

1 **Urbanisation, plant traits and the composition of urban floras**

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3 Running Title: Urbanisation and plant traits

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15 **KEYWORDS**

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17 traits

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26 **ABSTRACT**

27

28 Given the increasing prevalence of cities, a better mechanistic and functional understanding of
29 plant responses to urbanisation will assist biodiversity conservation and the provision of
30 ecosystem services. Plant functional traits offer an opportunity to do this. To explore the
31 relationship between plant traits and the urban environment, we synthesised the results of 29
32 studies that specifically examined plant traits or niche indicators (e.g. Ellenberg numbers) of
33 urban floras. Niche indicators for nutrients, temperature and alkalinity were found to consistently
34 increase across many studies. Some plant traits (e.g. woodiness, seed mass and height) tended to
35 increase in response to urbanisation, while other traits have mixed responses and many other
36 traits are understudied. We propose that variability in the observed responses is linked to the
37 consistency and strength of urban stressors acting on those traits, and the importance of local
38 factors. Our synthesis highlights the complexity of urban plant-environment interactions with
39 many traits influenced by multiple abiotic, biotic and disturbance effects of urbanisation.
40 Multiple stressors make it difficult to detect trends in urban plant trait signatures unless one
41 urban stressor drives a particularly strong response or multiple stressors act on the response in
42 the same direction. While our review has developed a better understanding of how urbanisation
43 may assemble urban floras, further advances can be gained through studies that focus on specific
44 urbanisation processes, measurable morphological traits and data curation and analyses that
45 facilitate meta-analysis.

46

47

48 **INTRODUCTION**

49

50 Urbanisation is rapidly transforming our world. Over the 20th century, the world's urban
51 population grew from 220 million people to 2.8 billion, and since 2008, over half of the world's
52 population has lived in urban areas (UNFPA, 2007). Within the next 20 years, the world's urban
53 population is expected to reach 5 billion. Consequently, for the foreseeable future, cities will
54 continue to expand, changing and fragmenting landscapes from agricultural and native
55 vegetation cover to areas covered by impervious surfaces. In these altered landscapes vegetation
56 is often restricted to much smaller patches and is subject to changed environmental conditions
57 associated with urban environments (Sukopp, 2004; Williams et al., 2009). These changes can
58 lead to reduced frequency and abundances of native plant species and increased prevalence of
59 introduced alien flora, ultimately leading to the local extinction of many native plant species
60 from cities (Hahs et al., 2009; Thompson and Jones, 1999) and the creation of new urban plant
61 communities. Understanding which plant species are likely to benefit and those likely to be
62 detrimentally impacted will be important for biodiversity conservation and the provision of
63 ecosystem services in an increasingly urbanised world.

64

65 Urbanisation can be considered both as a generalised ecological gradient (McDonnell and
66 Pickett, 1990) and as a characteristic suite of disturbances (Sukopp, 2004), for which plant
67 functional traits are a useful investigative tool. Plant functional traits facilitate generalisation of
68 ecological knowledge across species and floras (Keddy, 1992) and help elucidate mechanisms
69 within plant ecological strategies (Grime, 2001; Westoby et al., 2002). Further, traits aid process-
70 based understanding of vegetation response to environmental gradients, such as soil nutrients and

71 rainfall (McDonald et al., 2003; Pollock et al., 2012), and to disturbances such as grazing (Diaz
72 et al., 2007; Dorrough and Scroggie, 2008; Vesk et al., 2004) and fire (McIntyre et al., 1999;
73 Pausas and Bradstock, 2007). Crucially, the use of standardised measures of plant structure and
74 function independent of taxonomy means results can be examined for generality worldwide.

75

76 Urban habitats around the world are increasingly similar in their structure and composition, and
77 exhibit consistent changes in physical and biological parameters along urbanisation gradients
78 (Grimm et al., 2008; Pickett et al., 2001; Seto et al., 2010). Urbanisation creates distinct meso-
79 and local-scale environments in cities where the key abiotic conditions and resources that
80 influence plant performance (i.e. temperature, light intensity, water and CO₂ availability,
81 physical and chemical properties of soil) are altered compared to the surrounding non-urban
82 landscape. Common environmental changes due to urbanisation include warmer climates due to
83 the urban heat island (UHI), and drier, more nutrient-rich and alkaline soils due to the combined
84 effect of impervious surfaces and aerial nutrient deposition. Through local extinctions and the
85 introduction of new organisms, urbanisation can also alter biotic interactions and disturbance
86 regimes. Each of these changes could potentially act as a biotic environmental filter or stressor
87 differentially impacting plant species depending on their environmental niche and the traits they
88 have evolved to exploit it (Williams et al., 2009).

89

90 Urban environmental stressors are sometimes unidirectional, for example air pollution and
91 temperature in urban areas nearly always increase relative to the surrounding landscape due to
92 industrial activity and the urban heat island, but some such as soil moisture and light are variable
93 within urban landscapes and can be higher or lower than the pre-urbanisation state. Other

94 stressors such as altered or new disturbance regimes may be context dependent. Therefore, the
95 actions of an environmental stressor may affect plant functional traits with different degrees of
96 consistency and predictability, depending upon the nature of the stressor and its degree of
97 influence on plant functional traits.

98

99 To explore the current state of knowledge about how urban environmental filters have been
100 acting upon plant functional traits, we reviewed the published literature to determine a) which
101 traits have received the greatest research attention, and b) how consistent the responses have
102 been between studies. Williams et al. (2009) proposed four filters of urban floras: (i) habitat
103 transformation, (ii) fragmentation, (iii) the urban environment and (iv) human preferences. The
104 scope of this review is largely restricted to the effects of the urban environment filter. This is
105 because although urban habitat transformation often leads to the consistent loss of particular
106 habitats (e.g. wetlands), which may alter trait distributions by eliminating resident species with
107 consistent trait combinations, the impact is habitat- and city-specific (Williams et al., 2009). In
108 addition, the traits that make species susceptible to habitat fragmentation have already been
109 reviewed elsewhere (Ewers and Didham, 2006; Henle et al., 2004), so we only consider how
110 urbanisation may interact with habitat fragmentation to influence plant traits. We also restrict
111 ourselves to natural and spontaneous vegetation of urban areas and deliberately exclude
112 cultivated vegetation, because the impact of human preference on the traits of urban vegetation is
113 a new research field with few studies (but see Kendal et al., 2012; Knapp et al., 2010). Based on
114 the findings of our review, we identify some of the potential obstacles that hamper current efforts
115 to develop a consistent understanding of the effect of urban environmental filters on plant traits,
116 and propose some pressing areas for future research.

