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Author/s:

Trevizan-Bau, P;Mazzone, SB

Title:

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Date:

2023-11-01

Citation:

Trevizan-Bau, P. & Mazzone, S. B. (2023). Neuroimmune pathways regulating airway inflammation. *Annals of Allergy Asthma and Immunology*, 131 (5), pp.550-560. <https://doi.org/10.1016/j.anai.2023.07.020>.

Persistent Link:

<https://hdl.handle.net/11343/337337>

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Neuroimmune Pathways Regulating Airway Inflammation

Pedro Trevizan-Baú, PhD^{1,2} and Stuart B. Mazzone, PhD^{3*}

1 Florey Institute of Neuroscience and Mental Health, University of Melbourne, Victoria, Australia

2 Department of Microbiology and Immunology, University of Melbourne, Peter Doherty Institute for
Infection and Immunity, Melbourne, Victoria, Australia

3 Department of Anatomy and Physiology, University of Melbourne, Victoria, Australia

* **Corresponding Author:** Professor Stuart B. Mazzone, Department of Anatomy and Physiology,
University of Melbourne, Victoria, Australia; +61 3 83446457; stuart.mazzone@unimelb.edu.au

Key words:

Sensory neurons; Sympathetic; Parasympathetic; Vagal; Anti-inflammatory reflex; Vagal; Neuropeptides;
Neuroinflammation

24 **Abbreviations:**

25 α 7nAChR, alpha 7 subtype nicotinic acetylcholine receptor

26 ATP, Adenosine Triphosphate

27 CGRP, Calcitonin gene-related peptide

28 COPD, Chronic obstructive pulmonary disease

29 DAMPs, Danger-associated molecular patterns

30 HMGB1, High Mobility Group Box-1

31 IFNAR, Type I interferon receptor

32 IFNGR, Type 2 interferon receptor

33 IgE, Immunoglobulin E

34 IL-, Interleukin

35 ILC2, Type 2 innate lymphoid cells

36 LPS, Lipopolysaccharide

37 Mrgprs, Mas-related G protein-coupled receptors

38 NEBs, Neuroepithelial bodies

39 P2X, ATP-gated receptor cation channel family

40 RAGE, Receptor for advanced glycation end-products

41 Th2, Type 2 helper T

42 TLR, Toll-like receptor

43 TNF α , Tumor Necrosis Factor alpha

44 TRP, Transient Receptor Potential

45 VIP, Vasoactive intestinal peptide

46

47 **Glossary of terms**

48 *Afferent and efferent:* In the context of this review, afferent refers to sensory function of nerve fibers
49 while efferent refers to their motor function.

50 *Alarmins:* Early mediators of inflammation that can act as danger signals recognized by the immune
51 system. Examples include HMGB1 and ATP.

52 *Anti-inflammatory reflex:* A neural circuit involving sympathetic innervation to the spleen and other
53 abdominal viscera which serves to dampen system inflammation.

54 *Bitter taste receptors:* A class of seven transmembrane G-protein coupled receptors (known as Tas2rs)
55 responsive to chemicals with a bitter flavor and normally found in taste buds on the tongue. Tas2Rs are
56 also expressed by airway brush cells.

57 *Brush cells:* Specialized chemosensory airway epithelial cells that express bitter taste receptors, receive
58 innervation from the nervous system and are involved in immune regulation.

59 *Ganglia:* A component of the peripheral nervous system where the cell bodies of sensory or autonomic
60 neurons reside. Examples include the vagal sensory ganglia, dorsal root sensory ganglia and sympathetic
61 pre-vertebral ganglia.

62 *Neuroendocrine cells:* Specialized chemosensory airway epithelial cells that possess features like both
63 nerve cells and endocrine cells. They receive innervation from the nervous system and make and secrete
64 mediators in response to chemical stimuli.

65 *Neurogenic inflammation:* Inflammation mediated through neural mechanisms.

