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8 Spatio-temporal distribution of outbreaks of highly pathogenic avian influenza virus
9 subtype H5N1 in Vietnam, 2015 to 2018

10

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19

20 **Abstract**21 Despite strong commitments of the central and provincial veterinary authorities in Vietnam to control
22 highly pathogenic avian influenza H5N1 (HPAI-H5N1) in poultry and to diminish the risk of human
23 infection, outbreaks continue to occur in poultry. This study describes the spatio-temporal distribution

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24 of HPAI-H5N1 outbreaks in Vietnam for the period December 2014 to April 2018 using the space-time K-
25 function and the space-time scan statistic.

26

27 The space-time K-function analyses showed statistically significant spatio-temporal clustering of HPAI-
28 H5N1 outbreaks in poultry during the study period of up to 50 days and 60 kilometres. The space-time
29 scan statistic test identified three statistically significant space-time clusters of HPAI-H5N1 in the south
30 of the country where the incidence of HPAI-H5N1 outbreaks was greater than that expected if outbreaks
31 were randomly distributed in space and time. The analyses indicated shortfalls in the effectiveness of
32 control measures used to control HPAI-H5N1 throughout the study period. Development of a better
33 understanding of the relative impact of HPAI-H5N1 control measures (depopulation of infected flocks,
34 vaccination, movement restrictions) on space-time interaction would allow animal health authorities to
35 focus their efforts on control measures shown to have the greatest relative effect.

36 **Keywords**

37 disease outbreaks; epidemiology; influenza A virus, H5N1 subtype; spatio-temporal analysis; Vietnam

38

39 **Introduction**

40 Although the number of reported HPAI subtype H5N1 outbreaks in Vietnam has decreased markedly
41 since 2004 (FAO Vietnam, 2017), substantial losses to the domestic poultry sector continue to occur
42 (World Organisation for Animal Health, 2018). In addition, 64 people have died as a result of infection
43 with H5N1 influenza viruses in Vietnam and, although the last human case was reported in early 2014,
44 the risk of human infection remains high (World Health Organization, 2018).

45

46 From 2004 to 2011, phylogenetic analyses of whole-genome sequences of subtype H5N1 viruses
47 isolated from poultry outbreaks of avian influenza in Vietnam identified a total of 48 genotype
48 constellations with circulation of at least 12 distinct hemagglutinin clades (Boender et al., 2007) and
49 subclades (1, 1.1, 2.3.4 [1–3], 2.3.2.1 [a–c] 3, 5, 7.1, 7.2) (Creanga et al., 2013; Tung et al., 2013).

50

51 An active surveillance program for avian influenza in 394 live bird markets (LBMs) in the north, central
52 and southern regions of Vietnam between September 2011 and June 2013 showed that the prevalence
53 of HPAI H5N1-positive markets (that is, LBMs in which at least one bird was H5N1 positive at the time of
54 sampling) was 26% (95% CI 22% to 31%). Phylogenetic analyses of the partial HA gene sequences from

55 these samples identified viruses of clade 2.3.2.1a ($n = 44$ isolates), clade 2.3.2.1b (3 isolates), clade
56 2.3.2.1c (90 isolates), clade 1.1.1 (12 isolates), clade 1.1.2 (32 isolates) and clade 7.2 (13 isolates)
57 (Nguyen et al., 2014) consistent with the poultry outbreak clades identified by Creanga et al. (2013) and
58 Tung et al. (2013).

59
60 Prior to mid-2014, these six H5N1 clades circulated in Vietnam, each with different disease dynamics,
61 making epidemiological research impractical. In 2014, HPAI subtype H5N6 appeared and spread through
62 Vietnam, initially causing high mortality rates in young ducks (World Organisation for Animal Health,
63 2014). In the same year, five clades of HPAI H5N1 ceased to be detected leaving HPAI H5N1 (clade
64 2.3.2.1c) and H5N6 (clade 2.3.4.4) the only subtypes endemic in Vietnam (Nguyen et al., 2017), both
65 highly pathogenic for chickens and ducks. With only one clade of HPAI H5N1 and one clade of HPAI
66 H5N6 circulation in Vietnam from late 2014 till early 2018, this epidemiological study became possible.