117

118 **METHODS**

119

120 We searched Web of Science and Scopus using the terms “urban” AND “plant” AND “trait”,
121 and collated all relevant papers published in the English language. We then sourced additional
122 papers from the references listed, and studies that later cited them. We also included relevant
123 studies that we uncovered when performing literature searches on particular traits, such as
124 lifespan, lifeform, and pollination. Our criterion for inclusion was that the study had to have
125 reported traits and/or niche indicators such as Ellenberg numbers in relation to some analysis of
126 urban floristic data. We took a broad-based approach to analyses of the effects of urbanisation on
127 traits that included studies of extinction, invasion and variation in abundance, as described
128 below. However, not all studies describing plant traits could be used. We did not include studies
129 of intraspecific trait variation, trait responses to specific disturbances and processes within urban
130 areas, or comparisons between different urban habitats. We also limited our review to terrestrial
131 higher plants and where traits were used to predict abundance or occupancy. Where we
132 encountered a study that did not formally analyse plant functional traits, but documented trends
133 within the discussion, we included it in our analysis.

134

135 We used published papers as the unit of replication, although some papers included multiple
136 analyses. We found 29 papers from which some information could be gleaned. We scored the
137 response for each trait in each study as one of three possibilities: monotonically increasing with
138 urbanisation, or decreasing, or neutral. An increase may be broadly interpreted as possession of
139 the trait (or higher values of continuous traits) being advantageous to plants under urbanisation.

140 Neutral responses were recorded for cases where there was either no response detected, or where
141 the response was clearly not monotonic.

142

143 **Details of studies**

144 Eight of the 29 studies that met our criteria analysed native species only. The majority studied
145 naturalized exotics as well, with some papers separating the analyses. Sixteen studies reported
146 change over time, with temporal extents ranging from 20-300 years. Eleven studies analysed
147 extinction or persistence on flora lists from cities, towns or urbanised counties. Four of these also
148 analysed the invasion of introduced species (Aronson et al., 2007; Chocholoušková and Pyšek,
149 2003; Dolan et al., 2011; Knapp et al., 2010). However, we have not chosen to distinguish
150 between native and alien species as this focus can blur advantageous and disadvantageous trait
151 responses (Thompson and Davis, 2011). One of the extinction studies, i.e. Duncan et al (2011),
152 utilises data from eleven cities, six of which showed appreciable extinction rates and were
153 analysed further for trait effects. Four studies analysed change over time at specific urban sites or
154 in grid cells (Aronson et al., 2007; Godefroid and Koedam, 2007; Van der Veken et al., 2004;
155 Williams et al., 2005). A further thirteen studies presented contemporary snapshots from datasets
156 of quadrats or grid cells (sometimes aggregated from quadrats) ranging from 1 km² to 130 km².
157 The spatial extent of these analyses ranges from approximately 50 km² to 350,000 km². Quadrat
158 or grid cell studies can have responses and covariates at the level of the sampling unit and so
159 may fit gradient design models of occurrence or, more frequently, multivariate methods (six
160 studies).

161

162 Analyses ranged widely in complexity and approach. At the simple end were statements of the
163 percentage of species expressing a trait that went extinct (e.g. Preston, 2000; Turner et al., 1996).
164 Others provided information on the frequencies of trait states through time, to display the time
165 course of change. Contingency tables were commonly used to analyse each of these two types of
166 data. List data were also analysed with various regression approaches, for instance modelling the
167 probability of extinction as a function of traits (among other covariates). Regression approaches
168 were also commonly used for analyses of occupancy or abundance data from plots or grid cells
169 (Knapp et al., 2008). Commonly, the trait distributions were the response variables and the
170 landuse of the sites of cells used as explanatory variables. Occasionally, trait data served as
171 covariates in analyses of species' indices, such as the urbanity index used by Thompson and
172 McCarthy (2008). More often data were subjected to ordination approaches to recover gradients
173 of urbanisation from species by sites, and sites by environment matrices. Subsequently, species'
174 traits were related to these land use gradients.

175

176 The species' characteristics most commonly investigated in the 29 studies considered were
177 Ellenberg indicator values and the closely related Landolt numbers. Strictly speaking these are
178 not plant traits but indicate the realised ecological niche of plant species along gradients of light,
179 temperature, moisture, nutrients and affinity to acid or base soils. As such they are a proxy for a
180 suite of traits that adapt a species to a particular habitat or environmental condition through the
181 action of multiple filters. Competitor-Stress tolerator-Ruderal (CSR) strategy categories by
182 Grime et al (1988) are similar indicators that were also commonly investigated in the literature.
183 CSR strategies reflect strategic variation between species and extensive screening has
184 demonstrated relationships between measureable traits and the primary axis of competitors to

185 stress tolerators (Grime et al., 1997). Whole-plant traits such as growth form and lifespan have
186 also been frequently investigated. In contrast, traits that reflect plant resource allocation spectra
187 such as specific leaf area (SLA), seed mass and plant height, which are potentially the most
188 informative, have not been examined as consistently. Some traits commonly examined in
189 response to disturbance or environmental gradients (e.g. leaf nitrogen content) have not been
190 studied at all in urban areas. This may be because the data are more difficult to collect, but the
191 availability of global databases and potential for traits such as leaf nitrogen content to reflect the
192 novel urban environment should be an incentive for this to occur.