66 *Neuroimmune synapse:* Direct signaling between nerve fibers and immune cells may occur when both
67 reside in close spatial proximity.

- 68 *Neuroinflammation*: Inflammation within the peripheral and/ or central nervous system.
- 69 *Plasticity*: This term is used to describe the changes that can occur in neurons, or the neuroimmune/
70 neuroendocrine processes discussed in the review.
- 71 *Targeted lung denervation*: Radio frequency energy delivered via bronchoscopy to achieve full
72 circumferential nerve ablation in the main bronchi of each lung.

73

74 **Funding statement:** SBM is supported by grants from the National Health and Medical Research Council
75 of Australia (NHMRC; grant ID 1078943), and the Australian Research Council (ARC; grant ID
76 DP210103740).

77

78 **Clinical Trial Registration:** Not applicable

79

80 **Conflict of Interest:** SBM declares honoraria from Merck, NeRRe Therapeutics, Reckitt Benckiser and
81 Bellus Health and grant support from Merck and Bellus Health. None of the disclosed entities above had
82 any involvement in the conceptualization, design, data collection, analysis, decision to publish, or
83 preparation of the manuscript.

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85 **Word count:** 4399

86 **Figures:** 4

87 **Tables:** 1

88 INTRODUCTION

89 Airways inflammation is a common feature of pulmonary morbidity and defining the specific nature
90 of inflammation has been central to understanding the different pathophysiology of diseases like
91 asthma, chronic obstructive pulmonary disease (COPD), pulmonary fibrosis, bronchiectasis, and others.
92 A major focus of airway research has been to resolve the identity of the cellular players and chemical
93 mediators involved in a particular pathology with the notion that these cells and processes impact the
94 normal functioning of airway tissues and therefore represent the drivers of the hallmark symptoms
95 displayed by patients. With respect to the nervous system, a substantive body of literature confirms
96 that inflammatory processes can alter the structure and function of airway nerves leading to symptoms
97 such as coughing, sneezing, dyspnea and reflex changes in bronchomotor tone, mucus secretion and
98 blood flow, reviewed in¹. However, a more up-to-date perspective positions the nervous system as an
99 active player in immune regulation, rather than simply a passive target of inflammation^{2,3}. In this
100 regard, neural and immune processes may be bidirectionally intertwined, such that altered neural
101 activity as a result of inflammation is also involved in the activation, coordination and regulation of the
102 immune system, both of which contribute to the clinical presentation of disease. This article will focus
103 on recent preclinical discoveries that support neuroimmune crosstalk and discuss considerations for
104 clinical translation of these discoveries to improve the management of patients with airways disease.

105

106 FRAMEWORKS SUPPORTING CROSSTALK BETWEEN NEURONS AND IMMUNE CELLS

107 The airways, from the nose to the lung parenchyma, and from the epithelial surface to the deepest
108 layers and adventitia, have a rich supply of nerve fibers mostly derived from cranial (trigeminal,
109 glossopharyngeal and vagal) and sympathetic nerve branches⁴ (Figure 1). These fibers are broadly
110 classified as either sensory (afferent) or motor (efferent) in action, although functionally and molecularly

111 defined subsets of each of these broad divisions exist (reviewed in detail elsewhere)⁴. Sensory fibers
112 arise from the cranial nerve sensory ganglia (majority) and the spinal dorsal root ganglia (minority) and
113 are responsive to a wide variety of chemical and physical stimuli. Motor fibers are either
114 parasympathetic or sympathetic in nature and regulate diverse airway end organs, including glands,
115 airway and vascular smooth muscle, and other specialized intrinsic airway cells. Both sensory and motor
116 fibre types are influenced by inflammation leading to altered activity, sensitivity and/ or nerve fibre
117 density. Additionally, available evidence suggests that each fibre type contributes to the regulation of a
118 variety of immune cells, including mast cells, dendritic cells, type 2 T helper (Th2) cells, B cells and innate
119 lymphoid type 2 cells (ILC2s)^{2,3}.