67
68 Although a considerable amount of work has been carried out to both describe the epidemiology of
69 HPAI outbreaks in Vietnam (Minh et al., 2009) and to identify factors that render some flocks at greater
70 risk of infection compared with others (Henning et al., 2009; Minh, Stevenson, Jewell, French, &
71 Scheuer, 2010; Pfeiffer, Minh, Martin, Epprecht, & Otte, 2007) the epidemiology of HPAI in commercial
72 and non-commercial poultry flocks in Vietnam remains relatively poorly understood. Reasons for
73 knowledge gaps include: (1) an absence of up-to-date sampling frames providing details of commercial
74 and non-commercial poultry enterprises throughout the country, making it difficult to design cross-
75 sectional and prospective observational studies that allow inferences to be confidently extrapolated to
76 the population level; (2) a high turnover of birds in individual poultry flocks, more so for broilers
77 compared with layers; (3) complexity in the pattern of animal movement and dynamic value chains
78 (Fournie et al., 2016); (4) constraints in disease detection and reporting (Delabouglise et al., 2016); and
79 (5) as outlined above, complexity in the different virus subtypes and clades co-circulating in different
80 regions of the country and in different poultry enterprise types.

81
82 Despite strong commitments of the central and provincial veterinary authorities to control HPAI in
83 poultry and to diminish the risk of further human infection, HPAI outbreaks continue to occur in
84 Vietnam (World Organisation for Animal Health, 2018). The aim of this study was to describe the spatio-
85 temporal distribution of HPAI-H5N1 (clade 2.3.2.1c) and H5N6 (clade 2.3.4.4) outbreaks on farms in
86 Vietnam for the period December 2014 to April 2018 and to use that information to identify the

87 additional outbreak information that needs to be recorded to determine the relative effectiveness of
88 outbreak control measures. The current control measures include culling of infected flocks, cleaning and
89 disinfection of contaminated premises, vaccination of at-risk flocks and risk communication. A better
90 understanding of those control measures that have the greatest impact on suppression of disease
91 spread will allow resources to be more appropriately allocated by disease control authorities.

92

93 **Materials and methods**

94 **Data collection and management**

95 Details of HPAI-H5N1/H5N6 outbreaks that occurred in domestic poultry in Vietnam for the period 24
96 December 2014 to 30 April 2018 (inclusive) were obtained from the Department of Animal Health of the
97 Ministry of Agriculture and Rural Development, Hanoi. An outbreak was defined as the presence of at
98 least one laboratory-confirmed HPAI-H5N1/H5N6 infected bird (by either virus isolation or polymerase
99 chain reaction, PCR) in either a commercial or non-commercial poultry flock (MARD, 2014). All outbreaks
100 were reported through the passive surveillance system. In the usual situation the presence of illness or
101 elevated mortality rates in a flock prompts a poultry flock owner to contact the local community animal
102 health worker, who will contact a local government district veterinarian who then initiates a flock
103 investigation. If HPAI is suspected, tissue (whole carcass) samples are submitted for confirmatory testing
104 at one of the seven Regional Animal Health Office laboratories located throughout the country or at the
105 National Centre for Veterinary Diagnostics in Hanoi.

106

107 Data from laboratory confirmed outbreaks of HPAI-H5N1/H5N6 for the period 24 December 2014 to 30
108 April 2018 included the address of the affected poultry flock, the date of on which the investigation was
109 initiated, the species involved (chickens, ducks, or Muscovy ducks) and details of the specimens
110 collected and tested to confirm the diagnosis. Because the address details provided for each affected
111 poultry enterprise were not uniform in the level of detail sufficient to allow geocoding, the spatial
112 resolution of the location of each outbreak was limited to the commune level. Communes are the
113 smallest administrative unit in Vietnam with a median area of 15 km². Digital maps of the primary
114 (provincial), secondary (district) and tertiary (commune) boundaries of Viet Nam were obtained from
115 <http://www.diva-gis.org/datadown>. Longitude and latitude coordinates of the centroid of each of the
116 11,162 communes of Viet Nam were calculated using the Geographic Information System Quantum GIS
117 (QGIS Development Team, 2018). Centroid coordinates of each commune were re-projected to the

118 Universal Transverse Mercator projection zone 48N (European Petroleum Survey Group: 3857) using the
119 contributed rgdal package (Bivand, Keitt, & Rowlingson 2018) in R (R Core Team, 2018) version 3.5.1.