193

194

195 **Analyses**

196 The numerous different response variables, multiple modes of analyses and diversity of study
197 types prevented the intended formal meta-analysis. Instead we used a vote counting approach,
198 and although it is not ideal for evaluating evidence, it can be used when parameter estimates are
199 highly heterogeneous or absent (Gurevitch and Hedges, 1999; Hedges and Olkin, 1980; Stewart,
200 2010). Two concerns predominate with this approach: vote counting provides no information
201 about the magnitude of the effect, nor of the uncertainty of that effect. Mindful of these concerns,
202 we proceed with our aim to synthesize the heterogeneous information available and to promote
203 more consistent approaches to analyses.

204

205 **PLANT TRAIT RESPONSES TO URBANISATION – RESULTS AND SYNTHESIS**

206

207 The results of our synthesis are presented by indicator below. The traits which have received the
208 most research attention are the Ellenberg Nutrient (16 studies), moisture (14 studies) and soil

209 alkalinity (14 studies) indicators. Broadly, niche indicators revealed stronger relationships with
210 urbanisation (Figure 1) than did the functional traits (Figures 2, 3).

211

212 *Niche indicators*

213 *Light niche*

214 Thirteen studies examined the response of urban plant species to light using light indicators
215 (Figure 1). Five studies found that light-loving plants were more common in urban areas, five
216 found a neutral response while three found a negative response. The inconsistency of this
217 summary may reflect nonlinear changes that our summary hides, due to differential effects
218 between habitats and possibly between plants of remnant habitats and the urban matrix. For
219 example, the urbanisation of the London area has caused both light-loving and shade-tolerant
220 species to go extinct although light-loving species had a higher proportional extinction rate
221 (Preston, 2000). In Zurich, light-demanding species had high extinction rates in forests and
222 erosion slopes but low extinction rates in meadows (Stehlik et al., 2007). Other studies, which
223 could not be included in this analysis because they did not utilise Ellenberg indicators, have also
224 found responses to light. Turner et al. (1996) found that shade tolerant understorey shrubs had
225 high extinction rates in an isolated urban rainforest remnant in Singapore due to increased light
226 levels caused by edge effects and Burton et al. (2005) studying riparian forest found that shade
227 tolerant species were negatively associated with increasing urbanisation.

228

229 *Temperature niche*

230 European researchers identified long ago that temperature increases due to the UHI alter plant
231 distributions enabling thermophilous species, typically Mediterranean archaeophytes or aliens

232 from North America or Asia (Pyšek, 1998), to colonise cities outside their natural geographic
233 range (Godde and Wittig, 1983; Henke and Sukopp, 1986; Kowarik, 1990; Sukopp and Wurzel,
234 2003). Recent studies have generalised the earlier city- and plant-specific findings at a broader
235 scale. Eleven studies we examined used Ellenberg or Landolt temperature indicators to
236 investigate urban plant traits. Six found thermophilic species are favoured in urban areas and
237 five found no clear trend (Figure 1).

238

239 *Moisture niche*

240 Seven of the fourteen studies that examined Ellenberg or Landolt indicators of plant affinity for
241 moisture, found that urban floras are more tolerant to drought than those of the surrounding area
242 or that plants with higher soil moisture requirements had greater extinction risks (Figure 1). This
243 could be partially due to the high rate of wetland loss in urban areas (Dolan et al., 2011; Preston
244 et al., 2003; Stehlik et al., 2007). However, the response is probably more complex because two
245 of the six studies (coded as having a neutral response) found that the effect of urbanisation on
246 plant moisture preference varied with habitat or landscape type. In Zurich, Stehlik et al. (2007)
247 found that the moisture indicator had a significant effect on extinction rates, but the direction of
248 the effect varied by habitat affiliation. Dry adapted species had the highest extinction rates in
249 forests, in wetlands moisture-loving species had the highest rate of loss, while in meadows there
250 was a unimodal response with those species at either end of the Landolt moisture scale lost. In
251 Brussels, Ellenberg moisture niche was only a significant explanatory variable of vegetation
252 composition in the most densely built up areas, where it decreased, and in open built-up areas
253 with plantations where it increased (Godefroid and Koedam, 2007). The only study that found
254 that urbanisation promoted species of wetter habitats found that native species colonising urban

255 walls had higher moisture requirements than rock-outcrop species in natural areas (Lososová and
256 Láníková, 2010).

257

258 *Soil alkalinity niche*

259 There is good support for the proposition that urbanisation favours species of alkaline substrates.
260 Of 14 studies investigating responses to soil alkalinity niches, eight reported increases, there
261 were four neutral or non-significant responses and one found a decrease. The anomalous study
262 was from Turnhout, a town in the Flanders region of Belgium, which has extremely high rates of
263 atmospheric nitrogen deposition and it is suggested that the extensive loss of basidophilious
264 species is due to widespread eutrophication of the area's poorly buffered sandy soils (Van der
265 Veken et al., 2004). Other studies have reported high extinction rates in species from calcareous
266 habitats but these are from urban areas where these habitats were rare and have been largely
267 transformed (Bertin, 2002; Preston, 2000). Most studies investigating the soil alkalinity niche
268 were from central Europe where species tolerant of high alkalinity are typically from calcareous
269 habitats (Knapp et al., 2009; Tautenhahn et al., 2008). Consequently, in these areas there may be
270 a natural environmental niche that supports species that are thus pre-adapted to urban conditions.

