repeated exposure (Cham et al. 2009; Dent et al. 2015). Although overall low level of total IgG is a risk factor for cerebral malaria (Kessler et al. 2018), protective immunity to severe malaria is acquired in early childhood after a small number of infections (Gupta et al. 1999) and it is hypothesized that antibodies to a small group of target antigens may be sufficient to confer protection from severe disease. The following will provide an overview of the major antigens at the various stages of the parasite life cycle. The diverse mechanisms by which antibodies may confer protection from disease will also be discussed.

4.3.2 Antibody mediated immunity to mosquito/sexual stage antigens

Antibodies targeting gametocytes infected erythrocytes are naturally acquired (Saeed et al. 2008; Chan et al. 2019c) although the functions of antibodies are largely unknown. Antibodies to early stage IE may include inhibition of maturation (Tonwong et al. 2012) or possibly bone marrow sequestration (Messina et al. 2018b). Gametocyte IEs express PfGEXP5, Pfs16 and

Pfg27 (Tibúrcio et al. 2015; Baker et al. 1994; Sharma et al. 2003) but dramatically down-regulate expression of PfEMP1 (Tibúrcio et al. 2013) and antibodies to gametocyte IEs are dramatically reduced in comparison to PfEMP1 driven response to IEs (Chan et al. 2019c). Antibodies targeting gametocyte surface antigens (inside the erythrocyte of early stage gametocytes) are naturally acquired and are taken up by mosquitos with a blood meal (Acquah et al. 2019). In the mosquito midgut, antibodies can inhibit parasite development, thereby reducing transmission to the next host (Drakeley et al. 1998; Kolk et al. 2006). The majority of research has focused on abs to Pfs48/45 and P230, that inhibit fertilization and can reduce oocysts density, and contribute to transmission reducing immunity (Stone et al. 2018). Pfd48/25 and Pf230 are strong candidates for monoclonal antibody therapy (Roeffen et al. 2001; Carter et al. 1990) and vaccine immunity. However, it is likely that there are many other targets involved in transmission reducing immunity (Jong et al. 2020). Antigens that develop post-fertilization (in the mosquito gut) do not come in contact with the human immune system but also have potential to be used for vaccine induced immunity to reduce transmission (Jong et al. 2021; Duffy et al. 1997). Pf25 is a post fertilization antigen that is the most developed transmission blocking vaccine target, however phase I clinical trials were halted due to reactogenicity (Wu et al. 2008).

4.3.3 Antibody mediated immunity to pre-erythrocytic stage antigens

The dominant antigen on the surface of sporozoites is circumsporozoite protein (CSP). Antibodies to CSP are naturally acquired, although at a much slower rate than antibodies to blood stage merozoite antigens (Feng et al. 2021). Sporozoites replicate 40,000 times in liver hepatocytes and theoretically, it would be necessary to arrest 100% of sporozoites prior to or during the liver stage to prevent blood stage infection. Interestingly, some studies (but not others) have found an association of naturally acquired antibodies to CSP and reduced risk of symptomatic infection (Bousema et al. 2019; Crompton et al. 2010; Daou et al. 2015; Offeddu et al. 2017). CSP is the antigenic target of RTS,S, the only WHO endorsed vaccine available for prevention of *P. falciparum* malaria in high endemic settings, that has been rolled out in Ghana, Kenya and Malawi (Adepoju 2019). In phase III clinical trials, RTS,S generated 36% protective efficacy for malaria for four years (RTS, S Clinical Trials Partnership 2015). There is current interest in further development of RTS,S to improve immunogenicity and protective efficacy, including altered formulation (Dattoo et al. 2021), dosing regimes (Moon et al. 2021) and combination with seasonal chemoprophylaxis (Chandramohan et al. 2021). Naturally acquired antibodies to CSP can mediate opsonic phagocytosis of sporozoites (Feng et al. 2021), block hepatocyte invasion and reduce sporozoite motility (Bousema et al. 2019; Mishra et al. 2012) and RTS,S induced antibodies can mediate opsonic phagocytosis and complement me-

diated lysis (Kurtovic et al. 2021). Sera depleted of CSP specific IgG still inhibits hepatocyte invasion, suggesting there are non-CSP targets of functional antibodies on sporozoites that may be useful vaccine candidates and have not been thoroughly investigated (Fabra-Garcia et al. 2022).

4.3.4 Antibody mediated immunity to blood stage merozoite antigens

There is a wide range of antigens on the surface of merozoites (Beeson et al. 2016b; Cowman et al. 2006) and novel antigens continue to be identified (Aniweh et al. 2020; Michelow et al. 2021). Unlike sporozoites, there does not appear to be a dominant target antigen on the surface of merozoites. Antibodies targeting merozoite antigens can block erythrocyte invasion (Chen et al. 2017; Lopaticki et al. 2011; Paul et al. 2018), induce complement mediated lysis, opsonic phagocytosis (Boyle et al. 2015; Feng et al. 2018; Garcia-Senosiain et al. 2021) and neutrophil activation (Murungi et al. 2016; Illingworth et al. 2019) and may confer protection from malaria by reducing parasite growth rates and thereby parasitaemia. Antibodies targeting merozoite antigens (including MSP1, MSP2, MSP3, MSP7, AMA1, RH5, RH2, GLUPRs, RIPR and EBA175)) have been associated with protection from malaria (Chan et al. 2017; Hamre et al. 2020; Kana et al. 2018; Kwenti et al. 2017; Richards et al. 2010; Sakamoto et al. 2018; Weaver et al. 2016) although protective associations have not been mirrored in all studies (Nash et al. 2017; Cummings et al. 2010; Doodoo et al. 1999) partly due to inconsistencies between study protocols (Fowkes et al. 2010). Some studies have reported an association between antibodies targeting merozoite antigens and protection from severe or cerebral malaria (Murungi et al. 2016; Ahmed Ismail et al. 2013; Mbengue et al. 2019a; A-Elgadir et al. 2008) although protective associations have not been found in other studies (Dobaño et al. 2008; Kessler et al. 2018). Single and combinations of merozoite antigens have been trialed as the basis of vaccines in human phase 1/II clinical trials, including for MSP1 (Blank et al. 2020) and AMA1 (Remarque et al. 2021), but so far have shown poor protective efficacy (Beeson et al. 2016a). The dynamics of exposure dependent antibody acquisition varies for different merozoite antigens (McCallum et al. 2017) and several studies have proposed that antibodies targeting merozoite antigens may be useful biomarkers to estimate prior exposure to malaria (Adamou et al. 2019; Nagaoka et al. 2019; Nash et al. 2017; Partey et al. 2018). Sero-conversion rates of antibodies against MSP1-19 and AMA1 have been utilized to identify 'hot-spots' of malaria transmission in Tanzania (Bousema et al. 2011), however other target antigens may be more appropriate in low transmission settings (Baum et al. 2013; Elliott et al. 2014).

4.3.5 Antibody mediated immunity to blood stage infected erythrocyte surface antigens

During an infection, children's antibodies towards parasites causing the infection are boosted (and are sustained for a transmission season), whereas there is a weak, transient antibody response towards parasites infecting other children in the community (Bull et al. 1998). This suggests that the immune response is at least in part directed towards variable antigens, such as PfEMP1 and the smaller RIFIN, SURFIN and STEVOR antigens displayed by the parasite on the surface of the infected erythrocytes during the trophozoite stage. PfEMP1 is by far the best characterized IE VSA and knock down of PfEMP1 export proteins dramatically reduced antibody recognition of IE by sera from malaria exposed individuals, suggesting it is the major target of antibodies on the surface of IE (Chan et al. 2019a; Chan et al. 2012). Antibodies to particular variants of PfEMP1 have been associated with protection from severe, cerebral or febrile uncomplicated malaria, as will be discussed in Section 5.3. RIFINs, STEVORs and SURFINs are less well characterized, however antibodies targeting specific variants have been associated with protection from uncomplicated malaria (Kanoi et al. 2020) and severe malaria (Travassos et al. 2018). The functions of antibodies to trophozoite and schizont stage IEs include inhibiting rosetting, blocking adhesion to endothelial cells or activating parasite clearance by innate immune cells, as will be discussed in detail in Section 5.4.

4.4 Function of antibodies in malaria

Antibodies may directly 'neutralize' Plasmodium, by inhibiting mosquito stage development, merozoite invasion of erythrocytes, sporozoites invasion of hepatocytes, IE adhesion to endothelial cells and to non-infected erythrocytes (rosetting). In addition to neutralization, antibodies engage multiple factors of the innate immune system to confer protection, including via interaction with Fc receptors on the surface of innate immune cells.

4.4.1 Antibody Fc receptor interactions

Fc- γ receptors (Fc γ R) bind to the Fc portion of IgG to activate or inhibit cell signaling pathways that activate defense mechanisms of the innate immune system, such as phagocytosis, antibody dependant cell cytotoxicity (ADCC), reactive oxygen species (ROS) burst and cytokine release. There are 5 types of Fc γ Rs that are well characterized in humans: I, IIa, IIb, IIIa, IIIb that are varyingly displayed on the surface of monocytes, dendritic cells, macrophages, neutrophils, NK cells, B cells, platelets. Upon binding to the Fc portion of IgG, Fc γ RIIa activates a variety of kinase dependent cell signaling pathways via an intracellular immunoreceptor tyrosine-based

activation motif (ITAM motif) on its cytoplasmic chain. Fc γ RI and IIIa (that do not have an ITAM motif) achieve this induction through interaction of their intracellular chain with an intracellular, membrane bound ITAM containing complex. Fc γ RIIIb is GPI anchored, with no intracellular chain, and possibly interacts with Fc γ RIIa to induce activation or act as a decoy for antibody binding to other, activating Fc receptors. In contrast, engagement of the Fc portion of IgG with Fc γ RIIb inhibits cell activation via an immunoreceptor tyrosine-based inhibition motif (ITIM) on the cytoplasmic chain (that recruits tyrosine and lipid phosphatases) (Hogarth et al. 2012).

Fc γ RI has the highest affinity for IgG and recognizes monomeric IgG, whereas Fc γ RII and Fc γ RIII are low affinity receptors that dimerize to bind to aggregated IgG on the target antigen (Janeway et al. 2015). As mentioned, Fc γ RIIa and Fc γ RIIIb activate and inhibit cell killing mechanisms, respectively. Fc γ RIIa are critical for inducing phagocytosis of Plasmodium IEs by monocytes (Tebo et al. 2002) and neutrophil respiratory burst directed against merozoites (Kapelski et al. 2014) and Fc γ RIIb deficient mice were better able to control parasitemia (Clatworthy et al. 2007a). Fc γ RI are important for parasite clearance, as shown in mouse studies (McIntosh et al. 2007), and act synergistically with Fc γ RIIa to induce opsonic phagocytosis of parasite infected erythrocytes (Tebo et al. 2002). Fc γ RIIIa is highly expressed by Natural Killer cells, and some monocytes, and induces antibody dependent cell cytotoxicity (ADCC) (Jafarshad et al. 2007; McLean et al. 2017; Yeap et al. 2016), whereas Fc γ RIIIb is highly expressed by neutrophils and contribute to ADNP alongside Fc γ RIIa (Garcia-Senosiain et al. 2021) but not reactive oxygen species release (ROS burst) (Kapelski et al. 2014).

A single point polymorphism in Fc γ RIIa and Fc γ RIIIa influences the interaction of these receptors with different IgG subclasses and may modify host responses to malaria, although there is competing evidence regarding whether particular polymorphisms associate with protection or susceptibility to malaria (Cooke et al. 2003; Nasr et al. 2013; Omi et al. 2002; Zhao et al. 2014). In other studies, polymorphisms in Fc γ RIIIb have been associated with susceptibility to malaria in children (Adu et al. 2014; Fall et al. 2022) and a polymorphism in Fc γ RIIb that is more common amongst populations in malaria endemic areas was associated with protection from severe malaria in Kenyan children, possibly by increasing antibody dependent cell phagocytosis (Clatworthy et al. 2007b; Willcocks et al. 2010).

4.4.2 Antibody dependent phagocytosis

Antibody dependent cell phagocytosis (ADCP) is an important cell function induced by engagement of antibody Fc region bound to malaria antigen by Fc receptors (Tebo et al. 2002; Zhou et al. 2015) and can be performed by circulating monocytes and neutrophils, tissue

macrophages and dendritic cells. In addition to induction by antibodies, phagocytosis of IE can also be induced by recognition of complement components by complement receptors (including CR1 and CR3), with or without the presence of antibodies (Silver et al. 2010a; Zhou et al. 2015), and non-opsonic phagocytosis can occur by activation of monocyte CD36 receptors (McGilvray et al. 2000). Interestingly, human brain endothelial cells are able to phagocytose IE via that interact with ICAM-1 receptors (Adams et al. 2021). In experimental cerebral malaria in mice (*P. berghei* ANKA), activated brain endothelial cells phagocytose merozoites and cross present antigen to CD8+ T cells (Howland et al. 2015).

ADCP by monocytes has been observed *in vitro* for merozoites, infected erythrocytes and sporozoites (Aitken et al. 2020; Healer et al. 1999). *Ex vivo* evidence of hemozoin in monocytes suggests phagocytosis of blood stage parasites occurs *in vivo* (Stephen J Rogerson et al., 2003). Monocytes are myeloid derived leukocytes that constitute approximately 10% of circulating leukocytes. Monocytes uptake and destroy pathogens intracellularly, secrete inflammatory cytokines that stimulate immune response and present antigen to prime T cells and B cells (Jakubzick et al. 2017; Janeway et al. 2015). Monocytes have high expression of FcγRIIIa, low expression of FcγRI that increases upon activation, low expression of FcγRIIb, and a subset that is upregulated in severe malarial anaemia (SMA) express FcγRIIIa (CD16) (Hogarth et al. 2012; Janeway et al. 2015; Ogonda et al. 2010). Opsonic phagocytosis activity of IEs is most prominent in intermediate monocytes (CD14++CD16+), compared to classical monocytes (CD14++CD16-) (Dobbs et al. 2017; Zhou et al. 2015). Correspondingly, one study found that FcγRIIIa (CD16), and not FcγIIa nor FcγI, was essential for opsonic phagocytosis by monocytes (Zhou et al. 2015) however another study found that phagocytosis can also be induced by synergistic activation of FcγI and FcγRIIIa on THP-1 (a monocytic cell line) (Tebo et al. 2002). Merozoites have also been shown to be phagocytosed by primary monocytes when opsonized with IgM from malaria exposed Malian adults, via Fcμ receptors (Hopp et al. 2021).

The THP-1 cell line is often used to characterize phagocytosis of merozoites and IEs *in vitro* (Osier et al. 2014). *In vivo*, phagocytosis activity is influenced by multiple factors, including: changes in monocyte Fc receptor expression; the activation state of monocytes due to host inflammation (Muniz-Junqueira et al. 2001); exposure to antimalarials (Shalmiev et al. 1996); exposure to parasite factors such as hemozoin (Schwarzer et al. 1992) and repeat exposure to infection (Ortega-Pajares et al. 2018). A recent study found acute *P. falciparum* infection reduced the capacity of monocytes to perform opsonic phagocytosis, although there was no differences in phagocytosis capacity with disease severity (Dobbs et al. 2017).

Opsonic phagocytosis by monocytes has been associated with protection from malaria, particularly for merozoite stage of infection (Ataíde et al. 2011; Chua et al. 2021; Hill et al. 2013;

Osier et al. 2014). In one study, ADCP of merozoites was associated with a reduced risk of symptomatic malaria, whereas total IgG and IgG1 and IgG3 alone did not (Osier et al. 2014). Similarly in malaria in pregnancy, ADCP of pregnancy specific IEs has been associated with protection from placental malaria (Aitken et al. 2021) and correlated positively with birth-weight (Ataíde et al. 2011) whereas IgG was not, in both of these studies. In another study, both IgG and ADCP of pregnancy specific IEs were associated with reduced maternal anaemia, but the association was strongest for ADCP (feng2009). This suggests that ADCP may be a more effective correlate of clinical outcome than total levels of antibodies.

In addition to monocytes, circulating neutrophils phagocytose opsonized merozoites (Kumaratilake et al. 2000), IEs (Marsh et al. 1989), gametocytes (Steel et al. 2017) and sporozoites (Feng et al. 2021), however the role of antibody dependent neutrophil phagocytosis (ADNP) in protection from severe malaria is unknown (Aitken et al. 2018). Neutrophils, or polymorphonuclear cells, are myeloid derived and are the most abundant (70%) circulating leukocyte (Janeway et al. 2015). Neutrophils perform a range of functions including phagocytosis, ROS release and release of extracellular contents to form extracellular traps (NETs) (Liew et al. 2019), although few studies have investigated the association of neutrophil functions with protective immunity (Aitken et al. 2018). Neutrophils recognize antibody bound to pathogens in general via moderately expressed FcγRIIa, highly expressed FcγRIIIb, low expression of FcγIIb and inducible expression of FcγRI (Hogarth et al. 2012). They may also perform non-antibody dependent phagocytosis, possibly via complement engagement (Kumaratilake et al. 2000). The contribution of ADNP, and other antibody dependent functions of neutrophils to protection from severe malaria requires further investigation.

4.5 Antibody Fc variation

Antibodies are composed of the Fab region (heavy chain) that binds to a target antigen, identical to epitope specific B-cell receptors, and the Fc region (light chain) that is recognized by innate immune cells via Fc receptors. An individual will have at least 10^7 variants of the antigen specific Fab region. The Fc region is more conserved, but can be one of 5 isotypes (IgA, IgD, IgG, IgE and IgM) of which there are multiple subclasses (IgG1-4, IgA1-2) that vary the affinity of antibodies to different antigens, as well as downstream antibody effector functions (Janeway et al. 2015; Torres et al. 2008). For a given Fab region, the Fc region can be altered to different isotypes or subclasses in a process known as 'class switching recombination' in B cells, and combined variation in the Fab and Fc region gives rise to 10^{16} different antibodies (Schroeder Jr et al. 2012). To add an additional layer of diversification for the Fc region, polymorphisms within an antibody subclass give rise to various allotypes, and various

carbohydrates can bind to an N-terminal glycan site. Variation in the glycosylation state, and possibly allotype, alters the stability of the antibody, Fc receptor interactions and complement deposition (Bournazos et al. 2017; Lee et al. 2017; Vidarsson et al. 2014).

4.5.1 Antibody isotypes

Of the 5 antibody isotypes, IgG is most prevalent in serum and is almost exclusively investigated as a correlate of protection for malaria (Janeway et al. 2015; Travassos et al. 2018). IgM is produced by naïve B cells and dominates early in infections then wanes as an IgG response increases (Schroeder et al. 2010). This dynamic appears to be true for malaria in some studies (Kinyanjui et al. 2003; Walker et al. 2020) although others have reported sustained IgM targeting merozoites following infection (Boyle et al. 2019a). IgM is the only subclass able to form pentamers, which can significantly increase antigen avidity (Schroeder Jr et al. 2012). Non-specific IgM binding may contribute to severe malaria pathogenesis by mediating rosetting of particular infected erythrocyte variants (Quintana et al. 2019; Rowe et al. 2002; Stevenson et al. 2015a) and potentially preventing IgG binding and subsequent phagocytosis and C1q deposition (complement) (Barfod et al. 2011). In contrast, IgM has been shown to inhibit merozoite invasion of erythrocytes, activate complement on the merozoite surface (Boyle et al. 2019b) and induce phagocytosis by monocytes *in vitro* (Hopp et al. 2021). Another study found no difference in IgM between mild and cerebral malaria, but significantly higher IgM titers to merozoite antigens in cerebral malaria survivors compared to patients with fatal outcome, suggesting IgM plays a protective role (Mbengue et al. 2019b).

Little is known about the role of the other isotypes in the immune response to malaria. IgA can form dimers in serum and is particularly important for protection in mucosal membranes (Schroeder Jr et al. 2012) however little is known about the role of IgA in the context of malaria infection. In recent studies, IgA2 to a PfEMP1 domain was associated with protection from placental malaria (Aitken et al. 2021). IgA was found to be induced in response to RTS,S vaccination (Suau et al. 2021) and IgA2 correlated with protection against malaria infection following vaccination in a human challenge model (Suscovich et al. 2020). IgE activates Fc receptors on mast cells, basophils and eosinophils and is typically associated with an allergic inflammatory response. An antigen specific IgE response has not been well characterized in malaria and it is unclear if IgE contributes to malaria protection or pathogenesis. Elevated non-specific IgE in patients with co-infection of malaria and other parasites may contribute to protection from cerebral malaria (Nacher et al. 2000). IgE in the serum of individuals from a malaria endemic area induced TNF production by PBMCs, possibly via engagement of Fc γ RII receptors (CD32) (Perlmann et al. 1997). Another study found upregulated Fc γ RII receptors in

severe malaria patients and suggested IgE may contribute to harmful inflammation, although this may simply be a biomarker of inflammation (Kumsiri et al. 2016). IgD is co-expressed on mature B cells with IgM, although little is known about the function of secreted IgD and there is currently little evidence of a role of IgD in protection or pathogenesis of malaria.

4.5.2 IgG subclasses

There are 4 IgG subclasses, IgG1-4, ranked by their prevalence in healthy human serum (Schroeder Jr et al. 2012). Although the sequence of subclasses is 90% similar, subclass Fc variation affects IgG half life, antigen specificity, Fc receptor affinity and complement interactions. IgG1 is usually the dominant subclass response to pathogens, however other subclasses are usually produced at lower levels (Papadea et al. 1989). The subclass specific response is influenced by different types of T helper cell responses induced by the infection (Stevens et al. 1988). The subclass response varies for different antigens and may be dependent on the flexibility of the subclasses to interact with antigens in different tertiary structure conformations (Irani et al. 2015). IgG has a significantly longer half life than the other antibody isotypes (9-23 days, compared to 2.5-6 days) (Papadea et al. 1989). This is because FcRn binds to antibodies after endocytosis and recycles IgG back into circulation (Lencer et al. 2005). IgG3 has the shortest half life of the IgG subclasses, of around 1 week, because it lacks a key residue required for FcRn binding (Stapleton et al. 2011). However the half life is extended for a specific allotype, G3m15, with a histidine residue mutation H435 (Stapleton et al. 2011). The different subclasses also vary in their affinity for Fc receptors. IgG3 generally has the highest affinity for Fc receptors, followed by IgG1. IgG1, IgG3, and IgG4 have high affinity for Fc γ RI, whereas IgG2 generally has low affinity for Fc receptors (Bruhns et al. 2009; Hogarth et al. 2012). IgG3 has the highest affinity for complement, followed by IgG1 and IgG2, whereas IgG4 does not fix complement (Schroeder Jr et al. 2012). A single point polymorphisms in Fc receptors can also influence the binding affinity to particular subclasses. For example, IgG2 can bind to the Fc γ RIIa-H131 allelic variant that induces monocyte phagocytosis and has been reported to correlate with protection from parasitaemia (Saavedra-Langer et al. 2018; Tebo et al. 2002).

4.5.3 IgG subclasses in response to malaria and associations with protection

Some studies have considered the distribution of antibody subclasses in malaria infection, particularly in response to merozoite antigens. Antibodies to merozoite proteins (including MSP1, MSP2, AMA1, EBA175, EBA140, EBA181, Rh5) are predominantly IgG1 and IgG3. IgG2 and 4 have consistently low seroprevalence and are present in relatively low levels, therefore are often excluded from analysis (Nhabomba et al. 2014; Richards et al. 2010; Stanicic et al.

2009; Tongren et al. 2006; Weaver et al. 2016).

Antibodies to multiple merozoite antigens have been associated with protection from infection or severe malaria in some studies (Beeson et al. 2016b; Ismail et al. 2014; Kana et al. 2018), however the dominant subclass varies for different merozoite antigens and is not always the best correlate of protection (Malkin et al. 2005; Stanistic et al. 2009). The subclass response is also likely influenced by repeat exposure (Tongren et al. 2006) and age (Omosun et al. 2005). Further, despite low levels, IgG2 to particular merozoite antigens (EBA-175, RESA, MSP2) has occasionally been reported to correlate with low parasitaemia or low risk of infection (Aucan et al. 2000; Ismail et al. 2014) and IgG4 targeting GLURP was associated against with reduced incidence of clinical malaria (Nebie et al. 2008). However, IgG4 targeting other merozoite antigens has also been associated with enhanced risk of infection (Aucan et al. 2000) and another study reported IgG1 and IgG4 levels to merozoite extract were higher in cerebral malaria compared to mild malaria (Mbengue et al. 2019b), suggesting IgG4 to merozoite antigens may more-so commonly be a marker of infection or disease severity.

Similarly, IgG1 and IgG3 dominate the response to CSP following RTS,S vaccination in CHMI and natural exposure (Chelimo et al. 2005; Seaton et al. 2021). However, IgG1, IgG3 and IgG4 responses to blood stage and pre-erythrocytic antigens have been associated with protection from malaria infection following RTS,S vaccination, particularly in children and in low transmission settings (Dobaño et al. 2019). IgG2 was never not associated with protection. The same study reported IgG subclass specific responses to antigens that were associated with increased risk of malaria following vaccination, suggesting a complex and antigen specific response induced to vaccination (Dobaño et al. 2019).

Responses to VSAs are less well characterized. Small studies have found that IgG1 or IgG3 dominate the response to VSAs or PfEMP1 (Kinyanjui et al. 2003; Megnekou et al. 2005; Piper et al. 1999; Elliott et al. 2005), although this may be influenced by history of exposure - IgG2 and IgG1 to schizont extract dominated in first infection in Swedish adults whereas IgG3 dominated in malaria exposed Liberian adults (Wahlgren et al. 1983). Many of these studies used a single parasite line or involved small numbers of participants. Subclass responses to VSAs are also likely to be age dependent. IgG2 is higher in adults and older children than in younger children (Aucan et al. 2000; Cabrera et al. 2004; Facer 1980) and IgG2 and IgG3 are the dominant subclasses targeting VSAs in Gabonese adults, whereas IgG3 and IgG4 dominated in healthy children (Cabrera et al. 2004).

Few studies have considered association of subclasses targeting VSAs and protective immunity. IgG1 to parasite isolates or crude lysates has been associated with protection from infection (Cabrera et al. 2004; Ndungu et al. 2002) and clinical malaria compared to asymptomatic

malaria (Vigan-Womas et al. 2010). Similarly, IgG3 has been associated with survival of severe malaria (Sarhou et al. 1997), and protection from clinical malaria (Vigan-Womas et al. 2010). A recent study found that IgG2, IgG3 and IgG4 responses to domains of the pregnancy specific VSA, VAR2CSA, were associated with protection from placental malaria (Tornyigah et al. 2021). In earlier studies, VSA specific IgG4 was the only isotype to increase in response to severe malaria (Cabrera et al. 2004) and IgG4 to severe malaria isolates was associated with protection from reinfection (Yone et al. 2005). In contrast, IgG2 to asexual lysates has been associated with increased risk of subsequent infection (Ndungu et al. 2002). IgG2 to severe malaria parasite isolates has been associated with severe malaria compared to uncomplicated malaria, and IgG2 to RIFIN antigen has been associated with severe malaria compared to asymptomatic malaria (Schreiber et al. 2006; Yone et al. 2005). It is likely that the subclass bias is variant dependent but this has only been investigated in one study (Cabrera et al. 2005). Only one study has investigated the domain dependent response (Aitken et al. 2021). Further studies are needed to consider the association of PfEMP1 variant specific antibody subclass responses in protection from severe malaria.

4.6 Systems serology

A traditional approach to measuring immunity to vaccine candidates is to measure the titers of antigen specific antibodies. However, studies of several infectious diseases have found that measuring the biophysical properties and Fc interactions of antibodies with components of the innate immune system can reveal better correlates of protective immunity than titers alone. Systems serology describes a process of measuring multiple Fc features of antibodies induced by infection or vaccination and using machine learning analysis to select the best correlates of protective immunity (Arnold et al. 2018). A systems serology approach has been applied to characterize natural and vaccine induced immunity to several infectious diseases, including HIV, Ebola, tuberculosis and SARS-CoV-2 (Gunn et al. 2018; Chung et al. 2017; Selva et al. 2021; Fischinger et al. 2021). In the context of malaria, systems serology has been applied to characterize functional antibody responses following vaccination with merozoite antigen Rh5 (Minassian et al. 2021), where authors reported that vaccination induced IgA1 responses and antibody dependent neutrophil responses that were associated with reduced time to malaria diagnosis following controlled human infection (CHMI) challenge (Minassian et al. 2021). Systems serology was also applied to characterize RTS,S, vaccine induced immunity to CHMI, revealing that antibody dependent phagocytosis and Fc γ R11a engagement were the best correlates of protection (Suscovich et al. 2020). Systems serology was applied by our research group to characterize antibody responses to malaria in pregnancy. Compared to pregnant women with placental infection, pregnant women without placental malaria had

antibodies to the pregnancy specific variant surface antigen, VAR2CSA, that induced opsonic phagocytosis by monocytes and neutrophils, as well as IgG3 and IgA2 antibodies (Aitken et al. 2021). Taken together, systems serology provides valuable insights into the best correlates of protective immunity as well as the functions of antigen specific antibodies in the context of multiple infectious diseases, including malaria. So far, no studies have applied a systems serology approach to characterize the naturally acquired immune response to *P. falciparum* antigens in children with severe malaria.

5 *Plasmodium falciparum* erythrocyte membrane protein 1

So far, we have touched on the importance of VSAs in the pathogenesis of *P. falciparum* malaria, primarily as the mediator of sequestration of IEs to vascular endothelial cells. PfEMP1 is the most well characterized VSA on IE and importantly, PfEMP1 is a major target of antibodies. Here we discuss in more detail: the structure and function of PfEMP1; the association of PfEMP1 and particular variants of PfEMP1 with severe disease; and the role of antibodies targeting PfEMP1 in protection from severe malaria. Much of the information in this section was published in our recent review (Walker et al. 2022b).

5.1 Structure, classification, and export of PfEMP1

PfEMP1s are large, 200 – 350 kDa proteins expressed by *P. falciparum* in the trophozoite and schizont stage of the asexual life cycle. PfEMP1s are displayed on the surface of IEs, rooted to clusters of KAHRP that deform the erythrocyte to create a distinct “knobby” surface (Chan et al. 2014). The parasite genome has around 60 *var* genes encoding for PfEMP1. A parasite will generally express a single PfEMP1, however dual PfEMP1 expressing parasites have been reported (Joergensen et al. 2010). *Var* genes can be classified by their upstream promotor sequence type, as group A, B, C, E (specific to *var2csa*), or two intermediate groups B/A and B/C (Lavstsen et al. 2003; Rask et al. 2010). *Var* genes are composed of segments of Duffy binding-like domains (DBLs) and cysteine-rich interdomain regions (CIDRs) (Figure 2) that can be further subclassified into DBL α 0.1-2, β 1-10, γ 1-18, δ 1-9, η 1-14, 1-6 and CIDR α 1-6, β 1-7, γ 1-12 (Rask et al. 2010; Smith et al. 2000b). *Var* genes are organized into an N-terminal sequence and semi-conserved DBL-CIDR head structure, followed by 2 – 8 DBL and CIDR domains of various subclasses, in various combinations, a transmembrane domain at the erythrocyte surface and an intracellular acidic terminal sequence (ATS region). Combinations of domains often found in tandem have been identified, known as domain cassettes (DC) of which there are 21 described to date (Rask et al. 2010; Smith et al. 2000b; Tonkin-Hill et al.

2018). The sequence similarity of domain subclasses between parasite genomes of laboratory adapted parasite lines ranges from 38% to 98% (Rask et al. 2010). Alternatively, PfEMP1 can be described in terms of homology blocks – 628 short (minimum seven amino acids), conserved sequences that can be lined up to account for approximately 83% of the total PfEMP1 sequence (Rask et al. 2010; Smith et al. 2000b) although this approach is far less common. In addition to the large number of *var* genes encoding PfEMP1, ectopic recombination of *var* genes can occur within a single cycle (Duffy et al. 2009), giving rise to multiple possible *var* gene combinations. Variation in PfEMP1 may be advantageous to the parasite in overwhelming the host immune system with possible epitopes.

General PfEMP1 structure



Figure 2: **General structure of *var* genes encoding PfEMP1** PfEMP1 are encoded by an up stream promoter sequence (Groups A/B/C/E), followed by the N-terminal sequence. PfEMP1 are composed of Duffy Binding Like domains (DBL) and Cysteine-rich interdomain Regions (CIDR). The head structure contains a DBLα and CIDR domain and is followed by at least two domains (solid borders) and can be followed by additional domains (dashed borders). The transmembrane region (TM) is followed by the A-terminal sequence (ATS). Figure adapted from (Smith et al. 2013), created with BioRender.com.

Var gene expression does not seem to be random although its regulation is not completely clear, and there is often a dominant transcript within a parasite population (Bachmann et al. 2019; Cham et al. 2009). Several factors may contribute to the selection of *var* genes expressed by parasites. *Var* genes of particular adhesive phenotypes may be selected for in environments displaying particular adhesive receptors. *In vitro*, PfEMP1 variants with a specific binding phenotype can be selected for by exposing parasites to the corresponding adhesion receptor (Smith et al. 2000a). *In vivo*, parasites infecting pregnant women predominantly express PfEMP1 that bind to placental receptors, chondroitin sulfate A (Duffy et al. 2006; Salanti et al. 2003; Tuikue Ndam et al. 2005). Specific adhesive phenotypes may be better able to avoid splenic clearance and therefore persist in circulation.

Host antibody recognition of particular PfEMP1 may also limit the PfEMP1 repertoire of parasites causing an infection, due to antibody mediated clearance by the immune system (Nielsen et al. 2002; Warimwe et al. 2009). Individuals have lower antibodies recognizing the infecting parasite variant than other parasites circulating in the community (Bull et al. 1998) and have lower antibodies to the infecting variant than other individuals in the community (Schieck et al. 2017), in line with the theory that the parasite "exploits holes in the antibody repertoire."

In addition to environmental selection factors such as adhesion phenotype and antibody recognition, different *var* genes appear to switch (turn on or off) at different rates (Bachmann et al. 2011; Paget-McNicol et al. 2002) and switching may be biased by epigenetic modifications (Recker et al. 2011).

5.2 PfEMP1 variants associated with severe malaria

Although PfEMP1 are highly variable, semi-conserved regions may be maintained for functional mechanisms that are evolutionarily important for parasite survival. The most commonly described function of PfEMP1 is to mediate adhesion of IEs to vascular endothelial cells that may lead to sequestration of parasites in the capillaries of various organs, including the brain. Several studies have found an association between particular PfEMP1 subclasses and disease severity, in line with the theory that some PfEMP1 types are more virulent than others.

5.2.1 Group A and B/A PfEMP1

Group A and B/A *var* gene expression is upregulated by parasites infecting cerebral and non-cerebral severe malaria patients compared to uncomplicated malaria patients (Bertin et al. 2013; Jensen et al. 2004; Kessler et al. 2017; Kyriacou et al. 2006; Rottmann et al. 2006). In

line with this, group A and B/A var genes encode for PfEMP1 that bind to EPCR and ICAM-1 and are associated with severe malaria (Jespersen et al. 2016; Lennartz et al. 2017). Group B and C var genes are generally associated with uncomplicated malaria, although some studies have also found associations with severe malaria (Kaestli et al. 2006; Rottmann et al. 2006; Jensen et al. 2004).

5.2.2 Pregnancy specific PfEMP1 - VAR2CSA

It is well established that parasites infecting the placenta during pregnancy predominantly express *var2csa* (Duffy et al. 2006; Salanti et al. 2003; Tuikue Ndam et al. 2005). *Var2csa* genes share 58 – 90% sequence homology between parasite genomes and are encoded by UPS E (Rask et al. 2010). VAR2CSA expressing parasite IE are able to bind to chondroitin sulfate A, a glycosaminoglycan expressed on placental epithelial cells (syncytiotrophoblasts) (Fried et al. 1996; Rogerson et al. 1997). As such, VAR2CSA contributes to sequestration of parasites IE in the placenta, a condition known as placental malaria that leads to poor birth outcomes (Guyatt et al. 2004; Kapisi et al. 2017; World Health Organization 2021c).

5.2.3 CD36 binding phenotype PfEMP1

The most common adhesion receptor for PfEMP1 is CD36. Adhesion to CD36 is mediated by CIDR α 2 – 6 domains of Group B or C PfEMP1 head structures (Janes et al. 2011; Robinson et al. 2003), that are present in 70% of *var* genes (Mkumbaye et al. 2017b). Newbold *et al.* and Ndam *et al.* found CD36 adhesion was a property of all parasites isolated from patients with malaria ($n = 71$, $n = 150$ respectively) (Newbold et al. 1997; Tuikue Ndam et al. 2017).

Adhesion to CD36 has been more commonly found to be a property of parasites causing uncomplicated, rather than severe malaria. Parasites isolated from uncomplicated malaria cases more commonly bound to CD36 than parasites isolated from severe malaria cases (Mkumbaye et al. 2017b; Rogerson et al. 1999; Tuikue Ndam et al. 2017). CD36 is a widely distributed adhesion receptor but is not ubiquitously expressed in the brain and expression is not upregulated in cases of fatal malaria or cerebral malaria, unlike ICAM-1 and E-selectin (Berendt et al. 1989; Joste et al. 2020; Turner et al. 1994). Correspondingly, Avril *et al.* showed adherence of parasites to HBECs *in vitro* was independent of CD36 (Avril et al. 2016). Therefore it is likely that CD36 binding is a general parasite adhesion phenotype, rather than a key property for parasites causing cerebral malaria. This is in line with the rare occurrence of cerebral malaria and supports the idea that a rarer set of PfEMP1 are involved in cerebral adhesion.

Additionally, CD36 is expressed on platelets and PfEMP1 mediated adhesion of IE to platelets

can cause clumping of IE. Clumping is a common phenotype in African field isolates (Pain et al. 2001) although it is unclear if all CD36 binding IE can initiate clumping, or whether it is mediated by specific variants and binding sites. Clumping has been associated with parasites causing severe malaria in some studies (Pain et al. 2001) and with parasitaemia (but not disease severity) in others (Arman et al. 2007), although clumping is difficult to assess *in vitro* (Arman et al. 2008). A few studies have investigated the interaction of parasite infected erythrocytes with CD36 expressed on monocytes. Clusters of CD36 on the surface of activated monocytes play a role in non-opsonic (Fc receptor independent) phagocytosis of infected erythrocytes (McGilvray et al. 2000) and trypsin cleavage of PfEMP1 from the surface of infected erythrocytes significantly reduced non-opsonic phagocytosis, possibly due to less CD36 interaction (Baruch et al. 1997).

5.2.4 Domain Cassette 13, Domain Cassette 8 and EPCR binding PfEMP1

Conserved domain cassette 13 (DC13) and domain cassette 8 (DC8) are upregulated in parasites causing cerebral malaria or severe malaria (Bertin et al. 2013; Lavstsen et al. 2012) and both domain cassettes are upregulated in parasites lines selected for binding to immortalized brain endothelial cells (Avril et al. 2012; Claessens et al. 2012). DC13 consists of a UPS A (group A promotor sequence) followed by a DBL α 1.7-CIDR α 1.4 and DC8 consists of a UPS B followed by a DBL α 2-CIDR α 1.1/1.8 – DBL β 12 -DBL γ 4/6. Although DC8 is usually a group B var gene, it has a group A-like CIDR domain and contains a group A homology block (HB 141) and so is classified as a hybrid group B/A var gene (Lavstsen et al. 2012; Rask et al. 2010). Parasites expressing DC8 and DC13 adhere to endothelial protein C receptor (EPCR), expressed on brain endothelial cells (Turner et al. 2013) and the interaction site is at the CIDR α 1 of the head structure. It is now well accepted that in addition to DC8 CIDR α 1.1/1.8 and DC13 CIDR α 1.4, group A head structures in general with a CIDR α 1.1 or 1.4-1.8 have EPCR binding capabilities, except for CIDR α 1.1 and 1.2 of DC1 (Lau et al. 2015; Turner et al. 2013). Although DC8 overall share between 45 – 63% amino acid homology (Lavstsen et al. 2012), Group A CIDR α 1 domains (CIDR α 1.1/1.4-1.8) are highly diverse. Only 6.5% of amino acids are conserved across all EPCR binding CIDR α 1 and these are predominantly internal, structural residues also conserved in non-EPCR binding CIDR α 1 (Lau et al. 2015).

Transcription of DC8 PfEMP1 domains has been shown to be upregulated in parasites causing cerebral malaria (Bertin et al. 2013; Jespersen et al. 2016) and several EPCR binding group A and B CIDR α 1 domains have been associated with cerebral malaria (Joste et al. 2020; Kessler et al. 2017; Storm et al. 2019; Tuikue Ndam et al. 2017). Some evidence suggests that expression of specific CIDR α may be predictive of a particular manifestation of malaria. Kessler

et al. found CIDR α 1.7 was the dominant transcript in 50% of patients with cerebral malaria and brain swelling (Kessler *et al.* 2017). Shabani *et al.* found CIDR α 1.4/1.6 were higher in patients with retinopathy positive cerebral malaria compared to retinopathy negative cerebral malaria, although no difference was detected by Kessler *et al.* (Shabani *et al.* 2017). DC8 or group A CIDR α 1 domains predicted to bind EPCR have also been associated with severe malarial anaemia (Duffy *et al.* 2019; Jespersen *et al.* 2016) and respiratory distress (Lavstsen *et al.* 2012), although group A CIDR α transcripts are generally higher in cerebral malaria than severe malarial anaemia (Duffy *et al.* 2019; Shabani *et al.* 2017).

EPCR is expressed on multiple endothelial cell types, and immune cells including monocytes, neutrophils and placental trophoblasts. DC8 and DC13 expressing parasites are able to bind to brain, lung, heart, dermis and bone marrow endothelial cells (Avril *et al.* 2012; Mohan Rao *et al.* 2014; Turner *et al.* 2013). EPCR is a receptor for Activated Protein C (APC), involved in regulation of blood coagulation, inflammation, and endothelial cell integrity and apoptosis (Mohan Rao *et al.* 2014). The CIDR α 1 domain of DC8 binds to EPCR with similar affinity to APC and can prevent APC binding *in vitro* (Lau *et al.* 2015; Turner *et al.* 2013) suggesting potential consequences of parasite adhesion other than sequestration. However, there is some skepticism about whether DC8/DC13/CIDR α 1 expressing parasites bind to EPCR under physiological conditions (Azasi *et al.* 2018). Binding of recombinant DC8 domains to EPCR or EPCR expressing endothelial cells could not be mimicked in whole parasites in the presence of human serum (Azasi *et al.* 2018) although this result was not replicated in another study (Storm *et al.* 2019). A recent follow up study proposed that a complement serum factor, C1s, cleaves PfEMP1 at conserved sites in the interdomain regions and prevents adhesion of some parasite lines to endothelial cells, including IT4VAR19 that binds to EPCR. This may dampen sequestration to prevent death of the human host or be a mechanism of immune evasion (Azasi *et al.* 2021). The contribution of C1s cleavage to sequestration *in vivo* is still unclear. There is nevertheless a clear association between transcription of group A CIDR α 1 domains and severe disease.

5.2.5 Domain cassette 5 and PECAM-1 binding PfEMP1

Domain cassette 5 consists of DBL γ 12-DBL δ 5-CIDR β 3/4-DBL β 7/9 and has been associated with severe malaria. Parasites expressing DC5 PfEMP1 are common (Heddi *et al.* 2001) and may be more common in severe malaria than uncomplicated malaria (Lavstsen *et al.* 2012). Parasite transcripts of DC5 were found to be significantly upregulated in patients with cerebral or severe malaria (Storm *et al.* 2019; Tonkin-Hill *et al.* 2018) and the DC5 domains DBL γ and DBL β 7/9 were increased in patients with retinopathy-positive cerebral malaria in Malawi

(Kessler et al. 2017).

Lab adapted parasites expressing DC5 are able to bind to platelet endothelial cell adhesion molecule 1 (PECAM-1) on endothelial cells, and PECAM-1 binding is a feature of field isolates (Berger et al. 2013; Heddini et al. 2001; Treutiger et al. 1997). However, parasites are still able to bind to PECAM-1 in the absence of PfEMP1 suggesting other VSA, such as RIFINs, may also be involved in adhesion (Fernandez et al. 1998). Polymorphisms in PECAM-1 may also influence binding of infected erythrocytes to PECAM-1 although it is unclear how this influences susceptibility to cerebral malaria (Hirayama et al. 2002; Ohashi et al. 2016). Glycosaminoglycans and soluble heparin have been shown to inhibit infected erythrocyte adhesion to PECAM-1 (Treutiger et al. 1997).

5.2.6 Domain Cassette 4 and other ICAM-1 binding PfEMP1

Immunohistochemical studies of individuals who died of cerebral malaria showed ICAM-1 mediated adhesion of infected red blood cells to cerebral blood vessels (Turner et al. 1994) and it has been well established that parasites grown in culture with ICAM-1-expressing endothelial cells can adhere via ICAM-1 receptors (Bengtsson et al. 2013; Berendt et al. 1989; Newbold et al. 1997). Inflammatory cytokines such as TNF cause upregulation of ICAM-1 (Avril et al. 2016) and ICAM-1 is more widely expressed in the brain than other known adhesion receptors, CD36 or E-Selectin (Turner et al. 1994).

The DBL β domains of PfEMP1 were found to mediate adhesion of parasite lines (IT4 derived) to ICAM-1 on endothelial cells *in vitro* (Howell et al. 2007; Smith et al. 2000a), however, the identified DBL β domains in these studies were group B or C that have high sequence variation, and therefore were considered to have poor prospects as vaccine candidates. Oleinikov *et al.* identified a single group A var gene that was upregulated in 3D7 parasite line selected for ICAM-1 adhesion, Pf110521 (Oleinikov et al. 2009). In a second line of research, Jensen et al. identified a conserved group A var gene, Pfd1235w, that was the most upregulated var gene in 3D7 parasites selected on transformed human bone marrow endothelial cells (TrHBMECs) and in parasites selected for recognition by semi-immune sera from African children (Jensen et al. 2004). In a search for Pfd1235w homologues in parasites from Ghanaian field isolates, a novel domain cassette was identified, known as DC4. DC4 consists of DBL α 1.1/1.4-CIDR α 1.6 head structure followed by DBL β 3 domain, that cluster phylogenetically separately from other var gene domains, and have approximately 80% sequence identity between parasite isolates (n=6) (Bengtsson et al. 2013). Parasites expressing Group A PfEMP1 with DC4 - including PfEMP1 encoded by Pfd1235w or the previously identified Pf110521 in the 3D7 parasite line - can bind to ICAM-1. The binding site has been mapped to the DBL β 3 domain directly following the

head structure (Bengtsson et al. 2013; Lennartz et al. 2017) and a short sequence of amino acids that comprise the minimum binding motif has been identified by Lennartz *et al.* (Lennartz et al. 2017). The Lennartz ICAM-1 binding motif can be used to predict ICAM-1 binding for all group A and some group B/A DBL β domains (Lennartz et al. 2017; Madkhali et al. 2014; Olsen et al. 2018; Tessema et al. 2018). Transcripts encoding DBL β with the binding motif are upregulated in cerebral malaria compared to severe anaemia and uncomplicated malaria (Kessler et al. 2017; Lennartz et al. 2017; Tonkin-Hill et al. 2018).

It is unlikely that a vaccine targeting adhesion blocking antibodies to ICAM-1 alone would be sufficient to protect from severe or cerebral malaria. Whilst some studies found parasites isolated from patients with SM could bind to ICAM-1 more frequently than parasites from uncomplicated malaria, others failed to find an association (Newbold et al. 1997) or found fewer ICAM-1 binding parasites from children with severe malaria (Rogerson et al. 1999). Parasites selected for adhesion to Human Brain Endothelial Cells upregulated expression of PfEMP1 with an ICAM-1 binding phenotype in some studies (Bengtsson et al. 2013) but not in others (Avril et al. 2012; Claessens et al. 2012). Additionally, a point mutation in the ICAM-1 receptor parasite interaction site has inconsistently been associated with susceptibility (Fernandez-Reyes et al. 1997) and protection (Kun et al. 1999) from severe malaria.

5.2.7 Dual binding parasite IE

In light of the inconsistencies in the association of ICAM-1 binding phenotype and severe malaria, several studies have proposed that parasites able to bind multiple adhesion receptors simultaneously may be responsible for severe disease (Avril et al. 2016; Lennartz et al. 2017; Oleinikov et al. 2009). Indeed, parasites expressing the DC13 head structure, were able to bind to both CHO745-EPCR expressing cells, whose ligand was previously mapped to the head structure CIDR α 1 domain, and to CHO745-ICAM-1 expressing cells, via their DBL β 3 or DBL β 1 domain directly downstream from the head structure (Avril et al. 2016). Interestingly, all Group A, ICAM-1 binders that contain the ICAM-1 binding motif also bind to EPCR via an upstream CIDR α 1 domain. Recombinant DBL β 3 and parasites expressing PfEMP1 with DC4 and the Lennartz motif could bind simultaneously to both ICAM-1 and EPCR and could withstand higher shear stress than single ICAM-1 or EPCR binding parasites (Lennartz et al. 2017). In contrast, Group B or C ICAM-1 binding parasites (that do not have the motif) often bind to both CD36 and ICAM-1 and cannot withstand higher shear stress (Lennartz et al. 2017).

High transcription of dual ICAM-1+EPCR binding PfEMP1 was found in cerebral malaria than non-cerebral severe malaria or uncomplicated malaria, whereas transcription of EPCR binding PfEMP1 was associated with non-cerebral severe malaria (Lennartz et al. 2017). In

Beninese children, dual ICAM-1-EPCR binding parasite IE were more common in cerebral malaria compared to uncomplicated malaria, and CD36 binding IE were more common in uncomplicated malaria (Tuikue Ndam et al. 2017). However, no differences in expression of dual ICAM-1+EPCR binding domains were observed between Beninese children with cerebral and uncomplicated malaria in another study (Joste et al. 2020). This suggests that although this particular parasite phenotype has been associated with severe disease, the presence or absence of the dual ICAM-1-EPCR parasite phenotype alone is not sufficient to determine the severity of disease.