120

121 *Signaling frameworks for neuroimmune crosstalk*

122 The nervous and immune systems each rely on chemical signaling molecules and receptors to
123 communicate between cells and interact with the local environment. This not only allows for within
124 system communication but also communication between the two systems. Thus, airway neurons are
125 equipped with an array of receptors that bind cytokines, tissue alarmins and other molecules known to
126 orchestrate inflammatory responses (Figure 2). Examples include receptors for tumor necrosis factor
127 alpha (TNF α), prostaglandins, leukotrienes, interferons, interleukins, and adenosine triphosphate (ATP),
128 each of which provides a means for the immune system to alter airway neuron structure and function,
129 common in airways disease⁴⁻¹⁰. Importantly, resident and infiltrating immune cells often express
130 receptors for neurotransmitters, enabling immune cells to be responsive to a range of neuropeptides,
131 acetylcholine, noradrenaline, serotonin and dopamine¹¹⁻¹³ (Figure 2). A classic example of this is the
132 neuropeptide substance P which has long been known to be a mediator of the neurogenic flare and itch
133 response in human skin, in part through its ability to degranulate mast cells¹⁴.

134 Signaling pathways and molecular machinery that have been traditionally ascribed to the nervous
135 system are increasingly identified in immune cells, while functions thought to be immune cell specific
136 have been shown to be present in airway neurons. Semaphorins, through actions on neuropilin
137 receptors, are best known for their role in neuronal axon guidance, but more recently members of this
138 family have been identified as stabilizers of regulatory T cell (Treg) activity during allergic lung
139 inflammation¹⁵⁻¹⁷. Similarly, an array of ion channels commonly associated with stimulus transduction
140 and electrical events in the excitable membranes of airway neurons have been identified in immune
141 cells, including members of the transient receptor potential (TRP) family of cation channels and voltage
142 gated sodium channels. TRPA1 channels, most notable for their expression by airway sensory neurons,
143 appear to be upregulated in Th2 cells in murine models of asthma, and genetic deletion or
144 pharmacological inhibition of TRPA1 in animal models improves disease severity¹⁸. Conversely, airway
145 neurons express toll-like receptors (TLRs) and other receptors involved in respiratory pathogen
146 recognition, as well as inflammatory signaling molecules such as the alarmin High Mobility Group Box-1
147 (HMGB1), a key molecule coordinating airways inflammation and currently under clinical trial
148 exploration as a therapeutic target in patients with airways diseases^{8,19}. Other examples of regulatory
149 systems shared by neurons and immune cells include members of the Mas-related G protein-coupled
150 receptor (Mrgprs) family, which play a role in communication between the nervous and immune
151 systems and are important regulators of chronic inflammation^{20,21}. This ever-growing list of shared
152 signaling pathways might suggest that these two fundamental communication systems, the nervous and
153 immune systems, are more closely related than previously considered.

154

155 *Anatomical frameworks for neuroimmune crosstalk*

156 Airway nerve fibers and resident or recruited airway immune cells frequently exhibit a close spatial
157 proximity to one another, which has been posited as evidence of 'neuroimmune synapses' serving to
158 facilitate crosstalk between these two systems. For example, in animal models of allergic asthma and in
159 airway samples from human asthma patients, eosinophils are commonly observed to be enriched in
160 number around airway nerve fibers and their products, including major basic protein, have been shown
161 to regulate nerve fibre activity and density^{22,23}. Similar associations between airway nerve fibers and
162 pulmonary macrophages and mast cells have been identified²⁴, while sensory nerve terminals are most
163 dense along the airway mucosa⁴, where mucosal immune processes are essential for barrier defense.
164 Direct neural innervation of specialized intrinsic airway immune tissues, including bronchial associated
165 lymphoid tissue²⁵, has also been reported and neural innervation additionally extends to extrinsic
166 immune tissues and organs, such as the spleen²⁶, providing an important framework for neural control
167 of the systemic components of inflammation that contribute to many airways diseases.