271

272 *Nutrient niche*

273 A number of studies have shown that plants characteristic of urban areas are tolerant of or
274 favoured by high soil fertility (Hill et al., 2002; Pyšek, 1995; Roy et al., 1999). Our synthesis has
275 found strong support for the hypothesis that urbanisation favours species with an affinity to high
276 nutrient environments with twelve of the sixteen studies investigating Ellenberg or Landolt N
277 indicators concurring. Studies of frequency or abundance found that nutrient-loving plants were

278 more common in urban areas, while of four studies of plant extinction in urban areas, two found
279 species of nutrient-poor habitats such as heathlands (Preston, 2000) meadows and fens (Stehlik et
280 al., 2007) were more likely to go extinct. Other non-trait based studies have also reported the
281 extensive loss of species from nutrient poor habitats, especially wetlands (Dickson et al., 2000;
282 Preston et al., 2003), which, due to their topographic position, are particularly susceptible to
283 drainage and eutrophication. Three studies found no response while one study, which focused on
284 spontaneous herbaceous vegetation in man-made or heavily disturbed habitats, found that the
285 nutrient indicator status of some phytosociological associations decreased (Pyšek et al., 2004).
286 The authors attributed this to a reduction in moisture which leads to lower nutrient uptake and
287 the loss of nitrogen-rich habitats such as garbage holes and rubbish tips (Pyšek et al., 2004).

288

289 *Grime's CSR*

290 We were only able to document the primary strategies C, S and R, as the secondary and tertiary
291 strategies (ie CS, SR etc), where perhaps more information is contained, were not often reported.
292 This and the variety of analyses (i.e. relative vs absolute frequencies) made synthesis difficult.
293 Consequently, our results are inconsistent and do not support the general increase in competitors
294 in urban floras that has been reported elsewhere (Chocholoušková and Pyšek, 2003). However,
295 there was a tendency for stress tolerators to decrease (Figure 1), which has been associated with
296 a reduction of stressful, low-nutrient habitats (Preston, 2000). Interpreting the response of
297 Grime's CSR categories as indicators is difficult because they can be the product of multiple
298 filters that may be operating in different directions.

299

300 *Vegetative traits*

301 *Lifeform / growth form*

302 Many studies have reported the abundance or relative extinction rates of different plant lifeforms.
303 Multiple classification schemes make interpretation of trends difficult, particularly for woody
304 plants. However, in total, more studies found that there was an increase in woody plants (trees
305 and shrubs) relative to other lifeforms (Figure 2). Explanations proposed for this include an
306 increase in the number of invasive shrubs and trees „escaping“ from gardens (Dolan et al., 2011;
307 Godefroid and Koedam, 2007), and the fact that trees, due to their longer lifespan, are less likely
308 to be entirely extirpated from cities. For example half of the tree species present in a lowland
309 rainforest remnant in urban Singapore survived as one or two individuals (Turner et al., 1996).
310 There is evidence to suggest that geophytes may be disadvantaged by urbanisation while
311 therophytes are favoured. Geophytes have been reported as having high extinction rates and
312 being less common in urban areas due to competition from taller alien species that may be
313 favoured by increased nitrogen levels (Preston, 2000; Williams et al., 2005). Therophytes are
314 associated with high levels of disturbance and soil fertility, and are typically thought to increase
315 in cities due to the prevalence of disturbance in urban areas that creates brownfields suitable for
316 colonisation by introduced species (Knapp et al., 2008). However, two studies found that
317 therophytes were more prone to extinction than other lifeforms (Preston, 2000; Stehlik et al.,
318 2007) indicating that there may be different responses between native and alien annual species.

319

320 *Plant height*

321 Our synthesis indicates that urbanisation favours taller plant species. Six studies investigated
322 plant height. Three of the four studies that examined extinction found that shorter plants were
323 more likely to go extinct; the other result was non-significant. The other two studies looked at
324 frequency. Thompson and McCarthy (2008) found that taller plants were more common in urban

325 areas, while Knapp et al. (2009) found that shorter species had high urbanity, probably because
326 urban floras contain many short-lived ruderal species. The near consistency of the response of
327 plant height to urbanisation in different studies (Figure 3) and across cities (e.g. Duncan et al.,
328 2011) is likely to be because plant height is expected to respond positively to many of the effects
329 of urbanisation (e.g. UHI, higher nutrients) while negative impacts may be hidden by an urban
330 extinction debt as taller species are generally longer lived (Hahs et al., 2009).

331

332 *Leaf traits*

333 Five studies reported SLA in relation to abundance. Two found that high SLA species were more
334 common in more urban areas, two found no significant effect of urbanisation on SLA, and
335 Thompson and McCarthy (2008) found that intermediate SLA was consistently favoured by
336 urbanisation. They noted that other studies may have failed to detect the unimodal relationship
337 by not including quadratic terms in their analysis and assuming all relationships were linear.
338 Given the contrasting expected effects on SLA of some of the most prevalent filters in urban
339 environments, with the UHI, drought and heavy metals acting to decrease SLA, while high
340 nutrient soils, increased light levels and disturbance increase SLA, perhaps a unimodal response
341 is not surprising. A potential consequence is functional homogenisation with plants with both
342 high and low SLA values being disadvantaged by urbanisation. However, there is an obvious
343 need for further research on the response of SLA to urbanisation as it is a fundamental
344 expression of plant resource allocation (Poorter et al., 2009) and has not been investigated in
345 many urban ecology studies.

346

347 A series of studies by Knapp et al. (2009; 2008) examined the frequency of different leaf types,
348 that characterise a SLA gradient, in the urban floras of Germany. At a national scale

349 scleromorphic, mesomorphic and succulent leaf types were more frequent than hygromorphic
350 (shade and semi-shade) leaves in urban grid cells compared to semi-natural or agricultural grid
351 cells suggesting that high SLA was a disadvantage (Knapp et al., 2008). In Halle, species with
352 mesomorphic and hygromorphic leaves increased and species with helomorphic (species of
353 swampy areas with aeration tissue in the root) and hydromorphic leaves had high extinction rates
354 due to loss of habitat (Knapp et al., 2010). SLA is negatively related to leaf lifespan, which was
355 examined in five studies but results were again inconsistent. Burton et al. (2009) found that
356 evergreens were more common in urban riparian areas, but Aronson et al. (2007) found that
357 deciduous species were more likely to increase and less likely to decrease than evergreens over
358 time. Three studies showed no significant response of evergreens but varied response among
359 species with various short-lived leaf phenologies.