5.2.8 Domains associated with rosetting

Rosetting is mediated via the DBL α 1 of some PfEMP1 head structures (Chen et al. 2000; Rowe et al. 1997), binding to complement receptor-1, heparin sulfate or ABO blood group antigens on uninfected erythrocytes (Chen et al. 2000; Cockburn et al. 2004; Rowe et al. 1997; Rowe et al. 2002). IgM positive rosetting variants are characterized by DBL α 1.5 and DBL α 1.8 domains, followed by three DBL/DBL ζ domains, and tend to have CIDR β /CIDR δ /CIDR γ domains (Ghumra et al. 2012). Rosetting parasites can also adhere to endothelial cells via DBL α 1 interaction with heparan sulfate or via adjacent domains binding to other adhesion receptors such as CD36 (Adams et al. 2014; Chen et al. 2000).

5.3 Antibody responses to PfEMP1 associated with protection

As previously described, PfEMP1 is the major VSA on IE targeted by antibodies. Previous studies have found that antibodies to some variants of PfEMP1 are associated with protection from severe or uncomplicated malaria and will be discussed in the following.

5.3.1 Antibodies to Group A and B/A PfEMP1

Antibodies targeting group A or B/A domains are generally acquired earlier in life than antibodies to group B or C domains (Obeng-Adjei et al. 2020; Oleinikov et al. 2012), possibly suggesting group A and B/A PfEMP1 are expressed preferentially to group B and C var genes in naive human hosts, as has been shown in a controlled human infection study (Bachmann et al. 2019). Papuan patients with uncomplicated malaria had antibodies to a greater range of group A and group B PfEMP1s than patients who had severe malaria (Duffy et al. 2016), supporting an association of group A PfEMP1 and parasite virulence and possibly antibodies to group A PfEMP1 in protection from severe disease.

5.3.2 Antibodies to pregnancy specific PfEMP1 - VAR2CSA

As VAR2CSA is a pregnancy specific variant, women lack VAR2CSA specific antibodies in their first pregnancies and acquire VAR2CSA specific antibodies with multiple pregnancies (Ricke et al. 2000). It is well established that antibodies targeting VAR2CSA can block IE adhesion to CSA (Doritchamou et al. 2016b; Nielsen et al. 2015b; Ricke et al. 2000) and induce ADCP by monocytes and monocytic cell lines (Feng et al. 2009; Barfod et al. 2010; Ataíde et al. 2011). Antibodies to VAR2CSA expressing IE have been associated with reduced risk of low birthweight babies and maternal anaemia in some studies (Duffy et al. 2003; Mayor et al. 2013; Staalsoe et al. 2004; Teo et al. 2014). However, a meta-analysis found that titers of antibodies to individual domains of VAR2CSA were not associated with low birth weight (Cutts et al. 2020) and it has been difficult to identify the best VAR2CSA fragments for use in a vaccine (Fried et al. 2015). Two vaccines for malaria in pregnancy have undergone phase I clinical trials, PRIMVAC (Sirima et al. 2020) and PAMVAC (Mordmuller et al. 2019), based on VAR2CSA fragments that induce CSA adhesion blocking antibodies to CSA (Doritchamou et al. 2013). Both were considered safe and induced antibodies to the homologous VAR2CSA expressing IE variant in non-pregnant women, however demonstrated poor cross reactive recognition or CSA adhesion blocking activity to heterologous VAR2CSA expressing IE (Mordmuller et al. 2019; Sirima et al. 2020).

5.3.3 Antibodies to CD36 binding PfEMP1

Antibodies directed against CD36 binding PfEMP1 domains and CD36 binding parasites are common and present at early ages (Kessler et al. 2018; Oleinikov et al. 2012). However, antibodies are acquired more slowly and peak later in life compared to Group A antigens (Oleinikov et al. 2012; Turner et al. 2015), in line with idea that Group A PfEMP1 dominate infections at earlier ages and in non-immune hosts.

Antibodies targeting CD36 binding CIDR α have been associated with a reduced risk of severe and uncomplicated malaria (Kanoi et al. 2018; Tessema et al. 2019). In a case-control study, children presenting with uncomplicated malaria had higher reactivity to several non-CD36 binding PfEMP1 proteins than children with severe malaria (Travassos et al. 2018). In contrast, there was no difference in reactivity to CD36 binding CIDR domains in another study (Rambhatla et al. 2019). After an episode of cerebral malaria or severe malaria anaemia, antibodies directed towards CD36 binding domains were not boosted (Travassos et al. 2018), suggesting CD36 binding parasites were not involved in severe infections or have low immunogenicity. In Papua New Guinea (PNG), antibodies to CD36 binding domains were not boosted following

severe or uncomplicated malaria infections (Rambhatla et al. 2019). Taken together, although parasites expressing CD36 are common and have been associated with uncomplicated rather than severe malaria in several studies, it remains unclear if a lack of antibodies to CD36 binding PfEMP1 contributes to a predisposition to severe malaria.

5.3.4 Antibodies to DC13, DC8 and other Group A CIDR α 1 domains that bind EPCR

Despite the high sequence diversity across EPCR binding CIDR α 1 domains, recombinant CIDR α 1 domains from DC8 or DC13 are well recognized by antibodies from patients in malaria endemic areas (Kanoi et al. 2018; Lau et al. 2015; Lavstsen et al. 2012), as are parasites expressing DC8 containing PfEMP1 (Avril et al. 2012; Claessens et al. 2012). One study reported that Beninese children showed little recognition of DC8-PfEMP1 expressing parasite line (IT4VAR19) before or after infection, suggesting these parasites were not infecting this population (Nunes-Silva et al. 2015). In Tanzanian children and older Malian children and adults, the best recognized recombinant domains were EPCR binding CIDR α 1 domains. (Lau et al. 2015; Obeng-Adjei et al. 2020). Antibodies to EPCR binding CIDR α 1 domains were acquired prior to CD36 binding domains (Obeng-Adjei et al. 2020), and increased from a young age until at least 10 years old (Turner et al. 2015), in line with age dependent acquisition of immunity. However these findings were not replicated in other studies (Cham et al. 2009; Rambhatla et al. 2019).

Overall, there is good evidence to suggest that antibodies are naturally acquired to diverse CIDR α 1 domains associated with EPCR binding, however further studies are needed to validate if EPCR binding/ CIDR α 1 domains can induce cross reactive antibodies to other EPCR binding domains, as the ability to induce strain-transcending immunity is a desirable feature for a vaccine. In one study, immunization with one CIDR α 1 variant elicited cross reactive antibodies to the same domain subclass, but rarely to other CIDR α 1 subclasses (Turner et al. 2018). However, immunization with CIDR α 1.4 delivered by Virus Like Particles showed promising ability to induce cross reactive antibodies to recombinant CIDR α 1.4-1.7 subclasses (Harmsen et al. 2020), and IgG antibodies purified to CIDR α 1.1 from an EPCR binding parasite line were cross reactive with CIDR α 1.4 from another parasite line (Lau et al. 2015).

There is evidence to suggest that antibodies against EPCR binding CIDR α 1 domains confer protection from uncomplicated malaria or cerebral malaria. Antibodies to DC8 expressing parasite line, IT4VAR19, are elevated in individuals with uncomplicated malaria compared to severe malaria (Chan et al. 2019a) and boosted during severe malaria (Chan et al. 2019a; Rambhatla et al. 2019). Antibodies to EPCR binding CIDR α domains from the 3D7 genome

are associated with reduced prospective risk of an episode of uncomplicated malaria (Kanoi et al. 2018). Similarly, Tessema *et al.* identified 17 DBL α domains for which antibodies were predictive of reduced prospective risk of severe malaria in PNG, some of which were part of PfEMP with EPCR binding CIDR α domains (Tessema et al. 2019). In contrast, antibodies to CIDR α 1.4 were found to be predictive of higher parasite density in Malian children (Araj et al. 2021). Overall, antibodies to EPCR binding CIDR α 1 domains appear to be involved in protection from malaria, however the role of CIDR α 1 domain subclasses in different disease pathologies requires further study.

5.3.5 Antibodies to DC5 and PECAM-1 binding PfEMP1

Antibodies recognizing recombinant domains of PF11_0008 (a group A var gene from the 3D7 parasite line that contains DC5) are acquired early in life, peaking at age 5 in high transmission settings and age 10 in moderate transmission settings in Africa (Cham et al. 2009; Magistrado et al. 2007). Antibodies targeting the CIDR β domain were predicted to reduce the risk of a malaria episode and parasitemia after adjusting for age and bed net usage (Magistrado et al. 2007) and high levels of antibodies to the DBL γ 12, DBL δ 5 and DBL β 9 domains of this protein reduced the risk of a febrile malaria episode in another study (Berger et al. 2013). In line with this, Travassos *et al.* found children with severe malarial anaemia or cerebral malaria lack antibodies to a portion of PF11_0008, compared to children with uncomplicated malaria (Travassos et al. 2018). In contrast, Duffy *et al.* found no significant difference in antibody levels to DC5 domains in severe and uncomplicated malaria, in patients from Papua, Indonesia (Duffy et al. 2016).

5.3.6 Antibodies to DC4 and other group A ICAM-1 binding PfEMP1

Antibodies to the earliest identified group A DBL β 3 from the var genes Pf110521 and Pfd1235w (commonly referred to as VAR4, which contains DC4), are well recognized by antibodies in African children and can block adhesion to ICAM-1 *in vitro* (Jensen et al. 2004; Oleinikov et al. 2009; Oleinikov et al. 2012). Further to this, the Lennartz motif that predicts ICAM-1 binding for group A DBL β 3 appears to be a well geographically conserved target of naturally acquired antibodies (Lennartz et al. 2017; Madkhali et al. 2014; Olsen et al. 2018; Tessema et al. 2018). The motif is highly conserved across parasite isolates, and antibodies raised against the motif from one parasite line are cross reactive to motif-containing DBL β 3 domains and parasites from other parasite lines (Olsen et al. 2018), but not to parasites with non-ICAM-1 binding DBL β (Lennartz et al. 2017).

Antibodies to Group B and C ICAM-1 binding DBL β 3 (non-motif) were acquired prior to group A ICAM-1 binding DBL β 3 in one study (Olsen et al. 2019), but not in another study in PNG (Tessema et al. 2018). Further studies are required to determine how the age dependent acquisition of antibody to DBL β 3 domains affects acquisition of antibodies to ICAM-1-binding IEs and how this correlates with protection from severe malaria.

There is evidence to suggest that antibodies targeting IEs that display group A ICAM-1 binding PfEMP1 (with the Lennartz binding motif) play a role in protection from severe, clinical or cerebral malaria. Antibodies to recombinant DBL β 3 domains containing the motif were associated with a 37% reduced prospective risk of high parasitemia and fever in children in PNG, whereas this association was not observed for non-motif containing domains (Tessema et al. 2018). A prospective study by Kanoi *et al.* showed antibodies to DBL β 3 from 3D7 had an estimated 66% potential protective efficacy from clinical malaria in Uganda (based on Cox proportional hazard ratio) (Kanoi et al. 2018). Tanzanian children who did not have antibodies to parasites expressing PF110521 were at a greater risk of developing severe non-cerebral malaria (Oleinikov et al. 2012) and antibodies to the DBL β -DBL β couplet of Pfd1235w were boosted after an episode of severe malarial anaemia in another study (Travassos et al. 2018). In contrast, Olsen *et al.* found no evidence of a difference in antibody recognition of DBL β 3 with motif by patients with severe non-cerebral malaria and uncomplicated malaria (Olsen et al. 2018). Further studies are required to determine if antibody responses to group A binding DBL β domains are associated with protection from cerebral malaria or other forms of severe malaria.

5.3.7 Antibodies to dual ICAM-1-EPCR binding PfEMP1

As previously discussed, all PfEMP1 expressing group A DBL β 3 with the Lennartz motif are predicted to bind to EPCR via an upstream receptor CIDR α 1 domain. Therefore the evidence of an association between protection from severe malaria and antibodies to the DBL β 3 domains that contain the Lennartz binding motif also implies an association with antibodies to dual ICAM-1-EPCR binding parasites. In line with this hypothesis, antibodies against each of the domains from 3D7 parasite DC4 (containing the motif) (CIDR α 1.3-DBL β 3-DBL β 3) were predictive of clinical protection (Kanoi et al. 2018). Travassos *et al.* found that antibody to the DBL α CIDR α 1 head structure of DC4 from Pfd1235w (dual binding) was increased following cerebral malaria, suggesting DC4 expressing IE were the infecting variants involved in cerebral malaria (Travassos et al. 2018) and in another study antibodies to the CIDR α domain of Pfd1235w (encodes a dual binding PfEMP1) were associated with reduced risk parasitemia, reduced risk of anaemia and reduced incidence of malaria fever (Lusingu et al. 2006). However,

further studies are needed to determine if having antibodies to one or both binding domains is associated with clinical outcome, potentially by directly blocking parasite adhesion to blood vessels in the brain or by activating protective immune mechanisms.

In Beninese children, antibodies targeting recombinant a ICAM-1 binding DBL β and an ICAM-1+EPCR binding CIDR α 1.4-DBL β 3 fragment were elevated in uncomplicated malaria compared to cerebral malaria. However there were no differences in antibodies between children with uncomplicated and cerebral malaria amongst children who were infected with parasites transcribing ICAM-1+EPCR binding PfEMP1 (Joste et al. 2020). This contradicts previous studies to suggest that neither expression of the ICAM-1-EPCR binding phenotype, nor titers of IgG against it, was sufficient to predict clinical outcome, although antibodies were only evaluated for one parasite variant. In another study of Beninese children, overall levels of antibodies targeting recombinant DC13 were boosted following an episode of uncomplicated malaria, whereas cytophilic subclasses IgG1 and IgG3 were boosted following an episode of cerebral malaria (Badaut et al. 2021). Therefore the types of antibodies targeting ICAM-1+EPCR binding IE may be an important determinant of protective immunity to severe forms of malaria.

5.3.8 Antibodies to domains associated with rosetting

A small number of studies have found an association of antibodies targeting PfEMP1 that mediate rosetting and protection from severe malaria. In one study, children with uncomplicated malaria had elevated naturally acquired antibodies targeting rosetting parasite lines compared to children with severe malaria, as well as elevated antibodies to recombinant DBL α 1 from rosetting variants (Albrecht et al. 2014). Additionally, antibodies to recombinant DBL α 1 were predictive of a reduced risk of severe malaria (Tessema et al. 2019). Although some studies have suggested that rosetting may prevent antibody binding and phagocytosis (Hedberg et al. 2021; Moll et al. 2015; Albrecht et al. 2020), antibodies raised against recombinant DBL α 1 could inhibit rosetting and instigate IE phagocytosis. (Angeletti et al. 2013; Ghumra et al. 2011; Quintana et al. 2016). Rosetting DBL α 1 are generally followed by CIDR β , δ or γ and antibodies targeting CIDR3 have been associated with reduced prospective risk of febrile malaria in another study (Obeng-Adjei et al. 2020).

5.4 Functions of antibodies targeting PfEMP1

As discussed, the main function of PfEMP1 is to mediate adhesion of parasitized erythrocytes to blood vessel endothelium and several studies have found that antibodies targeting PfEMP1 can inhibit adhesion to a variety of endothelial cell receptors, including ICAM-1 and EPCR.

However, as discussed, there are multiple functions of antibodies that can be mediated by the antibody Fc region. The following will discuss current evidence for antibody mediated immune activation of innate cells targeting PfEMP1, including antibody dependent phagocytosis, antibody dependent cell cytotoxicity and complement activation.

5.4.1 Antibody dependent phagocytosis by monocytes

Antibody mediated opsonic phagocytosis of IEs by monocytes is well documented *in vitro*. Parasite IE display factors that are usually contained within the inner-erythrocyte membrane, including lipid phosphatidylserines, that can induce non-opsonic phagocytosis of IEs (Fraser et al. 2021). Phagocytosis on non-opsonized IE can also be triggered by increased expression of Band 3 protein on the IE (Giribaldi et al. 2001) or display of PfEMP1 variants that bind to CD36 on monocytes (McGilvray et al. 2000). However, the majority of phagocytosis activity is due to opsonic phagocytosis, at least for some parasite strains (Zhou et al. 2012), and is required to activate the inflammasome pathways that lead to IL1- β (Zhou et al. 2012). Complement components contribute to opsonic phagocytosis, however phagocytosis can also occur for complement depleted sera (heat-inactivated) *in vitro* (Teo et al. 2015; Zhou et al. 2015).

PfEMP1 is the major target of opsonizing antibodies on the surface of the IE, as shown experiments with mutant parasite lines that lack PfEMP1 (Chan et al. 2017). In line with this, opsonizing antibodies are acquired in a variant specific manner, as illustrated by a study of multigravida Malian women who had higher opsonic antibodies to IE expressing the pregnancy specific PfEMP1 variant, VAR2CSA, than Malian men or malaria naïve adults (Lambert et al. 2014). There has been little investigation as to whether different variants or domains of PfEMP1 are better at inducing opsonizing antibodies than others.

Some studies have investigated the contribution of opsonic phagocytosis of IEs to protection from severe malaria or specific malaria pathologies. In malaria in pregnancy, Ataíde *et al.* found that antibodies from women with placental malaria that induce THP-1 phagocytosis were associated with infant birthweight (Ataíde et al. 2011). Another study found no association with improved fetal growth, but found an association with maternal haemoglobin (Chandrasiri et al. 2016). A recent study found that out of 169 measures of antibody features towards VAR2CSA, THP-1 phagocytosis of VAR2CSA expressing parasites (CS2) was amongst the top 6 most important features to predict protection from placental malaria in PNG (Aitken et al. 2021). These studies suggest that opsonizing antibodies that induce monocyte phagocytosis may be in some way protective. In children, opsonizing antibodies targeting EPCR binding IEs (VAR19) were higher in children with uncomplicated malaria than severe malaria in PNG (Chan et al. 2019b),

suggesting this may be a protective response, however the authors note that the difference was modest in extent. A study of Cameroon children found no significant differences in opsonic antibodies to a rosetting parasite line (FCR3S1.2) between severe and uncomplicated malaria (Quintana et al. 2018). Taken together, there is strong evidence that opsonic phagocytosis of IE occurs, although further studies are required to evaluate the contribution to protection and how this may vary with parasite variants.

5.4.2 Antibody dependent neutrophil phagocytosis

IEs have been observed inside neutrophils taken from children infected with *P. falciparum* malaria (Brown et al. 1981). Isolated neutrophils *in vitro* also phagocytosed IEs but not uninfected erythrocytes, in the presence of sera from individuals living in an endemic area (Celada et al. 1983b; Salmon et al. 1986) and sera depleted of IgG could not phagocytose IEs (Celada et al. 1983a). However, no phagocytosis of IEs was observed in the presence of sera from American service members with limited prior exposure to malaria (Trubowitz et al. 1968) or European travellers after their first infection with *P. falciparum*, despite high levels of antibodies (Celada et al. 1983a). This suggests that specific antigen targets of antibodies on IEs are required for phagocytosis by neutrophils and antibodies to such targets may be acquired after multiple infections. Antibody dependent neutrophil phagocytosis has been associated with protection from placental malaria (Aitken et al. 2021) however there is currently no evidence to suggest if phagocytosis by neutrophils protects from severe or cerebral malaria (Aitken et al. 2018).

5.4.3 Antibody dependent cell cytotoxicity

A small number of recent studies have demonstrated NK cell antibody dependent cell cytotoxicity in response to IE. NK cells lyse IE, but not uninfected erythrocytes in the presence of sera from individuals in malaria endemic regions and lysis is sufficient to inhibit growth in cell culture (Arora et al. 2018; Mavoungou et al. 2003). NK cells isolated from both Gambian malaria exposed individuals and malaria naïve individuals responded to IEs with degranulation and cytokine release, when in the presence of Gambian malaria exposed sera but not sera from UK malaria naïve individuals or IgG depleted sera. This suggests the NK cell response is more dependent on IgG targeting the erythrocyte than on other serum factors or the NK cell activation state (Sherratt et al. 2020). Antibodies targeting VAR2CSA and RIFINS induced IE lysis and growth inhibition *in vitro* (Arora et al. 2018). Furthermore, purified IgG to individual domains of VAR2CSA promoted degranulation of NK cells and induced IFN and TNF production (Damelang et al. 2021). There is currently no evidence for NK cell ADCC in protection

from severe or uncomplicated malaria (Damelang et al. 2021).

Interestingly, NK cell lysis of IEs also occurs independently of serum antibodies (Mavoungou et al. 2003; Orago et al. 1991) and can be triggered by more than one pathway (Baratin et al. 2007). NK cell activation and secretion of cytokines can be triggered by direct interaction with PfEMP1 DBL1 α and VAR2CSA (Baratin et al. 2007; Mavoungou et al. 2003) whereas other PfEMP1 variants, and some RIFIN variants, may inhibit NK cell activity (D'Ombrain et al. 2007)(Saito et al. 2017). It would be interesting to know if antibodies targeting these PfEMP1 variants can in turn have an activating or inhibitory effect on NK cells.

5.4.4 Antibody mediated complement fixation

Only a few studies have observed antibody dependent complement fixation on the surface of IEs (Kurtovic et al. 2020; Stanley et al. 1984; Wiesner et al. 1997; Opi et al. 2021). Previous studies have proposed various mechanisms by which IE evade complement fixation and complement mediated lysis (Rathnayake et al. 2021). When complement is activated, the infected erythrocyte appears to be resistant to complement mediated lysis, possibly by upregulating host cell factors such as CD59 (Rathnayake et al. 2021; Wiesner et al. 1997). Larsen *et al.* found that the classical complement cascade was induced by recombinant VAR2CSA protein but not by native VAR2CSA on the surface of IEs, in the presence of pooled polyclonal IgG or monomeric IgG engineered to enhance hexamerization that is required for complement fixation. The authors suggest that the distribution of PfEMP1 on knobs prevents antibody hexamerization (Larsen et al. 2019). However, classical complement fixation may be dependent on different variants of PfEMP1 or variation in antibody Fc properties, which were not considered in this study. Complement can also bind to IgM, however, the VAR2CSA variant of PfEMP1 binds to the same site of IgM as C1q and non-immune IgM cannot activate the classical complement cascade on VAR2CSA expressing IEs (Akhouri et al. 2016). A recent study further suggests a more complex dynamic between complement and PfEMP1. Azasi *et al.* found that complement component-1S (C1s) cleaves PfEMP1 at semi-conserved sites between DBL and CIDR domains. C1s is recruited following C1q fixation and is regulated by serum esterases. C1s inhibited IT4VAR19 parasites from binding to EPCR on endothelial cells and inhibited dual binding IT4VAR13 parasites from binding to CD36 but not ICAM-1, matching the predicted cleavage sites for these variants (Azasi et al. 2021). Approximately 80% of PfEMP1 contain at least one C1s cleavage site (not including VAR2CSA), suggesting there is an evolutionary survival advantage for the parasites to conserve these sites. One possibility that the authors propose is that PfEMP1 cleavage may impair cytoadhesion and sequestration when the host is in a hyperinflammatory state, to prevent host death. They also suggest it may be

a mechanism for parasites to escape opsonization (Azasi et al. 2021), since both antibodies and complement components induce opsonic phagocytosis of IEs by monocytes (Zhou et al. 2015). Taken together, these studies suggest a complex push and pull between complement mediated protection by the host and adaptations to evade complement by the parasite.

5.4.5 Summary of functions of antibodies targeting IE and PfEMP1

In summary, antibodies targeting IE surface antigens, which are likely dominated by PfEMP1, can induce antibody dependent cell phagocytosis (ADCP), antibody dependent neutrophil phagocytosis (ADNP) and antibody dependent cell cytotoxicity (ADCC), but the contribution of these antibody dependent effector functions to protection from severe malaria in children is largely unknown. It is unclear whether non-VAR2CSA variants of PfEMP1 can fix C1q to induce phagocytosis, and whether C1s cleavage of PfEMP1 contributes to disease severity. There may be several other functions of antibodies targeting IE that have not been explored, including antibody dependent respiratory burst and NETosis by neutrophils (Aitken et al. 2018). Importantly, little is known about the influence of PfEMP1 variants to elicit antibodies that induce these various effector functions targeting IEs.

Part III

Materials and Methods

6 Materials

6.1 Reagents

Table 2: Reagents

Reagent	Manufacturer	Cat.No
AlbuMAX™Lipid-Rich BSA	Gibco	11021-045
Bio-Plex Pro Magnetic COOH Beads	Biorad	MC100XX-01
Human blood	Australian Red Cross Blood Service, Victoria	
BSA	Sigma-Aldrich	A7906
Dihydroethidiumbromide (DHE)	Sigma-Aldrich	D7008
Dimethyl Sulfoxide (DMSO)	Sigma-Aldrich	D2650
Dynabeads™ Protein A	ThermoFisher	1001D
EasySep™ Direct Human Neutrophil Isolation Kit	Stemcell Technologies	19257
Ethylenediaminetetraacetic acid (EDTA) 15575	UltraPure™ 0.5M	Invitrogen
EZ-Link™Sulfo-NHS-LC-Biotin, No Weight Format	ThermoFischer	A39257
FACS lysing solution	BD Biosciences	89882
Foetal bovine serum	Gibco	10099141
Gelatin from porcine skin	Sigma-Aldrich	G1890
Giemsa's stain solution R66, Gurr™	VWR chemical	35086
HEPES	Gibco	15630080
Human Sera	Australian Red Cross Blood Service, Victoria	-
MES (C6H13NO4S)	Sigma	M0164-10PAK
MycoAlert™Mycoplasma Detection Kit	Lonza	LT07-318
MycoAlert™positive control	Lonza	LT07-518
Mycoplasma removal agent	MP Biomedicals	3050044
Paraformaldehyde	Sigma-Aldrich	158127
PBS (tablets)	Gibco	18912-014
Penicillin/Streptomycin/Glutamine	Gibco	10378016
Percoll®	GE Healthcare	17-0891-01
Pierce™ Premium Grade EDC (1-ethyl-3-(3-dimethylaminopropyl)carbodiimide hydrochloride)	ThermoFisher	PG82079
RMPI-1640-HEPES Medium, no glutamine	Gibco	21870-076
Sodium azide (NaN ₃)	Sigma-Aldrich	769320-100G
Sodium Chloride (NaCl)	Sigma	S5886-500G

Continued on next page

Table 2 – continued from previous page

reagent	manufacturer	cat.No
Streptavidin, R-Phycoerythrin Conjugate (SAPE)	ThermoFisher	S866
Sulfo-NHS No-Weight Format (N-hydroxysulfosuccinimide)	ThermoFisher	A39269
Tetanus toxin from Clostridium tetani	Sigma-Aldrich	T3194
Trypan Blue 0.4%	Gibco	15250061
Tween20	Sigma-Aldrich	P9416

Table 3: Plasticware

Product	Supplier	Cat.No.
Petri Dish 150 mm x 15 mm polystyrene	Falcon®	351058
Nunc™ Cell Culture Cryogenic Tubes	Thermo Fischer	377267
Mr. Frosty™	Thermo Fisher	5100-0001
MiniMACS™ column	Miltenyi Biotec	
Eppendorf® microcentrifuge tube - 1.5 mL	Sigma-Aldrich	T9661
Minisart filter - 0.2 µm	Sigma-Aldrich	16534K
96-Well U-Bottom clear U-bottom, TC-treated plates	Corning®	3799
Nunc™ EasYFlasks (T25, T50, T125), TC Surface, Filter Cap	Thermo Fischer	156367
96-well Bio-plex pro flat bottom plate	Biorad	171025001
Grenior Bio-One Microplate, 96/384 well, PS, µCLEAR®, Black, Non-binding	Interpath	781906
Amicon® Ultra-0.5 centrifugal filter device, Ultracel-30	Sigma-Aldrich	UFC5030
Amicon® Ultra-0.5 centrifugal filter device, Ultracel-100	Sigma-Aldrich	UFC5100

Table 4: Equipment

Product	Supplier	Cat.No.
Multiplex reader	Luminex™ FLEXMAP 3D®	
Multiplex reader	Bio-Plex® MAGPIX™	Bio-Rad
Cell sorter	FACS ARIA-III	Beckman Coulter
Flow cytometer	Cytoflex S	Beckman Coulter
Flow cytometer	Cytoflex LX	Beckman Coulter
Magnet	DynaMag™-2 Magnet	ThermoFisher (12321D)

Continued on next page

Table 4 – continued from previous page

Product	Supplier	Cat.No.
Magnet	MiniMACSTM separator	Miltenyi Biotec (130-042-102)
Magnet	Easy 50 EasySepTM	Stemcell Technologies (18002)
Cell counter	Haemocytometer	
Microplate washer	ELx405TM Select	BioTek
Luminometer	FLUOstar Omega	BMG Labtech
Spectrophotometer	NanoDropTM 2000/2000c	ThermoFisher (ND-2000)

6.2 Antibodies

Table 5: Primary antibodies

Antibody	colour	Supplier	Cat.No.
Mouse α -human CD66b	BV421	Becton Dickinson	562940
Mouse α -human DBL β 3 (<i>var04</i>), 24E9		^a	(Lennartz et al. 2015)
Rat α -human DBL β 3 (<i>var13</i>), polyclonal		^a	(Lennartz et al. 2015)
Rabbit α -erythrocyte mem- brane proteins, polyclonal		Cappel	55133

Table 5: (^a): Anja Jensen, University of Copenhagen

Table 6: Secondary and tertiary antibodies

Targets	colour	Host (clone)	Supplier	Cat.No.
IgG Fc	PE	Mouse α -human (JDC-10)	Southern Biotech	9040-09
IgG1 Hinge	PE	Mouse α -human (HP6001)	Southern Biotech	9052-09
IgG3 Hinge	PE	Mouse α -human (HP6050)	Southern Biotech	9210-09
IgG2 Hinge	PE	Mouse α -human (HP6002)	Southern Biotech	9070-09
IgG4 Hinge	PE	Mouse α -human (HP6025)	Southern Biotech	9200-09
IgM-biotin		Mouse α -human (MT22)	MabTech	3880-6-250
IgG Fc	AF647	Goat α -mouse	Invitrogen	A21235

6.3 Recombinant human Fc receptors and complement component C1q (used in Multiplex assays)

Table 7: Recombinant human Fc receptors and complement component C1q (used in multiplex immunoassays)

Reagent	Supplier	Cat no./Ref
Biotinylated human CD32a (H167), Avitag™, His Tag	Acrobiosystems	CDA-H82E6-25ug
Biotinylated human CD16a (V176) Protein, Avitag™, His Tag	Acrobiosystems	CDA-H82E9-25ug
Biotinylated human CD32b	Acrobiosystems	CDB-H82E0-25ug
Biotinylated human CD16b (NA1)	Acrobiosystems	CDB-H82E4-25ug
Biotinylated Human CD32a (H167)	<i>a</i>	(Wines et al. 2016)
Biotinylated Human CD16a (V176)	<i>a</i>	(Wines et al. 2016)
C1q protein	MP Biomedicals	0219139101

Table 7: (*a*): Bruce Wines and Mark Hogarth, Burnet Institute

Table 8: Recombinant human proteins I

Protein	Domain	Group	DC/gene	Receptor	Assay
SM1	CIDR α 2.4	B			M
SM2	CIDR β 1	B			M
SM3	DBL β 12	B/A		DC8	M
SM4	DBL β 3	A			M
SM5	DBL β 3	A	DC4		M
SM6	DBL δ 1	B			M,P
SM8	DBL δ 1	B			M
SM9	DBL δ 1	B	DC8		M,P
SM11	DBL ϵ 3	B	DC11		M
SM12	DBL ϵ 9	B			M,P
SM14	DBL γ 3	B	DC9?		M,P
SM15	DBL ζ 4	B	DC9?		M,P
SM17	DBL α 1.5	A	DC4		M
SM18	CIDR α 1.1	B/A	DC8	EPCR	M
SM24	DBL ζ 3	B/A			M
SM19	CIDR α 1.6	A	DC4	EPCR	M
SM24	DBL ζ 3	B/A			M
SM22	DBL ϵ 5	A	<i>var1</i>		M,P
SM24	DBL ζ 3	B/A			M
SM24	DBL ζ 3	B/A			M
SM25	DBL β 13	B			M,P

Continued on next page

Table 8 – continued from previous page

Protein	Domain	Group	DC/gene	Receptor	Assay
SM26	CIDR γ 12	B			M,P
SM27	DBL δ 7	A			M
SM28	CIDR α 2.6DBL β 5	B			M
UM1	DBL α 0.13	B			M
UM2	DBL1	B			M,P
UM8	DBL γ 9	B/A			M
UM14	DBL δ 1	B			M
UM19	DBL δ 1	B			M
UM20	CIDR α 3.1	B/C			M
UM21	DBL α 0.9	B			M
UM45	CIDR α 1.7	A			M

Table 8: * Proteins with reference code beginning in SM or UM were originally derived from Papuan field isolate sequences (Tonkin-Hill et al. 2018) and expressed in Wheat germ cell-free expression system by Professor Takafumi Tsuboi and Dr. Eizo Takashima, Proteo-Science Center, Ehime University, Matsuyama, Ehime, Japan. Assay: 'M' denotes protein was included in multiplex immunoassays using sera from Malawian children (Part IV), 'P' denotes protein was included in multiplex immunoassays using sera from Papua New Guinean children (Part V). DC: Domain Cassette.

Table 9: Recombinant human proteins II

Protein	Domain	Group	Gene	Receptor
M1	DBL β 3*	A	PF110521 D4	ICAM-1
M6	DBL β 3*	A	3D7 PFD1235w D4	ICAM-1
M9	DBL β 1*	A	Dd2VAR32 D4	ICAM-1
	CIDR α - DBL β 3*	A	3D7 PFD1235w D3-4	EPCR- ICAM-1
N27	DBL β 3	B	IT4VAR13 D4	ICAM-1
P1021	DBL β 3	C	KOB58843/HB3VAR34	ICAM-1
P1014	DBL β 5	B	KOB63129/HB3VAR21	ICAM-1
P1011	DBL β 5	B	AA75396/Dd2VAR01a	ICAM-1
N30	DBL β 7	A	Dd2VAR52 D4	
N31	DBL β 7	A	HB3VAR01 D4	
N32	DBL β 6	A	BT1983 D4 (JQ691649)	

Table 9: Proteins were expressed in *E-coli* expression system. Supplied by Professor Anja Jensen, University of Copenhagen, Copenhagen, Denmark. (*): contain the DBL β motif indicative of dual EPCR+ICAM-1 binding PfEMP1 (Olsen et al. 2018)

Table 10: Recombinant human proteins III

Protein	Domain	Group	Gene	Receptor
GA007	CIDR α 1.1	A	IT4VAR06	EPCR
GA026	CIDR α 1.8a	A	GA026	EPCR
GA019	CIDR α 1.6b	A	GA019	EPCR
GA020	CIDR α 1.4	A	IT4VAR22	EPCR
GA052	CIDR α 1.7	A	P2083-1	EPCR
GA012	CIDR α 1.5	A	1965-2	EPCR
GA039	CIDR α 2.2	B	IT4VAR24	CD36
GA040	CIDR α 3.3	B	IT4VAR26	CD36
GA041	CIDR α 2.1	B	IT4VAR30	CD36
GA042	CIDR α 2.4	B	IT4VAR33	CD36

Table 10: Proteins were expressed in *E-coli* expression system. Supplied by Professor Thomas Lavstsen and Professor Louise Turner, University of Copenhagen, Denmark.

Table 11: Recombinant human proteins I

Protein	Genome
MSP2	HEK293
CSP-1	
EBA175-RIII-V	
MSP3	
AMA1	HEK293

Table 11: EBA-175-RIII-V supplied by Dr Ricardo Ataide and Dr Jack Richards. Other proteins supplied by Dr Herbert Opi and Professor James Beeson, Burnet Institute, Melbourne.

7 Methods

7.1 Clinical samples

7.1.1 Malaria infected Malawian children

Blood samples were collected from Malawian children as part of a case-control study of cerebral and uncomplicated malaria, conducted over three malaria seasons (from 2015 – 2017) (Harawa et al. 2018). Ethics approval was granted by the College of Medicine Research and Ethics Committee (COMREC) and Melbourne Health Human Research Ethics Committee (HREC 2013.290). Written, informed consent was obtained from guardians of participants at all collection time points. The study included children aged 6 months – 12 years presenting with malaria, to Queen Elizabeth Central Hospital, Blantyre, Malawi. Malaria was diagnosed by light microscopy or a positive rapid diagnostic test and fever >37.5 °C. At enrollment, children with impaired consciousness were classified as having cerebral malaria based on a Blantyre coma score (BCS) of 0, 1 or 2, and children were classified as having uncomplicated malaria based on normal consciousness and a BCS of 5 (Molyneux et al. 1989). Children were excluded from the study if they tested positive to HIV, had recent history of non-malaria illness or appeared severely malnourished. Venous blood samples were collected at enrollment and follow up samples were taken 28 days later, during convalescence. Whole blood was transferred to sodium heparin tubes and plasma was separated from erythrocytes (centrifuged $500 \times g$, 5 min). Plasma was shipped to Melbourne, Australia in liquid nitrogen and stored at -80 °C. Plasma samples were used in this study to investigate antibody responses to PfEMP1 by multiplex Luminex assays, neutrophil phagocytosis assay and THP-1 phagocytosis assay (Part IV and VI). For the Luminex assays, children with severe and uncomplicated malaria samples were matched for location under guidance of local knowledge provided by Visopo Harawa. Aliquots of plasma were frozen at -20 °C.

7.1.2 Malaria infected Papua New Guinean children

Blood samples of Papua New Guinean children with malaria were collected as part of an observational study of severe pediatric illness conducted over 3 years (October 2006 – October 2009) (Manning et al. 2011). Ethics approval was granted by the PNG Institute of Medical Research Institutional Review board (IRB number 1103) and Medical Research Advisory Committee of the PNG Health Department (MRAC number 11.12). Written, informed consent was obtained from guardians of all participants. The study included children aged 6 months - 10 years presenting to Modilon Hospital, Madang, Papua New Guinea. Malaria was identified

by light microscopy and *Plasmodium* species was confirmed by PCR. For our purposes, we included children with a peripheral parasite density >0 , and with confirmed *P. falciparum* or mixed *P. falciparum*-*P. vivax* infection. Children were classified as having severe malaria based on presence of one or more of the WHO listed criteria (World Health Organization 2014a), Table 1. Children were classified as having uncomplicated malaria if they did not meet the severe malaria criteria. Venous blood samples were collected at enrollment and follow up samples were collected 8 weeks later during convalescence. Plasma was separated from whole blood by centrifugation and shipped to Melbourne, Australia in liquid nitrogen. Samples were stored at -80°C . Plasma samples were used in this study to investigate antibody responses to PfEMP1 by multiplex Luminex assays, neutrophil phagocytosis assay and THP-1 phagocytosis assay (Part V and VI). Aliquots were stored at -20°C .

7.1.3 Malaria positive clinical controls

Plasma samples from Malawian pregnant women that were collected as part of a previous clinical trial (Madanitsa et al. 2016) were pooled to make a positive control for immunity to severe malaria. 150 plasma samples were pre-screened for IgG antibodies to recombinant CIDR α 1.1 by Enzyme Linked Immunosorbent Assay and the 6 highest responders were selected for the pooled positive plasma. A second pooled control was made from 10 randomly selected samples of malaria infected Malawian children (from the same cohort as described previously) and was used as a representative for general antibody titers in the Malawi cohort. A third pooled control was made from 10 randomly selected samples of malaria infected Papua New Guinean children (from the same cohort as described previously) and was used as a representative for general antibody titers in the Papua New Guinean cohort.

7.1.4 Sera/plasma heat inactivation

For cell-based assays, sera/plasma was heat inactivated to diminish complement factors. 30 - 50 μL aliquots of human sera were heated at 50°C for 45 min in a PCR machine. For all experiments and culture, FBS and NCS were heat inactivated in a water bath at 50°C for 45 min.

7.2 Parasite culture

7.2.1 Parasite lines

Laboratory adapted *P. falciparum* parasite lines, 3D7 and IT4, were provided by Prof Anja Jensen, Department of Immunology and Microbiology, University of Copenhagen. The 3D7 parasites had been repeatedly selected for expression of *var04*, a group A PfEMP1 that binds to ICAM-1 and EPCR (referred to as 3D7VAR04). The IT4 line had been selected for expression of *var13*, a group B PfEMP1 that binds to ICAM-1 and CD36.

7.3 Maintenance of parasites in culture

Parasites were cultured *in vitro* according to the protocol published by Jensen *et al.* (Jensen 2002). Parasites were cultured in RPMI-HEPES (RPMI-1640 with 25 mM N-2-hydroxyethylpiperazine-N-2-ethane-sulfonic acid), supplemented with 5% heat inactivated human serum and 0.25% AlbuMAX™ lipid rich Bovine serum albumin. Type O+ red cells <3 weeks old were added to cultures at 3 - 5% of the total culture volume. Cultures were maintained between 0.1 – 4% parasitaemia (number of parasites/total number of red blood cells) and monitored by Giemsa's solution stained blood smears under a light microscope. Culture medium was replaced every 1 – 2 days and cultures were incubated at 37 °C, 1% O₂, 5% CO₂ in N₂.

7.3.1 Thawing parasites

Parasites were thawed at 37 °C for 1-2 min. Parasites were rehydrated by dropwise addition of decreasing concentrations of NaCl in PBS at room temperature. 12% NaCl was added at 0.1 times the blood volume and incubated for 5 min. 1.6% NaCl was added at 10 times the blood volume and centrifuged (350 × g, 5 min). 0.9% NaCl with 0.2% glucose was added at 10 times the blood volume and centrifuged. Parasites were resuspended in culture media and transferred to a 10 mL culture dish.

7.3.2 Freezing parasites

Cultured parasites were centrifuged (350 × g, 5 min) and the supernatant removed. Parasites were frozen in a final volume of glycerolyte that was 2 times the culture pellet volume. A third of the total volume of glycerolyte was added, dropwise, and incubated for 5 min. The remaining glycerolyte was added, dropwise, and 300 – 500 µL aliquots were frozen in cryotubes at -80 °C, in a gradual freezing container (Mr. Frosty™, containing 100% isopropanol). Aliquots were

transferred to liquid nitrogen after 24 hours for long term storage (>3 months).

7.3.3 Gelatin selection and synchronization of trophozoite stage parasites

To synchronize cultures and select for 'knobby' trophozoite stage parasites, parasite cultures were resuspended in gelatin (0.75% in RPMI-HEPES, 20 mL for 25 mL culture plate) and incubated upright in a centrifuge tube for 40 min, 37 °C. The top layer was collected (15 mL for 25 mL culture plate), diluted 1:1 in culture media and centrifuged (490 × g, 5 min). To return to culture, gelatin selected parasites were resuspended in culture media and fresh blood was added as required.

7.3.4 Mycoplasma detection

Cultures were routinely tested for mycoplasma contamination using a MycoAlert™ detection kit, as per the manufacturer's instructions. The detection assay converts ADP released from lysed mycoplasma into ATP, and ATP reacts with luciferin to emit luminescence. In brief, 1 mL of parasite culture media was centrifuged (200 × g, 5 min) and 100 µL of supernatant was incubated with MycoAlert Reagent for 5 min. Luminescence was measured (Reading 1) on the FLUOstar Omega luminometer (BMG Labtech). 100 µL MycoAlert substrate was added to the samples and incubated for 10 min. Luminescence was measured a second time (Reading 2). A ratio of Reading 2/Reading 1 > 1 was interpreted as mycoplasma contamination. Contaminated cultures were treated daily with Mycoplasma Removal Agent (0.5 µg/mL) for 7 days and retested for mycoplasma. A positive control (MycoAlert Assay Control) and negative control (MycoAlert Assay Buffer) was included in all assays.

7.3.5 Predicting the dominant PfEMP1 variant

Parasites were gelatin selected, washed 3 times in 0.1% BSA/PBS (centrifuge 490 × g, 5 min) and resuspended to 0.5% haematocrit (4 µL pellet in 8 µL 0.1% BSA/PBS). A 96 well culture plate was blocked with 0.1% BSA for 1 h, room temperature, and 25 µL of parasites were plated per well. Parasites were incubated with anti-human PfEMP1 antibody (anti-human VAR04 or anti-human VAR13, Table 5) at 0.16 mg/mL for 1 h, room temperature. Parasites were washed 3 times with 0.1% BSA/PBS (100 µL per well, centrifuged 490 × g, 2 min). Parasites were incubated with fluorescent secondary antibody (AF647, 4 µg/mL, 0.1% BSA/PBS) and dihydroethidium (DHE, 25 µg/mL, 0.1% BSA/PBS) for 30 min, room temperature. Parasites were washed 3 times and fixed in 2% PFA in PBS, for >2 h, 4 °C. At least 3,000 DHE positive events (parasite infected erythrocytes) were collected on a flow cytometer (Cytotflex S

or Cytoflex LX). A DHE positive gate was set where <1% of events were DHE positive for an antibody free control and a DHE free control. Gating for AF647 positive events was set using an antibody free control, and AF647 free control. The percentage of parasites expressing the PfEMP1 variant of interest was calculated as DHE positive, AF647 positive events out of DHE positive, AF647 positive and negative events.

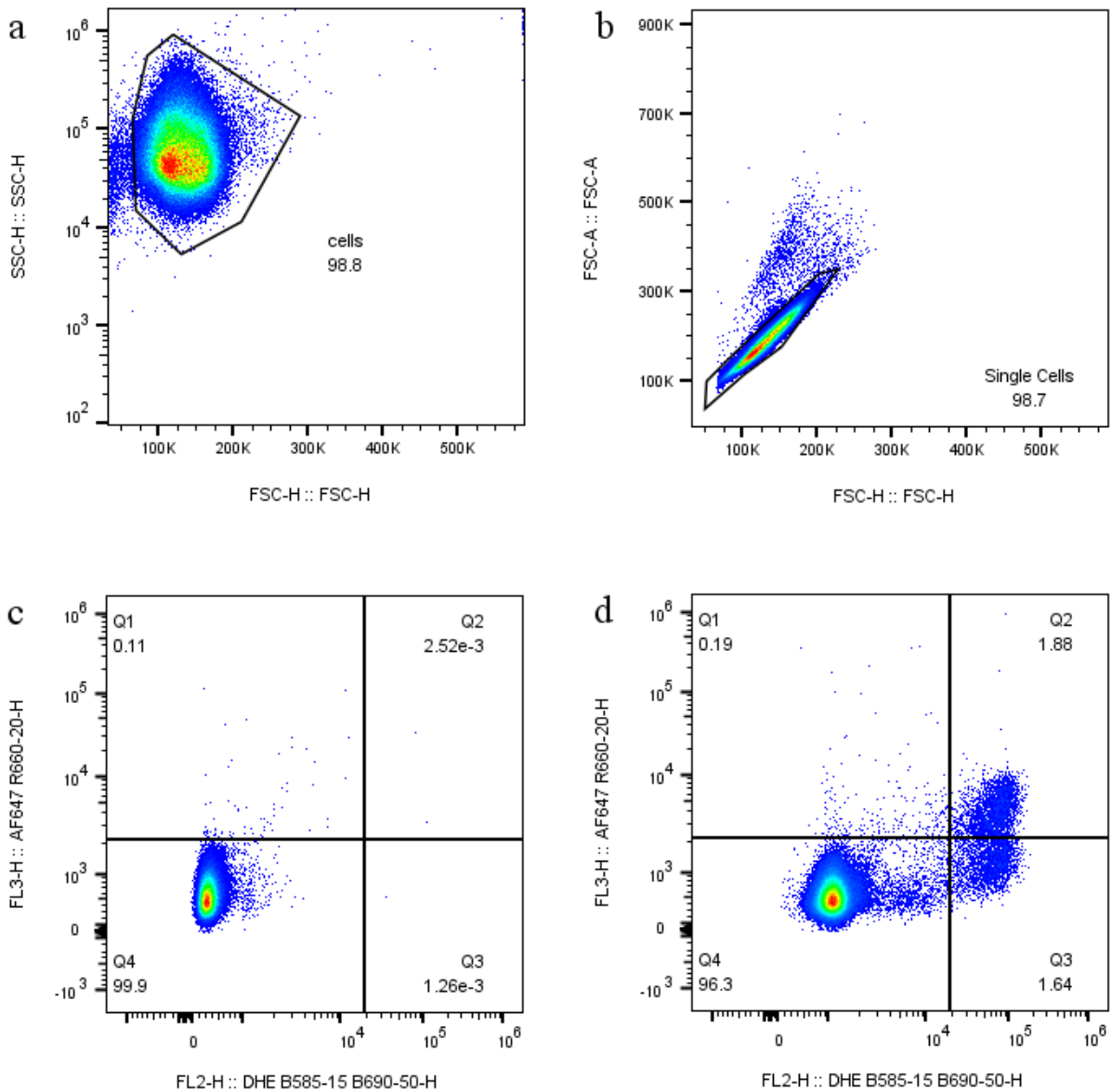


Figure 3: **Gating strategy to determine expression of *var04* on IE.** Isolated trophozoite stage IE were labelled with DHE, primary antibody targeting the DBLβ3 domain of *var04* and AF647 secondary antibody. (a) Single cells were gated on forward scatter and side scatter properties. (b) Duplicates were removed using forward scatter height and area. (c) IE labelled with AF647 (in the absence of primary antibody or DHE) were used to set the cut off for DHE and AF647 positive fluorescence. (d) The percentage of the parasite population expressing *var04* was determined by the frequency of cells in Q2 out of the total frequency of cells in Q2 and Q3, using the formula: $100 * Q2 / (Q2 + Q3)$.

7.3.6 Selection of parasites expressing specific PfEMP1 variants by Fluorescence-Activated Cell Sorting (FACS)

To enrich cultures for trophozoite and schizont stage parasites, cultures were gelatin selected and resuspended in 2 mL media. To purify trophozoite and schizont stage infected erythrocytes, cultures were passed through a MiniMACSTM separator column on a MiniMACSTM Separator magnet, dropwise. Purified parasites were eluted from the column and washed once with serum-free RPMI-HEPES (500 × g, 10 min). Parasites were incubated with α -human PfEMP1 antibody (α -human VAR04 or α -human VAR13, Table 5) at 0.16 μ g/mL for 1 h, room temperature. Parasites were washed 3 times (centrifuged 490 × g, 3 min) and incubated with secondary AF647 antibody at 4 μ g/mL, for 30 min, room temperature. Parasites were washed 3 times and sorted on the FACS ARIA III at 37 °C, 85 μ m, 45 psi, by Vanta Jamieson at the Melbourne Brain Center. Parasites labelled with AF647 were collected in RPMI-HEPES culture media and transferred directly to a culture dish.