120

121 **Data analysis**

122 A total of 138 poultry flock outbreaks of HPAI-H5N1 were reported (passive surveillance) during the
123 period 24 December 2014 to 30 April 2018. No HPAI-H5N1 infection was detected in poultry flocks
124 through active surveillance. The analysis of flock outbreaks caused by HPAI-H5N6 ($n = 55$) did not result
125 in any significant findings and was not further discussed. HPAI-H5N1 detected in individual birds in LBMs
126 during the same time period were not included in the analyses presented in this paper (as described till
127 December 2015 by Mellor et al. (2018)), as the origin of these infected birds could not be determined.

128

129 Two separate analyses were carried out to describe the spatio-temporal characteristics of HPAI-H5N1
130 outbreaks on poultry farms in Vietnam throughout the study period. The first involved calculation of the
131 space-time K-function (Diggle, Chetwynd, Häggkvist, & Morris, 1995) in an effort to identify the general
132 property of space-time interaction in the HPAI-H5N1 outbreak data. This answered the question: if an
133 outbreak of HPAI-H5N1 occurred in a given location at a given time, how likely was it that additional
134 cases of HPAI-H5N1 were detected within a given Euclidean distance and time from the date of
135 detection of that outbreak? In veterinary epidemiology the space-time K-function has been used to
136 describe the presence of space-time interaction (colloquially termed ‘contagiousness’) in the outbreak
137 of foot-and-mouth disease that occurred in the United Kingdom in 2001 (Wilesmith, Stevenson, King, &
138 Morris, 2003), bovine tuberculosis in New Zealand (Porphyre, McKenzie, & Stevenson, 2007) and
139 outbreaks of HPAI-H5N8 in France in 2016-2017 (Guinat et al., 2018).

140

141 The space-time K-function requires calculation of $K(s,t)$ defined as the cumulative expected number of
142 HPAI-H5N1 outbreaks within distance s and time t of an arbitrarily selected HPAI-H5N1 outbreak event
143 divided by outbreak intensity λ (the mean number of outbreak events per unit space and per unit time).
144 In this context the observed space-time K-function, $K(s,t)$, is comprised of two components: the
145 independent effect of space $K_S(s)$ and time $K_T(t)$, $K_S(s)K_T(t)$, and the interaction between space and
146 time, $D(s,t)$. Because $D(s,t)$ cannot be observed directly, it is estimated as the difference between K_S
147 (s,t) and $K_S(s)K_T(ts)$:

$$D(s,t) = K(s,t) - K_S(s)K_T(t) \quad \text{Equation 1}$$

148 Thus $D(s,t)$ provides an estimate of the cumulative number of HPAI-H5N1 outbreaks expected within
149 distance s and time t of an arbitrarily selected outbreak attributable to the interaction between space
150 and time, scaled by λ . To facilitate inference D_0 is calculated as:

$$D_0(s,t) = \frac{D(s,t)}{K_S(s)K_T(t)} \quad \text{Equation 2}$$

151 which estimates, for distance s and time t , the proportional increase in disease risk attributable to
152 space-time interaction (Diggle et al., 1995).

153
154 Acknowledging that outbreak location details were recorded at the commune level and to minimise the
155 possibility that we might bias the distance component of D_0 by assuming that all outbreaks occurred in
156 the centre of each commune, a series of 100 Monte Carlo simulations were carried out in which the
157 location of each outbreak was randomly assigned to a point location with the boundaries of its
158 respective commune. For each of the 100 simulations $D(s,t)$ was calculated and summed. The summed
159 values of $D(s,t)$ were then ranked and the $D_0(s,t)$ matrices corresponding to the 5th percentile, 50th and
160 95th percentiles of the $D(s,t)$ sums were selected for reporting.

161
162 For each of the three $D_0(s,t)$ matrices selected for reporting, the significance of space-time clustering
163 was assessed by conducting 99 Monte Carlo simulations in which each of the $n = 138$ HPAI-H5N1
164 outbreak locations were randomly labelled with the observed 138 time 'markers'. For each of the 99
165 Monte Carlo simulations, the sum of $D(s,t)$ over all s and t was calculated, as before. The sum of $D(s,t)$
166 for the observed data was then ranked among the empirical frequency of the 99 $D(s,t)$ sums. If the
167 observed value of $D(s,t)$ ranked k th largest (or smallest) the one-sided attained significance level was
168 $k/100$ (Wilesmith et al., 2003).