360

361 ***Reproductive and regenerative traits***

362

363 *Pollination strategy*

364 Pollination mode was investigated in nine separate studies (Figure 3). Although some found that
365 urbanisation favours wind pollination and disadvantages animal pollinated species (Knapp et al.,
366 2010; Knapp et al., 2008; Lososová et al., 2006; Sodhi et al., 2008), five studies found that it was
367 not a significant predictor variable. This may reflect the complexity of plant reproductive
368 systems, their interaction with particular pollinator mutualisms, and how those pollinators
369 respond to fragmentation and urban effects. Clear patterns may not emerge until there are enough
370 studies to allow the analysis of the ability of species to self-pollinate as a co-variable. This would
371 limit the analyses to those species that require a pollination mode that requires transport of the
372 pollen beyond the plant.

373

374 *Seed mass and seed bank longevity*

375 Heavier seeds appear to be associated with plant persistence in urban areas (Figure 3). Of the six
376 studies that reported seed mass, heavier seeded species were favoured in four of these, including
377 the meta-analysis of extinction in six cities by Duncan et al. (2011). Species with greater seed
378 mass perform better in hazardous environments that are subject to drought, competition, deep
379 shade or burial (Westoby et al., 2002). Many of these conditions are present in urban areas where
380 the probability of a seed landing in a favourable environment may be reduced due to abundant
381 impervious surfaces and soil compaction. An alternative explanation is that increased seed mass
382 in urban areas is an artefact of urbanisation favouring taller species, as plant height is positively
383 related to seed mass (Thompson and McCarthy, 2008). It has been proposed that frequent
384 disturbance commonly associated with urban areas may favour species with small seeds that
385 persist (Gilbert, 1989), but there was not consistent evidence to support this.

386

387 *Clonality*

388 Clonality can give plants competitive vigour, the ability to exploit patchy resources and can
389 promote persistence after disturbance (Cornelissen et al., 2003), all traits potentially useful in
390 urban landscapes. Our synthesis suggested no clear trends across the eight studies that
391 investigated this trait. Perhaps because clonality can take many forms (e.g., tubers, rhizomes,
392 branch layering, root suckering) the trait in its broadest sense may not have been specific enough
393 to be meaningful in the urban context.

394

395 *Dispersal strategy*

396 Dispersal strategy was commonly investigated in urban plant trait studies but different
397 categorizations made it difficult to synthesise results, and only wind dispersal was examined
398 frequently enough to draw any conclusions. Three (Knapp et al., 2010; Sodhi et al., 2008;
399 Williams et al., 2005) of the four studies that used extinction rates found that wind-dispersed
400 species were more likely to disappear than those with other dispersal modes (Figure 3).
401 However, studies that examined frequency of dispersal modes across all species did not show a
402 consistent pattern. This suggests that different filters may operate on the native species present in
403 remnants compared to those in the broader urban landscape.

404

405 **DISCUSSION**

406

407 Our synthesis both confirms and generalises some previously reported trends and highlights the
408 complexity of plant-environment interactions in urban systems. There was consistent support for
409 the specific hypotheses that urbanisation shifts the ecological niche of floras towards a niche that
410 is warmer and more characteristic of alkaline and/or nutrient rich soils. There is also evidence
411 that urban floras may be more drought tolerant and more light loving than those of other areas.
412 The strong response of niche indicators (Ellenberg and Landolt numbers) to urban environments
413 is expected, given that they are an expression of tolerance to specific environmental conditions
414 and reflect the response of multiple traits to environmental conditions. Unfortunately, their utility
415 is limited to Europe where the ecology of the flora is well known and Ellenberg values are
416 widely available, which restricts global generalisations. It is encouraging that niche indicators are
417 responsive to urbanisation and that those responses relate to the changes in conditions and
418 resources due to urbanisation. Yet this is sufficient neither for generalised understanding of *why*

419 it is that plants respond in observed ways nor for the prediction to new floras where the niches of
420 species are not well characterised. For this, we suggest, individual traits need to be examined.

421

422 We suggest three possible explanations for the varying consistency of the effect of urban
423 environmental filters on plant traits which may assist efforts to develop a general understanding
424 (Figure 4). First, when a single urban stressor acts upon a trait (Figure 4a), or multiple stressors
425 are all predicted to act on a single trait in the same direction (Figure 4b), we would expect
426 consistent directional changes in the trait along an urban gradient. Our results suggest that seed
427 mass, plant height and the number of annual species increase in urban areas. Notably, in each
428 case multiple potential drivers, with different strengths, but similar directions, may contribute to
429 the consistent trait responses seen. While a particular trait may respond strongly to urbanisation
430 the mechanism responsible is sometimes unclear and highlights the need to test potential
431 relationships experimentally to confirm causality of mechanistic drivers.

432

433 Second, if multiple urban stressors are predicted to act upon a single trait in different directions
434 (Figure 4c), we would expect inconsistent outcomes for that trait, unless one stressor was clearly
435 stronger than the others. For example, as described above, the response of specific leaf area
436 (SLA) to urbanisation is likely to be unclear as urban abiotic stressors are likely to act on the trait
437 in different directions. In this case, future research should either attempt to untangle the relative
438 strength and direction of the competing filters, or suites of complementary traits should be
439 investigated, as they may de-confound of the effects of multiple filters, thereby advancing our
440 understanding of mechanistic relationships.

441

442 Third, stressors vary in their strength and consistency between and within cities due to numerous
443 factors and this would be expected to impact on the consistency of the trait signal observed. For
444 instance, the urban heat island occurs in all cities and thus would be expected to have strong,
445 consistent trait signals. In contrast, more complex stressors that operate through biological and
446 biophysical interactions, such as changes to dispersal vectors, would be expected to vary
447 depending on local factors including the biotic composition and its spatial distribution. Therefore
448 consistent trait signals would be less likely to be observed. For example Aronson et al. (2007)
449 found that broad-leafed deciduous alien shrubs with bird-dispersed fruit increased their range
450 sizes in New York City but native, evergreen shrubs with fleshy fruit decreased. In isolation
451 these results suggest that urbanisation is not consistently impacting bird dispersed or evergreen
452 versus deciduous shrubs. However, the true effect on these traits may be being masked by the
453 fact the native shrubs that Aronson et al (2007) cite as particularly affected by urbanisation grow
454 on acidic soil habitats that have been strongly affected by habitat loss and eutrophication. If these
455 effects could be accounted for, bird-dispersed shrubs may be responding positively to
456 urbanisation as they have in other studies (e.g. Rogers et al., 2009).