7.3.7 Enrichment of parasites expressing specific PfEMP1 variants using antibody coated beads

To maintain parasite cultures that were selected by FACS for >50% expression of the desired PfEMP1 variant, parasites were re-selected after 2 - 3 weeks with antibody coated magnetic beads. Late trophozoite stage parasites were grown to <4% parasitaemia and were enriched by gelatin selection. Parasites were washed twice in RPMI-HEPES media and 200 μ L of pellet was resuspended in 0.5 mL media. 25 μ L of DynabeadsTM Protein A magnetic beads were transferred to 1.5 mL tubes and washed twice in RPMI-HEPES. To wash, beads were incubated on a magnet for 1 min and the supernatant was removed. To coat beads with antibody, 15 μ g monoclonal α -human PfEMP1 antibody (α -human VAR04 or α -human VAR13, Table 5) was passed through a 0.2 μ m sterile filter directly on to the beads and the filter was flushed with 1 mL RPMI-HEPES. Beads were incubated for 1 h, on a plate shaker. To remove unbound antibody, beads were washed 3 times on a magnet and resuspended in 0.5 mL RPMI-HEPES. Gelatin enriched parasites were incubated with antibody-coated beads for 1 h, room temperature, on a plate shaker. To remove unbound parasites, beads were washed 3 times in RPMI-HEPES. Beads were suspended in 10 mL parasite culture media and 100 μ L blood and incubated overnight at 37 °C, 1% O₂, 5% CO₂. Culture media was removed and cells were gently resuspended in 2 mL parasite culture media and transferred to a round bottom FACS tube. To remove beads, the tube was incubated on a magnet for 2 min, and the media, containing ring stage parasites, was transferred to a new culture dish.

7.4 Multiplex antibody assays to measure antigen dependent antibody responses

7.4.1 Proteins of interest

For multiplex immunoassays performed with the Malawian cohort, we included 29 PfEMP1 domain fragments derived from var sequences that were upregulated for severe malaria or uncomplicated malaria in Papuan adults (Table 8, (Tonkin-Hill et al. 2018)). The proteins were expressed in a wheat germ cell-free protein synthesis system as part of a previous study (Rambhatla et al. 2022). Additionally, we included 11 DBL β proteins derived from various laboratory parasite isolates, including four that bind to ICAM+EPCR (and are associated with cerebral malaria), three that bind to ICAM-1+CD36 and three that do not bind to ICAM-1 (Table 9, (Olsen et al. 2018)). The DBL β proteins were expressed in an *E. coli* system and were supplied by Prof Anja Jensens group, University of Copenhagen. We also included 5 merozoite stage antigens that were provided by Dr Jack Richards, Dr Ricardo Ataide, Dr Herbert Opi and Prof James Beeson, Burnet Institute. For the multiplex immunoassays performed with the PNG cohort, we included proteins listed in Table 8 and 9 as well as 10 CIDR α domains from various parasite isolates, including six that bind to EPCR and four that do not (Table 10). The CIDR α domains were expressed in an *E. coli* expression system and were supplied by Prof Thomas Lavstsen and Prof Louise Turner, University of Copenhagen.

7.4.2 Coupling recombinant proteins to magnetic carboxylated beads

Each protein of interest was coupled to Bio-Plex magnetic carboxylated beads labelled with a unique fluorescent signal, referred to as a bead 'region'. To resuspend, beads were sonicated for 1 min and vortexed for 30 s. Beads (100 μ L per protein) were transferred to 1.5 mL tubes, incubated on a DynaMagTM-2 magnet for 2 min, and storage buffer was removed. Beads were activated with Sulfo-NHS (10 μ L, 50 mg/mL) and EDC (10 , 50 mg/mL) diluted in 100 mM monobasic sodium phosphate, pH 6.2, for 30 min, room temperature. To wash, beads were resuspended in 150 μ L of 0.1 M MES, pH 5.0, vortexed for 30 s and the supernatant was removed on a magnet. Beads were washed twice and resuspended in 150 μ L of 0.1 M MES. Recombinant proteins were added at 1.86×10^{-10} mol (per 100 μ L beads) and made up to 250 with 0.1 M MES. Beads were incubated on a rotator for 3 h, room temperature. Protein coupled beads were washed once on the magnet in 500 μ L PBS and incubated with 250 μ L of blocking buffer (PBS, 0.1% BSA, 0.02% TWEEN-20, 0.05% Azide, pH 7.4) for 30 min. Beads were washed once in storage buffer (PBS, 0.05% sodium azide) and stored in 125 μ L of storage buffer, in the dark, 4 C. For storage >2 months, beads were frozen at -80 °C. To scale

the coupling procedure for >100 μL of beads, the protein concentration was altered and the final volume of storage buffer was adjusted to an appropriate volume. The final concentration of beads was estimated by counting on a haemocytometer. A protein-free control was included for every coupling procedure and was included in all antibody assays.

7.4.3 Opsonizing protein coated beads with antibodies from sera or plasma

Beads were sonicated for 30 s and vortexed for 30 s immediately before use. A working microsphere solution was prepared by combining each protein coupled bead region at a final concentration of 20 beads/ μL /region, in 1% BSA/PBS. 50 μL of combined microsphere solution was added to each well of a black 96-well clear bottom plate (1000 beads per well). Patient serum was diluted 1:50 in PBS and 50 μL was added per well to give a final serum dilution of 1:100. Plates were incubated overnight at 4 C, on a plate shaker. Plates were washed twice on the Elx405TM Magnetic Bead Washer in 1% BSA/PBS. All subsequent incubations (see below) were performed at room temperature, on a plate shaker.

7.4.4 Measuring levels of antibodies bound to protein coated beads

To measure total IgG, IgG1, IgG2, IgG3 or IgG4 antibody bound to protein coated beads, phycoerythrin (PE) conjugated fluorescent detector antibody (Table 6) was diluted to 1.3 $\mu\text{g}/\text{mL}$ in 1% BSA/PBS. 50 μL of detector antibody was added per well and incubated for 2 h. To measure total IgE or IgM bound to protein coated beads, beads were incubated with a primary biotinylated detector antibody (α -human IgM or α -human IgE) diluted to 1.3 $\mu\text{g}/\text{mL}$ in 1% BSA/PBS, for 2 h. Beads were washed twice in PBS/1%-BSA, and incubated with streptavidin-PE conjugated secondary detector, at 1.3 $\mu\text{g}/\text{mL}$ in 1% BSA/PBS for 1 h. Following incubation with primary and secondary fluorescent detectors, plates were washed twice in 1% BSA/PBS. Median fluorescence intensities from a minimum of 40 beads per region were acquired on a Luminex instrument (Bio-Plex®, MAGPIXTM or Flexmap3D).

7.4.5 Measuring Fc receptor engagement with antibodies bound to protein coated beads

To measure antigen dependent antibody binding to Fc receptors, biotinylated, recombinant human Fc receptor monomers (Table 7) were pre-conjugated to streptavidin-PE at a ratio of 4:1 mol to form fluorescent tetramers. Tetramers were diluted to 1.3 $\mu\text{g}/\text{mL}$ in 1% BSA/PBS and 50 μL per well was incubated with the serum-bead complexes, for 2 h. For the Malawi cohort (Part IV) Fc γ RIIa-His131 and Fc γ RIIIa-Val158 were available as biotinylated, soluble

homodimers (Wines et al. 2016), instead of monomers. Dimers were diluted to 1 µg/mL in 1% BSA/PBS and 50 µL per well was incubated with the serum-bead complexes for 2 h. Beads were washed twice in PBS/1%-BSA and incubated with streptavidin-PE conjugated secondary detector (1.3 µg/mL) for 1 h. Following incubation with fluorescent detectors, plates were washed twice in 1% BSA/PBS. Median fluorescence intensities from a minimum of 40 beads per region were acquired on a Luminex instrument.

7.4.6 Measuring C1q engagement with antibodies bound to protein coated beads

To measure antigen dependent antibody binding to C1q, recombinant C1q was biotinylated then conjugated to Streptavidin-PE. Recombinant C1q buffer was removed using a Amicon Ultra-0.5 centrifugal filter unit with a 30 kDa molecular weight cut off, as per the manufacturer's instructions. In brief, the protein was added to the filter unit with up to 400 µL of PBS, centrifuged ($14,000 \times g$, 20 min), flipped into a new tube and centrifuged ($1000 \times g$, 1 min). The final concentration of protein was estimated using a NanoDrop™ Spectrophotometer. C1q was biotinylated using the EZ-Link™ Sulfo-NHS-LC-Biotin, according to the manufacturer's instructions. In brief, 10 mM biotin reagent was prepared in PBS and incubated at a 1:5 ratio of C1q to biotin for 30 min, room temperature. Unreacted biotin was removed using an Amicon Ultra-0.5 centrifugal filter unit with a 100 kDa molecular weight cut off, as per the manufacturer's instructions. The biotinylated C1q was stored overnight at 4 °C. Biotinylated recombinant C1q was conjugated to streptavidin-PE at a ratio of 4:1 mol to form fluorescent tetramers. Tetramers were diluted to 15.92 µg/mL in 1% BSA/PBS and 50 µL per well was incubated with the serum-bead complexes, for 2 h. Plates were washed twice in 1% BSA/PBS. Median fluorescence intensities from a minimum of 40 beads per region were acquired on a Luminex instrument.

7.5 Statistical analysis for multiplex immunoassays

All statistical analysis and graphical representations of data were performed with R studio. The maximum value of 4 serum-free negative control replicates was subtracted from the raw MFI, for each bead region across all individuals, to account for non-specific binding to the antigen. A 'blank' bead region was included for each detector to account for non-specific binding to the microsphere itself and the MFI was subtracted for each individual across all bead regions. To account for plate to plate variation, within each antigen, plates were normalized by fitting a linear or non-linear regression equation to 36 duplicated samples in each plate (24 random samples, 10 malaria negative Melbourne controls, 2 pooled positive serum from immune Malawian adults, 10 standard curve dilution samples from pooled serum of Malawian

children). Negative fluorescence intensities (below background fluorescence) were converted to a value of 1.

Seropositive was defined as antibody levels greater than 2 standard deviations above the mean for 10 malaria negative Melbourne controls. To assess antibody responses to grouped variables, the geometric mean was calculated for each individual across the selected group of variables.

7.5.1 Univariate analysis

For characterizing antibody responses in Malawian children, Part IV, to compare the mean difference between the cerebral malaria and uncomplicated malaria antibody levels for each antigen, data was $\log(x+1)$ transformed (to adjust for right skewedness), and the means were compared by a two sample Student's t-Test. The results were visualized as a volcano plot (ggplot2). A p value $> -\log(0.05)$ and a $\log_2(\text{fold change}) > 1$ (i.e. > 2 fold change) were considered significant differences.

For characterizing antibody responses to PNG children, Part V, data was $\log(x+1)$ transformed (to adjust for right skewedness), mean centered and scaled to a standard deviation of one. Linear regression was performed between clinical presentation (severe or uncomplicated) and age (as co-variables) with individual antibody features (as the outcome). The results were visualized as a volcano plot (ggplot2). Data was multiplied by the standard deviation for visualization. A p value $> -\log(0.05)$ and a $\log_2(\text{fold change}) > 1$ (i.e. > 2 fold change) were considered significant differences.

7.5.2 Multivariate analysis

For multivariate analysis, each variable was $\log(x+1)$ transformed (to adjust for right skewedness), mean centered and scaled to one standard deviation. Missing values were imputed as the mean value for each variable.

7.5.3 Elastic Net regularized logistic regression

Elastic Net regularized logistic regression (ENET) was used to identify key variables that best describe the variation in cerebral and uncomplicated malaria, and was performed with the assistance of Dr Saber Dini, Melbourne School for Population and Global Health. ENET combines the benefits of LASSO regularization, that eliminates highly correlated variables, and ridge regularization, that retains potentially important correlated variables by shrinking the

coefficients towards each other. ENET penalization was chosen due to the correlated nature of the data and due to the high number of variables compared to observations (Zou et al. 2005).

Elastic net requires the regularization term, lambda, and the tuning parameter, alpha, to determine the closeness to LASSO ($\alpha=1$) or ridge ($\alpha=0$). The alpha term was set to 0.5, the midpoint between ridge and LASSO regularization. Altering alpha to 0.9 (more LASSO-like) did not change the variables that were selected. The glmnet R package was used to generate a range of lambda values. The glmnet and caret package was used to perform 10-fold cross validated models with each value of lambda, to determine the lambda with the best predictive performance, based on AUROC. This was repeated 500 times (with newly selected folds of data) and the coefficients of the selected variable for the best model was recorded on each repeat. Variables that appeared >70% of the time were selected. To assess performance of the selected variables to predict cerebral or uncomplicated malaria outcomes, we performed partial least squares discriminant analysis (PLSDA) using the selected variables. The AUROC was computed from 10-fold cross validation, repeated for 500 randomly generated folds of data. To further demonstrate the relevance of the selected variables to predict outcome, the PLSDA performance was reassessed with the outcomes randomly shuffled.

7.6 Antibody dependent phagocytosis

7.6.1 THP-1 cell culture maintenance and passaging

THP-1 monocytic cells were cultured in RPMI 1640 media supplemented with 10% FBS, 1% penicillin/streptomycin/L-glutamine, 25 mM HEPES. Cultures were stored in Nunc™ EasYFlasks™ cell culture flasks in a humidified incubator at 37 °C, 5% CO₂. To passage, cells were gently resuspended and centrifuged at 350 × g, 5 min, room temperature. Cells were counted in 0.4% trypan blue on a haemocytometer. Cells were maintained between 1 – 2 × 10⁵ cells/mL and passaged every 2 – 3 days.

Thawing THP-1 cells Frozen vials were thawed at 37 °C in a water bath for 30 s and placed on ice. Approx. 1 mL of thawing media (RPMI 1640 supplemented with 50% FCS) was added, dropwise, and cells were transferred to a 15 ml tube. Approx. 9 mL of thawing media was added, dropwise, on ice. Cells were rested on ice, 10 min. Cells were centrifuged (350 × g, 5 min, room temperature) and gently resuspended in 5 mL culture media.

7.6.2 Freezing THP-1 cells

To freeze for storage, THP-1 cells were grown to approx. 1×10^6 cells/mL and centrifuged at $350 \times g$, 5 min and cooled on ice. Cells were resuspended at approx. 1×10^7 cells/mL in cold freezing solution (10% dimethyl sulfoxide, 90% FCS) and immediately aliquoted into cold cryovials (400 - 500 μ L/vial). Cells were frozen in a gradual freezing container (Mr. FrostyTM, containing 100% isopropanol) at -80 °C and transferred to liquid nitrogen after 2 days for long term storage (>3 months).

7.6.3 Isolation of primary human neutrophils from whole blood

Primary human neutrophils were isolated from whole blood with the EasySepTM Direct Human Neutrophil Isolation Kit according to the manufacturer's instructions. Blood was collected into EDTA and 25 mL was transferred to a 50 mL tube. Isolation Cocktail was added to blood to a final concentration of 50 μ L/mL. RapidSpheres were vortexed for 30 s and added to blood to a final concentration of 50 μ L/mL. Blood was mixed gently and incubated for 5 min. Blood was topped up to 50 mL with isolation buffer (PBS, 1 mM EDTA) and placed on the Easy 50 magnet for 10 min. Supernatant (containing neutrophils) was transferred to a new tube. RapidSpheres were added to the supernatant at the same volume as previously and incubated for 5 min. Sample was placed on a magnet for 5 min and supernatant transferred to a new tube. Sample was incubated on a magnet for 10 min and the supernatant, containing isolated neutrophils, was collected. Neutrophils were centrifuged at $350 \times g$ for 10 min and resuspended in RPMI 1640 supplemented with 10% FBS, 1% penicillin/streptomycin/L-glutamine. Cells were kept at 37 °C and used within 1 hour of isolation.

7.6.4 Antibody dependent phagocytosis of parasite infected erythrocytes by primary human neutrophils and THP-1 cells

To assess antibody dependent phagocytosis of trophozoite stage infected erythrocytes (IEs), we followed the method by Ataide et al (**Ataide2010**) for THP-1 cells and made minor adaptations for primary human neutrophils. Parasites were gelatin selected within 1 week prior to phagocytosis assays, to select for 'knobby' IEs. To purify trophozoite stage IEs, cultures were centrifuged ($350 \times g$, 5 min) and loaded onto Percoll gradients made up of 80%-60%-40% Percoll in RPMI-HEPES (layered 3 mL-2 mL-2 mL, respectively). Percoll gradients were centrifuged ($1620 \times g$, 15 min) and the 60% layer containing trophozoite stage IE was collected. Purified IE were washed twice by 4 times dilution with RPMI-HEPES and centrifuge ($350 \times g$, 3 min). To fluorescently label, purified parasites were incubated with DHE at 25 μ g/mL

of sample for 30 min, room temperature, and washed four times. To opsonize IEs with antibodies, heat inactivated plasma or sera was plated in 96 well culture plates (blocked with 0.1% BSA/PBS, 1 h, room temperature). 5×10^5 IEs were added per well and incubated with sera for 1 h, room temperature. Pooled positive serum from Malawian pregnant women and rabbit α -human red blood cell antibody were used as positive controls for antibody dependent phagocytosis. Sera-free (PBS) and malaria negative Melbourne donor sera were included as controls for non-antibody dependent phagocytosis. Opsonized IEs were washed 3 times (RPMI-HEPES, centrifuged 350 g, 5 min) and resuspended in 50 μ L of THP-1 or neutrophil culture media (RPMI-1640, 10% FBS, 1% penicillin/streptomycin/L-glutamine). Parasites were split into duplicates (25 μ L/well, 2.5×10^4 parasites per well) in a new 96 well plate (blocked with 0.1% BSA/PBS).

For phagocytosis, THP-1 cells were spun down from culture (centrifuged $300 \times g$, 5 min) and added to opsonized IEs at 2.4×10^4 THP-1 cells per well in THP-1 culture media. THP-1 cells were incubated with IEs for 40 min, 37 °C, 5% CO₂. Primary human neutrophils were isolated from whole blood and added to opsonized IEs at 2.5×10^4 neutrophils per well in neutrophil media. Neutrophils were incubated with IEs for 1 h, 37 °C, 5% CO₂. To stop phagocytosis, cells were centrifuged at 4 °C ($350 \times g$, 5 min). Non-phagocytosed erythrocytes were lysed in 75 μ L of FACS lysing solution for 10 min, room temperature and washed 3 times in cold 1% NCS/PBS (100 μ L/well, centrifuged $350 \times g$, 3 min). To check the purity of isolated neutrophils, neutrophils exposed to IEs and neutrophils unexposed to IEs were labelled with fluorescent α -human CD66b antibody (Table 5). To fix, cells were resuspended in 2% PFA, PBS, for >2 h, 4 °C.

7.6.5 Flow cytometry

Cells that had undergone opsonic phagocytosis of infected erythrocytes were identified by DHE fluorescence using flow cytometry (B585-15 laser). A minimum of 10,000 total events were acquired per sample on the CytoFLEX S and CytoFLEX LX. THP-1 cells were gated as the major population on the FSC-H vs. SSC-H graph and neutrophils were gated as the α -CD66b positive population (V450-45 channel). Auto-fluorescent cells were excluded by selecting the negative population in the V525-40 channel. DHE positive cells were gated to $<2\%$ of events on the serum free negative control (Figures 4 and 5).

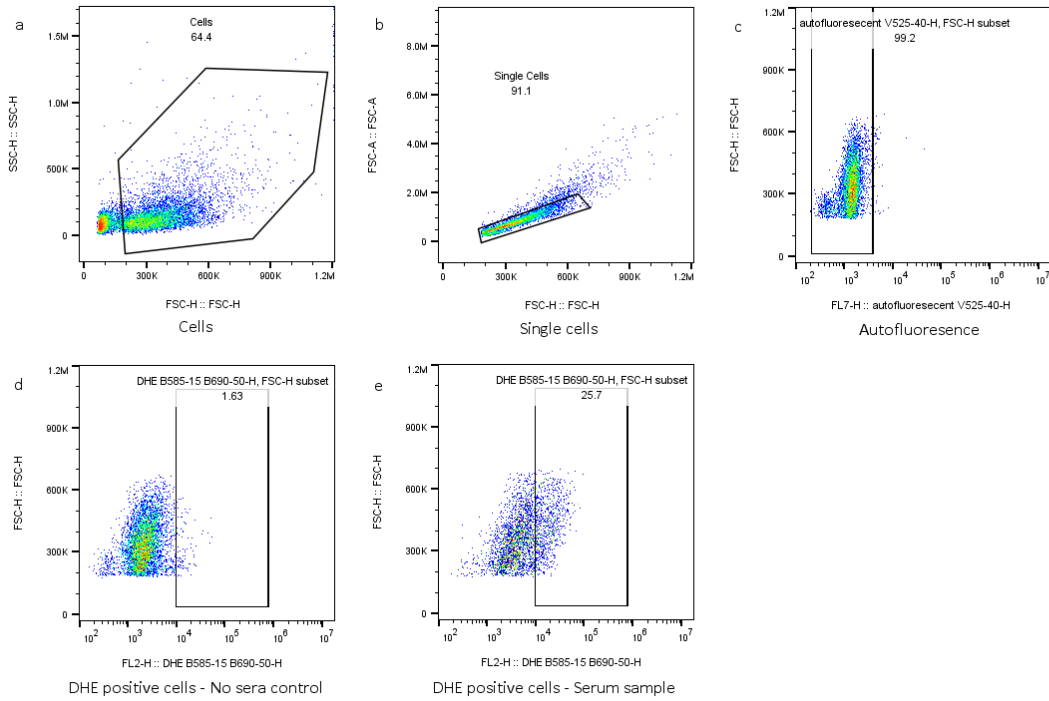


Figure 4: **Gating strategy to quantify ADPC.** a) THP-1 cells were gated based on the forward scatter vs. side scatter. b) Single cells were selected on the forward scatter area vs. height. c) Autofluorescent cells were negated on the V525-40 channel. d) DHE positive cells were gated using the sera-free control. e) Percentage of DHE positive events was recorded.

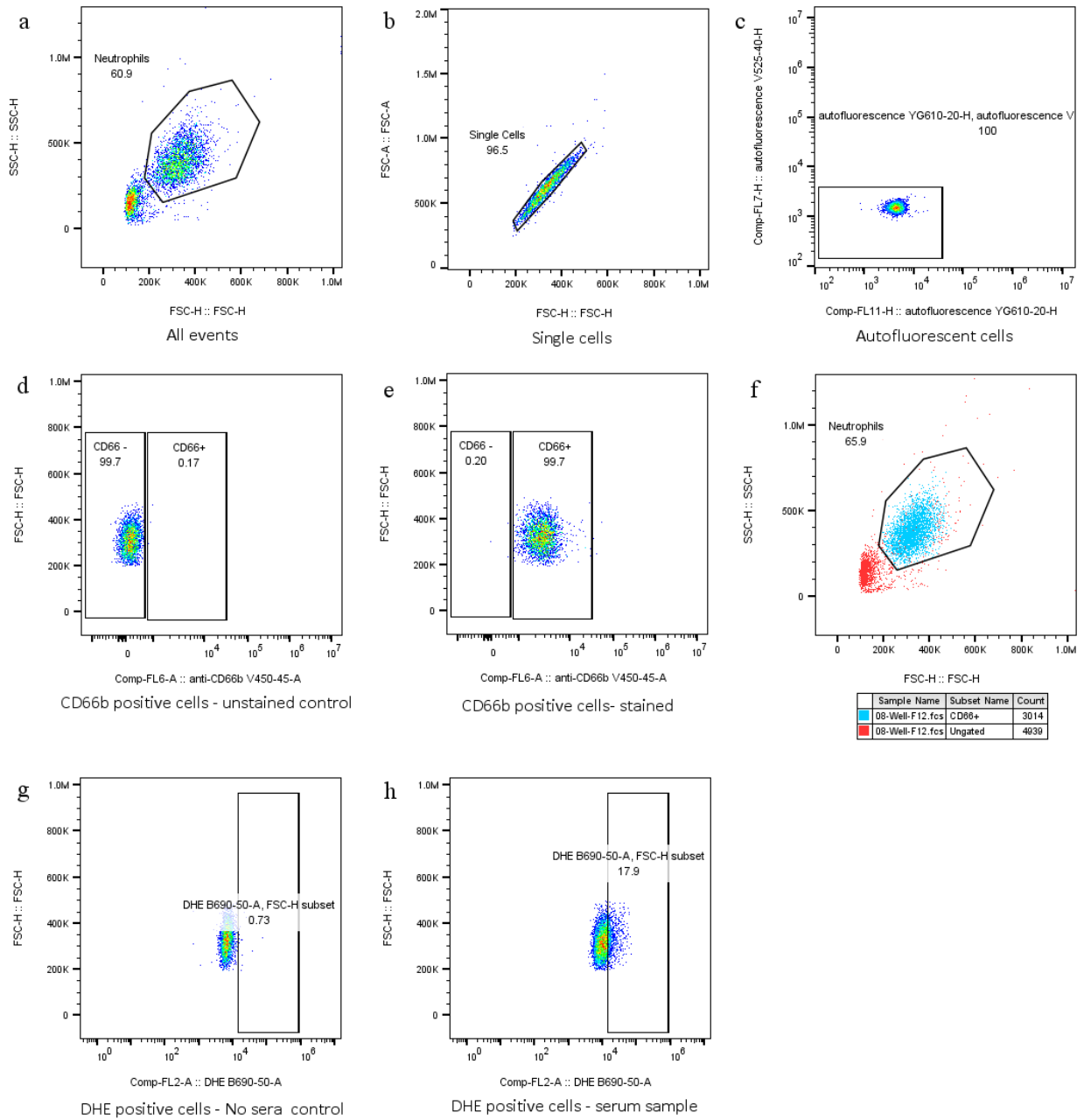


Figure 5: **Gating strategy to quantify ADNP.** a) Neutrophils were gated based on the forward scatter vs. side scatter. b) Single cells were selected on the forward scatter area vs. height. c) Autofluorescent cells were negated on the V525-40 and YG610-20 channels. d) CD66b positive events were selected using the anti-CD66b free control and e) CD66b stained control. f) Neutrophil cell gating was confirmed by CD66b positive events, shown in blue. g) DHE positive cells were gated using the sera-free control. e) Percentage of DHE positive events was recorded.

7.6.6 Statistical analysis

Technical replicates with ≥ 5 points difference and $\geq 20\%$ variance were removed. 'Percentage phagocytosis' was defined as the frequency of THP-1 or neutrophil cell events that were positive for DHE, relative to the rabbit α -human red blood cell positive control: We subtracted the frequency of the no sera control from each 96 well plate to reduce background noise, then divided by the frequency of the rabbit α -human positive control (for each plate) to normalize between plates and multiplied by 100%. Mann-Whitney U test was used to compare between clinical outcomes and time points.

Part IV

Characterizing the PfEMP1 targets and Fc features of antibodies associated with cerebral and uncomplicated malaria in Malawian children

The following chapter is under submission to Cell Reports Medicine and is therefore presented in manuscript format, with associated bibliography, followed by supplementary files.

1 A systems serology approach to capture the complexity of the immune response to cerebral malaria in
2 Malawian children and identify key correlates of protection.

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25

26 Abstract: *Plasmodium falciparum* erythrocyte membrane protein 1 (PfEMP1), a variant antigen on the
27 surface of *P. falciparum* infected erythrocytes, mediates parasite sequestration in the deep vasculature,
28 an important component of the pathogenesis of cerebral malaria. PfEMP1 is a major target of protective
29 antibodies, but the features of the protective antibody response are poorly defined. In a case-control
30 study of Malawian children with cerebral or uncomplicated malaria, we used a systems serology approach
31 to undertake a detailed characterization of the antibody response to 39 recombinant PfEMP1 Duffy
32 Binding Like (DBL) domains or Cysteine Rich Interdomain Regions (CIDRs) that are associated with severe
33 or uncomplicated malaria. Machine learning with a logistic regression model was used to identify the
34 combination of antibody targets and features that best distinguish between children with cerebral and
35 uncomplicated malaria. The selected combination of seven features could predict clinical presentation
36 with 87% accuracy. Antibody targets associated with decreased odds of cerebral malaria included well
37 characterized DBL β 3 domains and a less well characterized CIDR γ 12 domain. Antigen-specific IgG

38 antibodies were not associated with protection however their Fc features were, including the ability of
39 antibodies to bind C1q and Fc γ RIIIb. The results of this study support the hypothesis that specific PfEMP1
40 variants are involved in the pathogenesis of cerebral malaria and that specific antibody Fc interactions
41 may facilitate clearance of these parasite variants in children with uncomplicated malaria.

42

43 Introduction:

44 There are 247 million cases of malaria annually that result in over half a million deaths, predominantly of
45 children under 5 years of age [1]. Cerebral malaria is a severe and lethal manifestation of *Plasmodium*
46 *falciparum* malaria that is characterized by impaired consciousness and predominantly occurs in children
47 or adults who lack protective immunity. The pathogenesis of cerebral malaria is complex and only partially
48 understood, however a central component is the adhesion of parasite-infected erythrocytes (IE) to blood
49 vessel endothelial cells in the brain, resulting in sequestration of IE in the cerebral microvasculature [2].
50 Mostly, malaria illness is uncomplicated, with fever and non-specific symptoms including headache,
51 myalgia and chills, and children do not progress to cerebral malaria.

52 Adhesion of IE to endothelial cells is mediated by *Plasmodium falciparum* Erythrocyte Membrane Protein
53 1 (PfEMP1) variant surface antigens expressed on the IE surface. PfEMP1 are encoded by *var* genes, of
54 which there are ~60 variants per parasite genome, with one variant expressed at a time. The majority of
55 *var* genes can be classified as Group A, B or C based on their upstream promotor sequence and encode
56 for PfEMP1s with a combination of two to ten Duffy Binding Like (DBL) domains and Cysteine Rich
57 Interdomain Regions (CIDR), some of which are frequently found in tandem arrangements, known as
58 Domain Cassettes (DCs) [3]. Representative PfEMP1 structures are illustrated in Supplementary Figure 1.
59 Despite enormous diversity in *var* genes, previous studies have identified PfEMP1 domains that are more
60 frequently expressed in different clinical manifestations of malaria, and some of these PfEMP1 domains
61 are known to bind to particular endothelial cell receptors. Generally, Group A *var* genes are associated
62 with severe malaria, whereas Group B and C *var* genes are associated with uncomplicated malaria.
63 PfEMP1 with CIDR α 1 domains that bind to endothelial protein C receptor (EPCR), including those within
64 DC8, have been associated with severe and cerebral malaria [4, 5]. CIDR α 1 domains are sometimes found
65 adjacent to a DBL β domain that binds to intercellular adhesion molecule 1 (ICAM-1), including in DC4 and
66 DC13, enabling dual receptor binding of IEs to brain endothelial cells [6]. Dual binding PfEMP1 can be
67 predicted by the presence of a short sequence of amino acids in group A and some group B/A DBL β
68 domains, referred to as DBL β _{motif}, and have been associated with cerebral malaria [7, 8].

69 PfEMP1 is the main target of antibodies on the surface of the IE and antibodies targeting PfEMP1 or
70 recombinant PfEMP1 domains have been associated with protection from severe malaria and
71 uncomplicated malaria in multiple studies [9–11]. However, there does not appear to be a single antigen
72 variant that is associated with cerebral malaria in all cases, and given the high diversity of PfEMP1, it is
73 likely that individuals would have to acquire antibodies to a combination of PfEMP1 target antigens to be
74 protected. Previous studies have shown that antibodies targeting PfEMP1 have diverse functions,
75 including inhibition of adhesion to endothelial cells, promoting phagocytosis by monocytes [12],
76 neutrophil killing of IEs [13], and NK cell cytotoxicity [14]. However, due to the high diversity of PfEMP1,
77 most studies have focused on a small number of domains or parasite lines and have employed a small
78 number of functional immunoassays. Systems serology involves characterizing multiple antibody Fc
79 features and Fab targets, followed by machine learning to identify the most relevant antibody responses
80 [15]. We have recently used this approach to identify antibody responses that best correlate with
81 protection from placental malaria [16].

82 This study aimed to characterize the antibody responses at the time of hospital presentation in Malawian
83 children with cerebral and uncomplicated malaria and to identify a combination of antibody features that
84 could differentiate between the groups. We measured 11 Fc features or antibody types targeting 39
85 PfEMP1 domains that have been associated with severe or uncomplicated malaria [17, 18] and used
86 machine learning to select a combination of antibody targets and features that could best discriminate
87 between cerebral and uncomplicated malaria. These responses provide important new insights into the
88 development of protective antibody immunity against cerebral malaria.

89

90 Methods:

91 Clinical samples

92 Study participants were Malawian children presenting with cerebral or uncomplicated malaria to Queen
93 Elizabeth Central Hospital, Blantyre, Malawi, over three malaria seasons (2015 – 2017) [19]. Written,
94 informed consent was obtained from guardians of participants. Malaria was diagnosed by light
95 microscopy or rapid diagnostic test accompanied by fever >37.5 °C. Participants were classified as having
96 cerebral malaria based on a Blantyre coma score (BCS) of ≤ 2 , or as having uncomplicated malaria based
97 on normal consciousness and a BCS of 5 [20]. Participants were aged 6 months – 12 years and were
98 excluded if they tested positive to HIV, had recent history of non-malaria illness or appeared severely
99 malnourished. Venous blood samples were collected at enrollment. Plasma was separated and stored at
100 -80°C . To minimize differences in prior exposure to malaria, we matched individuals with cerebral and
101 uncomplicated malaria based on village of residency and rural or urban environment. Five samples were
102 further removed due to inadequate volumes to complete all assays. Plasma from 10 malaria naïve
103 Melbourne adults were included in each assay as individual negative controls.

104 Recombinant proteins

105 The study included recombinant proteins previously associated with severe, cerebral or uncomplicated
106 malaria (Supplementary Table 1). This included 11 PfEMP1 DBL β domains associated with ICAM-1 or non-
107 ICAM-1 binding [18, 21, 22] and 28 PfEMP1 domains derived from *var* sequences that were upregulated
108 in severe or uncomplicated malaria in Indonesian adults (coded as SM or UM, respectively) [10]. We
109 included four merozoite antigens, Merozoite Surface Protein-2 (MSP2), MSP3, Erythrocyte Binding
110 Antigen-175 (EBA175-RIII-B) and Apical Membrane Antigen-1 (AMA1), and a sporozoite antigen,
111 Circumsporozoite Protein (CSP), as markers of prior exposure. Tetanus toxoid antigen was used as a
112 positive control and an antigen-free bead region was used as a negative control.

113 Multiplex immunoassay

114 A multiplex immunoassay was used to assess antibody reactivity and antigen-specific antibody features
115 to the selected recombinant PfEMP1 antigens, as previously described in detail [23]. Recombinant
116 proteins were coupled to Bio-Plex magnetic carboxylated beads (Bio-Rad, Hercules CA, USA) as per the
117 manufacturer's instructions. For each assay, protein coupled beads were combined to a final
118 concentration of 20 beads/ μL per bead region in 1% Bovine Serum Albumin in Phosphate Buffered Saline
119 (BSA/PBS). Combined beads were incubated in a 96 well plate (Corning, Corning NY, USA) with participant

120 serum, diluted 1:50 in PBS, overnight at 4°C on a plate shaker. Beads were washed with 1% BSA/PBS and
121 incubated with detector antibody. We compared IgG responses to antigens probed in single format to
122 multiplex array format, to confirm there was minimal antibody competition or interaction between
123 antigens (Supplementary file 1, Figure S2). To detect IgG, IgG1, IgG2, IgG3, and IgG4, beads were incubated
124 with phycoerythrin (PE) conjugated fluorescent anti-human IgG, IgG1, IgG2, IgG3 or IgG4 antibody (1.3
125 µg/mL in 1% BSA/PBS, Southern Biotech, Birmingham AL, USA). To detect IgM, beads were incubated with
126 a primary biotinylated anti-human IgM antibody (1.3 µg/mL in 1% BSA/PBS, MabTech, Sweden), for 2 h,
127 followed by streptavidin-PE conjugated secondary detector (1.3 µg/mL in 1% BSA/PBS, Thermo Fisher
128 Scientific, Waltham MA, USA), for 1 h. To detect Fc receptor binding to antibodies, biotinylated
129 recombinant human FcγRIIb and FcγRIIIb monomers (ACROBiosystems, Newark DE, USA) were pre-
130 conjugated to streptavidin-PE at a ratio of 4:1 mol to form fluorescent tetramers. FcγRIIIa-His¹³¹ and
131 FcγRIIIa-Val¹⁵⁸ were available as biotinylated, soluble homodimers [24]. Tetramers or dimers were diluted
132 to 1.3 µg/mL in 1% BSA/PBS and incubated with beads, for 2 h. To detect C1q binding to antibody,
133 recombinant C1q (MP Biochemicals, Irvine CA, USA) was biotinylated using the EZ-Link™ Sulfo-NHS-LC-
134 Biotin (Thermo Fisher Scientific, Waltham MA, USA) according to the manufacturer's instructions, using a
135 1:5 mol ratio of C1q to biotin. Biotinylated recombinant C1q was conjugated to streptavidin-PE at a 4:1
136 mol ratio to form fluorescent tetramers, which were diluted to 15.92 µg/mL in 1% BSA/PBS and incubated
137 with beads, for 2 h. Median fluorescent intensities (MFI) from a minimum of 40 beads per region were
138 acquired on a Luminex instrument (Bio-Plex® MAGPIX™ or Flexmap3D).

139 Statistical analysis

140 Prior to analysis, we subtracted the serum free control and antigen free control region MFI. We
141 normalized between plates by fitting a linear or non-linear equation to 36 duplicated samples from each
142 plate. Negative fluorescence intensities were converted to a value of 1. Four variables were eliminated as
143 all values were below background (FcγRIIIb.SM14_DBLγ3, C1q.SM5_DBLβ3, FcγRIIIb.SM26_CIDRγ12,
144 IgG2.SM5_DBLβ3) and two antigens were not included for all Fc detectors due to low protein availability
145 (SM27_DBLδ7 and UM1_DBLα0.13, only probed for IgG, IgG1, IgG2, IgG3 and IgG4).

146 To compare the mean difference in antibody levels for each antigen between patients with cerebral
147 malaria and uncomplicated malaria, data were $\log(x+1)$ transformed (to adjust for right skewedness) and
148 the means were compared by a Welch's t-Test. A p-value < 0.05 and a $\log_2(\text{fold change}) > 1$ (i.e. > 2 fold
149 change in geometric means of antibody levels) were considered significant differences. To evaluate the
150 influence of age, we divided the population around the median age of 49 months for some analyses. To

151 group responses to multiple proteins the geometric mean of responses was calculated for each individual.
152 To select a combination of features that best distinguish between cerebral and uncomplicated malaria,
153 we performed multivariable logistic regression coupled with machine learning, as previously described
154 with minor changes [16]. Merozoite antigens were excluded from the multivariable analysis. Data were
155 $\log(x+1)$ transformed, mean centered and scaled to one standard deviation. Missing values were imputed
156 as the median of five imputed data sets using Multivariate imputations by chained equations [25] with
157 predictive mean matching. Elastic-Net Regularised Logistic regression (ENLR) [26] was used to identify
158 features that best distinguish between cerebral and uncomplicated malaria. We performed 5,000 repeats
159 of ENLR with the α tuning parameter set to 0.5. For each repeat, the data were randomly split into 10
160 folds, with nine folds used to fit the model across a range of lambda values and one fold to assess the area
161 under the receiver operator curve (AUROC, 10 fold cross validation). The features that appeared in the
162 model with the greatest AUROC and the odds ratio (OR) for each feature were recorded. Features were
163 ranked based on frequency they appeared in the model with the greatest AUROC across 50,000 models
164 (5,000 repeats with 10-fold cross validation). Altering the tuning parameter to 0.25 or 1 did not change
165 the features that were most frequently selected. To determine a minimum set of variables that could be
166 linearly combined by Partial Least Squares Regression (PLSR) to explain the variation in cerebral and
167 uncomplicated malaria, we added one feature at a time to a PLSR model, in order of frequency that they
168 appeared in the ENLR. We performed 500 repeats of 10-fold cross validation to estimate the AUROC after
169 the addition of each feature and selected the top features whose addition resulted in a significant increase
170 in the AUROC. We assessed the performance of the linear combination of selected features to classify
171 samples as cerebral and uncomplicated malaria by fitting the selected features to a PLSR model and
172 computing the AUROC (as above estimation was from 500 repeats of 10-fold cross validation). The PLSR
173 performance was also assessed with randomly shuffled outcome variables and random feature selection.
174

175 Results:

176 **1. Malawian children cohort**

177 The final cohort included 51 children with cerebral malaria (median age of 51 months) and 46 with
178 uncomplicated malaria (median age of 48 months). Children with cerebral malaria had a Blantyre Coma
179 Score of 0-2 and 42 (82%) were retinopathy positive [27] (Table 1).

180

181 **Table 1. Summary of study population categorized by disease severity.**

Characteristic	Uncomplicated Malaria (n=46)	Cerebral Malaria (n=51)
Age, median [IQR], months	48 [29 - 88]	51 [28 – 83]
Age group, n % ^a		
0 – 48 months	24 (52%)	25 (49%)
49+ months	22 (48%)	26 (51%)
Sex, n (%)		
Female	19 (41%)	16 (31%)
Male	27 (59%)	35 (69%)
Location, n (%)		
Urban	25 (54%)	25 (49%)
Rural	21 (46%)	26 (51%)
BCS, n (%)		
0	0 (0%)	5 (10%)
1	0 (0%)	15 (29%)
2	0 (0%)	31 (61%)
5	46 (100%)	0 (0%)
Retinopathy positive, n (%)	0	42 (82%)
Severe anaemia, n (%)	0	11 (23%)
Temperature, median [IQR], degrees Celsius	38.6 [37.8- 39.3]	39 [38.5-39.4]
Parasitaemia, per μ L		4,599 [396 – 58,880]
Haemoglobin, median [IQR], g/dL	10.4 [9.0-11.7]	8.2 [7.2-9.2]

182

183 2. Univariate analysis of differences in antibody responses in cerebral and uncomplicated malaria

184 We measured antibody responses to 39 recombinant PfEMP1 domains that have been associated with
185 severe malaria, cerebral malaria or uncomplicated malaria. We also included 5 recombinant merozoite
186 and sporozoite antigens, an antigen free negative control and tetanus toxoid as a positive control. We
187 measured 11 antigen-specific antibody features: IgG, IgM, IgG subclasses IgG1, IgG2, IgG3 and IgG4, and
188 antibody Fc binding to recombinant FcγRIIa, FcγRIIb, FcγRIIIa, FcγRIIIb and C1q. The final analysis included
189 a total of 451 features, 413 of which were related to PfEMP1 antigens and 38 of which were related to
190 merozoite or sporozoite antigens.

191 We first used a volcano plot to display univariate analyses comparing antibody responses for each PfEMP1
192 protein and antibody feature between children with cerebral and uncomplicated malaria. IgG to one
193 PfEMP1 domain, SM9_DBLδ1, was significantly elevated by greater than two-fold in cerebral malaria
194 compared to uncomplicated malaria (Figure 1). IgG, IgG1 and FcγRIIIb responses to the merozoite antigen,
195 MSP2, were significantly higher in cerebral than uncomplicated malaria and there were no other
196 differences for other non-PfEMP1 antigens. Antibody features that were significantly elevated in
197 uncomplicated malaria were IgG2 targeting SM4_DBLβ, IgG4 targeting SM5_DBLβ, IgG4 targeting
198 Dd2VAR32_DBLβ1, FcγRIIa binding antibodies targeting SM5_DBLβ and SM3_DBLβ12, FcγRIIIb binding
199 antibodies targeting PFD1235w_DBLβ, SM26_CIDRγ12, and SM5_DBLβ and C1q fixing antibodies targeting
200 IT4VAR13_DBLβ and PF11_0521_DBLβ (Figure 1).

201 Given age is an important determinant of PfEMP1 antibody [28], we divided the children into those older
202 or younger than the median age of 49 months. Amongst children under 49 months old, IgG targeting
203 SM9_DBLδ1 remained significantly greater in cerebral malaria, and C1q response to IT4VAR13_DBLβ3
204 remained significantly greater in uncomplicated malaria. C1q response to SM3_DBLβ12 was also
205 significantly greater in uncomplicated malaria (Figure 2A). In children over 49 months, IgG1 to
206 SM1_CIDRα2.4 was significantly greater in cerebral malaria compared to uncomplicated malaria, although
207 it was not significantly elevated in all children combined. Features that were significantly higher in
208 uncomplicated malaria were IgG4 to Dd2VAR32_DBLb1 and SM5_DBLb3, FcγRIIIb binding antibodies
209 targeting PFD1235w_DBLβ, and FcγRIIa binding antibodies targeting UM8_DBLg9 (Figure 2B). The last of
210 these was not significantly increased in the whole group in Figure 1.

211 Due to the importance of the expression of DBLβ domains in cerebral malaria, we grouped each
212 individual's responses to DBLβ domains based on binding phenotype: Group A DBLβ that bind ICAM-1

213 with the DBL β _{motif} ICAM-1-binding motif, Group A DBL β that do not bind ICAM-1 and Group B DBL β that
214 bind ICAM-1, but do not contain DBL_{motif} (Supplementary file 1, Supplementary file 2). Antibodies that
215 engage Fc γ RIIIb and target Group A and Group B ICAM1-binding DBL β domains were higher in children
216 with uncomplicated malaria compared to cerebral malaria (Welch's t-test p-value 0.032 and 0.042,
217 respectively) whereas antibodies targeting group A non-ICAM1 binding DBL β domains did not differ
218 significantly between the two clinical groups. We also grouped responses to domains that were
219 upregulated in severe or uncomplicated malaria in a previous study in Indonesian adults [10], labelled as
220 "SM" or "UM" (Supplementary file 2). Here, antibodies that engage Fc γ RIIIb and target SM proteins were
221 higher in cerebral malaria compared to uncomplicated malaria (p-value 0.004), and IgM antibodies that
222 target UM proteins were higher in cerebral malaria compared to uncomplicated malaria (p-value 0.0092).
223 There were no significant differences between cerebral malaria and uncomplicated malaria in grouped
224 responses to Group A or non-Group A domains, or to domains association with DC8 (Supplementary file
225 2). All individual comparisons between cerebral and uncomplicated malaria for each protein and feature
226 are included in Supplementary File 3.

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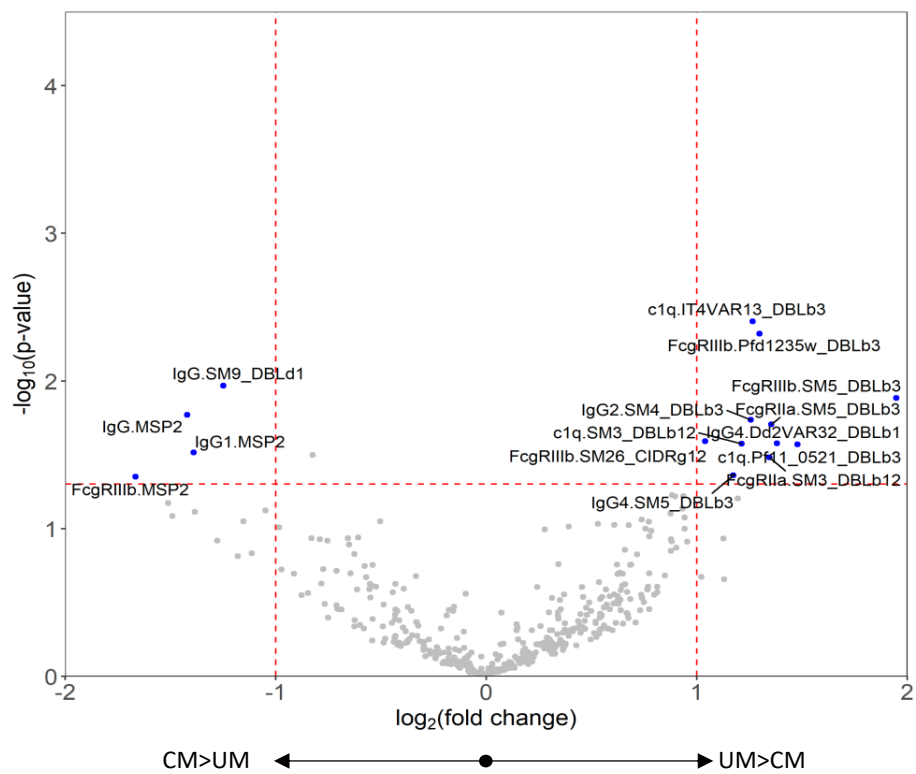


Figure 1. Individual antibody features to recombinant proteins compared between cerebral and uncomplicated malaria for all individuals. X-axis represents the magnitude of difference (\log_2 transformed) between the geometric mean antibody levels of the cerebral and uncomplicated malaria groups. Vertical lines at $\log_2(2)$ and $\log_2(0.50)$ indicate a two-fold elevation in uncomplicated malaria or cerebral malaria, respectively. Y-axis represents $-\log_{10}$ transformed p-value from Welch's t-test comparison of cerebral and uncomplicated malaria. Horizontal line indicates $\log_{10}(0.05)$ threshold of statistical significance.