169
170 Our second analysis used Kulldorff's space-time scan statistic (Kulldorff, 1997) to identify the
171 geographical locations and time frames of spatio-temporal clusters of HPAI-H5N1 that occurred during
172 the study period. With the space-time scan statistic, the number of observed HPAI-H5N1 outbreaks in a
173 given area and time frame is compared to what would have been expected if the spatial and temporal
174 locations of outbreaks were independent of each other. That is, a spatio-temporal cluster of HPAI-H5N1
175 outbreaks was said to exist in a given area if that area had a higher proportion of its cases in that time
176 period compared to the remaining geographical areas. The geographic location of spatio-temporal
177 clusters of HPAI-H5N1 outbreaks were determined using the space-time permutation model of the

178 spatial scan statistic implemented in SaTScan version 9.6 (Kulldorff, Heffernan, Hartman, Assunção, &
179 Mostashari, 2005; Park et al., 2016). The analysis was conducted across the duration of the study period,
180 from 24 December 2014 to 30 April 2018. Because inference was at the national level the location of
181 HPAI-H5N1 outbreaks was assumed to be the centroid of each affected flock's commune. Most likely
182 clusters were reported at a significance level of 5% based on 999 Monte Carlo replications, without
183 geographical overlap, using a maximum circular spatio-temporal window set to 25% of the total number
184 of cases ($n = 35$) and 10% of the study period (approximately three months).

185

186 **Results**

187 **Descriptive analysis**

188 Throughout the study period a total of 138 HPAI-H5N1 outbreaks were reported in poultry flocks in 34
189 of the 63 provinces (67 of the 713 districts) of Vietnam. Figure 1 is a map of Vietnam showing the
190 centroid coordinates of the 113 communes that were identified as HPAI-H5N1 positive for the period 21
191 December 2014 to 8 April 2018.

192

193 The number of outbreaks reported per commune ranged from one to six, with 13% (15 of 113) of the
194 total number of HPAI-H5N1 positive communes reporting more than one outbreak. The incidence of
195 HPAI-H5N1 outbreaks varied over time (Figure 2) with five outbreaks or less reported per month for the
196 period December 2014 to December 2016. In February 2017 a total of 19 outbreaks were reported from
197 different parts of the country. The relatively large number of outbreaks in February 2017 could be the
198 result of intensified poultry trade and movements prior and during the festive lunar New Year. These
199 seasonal peaks have been reported earlier (Minh, et al., 2009). From June 2017 the frequency of
200 outbreaks reported per month decreased to less than five per month.

201

202 The majority of outbreaks were in chicken flocks (59%, 82 of 138), followed by duck (30%, 42 of 138) and
203 then multispecies flocks (i.e. flocks comprised of chickens, ducks and geese 10%, 14 of 138). Of the 138
204 outbreaks, 121 (88%) occurred in commercial flocks while the remaining 17 occurred in non-commercial
205 flocks comprised of less than 100 birds. The HPAI-H5N1 positive commercial flocks were comprised of a
206 median of 650 birds (range 100 to 42,000 birds).

207

208 **Spatio-temporal analyses**

209 The space-time K-function analyses showed that there was significant spatio-temporal clustering of
210 HPAI-H5N1 outbreaks in poultry during the study period with Monte Carlo p-values of 0.03, 0.03, and
211 0.02 for the 5th percentile, 50th, and 95th percentile $D_0(s,t)$ matrices, respectively. Figure 3 is a
212 perspective plot showing $D_0(s,t)$ as a function distance (in kilometres) and time (in days) for the 50th
213 percentile $D_0(s,t)$ matrix. For the 50th percentile $D_0(s,t)$ matrix, D_0 was greater than unity for a period
214 of up to 50 days and 60 kilometres from an arbitrarily selected HPAI-H5N1 case flock. This indicated that
215 the observed number of outbreaks which were located within 60 km around a given outbreak and,
216 which occurred within 50 days after the date of conformation of this given outbreak, was greater than
217 the number of outbreaks that would have been observed in the absence of space–time interaction (i.e.
218 random distribution in space and time). Figure 3 also shows that the likelihood of additional cases
219 decreased rapidly ($D_0(s,t)$) after 20 days and over 20 km.