457

458 There are two broad categories of studies in our synthesis; studies examining the extinction of
459 native species and studies of vegetation frequency or abundance across the urban landscape. The
460 extinction studies are effectively reporting the trait response of vegetation from remnant habitats
461 only, while the studies of frequency and abundance often include alien and native species from
462 remnant, or spontaneous and other non-cultivated urban vegetation. This distinction is important
463 because the filters of habitat transformation and fragmentation operate most strongly on the
464 former, which is why some trait response patterns (e.g. dispersal strategy) may be clearer when

465 extinction studies are considered in isolation. Including exotic species may be unhelpful in some
466 instances (Thompson and Davis, 2011) because their response to urbanisation is driven by a
467 different set of traits (cf. Aronson et al. 2007. example described above). The response of a
468 number of characteristics (e.g. light niche) also appears to be habitat dependent and may reflect
469 trait divergence. This is not surprising as specific habitats have selected for particular traits that
470 may respond similarly to changed environmental conditions within a habitat but differently when
471 compared across habitats. Trait responses may also be missed or be seen as inconsistent because studies
472 fail to capture these habitat specific responses. For example if plants with particular niche indicators or
473 traits are prevalent in a habitat which is preferentially lost due to urbanisation, the trait response may be
474 missed if they are studied at a city wide scale because it is too coarse a scale. As the number of
475 urban traits studies increase, analyses that distinguish between the response of vegetation of
476 remnant patches versus all vegetation and across specific habitats (i.e. forests) may provide
477 insights not currently discernible.

478

479 Another reason for the inconsistencies in the response of plant traits that act through biotic
480 interactions may be the intentional cultivation of plants in urban areas by humans. This has
481 greatly facilitated the spread of many species and their distribution across urban landscapes
482 cannot be understood without taking human behaviour into account (Pyšek et al., 2010).
483 Cultivation by humans may give plants traits like seed size, pollination strategy and dispersal
484 syndromes limited explanatory power in urban environments because fundamental biological
485 trade-offs such as seed size versus establishment success are overcome or modified. While
486 beyond the scope of our review, human preference has been shown to favour particular traits
487 (Kendal et al., 2012) and shift the trait distribution of urban floras through increased propagule
488 pressure originating from planted species. This has led to an increase in the phanerophyte (tree

489 and shrub) lifeform in many urban areas due to escaped woody ornamentals and has been
490 reported for Rome (Celesti-Grapow and Blasi, 1998), Hamburg (Kowarik, 2005) and Plzen in the
491 Czech Republic where the contribution of shrubs and trees to the city flora increased from 5% to
492 15% between 1910 and 1990 (Chocholoušková and Pyšek, 2003). Gardeners are also likely to
493 select species that are insect-pollinated as a consequence of preferring larger flowers (Knapp et
494 al., 2010).

495

496 **CONCLUSIONS AND WAYS FORWARD**

497 The robustness of our analyses was constrained by our inability to undertake a formal meta-
498 analysis due to the variable nature of existing urban plant trait studies. Consequently, we echo
499 the calls of others urging researchers to more fully present their data to encourage meta-
500 analytical thinking (Gurevitch and Hedges, 1999). Understanding that meta-analysis proceeds
501 from measures of effect sizes, which present direction and magnitude of an effect and need to be
502 accompanied with sample sizes or other statistics to reflect the uncertainty in them is important.
503 So, while authors are encouraged to present P-values in support of hypothesis testing, this should
504 be accompanied by parameter estimates with uncertainty. We also highlight the limitations of
505 the multivariate analyses using eigenvector methods (such as RLQ analyses) frequently used in
506 urban plant trait studies for meta-analyses. First, such methods lack an explicit model that can be
507 linked to a biological process, and extracted and applied somewhere else. Second, such
508 ordinations are optimised for the dataset and the covariates being analysed, which will vary
509 between studies and between research questions, making their generality uncertain. Attempts to
510 resolve the complex causality of trait-urban environment interactions through experimentation

511 involving putative causal factors or by modelling methods such as generalised linear models
512 structural equation modelling (Grace, 2006) or probabilistic networks will be particularly useful.
513

514 While our review has developed a better understanding of how urbanisation may assemble urban
515 floras, the lack of generality found could have various explanations. Firstly, urban ecology and
516 comparative ecology are both relatively young fields of research, and the specific use of
517 functional traits in the investigation of urbanisation is very recent; 29 studies is not a large
518 sample. By highlighting the traits studied frequently and synthesizing study results, we seek to
519 hasten the descriptive, exploratory phase of this research worldwide so that research can proceed
520 more quickly to addressing the mechanistic relationships between urban environments and plant
521 assemblages. Secondly, as urbanisation comprises a complex suite of drivers that may interact in
522 their filtering effects on plant traits, variations in the relative strengths of those drivers may
523 contribute to variability in trait responses to urbanisation. Further insights can be gained by
524 focusing on specific urbanisation processes, measurement of plant functional trait responses, and
525 analyses and reporting methods that allow comparative generalizations of results (McDonnell
526 and Hahs, 2013). The investigation of simple types of data across many places (e.g. urban flora
527 lists) will continue to be useful, but targeted studies and the experimental measurement of
528 relevant traits are needed to confirm the causal processes structuring urban floras. In addition,
529 analyses capable of detecting non-linear effects on trait means and effects on trait variances, not
530 just means, may prove important in unpicking the complex interactions of multiple urban effects
531 on plant traits.

532

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542

543

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695

696 Figure Captions

697

698 Figure 1. Literature support for relations between urbanisation and plant strategy and niche
699 indicators. Count is number of papers with data supporting an increase, decrease or mixed
700 responses with urbanisation.