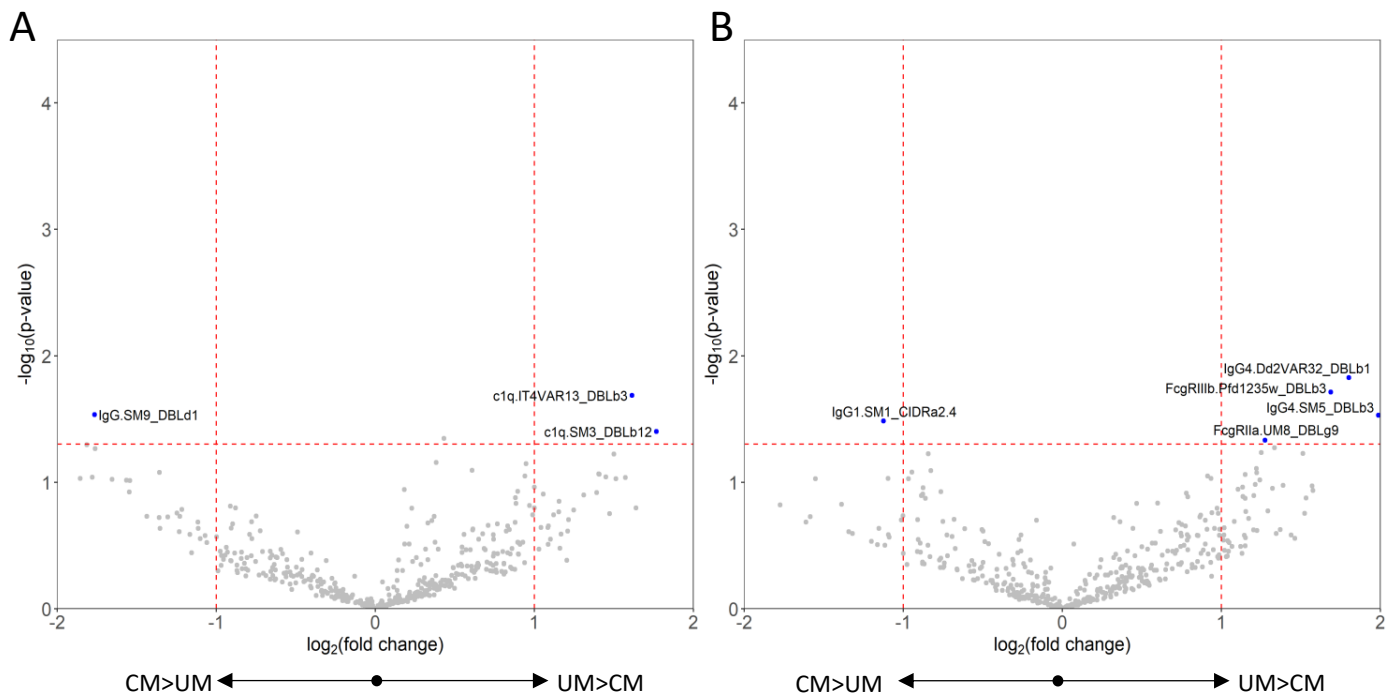


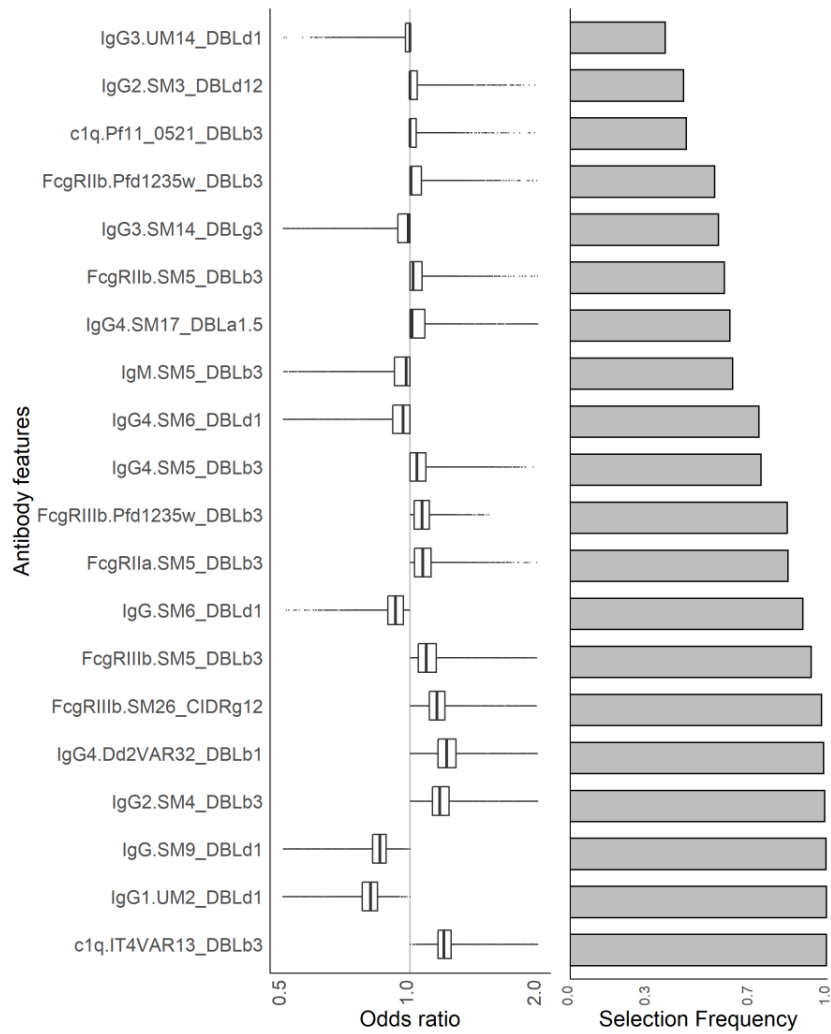
Figure 2. Individual antibody features to recombinant proteins compared between cerebral and uncomplicated malaria for **A)** children under 49 months, **B)** children 49 months and older. X-axis represents the magnitude of difference (\log_2 transformed) between the geometric mean antibody levels of the cerebral and uncomplicated malaria groups. Vertical lines at $\log_2(2)$ and $\log_2(0.50)$ indicate a two-fold elevation in uncomplicated malaria or cerebral malaria, respectively. Y-axis represents $-\log_{10}$ transformed p-value from Welch's t-test comparison of cerebral and uncomplicated malaria. Horizontal line indicates $\log_{10}(0.05)$ threshold of statistical significance.

232 **3. Multivariate analysis to select the combination of features that best discriminate between**
233 **cerebral malaria and uncomplicated malaria.**

234 To identify the antibody features that best differentiate between cerebral and uncomplicated malaria we
235 performed repeated elastic net-regularised logistic regression (ENLR) [26] with 10-fold cross validation
236 and recorded the frequency and odds ratio (OR) of features selected in each model iteration, as described
237 in Gunn *et al.* and Aitken, Ortega, Damelang *et al.* [16, 29]. Area under the ROC (AUROC) was used as the
238 metric of discrimination power. The 20 most frequently selected features included both features
239 associated with an increased odds of cerebral malaria and of uncomplicated malaria. Six features were
240 selected in 100% of model iterations (Figure 3). To select a minimum combination of features that best
241 discriminate between cerebral and uncomplicated malaria we added features one at a time to a PLS
242 regression model, in order of the frequency of selection based on the effect size of the odds ratio from
243 the ENLR (Figure 3) and assessed the AUROC (Figure 4A). After the seventh variable was included in the
244 model, the AUROC did not increase by adding further variables. The top seven most frequently selected
245 features were C1q fixing antibodies targeting IT4VAR13_DBL β 3, IgG1 targeting UM2_DBL δ 1, IgG targeting
246 SM9_DBL δ 1, IgG2 targeting SM4_DBL β 3, IgG4 targeting Dd2VAR32 DBL β 1, and antibodies targeting
247 SM26_CIDR γ 12 or SM5_DBL β 3 that engage Fc γ RIIIb (Figure 4A). The univariate analyses for these features
248 are shown in Figure 5.

249 To assess the predictive power of our seven selected features, we compared their ability to correctly
250 classify children to that of seven randomly selected features. Based on the AUROC, the seven selected
251 features could predict clinical presentation with 87% accuracy whereas seven randomly selected features
252 could predict clinical presentation with 50% accuracy, equivalent to chance alone (Figure 4B). The seven
253 selected features did not generally correlate with each other or with the 20 features that frequently
254 appeared in the ENLR model iterations (Figure 6). There were moderate correlations between DBL δ 1
255 domains (IgG.UM2_DBL δ 1, IgG_SM9_DBL δ 1, IgG.SM6_DBL δ 1; Spearman correlation coefficient $R = 0.39$ -
256 0.60), strong correlations between Fc γ R binding to antibodies targeting group A DBL β domains
257 (Fc γ RIIa.SM5_DBL β 3, Fc γ RIIIb.PFD1235w_DBL β 3, Fc γ RIIb.SM5_DBL β 3, Fc γ RIIIb.PFD1235w_DBL β 3, $R =$
258 0.63 - 0.72), and a moderate correlation between IgG4 responses to group A DBL β domains
259 (IgG4.Dd2VAR32_DBL β 1 with IgG4.SM5_DBL β 3, $R = 0.57$).

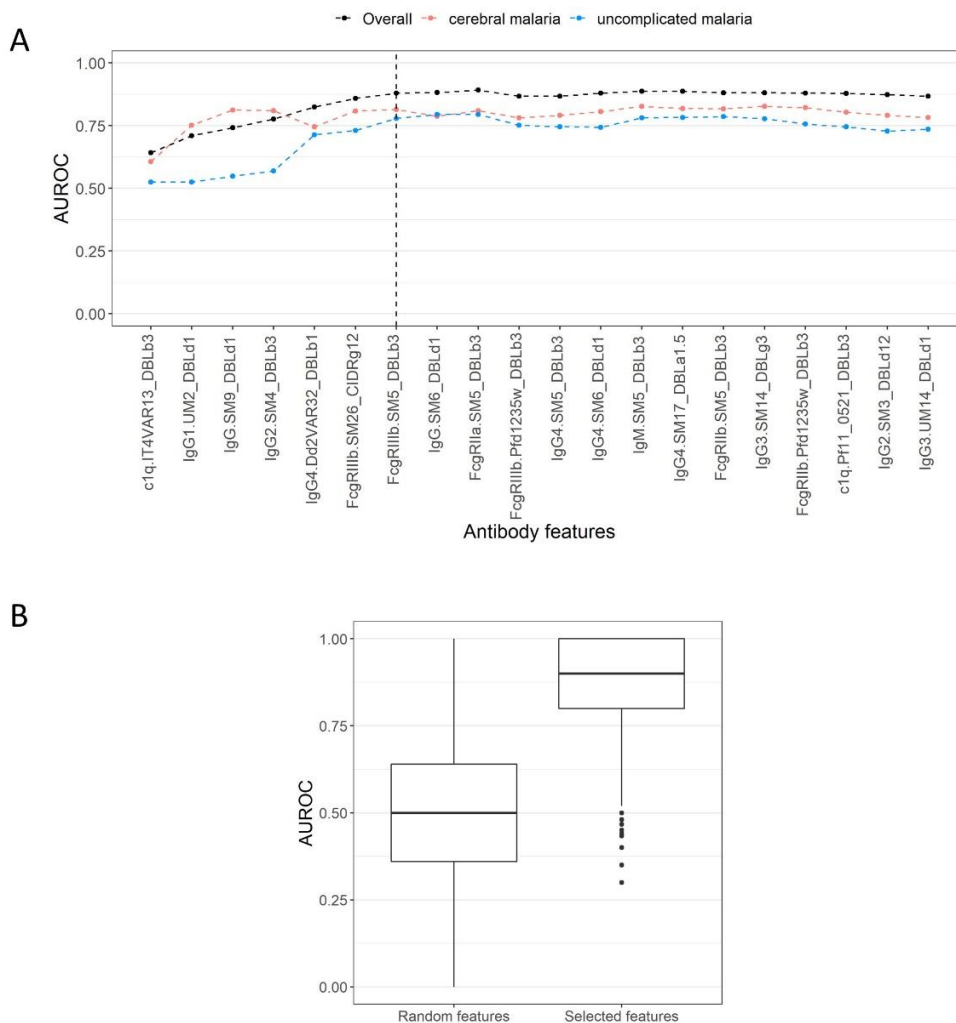
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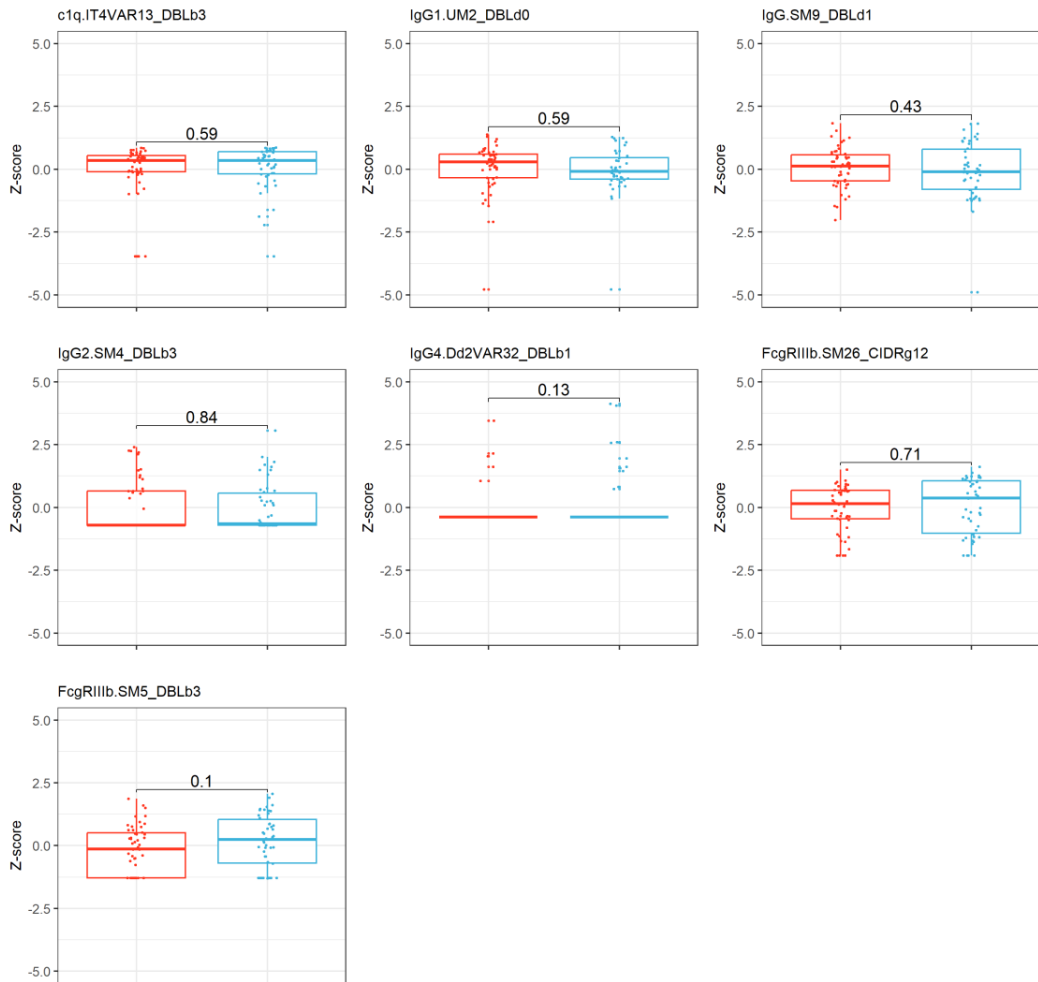
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Figure 3. Feature selection by Elastic Net-regularised Logistic Regression (ENLR). Odds ratio of antibody features from 5,000 repeats of 10-fold cross validated ENET regression models, in order of selection frequency (top 20 most selected variables are shown). Features with median odds ratio greater than 1 represents responses associated with increased odds of uncomplicated malaria and features with median odds ratio less than 1 are associated with increased odds of cerebral malaria. Box plots show median and interquartile range (IQR) and whiskers show points within $Q1-1.5 \cdot IQR$ and $Q3+1.5 \cdot IQR$



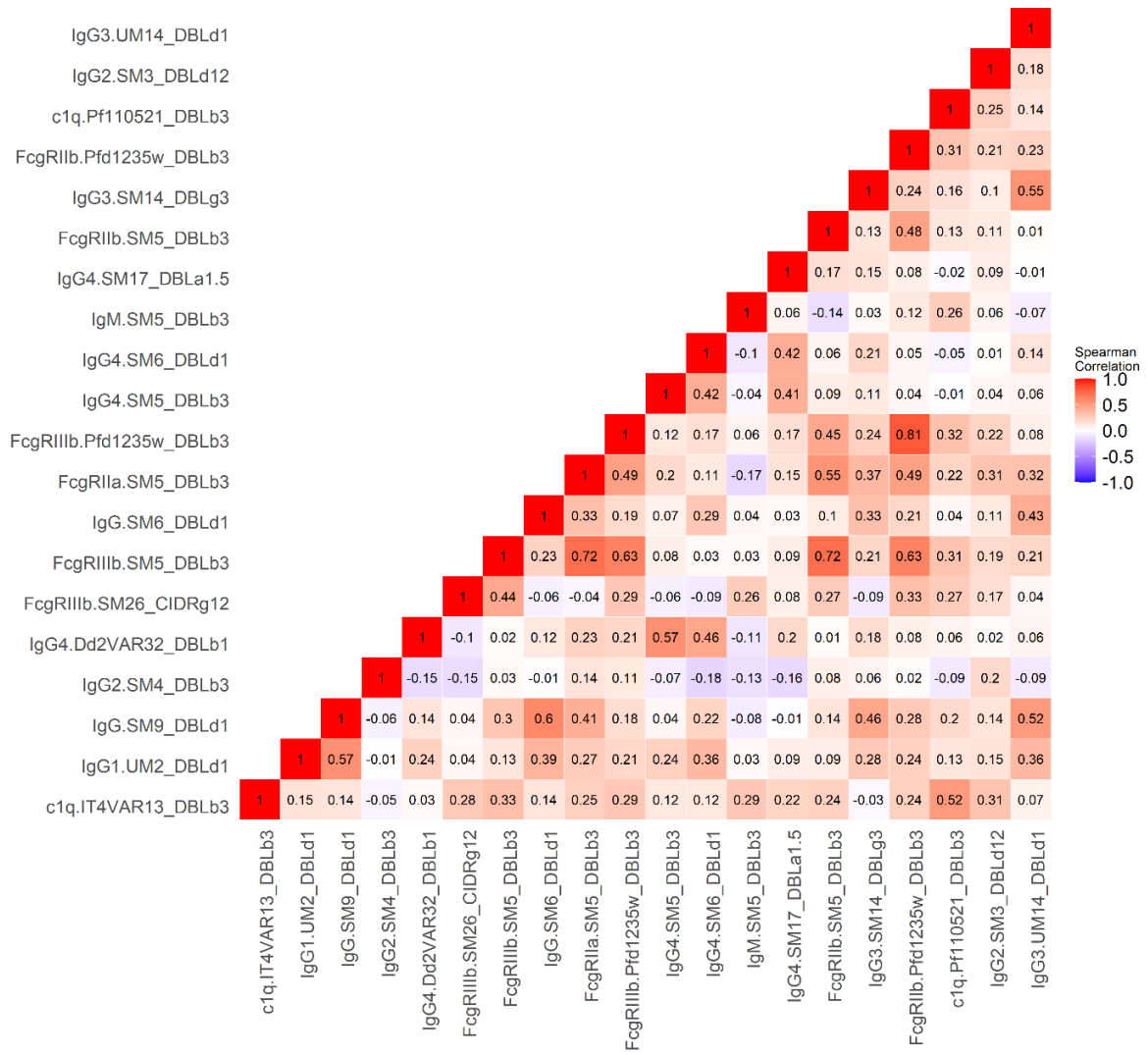
264 **Figure 4. Partial Least Squares (PLS) Regression to select a minimum combination of features that best distinguishes between cerebral and uncomplicated malaria. A)** Performance of PLS model after addition of features in order of ENLR selection frequency (left to right), as measured by AUROC from 5,000 repeats of 10-fold cross validated PLS regression. Black line shows AUROC for all children, red line shows the accuracy of classifying children with cerebral malaria only and blue line the accuracy of classifying children with uncomplicated malaria only. Vertical dashed line represents point at which the addition of one or two more features does not significantly increase the AUROC which occurs at seven features (referred to as the ‘selected features’). **B)** Performance of PLS regression models using only the seven selected features from ENLR, compared to randomly selected combinations of seven features. AUROCs correspond to 5,000 repeats of 10-fold cross validated PLS regression models. AUROC = Area Under the Receiver Operating characteristic Curve. Box plots show median and interquartile range (IQR) and whiskers show points within $Q1-1.5*IQR$ and $Q3+1.5*IQR$



265

DECODE ▢ cerebral malaria ▢ uncomplicated malaria

Figure 5. Distribution of seven selected features in children with cerebral malaria (red) and uncomplicated malaria (blue). MFI readouts were $\log(x+1)$ transformed, mean centered and scaled to 1 standard deviation (z-score). Box plots show median and interquartile range (IQR) and whiskers show $IQR+1.5 \cdot IQR$. Horizontal bars represent Welch's t-test comparison with p-value shown.



266

Figure 6. Spearman correlation of features that appeared in >70% of ENLR model iterations, including the seven selected features, using non-transformed MFI values.

267 Discussion:

268 PfEMP1 plays a key role in the pathogenesis of cerebral malaria, however previous studies have been
269 unable to identify a single PfEMP1 antigen to which IgG antibodies are consistently associated with
270 protection. In this study, we performed a detailed characterization of the targets and Fc features of
271 antibodies to PfEMP1 antigens in Malawian children with cerebral malaria and uncomplicated malaria.
272 We used a previously published statistical approach that combines logistic regression and machine
273 learning [16, 29] as an unbiased method to select a combination of features that could best differentiate
274 antibody responses of children with cerebral and uncomplicated malaria. We found few differences in IgG
275 but identified a combination of seven antigen-specific antibody Fc features that could differentiate
276 between the groups with 87% accuracy, whereas randomly selected antibody features could not predict
277 clinical presentation. This supports the idea that specific PfEMP1 variants are involved in the pathogenesis
278 of cerebral malaria and that specific features of the Fc domains of antibodies to these variants may
279 facilitate their clearance and prevent the development of cerebral malaria.

280 Four of the seven selected antibody features were targeting DBL β domains and were associated with
281 decreased odds of cerebral malaria. Upregulated expression of group A DBL β_{motif} that contain the ICAM-
282 1-binding motif, predictive of dual ICAM-1 and EPCR receptor binding IEs, has been clearly linked to
283 cerebral malaria [5–8], but the role of antibodies targeting these domains in protection from cerebral
284 malaria is less clear. Antibodies targeting Group A ICAM-1 binding DBL β domains can block cytoadhesion
285 to human brain endothelial cells and have been associated with protection from cerebral malaria in some
286 studies [18, 31] but not in others [32, 33]. An advantage of this study is that we were able to probe multiple
287 examples of DBL β domains, as well as multiple antibody Fc features to identify correlates of protection.
288 The selected combination of features included a Group A ICAM-1 binding domain with the DBL β_{motif} , as
289 well as two Group A non-ICAM-1 binding domains that lack the motif and a Group B domain that binds to
290 ICAM-1 but lacks the motif. These responses are likely influenced by antibody cross reactivity between
291 DBL β domains [18] and may reflect current infection with Group B and Group A non-ICAM-1 binding
292 variants in children with uncomplicated malaria, as described in Olsen *et al.* [34].

293 Other PfEMP1 targets amongst the selected combination of features included two DBL δ 1 domains and a
294 CIDR γ 12 domain. DBL δ 1 is one of the most diverse domains [35] and there is limited data on its functions,
295 which may be equally diverse [36, 37]. In contrast to our study, antibodies to Group B and C DBL δ 1 have
296 previously been associated with protection from severe malaria (for UM2_DBL δ 1) (13) and reduced
297 prospective risk of hyperparasitaemia (25). In our study, antibody responses to the DBL δ 1 domains (UM2

298 and SM9) were highly correlated and therefore it is difficult to discern whether the antibody response in
299 children with cerebral malaria is driven by proteins more commonly expressed in severe malaria
300 (SM9_DBL δ 1) or uncomplicated malaria (UM2_DBL δ 1). Similarly, little is known about the function of
301 SM26_CIDR γ 12, other than that CIDR γ are part of the head structure of a rosetting parasite line [38].
302 Antibodies to SM26_CIDR γ 12 were elevated in uncomplicated malaria compared to severe malaria in
303 Indonesian adults [10] and may be a novel target of protective antibodies to cerebral malaria.

304 The selected combination of seven antibody features that could best distinguish between cerebral and
305 uncomplicated malaria included antigen specific antibody engagement with C1q and Fc γ RIIIb responses
306 and IgG2 and IgG4 responses. Recent work from our group has shown that complement deposition leads
307 to antibody mediated lysis of VAR2CSA IE at high concentrations of antibodies (unpublished). However,
308 another recent study found that complement component 1s (C1s) cleaves PfEMP1 at sites found in
309 interdomain regions, including in IT4VAR13 [39]. Additionally, C1q deposition relies on formation of
310 antibody hexamers and the distribution of PfEMP1 and antibodies on the bead based multiplex platform
311 are unlikely to accurately represent the surface of the IE [40]. Antibodies to malaria sporozoites that fix
312 complement can inhibit their motility and invasion [41], and complement fixation can enhance antibody
313 mediated phagocytosis [42]. Therefore, the relevance of C1q deposition at the DBL β 3 domain to
314 downstream effects on the IE requires further study. Fc γ RIIIb is highly expressed on neutrophils and can
315 work in concert with Fc γ RIIIa to induce antibody dependent neutrophil phagocytosis (ADNP) [43] and
316 release of reactive oxygen species [44]. ADNP of VAR2CSA expressing IE was identified as a key correlate
317 of protection against placental malaria using a systems serology approach [16] and antibodies enhance
318 neutrophil clearance of ICAM-1 binding IE [13]. Future studies should determine whether neutrophil
319 phagocytosis of IE expressing PfEMP1 with CIDR γ 12 or DBL β 3 domains identified in this study is associated
320 with protection from cerebral malaria. The detection of IgG2 and IgG4 specific antibodies as correlates of
321 protection was unexpected. Antibody responses to recombinant Group A and Group B ICAM-1 binders
322 are dominated by the cytophilic subclasses, IgG1 and IgG3 [45], and most children we studied had low or
323 undetectable IgG2 and IgG4. IgG2 and IgG4 could play roles in blocking adhesion of IEs. Alternatively, their
324 selection may reflect greater class switch recombination associated with a more mature antibody
325 response [30] as indicated by their selection in older rather than younger children.

326 Systems serology is based on the idea that 'neutralizing' antibody titers do not capture the complexity of
327 the immune response. In the context of malaria, systems serology has been applied to characterize
328 functional antibody responses to Rh5 vaccination [48], RTS,S/AS01 vaccination [49], and placental malaria

329 [16]. Like those studies, we observed that total IgG may not be an accurate predictor of protection from
330 malaria. This finding has been mirrored in a recent study of immunity to IE in pregnant women, in which
331 functional antibodies that promoted phagocytosis by monocytes or neutrophils were better at
332 differentiating between women who were protected from placental malaria or who were susceptible,
333 compared to total IgG levels towards recombinant VAR2CSA proteins or the VAR2CSA-expressing
334 placental binding parasite [16]. Both the present study and the placental malaria study indicate that a
335 broad range of antibody responses contribute to protection from malaria, findings that have implications
336 for the development of new tools to prevent severe malaria syndromes such as cerebral malaria. Similarly,
337 IgG titer against DBL β domains did not differ in Beninese children with severe or uncomplicated malaria,
338 but opsonic phagocytosis by THP-1 cells induced by a DBL β 3 (Group B, IT4VAR13) was elevated in
339 uncomplicated malaria [45]. Our study supports these findings to suggest that rather than the quantity of
340 antibodies targeting PfEMP1, the Fc features and downstream functional activity of antibodies may be
341 more useful correlates of protection.

342

343 Strengths of the study include well-characterised children with cerebral or uncomplicated malaria who
344 were matched for location, and assessment of a broad array of responses to PfEMP1 antigens, including
345 protein products of genes known to be expressed in severe or uncomplicated malaria, and PfEMP1 types
346 known to bind to key endothelial receptors. As we have captured a single time point in single infections,
347 interpretation requires caution. The dynamics of the antibody response do not necessarily align with those
348 of the disease and the observed responses are likely to be influenced by the timing of sampling. We expect
349 there is variation in the duration of infection prior to presentation to hospital, which we partially
350 accounted for by matching for residency location. However, we were unable to account for the possibility
351 that children with uncomplicated malaria may have progressed to severe malaria without timely
352 treatment. Additionally, the antibody responses associated with protection from a single malaria episode
353 do not necessarily represent complete or ongoing protection. Further limitations of this study include the
354 use of recombinant proteins that may not reflect the native protein structure or capture epitopes that
355 span multiple domains, and a relatively small sample size. Stratifying our data by participant age may
356 have limited our power to find PfEMP1 antibody responses that are age dependent. Twenty-eight of the
357 39 PfEMP1 antigens probed in this study were identified in Papuan adults with severe malaria, and it is
358 possible that these domains may be more relevant to manifestations of severe malaria other than cerebral
359 malaria or may be more relevant in adults compared to children. Validation in other sample sets will be
360 important. Expression of PfEMP1 with EPCR binding CIDR α 1 domains has been associated with severe

361 malaria in several studies, however only one CIDR α 1 domain (CIDR α 1.6) was well recognized in this
362 population and other variants may be more relevant. It also possible that including multiple examples of
363 some domains may have increased the probability of them appearing in the selected features.

364
365 We were unable to validate our findings using whole IE expressing the PfEMP1 of interest due to difficulty
366 maintaining parasites that express a uniform and persistent PfEMP1 variant. Future studies should
367 consider development of mutant parasite lines with consistent PfEMP1 expression. The systems serology
368 approach may be used to characterize geographical and age dependent differences in the antibody
369 response to PfEMP1 variants, as well as temporal associations with protection. The majority of PfEMP1
370 antigens were recognized by less than 25% of children with cerebral or uncomplicated malaria, indicating
371 that children in both clinical groups had many gaps in their antibody repertoire that potentially leave them
372 vulnerable to cerebral malaria in the follow up period. Longitudinal studies have reported that individuals
373 with antibodies targeting DBL β domains with the DBL β _{motif} have a reduced prospective risk of
374 uncomplicated malaria [9] and high density parasitaemia [50] and similar studies to determine the risk of
375 cerebral malaria in the follow up period would be informative. Characterizing the antibody response to
376 PfEMP1 may lead to the development of a multivalent PfEMP1 based vaccine or monoclonal antibody
377 cocktail to protect from cerebral malaria, or to the development of more sensitive disease surveillance
378 measures.

379

380 Conflict of interest: No authors have any conflict of interest to declare.

381

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392

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543

Supplementary Table 1. Recombinant PfEMP1 proteins used in multiplex immunoassay

Protein no.	Domain	Group	Associated DC/ gene	Predicted binding receptor	Expression system	Source
SM1	CIDR α 2.4	B			WGCFS	<i>a</i>
SM2	CIDR β 1	B			WGCFS	<i>a</i>
SM3	DBL β 12	B/A	DC8		WGCFS	<i>a</i>
SM4	DBL β 3	A			WGCFS	<i>a</i>
SM5	DBL β 3	A	DC4		WGCFS	<i>a</i>
SM6	DBL δ 1	B			WGCFS	<i>a</i>
SM8	DBL δ 1	B			WGCFS	<i>a</i>
SM9	DBL δ 1	B	DC8		WGCFS	<i>a</i>
SM11	DBL ϵ 3	B	DC11		WGCFS	<i>a</i>
SM12	DBL ϵ 9	B			WGCFS	<i>a</i>
SM14	DBL γ 3	B	DC9?		WGCFS	<i>a</i>
SM15	DBL ζ 4	B	DC9?		WGCFS	<i>a</i>
SM17	DBL α 1.5	A	DC4		WGCFS	<i>a</i>
SM18	CIDR α 1.1	B/A	DC8	EPCR	WGCFS	<i>a</i>
SM19	CIDR α 1.6	A	DC4	EPCR	WGCFS	<i>a</i>
SM22	DBL ϵ 5	A	<i>var1</i>		WGCFS	<i>a</i>
SM24	DBL ζ 3	B/A			WGCFS	<i>a</i>
SM25	DBL β 13	B			WGCFS	<i>a</i>
SM26	CIDR γ 12	B			WGCFS	<i>a</i>
SM27	DBL δ 7	A			WGCFS	<i>a</i>
SM28	CIDR α 2.6DBL β 5	B			WGCFS	<i>a</i>
UM1	DBL α 0.13	B			WGCFS	<i>a</i>
UM2	DBL δ 1	B			WGCFS	<i>a</i>
UM8	DBL γ 9	B/A			WGCFS	<i>a</i>
UM14	DBL δ 1	B			WGCFS	<i>a</i>
UM19	DBL δ 1	B			WGCFS	<i>a</i>

UM20	CIDR α 3.1	B/C			WGCFs	<i>a</i>
UM45	CIDR α 1.7	A			WGCFs	<i>a</i>
M1	DBL β 3*	A	PF11_0521_D4	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
M6	DBL β 3*	A	3D7_Pfd1235w_D4	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
M9	DBL β 1*	A	Dd2VAR32_D4	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
	CIDR – DBL β 3*	A	3D7_Pfd1235w_D3-4	EPCR-ICAM-1	<i>Escherichia coli</i>	<i>b</i>
N27	DBL β 3	B	IT4VAR13_D4	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
P1021	DBL β 3	B	KOB58843/HB3var34	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
P1014	DBL β 5	B	KOB63129/HB3var21	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
P1011	DBL β 5	B	AA75396/Dd2var01a	ICAM-1	<i>Escherichia coli</i>	<i>b</i>
N30	DBL β 7	A	Dd2VAR52_D4		<i>Escherichia coli</i>	<i>b</i>
N31	DBL β 7	A	Hb3vAR01_D4		<i>Escherichia coli</i>	<i>b</i>
N32	DBL β 6	A	BT1983_D4		<i>Escherichia coli</i>	<i>b</i>

Table 1: (DC) Domain Cassette. (*) contains DBL β _{motif} associated with dual EPCR-ICAM-1 binding IE. (WGCFs) wheat germ cell free system. (*a*) Proteins labelled SM and UM were derived from sequences of Papuan field isolates [1] and were expressed by Professor Takafumi Tsuboi and Dr. Eizo Takashima, Proteo-Science Center, Ehime University, Matsuyama, Ehime, Japan [2]. (*b*) DBL β proteins were supplied by Prof Anja Jensen, University of Copenhagen, Copenhagen, Denmark [3–5].

Supplementary Table 2. Recombinant merozoite and sporozoite proteins used in multiplex immunoassay

Protein	Expression system
AMA1	HEK293
MSP2 (FC27 allele)	<i>Escherichia coli</i>
MSP3	WGCFS
EBA175-RIII-V	<i>Escherichia coli</i>
CSP	HEK293

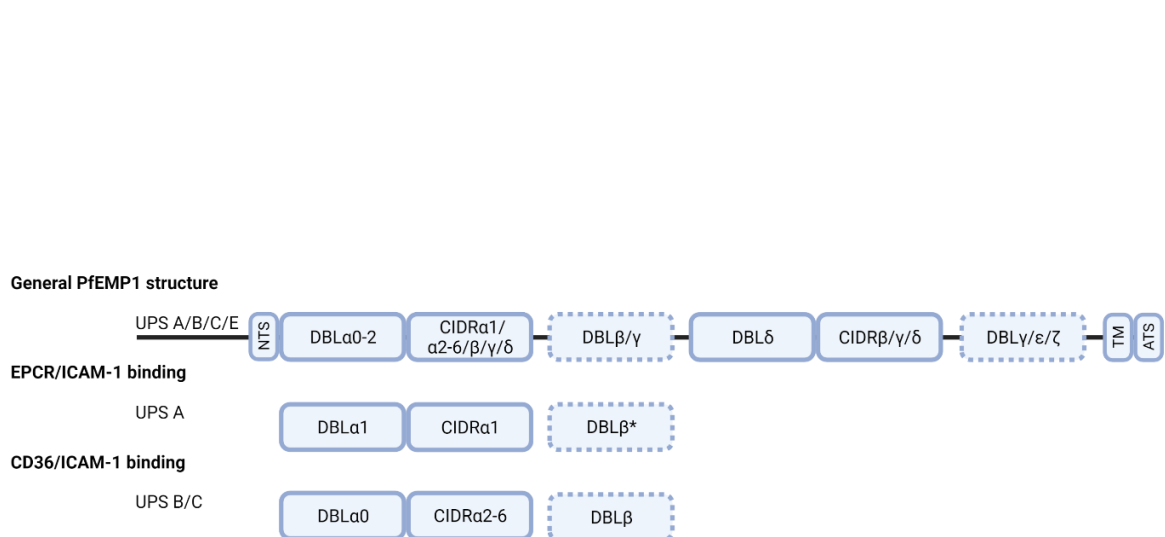


Figure S1. **General structure of PfEMP1.** PfEMP1 are encoded by up stream promotor sequence (Groups A/B/C/E). The NTS is followed by a DBLa and CIDR domain head structure. Head structure CIDRa1 domains bind to EPCR and CIDRa2-6 bind to CD36. The head structure is followed by at least 2 domains, shown with solid borders, and longer PfEMP1 have additional domains, shown with dashed borders. Group A EPCR binding head structures can sometimes be followed by DBLb with the ICAM-1 binding motif (*). Group B CD36 binding head structures can sometimes be followed by DBLb that also bind ICAM-1 (but cannot be predicted by the motif). DBL: Duffy Binding Like; CIDR: Cysteine-rich interdomain Region; NTS: N-terminal sequence, TM: Transmembrane region; ATS: A-terminal sequence. Figure adapted from Smith *et al.* 2013, created with BioRender.com.

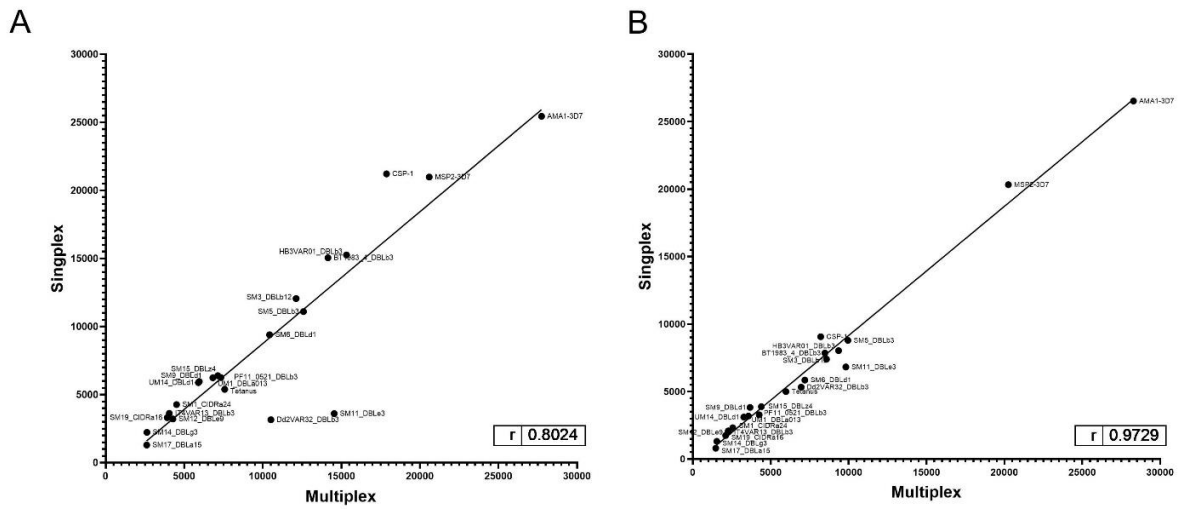


Figure S2. IgG responses for array of 17 antigens (one of two arrays) compared in single and multiplex formats, using serum pooled from 10 Malawian children, diluted by A) 1:50 and B) 1:100. r = Spearman's correlation coefficient.

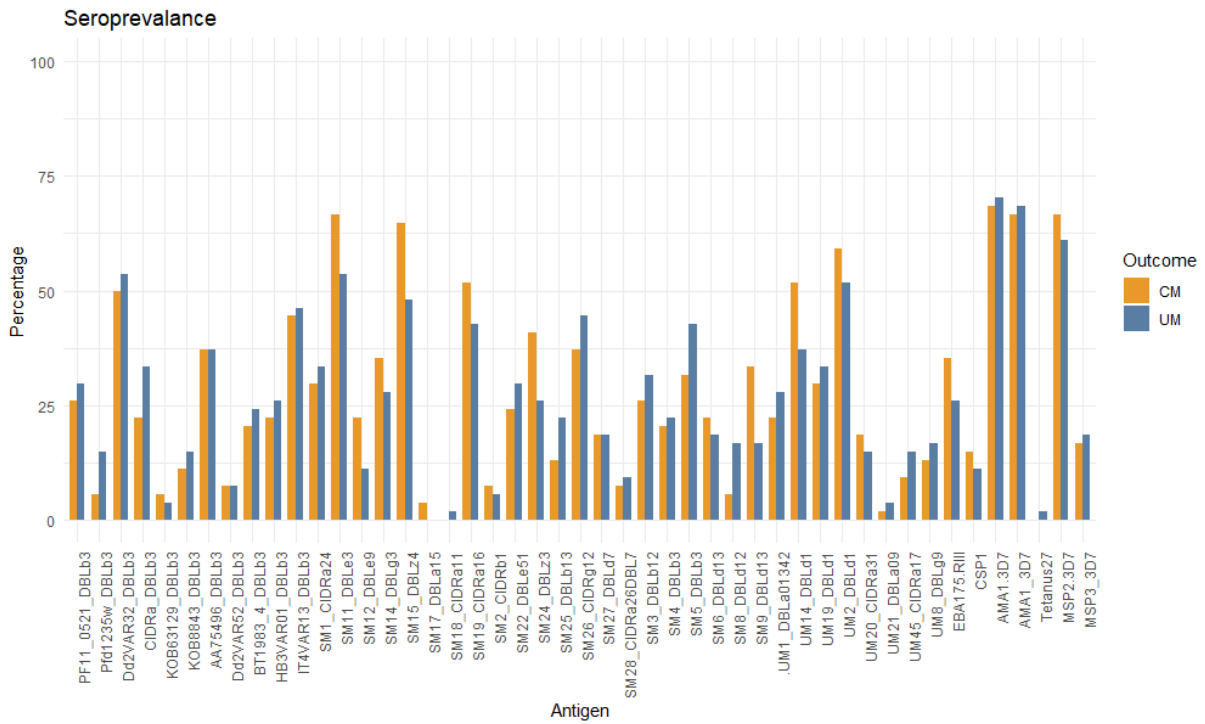


Figure S3. Percentage children with cerebral malaria (orange) or uncomplicated malaria (blue) who are seropositive to PfEMP1 antigens. Seropositivity was defined as IgG titers greater than 2 SD above the mean of malaria naïve individuals from Melbourne, Australia.

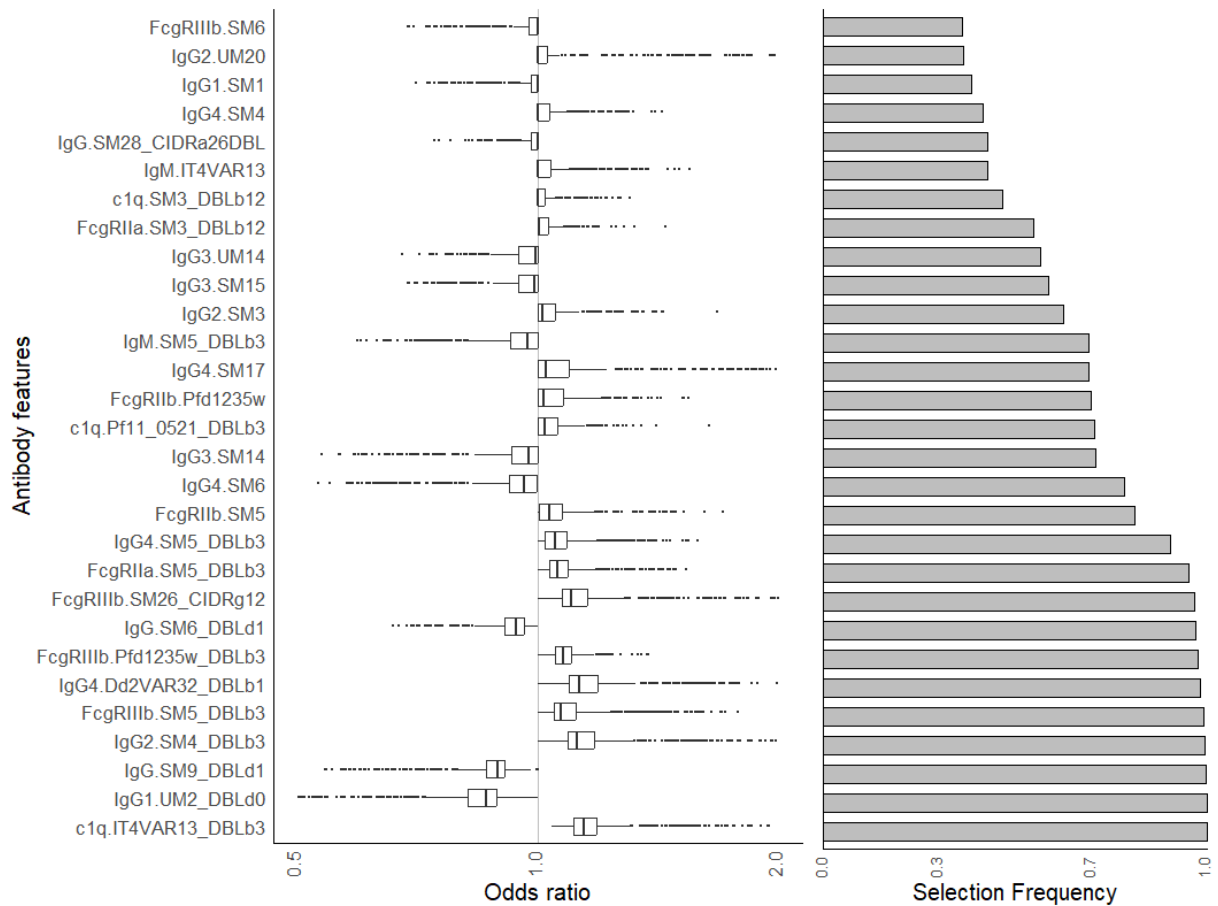


Figure S4. Odds ratio of antibody features from 5,000 repeats of 10-fold cross validated ENET regression models using alpha tuning parameter of 0.25. Variables shown in order of selection frequency (top 29 most selected variables are shown). Features with mean odds ratio greater than 1 represent responses associated with increased odds of uncomplicated malaria and features with mean odds ratio less than 1 are associated with increased odds of cerebral malaria.

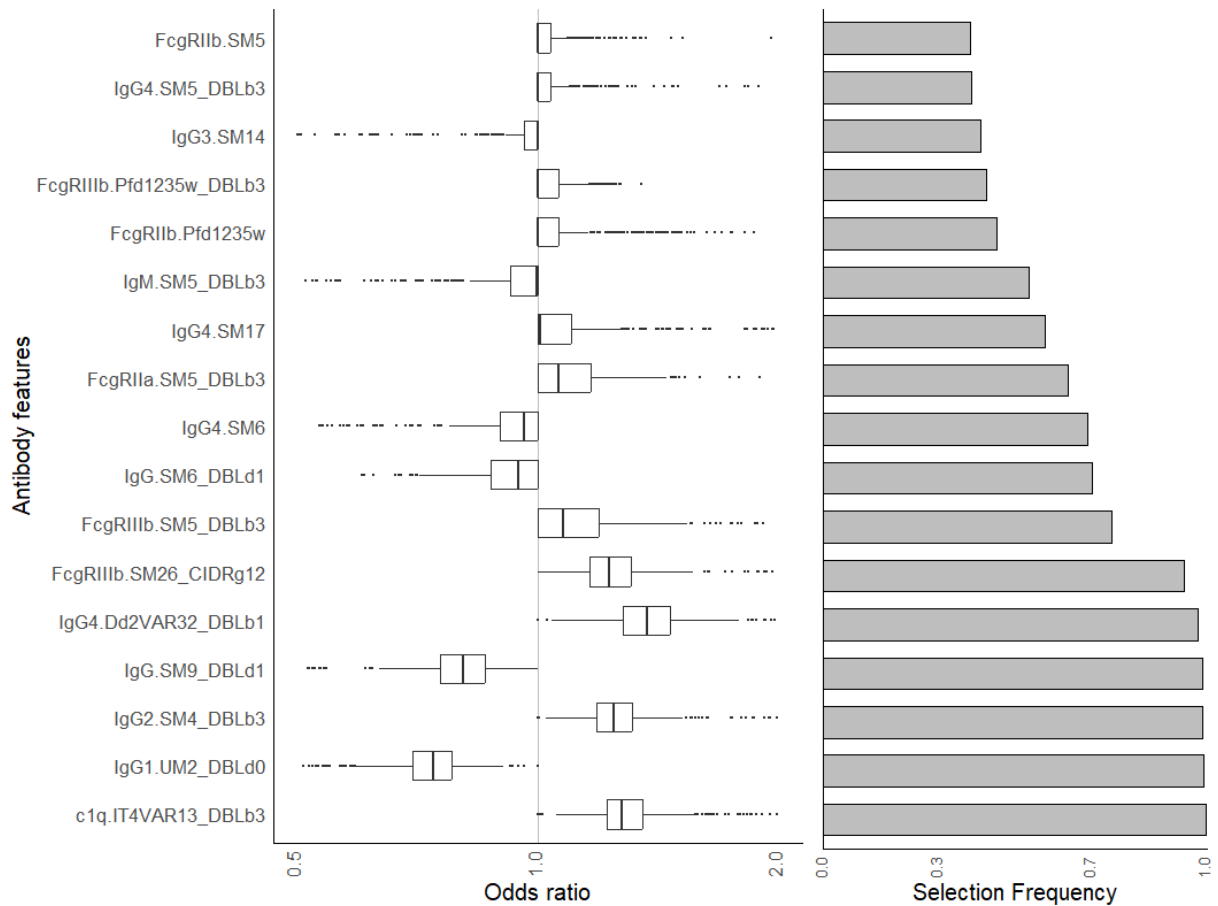


Figure S5. Odds ratio of antibody features from 5,000 repeats of 10-fold cross validated ENET regression models using alpha tuning parameter of 1. Variables shown in order of selection frequency (top 17 most selected variables are shown). Features with mean odds ratio greater than 1 represents responses associated with increased odds of uncomplicated malaria and features with mean odds ratio less than 1 are associated with increased odds of cerebral malaria.

Part V

Characterizing the PfEMP1 targets and Fc features of antibodies associated with severe and uncomplicated malaria in Papua New Guinean children

8 Background

Many countries in South East Asia and the Pacific are approaching elimination of malaria, however the burden of malaria remains high in Papua New Guinea (PNG) (World Health Organization 2021c). The estimated annual incidence of malaria in PNG (2020) ranges from 1 – 2 million annual cases and the estimated deaths range widely from 200 – 6,000 annually, due to the majority of cases being unreported (World Health Organization 2021c). Transmission is highly diverse, ranging from high transmission in the north coastal region of Madang to low and unstable in the surrounding highlands, and both *P. falciparum* and *P. vivax* circulate in the region (Cleary et al. 2022). A household survey of treatment seeking behaviour estimated that 81% of malarial fevers are not treated with antimalarial medicine (Seidahmed et al. 2021). In this setting, serosurveillance tools to identify populations most at risk of severe disease would be beneficial to inform treatment and resource allocation.