220
221 The space-time permutation model of the space-time scan statistic test identified three statistically
222 significant space-time clusters of HPAI-H5N1 in the south of the country where the incidence of HPAI-
223 H5N1 outbreaks was greater than that expected if outbreaks were randomly distributed in space and
224 time (Table 1 and Figure 4). Clusters 1 (5 outbreaks) and 3 (3 outbreaks) were temporarily and
225 geographically distinct comprised of an 8- or 9-day time interval and a radius of 5 kilometres or within
226 the same commune, respectively. Cluster 2 (3 outbreaks) was larger, covering an area greater than 500
227 km² and persisted for 26 days (Table 1 and Figure 4). No clusters were detected in the north of the
228 country as the outbreaks there were spatial and temporal randomly dispersed.

229

230 Discussion

231 Our space-time K-function analyses identified intense space-time interaction {as indicated by $D(s,t)$
232 values that were greater than $K_S(s)K_T(t)$ } over distances of up to 60 kilometres and time frames of up
233 to 50 days from an arbitrarily selected HPAI-H5N1 outbreak. Figure 3 shows that there was a high risk of
234 HPAI-H5N1 disease transmission early in the incursion up to 60 kilometers and the risk of local spread of
235 HPAI-H5N1 outbreaks on farms remained high for up to 50 days. These findings are consistent with
236 previous evidence showing higher transmission rates of HPAI in the vicinity of infected poultry holdings
237 (Boender et al., 2007; Guinat et al., 2018). We note that preventive or control measures applied in
238 response to detection of infected flocks (e.g. movement restrictions, preventive vaccination or
239 vaccination as a control measure of farms in the neighborhood (ring vaccination) and/or depopulation,

240 cleaning and disinfection of infected farms) act to suppress, or ideally eliminate, space-time interaction
241 of infection risk and, as a result, the magnitude of $D_0(s,t)$ at given scales of distance and time will
242 decrease over calendar time if control measures are effective (Guinat et al., 2018; Wilesmith et al.,
243 2003).

244
245 HPAI-H5N1 was endemic in Vietnam throughout the study period and, for this reason, animal health
246 authorities throughout the country had well-established protocols for outbreak control. The presence of
247 significant space-time interaction in the data (Figure 3) and the three clusters identified by the space-
248 time scan statistic (Table 1 and Figure 4) points to shortfalls in the effectiveness of the disease control
249 measures. Unfortunately, it is not possible to determine from the data if these shortfalls were related to
250 measures applied to suppress local spread (e.g. prompt depopulation of infected flocks, effective
251 cleaning and disinfection, movement restriction on and off the farm of people, animals and materials
252 and vaccination of at-risk properties) or measures to suppress long distance spread (e.g. movement
253 restrictions). The relatively long distance (60 kilometres) and time frame (up to 50 days) over which D
254 (s,t) was greater than $K_S(s)K_T(t)$ is substantially greater than the 8 kilometres and 13 days reported by
255 Guinat et al. (2018) in their analyses of the spread of HPAI-H5N8 in France in 2016-2017, which would
256 imply that there were shortfalls in both.

257
258
259 This study provides insight into HPAI-H5N1 infection dynamics in Vietnam, showing that transmission of
260 disease occurs by a combination of local (flock-to-flock) spread and long-distance spread (most likely
261 mediated by animal movement through the LBM trading networks (Fournie et al., 2016)). Early
262 detection of HPAI and timely implementation of control measures such as restriction of the movement
263 of birds (and ideally people) should be prioritised to reduce the likelihood of long-distance spread of
264 HPAI. The findings of this study support the need to maintain high biosecurity standards on poultry
265 holdings that are in close proximity to identified flock outbreaks. The Ministry of Agriculture and Rural
266 Development (MARD, 2014) issued disease control guidelines and these are described in the legal
267 framework outlining responses to zoonotic diseases in Circular 7 (MARD, 2016). This circular was issued
268 by the Ministry of Agriculture and Rural Development in 2016 and is used by the 63 provincial animal
269 health authorities as a guide when responding to zoonotic and other animal diseases. Circular 7 contains
270 technical information on biosecurity, transport, reporting, diagnostics, outbreak investigation methods,
271 the management of sick animals and vaccination (as either a preventive or control measure). In contrast,

272 the National Plan on H5N1 Avian Influenza Prevention and Control 2014-2018 (MARD, 2014) outlines the
273 national approach for the control of HPAI-H5N1. The National Plan, in addition to Circular 7, provides
274 details on the conduct of surveillance, quarantine, poultry sector restructuring, communication and
275 research. The level of implementation and adherence to the directives provided in Circular 7 and the
276 National Plan depend largely on the political support and resources from provincial authorities.