168 The interaction between the nervous and immune systems need not be through direct neuroimmune
169 contacts. Many signaling mediators can diffuse from their site of release and influence distant cells.
170 Additionally, an increasing number of specialized, and often rare, airway cell types, including airway
171 neuroendocrine cells, neuroepithelial bodies (NEBs) and brush cells, are innervated by the nervous
172 system and play important roles in inflammatory control²⁷⁻³⁰ (Figure 2). In this regard, such cells may
173 serve as intermediaries, relaying signals between airway nerves and classic immune cells, and in doing
174 so coordinate neuroinflammatory processes. An interesting example of this are the tracheal brush cells
175 which may act as early responders to pathogens in the respiratory system. Brush cells express bitter
176 taste receptors, known as Tas2Rs and more commonly associated with sensing bitter tastes in lingual
177 taste buds, but can also be activated by molecules expressed by certain pathogens, including gram
178 negative and positive bacteria²⁹. The resultant signaling events in brush cells lead to the release of
179 mediators including acetylcholine, cysteinyl-leukotrienes and ATP which in turn stimulates adjacent

180 airway nerve fibers to release neuropeptides resulting in plasma extravasation and neutrophil
181 recruitment, along with elevated levels of complement system components and other mediators
182 accompanying inflammation^{29,31}. Comparable cells are also present in the upper airways³². Airway
183 neuroendocrine cells, similar to brush cells, display molecular characteristics of both neuronal and
184 endocrine cell types³³. They are often found clustered as 5-20 cell NEBs, an airway organelle that is
185 densely innervated by sensory nerve fibers and additionally contains Clara-like cells, collectively forming
186 a unique NEB microenvironment³³. NEBs are concentrated at airway branch points, ideally positioned to
187 sense aerosolized particles which concentrate at these locations. Consistent with this, NEBs are
188 putatively involved in generating type 2 immune responses to aeroallergens³⁴. As neuroendocrine cells
189 have a neuronal phenotype and NEBs are directly innervated by sensory nerve fibers, a signaling nexus
190 between NEBs, nerves and airway immune cells, notably ILC2s, likely exists. Interestingly, NEB
191 prevalence and cell composition is regulated over the lifespan and in disease, suggesting an important
192 role for NEBs in both the development and repair of the airways³⁵.

193

194 **SENSORY NEURON-IMMUNE CELL CROSSTALK**

195 Specialized subsets of airway sensory neurons known as nociceptors monitor the airway
196 environment for potentially noxious or harmful stimuli, including a wide range of chemical irritants,
197 pathogens and the products of inflammation⁴. The most fundamental role of airway nociceptors is an
198 afferent function, needed for the initiation of defensive reflexes that both trap and limit exposure to
199 irritants (mucous secretion and bronchoconstriction) and facilitate irritant clearance (cough). In this
200 regard, nociceptors are equipped with receptors and ion channels for detecting diverse physical and
201 chemical stimuli and transducing these stimuli into centrally propagating action potentials needed for
202 initiating reflex events⁴ (Figure 3).