‘Severe malaria’ describes a broad range of epidemiological criteria, including impaired consciousness (cerebral malaria), severe anaemia, acidosis, hypoglycaemia and hyperparasitaemia (World Health Organization 2021a). PfEMP1 is a key pathogenic factor for severe malaria and mediates cytoadhesion of late blood stage infected erythrocytes to blood vessel endothelial cells. Previous studies have identified a broad range of *var* genes encoding for PfEMP1 domains that are differentially transcribed in severe malaria, cerebral malaria, or uncomplicated malaria (Kessler et al. 2017; Lavstsen et al. 2012; Normark et al. 2007; Tuikue Ndam et al. 2017), including a small number of studies outside of Africa (Bernabeu et al. 2016; Duffy et al. 2016; Falk et al. 2009; Tonkin-Hill et al. 2018). Children who lack antibodies to particular pathogenic PfEMP1 variants may be more susceptible to severe disease. From the small number of relevant studies conducted in the Western Pacific region, there is evidence to suggest that antibodies to PfEMP1 CIDR α 1 domains that bind to EPCR are associated with protection from severe malaria (Chan et al. 2019b; Rambhatla et al. 2019; Tessema et al. 2019) however antibodies targeting other PfEMP1 variants may also be involved (Rambhatla et al. 2022; Tessema et al. 2018). Additionally, individuals develop antibodies to the infecting parasite phenotypes over the course of an infection and boosting of antibodies over time can be used to identify PfEMP1 variants involved in severe disease (Rambhatla et al. 2019; Travassos et al. 2018). Young children are an ideal study population for detecting correlates of protective immunity and important PfEMP1 variants, because they are seropositive for a small number of PfEMP1 variants compared to adults (Barry et al. 2011) and account for the majority of severe malaria cases (World Health Organization 2021c).

In this study we evaluated antibody responses to PfEMP1 that have previously been associated with severe or uncomplicated malaria, including: antigens whose gene transcription profiles were

differentially regulated in Papuan adults with severe and uncomplicated malaria (Tonkin-Hill et al. 2018); a range of CIDR α domains, including EPCR and non-EPCR binding domains (Rambhatla et al. 2019); and a range of DBL β domains, including ICAM-1 and non-ICAM-1 binding domains (Olsen et al. 2018). Previous studies have only considered the titers of IgG targeting PfEMP1 or the functional activity of antibodies to single parasite variants. Systems serology involves characterizing a broad range of antibody targets and Fc features and using machine learning to select the key features. We applied systems serology in our study of Malawian children (Part IV) and found that PfEMP1-specific IgG titers were not associated with protection from cerebral malaria, but a combination of seven Fc features, including IgG2 and IgG4, and Fc γ RIIb and c1q binding antibodies to DBL β domains, could distinguish between cerebral and uncomplicated malaria with 87% accuracy. In this study, we profiled IgG, IgG subclasses 1-4 and Fc γ receptor binding antibodies targeting recombinant PfEMP1 antibodies in a case control study of PNG children with severe and uncomplicated malaria. We identified features that were lacking at presentation or detected in convalescence following severe malaria and used machine learning to identify a combination of antibody targets and features that could differentiate between the groups at presentation (acute disease) and convalescence.

8.1 Hypotheses

8.1.1 One

Antibody features can distinguish between PNG children with severe or uncomplicated malaria at presentation to hospital and at convalescence.

8.2 Aims

- (i) Identify PfEMP1 targets for which antibodies are lacking at presentation in PNG children with severe malaria compared to uncomplicated malaria.
- (ii) Identify PfEMP1 targets for which antibodies are acquired after an episode of severe or uncomplicated malaria in PNG children.
- (iii) Profile the features of antigen specific antibodies in children with severe and uncomplicated malaria in PNG children at presentation and convalescence
- (iv) Use machine learning to identify a combination of antibody targets and features that best distinguishes between children with severe and uncomplicated malaria, at presentation and at convalescence.

9 Results

9.1 Cohort of Papua New Guinean (PNG) children

Table 12: Summary of PNG children cohort categorized by disease severity

Characteristic	Uncomp. act.	Uncomp. con.	Severe act.	Severe con.
<i>n in final assay</i>	70	90	142	87
<i>Age, median [IQR], months</i>	50 [31-62]	44 [30-58]	43 [30-60]	41 [30-54]
<i>Age group, n %</i>				
0 – 48 months	34 (49%)	51 (57%)	87 (61%)	58 (67%)
49 - 90 months	36 (51%)	39 (43%)	55 (39%)	29 (33%)
<i>Sex, n %^a</i>				
Female	26 (45%)	39 (43%)	65 (45%)	42 (48%)
Male	32 (55%)	51 (57%)	77 (54%)	45 (52%)
<i>Ethnicity, n %^b</i>				
Madang	52 (91%)	81 (90%)	106 (75%)	67 (77%)
Other	7 (12%)	9 (10%)	36 (25%)	20 (23%)
<i>Blantyre Coma Score, n (%)^c</i>				
0-2			18 (13%)	
3			8 (6%)	
4			21 (15%)	
5	70 (100%)		93 (66%)	
<i>Haemoglobin (g/dL)^d</i>	9.0 [7.8-10.8]	10.6 [9.8-11.6]	7.6 [5.0-9.1]	11 [9.6-11.6]
<i>Severe anaemia (<7 g/dl), n (%)</i>	0 (0%)	0 (0%)	34 (24%)	0 (0%)
<i>Acidosis, n (%)^(e)</i>			15 (11%)	
<i>Species, n (%)^f</i>				
Pf	33 (89%)		121 (86%)	72 (83%)
Pfv	4 (9%)		20 (14%)	15 (17%)
<i>Parasitaemia units median [IQR], per μL</i>				
Pf	23058 [6310-78829]	0 [0-561]	76728 [13494-179260]	0 [0-0]
Pfv	0 [0-0]	0 [0-0]	0 [0-0]	0 [0-0]

Uncomp. and Severe: Uncomplicated malaria and severe malaria. *act. and conv.:* acute and convalescent. *n:* number of samples. IQR: Inter Quartile Range. ^(a) 58 uncomplicated acute samples with clinical information available for sex. ^(b) 59 uncomplicated acute samples with clinical information available for ethnicity. ^(c) 140 severe acute samples with clinical information available for Blantyre Coma Score (BSC). ^(d) 66 uncomplicated acute, 84 uncomplicated convalescent and 80 severe convalescent samples with clinical information available for Haemoglobin. ^(e) 139 severe acute samples with clinical information available for acidosis ^(f) 141 severe acute samples with clinical information available for species, detected by PCR.

Plasma samples were collected between 2006-2009 from children presenting to Modilon Hospital, Madang, Papua New Guinea, and at 8 weeks follow-up, in convalescence (for details see Materials and Methods, Part III, Section 7.1.2). This study included 70 children with acute uncomplicated malaria and 90 convalescent from uncomplicated malaria, 142 with acute severe malaria and 87 with convalescent severe malaria. Due to limited sample availability, there were paired acute and convalescent samples for 44 of the children with uncomplicated malaria and 75 of the children with severe malaria. The median age of children across the groups and time points was similar, however a greater percentage of children with severe malaria were under 4 years old compared to the children with uncomplicated malaria. There were more males than females across the groups and time points. A greater percentage of children with severe malaria were of non-Madang ethnicity, compared to uncomplicated malaria and a greater percentage of children with severe malaria had mixed *P. falciparum* and *P. vivax* infections compared to uncomplicated malaria. Children classified as having acute severe malaria had a mix of severe etiologies, including severe anaemia (24%), cerebral malaria (13%) or acidosis (11%).

9.1.1 Recognition of PfEMP1 proteins in Papua New Guinean children

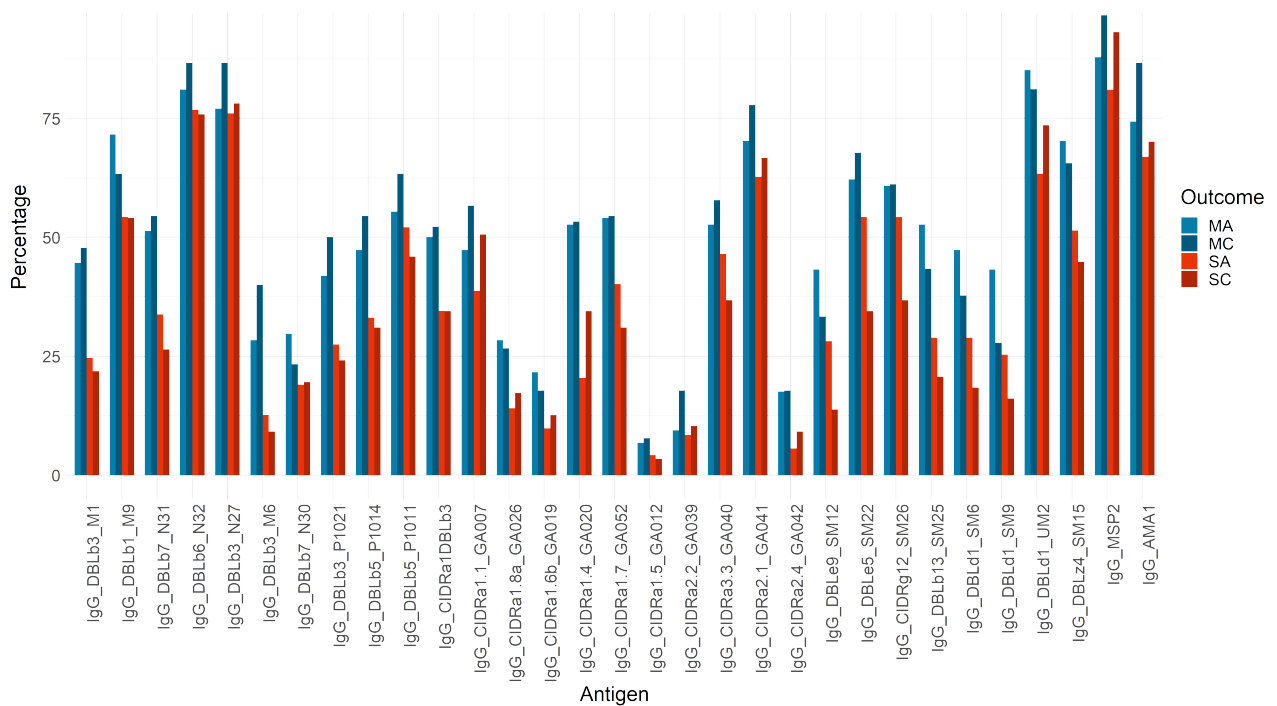


Figure 6: **IgG seroprevalence for PfEMP1 antigens in PNG children.** Percentage of children with IgG titers greater than two standard deviations above the mean of 20 Melbourne controls, shown for children with acute uncomplicated malaria (MA), convalescent uncomplicated malaria (MC), acute severe malaria (SA) and convalescent severe malaria (SC).

The study included 29 recombinant PfEMP1 antigens (see Materials Tables 8, 10, 9) and measured antigen specific IgG, IgG1, IgG3, IgG4, and FcγRIIa, FcγRIIIa, FcγRIIb and FcγRIIIb binding. Due to limited protein availability, there were several antigens for which not all Fc features were measured. SM12, SM25 were not probed for IgG1, IgG2, IgG4, FcγRIIb or FcγRIIIa. GA042 and N31 were not probed for IgG1, IgG2, IgG4, and FcγRIIIa. SM9, SM6, GA026 were not probed for IgG2 and IgG4.

The majority of PfEMP1 antigens were recognized by antibodies from a substantial portion of PNG children, except for CIDRα1.5, CIDRα2.2 and CIDRα2.4, that were recognized by less than 20% of children across all clinical groups (Figure 6). In general, a greater percentage of children with uncomplicated malaria were seropositive compared to children with severe malaria (Figure 6).

9.1.2 Antibody responses to merozoite antigens and controlling for exposure

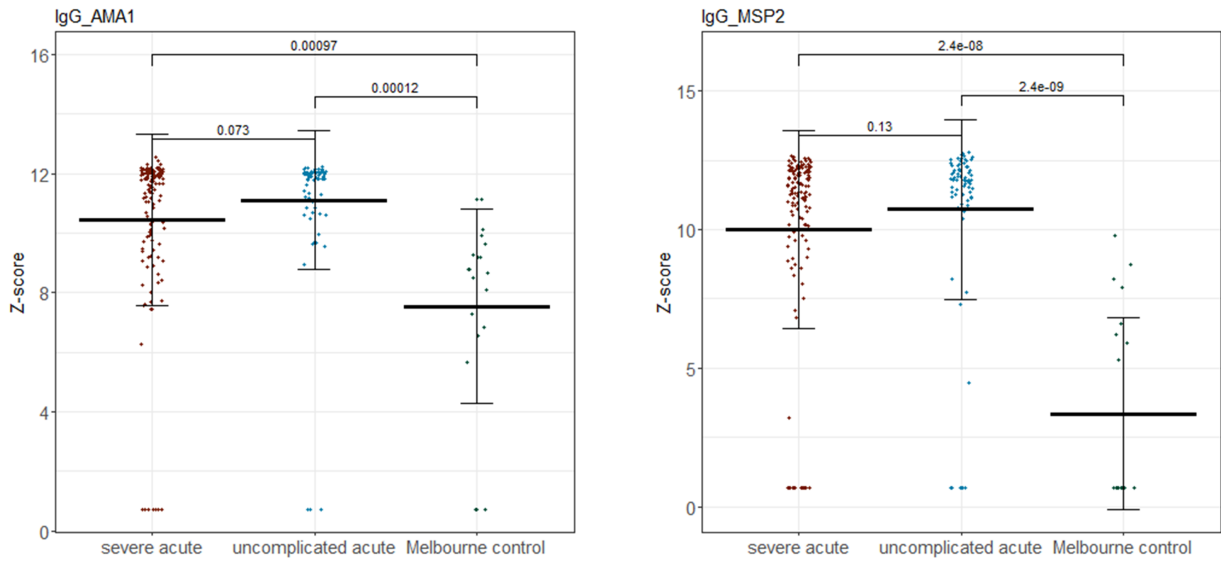


Figure 7: **IgG responses to recombinant AMA1 and MSP2 in acute severe and uncomplicated malaria.** Y axis represents log-transformed Z-score of IgG titers to A) AMA1 and B) MSP2, measured by mutliplex immunoassay. Mean antibody titers were compared between severe malaria (red) and uncomplicated malaria (blue) and healthy Melbourne controls (green) by Welch's t-test, and associated P-values are shown above horizontal bars. Mean and standard deviation are shown.

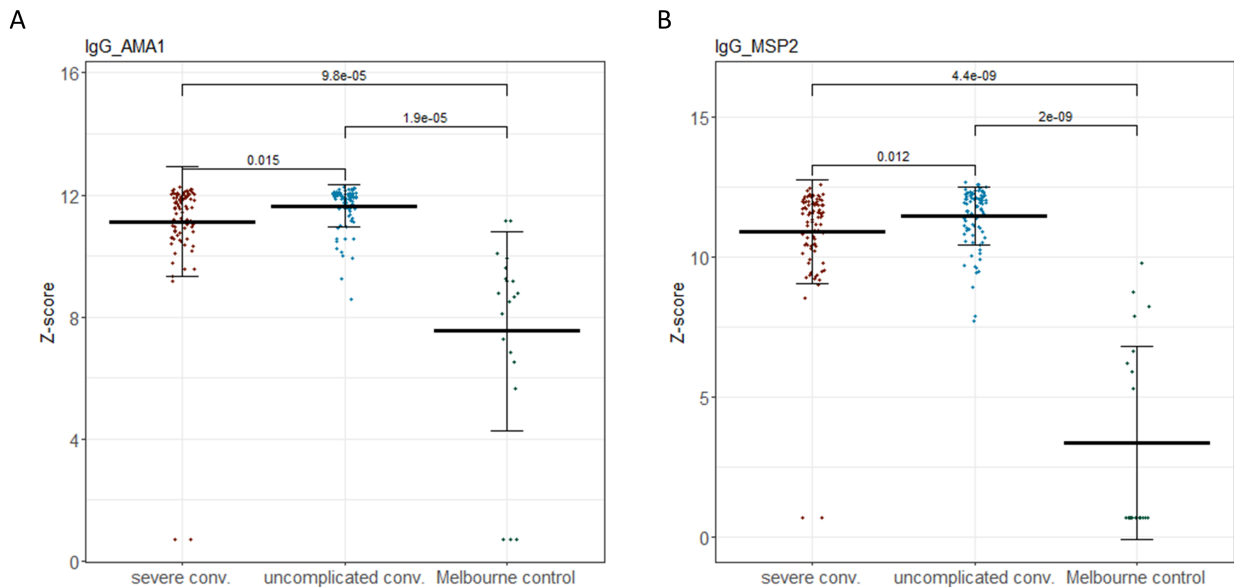


Figure 8: **IgG responses to recombinant AMA1 and MSP2 in convalescent severe and uncomplicated malaria.** Y axis represents log-transformed Z-score of IgG titers to A) AMA1 and B) MSP2, measured by mutliplex immunoassay. Mean antibody titers were compared between severe malaria (red) and uncomplicated malaria (blue) and healthy Melbourne controls (green) by Welch's t-test, and associated P-values are shown above horizontal bars. Mean and standard deviation are shown.

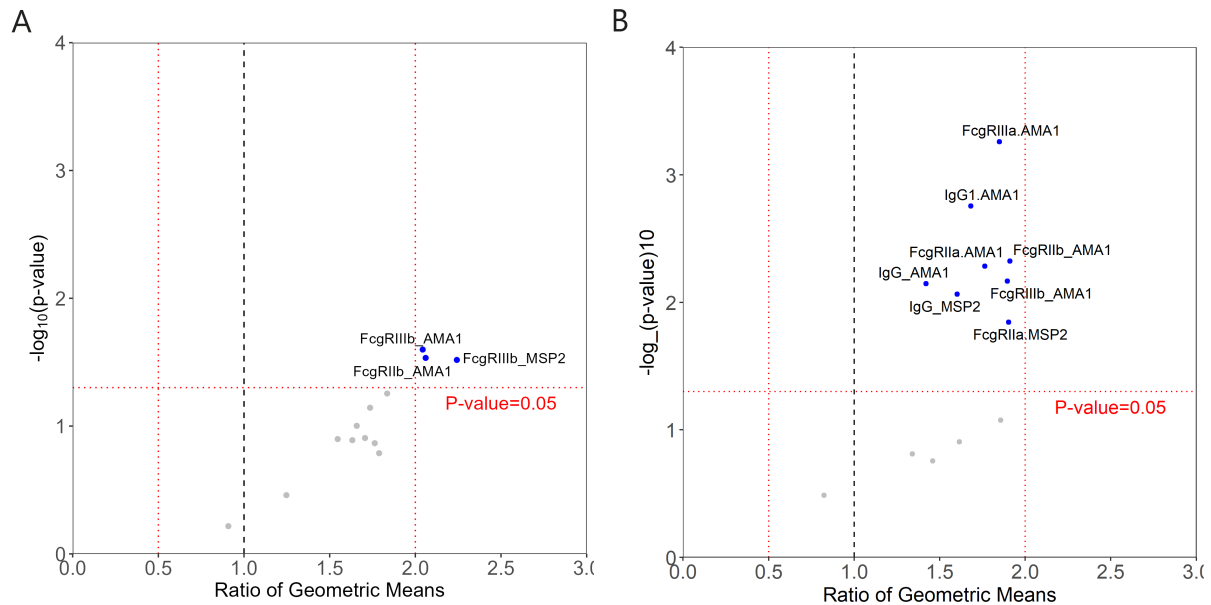


Figure 9: **Linear association between antibody feature to merozoite antigens (AMA1 and MSP2) and uncomplicated malaria compared to severe malaria, after adjusting for age.** Linear regression was performed between clinical presentation (severe or uncomplicated) and age (as covariates) with individual antibody features (as the outcome), at the (A) acute and (B) convalescent time points. X-axis represents the magnitude of difference between the mean antibody levels in children with uncomplicated malaria compared to severe malaria. Y-axis represents $-\log_{10}$ transformed p-value statistic for the estimated mean difference in antibodies between severe and uncomplicated malaria. Right of black vertical line at 1 represents responses elevated in uncomplicated malaria and vertical line at 2 indicates a two-fold elevation in uncomplicated malaria. Left of black vertical line at 1 represents responses elevated in severe malaria and vertical line at 0.5 indicates a two-fold elevation in severe malaria. Horizontal line indicates $\log_{10}(0.05)$ threshold of statistical significance. There were no corrections for multiple comparisons.

Total IgG measurements to merozoite antigens were used as surrogate markers for prior exposure to *P. falciparum*. For the acute samples, there were no significant differences in IgG targeting AMA1 or MSP2 between children with severe and uncomplicated malaria (Figure 7). For the convalescent samples, IgG targeting AMA1 and MSP2 were significantly elevated in uncomplicated malaria compared to severe malaria (Figure 8).

Exposure to malaria is correlated with age in malaria endemic settings. Since there were differences in age between the groups, we performed linear regression to assess the association between clinical outcome (severe or uncomplicated malaria) and age with IgG titers to merozoite antigens. After adjusting for age, there were no significant differences in mean IgG targeting AMA1 or MSP2 between children with acute uncomplicated and acute severe malaria (Figure 9A). After adjusting for age, children with convalescent uncomplicated malaria had 1.4-fold higher mean IgG titers to AMA1 compared to children with convalescent severe malaria, and 1.6-fold higher mean IgG titers to MSP2 (P values 0.007 and 0.008, respectively) in uncomplicated compared to severe malaria (Figure 9B).

For other antibody Fc features, mean Fc γ RIIb and Fc γ RIIIb binding antibodies targeting

AMA1, and FcγRIIIb binding antibodies targeting MSP2 were significantly and by greater than 2-fold higher in acute uncomplicated malaria compared to acute severe malaria, after adjusting for age (Figure 9A). At convalescence, mean IgG1, FcγRIIIa, FcγRIIIa, FcγRIIb and FcγRIIIb binding antibodies targeting AMA1, and FcγRIIIa binding antibodies targeting MSP2 were significantly higher in convalescent uncomplicated malaria compared to convalescent severe malaria, after adjusting for age (mean fold differences range from 1.6 – 1.9, $P < 0.05$, Figure 9B) and none of the antibody responses crossed the threshold for a two-fold difference in the means.

All analysis presented from this point was adjusted for age by including age as a covariate in linear regression models.

9.2 Univariable analysis

9.2.1 Association of severe and uncomplicated malaria with individual antibody Fc features.

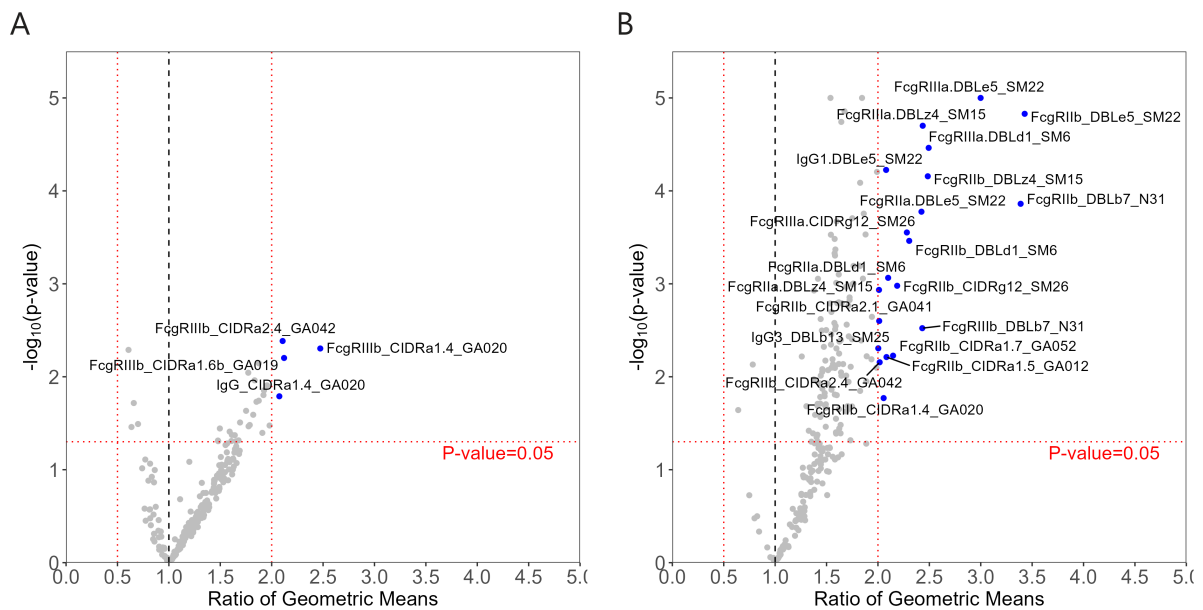


Figure 10: Linear association between severe and uncomplicated malaria with antibody features targeting PfEMP1. Linear regression was performed between clinical presentation (severe or uncomplicated) and age (as co-variables) with individual antibody features (as the outcome), at the (A) acute and (B) convalescent time points. X-axis represents the magnitude of difference between the mean antibody levels in children with uncomplicated malaria compared to severe malaria. Y-axis represents $-\log_{10}$ transformed p-value statistic for the estimated mean difference in antibodies between severe and uncomplicated malaria. Right of black vertical line at 1 represents responses elevated in uncomplicated malaria and vertical line at 2 indicates a two-fold elevation in uncomplicated malaria. Left of black vertical line at 1 represents responses elevated in severe malaria and vertical line at 0.5 indicates a two-fold elevation in severe malaria. Horizontal line indicates $\log_{10}(0.05)$ threshold of statistical significance. There were no corrections for multiple comparisons

We compared antibody features in uncomplicated and severe malaria, for acute and convalescent time points, by linear regression with age included as a co-variate (Figure 10). There were four antibody features for which the mean levels were significantly and by greater than two folds elevated in uncomplicated malaria compared to severe malaria, after adjusting for age: IgG targeting CIDR α 1.4, Fc γ RIIIb to antibodies targeting CIDR α 1.4, CIDR α 1.6b and CIDR α 2.4. At convalescence, there were 20 antibody features for which the mean levels were significantly and by greater than two folds elevated in uncomplicated malaria compared to severe malaria, after adjusting for age: IgG1 targeting DBL ϵ 5, IgG3 targeting DBL β 13; Fc γ RIIIb binding antibodies targeting DBL β 7 and Fc γ RIIIa antibodies targeting DBL ζ 4 and CIDR γ 12; and the majority of responses were Fc γ RIIIb binding antibodies, targeting DBL ϵ 5, DBL β 7, DBL ζ 4, DBL δ 1, CIDR γ 12, CIDR α 1.4, CIDR α 1.7, CIDR α 1.5, CIDR α 2.4, CIDR α 2.1. The antibody targets were predominantly targeting proteins that are up-regulated in severe malaria in Papua (Table 8) or have been associated with severe malaria (Tables 10 and 9) as well as three proteins associated with uncomplicated malaria: DBL β 7_ N31 (Group A, non-ICAM-1 binder), CIDR α 2.4 and CIDR α 2.1 (CD36 binders).

cated malaria: IgG1 targeting CIDR α 2.2 and Fc γ RIIIa to antibodies targeting CIDR α 1.4 and CIDR α 2.2. There were 9 antibody features that were significantly and by greater than two folds elevated in convalescence compared to acute uncomplicated malaria: Fc γ RIIb binding antibodies targeting CIDR γ 12 and DBL δ 1 (SM9), and Fc γ RIIIb binding antibodies targeting CIDR α 1.6b, CIDR γ 12, DBL ϵ 5, DBL β 13, DBL ϵ 9 and DBL δ 1 (UM2 and SM9).

9.3 Multivariate analysis

9.3.1 Elastic-Net Logistic Regression to identify antibody features that distinguish between severe and uncomplicated malaria

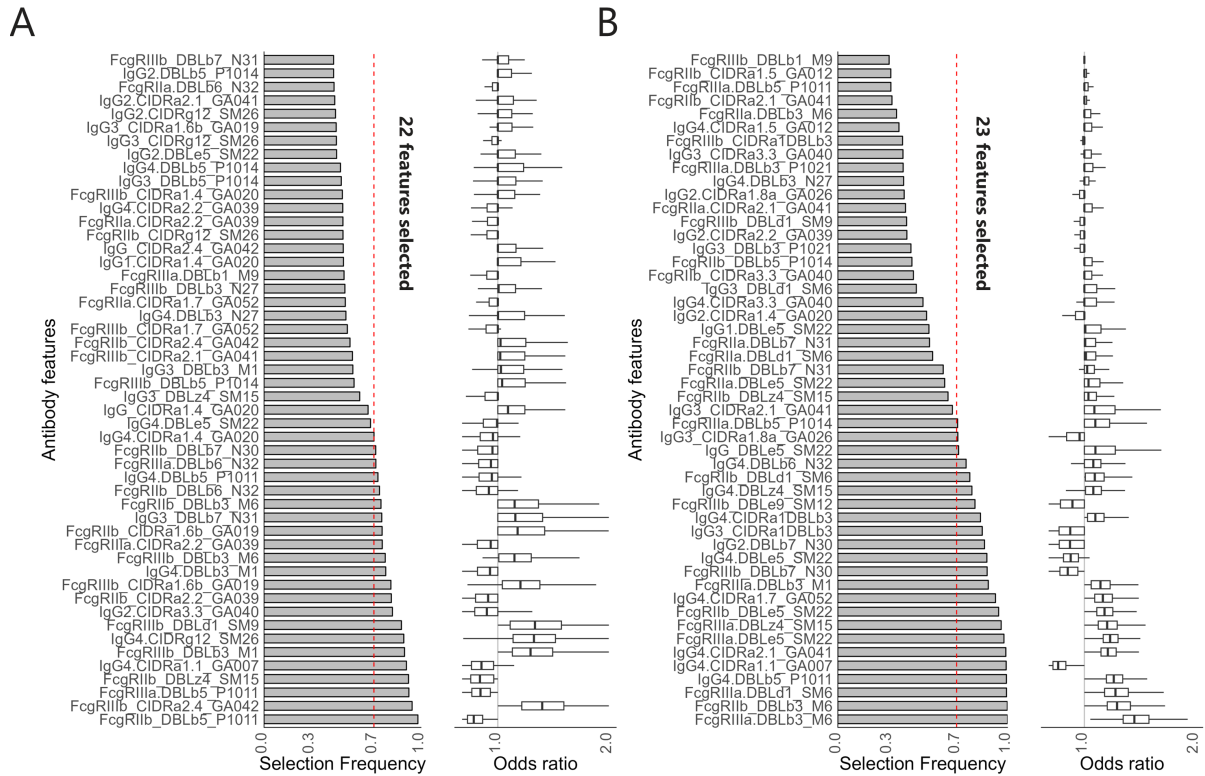


Figure 12: Antibody features selected by elastic net-regularized logistic regression to distinguish between severe and uncomplicated malaria. Resampling of elastic net-regularized logistic regression (5,000 times and 10-fold cross validation) was used to record the frequency and Odds ratio of antibody features. The frequency that features appeared in the 5,000 best models is shown as bars. Vertical line shows the chosen cut off of 70% frequency. Box-plots show the Odds ratio median, inter-quartile range (IQR, Q1 to Q3), and whiskers range from $(Q1-1.5*IQR)$ to $(Q3+1.5*IQR)$. Features with median odds ratio greater than one are associated with increased odds of uncomplicated malaria and features with median odds ratio less than one are associated with increased odds of severe malaria.

We used elastic net-regularized logistic regression to identify antibody features that could be combined to best differentiate between severe and uncomplicated malaria, amongst acute and convalescent individuals. We performed 5,000 repeats of 10-fold cross validated elastic net-regularized logistic regression and recorded the features that were selected in the ‘best’ model (based on AUROC) on each repeat (Figure 12). At the acute time point, 22 features were selected in more than 70% of model iterations. At convalescence, 23 features were selected in more than 70% of model iterations. Selected features at acute and convalescence included features associated with both an increased or decreased odds of uncomplicated malaria compared to severe malaria.

9.3.2 Contribution of selected features to classify children as severe or uncomplicated malaria by Partial Least Squares Discriminant Analysis.

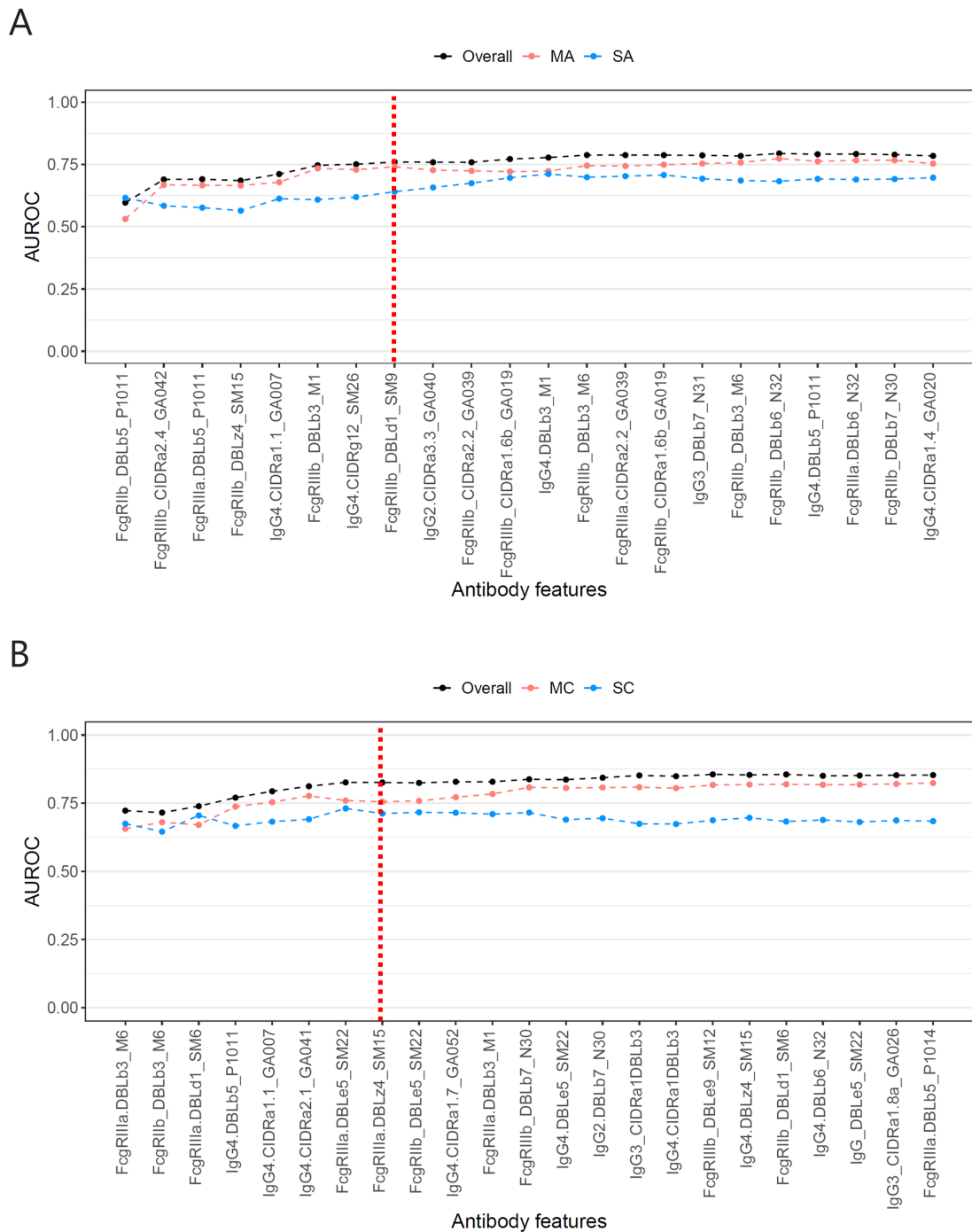


Figure 13: **Contribution of antibody features to classification of severe or uncomplicated malaria by PLS-DA, at (A) acute and (B) convalescent time points.** Y axis shows PLS-DA performance to classify children as severe or uncomplicated malaria (AUROC) when features were added in order of selection frequency that was determined from elastic net-regularized logistic regression modelling. We performed 500 repeats of 10-fold cross-validation to estimate accuracy for each model. Black line represents the overall accuracy for classification of all children, red represents accuracy for classification of children with uncomplicated malaria (MA/MC) and blue for children with severe malaria (SA/SC). Vertical red line represents chosen cut off of eight features.

Next, we assessed the contribution of the most frequently selected features to classify children as severe or uncomplicated malaria, by recording the AUROC as features were added one by one to a PLS-DA regression model (Figure 13), in order of the frequency they appeared in Elastic Net-regularized logistic regression analysis (Figure 12). Amongst acute samples (Figure 13A), the overall accuracy for classification as either uncomplicated or severe malaria plateaued after the inclusion of the sixth feature. The accuracy for classification of uncomplicated malaria samples followed a similar pattern, and the accuracy for classification of severe malaria samples increased until 11 features were included. At convalescence (Figure 13B), the overall accuracy for classification as uncomplicated or severe malaria plateaued after the inclusion of the eighth feature, as did the accuracy of classification of uncomplicated malaria only and severe malaria only.

9.3.3 Performance of antibody features to classify children as having severe or uncomplicated malaria, assessed by PLS-DA

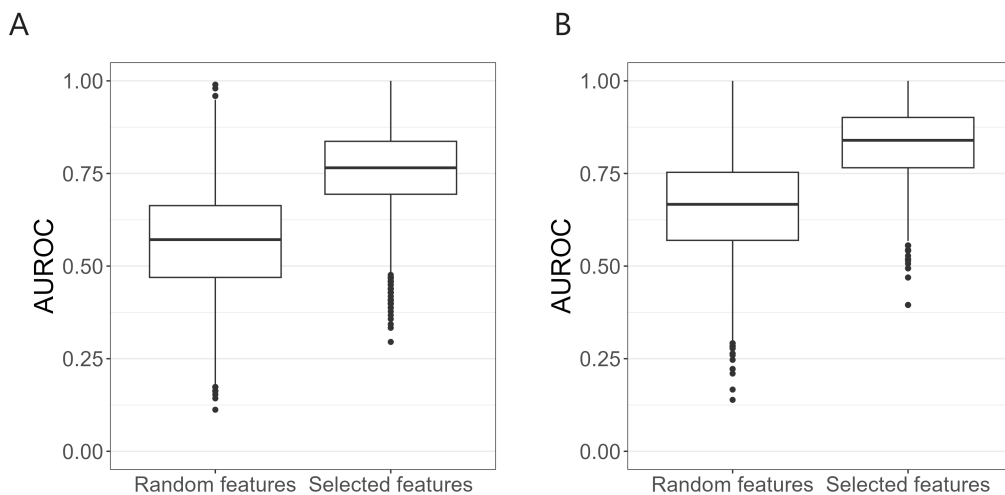


Figure 14: **Performance of eight selected antibody features to classify children as having severe or uncomplicated malaria, at the (A) acute and (B) convalescent time points.** PLSDA was fitted with eight features selected by Elastic-Net regularized logistic regression (selected features) or eight random features, and performance (Area under the receiver operator curve, AUROC) was computed for 500 repeats of 10-fold cross-validation resampling. Boxes show median and inter-quartile range (IQR, Q1 to Q3), and whiskers range from $(Q1-1.5*IQR)$ to $(Q3+1.5*IQR)$.

Next, we assessed the performance of eight most frequently selected features (from the Elastic Net-regularized logistic regression analysis, Figure 12) to distinguish between severe and uncomplicated malaria by PLSDA. For the acute time point, the eight selected features could classify children as having severe or uncomplicated malaria with 76% accuracy (69% - 84%), (based on the median AUROC determined from 500 repeats with 10 fold cross validation). Using the eight randomly selected features, the accuracy was 57% (47% - 66%, Figure 14A).

In convalescence, the eight selected features could classify children as having severe or uncomplicated malaria with 84% accuracy (76% - 90%). Using eight randomly selected features, the accuracy was 67% (57% - 78%, Figure 14B).

For the acute time point, the eight selected features were FcγRIIb to antibodies targeting DBLβ5_P1011, DBLζ4_SM15, FcγRIIIb to antibodies targeting CIDRα2.4_GA042, DBLβ3_M1, DBLδ1_SM9, FcγRIIIa to antibodies targeting DBLβ5_P1011, and IgG4 targeting CIDRα1.1_GA007 and CIDRγ12_SM26 (Figure 13A). In convalescence, the eight selected features were FcγRIIIa binding antibodies targeting DBLβ3_M6, DBLδ1_SM6, DBLε5_SM22 and DBLζ4_SM15, FcγRIIb binding antibodies targeting DBLβ3_M6, and IgG4 targeting DBLβ5_P1011, CIDRα1.1_GA007 and CIDRα2.1_GA041 (Figure 13B).

9.3.4 FcγR and IgG4 to a small number of antigens could best distinguish between severe and uncomplicated malaria.

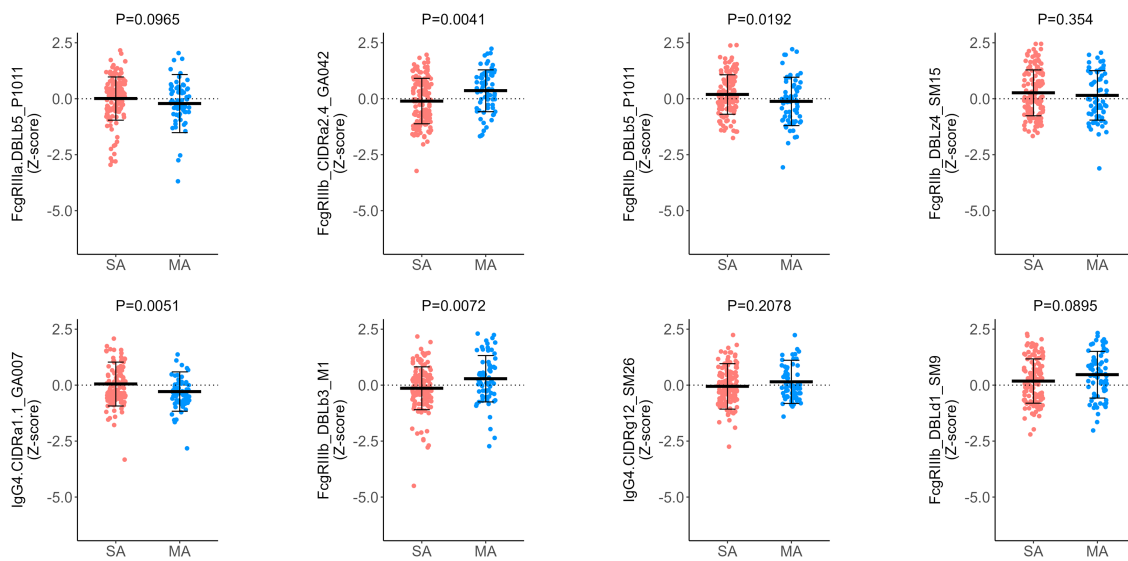


Figure 15: **Distribution of eight selected features that distinguish between severe and uncomplicated malaria at the acute time point.** Comparison of acute severe malaria (SA, red) and uncomplicated malaria (MA, blue) and associated P-values from Welch's T-test are shown. Data was centered to have zero mean and scaled to one standard deviation (Z-score). Error bars show mean and standard deviation.

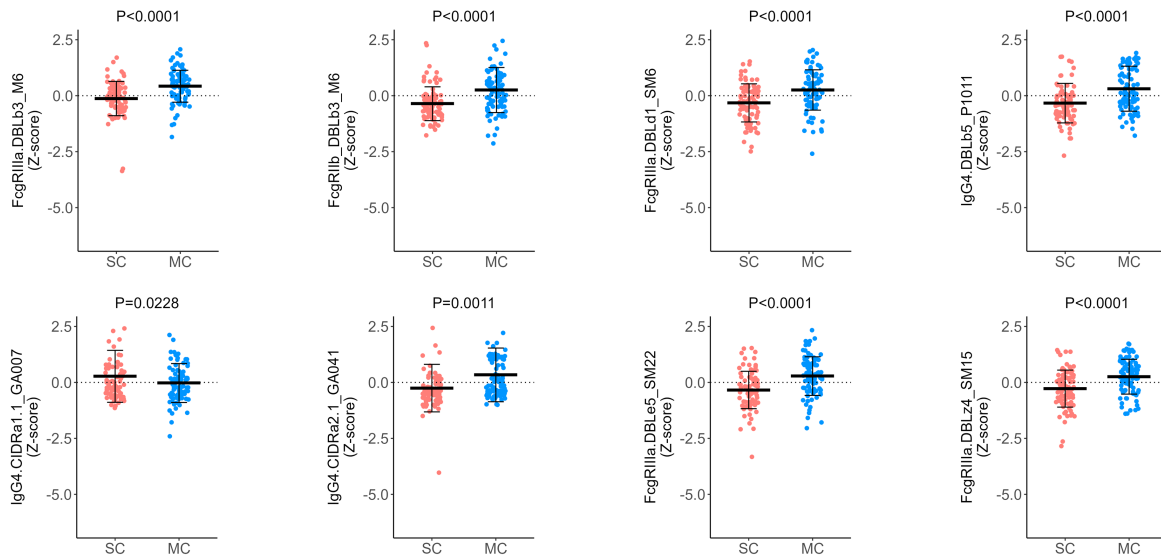


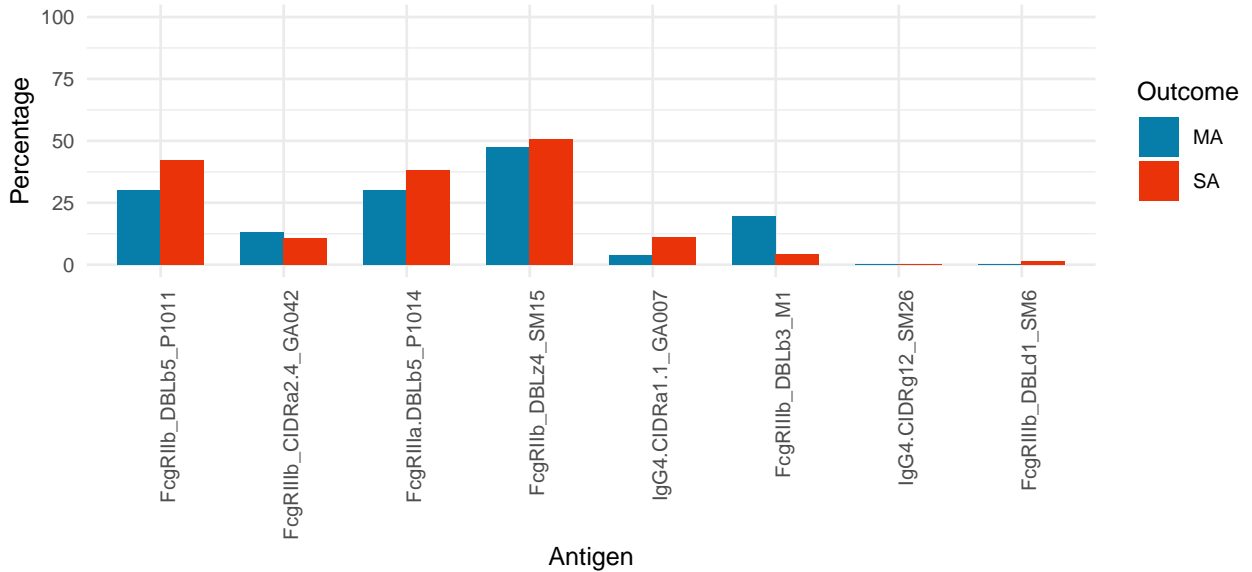
Figure 16: **Distribution of eight selected features that distinguish between severe and uncomplicated malaria at the convalescent time point.** Comparison of convalescent severe malaria (SC, red) and uncomplicated malaria (MC, blue) and associated P-values from Welch's T-test are shown. Data was centered to have zero mean and scaled to one standard deviation (Z-score). Error bars show mean and standard deviation.

For the acute time point, the eight features that were selected from Elastic Net-regularized logistic regression and PLSDA included four features that were elevated in severe malaria and four that were elevated in uncomplicated malaria (Figure 15). Features that were elevated in severe malaria included FcγRIIb and FcγRIIIa to antibodies targeting DBLβ5_P1011, FcγRIIb to antibodies targeting DBLζ4_SM15 and IgG4 targeting CIDRa1.1_GA007. Features that were elevated in uncomplicated malaria were FcγRIIIb to antibodies targeting CIDRa2.4_GA042, DBLδ1_SM6 and DBLβ3_M1, and IgG4 targeting CIDRγ12_SM26. Not all univariate comparisons reached statistical significance (Figure 15).

In convalescence, seven out of the eight features that were selected from Elastic Net-regularized logistic regression were elevated in uncomplicated malaria (Figure 16): FcγRIIIa binding antibodies targeting DBLβ3_M6, DBLδ1_SM6, DBLε5_SM22, FcγRIIIa binding antibodies targeting DBLζ4_SM15, FcγRIIb binding antibodies targeting DBLβ3_M6, and IgG4 targeting DBLβ5_P1011 and CIDRa2.1_GA041. IgG4 targeting CIDRa1.1_GA007 was elevated in severe malaria.