277
278 Our analyses indicated shortfalls in the effectiveness of control measures used to control HPAI-H5N1
279 throughout the study period. Development of a better understanding of the relative impact of HPAI-
280 H5N1 control measures (depopulation of infected flocks, preventive vaccination, vaccination of at-risk
281 farms, movement restrictions) on space-time interaction would allow animal health authorities to focus
282 their efforts during an outbreak response on those measures shown to have the greatest relative effect.
283 Better understanding of the relative effectiveness of the tools used to control HPAI-H5N1 outbreaks
284 would arise from analysis of data recorded to a high level of detail including the date of onset of clinical
285 signs in affected flocks, the date of first examination, the date of previous vaccination (to assess flock
286 immunity status), details of vaccine and vaccinators, the date of depopulation start and end, and the
287 method of disposal of culled birds. Details to assess the effectiveness of the vaccine cold chain would
288 also be of value. If, for example, vaccination of at-risk farms, was found to have the greatest impact on
289 suppression of space-time interaction during an outbreak of HPAI-H5N1, then efforts to enhance the
290 efficacy of vaccination (use of vaccines protective against the circulating HPAI field strains, maintenance
291 of cold chain and optimisation of vaccination technique) should receive major emphasis during outbreak
292 responses. An additional point to consider is that there is likely to be geographical variation in outbreak
293 control measure effectiveness. To protect flocks and prevent outbreaks, post vaccination serological
294 surveys should be carried out on an ongoing basis to identify shortfalls in levels of protection that might
295 vary geographically and over time (León et al., 2014; Nguyen, 2011).

296
297
298 A number of factors may have impacted on the external validity of the findings reported in this paper.
299 First, it is a reasonable assumption that the sensitivity of outbreak detection was relatively uniform
300 across the country and over time, given a relatively high level of awareness of the disease among
301 commercial and non-commercial flock owners as a result of the large number of outbreaks of HPAI-
302 H5N1 that occurred in Vietnam from 2004 on (FAO Vietnam, 2017). However, previous studies have
303 pointed out the lack of sensitivity of the passive surveillance system in Viet Nam (Delabougliise et al.,

2016; Minh et al., 2009). The lack of effective compensation schemes and other economic and social consequences of reports are likely to be critical in defining farmers' reporting behaviors, and it is assumed that there was still considerable under-reporting in the study period. This being the case, it is our assessment that the estimates of space-time interaction reported in this paper are likely to be relatively biased estimates of the true population value. However, the findings were not necessarily incorrect, as more outbreaks would probably increase space-time interaction, especially locally as reported previously (Minh et al., 2010). Second, our analyses have used the date of the first veterinary investigation and diagnostic sample collection, not the date on which clinical signs were first observed or the date of on which disease was believed to be first introduced into the flock. Given the reasonably uniform geographical coverage of local government district veterinarians across the country it is likely that the interval from first detection of clinical signs to the date of investigation was reasonably constant geographically and over time and therefore unlikely to bias the temporal component of our space-time K-function analyses to any great degree. Third, the exact location of infected flocks within a commune was unknown making it necessary to randomise the location of outbreaks within a commune for the space-time K-function analyses. The presence of statistically significant space-time interaction present across all levels of the Monte Carlo simulated data sets provide confidence that the space-time interaction effects identified in this paper were, in fact, real and not simply an artefact of our analytical methods.