203 Recent studies suggest that nociceptors not only respond to traditional inflammatory stimuli, such as
204 cytokines, prostaglandins, leukotrienes and bradykinin, but they are also responsive to a range of
205 danger-associated molecular patterns (DAMPs) or early inflammatory alarmins, and even pathogens
206 themselves^{5,6,8-10}. For example, airway sensory neurons express the receptor for advanced glycation
207 end-products (RAGE) and TLR4, receptors for the alarmin HMGB1, a key epithelial and inflammatory cell
208 mediator involved in the pathogenesis of airway infections and chronic lung diseases such as asthma⁸.
209 HMGB1 applied to airway nociceptors evokes action potentials and promotes sensory neuron growth,
210 dependent on RAGE expression, suggesting that this signaling axis may be one mechanism that leads to
211 reflex hypersensitivities and sensory neuron hyperinnervation (Figure 3). Other novel inflammatory
212 mediators regulating airway sensory neurons include the shingolipid metabolite, shingosine-1-
213 phosphate via S1PR3 receptors¹⁰, the purine ATP notably via P2X3 homomeric or P2X2/3 heteromeric
214 receptors³⁶, and interferons via and type I (IFNAR1) and type 2 (IFNGR1 and IFNGR2) interferon
215 receptors⁵. Interestingly, some pathogens may generate molecules that directly promote sensory
216 neuron activation independent of inflammation. Mycobacterium tuberculosis was recently shown to
217 activate airway nociceptors via the cell wall derived glycolipid, sulfolipid-1. Notably, sulfolipid-1 is an
218 effective activator of the cough reflex, suggesting that this may represent a mechanism to facilitate
219 bacterial transmission³⁷.

220 In addition to afferent functions, nociceptors also display efferent functions mediated by their ability
221 to release neuropeptides, such as substance P, CGRP and vasoactive intestinal peptide (VIP), from their
222 peripheral nerve terminals in the airways. Sensory neuron derived neuropeptides regulate the
223 recruitment and activation of diverse inflammatory cell types, blood flow and vascular permeability, and
224 mucous secretion – so called neurogenic inflammation⁴. For example, in murine models of allergic
225 airway inflammation, sensory neuron activation and VIP release was shown to amplify ILC2 and Th2 cell
226 activation while substance P promoted mucous cell metaplasia^{38,39}. Intriguingly, sensory neuron

227 expression of the high affinity IgE receptor (FcεR1) was shown to directly respond to allergen complexed
228 with IgE to amplify Th2 cell immunity, a novel mode of sensory neuron activation leading to the release
229 of substance P⁷. Indeed, the silencing of sensory neurons has therapeutic benefit in these preclinical
230 models of allergy by reducing inflammation³⁹. Efferent functions of nociceptors may extend to
231 modulating adaptive and humoral immunity, as airway nociceptor activation and substance P release
232 was shown to help trigger the formation of antibody-secreting B cells and their release of IgE⁴⁰.

233 Many similar examples of sensory neuron-immune interactions have been reported in other tissues,
234 including the skin and gastrointestinal tract, leading to suggestions that nociceptors may subserve the
235 role of a master regulator of skin and mucosal immunity². However, the immune regulation mediated
236 by airway sensory neuron activation may be more nuanced than simply promoting inflammation. For
237 example, CGRP has emerged as a potential negative regulator of ILC2s in allergic asthma, although
238 whether this is neuronally derived is unclear^{41,42}. Similarly, in preclinical models of lethal *Staphylococcus*
239 *aureus* pneumonia, nociceptor activity and CGRP release was shown to suppress the recruitment and
240 surveillance of neutrophils and lung $\gamma\delta$ T cells, both of which are necessary for bacterial immunity⁴³.
241 Consequently, targeted ablation of airway sensory neurons increased $\gamma\delta$ T cell- and neutrophil-
242 mediated host defense in response to *Staphylococcus aureus* pneumonia, promoting lung bacterial
243 clearance and survival⁴³.

244 Despite these interesting and powerful preclinical studies noted above, evidence for meaningful
245 sensory neuron mediated modulation of inflammation in airways disease in humans is lacking.
246 Surprisingly, even though the highly accessible nasal airway environment provides an opportunity to
247 address this, there have been relatively few studies with no conclusive evidence for neural regulation of
248 inflammation in human clinical conditions, reviewed in⁴⁴. Whilst older studies have shown that the
249 sensory neuron stimulant capsaicin produces rhinorrhea, pain and inflammatory cell recruitment in the
250 nose⁴⁵, whether inflammatory responses are due to specific actions of sensory neurons is unclear.