9.3.5 Antibody feature seroprevalence of selected PfEMP1 proteins in Papua New Guinean children

A Seroprevalance – acute



B Seroprevalence – convalescence

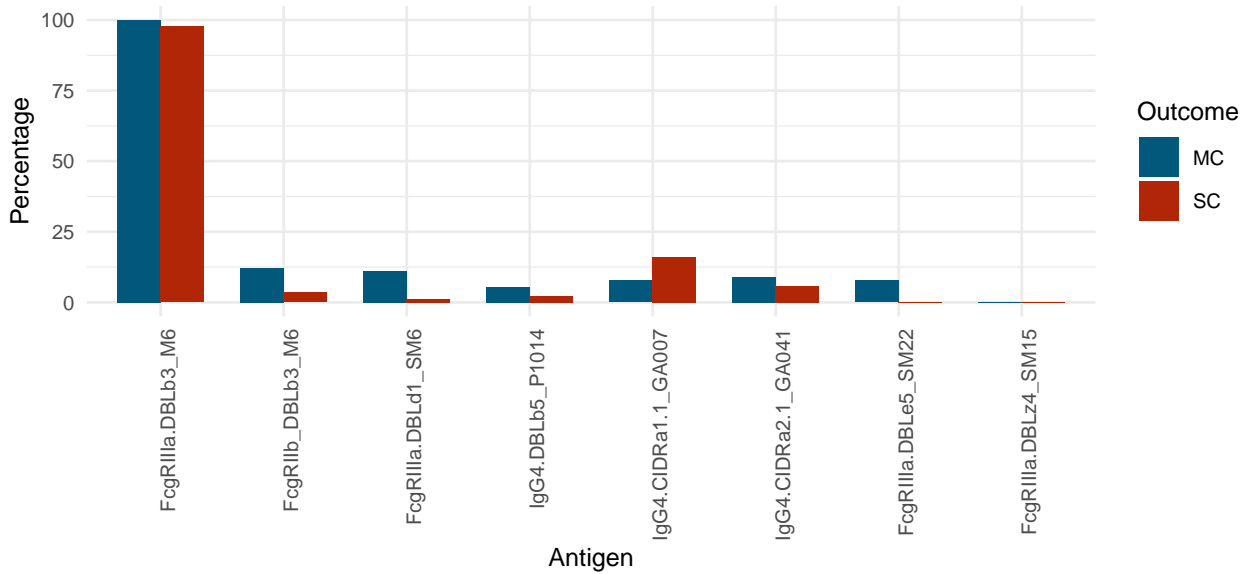


Figure 17: **Antibody feature seroprevalence of eight selected PfEMP1 proteins in PNG children with (A) acute and (B) convalescent malaria.** Percentage of children with antibody feature levels greater than two standard deviations above the mean of 20 Melbourne controls, shown for children with acute uncomplicated malaria (MA), convalescent uncomplicated malaria (MC), acute severe malaria (SA) and convalescent severe malaria (SC).

Amongst the eight selected features at the acute time point, 25 - 50% of children in both groups were seropositive for FcγRIIIa and FcγRIIb to DBLβ5_P1011 and FcγRIIb to DBLζ4_SM15. Less than 25% of children in both groups were seropositive for other features, including IgG4 to CIDRγ12_SM26 and FcγRIIb to DBLδ1_SM6, for which 0 - 2% of individuals were seropositive.

Amongst the eight selected features at the convalescent time point, 98 - 100% of individuals were seropositive to FcγRIIa binding antibodies targeting DBLβ3_M6. For other features, less than 15% of individuals were seropositive, including FcγRIIIa to DBLζ4_SM15, for which no individuals were seropositive.

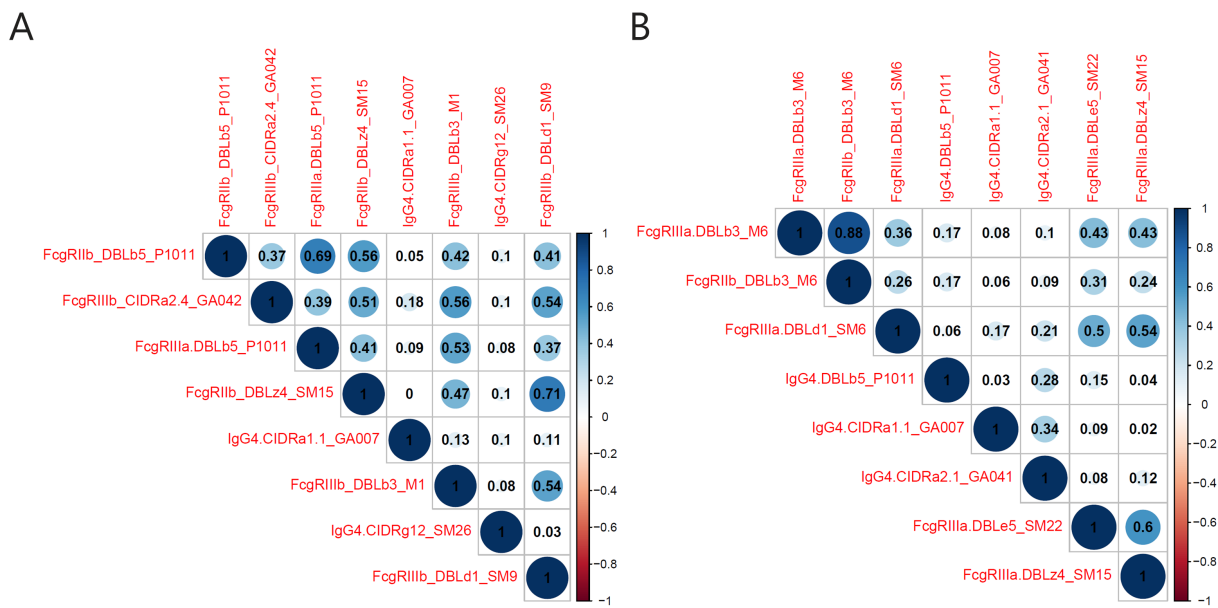


Figure 18: **Correlation of eight selected features that distinguish between severe and uncomplicated malaria at (A) acute and (B) convalescence.** Circle size and shade represents strength of correlation, determined by Spearman's correlation coefficient, R (numbers on circles). Circle colour represents negative (red) or positive (blue) correlation.

Amongst the eight selected features for acute phase analysis (Figure 18A), there were weak - moderate positive correlations between Fc receptors (Spearman correlation coefficient $r = 0.37 - 0.56$) and strong correlations between FcγRIIb and FcγRIIIa binding antibodies targeting DBLβ5_P1011 ($r = 0.69$), and FcγRIIb binding antibodies targeting DBLζ4_SM15 and FcγRIIb binding antibodies targeting DBLδ1_SM9 (0.71). There were no correlations between IgG4, or between IgG4 and Fc receptors binding antibodies.

Amongst the eight selected features for the convalescent analysis (Figure 18B), there were weak – moderate positive correlations between Fc receptors ($r = 0.26 - 0.54$) and strong correlation between FcγRIIIa and FcγRIIb binding antibodies to DBLβ3_M6 ($r = 0.88$) and between FcγRIIIa binding antibodies to DBLε5_SM22 and DBLζ4_SM15 ($r = 0.6$). There were weak correlations between IgG4 to CIDRα2.1_GA041 and CIDRα1.1_GA007 ($r = 0.34$), and IgG4 to CIDR2.1_GA041 and DBLβ5_P1011 ($r = 0.28$).

10 Discussion

10.1 Overview

PfEMP1 is a key factor in the pathogenesis of severe malaria and there is evidence that antibodies targeting PfEMP1 are associated with protection. Previous studies have identified domains of PfEMP1 that are more commonly expressed in parasites causing severe malaria or uncomplicated malaria (Lavstsen et al. 2012; Lennartz et al. 2017; Tonkin-Hill et al. 2018) and have identified PfEMP1 target domains for which IgG titers are associated with protection from severe malaria, including in PNG and Papua (Rambhatla et al. 2019; Rambhatla et al. 2022; Tessema et al. 2019). In this study, we probed a broad range of previously identified potential PfEMP1 antibody targets that are associated with severe and uncomplicated malaria and characterized a broad range of antibody Fc features by multiplex immunoassays. We used machine learning analysis to identify a combination of antibody features that best discriminates between severe and uncomplicated malaria in PNG children at the acute stage of infection and at convalescence. We assessed the performance of the selected features to classify individuals using cross validation. Antibodies targeting an ICAM-1 binding DBL β 3 domain, a DBL δ 1 domain associated with EPCR binding PfEMP1 and an EPCR binding CIDR α 1.1 domain were amongst the top features selected for disease classification at both the acute and convalescent time points. Antibodies targeting CIDR α 1 domains were boosted between presentation and convalescence in severe malaria, indicating their involvement in severe disease. However in some cases, different antibody Fc features targeting the same antigen were associated with severe and uncomplicated malaria and we observed complex shifts in antibody features from acute presentation to convalescence. In general, IgG titers did not differ between severe and uncomplicated malaria, whereas Fc γ RIIb, Fc γ RIIIb and Fc γ RIIIa binding and IgG4 were the best features selected by machine learning to distinguish between the groups. The Fc receptors identified are abundant on neutrophils and NK cells, suggesting an important role of these cells in the immune response to pediatric malaria.

10.2 Cohort of PNG children

Children are a valuable cohort to study antibodies to VSAs as they have limited prior exposure compared to adults and make up the majority of severe malaria cases. The advantages of access to samples from this cohort are that it enabled us to study antibody response to PfEMP1 in a region outside of Africa and that there were samples taken at two-time points available (presentation and convalescence). The original study cohort were matched for age and sex (Manning et al. 2011), however, samples for this study were chosen based on availability and

there were important differences in children with severe and uncomplicated malaria that may affect the results.

First, children with severe malaria were younger than children with uncomplicated malaria. This is important because antibodies to VSAs are acquired with age in high transmission settings (Barry et al. 2011; Cham et al. 2009) therefore age may confound the association of antibodies with uncomplicated and severe malaria. We adjusted for age in both univariate and multivariate analysis by including age as a covariate. The coastal area surrounding the sample collection site (Modilon hospital, Madang) has high transmission of malaria (estimated entomological inoculation rate (EIR) of 37 per year for *P. falciparum* at the time of study collection (Michon et al. 2007)), although children with severe malaria may have been referred to Modilon Hospital from a broader catchment area. However, PNG has highly heterogeneous transmission dynamics (Cleary et al. 2022) that can vary between nearby villages as well as within villages (Greenwood 1989). Therefore, there may also be differences in prior exposure that are not captured by differences in age.

To assess non-age related variation in exposure, we measured IgG to MSP2 and AMA1. Antibodies targeting AMA1 and MSP2 are acquired early in life in high transmissions settings (McCallum et al. 2017; Stanisic et al. 2015) and are useful to evaluate differences in prior exposure at a population level (Drakeley et al. 2005; McCallum et al. 2017). There is some evidence they can be used at an individual level to evaluate recent exposure (including in PNG) (McCallum et al. 2017; Stanisic et al. 2015; Yman et al. 2022) but this may be limited to young children, who have low levels of antibodies that do not contribute to protection (Stanisic et al. 2015). At the acute timepoint, there were no statistically significant differences in the mean IgG titers to AMA1 or MSP2 in children with severe or uncomplicated malaria. There were some differences in antibody Fc features that remained significant after adjusting for age (FcγRIIIb, FcγRIIIb to AMA1 and FcγRIIIb to MSP2), although the effect of exposure on these responses is unknown. At convalescence, the mean IgG titers (as well as other Fc features) to AMA1 and MSP2 were significantly higher in uncomplicated malaria compared to severe malaria and the difference remained statistically significant after adjusting for age. The differences in IgG responses to merozoite antigens suggests greater prior exposure in children with uncomplicated malaria compared to severe malaria amongst the convalescent samples, independent of age. This may confound the association between antibodies to PfEMP1 antigens and severe malaria in our analysis.

Additionally, individuals were not matched for ethnicity in the original study and a greater portion of children with severe malaria were of non-Madang ethnicity (included Sepik and other ethnicities). There are a large number of genetic polymorphisms that have been selected

for in populations living in malaria endemic areas, including PNG. Polymorphisms that have a high prevalence in PNG include α -thalassemia, Southeast Asian ovalocytosis and complement receptor 1 deficiency. These polymorphisms have been associated with protection from severe malaria (Allen et al. 1999; Cockburn et al. 2004; Genton et al. 1995; Manning et al. 2011) but not uncomplicated malaria (Rosanas-Urgell et al. 2012). Due to the diverse transmission dynamics in PNG, polymorphisms have been shown to be prevalent in individuals in high transmission settings and absent in those in low transmission settings (Cockburn et al. 2004; Oppenheimer et al. 1984; Yenchitsomanus et al. 1985; Yenchitsomanus et al. 1986) and this is likely to lead to ethnicity linked differences in prevalence. The presence of genetic variants is not known to affect the age specific acquisition of antibodies to VSAs (Fowkes et al. 2008) but may still contribute to variation between the SM and UM groups of our study that is not explained by antibodies to VSAs.

10.3 Targets of antibodies associated with severe and uncomplicated malaria.

We hypothesized that children with severe malaria lack antibodies to specific PfEMP1 and aimed to identify a combination of antibody features that can be used to identify children with severe or uncomplicated malaria. The difficulty with samples taken at the acute phase of infection is that antibody levels may reflect both prior exposure and the current infection. Nevertheless, in Part IV, we were able to use acute phase samples to identify a combination of antibody features that correlated with clinical presentation in Malawian children. Here, the combination of 8 features chosen to predict clinical outcome at the acute time point included features associated with both increased odds of severe and uncomplicated malaria. The targets of antibodies that were associated with an increased odds of uncomplicated malaria included a group A DBL β 3, that has the ICAM-1+EPCR dual binding motif, a group B CIDR α 2.4 that binds CD36, CIDR γ 12 and DBL δ 1 that are upregulated in severe malaria.

Expression of DBL β 3 that contain the dual ICAM-1+EPCR binding motif has been associated with cerebral malaria in some studies (Tuikue Ndam et al. 2017; Lennartz et al. 2017) but not all studies (Joste et al. 2020). In our previous study of Malawian children, antibodies to DBL β 3 were represented in four out of five features were associated with increased odds of uncomplicated malaria compared to cerebral malaria. In this PNG cohort, 13% of children are predicted to have had cerebral malaria (Blantyre coma score of 0 – 2) and it is possible that antibodies targeting DBL β 3 are included in the top selected features because they accurately describe this subset of children. In other studies, antibodies to DBL β 3 domains have been associated with protection from severe malaria (Oleinikov et al. 2012), severe anaemia (Badaut et al. 2021) and uncomplicated malaria (Kanoi et al. 2018) and can block adhesion to ICAM-1

in vitro (Jensen et al. 2004). In line with our results, a previous study in PNG found that antibodies to DBL β 3 that contain the dual binding motif are associated with protection from high parasitaemia (Tessema et al. 2018). Therefore both the current study and prior studies suggest that antibodies to DBL β 3 play a role in protection from severe malaria pathologies beyond cerebral malaria in PNG.

Expression of CD36 binding domains is generally associated with uncomplicated malaria (Mkumbaye et al. 2017a; Rogerson et al. 1999; Tuikue Ndam et al. 2017), however, antibodies to both EPCR binding and CD36 binding CIDR α have been associated with prospective protection from febrile malaria in Uganda (Kanoi et al. 2018) and severe malaria in PNG (Tessema et al. 2019) and are elevated in uncomplicated malaria compared to cerebral malaria in Mali (Travassos et al. 2018). Other studies have found no evidence of an association with protection (Rambhatla et al. 2019; Travassos et al. 2018). CD36 binding domains can bind platelets that form a bridge to human brain endothelial cells (Pain et al. 2001) and it is possible that antibodies may protect from severe disease by disrupting this process. The great majority of PfEMP1s contain CD36 binding CIDR domains (Mkumbaye et al. 2017a) and it is possible that antibodies may contribute to a general reduction in parasite sequestration to prevent progression to severe malaria.

Little is known about the other targets of antibodies that were associated with increased odds of uncomplicated malaria at the acute time point. A CIDR γ 12 was found in the head structure of a rosetting parasite line (Ghumra et al. 2011) but little is known of its functions. Antibodies to CIDR γ 12 were amongst the most useful correlates of protection in Malawian children with cerebral and uncomplicated malaria and in Papua adults with severe and uncomplicated malaria (Rambhatla et al. 2022), suggesting they are a novel important target of protective antibodies. Antibodies to a DBL δ 1 variant were associated with a decreased parasite burden in Malian children (Araj et al. 2021) whereas in Malawi, antibodies to a DBL δ 1 were associated with cerebral malaria (Part IV). DBL δ 1 are difficult to study due to their high sequence diversity (Otto et al. 2019) and the limited studies of DBL δ 1 suggest they have diverse functions. One study identified variants of DBL δ 1 that bind α V β 3 and α V β 6 integrins and may strengthen adhesion to endothelial cells or innate immune cells (Chesnokov et al. 2018). In contrast, another study identified a DBL δ 1 with a thrombin cleavage site that may reduce sequestration in cerebral vasculature (Gillrie et al. 2016). The DBL δ 1 that appeared amongst the top correlates in our study (SM9) is a part of DC8 and may be an immunogenic marker of upstream EPCR binding PfEMP1.

The protein array included several examples of EPCR and CD36 binding CIDR α domains. The antibody targets associated with an increased odds of severe malaria included an EPCR

binding domain, CIDR α 1.1. By univariate comparison, there were three CIDR α domains for which antibody features were elevated in uncomplicated malaria compared to severe malaria at the acute timepoint, including two EPCR binding domains (CIDR α 1.4 and 1.6) and one CD36 binding domain (CIDR α 2.4). CIDR α 1.1 can be found in DC13, CIDR α 1.4 can be found in DC8 and CIDR α 1.6 can be found in DC4. Transcription of DC8, DC13 and individual EPCR binding domains are elevated in severe malaria (Bertin et al. 2013; Jespersen et al. 2016), including in PNG (Duffy et al. 2016), as well as in specific severe pathologies including cerebral malaria (Joste et al. 2020; Kessler et al. 2017; Storm et al. 2019; Tuikue Ndam et al. 2017), severe malarial anaemia (Duffy et al. 2019; Jespersen et al. 2016) and respiratory distress (Lavstsen et al. 2012). In PNG, antibodies to EPCR binding domains have been associated with uncomplicated malaria compared to severe malaria (Rambhatla et al. 2019) and antibodies to DBL α domains upstream of EPCR binding CIDR α domains have been associated with reduced risk of severe malaria (Tessema et al. 2019). In Papua, IgG to CIDR α 1.1 was amongst the three antibody targets that best correlated with uncomplicated malaria (Rambhatla et al. 2022). In this study, the inconsistency in the association of EPCR binding domains with either susceptibility to or protection from severe malaria may be dependent on the Fc feature measured, as will be discussed in the following section.

Of the eight selected features that differentiated between severe and uncomplicated malaria at convalescence, seven were associated with increased odds of uncomplicated malaria. Similarly to the acute phase, antibodies targeting DBL β 3, DBL δ 1 and a CIDR α 2.1 were associated with increased odds of uncomplicated malaria. That antibodies were not acquired to these domains following an infection may reflect a poor response to these targets in children with severe malaria, or a lack of exposure to these targets in children with severe malaria that may have left them vulnerable to future infection with these variants. Surprisingly, the two additional targets, DBL β 5 and DBL ζ 4, were associated with severe malaria at the acute phase and associated with uncomplicated malaria at convalescence. DBL ζ 4 is a group B domain that is part of DC9 (DBL γ 3-DBL ζ 4). The function of DBL ζ is unknown, other than that DBL ζ of specific *var* genes can bind the Fc μ region of IgM to mediate rosetting (Stevenson et al. 2015a). Expression of DBL ζ are upregulated in adult severe malaria (Bernabeu et al. 2016; Tonkin-Hill et al. 2018), pediatric severe malaria (Mkumbaye et al. 2017b) and also pediatric uncomplicated malaria compared to severe anaemia (Lavstsen et al. 2012). These differences may depend on whether the DBL ζ is downstream to an EPCR or CD36 binding region. Antibodies targeting DBL ζ 4 were higher in uncomplicated malaria compared to severe malaria in Papuan adults (Rambhatla et al. 2022). In our study, features targeting DBL ζ 4 decreased from acute to convalescence amongst children with severe malaria but not uncomplicated malaria, which may explain the changing associations with severe malaria at the acute phase, and uncomplicated

malaria at convalescence. DBL β 5 is a group B ICAM-1 binding domain that is expected to be downstream to a CD36 binding CIDR and associated with uncomplicated malaria (Olsen et al. 2018). There were no detectable differences in features targeting DBL β 5 from acute to convalescence however there are differences in the Fc features targeting these domains at the different time points, as will be discussed in the following section.

Given that children are likely to acquire antibodies to infecting variants between presentation and convalescence, we aimed to use changes in antibodies over time to identify variants associated with severe disease. Univariable comparison revealed that antibodies to EPCR binding CIDR α 1 domains (1.1 and 1.4) were boosted by greater than two folds from acute severe malaria to convalescence. In uncomplicated malaria, antibodies to both a CD36 binding CIDR α 2.2 and an EPCR binding CIDR α 1.4 were boosted from acute to convalescence. This suggests that severe malaria is caused by a more restricted phenotype than uncomplicated malaria and is in line with a role of EPCR binding CIDR α 1 domains in severe pathology. Interestingly, a broad range of antibody features decayed from the acute phase to convalescence in both groups and many of the features that decayed were the same in severe and uncomplicated malaria. A decay in antibodies targeting CIDR α 1.7, CIDR α 1.5, CIDR α 2.2, DBL β 7 and DBL ζ 4 was unique to children with severe malaria and a decay in antibodies to CIDR α 1.6 was unique to children with uncomplicated malaria. The decay in a greater number antibody features amongst children with severe malaria compared to uncomplicated malaria may contribute to the greater number of univariate differences between severe and uncomplicated malaria at convalescence, compared to acute malaria. The significance of the target antigens for which antibodies decayed from acute to convalescence is unclear. One explanation is that the decay in antibodies may represent low affinity antibodies to non-infecting variants that are cross reactive to other infecting variants (Ofori et al. 2002). Despite high sequence diversity, there is some evidence that naturally acquired antibodies targeting CIDR α 1 domains are cross reactive (shown between CIDR α 1.1 and CIDR α 1.4) (Lau et al. 2015). Alternatively, the decay may represent a transient antibody response to infecting variants. Previous studies have reported short lived antibodies to PfEMP1 (Kinyanjui et al. 2003; Olsen et al. 2019) and other *Plasmodium* antigens (Akpogheneta et al. 2008; Crompton et al. 2010), relative to decades long lived antibodies developed in some viral and bacterial pathogens (Amanua et al. 2007). Following expansion of antibody secreting cells in the acute phase of infection, B cells quickly undergo contraction once the pathogen is controlled for, and surviving antibody secreting cells are converted to long lived plasma cells and memory B cells (Pérez-Mazliah et al. 2020). The decay in antibodies over 8 weeks may be representative of the contraction phase and loss of short lived antibody secreting cells (Pérez-Mazliah et al. 2020). A loss of antibodies following infection may also be indicative of poor induction of long lived plasmablasts and memory B

cells, although maintenance of memory B cells does not necessarily correlate with detectable antibodies (Amanna et al. 2007; Ndungu et al. 2012). Anaemia is associated with impaired memory B cell and plasmablast formation and iron availability enhances B cell proliferation in vitro (Hill et al. 2020). It is possible that a lack of memory B cell formation due to anaemia contributes to the greater decay in antibodies amongst children with severe malaria in this study. Young age (Akpogheneta et al. 2008; White et al. 2014) and altered cytokine profiles that impact B cell survival (Nduati et al. 2011) can also affect antibody longevity. Without understanding the mechanisms of antibody decay, it is difficult to conclude whether examining changes in antibodies from acute to convalescent can be used to accurately identify variants associated with severe malaria.

In summary, the antibody targets that were selected by machine learning to differentiate between children with severe or uncomplicated malaria included DBL β 3, CIDR γ 12, DBL δ 1 and CIDR α 2, CIDR α 1.1, as well as DBL β 5 and DBL ζ 4. EPCR binding CIDR α 1 are also likely to be involved in infection with severe malaria, as evidenced by a lack of antibodies to CIDR α 1 domains at the acute phase of infection and acquisition of antibodies to CIDR α 1 domains in convalescence. However, antibodies to domains associated with both EPCR and CD36 binding PfEMP1 might contribute to protection from severe malaria. Antibody responses to some antigens were associated with both severe and uncomplicated malaria, possibly due to differences in the type of antibody response, as will be discussed below. Antibodies to multiple target antigens decayed over 8 weeks in both groups, particularly amongst children with severe malaria, making it difficult to identify infecting variants based on antibodies.

10.4 Fc features associated with severe and uncomplicated malaria.

Systems serology is based on the idea that Fc features may be better correlates of protection than antibody titers and we hypothesized that this would be true for protection from severe malaria in children. In this study, IgG to only one antigen was elevated in uncomplicated malaria at the acute phase and (in line with our hypothesis) the majority of univariable differences between severe and uncomplicated malaria were antibody Fc features. Amongst the top features selected to classify disease severity, Fc γ R111b was in three of the four features associated with acute uncomplicated malaria. At convalescence, three of the seven features associated with increased odds of uncomplicated malaria were Fc γ R111a. When comparing acute to convalescence, Fc γ R111b and Fc γ R111b features decayed and Fc γ R111a features were boosted, in both the severe and uncomplicated malaria groups. The switch from favouring Fc γ R111b to Fc γ R111a between acute and convalescence was surprising, given that Fc γ R111b and Fc γ R111a share 97% sequence identity in the extracellular region (Roberts et al. 2018) and that there

was a strong correlation between Fc receptor responses amongst the top selected features. One possible explanation is that there is a shift in antigen specific IgG subclass towards IgG2 and IgG4 that have higher affinity for Fc γ R1IIa than Fc γ R1IIb (Gillis et al. 2014). When comparing acute to convalescence, IgG, IgG1 and IgG2 were boosted to CIDR α 1 amongst children with severe malaria, and IgG1 to CIDR α 2.2 was boosted in uncomplicated malaria, but otherwise there were no detectable changes in antibody subclasses by our chosen analysis method.

An alternative explanation for the changes in antibody features over the course of infection is that there are changes in the glycosylation patterns on the antibody Fc region. Post transcriptional modification of antibody Fc glycosylation also influences affinity to Fc γ R1II and may change over the course of infection. Antibodies to membrane bound antigens, including the VAR2CSA variant of PfEMP1 (Larsen et al. 2021), become afucosylated with exposure and this enhances Fc γ R1II affinity (Oosterhoff et al. 2022). The enhanced affinity is more apparent in Fc γ R1IIb than Fc γ R1IIa (Subedi et al. 2016), although the addition of galactose enhances the effect of afucosylation in Fc γ R1IIa more than Fc γ R1IIb (Dekkers et al. 2017). It is possible that a specific glycosylation pattern may explain the affinity for Fc γ R1IIb at the acute phase and Fc γ R1IIb following exposure. Fc γ R1IIa/b affinity also varies with Fc receptor glycosylation patterns (Roberts et al. 2018) but the recombinant Fc γ R1IIa and Fc γ R1IIb used in this study were produced in the same expression system and are likely to have consistent glycosylation patterns. Given that changes in Fc γ R1IIa and Fc γ R1IIb occurred in both uncomplicated and severe malaria, this Fc feature signature may not necessarily be a 'protective' signature but rather a trend in innate immune function over the course of infection.

Although the reason for the shift in Fc γ R1IIa/b binding affinity is unclear, the selection of these Fc features has interesting implications. Fc γ R1IIa is predominantly expressed on NK cells and has an immunoreceptor tyrosine-based activation motif (ITAM) that promotes NK cell activation, cytokine production and release of cytotoxic granules (Gillis et al. 2014). Antibodies targeting VAR2CSA induce NK cell activation and degranulation (Damelang et al. 2021) and antibodies targeting the IE promote $\gamma\delta$ T cell activation (Farrington et al. 2020), but the association with protection from severe disease in children is unknown. Fc γ R1IIa is also expressed on intermediate monocytes that are expanded in severe malarial anaemia (Ogonda et al. 2010) where activation is thought to contribute to ADCP (Zhou et al. 2015). Fc γ R1IIb is predominantly expressed on neutrophils with a glycosylphosphatidylinositol (GPI) anchor that does not contain an intracellular domain (Gillis et al. 2014). Some studies have hypothesized that it acts as a decoy receptor to limit activation, however others have proposed it couples membrane bound CR3 to active granulocytes (Stöckl et al. 1995) or cooperates with Fc γ R1IIa to promote ADNP (Garcia-Senosiain et al. 2021) (but not ROS production (Kapelski et al. 2014)). Fc γ R1IIb responses were generally associated with uncomplicated malaria at both the

acute phase and convalescence. In line with our results, Fc γ RIIIb binding antibodies targeting DBL β domains were also associated with acute uncomplicated malaria compared to cerebral malaria in the Malawian cohort. Antibodies enhance ADNP of ICAM-1 binding IE (Zelter et al. 2022). ADNP of VAR2CSA expressing IE has been associated with protection from placental malaria (Aitken et al. 2021) and ADNP of merozoites has been associated with protection from uncomplicated malaria (Garcia-Senosaiin et al. 2021). Interestingly, activated neutrophils were found to be associated with pathology in retinopathy positive cerebral malaria compared to retinopathy negative malaria (Feintuch et al. 2016), suggesting that different facets of neutrophil function may have deleterious consequences and regulation of neutrophil activity may be important. One regulatory factor, Fc γ RIIb, is found on neutrophils and monocytes and contains an immunoreceptor tyrosine-based inhibition motif (ITIM) that engages with ITAM Fc receptors to dampen the activation response (Gillis et al. 2014). Fc γ RIIb was highly correlated to Fc γ RIIIb and Fc γ RIIIa and was associated with both severe and uncomplicated malaria in the selected features at acute and convalescence.

In addition to Fc receptor binding to antigen specific antibodies, IgG4 was frequently selected by machine learning as one of the best classifiers of disease severity. IgG4 was generally expressed at low levels, similar to the Melbourne controls, such that few people were considered seropositive. It is possible that IgG4 binds with higher affinity to some antigens, such as CIDR α 1.1, than the other subclasses. IgG4 responses were associated with both severe and uncomplicated malaria, suggesting it may be both protective and detrimental. This has been mirrored in studies of merozoite antigens, where IgG4 has been associated with reduced risk of uncomplicated malaria (Nebie et al. 2008) and susceptibility to cerebral malaria compared to uncomplicated malaria (Mbengue et al. 2019b). IgG4 binds with low affinity to Fc receptors and C1q (Gillis et al. 2014) and may dampen the immune response by blocking binding sites for cytophilic subclasses IgG1 and IgG3. A dampened response to some antigens (DBL β 5, CIDR α 2.1, CIDR γ 12) may be beneficial to prevent excessive inflammation but may also prevent important immune clearance mechanisms, such as phagocytosis, of other PfEMP1 variants (CIDR α 1.1). This may explain why IgG4 targeting CIDR α 1.1 was associated with increased odds of severe malaria at both the acute and convalescent time points. IgG4 was also amongst the top features selected to classify cerebral and uncomplicated malaria in the Malawian children, where we hypothesized it was a marker of repeated exposure in Part IV. Class-switching towards IgG4 with repeat exposure has been classically described in beekeepers who are repeatedly exposed to bee venom antigen (Allen 2022), and more recently described as a result of repeat exposure to mRNA vaccination against SARS-CoV2 (Pillai 2023). Class switching towards IgG4 with repeat exposure would explain why Fc γ RIIb and Fc γ RIIIb binding antibodies to DBL β 5 were associated with severe malaria at the acute time point, possibly representing a first-time expo-

sure, but IgG4 targeting DBL β 5 was associated with uncomplicated malaria at convalescence, possibly following a repeat exposure. However, there were no detectable changes in antigen specific IgG4 from acute to convalescence, suggesting that IgG4 responses were antigen driven rather than time dependent. IgG4 is favoured in asymptomatic helminth infections, such as schistosomiasis or lymphatic filariasis (Jassim et al. 1987; Mishra et al. 2019) and may skew the antibody response to other antigens towards IgG4 (Mishra et al. 2019). However, mass drug administration of diethylcarbamazine plus albendazole was conducted around the time of sample collection and dramatically reduced the incidence of filariasis in the Madang region (Weil et al. 2008).

In summary, Fc features were more useful correlates of disease severity than IgG titers. Fc γ RIIIa, Fc γ RIIIb, Fc γ RIIb and IgG4 responses were the top selected features used to identify children with severe and uncomplicated malaria. Fc γ RIIIb was generally associated with uncomplicated malaria whereas Fc γ RIIIa, Fc γ RIIb and IgG4 did not favour severe or uncomplicated malaria. Fc γ RIIIb responses were prevalent at the acute phase and decayed to convalescence, whilst Fc γ RIIIa responses were boosted from acute to convalescence. This could promote activation of neutrophils at the acute phase to remove infecting variants via ADNP, followed by activation of NK cells and intermediate monocytes towards the resolution of infection. The role of IgG4 in malaria in children is unclear but it appears to be a useful marker that should not be ignored in studies of blood stage immunity to malaria.

10.5 Study strengths and limitations.

A strength of this study was the use of machine learning analysis as an unbiased method to select the top correlates of disease presentation. Overall, antibody features to PfEMP1 were effective markers of disease severity, such that even eight randomly selected features could classify children as having severe or uncomplicated malaria with above 50% accuracy (57% accuracy at the acute phase and 67% accuracy at convalescence). Eight features were chosen to assess the classification performance of the most frequently selected features by Elastic Net regularized Logistic regression. However, the overall PLSDA model performance did not noticeably improve with the addition of each of the eight features and it may be possible to further optimize the model to reduce the number of selected features with only a small cost to the classification accuracy. Furthermore, the addition of many of the features improved the classification accuracy for the uncomplicated malaria group but non severe malaria group (particularly at convalescence). Were this model to be developed into a predictive tool for clinical diagnosis, it may be a priority to classify susceptibility to severe malaria alone and therefore a smaller number of features could be selected. Additionally, the features were added

to the PLSDA model in order of frequency of selection, however there were 22-23 features that appeared in greater than 70% of the Elastic Net regularized regression modeling and other combinations of features that have not been assessed here may have similar classification performance.

Another strength of this study is the use of a broad selection of proteins associated with severe and uncomplicated malaria and the inclusion of multiple examples of proteins with the same binding phenotype, which increased the chances of covering isolates circulating in the region at the time of sample collection. The limitations of recombinant proteins include the possibility of incorrect protein folding and excluding epitopes that may span multiple domains.

Another strength is the inclusion of follow up convalescent samples which allowed us to identify changes in antibody features over a single infection and to identify targets for which antibodies were boosted during infection. Changes of antibodies over time were identified by univariate logistic regression, however more advanced modelling such as linear mixed effects modelling may be more appropriate to account for random variation in the dataset (Hasdemir et al. 2020). Antibodies to many antigens decayed rapidly, and it is possible that children with uncomplicated malaria had a severe episode following the study and were therefore not immunologically protected. In our case-control study design, we were unable to determine long term associations of antibodies and protection.

There were additional limitations in the samples included in this study. The original cohort was well matched for age and sex, however for this study we had limited sample availability that meant there were important differences in the groups. Differences in age and ethnicity may have been emphasized when down selecting samples for the multivariate regression analysis. Whilst we controlled for age in univariate regression analysis and PLSDA, future analysis could consider better ways to control for exposure, such as including the MSP2/AMA1 responses as co-variables. Other studies have controlled for exposure by classifying children based on the number of new parasite clone infections over a period of time (molecular Force of Infection) (Mueller et al. 2012; Tessema et al. 2019), but this requires longitudinal follow-up samples and PCR analysis. Finally, the children with severe malaria had a broad range of pathologies but time constraints and study power prevented further investigation of individual malaria subsets. A previous study in the same cohort of antibodies to CIDR α domains did not find differences in uncomplicated malaria compared to subsets of severe malaria syndromes (e.g. cerebral malaria, anaemia or acidosis) (Rambhatla et al. 2019). However in this study we have considered a broad range of antibody responses and PfEMP1 targets and stratification by severe pathology may reveal more specific antibody signatures.

In conclusion, this study has laid the groundwork for further development of a predictive tool

to identify children with severe and uncomplicated malaria that may be useful for diagnostics and surveillance of severe malaria in PNG, or may inform the development of therapeutic interventions such as multivalent vaccines and monoclonal antibody cocktails.

Part VI

**Antibody dependant monocyte and
neutrophil phagocytosis of ICAM-1 binding
IE and protection from severe malaria**

11 Background

Cytoadherence is key to the pathogenesis of malaria and is mediated by PfEMP1 on the surface of IE binding to various endothelial cell receptors. ICAM-1 is one such adhesion receptor that is widely expressed on the brain endothelium of individuals with fatal cerebral malaria (Turner et al. 1994). There are two types of ICAM-1 binding PfEMP1: dual ICAM-1+EPCR binders and dual ICAM-1+CD36 binders. EPCR binding IE have been associated with cerebral malaria and severe malaria (Jespersen et al. 2016; Lavstsen et al. 2012; Mkumbaye et al. 2017b), as has the subset of dual ICAM-1+EPCR binders (Kessler et al. 2017; Lennartz et al. 2017; Tuikue Ndam et al. 2017). Dual ICAM-1+EPCR binding IE are thought to be particularly important in the pathogenesis of cerebral malaria, by strengthening adhesion of IE to brain endothelial cells and possibly disrupting the protein-C pathway required for maintenance of the blood brain barrier (Jensen et al. 2020). CD36 binding IE are more common but are not associated with severe malaria and CD36 is not ubiquitously expressed on brain endothelial cells (Mkumbaye et al. 2017b; Rogerson et al. 1999; Turner et al. 1994). The subset of dual ICAM-1+CD36 binding IE are more common in cases of uncomplicated malaria than severe malaria (Tuikue Ndam et al. 2017).

Antibodies targeting the recombinant domains of ICAM-1+EPCR dual binders have been associated with protection from uncomplicated malaria, severe malaria (Kanoi et al. 2018; Oleinikov et al. 2012; Tessema et al. 2018) and cerebral malaria in some studies (Travassos et al. 2018) but not others (Joste et al. 2020). There is a lack of studies that have measured antibodies to the whole PfEMP1 on the surface of IE that bind both ICAM-1+EPCR (Badaut et al. 2021), which may be more representative of the antibody response *in vivo*.

To better understand the relationship between antibodies targeting ICAM-1 binding IE and disease manifestations we need reliable assays that can best detect a protective antibody response. In malaria in pregnancy, opsonic phagocytosis of the IE was a better correlate of protection than levels of IgG binding to the IE (Aitken et al. 2021). Both monocytes and neutrophils phagocytose opsonized IE by recognition of the antibody Fc region by cell surface Fc receptors. Only a few published studies have considered the association of antibody dependant cell phagocytosis (ADCP) or antibody dependant neutrophil phagocytosis (ADNP) of IE and specific malaria pathologies (Aitken et al. 2021; Ataíde et al. 2011; Chan et al. 2019a; Quintana et al. 2018). ADCP and ADNP of VAR2CSA expressing IE has been associated with protection from placental malaria (Aitken et al. 2021) and ADCP has been associated with increased birthweight following malaria in pregnancy (Ataíde et al. 2011). ADCP of IT4VAR19 IE is elevated in uncomplicated compared to severe malaria (Chan et al. 2019a) but there are no differences for the rosetting parasite line FCR3S1.2 (Quintana et al. 2018). No published studies

have considered the association of ADCP or ADNP of ICAM-1 binding IE and severe malaria.

To address these knowledge gaps, we investigated whether ADCP and ADNP of ICAM-1 binding IE are associated with protection from severe malaria in children living in malaria endemic areas. We used IE that were selected to express IT4VAR13 that binds to ICAM-1+CD36 and 3D7VAR04 that binds to ICAM-1+EPCR. IE were opsonized with serum samples from two case-control studies – Malawian children with cerebral malaria or uncomplicated malaria, and PNG children with severe malaria (mixed etiology) or uncomplicated malaria, with samples taken at the acute phase of infection and at convalescence. A well established assay using the monocytic THP-1 cell line was used to measure ADCP (Kassa et al. [2022](#)), and a more recently developed assay using freshly isolated neutrophils from healthy Melbourne donors was used to measure ADNP (Aitken et al. [2021](#)).

11.1 Hypotheses

11.1.1 One

Among children living in malaria endemic areas, levels of antibody that target ICAM-1+EPCR binding infected erythrocytes and induce ADCP by monocytes are higher in children with uncomplicated malaria compared to severe malaria. There are no differences in antibodies that target ICAM-1+CD36 binding infected erythrocytes and induce ADCP by monocytes.

11.1.2 Two

Among children living in malaria endemic areas, levels of antibody that target ICAM-1+EPCR binding infected erythrocytes and induce ADNP are higher in children with uncomplicated malaria compared to severe malaria. There are no differences in antibodies that target ICAM-1+CD36 binding infected erythrocytes and induce ADNP.

11.2 Aims

- (i) Select for parasites expressing PfEMP1 variants that are predicted to bind to ICAM-1+EPCR and ICAM-1+CD36
- (ii) Measure THP-1 ADCP of ICAM-1+EPCR binding IE and ICAM-1+CD36 binding IE opsonised with sera from Malawian children and determine associations with cerebral malaria and uncomplicated malaria
- (iii) Measure THP-1 ADCP of ICAM-1+EPCR binding IE and ICAM-1+CD36 binding IE opsonised with sera from PNG children and determine associations with severe malaria and uncomplicated malaria
- (iv) Measure ADNP of ICAM-1+EPCR binding IE and ICAM-1+CD36 binding IE opsonised with sera from Malawian children and determine associations with cerebral malaria and uncomplicated malaria
- (v) Measure ADNP of ICAM-1+EPCR binding IE and ICAM-1+CD36 binding IE opsonised with sera from PNG children and determine associations with severe malaria and uncomplicated malaria
- (vi) Evaluate donor variability within the ADNP assay and correlations between the ADNP, THP-1 assay and multiplex immunoassays.

12 Results

12.1 Clinical samples used in ADCP and ADNP assays

A detailed description of sample collection and clinical characteristics are provided in Materials Methods Section 7.1.

Malawian children were classified as having cerebral or uncomplicated malaria and the median age across the two groups and two time points ranged from 48 - 56 months old. Acute cerebral and uncomplicated malaria samples were matched for age, precise location of residency and urban/rural setting. Of the convalescent samples, 18 had acute samples available (paired). Convalescent samples were matched by age and urban and rural setting but not by precise location of residency (Table 13).

PNG children were classified as having severe or uncomplicated malaria and the median age across the two groups and two time points ranged from 39 - 45 months old. Children classified as having severe malaria had a range of manifestations: 38% had severe anaemia, 13% had cerebral malaria (BCS 0-2) and 12% had respiratory acidosis. Out of all the convalescent samples, 118 had acute samples available. Children were mostly from the Madang region (86%) but were not matched for precise location of residency (Table 14).

A different number of samples was used for each assay because of low sample availability and exclusions based on poor experimental replicates, as listed in Table 13 and 14.

Table 13: **Summary of Malawian children cohort categorized by disease severity**

Characteristic	Uncomp. act.	Uncomp. con.	Cerebral act.	Cerebral con.
<i>n in final assay</i>				
ADCP IT4VAR13	45	31	40	43
ADNP IT4VAR13	44	32	4	42
ADCP 3D7VAR04	53	50	51	47
ADNP 3D7VAR04	37	28	38	43
<i>Age, median [IQR], months</i>				
	48 [26 - 84]	49 [26 - 72]	56 [35 - 83]	55 [24 - 84]
<i>Age group, n %</i>				
0 – 48 months	28 (53%)	23 (46%)	22 (43%)	23 (49%)
49 - 90 months	25 (47%)	27 (54%)	29 (57%)	24 (51%)
<i>Sex, n %</i>				
Female	19 (36%)	15 (30%)	18 (35%)	20 (43%)
Male	34 (64%)	35 (70%)	33 (65%)	27 (57%)
<i>Location, n %</i>				
Urban	26 (49%)		28 (55%)	23 (49%)
Rural	27 (51%)		23 (45%)	24 (51%)
<i>Blantyre Coma Score, median [IQR]</i>				
	5 [5 - 5]		2 [1 - 2] ^b	2 [1 - 2] ^c
<i>Retinopathy positive, n (%)</i>				
	0 (0%)		43 (83%)	39 (83%)
	0 (0%)	0 (0%)	1 (2%) ^d	1(2%) ^e
<i>Temperature, median [IQR], degrees Celsius</i>				
	38.7 [38-39.1]	36.3 [36.1-36.6]		
<i>Parasitaemia, median [IQR], per μL)</i>				
			3678	2885
			[402–28720]	[380–59020]
<i>Haemoglobin, median [IQR], (g/dL)</i>				
	10.4 [8.7-11.7] ^a	11.2 [10.2-12.1]	8.1 [7.0-9.2]	8 [6.9-9.1]
<i>Severe anaemia (<50 g/dl), n (%)</i>				

Table 13: *Uncomp. and Cerebral*: Uncomplicated malaria and cerebral malaria. *act. and conv.*: acute and convalescent. *n*: number of samples. IQR: Inter Quartile Range. (^a) 52 samples with clinical information available for haemoglobin. (^b) 24 samples with clinical information available for Blantyre Coma Score (BSC). (^c) 24 samples with clinical information available for Blantyre Coma Score (BSC). (^d) 48 samples with clinical information available for haemoglobin. (^e) 44 samples with clinical information available for haemoglobin.

Table 14: Summary of PNG children cohort categorized by disease severity

Characteristic	Uncomp. act.	Uncomp. con.	Severe act.	Severe con.
<i>n in final analysis</i>				
ADCP IT4VAR13	73	88	204	90
ADNP IT4VAR13	73	100	204	86
ADCP 3D7VAR04	73	38	204	87
ADNP 3D7VAR04	73	46	204	70
<i>Age, median [IQR], months</i>				
	45 [30-56]	43[31-58]	40 [29-55]	39 [30-51]
<i>Age group, n (%)</i>				
0 – 48 months	37 (54%)	58 (58%)	138 (68%)	62 (70%)
49 - 90 months	31 (46%)	42 (42%)	66 (32%)	27 (30%)
<i>Sex, n (%)^a</i>				
Female	32 (47%)	42 (43%)	86 (42%)	42 (47%)
Male	36 (52%)	56 (57%)	118 (58%)	48 (53%)
<i>Ethnicity, n (%)^b</i>				
Madang	57 (86%)	89 (89%)	138 (71%)	68 (76%)
Other	9 (14%)	11 (11%)	55 (29%)	22 (24%)
<i>Blantyre Coma Score, n (%)^c</i>				
0-2			25 (13%)	
3			13 (6%)	
4			31 (15%)	
5	73 (100%)	73 (100%)	133 (66%)	
<i>Haemoglobin (g/dL)^d</i>				
	8.4 [7.6-9.7]	10.6 [9.6-11.5]	7.9 [5.5-9.4]	11.0 [9.6-11.5]
<i>Severe anaemia (<50 g/dl), n (%)</i>				
	0 (0%)	0 (0%)	44 (22%)	0 (0%)
<i>Acidosis, n (%)</i>				
			17 (12%)	
<i>Species, n (%)^e</i>				
Pf	35 (78%)		173 (85%)	73 (83%)
Pfv	10 (22%)		31 (15%)	15 (17%)
<i>Parasitaemia, median [IQR], per μL</i>				
Pf	20230 [3370-84773]	0 [0-563]	70606 [13935-156711]	0 [0-0]
Pfv	0 [0-0]	0 [0-69]	0 [0-0]	0 [0-0]

Uncomp. and Severe: Uncomplicated malaria and cerebral malaria. *act. and conv.*: acute and convalescent. *n*: number of samples. IQR: Inter Quartile Range. ^(a) 98 uncomplicated convalescent samples with clinical information available for Sex. ^(b) 70 uncomplicated acute and 193 severe acute samples with clinical information available for haemoglobin. ^(c) 203 severe acute samples with clinical information available for Blantyre Coma Score (BCS). ^(d) 57 uncomplicated acute, 91 uncomplicated convalescent and 81 severe convalescent samples with clinical information available for Haemoglobin. ^(e) *Plasmodium* species was determined by PCR and microscopy as *P. falciparum* (*Pf*) or mixed *P. falciparum* and *P. vivax* infection. 40 uncomplicated acute, 175 severe acute and 88 severe convalescent samples with clinical information available for Species. ^(f) 27 uncomplicated acute, 97 uncomplicated convalescent, 204 severe acute and 87 severe convalescent samples with clinical information available for Parasitaemia.

12.2 Selection of infected erythrocytes that express *var04*

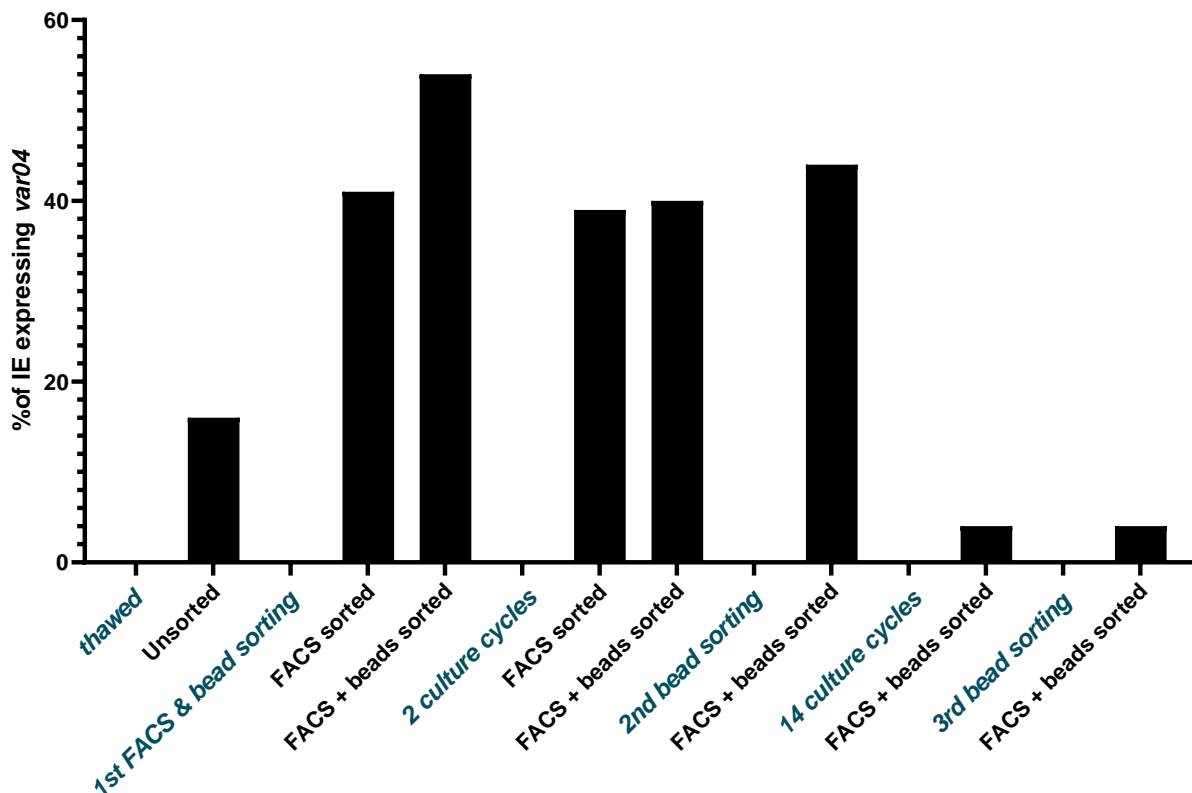


Figure 19: Percentage of infected erythrocytes expressing *var04* following selection by FACS and antibody coated beads. Figure shows chronological events from left to right.