701

702 Figure 2. Literature support for relations between urbanisation and plant functional types. Count
703 is number of papers with data supporting an increase, decrease or mixed responses with
704 urbanization.

705

706 Figure 3. Literature support for relations between urbanisation and plant functional traits. Count
707 is number of papers with data supporting an increase, decrease or mixed responses with
708 urbanization.

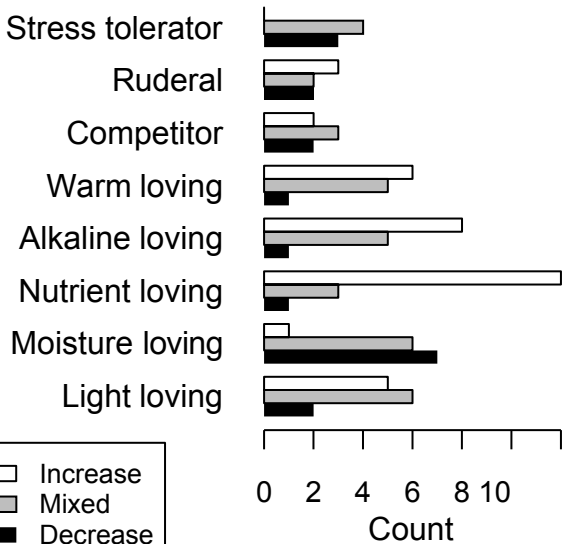
709

710 Figure 4. Conceptual diagram illustrating three potential scenarios for how urban environmental
711 stressors act to shift the trait frequency distribution (Freq.) for Trait X from an initial distribution
712 (T0; solid line) to a new distribution (T1; dashed line). A single stressor acting on a trait should
713 broaden or shift the distribution in a predictable direction (a). Multiple stressors acting in a
714 consistent direction should also broaden or shift the distribution in a predictable direction (b).
715 However, when multiple stressors are acting in inconsistent directions, the distribution is likely
716 to broaden or change unpredictably, making it difficult to record a shift in the new trait
717 distribution (c).