251 Humans with various airways diseases do show signs that nociceptors become sensitized and undergo
252 plasticity resulting in altered neuronal phenotypes and nerve terminal density in the airways, processes
253 that are thought to contribute to disease pathogenesis⁴. A common example of this is in patients with
254 chronic cough, where the underlying features are suggestive of a sensory hypersensitivity disorder
255 characterized by an increase responsiveness to a range of sensory stimuli⁴⁶. Hypersensitivity is evident
256 upon cough challenge testing employing sensory neuron stimuli and presents clinically as excessive
257 coughing in response to relatively innocuous odors, changes in air temperature or activities, such as
258 laughing. In addition, biopsies taken from the airways of chronic cough patients have shown evidence of
259 hyperinnervation, where the average nerve length and number of branching points were significantly
260 increased in epithelium, but not subepithelium, compared to nerve fibers in healthy airways⁴⁷. These
261 functional and structural changes in airway sensory neurons are believed to be linked in part to
262 neuroinflammatory events, whereby the extrinsic peripheral nerves and associated sensory ganglia that
263 supply the airways and lungs develop an inflammatory phenotype (Figure 3). Indeed, in preclinical
264 studies, vagal sensory ganglia display elevated numbers of dendritic cells and macrophages during
265 airways inflammation associated with severe influenza infection⁴⁸, allergen sensitization⁴⁹ and challenge
266 or exposure to the bacterial cell wall endotoxin lipopolysaccharide⁵⁰. Accompanying this elevation in
267 ganglia inflammatory cells is the nuclear-to-cytoplasm translocation of neuronal HMGB1, a sign of
268 potential neuronal injury and a known mediator of immune cell recruitment and expansion⁸. Consistent
269 with this, both respiratory viral infection and the bacterial cell wall endotoxin lipopolysaccharide (LPS)
270 exposure dramatically change the transcriptional landscape in the vagal ganglia with evidence of an
271 upregulation of a range of pro-inflammatory and injury associated genes^{48,50}.

272 The cause of neuroinflammation is not entirely clear. In some circumstances, airway nerves may be
273 directly impacted by pathogens which can infect nerves and promote neuroinflammation. This has been
274 shown to occur for some respiratory viruses, including influenza and human meta pneumovirus, and has

275 been proposed as a mechanism contributing to the neurological impacts of Sars-CoV2 in COVID-19⁵¹.
276 Neuroinflammation may also arise from aberrant and excessive airway sensory neuron signaling
277 generated by the sensory neuron nerve terminals located in the airway inflammatory environment. In
278 this regard, the peripheral inflammatory events triggered by infection and disease may establish a
279 secondary pathology in the nerve supply to the airways which contributes to overall disease
280 pathogenesis though promoting disordered sensory neuron function, phenotype and structure. This
281 secondary pathology may extend on occasions into the central nervous system, as evidenced in
282 preclinical models of pulmonary fibrosis and disease exhaust particle exposure where markers of
283 inflammation are elevated in brainstem regions receiving inputs from airway sensory neurons^{52,53}. The
284 mechanisms underlying the development of central neuroinflammation are poorly described for airways
285 diseases, although similar events are seen in other conditions of sensory hypersensitivity, including
286 chronic pain, where central nervous system inflammatory processes are thought to have a significant
287 contribution to clinical disease presentation. Whether human airway nerve supplies or their upstream
288 brain circuits also display neuroinflammatory phenotypes in airways disease is not clear. However, the
289 clinical presentation of patients can often be consistent with neuropathic changes, for example in the
290 vagus nerve in patients with chronic cough and laryngeal dysfunction⁵⁴.