Infected erythrocytes that express *var04* were selected by FACS using a monoclonal antibody targeting DBL β domain of *var04*. Newly thawed 3D7 parasites had 10-16% expression of *var04*. Selection by FACS increased the expression of *var04* by 25 – 32% (Figure 19, 'FACS sorted'). Selection with antibody coated beads further increased the expression of *var04* in parasites that

had been pre-selected by FACS, by 4-13% (Figure 19, 'FACS + beads sorted' following '1st bead sorting' and '2nd bead sorting'). Bead selection did not increase *var04* when expression was low to begin with (Figure 19, FACS + beads sorted, following 3rd bead sorting). When left in culture, 3D7VAR04 switched expression of PfEMP1 at an estimated rate of 5.5% per cycle (mean of three experiments, see example in Figure 19, 'FACS + bead sorted' after '14 culture cycles'). The estimated expression of *var04* prior to final experiments using clinical samples ranged from 44 – 55%. IT4 parasites had 76% expression of *var13* when thawed and were not further selected. The estimated expression of *var13* ranged from 36 – 52% prior to experiments.

12.3 Antibody Dependent Cell Phagocytosis (ADCP) of ICAM-1 binding IE opsonized with sera from Malawian children

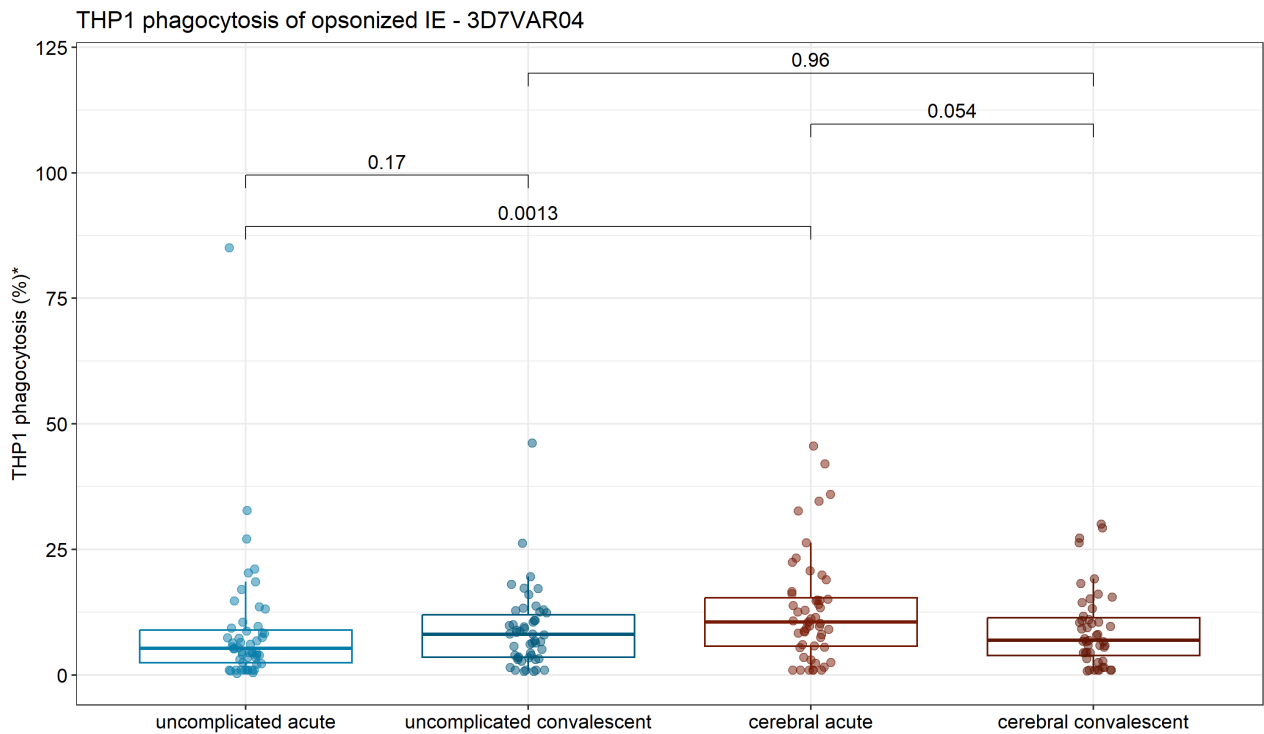


Figure 20: **ADCP of ICAM-1+EPCR binding 3D7VAR04 IE opsonized with sera from Malawian children.** 3D7VAR04 infected erythrocytes were opsonized with sera from Malawian children with cerebral malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE stained THP-1 cells, relative to the positive control (* % phagocytosis relative to positive control). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADCP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons.

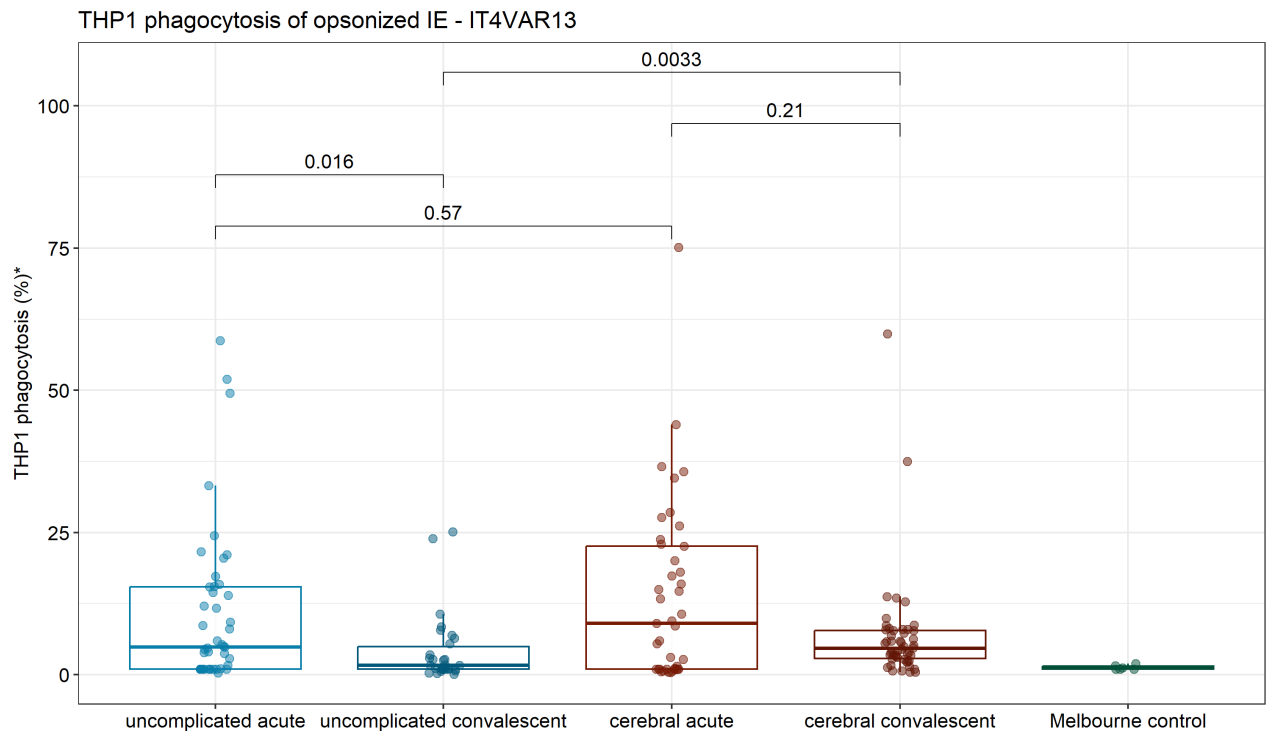


Figure 21: **ADCP of ICAM-1+CD36 binding IT4VAR13 IE opsonized with sera from Malawian children.** IT4VAR13 infected erythrocytes were opsonized with sera from Malawian children with cerebral malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE stained THP-1 cells, relative to the positive control (* % phagocytosis relative to positive control). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADCP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. Uncomplicated acute and cerebral convalescent groups were statistically different from the Melbourne control (P-values 0.041 and 0.0024, respectively, not shown on graph) and uncomplicated convalescent and cerebral acute were not significantly different from the Melbourne control (P-values 0.34 and 0.087, respectively, not shown on graph). There were no corrections for multiple comparisons.

We measured ADCP by THP-1 cells of ICAM-1+EPCR binding 3D7VAR04 and ICAM-1+CD36 binding IT4VAR13 selected IE opsonized with sera from Malawian children. Malawian children with acute cerebral malaria had higher ADCP of 3D7VAR04 IE than children with acute uncomplicated malaria (Figure 20). There were no differences in ADCP of ICAM-1+EPCR binding 3D7VAR04 IE in the acute and convalescent samples, and no differences between cerebral and uncomplicated malaria at convalescence. There were no differences in ADCP of IT4VAR13 IE between children with acute cerebral and acute uncomplicated malaria (Figure 21). Children in convalescence for cerebral malaria had higher ADCP of ICAM-1+CD36 binding IT4VAR13 IE than children with uncomplicated malaria. Children with uncomplicated malaria had significantly higher ADCP of IT4VAR13 IE at the acute phase than in convalescence.

12.4 Antibody Dependent Neutrophil Phagocytosis (ADNP) of ICAM-1 binding IE opsonized with sera from Malawian children

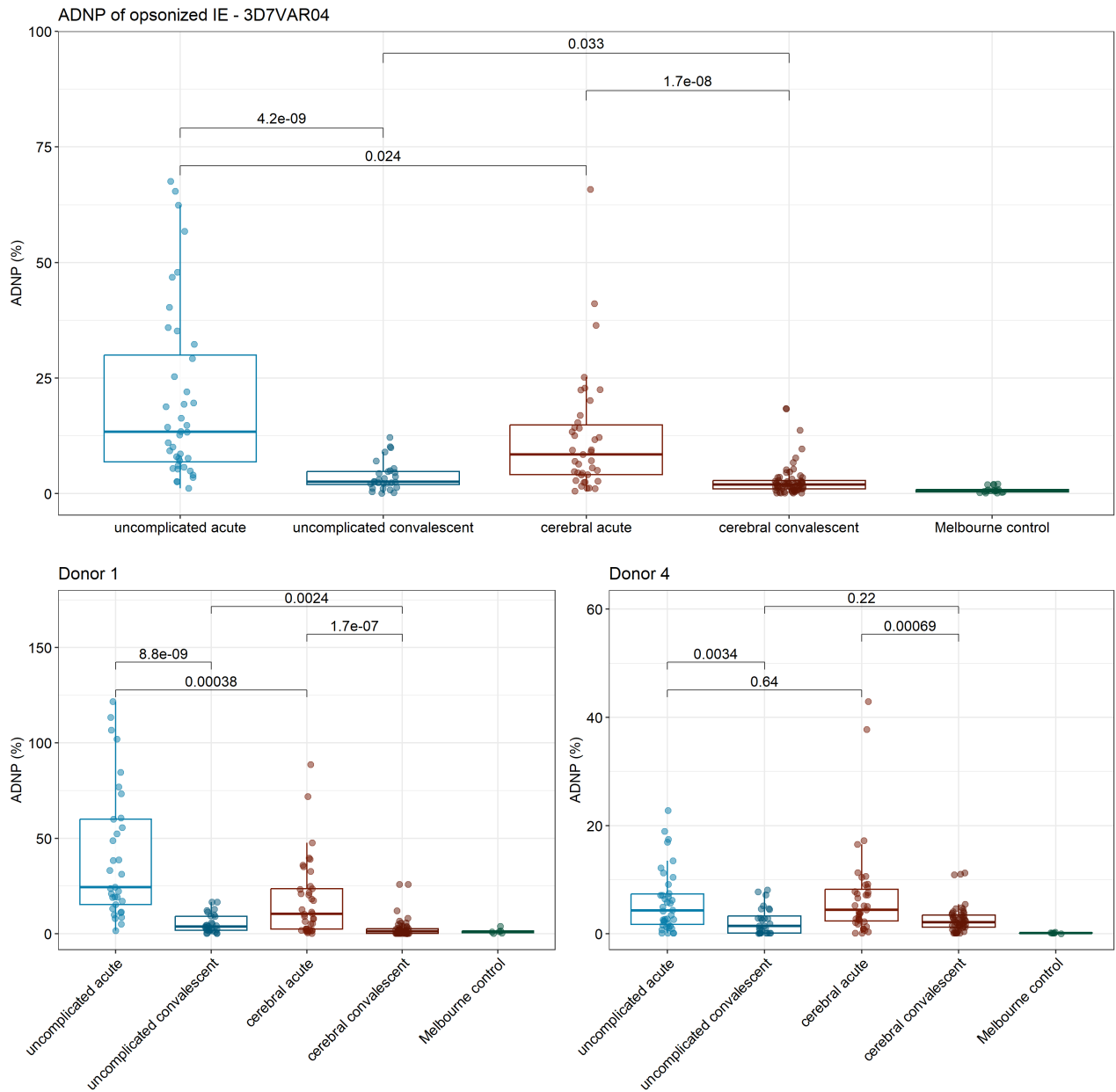


Figure 22: **ADNP of ICAM-1+EPCR binding 3D7VAR04 IE opsonized with sera from Malawian children.** 3D7VAR04 infected erythrocytes were opsonized with sera from Malawian children with cerebral malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE and CD66b positive neutrophils, relative to the positive control (% phagocytosis). Top plot represents mean responses from two neutrophil donors, that are shown individually in bottom plots (Donor 1 and Donor 4). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADNP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons. All groups were significantly different to the Melbourne Control group (P-values <0.001, not shown on graph).

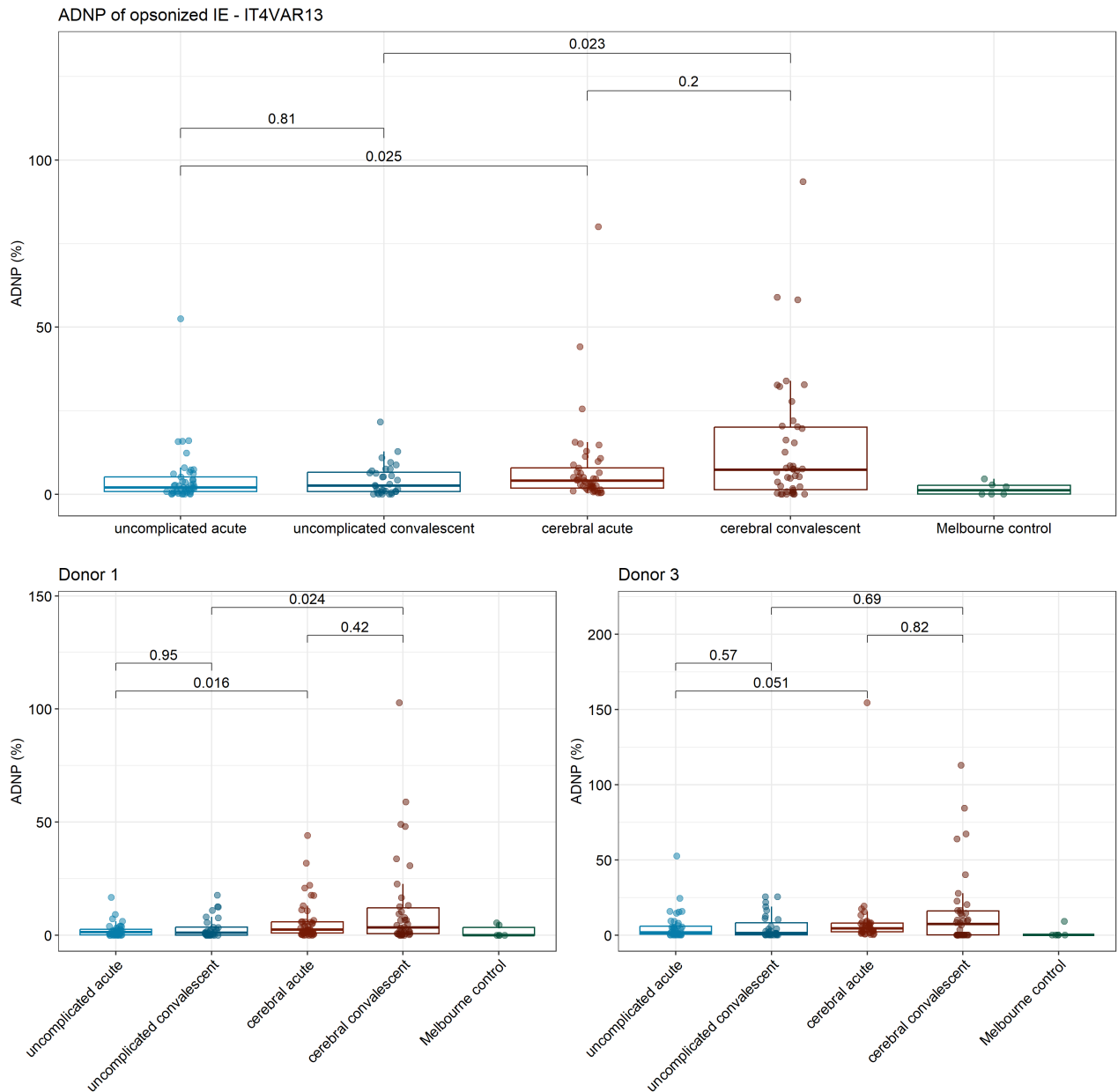


Figure 23: **ADNP of ICAM-1+CD36 binding IT4VAR13 infected erythrocytes opsonized with sera from Malawian children.** ADNP of IT4VAR13 IE opsonized with sera from Malawian children. IT4VAR13 infected erythrocytes were opsonized with sera from Malawian children with cerebral malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE and CD66b positive neutrophils relative to the positive control (% phagocytosis). Top plot represents mean responses from two neutrophil donors, that are shown individually in bottom plots (Donor 1 and Donor 3). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADNP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons. Uncomplicated acute and convalescent groups were not statistically different from the Melbourne control (P-values 0.2 and 0.14, respectively, not shown on graph) and cerebral acute and convalescent groups were significantly different from the Melbourne control (P-values 0.023 and 0.02, respectively, not shown on graph).

We measured ADNP of ICAM-1+EPCR binding 3D7VAR04 and ICAM-1+CD36 binding IT4VAR13 selected IE opsonized with sera from Malawian children. Children with acute uncomplicated malaria had higher ADNP of ICAM-1+EPCR binding 3D7VAR04 IE compared to children with acute cerebral malaria (Figure 22). Children with cerebral and uncomplicated malaria had higher ADNP of 3D7VAR04 IE at the acute phase compared to convalescence. At convalescence, children with uncomplicated malaria had higher ADNP of 3D7VAR04 IE compared to children with cerebral malaria. All children with malaria had higher ADNP of 3D7VAR04 IE compared to malaria naïve Melbourne donors, at the acute phase and at convalescence.

Children with cerebral malaria had higher ADNP to ICAM-1+CD36 binding IT4VAR13 IE compared to children with uncomplicated malaria, at the acute phase and at convalescence (Figure 12.4). There were no differences in ADNP between the acute and convalescent samples. Children with cerebral malaria had higher ADNP to IT4VAR13 IE than malaria naïve Melbourne donors at both time points. There were no differences in children with uncomplicated malaria and Melbourne controls at either time point.

12.5 Antibody Dependent Cell Phagocytosis (ADCP) of ICAM-1 binding IE opsonized with sera from Papua New Guinean (PNG) children

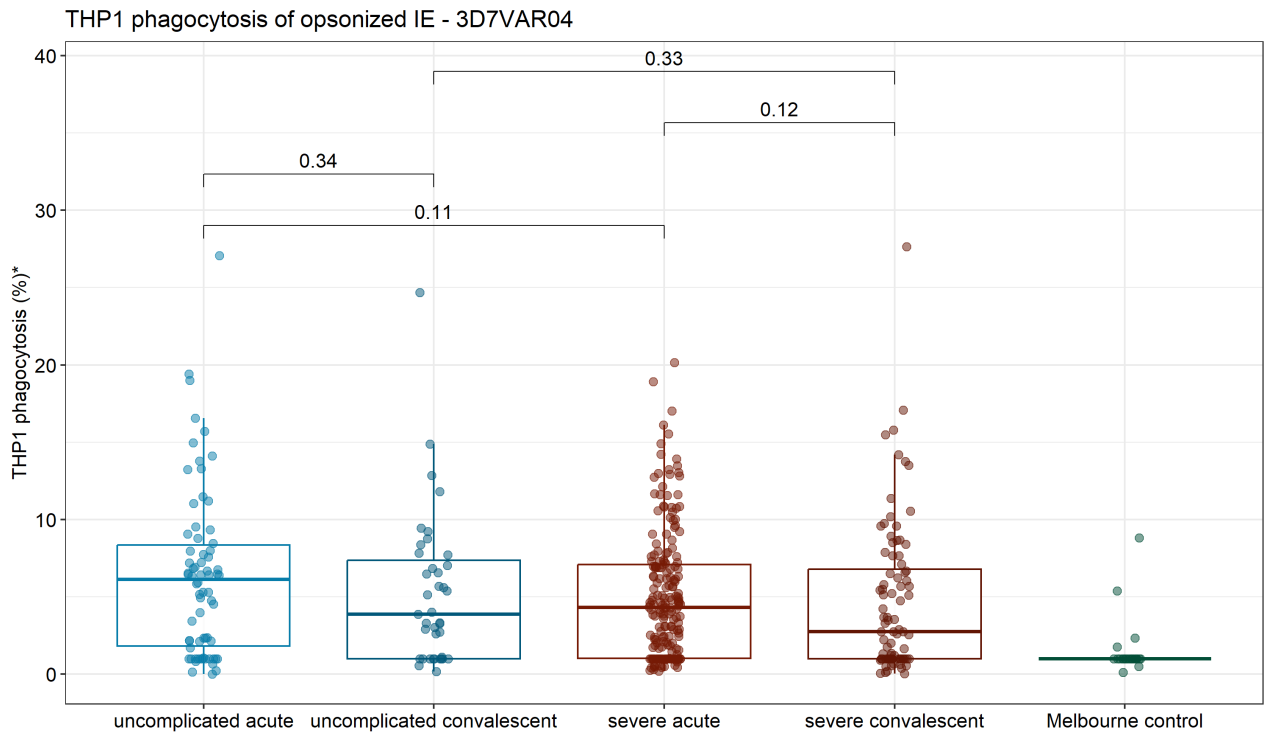


Figure 24: **ADCP of ICAM-1+EPCR binding 3D7VAR04 IE opsonized with sera from PNG children.** 3D7VAR04 infected erythrocytes were opsonized with sera from PNG children with severe malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE stained THP-1 cells, relative to the positive control (* % phagocytosis relative to positive control). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADCP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons. All groups were significantly different to the Melbourne controls (P-values ≤ 0.001 , not shown on graph)

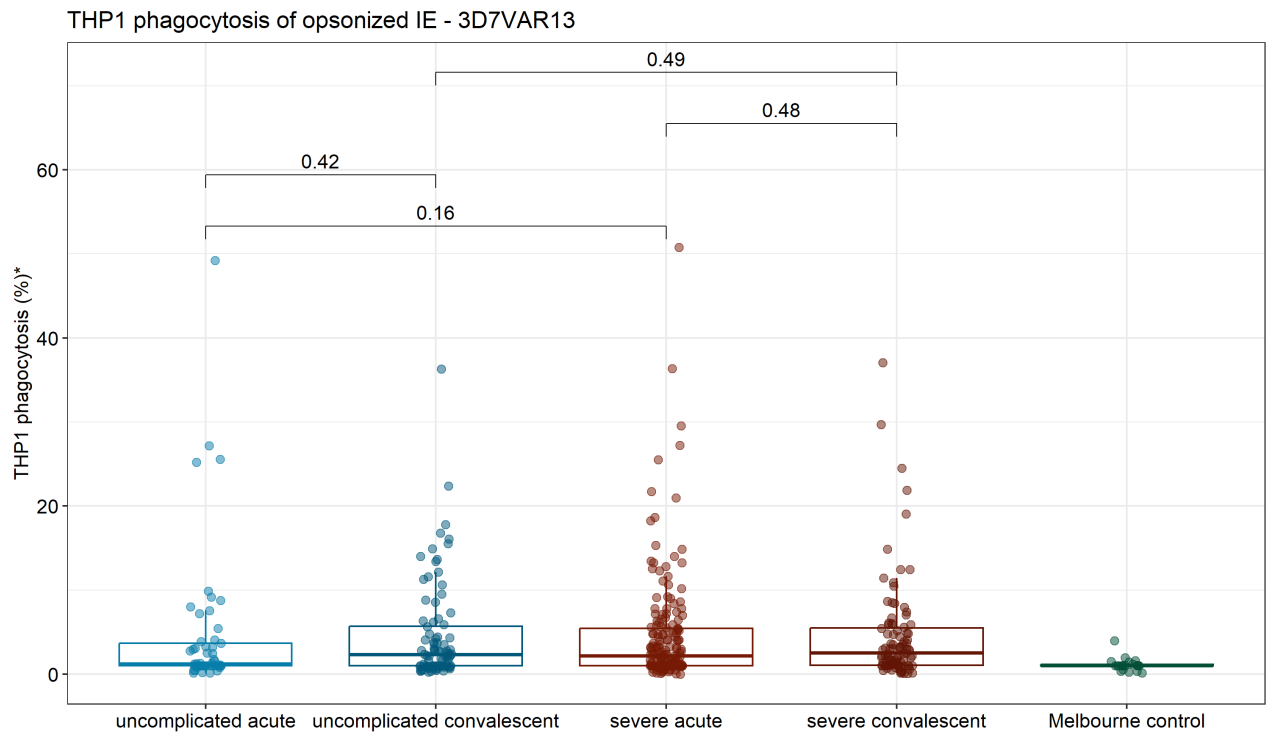


Figure 25: **ADCP of ICAM-1+CD36 binding IT4VAR13 IE opsonized with sera from PNG children.** IT4VAR13 infected erythrocytes were opsonized with sera from PNG children with severe malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE stained THP-1 cells, relative to the positive control (* % phagocytosis relative to positive control). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADCP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons. The uncomplicated acute group was not statistically different from the Melbourne control (P-value 0.03, not shown on graph) and all other groups were significantly different from the Melbourne control (P-values <0.001, not shown on graph).

Next, we measured ADCP by THP-1 cells of ICAM-1+EPCR binding 3D7VAR04 and ICAM-1+CD36 binding IT4VAR13 selected IE opsonized with sera from PNG children with severe or uncomplicated malaria. There were no significant differences in ADCP of ICAM-1+EPCR binding 3D7VAR04 IE in children with severe malaria compared to uncomplicated malaria, for acute or convalescent samples (Figure 24). There were no differences in ADCP between the acute and convalescent samples.

There were no significant differences in ADCP of ICAM-1+CD36 binding IT4VAR13 IE in children with severe malaria compared to uncomplicated malaria, for acute or convalescent samples (Figure 25).

12.6 Antibody Dependent Neutrophil Phagocytosis (ADNP) of ICAM-1 binding IE opsonized with sera from Papua New Guinean (PNG) children

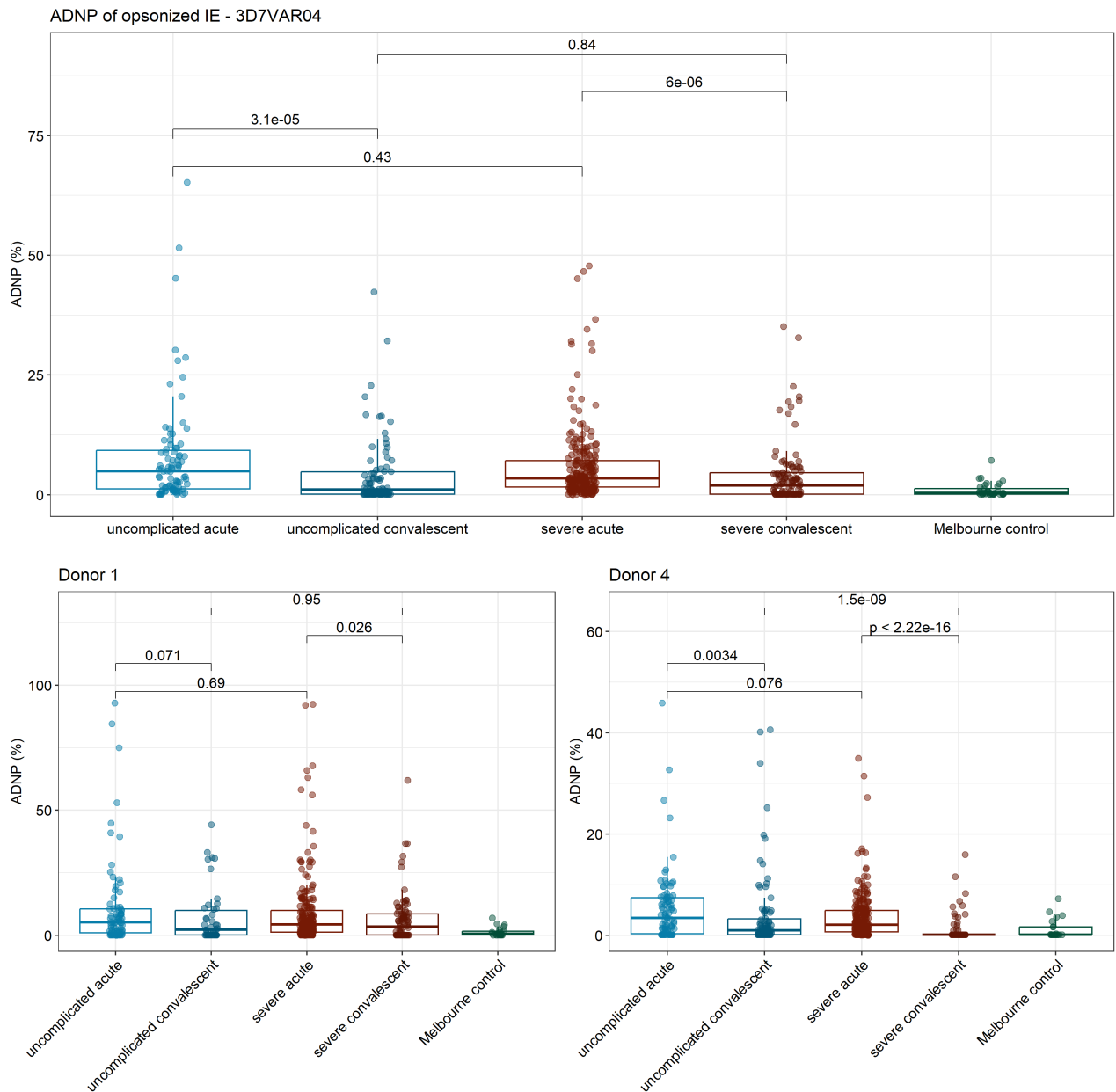


Figure 26: ADNP of ICAM-1+EPCR binding 3D7VAR04 IE opsonized with sera from PNG children. 3D7VAR04 infected erythrocytes were opsonized with sera from PNG children with severe malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE and CD66b positive neutrophils (% phagocytosis). Top plot represents mean responses from two neutrophil donors, that are shown individually in bottom plots (Donor 1 and Donor 4). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADCP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons. Uncomplicated acute and severe acute groups were significantly different to the Melbourne Control group (P-values <0.001, not shown on graph). Uncomplicated convalescent and severe convalescent groups were not significantly different to the Melbourne Control group (P-values 0.14 and 0.071, respectively, not shown on graph).

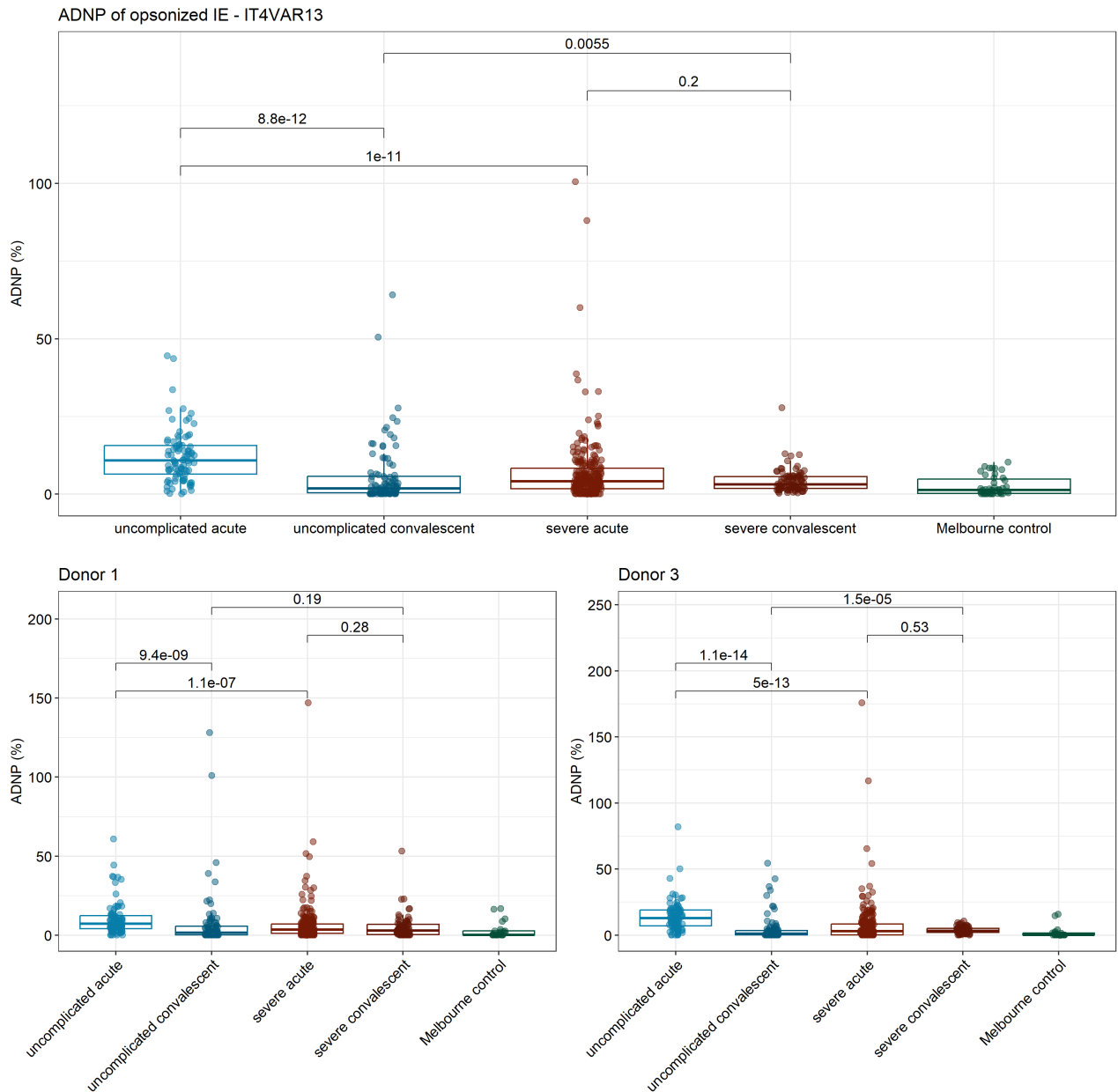


Figure 27: **ADNP of ICAM-1+CD36 binding IT4VAR13 infected erythrocytes opsonized with sera from PNG children.** IT4VAR13 infected erythrocytes were opsonized with sera from PNG children with cerebral malaria (red) or uncomplicated malaria (blue), collected at the acute phase of infection or at convalescence. Y-axis represents the percentage of DHE and CD66b positive neutrophils (% phagocytosis). Top plot represents mean responses from two neutrophil donors, that are shown individually in bottom plots (Donor 1 and Donor 3). Boxes represent median and inter-quartile range (IQR) from Q1-Q3, and whiskers range from (Q1-1.5*IQR) to (Q3+1.5*IQR). Median ADCP levels were compared by Wilcoxon Rank Sum test, indicated by horizontal bars, and associated P-values are shown. There were no corrections for multiple comparisons. The uncomplicated convalescent group was not statistically different from the Melbourne control (P-value 0.25, not shown on graph) and all other groups were significantly different from the Melbourne control (P-values <0.001, not shown on graph).

We measured ADNP of ICAM-1+EPCR binding 3D7VAR04 and ICAM-1+CD36 binding IT4VAR13 IE opsonized with sera from PNG children with severe or uncomplicated malaria. There were no differences in ADNP of ICAM-1+EPCR binding 3D7VAR04 IE in children with severe compared to uncomplicated malaria, in the acute or convalescent samples (Figure 26). Children with cerebral and uncomplicated malaria had higher ADNP of 3D7VAR04 IE at the acute phase compared to convalescence.

Children with uncomplicated malaria had higher ADNP to ICAM-1+CD36 binding IT4VAR13 IE than children with severe malaria at acute phase (Figure 27). Children with uncomplicated malaria had higher ADNP of IT4VAR13 IE at the acute phase compared to convalescence, and there were no differences in children with severe malaria. At convalescence, children with severe malaria had higher ADNP of IT4VAR13 IE than children with uncomplicated malaria.

Table 15: Summary of statistical comparisons for 3D7VAR04

ADCP	ADNP
Malawi - 3D7VAR04	
$UM_{act} < CM_{acute}$	$UM_{acute} > CM_{acute}$
$UM_{conv} = CM_{conv}$	$UM_{conv} > CM_{conv}$
$UM_{acute} = UM_{conv}$	$UM_{acute} > UM_{conv}$
$CM_{acute} = CM_{conv}$	$CM_{acute} > CM_{conv}$
Malawi - IT4VAR13	
$UM_{act} = CM_{acute}$	$UM_{acute} < CM_{acute}$
$UM_{conv} < CM_{conv}$	$UM_{conv} < CM_{conv}$
$UM_{acute} > UM_{conv}$	$UM_{acute} = UM_{conv}$
$CM_{acute} = CM_{conv}$	$CM_{acute} = CM_{conv}$
PNG - 3D7VAR04	
$UM_{acute} = SM_{acute}$	$UM_{acute} = SM_{acute}$
$UM_{conv} = SM_{conv}$	$UM_{conv} = SM_{conv}$
$UM_{acute} = UM_{conv}$	$UM_{acute} > UM_{conv}$
$SM_{acute} = SM_{conv}$	$SM_{acute} > SM_{conv}$
PNG - IT4VAR13	
$UM_{acute} = SM_{acute}$	$UM_{acute} > SM_{acute}$
$UM_{conv} = SM_{conv}$	$UM_{conv} < SM_{conv}$
$UM_{acute} = UM_{conv}$	$UM_{acute} > UM_{conv}$
$SM_{acute} = SM_{conv}$	$SM_{acute} = SM_{conv}$

Table 15: $>$ and $<$: indicates mean antibody response is greater than or less than, and meets the threshold of statistical significance determined by Mann Whitney U test p-value of 0.05. $=$: indicates no statistically significant difference in mean antibody response between groups. UM and CM : uncomplicated malaria and cerebral malaria. $ADCP$: Antibody dependant cell phagocytosis. $ADNP$: Antibody dependant neutrophil phagocytosis. $conv$: convalescent.

12.7 Inter-assay variation

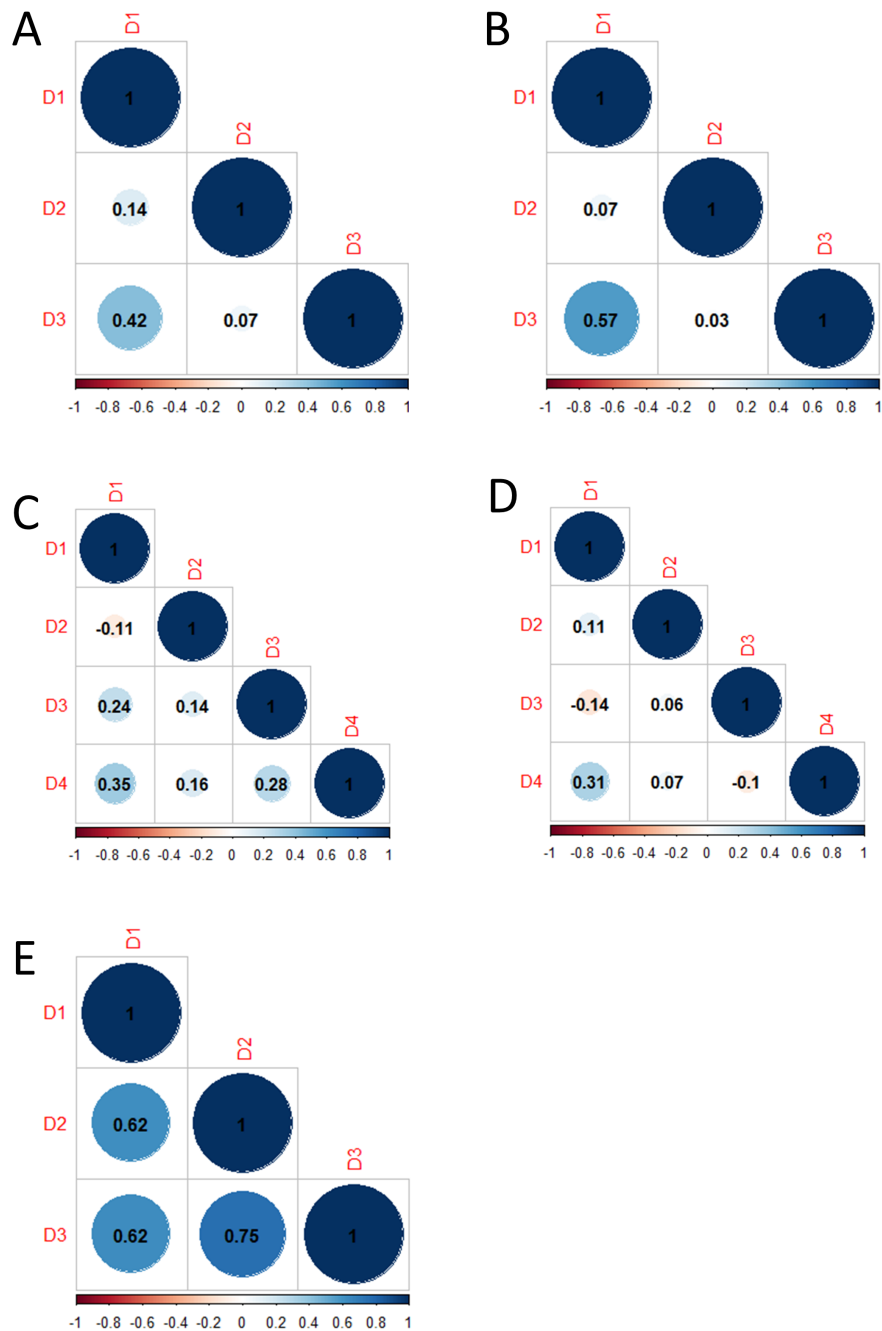


Figure 28: **ADNP inter-donor correlations.** Correlations between three independent neutrophil donors for: ADNP of IT4VAR13 IE opsonized with sera from A) PNG children and B) Malawian children; ADNP of 3D7VAR04 IE opsonized with sera from C) PNG children D) Malawian children and E) ADNP of CS2 IE opsonized with sera from Malawian adults. For A and B, ADNP using donors 1 and 3 (D1 and D3) were performed on the same day. For C and D, ADNP using donors 1 and 4 (D1 and D4) were performed on the same day. Circle size represents strength of correlation based on Spearman's correlation coefficient, r , shown on circles. Blue represents positive association and red represents negative association. ADNP: Antibody dependent neutrophil phagocytosis. D1, D2, D3, D4: four independent neutrophil donors.

ADNP assays were repeated with three independent neutrophil donors and PNG and Malawi samples were tested simultaneously for each donor.

For IT4VAR13 IE, ADNP assays with Donor 1 and Donor 3 were performed simultaneously. There was a moderate correlation between Donor 1 and Donor 3 ADNP induced by sera from PNG children and Malawian children ($r = 0.42$ and 0.57 , respectively, Figure 28A and B). There was no correlation between Donor 2 and Donor 1 or Donor 3 in either cohort.

For 3D7VAR04 IE, ADNP assays with Donor 1 and Donor 4 were performed simultaneously (on the same day). For ADNP of 3D7VAR04 IE induced by sera from PNG children, there was a weak correlation between Donor 1 and Donor 4 ($r = 0.35$), Donor 1 and Donor 3 ($r = 0.24$) and Donor 4 and Donor 3 ($r = 0.28$), (Figure 28C). For ADNP induced by sera from Malawian children, there was a weak correlation between Donor 1 and Donor 4 ($r = 0.31$, Figure 28D) and no correlation between other donors.

To determine donor-donor variation of IE with more stable PfEMP1 expression, we performed ADNP of 3D7CS2 IE opsonised with sera from Malawian pregnant women (Figure 28E, experiment performed by Wina Hasang, University of Melbourne). There were moderate – strong correlations of ADNP of 3D7CS2 IE between 3 donors (r range from $0.62 - 0.75$, Figure 28E).

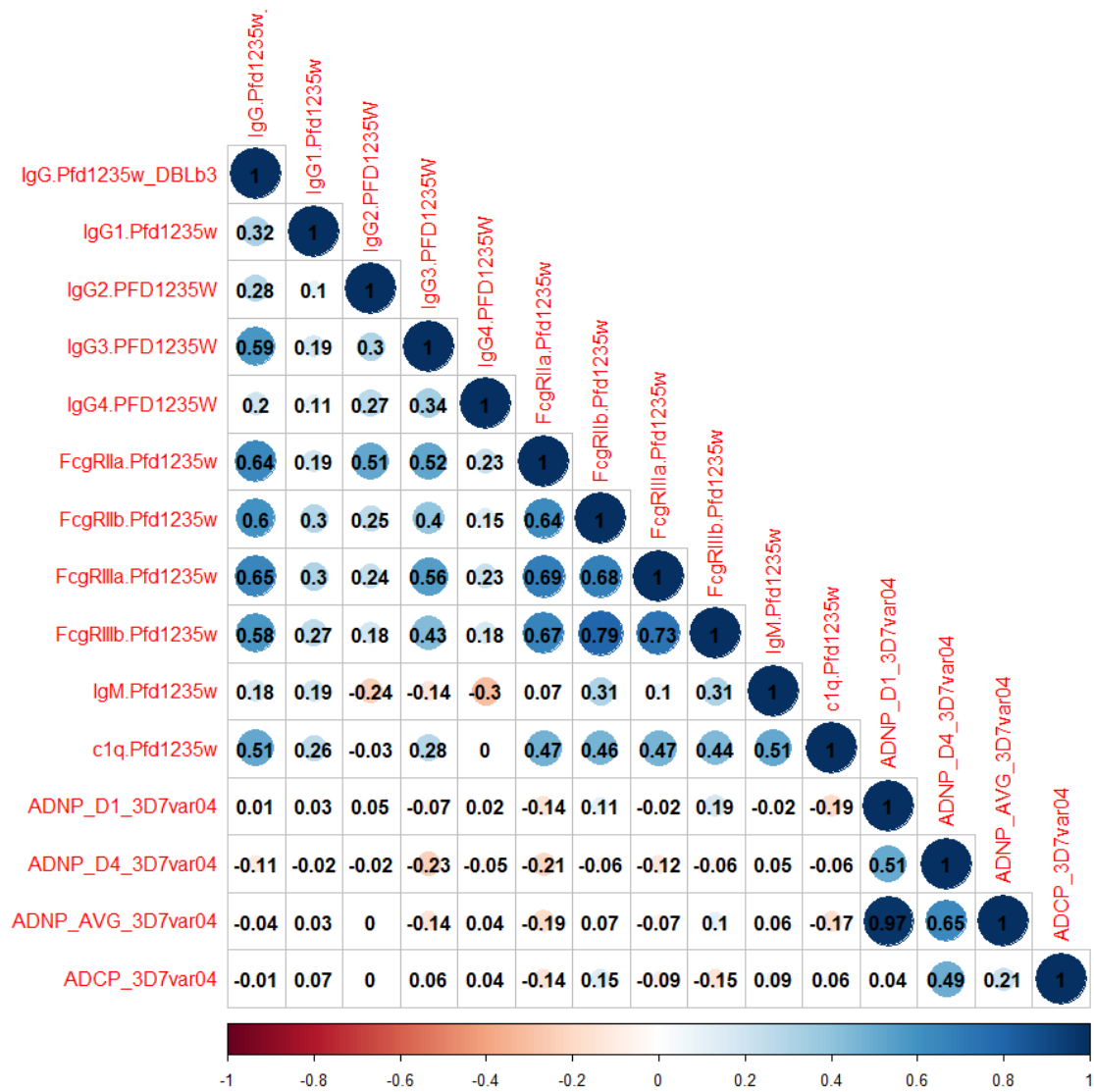


Figure 29: Correlations between ADNP of 3D7VAR04, ADCP of 3D7VAR04 and antibody Fc features targeting the DBLβ3 domain of 3D7VAR04 (Pfd1235w), when IE/beads were opsonized with sera from Malawian children. Circle size represents strength of correlation based on Spearman's correlation coefficient, r , shown on circles. Blue represents positive association and red represents negative association. ADNP: Antibody dependent neutrophil phagocytosis. ADCP: Antibody dependent cell phagocytosis. D1 and D3: Donor 1 and 3.