718

Figure

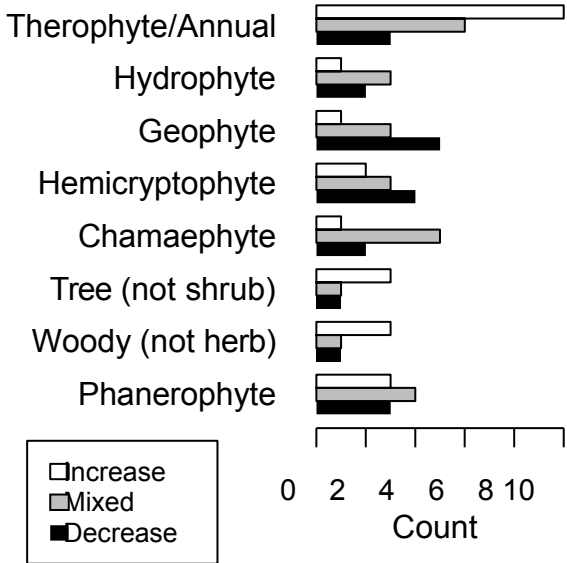
Strategy and Niche Indicators



- Increase
- Mixed
- Decrease

Figure

Functional Types



Figure

Functional Traits

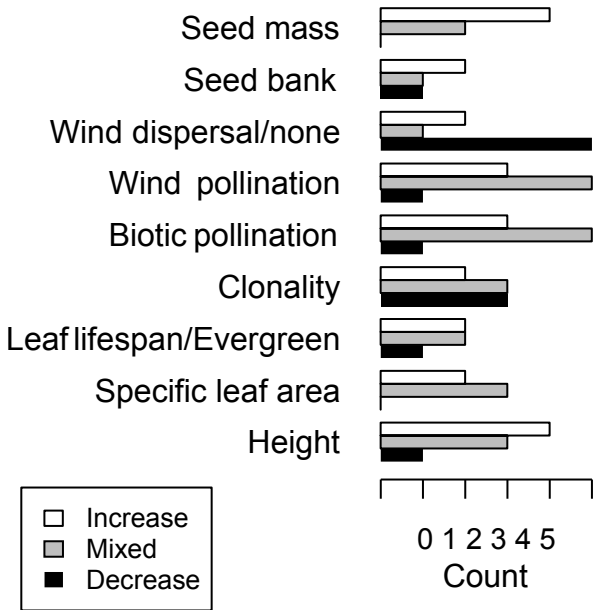
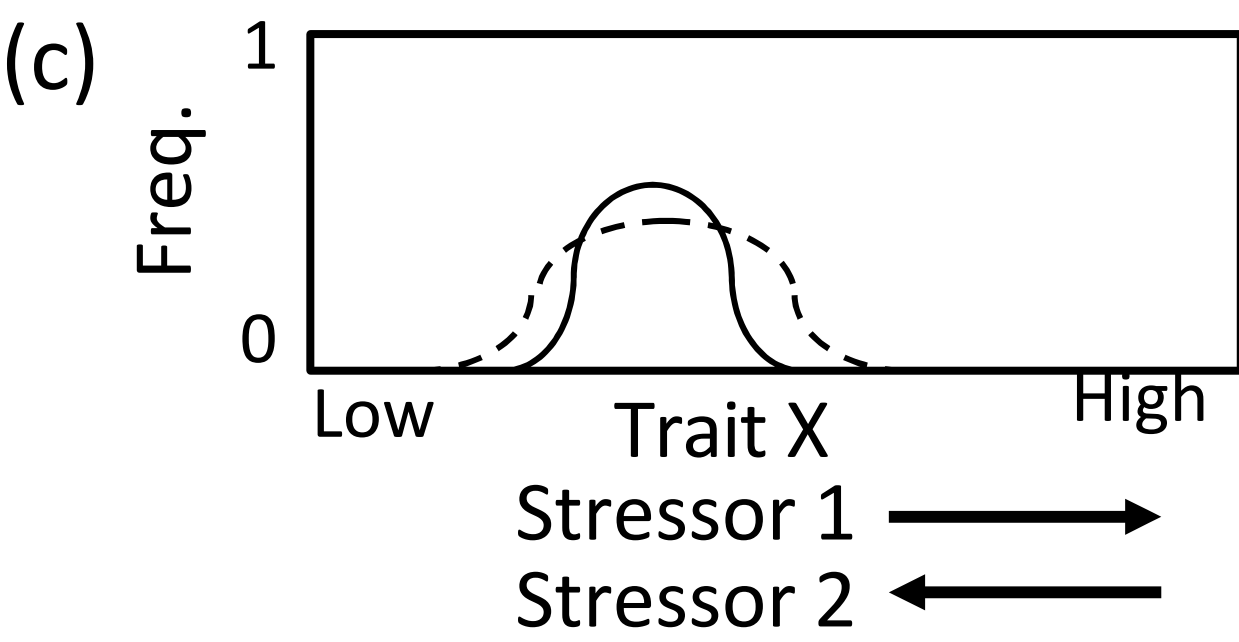
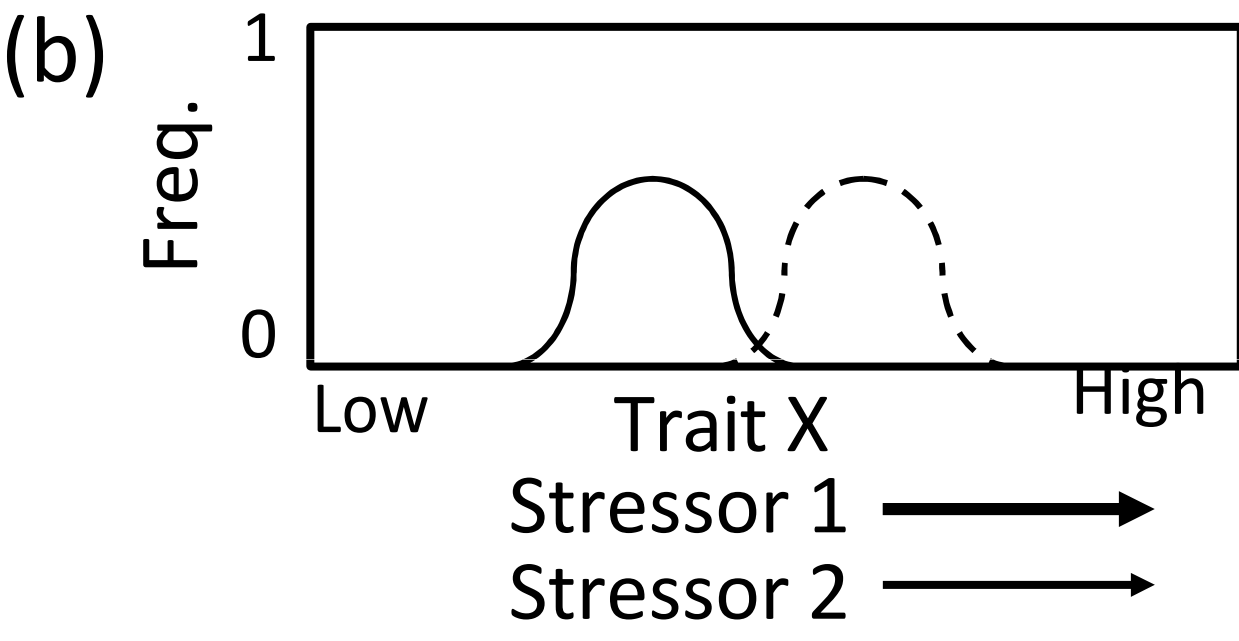
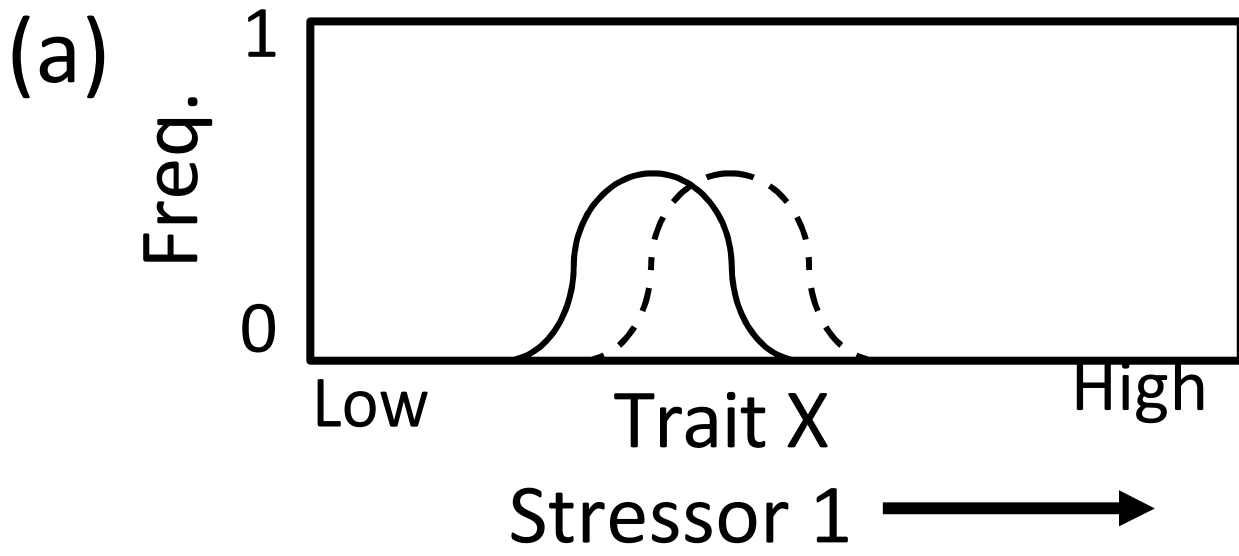


Figure 4



References Used

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