Additional research should include assessment of the role of LBMs in disease transmission and using LBM surveillance results as a means for predicting and then preventing flock-level HPAI outbreaks. A previous study found no correlation between HPAI outbreaks on farms and HPAI infected poultry at LBMs (Nguyen et al., 2014). Unpublished data showed high infection rates of HPAI-H5N1 of poultry in LBMs in 2016 and 2017 (L.Loht, personal communication), but the inclusion of the LBM surveillance data in the spatio-temporal analyses reported in this paper was not possible because of temporal misalignment between the outbreak report data and the timing and location of the LBM surveillance studies [only 134 markets were surveyed out of a total of 8,580 registered LBMs (GSO, 2019) throughout Vietnam, at infrequent intervals]. Secondly, it may be of interest to investigate virus movement, by examining the possible correlation between molecular genetic data and spatio-temporal clusters. Unfortunately, during the reporting period, very few HPAI-H5N1 outbreak virus isolates were sequenced, and no inferences/conclusions could be made. Finally, the potential interaction between

335 farmer and trader responses to the coexisting endemic HPAI-H5N6 and the spread of HPAI-H5N1
336 between poultry farms should be investigated.

337
338 The results of this study showed that there was a prolonged disease control shortfall, and this should
339 encourage the authorities to reinforce and enhance disease control efforts. Additionally, the space-time
340 analyses technique used in this study could be applied longitudinal on additional prospective outbreak
341 data. This, to discover possible new space-time clusters timely and enhance or redirect disease response
342 efforts accordingly.

343

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349

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445 Table

446
 447 Table 1: Spatio-temporal distribution of outbreaks of highly pathogenic avian influenza virus subtype
 448 H5N1 clade 2.3.3.1c in Vietnam, 2015 to 2018. Details of the three statistically significant space-time
 449 clusters of HPAI H5N1 outbreaks identified by the space-time scan statistic. The cluster numbers refer to
 450 the locations shown in Figure 4.

Cluster	Date	Radius (km)	Number of	Expected	Observed to	P value
	Start	End	outbreaks	outbreaks	Expected ratio	
1	7 Mar 2017	16 Mar 2017	5	0.30	16.4	<0.01
2	20 Jul 2015	15 Aug 2015	3	0.65	46	0.04
3	4 Aug 2017	13 Aug 2017	3	0.07	46	0.02

451

452 Figure legends

453

454 Figure 1: Spatio-temporal distribution of outbreaks of highly pathogenic avian influenza virus subtype
455 H5N1 clade 2.3.3.1c in Vietnam, 2015 to 2018. Map of the Socialist Republic of Vietnam showing the
456 point location of the centroid of the 113 communes identified as HPAI-H5N1 positive during the period
457 24 December 2014 to 30 April 2018 (red dots).

458

459

460 Figure 2: Spatio-temporal distribution of outbreaks of highly pathogenic avian influenza virus subtype
461 H5N1 clade 2.3.3.1c in Vietnam, 2015 to 2018. Frequency histogram showing the number of communes
462 identified as HPAI-H5N1 positive per month, 24 December 2014 to 30 April 2018.

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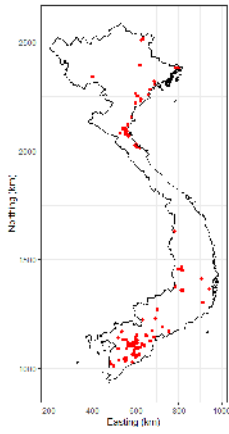
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465 Figure 3: Spatio-temporal distribution of outbreaks of highly pathogenic avian influenza virus subtype
466 H5N1 clade 2.3.3.1c in Vietnam, 2015 to 2018. Perspective plot showing the excess risk attributable to
467 space-time interaction as a function of distance (in km) and time (in days) from an arbitrarily selected
468 HPAI-H5N1 case for the $D_0(s,t)$ matrix corresponding to the 50th percentile of the summed values of
469 $D(s,t)$, as described in the text. The red-shaded area indicates the space-time combinations for which
470 the observed number of events was greater than that expected if space-time interaction was absent.

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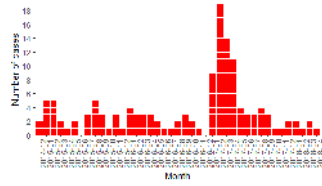
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473 Figure 4: Spatio-temporal distribution of outbreaks of highly pathogenic avian influenza virus subtype
474 H5N1 clade 2.3.3.1c in Vietnam, 2015 to 2018. Map of the south of Vietnam showing the location of
475 HPAI H5N1 outbreaks at commune centroid level (red dots) and the location of the three statistically
476 significant space-time clusters of HPAI H5N1 outbreaks detected by the space-time scan statistic.