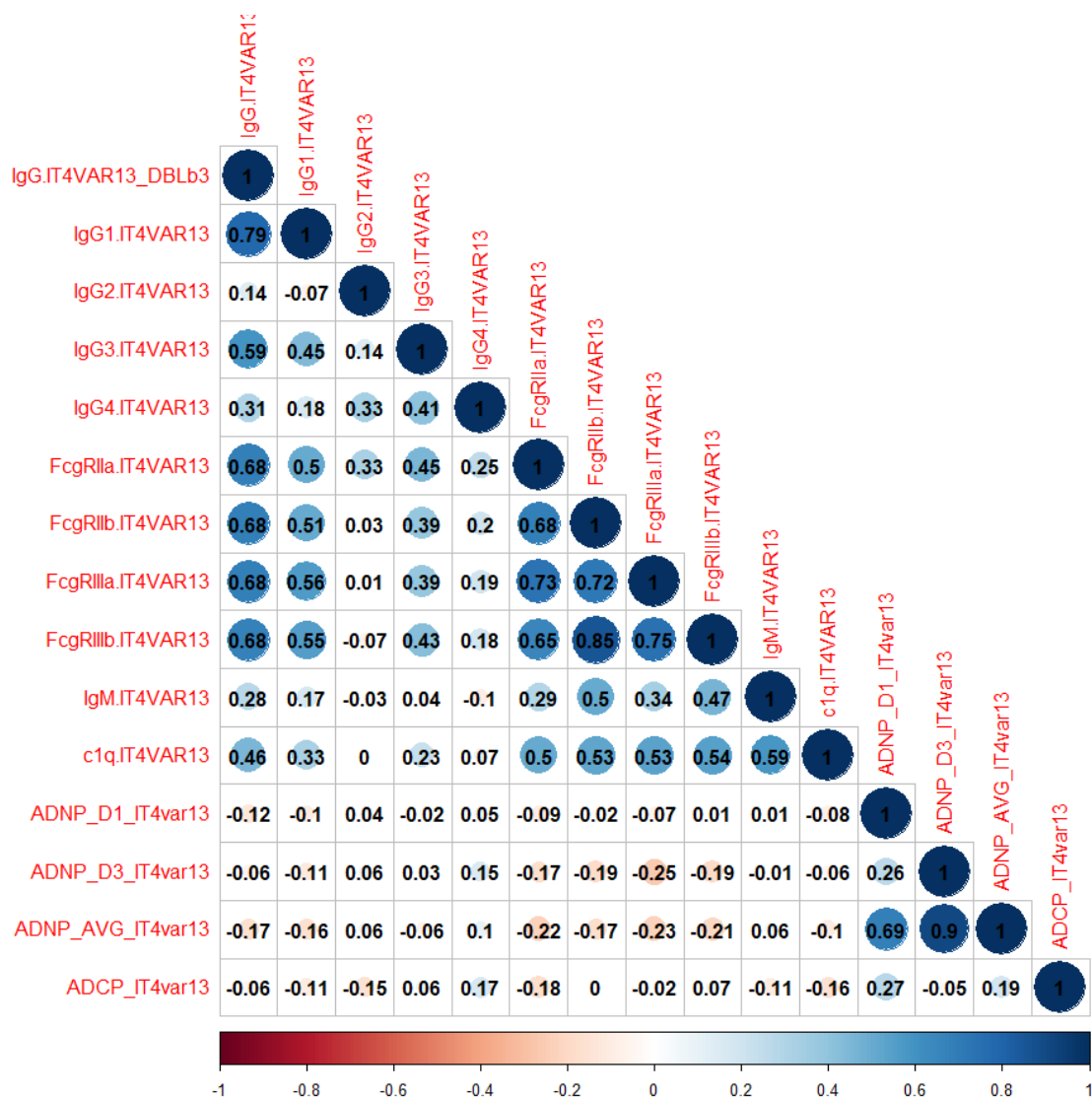


Figure 30: Correlations between ADNP of IT4VAR13, ADCP of IT4VAR13 and antibody Fc features targeting the DBLβ3 domain of IT4VAR13 (IT4VAR13), when opsonized with sera from Malawian children. Circle size represents strength of correlation based on Spearman's correlation coefficient, r, shown on circles. Blue represents positive association and red represents negative association. ADNP: Antibody dependent neutrophil phagocytosis. ADCP: Antibody dependent cell phagocytosis. D1 and D3: Donor 1 and 3.

We compared correlations between ADCP, ADNP and Fc features of antibodies targeting the respective DBLβ3 domains of 3D7VAR04 and IT4VAR13, when opsonised with sera from Malawian children (Materials and Methods Table 9, protein M6/Pfd1235w and protein N27, respectively).

There were no correlations between antibody Fc features targeting recombinant DBLβ3 of 3D7VAR04 and ADNP or ADCP of 3D7VAR04 IE opsonized with Malawian children's sera (Figure 29). There was a weak correlation between ADCP and ADNP of 3D7VAR04 (Figure 29, ADCP with average ADNP r = 0.21, and ADCP with Donor 4 ADNP, r = 0.49).

There were no correlations between antibody responses to recombinant DBL β 3 of IT4VAR13 and ADNP or ADCP of IT4VAR13 IE opsonized with Malawian children's sera (Figure 30). There was a weak correlation between ADCP and ADNP (Figure 30, ADCP with average ADNP, $r = 0.19$ and ADCP with ADNP of donor 1, $r = 0.27$).

13 Discussion

13.1 Overview

Both monocytes and neutrophils phagocytose antibody opsonized IE but few studies have considered the association of ADCP or ADNP of opsonized IE with protection from severe malaria. The PfEMP1 variants involved in the pathogenesis of severe malaria in children are not entirely clear but ICAM-1 binding IE appear to play an important role. In particular, expression of dual ICAM-1 + EPCR binding PfEMP1 has been associated with cerebral malaria, whereas ICAM-1 + CD36 binding IE have been associated with uncomplicated malaria. The aim of this study was to evaluate if ADCP or ADNP of ICAM-1 binding IE are associated with protection from cerebral malaria or severe malaria in children. We measured ADNP and ADCP of IT4VAR13 (ICAM+CD36 binding) IE and 3D7VAR04 (ICAM-1+EPCR binding) IE opsonized with sera from Malawian children with cerebral and uncomplicated malaria and PNG children with severe and uncomplicated malaria. We found that the measures of ADCP were not associated with protection in cerebral malaria or severe malaria, and ADNP of ICAM-1+EPCR binding IE was associated with protection from cerebral malaria.

13.2 ADNP and ADCP in Malawian children with cerebral malaria and uncomplicated malaria

We hypothesized that ADCP of 3D7VAR04 (ICAM+EPCR binding) would be associated with protection from cerebral malaria, and ADCP to IT4VAR13 (ICAM+CD36 binding) would not. Contrary to our hypothesis, our results suggest an association with ADCP of ICAM-1 binding parasites and cerebral malaria, rather than protection. No published studies have considered ADCP of IE in cerebral malaria compared to uncomplicated malaria. Cerebral malaria is a complex manifestation of malaria that is likely influenced by multiple non-independent pathways, including IE sequestration, severe inflammation and excessive activation of coagulation pathways (Ramachandran et al. 2022). One plausible explanation of the association of ADCP of ICAM-1 IE and cerebral malaria, is that ADCP enhances severe inflammation and contributes to cerebral malaria pathology. Monocytes containing malaria pigment are found in blood vessels of the brain in fatal cerebral malaria patients (Dorovini-Zis et al. 2011; Hochman et al. 2015), as well as in blood vessel haemorrhages observed in the retina of cerebral malaria patients (MacCormick et al. 2022). An imbalance of pro-inflammatory and anti-inflammatory cytokines (such as TNF, IL-6 and IL-10) in favour of inflammation is associated with cerebral malaria and pro-inflammatory cytokines may contribute to endothelial activation, neuroinflammation and blood brain barrier disruption (Dunst et al. 2017). Monocytes are the predominant source (*in*

vitro) of proinflammatory cytokines associated with severe malaria (Stanisic et al. 2014) and cytokine secretion can be induced by opsonic phagocytosis (Ludlow et al. 2012). It is possible that antibodies produced by children with cerebral malaria enhance the inflammatory response of monocytes and that this offsets any benefit of removing ICAM-1 binding IE. Children with uncomplicated malaria may have produced antibodies that better induce other functions, such as adhesion inhibition, complement activation or ADNP.

ADNP of IT4VAR13 IE (ICAM+CD36 binding) was elevated in cerebral malaria compared to uncomplicated malaria at the acute and convalescent time points, in line with ADCP. In contrast to ADCP, ADNP of 3D7VAR04 IE (ICAM+EPCR binding) was elevated in uncomplicated malaria at both acute and convalescent time points. This is the first study to consider ADNP of ICAM-1 binding IE opsonized with clinical sera from individuals with cerebral malaria and is in line with our hypothesis that ADNP of ICAM-1+EPCR binding IE, but not ICAM+CD36 binding IE, is associated with protection from cerebral malaria. An alternative explanation is that children with uncomplicated malaria are more exposed to ICAM-1 binding parasite lines than children with cerebral malaria. However, given the opposite trend was observed for ADCP, this is unlikely.

Ideally, protective antibodies would show evidence of a sustained response, rather than a waning response at convalescence. The median ADNP response to 3D7VAR04 IE (ICAM+EPCR binding) was higher in malaria exposed individuals than the Melbourne control group, at both time points, suggesting some acquisition of antibody mediated immunity. However, we observed lower median ADNP of 3D7VAR04 IE in acute compared to convalescent samples, in both cerebral malaria and uncomplicated malaria groups. Weak evidence for this trend was also observed in ADCP of 3D7VAR04 (non-statistically significant). The transient nature of antibodies targeting the IE surface has been observed in previous studies (Kinyanjui et al. 2003; Olsen et al. 2019; Aitken et al. 2010) and may be variant dependent (Kinyanjui et al. 2003). Dynamics of antibodies targeting recombinant DBL β domains are highly variable between Ghanaian children, but median titers peak at 2 weeks and decay by 6 weeks, in line with our results (Olsen et al. 2019). Given the short follow up time of 4 weeks in our study, the lower antibody response at convalescence could suggest a short half-life of antibodies produced in the acute phase. IgG3 has a half-life of 2 - 6 days, compared to 21 days for IgG1, IgG2 and IgG4 (Saxena et al. 2016), and may be the dominant subclass induced by ICAM-1+EPCR binding IE. Alternatively, a higher antibody response in the acute phase may indicate the presence of low affinity cross reactive antibodies that recognize the tested parasite isolates but are predominantly directed against different infecting variants and become undetectable at convalescence. In line with this, Ofori *et al.* demonstrated that children predominantly develop an antibody response to the infecting parasite isolates but also develop a weak and transient response to

other isolates from the community (Ofori et al. 2002). The half-life of circulating IgG is longer in older children and previously exposed children (at least with respect to merozoite antigens), due to the development of long term antibody secreting cells (memory B cells) (Akpogheneta et al. 2008; Rogier et al. 2022). We carefully matched children for location and urban/rural setting of residency in the acute samples, to reduce this potential confounding effect of prior exposure when comparing the groups. Matching for location may also reduce variability in distance to hospital and therefore duration of infection between the groups. Children were also well matched for age between the acute and convalescent time points; therefore age (which can also be linked to exposure) is unlikely to influence the differences observed between time points.

13.3 ADNP and ADCP in PNG children with severe malaria and uncomplicated malaria.

ADCP of 3D7VAR04 or IT4VAR13 opsonized with sera from PNG children were not associated with protection from severe malaria. There were no differences in acute compared to convalescent ADCP of IT4VAR13 or 3D7VAR04. In a previous study of the children from the same sample collection, ADCP of E8B IE (also predicted to be ICAM-1+CD36 binders) was higher in severe malaria compared to uncomplicated malaria and elevated in convalescence compared to the acute timepoint amongst children with uncomplicated malaria (Rambhatla 2018). Differences compared to our study are likely to be due to the samples used - due to limited sample availability, our study had approximately half the power of this previous study. However, both studies align with the conclusion that ADCP to ICAM-1+CD36 binding IE is not associated with protection from severe malaria in PNG children. This was the first study to consider ADCP of ICAM-1+EPCR binding IE in individuals with severe malaria. ADCP of EPCR binding IE (IT4VAR19) has been associated with protection from severe malaria (Chan et al. 2019a; Rambhatla 2018; Rathnayake 2022) in this cohort of children from PNG. Other PfEMP1 variants, such as group A EPCR binding IE that express specific CIDR domains (non-*var04*), may be more relevant to the pathogenesis of severe malaria in this region.

There were no differences in ADNP of 3D7VAR04 IE (ICAM+EPCR binding) opsonized with sera from children with severe malaria compared to uncomplicated malaria. ADNP of IT4VAR13 IE (ICAM+CD36 binding) was elevated in acute uncomplicated malaria compared to severe malaria but was higher in severe malaria compared to uncomplicated malaria at convalescence. It is difficult to form a unifying hypothesis about these inconsistent differences and this raises concerns about the reliability of the ADNP assay, as will be discussed in the following section. Similarly to the Malawian cohort we observed higher ADNP of 3D7VAR04 and IT4VAR13 in

acute uncomplicated malaria compared to convalescence, as well as in acute severe malaria compared to convalescence for 3D7VAR04. Strikingly (and unlike in the Malawi cohort), in these groups that had lower ADNP at convalescence than the acute time point, there were no differences between convalescent samples and the Melbourne controls. This suggests rapid decay of antibodies and a lack of seroconversion at convalescence. As discussed, a rapid decay of antibodies has been previously observed and may be due to induction of IgG3 or low affinity cross reactive antibodies to other variants. The longer follow up time of 8 weeks may have also contributed to the more dramatic trend compared to the Malawian children.

In summary, ADCP of ICAM-1 binding IE was not associated with protection from severe malaria or cerebral malaria. ADNP of 3D7VAR04 IE (ICAM+EPCR binding) was associated with protection from cerebral malaria in Malawian children but not severe malaria in PNG children. This is in line with the hypothesis that ICAM-1+EPCR binding IE are associated with the pathogenesis of cerebral malaria and not severe malaria (Jensen et al. 2020). Children from PNG had a mixture of severe manifestations and future studies could compare ADNP in PNG amongst children with cerebral malaria only. Surprisingly, ADNP of IT4VAR13 IE (ICAM+CD36 binding) was associated with protection from acute severe malaria but associated with severe malaria in convalescence in PNG children.

13.4 ADCP and ADNP assay value and limitations.

There was a weak correlation between ADNP and ADCP assays. One interpretation is that the assays are representative of different immune mechanisms and that it's necessary to consider both assays when characterizing the immune response. Neutrophils and THP-1 cells differ in the expression of Fc receptors, and THP-1 ADCP is mediated by FcRI and FcγRIIIa (Tebo et al. 2002) whereas ADNP is mediated by FcγRIIIa and possibly FcγRIIIb (Garcia-Senosiain et al. 2021). Differences in ADCP and ADNP may therefore be influenced by differences in antibody subclass, since FcγRI has higher affinity to IgG1 and IgG3 than FcγRIIIb, and FcγRIIIb has the highest affinity for IgG3 (Gillis et al. 2014). Additionally, a recent malaria study showed that afucosylated IgG1 to VAR2CSA PfEMP1 IE enhances FcγRIIIa interaction and NK cell activation (Larsen et al. 2021), and it is possible that afucosylation also influences FcγRIIIb mediated activity on neutrophils but not THP-1 (which lack FcγRIIIa/b (Gil Gonzalez et al. 2022)). However, a whole blood assay measuring ADNP and ADCP of IT4VAR19 IE (EPCR binding) revealed consistent trends in monocytes and neutrophils (Rathnayake 2022) and the lack of correlation between ADNP and ADCP may be reflective of limitations in the respective assays.

Neutrophils are the dominant immune cell in the blood yet little is known about their role

in the immune response to malaria (Aitken et al. 2018). This is likely due to the difficulty isolating neutrophils from whole blood without activation and the inability to culture or successfully freeze and thaw isolated neutrophils (Blanter et al. 2022). Using a recently published ADNP assay (Aitken et al. 2021) we found moderate inter-donor correlations for ADNP assays performed on the same day but low inter-donor correlations for assays performed on different days. The poor correlation likely reflects variation in the PfEMP1 expression between days, as *var04/var13* expression ranged from 36 – 55%. In line with this, ADNP of CS2 IE showed stronger inter-donor correlations than IT4VAR13 or 3D7VAR04 and the CS2 parasite line is known to have stable expression of VAR2CSA. In addition to performing experiments with two donors on the same day, we reduced the risk of technical variation by running all serum samples on the same day, in technical duplicates. However, we have not investigated activation of neutrophils during the isolation procedure, which may also contribute to inter-assay and intra-assay variation. Neutrophils isolated from whole blood by various methods have altered activation markers including CD16 and CD32 Fc receptor expression, although the negative selection based isolation method (as used in our study) is thought to cause the least activation (Blanter et al. 2022). Future studies should consider neutrophil activation, viability and Fc receptor expression following isolation in our hands. Polymorphisms in FcγRIIa and FcγRIIIb receptors can also influence the affinity of antibodies to different IgG subclasses (Bruhns et al. 2009) and thereby sensitization for ADNP (Salmon et al. 1990), and may vary between neutrophil donors. Polymorphisms in FcγRIIa and FcγRIIIb have been associated with malaria severity in previous studies (Adu et al. 2014; Fall et al. 2022; Omi et al. 2002), therefore it would be interesting to know the contribution of Fc receptor polymorphisms to donor-donor variability in ADNP. Additionally, ICAM-1 can interact directly with PfEMP1 to induce ADNP (Zelter et al. 2022) and ICAM-1 expression on the neutrophil cell surface can vary with disease (Dabrowski et al. 2014). Although healthy donors were used in these experiments, future studies could consider variation in neutrophil ICAM-1 expression in healthy and malaria exposed individuals, and whether this alters ADNP.

The ADCP assay using the THP-1 monocytic cell line is well established (Kassa et al. 2022) and has been used by multiple published studies to characterize the immune response to malaria in pregnancy (Aitken et al. 2021; Ataíde et al. 2011) and severe malaria (Chan et al. 2019a; Quintana et al. 2018). THP-1 cells can be easily cultured, retain uniform characteristics and don't require a blood donor. THP-1 cells are representative of classical monocytes (CD14+CD16-), and phagocytose opsonized IE via FcγRI and FcγRIIa working in synergy (Tebo et al. 2002). However, monocytes expressing FcγRIIIa are likely an important component of the immune response to malaria. Intermediate monocytes (CD14+16+) are the most efficient subset for ADCP in the presence of complement (Zhou et al. 2015) and expand during blood

stage infection (Dobbs et al. 2017). Additionally, a lower frequency of non-classical monocytes was associated with death in Beninese children (Royo et al. 2019). Therefore the ideal *in vitro* model would enable characterization of ADCP by the various monocytic subsets. Indeed, ADCP with isolated monocytes and THP-1 cells correlated weakly (Aitken et al. 2021). The lack of FcγRIIIb on THP-1 cells may partially explain the poor correlation of ADNP and ADCP in this study.

Antibody features to the ICAM-1 binding DBLβ3 domains of IT4VAR13 and 3D7VAR04 were characterized in the same population of Malawian children and PNG children in Part IV and V. We expected that antibody subclasses or FcγR interactions measured by multiplex would correlate with ADCP or ADNP of IE expressing the corresponding *var* gene. However, there were no correlations between ADCP/ADNP and antibody features measured by multiplex immunoassay. This may reflect the differences in recombinant proteins compared to native proteins, such as incorrect protein folding, or reflect antibody interactions that span multiple domains of the whole PfEMP1. The lack of correlation may also reflect a combined effect of antibodies targeting multiple epitopes contributing to ADNP/ADCP, whereas only single PfEMP1 fragments were probed by multiplex immunoassay. IT4VAR19 IE that lack PfEMP1 showed significantly reduced ADCP when opsonized with PNG children sera (Chan et al. 2019a), suggesting PfEMP1 is the major target of antibodies on the cell surface and other non-PfEMP1 targets are unlikely to have a major influence on our results. The lack of correlation may also be influenced by the low purity of the selected parasites. We did not perform sequencing and therefore don't know which PfEMP1 types were expressed by the rest of the population and may have influenced ADNP/ADCP. However, Aitken, Damelang, Ortega-Pajares *et al.* reported low correlation between ADNP and ADCP of VAR2CSA expressing IE that are thought to have stable PfEMP1 variant expression, suggesting the consistency of the selected PfEMP1 is not the dominant contributing factor to the lack of correlation (Aitken et al. 2021).

In summary, although we expected some differences in ADCP and ADNP due to the differences in expression of Fc receptors, there are several limitations in these assays that might explain the lack of correlation between ADNP and ADCP. The high inter-assay variation for ADNP suggests that further optimization is required. Future studies should prioritize characterizing neutrophils following isolation from whole blood and characterizing inter-donor variation of cell surface receptors. Future studies could consider the use of the HL-60 neutrophil like cell line to overcome challenges of isolating fresh neutrophils from whole blood, as has been trialled in HIV studies (Worley et al. 2018). The THP-1 assay is more reliable but is not necessarily a perfect model of *in vivo* biology due to the lack of monocyte subclasses. Future studies could consider the use of a transgenic THP-1 line that expresses FcγRIIIa (Gil Gonzalez et al. 2022) and may enable the study of intermediate monocytes whilst retaining the benefits of

using a cell line. We have not considered the contribution of non-opsonic phagocytosis in this study. Interactions with EPCR, ICAM-1 and CD36 on monocytes or neutrophils may contribute to phagocytosis and influence clinical outcomes (McGilvray et al. [2000](#); Zelter et al. [2022](#)). Further optimization of these assays is required in order to validate the antibody responses measured with recombinant proteins by multiplex immunoassay, or provide an alternative and reliable way to characterize the immune response to ICAM-1 binding IE.

Part VII

**Final discussion, Future directions &
Concluding remarks**

14 Final Discussion

14.1 Field of study and thesis objectives

Malaria is a major public health burden in tropical and sub-tropical regions of the world. Children under five years old lack protective immunity that is acquired from multiple exposures to malaria, and account for the majority of severe cases of malaria. In the most severe form of malaria, cerebral malaria, children enter a coma, experience brain swelling and can die of respiratory arrest, and it's estimated that malaria causes 500,000 annual deaths in children under five (World Health Organization 2021c). Antibodies are a key component of protective immunity to malaria however the target antigens of protective antibodies are unclear. The first and only WHO endorsed vaccine for malaria, RTS,S, provides modest and short-lived protection from severe disease, therefore identifying novel therapeutic target antigens would be useful for the development of novel therapeutics. PfEMP1 is an important candidate target antigen expressed on the surface of infected erythrocytes that mediates sequestration of parasites in the microvasculature, leading to severe disease. Although PfEMP1 is a highly variable protein, antibodies to key PfEMP1 sub-domains may confer protection from severe disease. Additionally, understanding the functions of antigen specific antibodies is important for understanding the efficacy of an intervention, such as a vaccine. There is currently a lack of understanding of the mechanisms by which antibodies confer protection and the best way to measure protective immunity. There are many functions of antibodies that are mediated by the antibody Fc region, and measuring the biophysical properties and interactions of the antibody Fc region can provide valuable insight into the mechanism of protective immunity.

The objective of this thesis was to understand the PfEMP1 targets and functions of antibodies that are associated with protection from severe malaria in children living in endemic regions. Specifically, this thesis aimed to: 1) characterize the key PfEMP1 targets and Fc features of antibodies that correlate with protection from the most severe manifestation of malaria, cerebral malaria, in a cohort of Malawian children; 2) characterize the key PfEMP1 targets and Fc features of antibodies that correlate with protection from severe malaria, including multiple severe manifestations, in a cohort of Papua New Guinean children; 3) assess the association of disease severity with antibodies that induce neutrophil or monocyte phagocytosis of infected erythrocytes expressing ICAM-1 binding variants of PfEMP1.

To achieve these aims, we studied two case-control cohorts of children living in malaria endemic areas: Malawian children who had cerebral and uncomplicated (non-severe) malaria, and Papua New Guinean children who had severe or uncomplicated malaria. For aims 1) and 2), we used a series of multiplex immunoassays designed for measuring antibody responses to large numbers

of antigens simultaneously, to characterize a range of antigen-specific antibody Fc features, including antibody class, subclass and ability to bind to Fc receptors and fix complement. To analyze the large dataset, we applied traditional univariate analysis as well as more advanced machine learning methods coupled with logistic regression, to identify combinations of antibody responses that correlated with disease severity. For aim 3), we selected parasite-infected erythrocytes expressing two PfEMP1 variants of interest that bind to ICAM-1 receptors on endothelial cells and measured ADCP and ADNP of opsonized infected erythrocytes by flow cytometry.

14.2 New insights and translational potential

14.2.1 Targets of antibodies in Malawi vs. Papua New Guinea: DBL β domains are vaccine candidates.

The ideal therapeutic or prognostic tool would be transferable to multiple geographical settings. This has been a major challenge for the development of a vaccine based on the pregnancy-specific PfEMP1 variant, VAR2CSA, and a combination of multiple VAR2CSA vaccines may be required to achieve recognition of heterologous variants (Sirima et al. 2020; Doritchamou et al. 2019). In Part IV and V, we measured antibody responses to recombinant PfEMP1 domains in children from Malawi and Papua New Guinea. We included domains that were differentially transcribed in Papuan individuals with severe and uncomplicated malaria as well as a range of DBL β and CIDR α from multiple parasite lines that have previously been associated with severe or uncomplicated malaria. There are limited studies of PfEMP1 expression outside of Africa, however there could be some differences between the variants circulating in Papua New Guinea and Malawi, as well as differences between the variants causing severe malaria (that encompasses multiple pathologies) compared to cerebral malaria specifically. To improve our chances of including variants that were circulating in the population at the time of sample collection, we included multiple examples of domains with the same binding phenotype. Encouragingly, there were similarities in the domains selected by machine learning as targets of antibodies associated with uncomplicated malaria in Malawi and PNG. DBL β domains were amongst the antibody targets associated with uncomplicated in Malawi and at both the acute and convalescent time points in PNG. In particular, a group A ICAM-1 binding DBL β 3_M6 (Pfd1235w) was selected by machine learning in both cohorts. Therefore, our study supports the development of a vaccine or therapeutic based on DBL β domains as an intervention targeting both severe and cerebral malaria, in multiple geographic settings.

The expression of DBL β domains that have an upstream EPCR binding CIDR α 1 domain has been specifically associated with cerebral malaria, whereas DBL β domains with adjacent CD36

binding domains have been associated with uncomplicated malaria (Lennartz et al. 2017). Interestingly, both types of DBL β domains were amongst the selected targets of antibodies associated with uncomplicated malaria, in both cohorts. A role of antibodies targeting CD36 and EPCR binding variants in protection from severe malaria has been mirrored in two previous studies (Tessema et al. 2019; Travassos et al. 2018), including a longitudinal study in PNG (Tessema et al. 2019). Naturally acquired and vaccine-induced antibodies targeting ICAM-1 binding DBL β domains are broadly cross reactive and impair binding to ICAM-1 receptors (Bengtsson et al. 2013; Olsen et al. 2018), therefore it may be possible to induce immunity targeting both CD36 and EPCR binding variants using the DBL β domain as the basis of a vaccine. The cross-reactive nature of DBL β domains may also explain why they were selected by machine learning as correlates of protective immunity in preference to CIDR α 1 domains in PNG. Despite multiple univariable differences in antibody responses to CIDR α 1 domains between severe and uncomplicated malaria, immunization with CIDR α does not induce cross reactive antibodies in rats (Turner et al. 2018) and therefore a broader range of variants may be required to explain variation in the groups, compared to DBL β domains.

A combination of 7 features was required to maximize the accuracy of the model to differentiate between the groups for the Malawian cohort and a combination of 8 features was used for the PNG cohort. Therefore, the use of multiple antigens may maximize the efficacy of a vaccine or prognostic tool. Other than DBL β domains, other novel targets of antibodies that were associated with uncomplicated malaria in both Malawi and PNG included a CIDR γ 12 domain, that has no known function but is upregulated in Papuan adults with severe malaria (Tonkin-Hill et al. 2018). Antibodies targeting DBL δ 1 domains were also common to the features selected for both cohorts, however they were associated with both uncomplicated and severe malaria. The other targets of antibodies that were selected by machine learning differed in Malawi and PNG. Differences in the selected targets may reflect the differences in severe pathologies (cerebral malaria in Malawi compared to a broad range of pathologies in PNG) that are likely to be caused by a broad range of mechanisms involving different PfEMP1 variants.

It is also important to note that there were differences in the protein arrays probed between the two cohorts. For the PNG cohort study, a wide variety of CIDR α 1 domains were probed and multiple univariate differences were detected between severe and uncomplicated malaria. In contrast, there were no univariable differences detected amongst the Malawian children, where only two CIDR α domains were probed. Further, the proteins that were identified from Papuan individuals (Table 8, (Tonkin-Hill et al. 2018)) were more frequently represented amongst the target antigens selected in the PNG study, compared to the Malawi study, possibly because these variants were identified in parasites with a closer geographic proximity and possibly closer genetic resemblance to PNG isolates. Differences in the target antigens causing severe malaria

between the two cohorts may be driven by host genetic polymorphisms that differ in Africa and PNG. For example, CR1 deficiency has a high prevalence in PNG (Cockburn et al. 2004) and may explain a lack of association of rosetting variants and severe disease PNG (Al-Yaman et al. 1995) compared to Africa (Albrecht et al. 2014) in previous studies. Therefore, it is reasonable to suggest there may be other host genetic differences that influence the *var* gene repertoire in the two populations studied here.

14.2.2 Fc features of antibodies in Malawi and PNG: PfEMP1 variants induce a range of Fc interactions and multiple pathways to protection

In both systems serology studies (in Malawian and PNG children) we observed few differences in IgG titers between children with severe (or cerebral) and uncomplicated malaria, however we identified a number of differences in antigen specific antibody Fc features. An important finding of this thesis is that we confirm that measuring the biophysical properties and interactions of the antibody Fc region can provide useful correlates of malarial disease severity. Based on the findings in thesis, future studies that aim to characterize the antibody response following an intervention, such as a vaccine, should consider a systems serology approach.

A broad range of Fc features were selected by machine learning and associated with uncomplicated malaria across the two cohorts, including Fc γ RIIIb, Fc γ RIIb, Fc γ RIIIa, and C1q binding antibodies, as well as the non-cytophilic subclasses IgG2 and IgG4, whereas IgG and IgG1 were associated with severe disease. Whilst it is possible that some of these features work in concert, such as Fc γ RIIIa and Fc γ RIIb responses that were highly correlated in the PNG study, it is likely that they represent many antigen specific pathways to protection. One hypothesis that can be drawn from these antibody profiles is that both stimulatory and modulatory activity can be associated with protection. Fc γ RIIIb, Fc γ RIIb and IgG4 may have immune modulatory roles and were associated with protection when targeting specific antigens. Fc γ RIIb inhibits innate immune cell activity via the ITIM region and Fc γ RIIIb and IgG4 may have an immune modulatory role by acting as a decoy for pro-inflammatory subclasses and receptors. Additionally, ADCP of ICAM-1+EPCR binding infected erythrocytes was associated with susceptibility to cerebral malaria in Malawian children. Previous studies also support a role of excessive inflammation in severe pathology. Cerebral malaria can result in monocyte infiltrates to the cerebral vasculature (Hochman et al. 2015) and an imbalance of pro-inflammatory and anti-inflammatory cytokines that are secreted by monocytes is associated with pathology (Dunst et al. 2017). Plasma levels of neutrophil elastase, a marker of NETosis, are elevated in retinopathy positive compared to retinopathy negative cerebral malaria and can contribute to endothelial cell degradation (Feintuch et al. 2016). Therefore, immune modulatory functions may balance

inflammation in cerebral malaria and play a role in protection. However, further cell based functional assays are required to understand the immune responses that the Fc interactions we have measured induce and their contribution to pathology.

The differences in Fc features that appeared between the groups may reflect the differences in target antigens between the two cohorts, as has already been discussed, but there may also be variation in biophysical properties of the antibody Fc region between individuals living in Malawi and PNG. Allotypes are polymorphisms in the antibody Fc region heavy and light chains (C_H2 and C_H3) that vary between different ethnic groups (Damelang et al. 2019). Allotypes can affect the antibody hinge length and half-life (Damelang et al. 2019) and can have consequences for C1q affinity (Rispen et al. 2014) and phagocytosis activity (Chu et al. 2020). Particular allotypes at the GM locus on the IgG1, IgG2 and IgG3 heavy chains have been associated with the likelihood of an episode of uncomplicated malaria or cerebral malaria (Giha et al. 2009; Kyei-Baafour et al. 2021; Migot-Nabias et al. 2008) and affect the association of age with antibody titers to PfEMP1 (Migot-Nabias et al. 2011). Therefore differences in antibody allotypes between the two populations may contribute to differences in the Fc features selected by machine learning.

An unexpected finding of this thesis is that antibody Fc features change from the acute to convalescent phase of infection. Broadly, we observed a loss in FcγRIIIb and FcγRIIb responses and a gain in FcγRIIIa responses. This was unexpected considering the high similarities between FcγRIIIa and FcγRIIIb (Roberts et al. 2018) and validation in other cohorts will be important. The implications may be that the functional antibody responses changes over the course of infection in children, as these receptors are abundant on different types of immune cells (Gillis et al. 2014). At this point it is unclear whether these changes represent long-term, exposure dependent changes that occur during childhood, such as with fucosylation changes to the antibody Fc region that can influence Fc receptor affinity (Oosterhoff et al. 2022), or changes that occur over the course of each new infection. Based on these findings, it is possible that differences in age and exposure between the PNG and Malawian children may contribute to differences in the Fc features selected as important correlates of protection.

14.2.3 Identifying a reliable laboratory assay to detect protective immunity to malaria

Systems serology is a well-suited approach to study the immune response to PfEMP1 in that it allows broad characterization of a range of PfEMP1 antigens and Fc features without the need for cell culture. Although we could accurately distinguish between children who differed in disease severity using antibody features measured by multiplex immunoassays, it is important

to validate whether the antibody responses measured by multiplex immunoassay represent functional activity of whole cell systems and are a good correlate of *in vitro* antibody activity. Previous studies have found that Fc receptor binding to antibodies can be a surrogate of more complex *in vitro* assays. For example, FcγRIIa binding to antigen specific antibodies correlates moderately to strongly with NK cell line ADCC in the context of HIV and influenza (Kristensen et al. 2016; McLean et al. 2017). In context of malaria, FcγRIIa and FcγRIII binding to antibodies targeting recombinant CSP correlate moderately with ADCP of CSP coated beads (which in turn correlates with ADCP of sporozoites). However, neutrophil and THP-1 phagocytosis of infected erythrocytes expressing VAR2CSA correlate weakly with antibody and Fc receptor responses measured by multiplex immunoassay (Aitken et al. 2021). Similarly, in our study we observed no correlation between antibody Fc features measured by multiplex immunoassays and ADNP or ADCP. This suggest that Fc features of antibodies measured by multiplex immunoassay cannot currently be used as surrogates for interactions between immune cells and the infected erythrocytes.

There are several possible points of variation that may contribute to the lack of correlation between the multiplex immunoassays and ADNP/ADCP. First, a significant limitation in the ADNP and ADCP assays was the inability to select infected erythrocytes that display a stable and homologous PfEMP1 variant. Inter-donor correlations improved when ADNP assays were performed on the same day and inter-donor correlations were higher for CS2 parasites that have a stable expression of VAR2CSA. With repeated selection of infected erythrocytes by two methods, we achieved a maximum of 60% purity of *var04* expression. Therefore the lack of correlations between the multiplex assays and phagocytosis assays may be due to differences in the PfEMP1 variants probed. Additionally, there may be other targets of antibodies on the infected erythrocyte surface that contribute to ADNP/ADCP. Knockdown of PfEMP1 export proteins showed that PfEMP1 accounts for 80% of antibody binding to the surface of the infected erythrocyte (Chan et al. 2012) however it is possible that RIFIN or STEVOR, which are also immunogenic (Raghavan et al. 2023; Travassos et al. 2018), may contribute to ADCP or ADNP. Additionally, individuals in endemic areas develop antibodies targeting band 3 protein on the erythrocyte surface (Winograd et al. 2005), possibly due to modification of band 3 by the intracellular parasite (Crandall et al. 1993), and antibodies targeting band 3 may contribute to opsonic phagocytosis (Ayi et al. 2004). Finally, recombinant proteins don't necessarily represent native PfEMP1 epitopes. Small differences in the border of the recombinant domains can significantly influence antibody recognition to VAR2CSA epitopes (Nielsen et al. 2015a). Further, antibodies depleted for IgG targeting recombinant VAR2CSA proteins could still recognize native VAR2CSA on the infected erythrocyte and inhibit adhesion to CSA receptor, suggesting that there are conformational epitopes of VAR2CSA that are not

captured by recombinant proteins (Doritchamou et al. 2016b), and the same may be true for other PfEMP1 variants. In the case of ICAM-1 binding variants, immunization of rats with recombinant DBL β induces antibodies that can inhibit infected erythrocyte binding to ICAM-1 (Olsen et al. 2018), however a combined effect of multiple epitopes may be involved in functional activity such as ADNP and ADCP.

Despite the lack of correlation between the assays, the broad screening by multiplex immunoassay highlighted the importance of the interaction of antibodies targeting DBL β domains with Fc γ RIIIb, a receptor that is abundant on neutrophils. This prompted us to investigate the role of ADNP as a potential mechanism of protection and led to the important finding that ADNP of ICAM-1+EPCR binding IE is associated with protection from cerebral malaria in Malawian children but not from severe malaria in PNG children. Additionally, the decay in antibodies that bind Fc γ RIIIb and gain in antibodies that bind Fc γ RIIIa from acute presentation to convalescence documented by multiplex immunoassay may partially explain the decay in ADNP, but not ADCP, over this period that was observed in both cohorts, since neutrophils expresses high levels of Fc γ RIIIb and THP-1 cells do not. Given that these important findings were mirrored across the different assays, it would be worthwhile to conduct further studies to understand the contribution of the interactions measured by multiplex immunoassay to cell-cell interactions, as well as the points of variation between the multiplex immunoassay and cell-based immunoassays.

14.3 Limitations

A strength of this thesis was the use of clinical samples from case-control studies that were well classified for severe and uncomplicated malaria. Case-control studies are limited by a lack of information on the duration of infection prior to acute sample collection and treatment, which is likely to influence antibody levels and disease severity. We are unable to distinguish between antibody responses to the current infection and pre-existing responses from prior exposure. Additionally, the kinetics of disease progression and antibody development may differ between uncomplicated malaria, cerebral malaria and other severe malaria syndromes. Samples collected in convalescence revealed important insights into target antigens for which antibodies are acquired in severe disease, and changes in Fc features over the course of infection. However, the maintenance and protective association of these responses beyond the 4/8 week follow up periods is unknown. The ideal study would be a prospective design with additional samples collected pre-illness and post-illness, however prospective studies are far more difficult to coordinate than case-control studies.

A challenge with studies of naturally acquired immunity is controlling for the confounding ef-

fect of prior exposure. A strength of the Malawian study was that children were well matched for age and location of residency, to account for differences in exposure with age and transmission dynamics. Due to sample availability, there were differences in age between the PNG children with severe and uncomplicated malaria. We adjusted for age in the regression analysis, however there was no available information on residency location to account for differences in exposure due to transmission dynamics. In both cohorts, there was evidence for differences in antibody responses to merozoite antigens that can be surrogate markers of exposure in young children, suggesting exposure may still be contributing to differences in antibody responses to PfEMP1 antigens. Alternatively, antibody responses to merozoite antigens may be a correlate of protective immunity that has not been explored by this study.

Although this thesis assessed antibody responses to a broad range of PfEMP1 domains, this study may have missed other important variants circulating in Malawi and PNG, which could be identified by mRNA transcription analysis of variants in each region. Another limitation in the choice of recombinant proteins was the mixture of expression systems used, including WGCFS, *E. coli* and HEK293 systems. Cell based expression systems have limited capacity to express *P. falciparum* genes with A/T rich regions and repeated sequences, and slow expression rates in prokaryotic cell based systems (such as *E. coli*) can result in incorrect conformational folding (Tsuboi et al. 2010). WGCFS overcomes these challenges and produces high yields of correctly folded recombinant proteins (Tsuboi et al. 2010) and therefore may be the preferable expression system for PfEMP1 proteins. Encouragingly, differences between cerebral and uncomplicated malaria were observed for DBL β domains expressed in both WGCFS and *E. coli* systems, suggesting some level of consistency in the antibody response across different expression systems, however consistency was not formally assessed. An additional limitation in the multiplex immunoassay studies included the mixture of recombinant Fc dimers and tetramers. Fc dimers have lower non-specific background binding and a greater dynamic range than tetrameric or monomeric Fc receptor reagents (McLean et al. 2017). Interestingly, Fc receptors that were in tetrameric form were selected by machine learning (Fc γ RIIIb) and not receptors in dimeric form (Fc γ RIIa), possibly suggesting a bias in the Malawi cohort analysis.

As has already been discussed, a strength of this study was the use of multiple neutrophil donors to study ADNP however the ADNP assays had limited reproducibility due to parasite PfEMP1 expression variation and inter-donor variation. Additionally, we did not perform reproducibility studies for the ADCP assays, although less variation is expected for a cell line compared to freshly isolated monocytes. Other limitations include the lack of monocyte subsets represented by the THP-1 cell lines and that we did not confirm that THP-1 cells or neutrophils positive for DHE stained parasites measured by flow cytometry corresponded with intracellular uptake of the parasites. We assume that the lysis prior to flow cytometry removes any erythrocytes

that are outside of the immune cell however confocal microscopy could confirm this.

14.4 Future directions

* *Validation of features selected by machine learning in other cohorts*

Further analysis of our data could demonstrate whether the features selected by machine learning in the Malawi study can be used to identify the subset of children with cerebral malaria in the PNG children, and other cohorts, and whether features selected in the PNG study (against a broad range of severe syndromes) can be used to identify children with cerebral malaria in the Malawian children, and other cohorts. This was not performed for this thesis due to technical difficulties and time constraints on measuring C1q binding antibodies in the PNG cohort study (as C1q was a key protective Fc feature in the Malawian cohort). For the PNG children, it's likely that there are other combinations of domains that can be used in combination to predict disease severity with similarly high accuracy, since there were many features that were associated with uncomplicated malaria and even 8 randomly selected features performed with 67% accuracy. Therefore, it may be possible to identify a subset of features that can be used in combination across both cohorts. The number of features included in the final regression model may also be further optimized depending on the desired application. For example, a smaller number of features may be used to classify children with severe malaria only, rather than classifying children with both severe and uncomplicated malaria, if this modelling were to be developed into a prognostic tool.

* *Contribution of selected antigens to pathology*

It would be interesting to investigate whether the selected target antigens (or antibodies targeting these antigens) mechanistically contribute to severe pathology. One possibility would be to investigate whether some of the lesser well characterized antigens, such as CIDR γ 12, bind to endothelial cell receptors, and may thereby contribute to sequestration or endothelial cell activation. The binding phenotype of different antigens could be assessed by multiplex immunoassay using recombinant receptors, as has been described for ICAM-1 (Oleinikov et al. 2009). Additionally, near to whole parasite genomes for multiple parasite isolates are available (Otto et al. 2018) and may be used to map whether some of the lesser well characterized domains identified in this study are commonly expressed alongside domains with known functional phenotypes, such as EPCR or ICAM-1 binding. It would be interesting to know if the antibody responses are linked to circulating factors that have been associated with cerebral malaria and proposed to impair the blood brain barrier, such as circulating inflammatory cytokines IL-1 β ,

IL-6 and TNF, and angiopoietins Ang-1 and Ang-2 (Jensen et al. 2020). Although it is difficult to obtain post-mortem histological sections for cerebral malaria, it might be possible to link the antigen specific antibody responses identified in this study to brain swelling identified by neuroimaging, as has been done for transcription of *var* genes in a previous study (Kessler et al. 2017), or to blood flow to the brain detected by Transcranial Doppler Ultrasound (O'Brien et al. 2022).

* *Contribution of other, non-PfEMP1 antigens as measures of immunity*

Future studies could consider the use of antibodies to non-trophozoite stage antigens to model differences in disease severity, alone or in combination with PfEMP1 antigens. Antibody responses to merozoite antigens and CSP were included in this study as technical positive controls for multiplex immunoassays and indicators of prior exposure, however they may be valuable indicators of protective immunity. Multi-stage vaccines have previously been proposed as a potential way to maximize protection from severe disease or to induce both transmission blocking and protective immunity (Bathurst et al. 1993; Spiegel et al. 2015), although so far multi-stage vaccines have demonstrated limited immunogenicity in early clinical trials (Porter et al. 2011). Additionally, were this modelling to be developed into a prognostic tool to predict the risk of severe malaria, other epidemiological or clinical indicators could also be incorporated to improve the predictive accuracy.

* *Further development of cell-based immunoassays to assess immune functions induced by Fc interactions*

A major challenge of this thesis was the selection of parasites that express a stable and uniform PfEMP1 variant in culture and better methods to do so should be a priority of future studies. Multiple factors are thought to contribute to *var* gene switching, including epigenetic regulation. For example, a recent study found that long-noncoding RNAs, including the redox protein thioredoxin peroxidase I (PfPx-1), interact directly with *var* genes to modify expression and knock-down of PfPx-1 lead to slower *var* switch rate (Heinberg et al. 2022). It may be possible to epigenetically modify parasites to have stable expression of PfEMP1 variants or slower switching rates that don't require as frequent selection. Future studies could also confirm the *var* genes transcribed by the selected parasites by mRNA sequencing, in addition to the method used in this study involving recognition of PfEMP1 variants by polyclonal antibodies.

Establishing parasite lines with stable expression of ICAM-1 binding PfEMP1 would enable us to investigate the contribution of FcγRIIIb receptor binding antibodies targeting DBLβ

domains to ADNP of ICAM-1 binding IE. One option would be to deplete serum samples of IgG specific for recombinant DBL β domains and compare relative median levels of ADNP with non-depleted samples (similarly to the methods used by Doritchamou *et al.*, (Doritchamou *et al.* 2016a)). Additionally, Fc γ RIIIb receptors can be blocked (as in Tebo *et al.*, (Tebo *et al.* 2002)) to verify their contribution to ADNP of IE and DBL β coated latex beads can be used instead of parasitized erythrocytes in the ADNP assay (as in Aitken, Damelang, Ortega-pajares *et al.*, (Aitken *et al.* 2021)).

For a deeper understanding of the ADNP assay, future studies could investigate other factors that may contribute to inter-donor variation in ADNP, including biological variation in neutrophil expression of Fc receptors, ICAM-1 receptors and neutrophil subsets. Several other antibody Fc functions have been implicated in protective immunity in this thesis, including complement mediated lysis and phagocytosis (directed by C1q fixation) and NK cell activation (directed by Fc γ RIIIa binding), and further studies should investigate these mechanisms using infected erythrocytes. Additionally, it would be interesting to know if IgG4 has a particular functional activity, such as adhesion blocking to endothelial receptors, or is a marker of repeat exposure to malaria. Although it is difficult to quantify prior exposure, age can be used as a surrogate in high transmission settings, therefore we would be able perform a more detailed analysis of the association of antibody responses with age (such as by PLS regression) to identify variables that may be associated with exposure, rather than protection.

* *Investigating changes in antibody Fc features from acute to convalescence*

By comparing antibody responses from the acute malaria presentation to convalescence, we observed a change in antibody Fc features over the course of infection. Changes included a decay in Fc γ RIIIb binding and an increase in Fc γ RIIIa binding, and this was reflected by the decay in neutrophil phagocytosis (that involves Fc γ RIIIb) but not THP-1 phagocytosis (that does not involve Fc γ RIIIb). As has been discussed in this thesis, antibody glycosylation patterns can contribute to Fc receptor affinity, therefore future studies could characterize the antibody glycosylation patterns of antigen specific antibodies in children over the course of infection, and determine the affinity of the identified patterns with Fc receptors. It would also be interesting to characterize the association of glycosylation patterns with age to determine if there are long term changes that occur with age and exposure, as is the case with fucosylation (Oosterhoff *et al.* 2022), that might have implications for changes in immune function.

14.5 Concluding remarks

This thesis highlighted a role for antibodies targeting PfEMP1 antigens, especially DBL β domains, in protection from severe malaria; the importance of measuring the quality of PfEMP1 specific antibodies instead of only the quantity of IgG; and that antibodies targeting PfEMP1 likely promote multiple pathways to protection, including antibody dependent neutrophil phagocytosis of infected erythrocytes. These findings may support the development of a DBL β based vaccine or monoclonal antibody therapy. The systems serology approach applied in this thesis may be used as a foundation for designing vaccine adjuvants and monoclonal antibodies that induce antigen specific Fc effector functions, or for a prognostic clinical tool to predict severe disease.

Whilst such advanced tools would be useful to reduce severe malaria, there are a multitude of contextual factors that contribute to the burden of malaria and success of interventions. It is not a coincidence that malaria predominantly affects children living in low-middle income countries, including Malawi and Papua New Guinea. Low income, lack of education and low quality or overcrowded housing are risk factors for malaria in children under 5 (Canelas et al. 2019; Klejnstrup et al. 2018; Sarfo et al. 2023). Delayed access to healthcare due to poor infrastructure and lack of essential malaria treatment supplies are risk factors for development of severe malaria (Ippolito et al. 2022; Sarfo et al. 2023). Whilst bed nets are effective and widely distributed, they are often underused, damaged or repurposed (World Health Organization 2021c). In Malawi, fishing is a common use of bed nets due to food insecurity (Berthe et al. 2019). Taken together, investment into a multitude of socio-economic and environmental factors would likely improve the burden of severe malaria, as well as other infectious diseases. The WHO estimates an annual funding gap of 3.5 billion USD exists to provide adequate resources to eliminate malaria. Continued efforts to develop cost-effective, innovative tools and better purpose existing tools to reduce the burden of malaria within the context of low and middle income countries are needed.

Part VIII

Publications

The following peer-reviewed publications were products of this thesis:

Analysis of Antibody Reactivity to Malaria Antigens by Microsphere-Based Multiplex Immunoassay (Methods in Molecular Biology)

Isobel S Walker, Amy W Chung, Timon Damelang, and Stephen J Rogerson.

DOI: 0.1007/978-1-0716-2189-9_23.

Pathogenicity and virulence of malaria: Sticky problems and tricky solutions (Virulence)

Isobel S Walker, Stephen J Rogerson.

DOI: 10.1080/21505594.2022.2150456.

Part IX

R-Packages, citations

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caret: Max Kuhn (2022). caret: Classification and Regression Training. R package version 6.0-93. <https://CRAN.R-project.org/package=caret>

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Part X

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