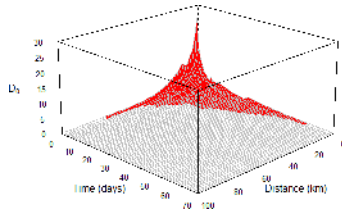


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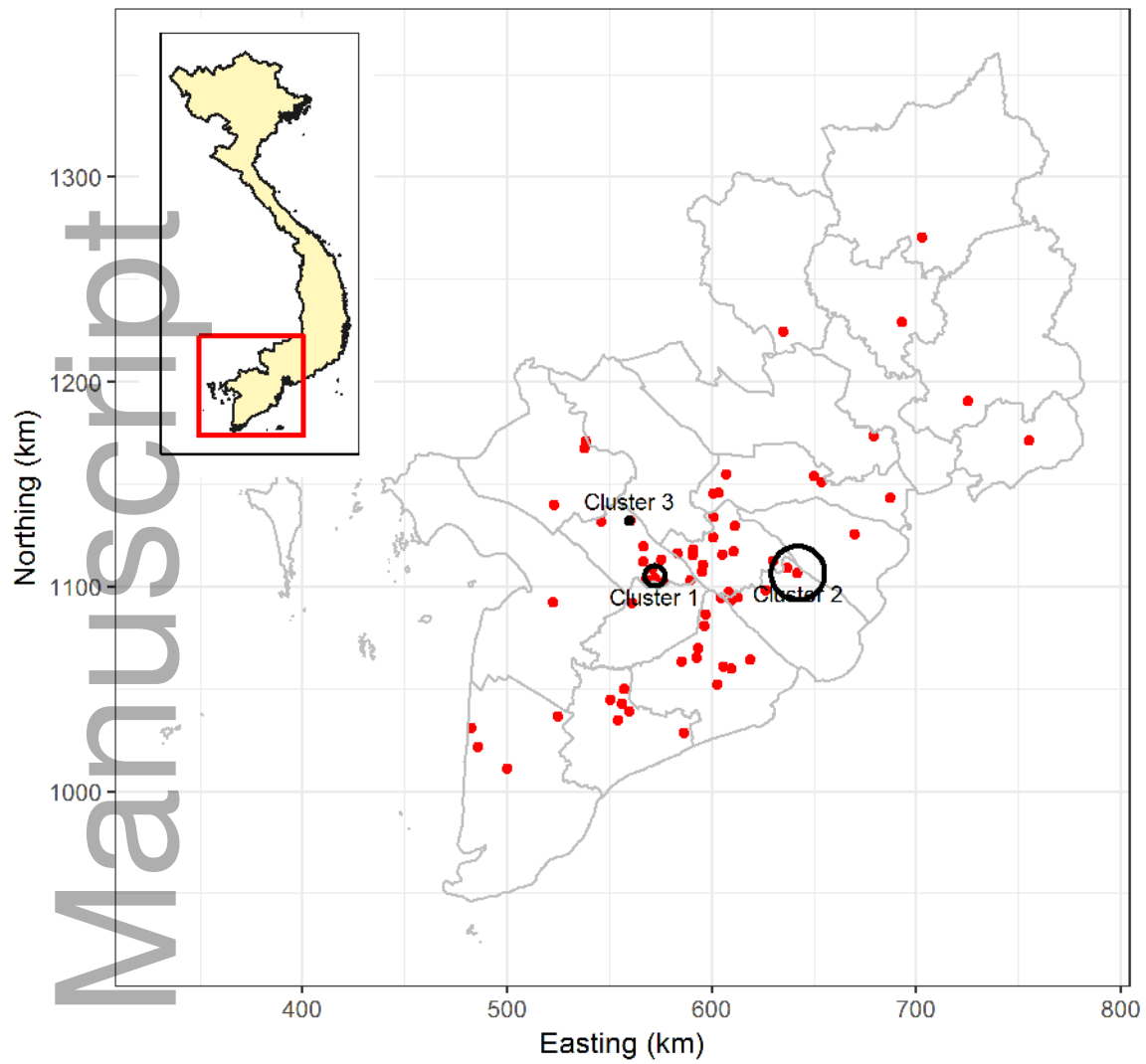
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