291

292 **AUTONOMIC NEURON-IMMUNE CELL CROSSTALK**

293 Both sympathetic and parasympathetic neural outflows from the central nervous system may
294 contribute to the regulation of inflammation. This is supported by clinical observations suggesting that
295 autonomic imbalances may contribute to aberrant inflammatory processes, including in systemic
296 autoimmune diseases (e.g., rheumatoid arthritis or systemic lupus) and localized diseases such as those
297 impacting the pulmonary system (discussed below). Furthermore, therapies targeting autonomic

298 processes which are commonly used in treating airways diseases, such as β -adrenergic agonists and
299 anticholinergics, may have effects on the inflammation accompanying disease^{55,56}. Although this
300 interaction between the autonomic nervous system and immune system has long been recognized, the
301 specific mechanisms involved have only recently begun to be elucidated. Much of the experimental
302 work in this regard has focused on understanding how autonomic neural activity influences immune
303 organs, such as the spleen, thereby regulating the systemic contributions to inflammatory disease.
304 However, local tissue interactions between autonomic nerves and immune cells have also been
305 described.

306 Neuroanatomical studies have revealed that sympathetic autonomic neurons pools in the celiac and
307 splanchnic prevertebral ganglia densely innervate immune tissues in the spleen and at other abdominal
308 locations⁵⁷ (Figure 4). These peripheral sympathetic neurons are in turn regulated by a dedicated
309 immune-specific subset of sympathetic neurons arising from the spinal cord which respond to an
310 immune challenge and increase output to peripheral sympathetic ganglia, notably via the splanchnic
311 nerves^{26,58}. Surgical resection of the splanchnic nerve, or modulation of its activity, results in substantive
312 measurable effects on splenic mediated immune processes. For example, experimental studies have
313 demonstrated increased splanchnic nerve activity during systemic LPS inflammation, suppresses the
314 plasma levels of pro-inflammatory cytokines TNF α , interferon gamma and interleukin (IL-6), which
315 concomitantly enhance the levels of circulating anti-inflammatory cytokines (e.g., IL-10). This autonomic
316 mediated response has been dubbed 'the anti-inflammatory reflex' and demonstrated to be recruitable
317 in studies using rats⁵⁹, mice⁶⁰, and sheep⁶⁰. Recently, it was found that pro- and anti-inflammatory
318 cytokines are controlled by distinct sympathetic efferent pathways, but still within the splanchnic
319 nerves⁶¹. Furthermore, the extent of sympathetic neural regulation of inflammation by the splanchnic
320 nerves may be distributed across immune cell pools in multiple abdominal organs such as the spleen,
321 adrenals, intestine, liver, stomach, and pancreas²⁶.

322 Although the mechanisms leading to the activation of the splanchnic sympathetic outflow are not
323 fully characterized, it seems likely that sensory neuron activation (as described above) leading to reflex
324 regulation of central sympathetic pathways may be involved. Interestingly, in an experimental rat
325 model of intestinal inflammation, abdominal vagus nerve stimulation was shown to be a safe and
326 effective treatment for reducing inflammation severity and improving intestinal function⁶² suggesting
327 therapeutic potential of activating the anti-inflammatory reflex via abdominal vagal sensory neuron
328 stimulation. Consequently, human clinical trials are currently underway to investigate the anti-
329 inflammatory actions of vagus nerve stimulation via implantable nerve stimulators in patients with
330 Crohn's disease (trial: NCT05469607), which if successful may pave the way for future trials in patients
331 with a variety of chronic inflammatory conditions.

332 Autonomic neural pathways have the potential to modify inflammation because many immune cells
333 are responsive to autonomic neurotransmitters, due to their expression of adrenergic and cholinergic
334 receptors. Indeed, a large literature supports a role for sympathetic nerves modulating airway immune
335 responses via noradrenaline mediated activation of β 2 adrenergic receptors on immune cells^{12,63-65}.
336 However, other presumed sympathetic neurotransmitters, such as dopamine, may have similar actions,
337 such as alleviating allergen induced ILC2 responses and airway inflammation¹³. Notably Liu and
338 colleagues⁶⁵ showed that both surgical sympathetic denervation and chemical ablation of sympathetic
339 nerves in the lung enhance airway inflammation after LPS immune challenge, indicative of a meaningful
340 role for ongoing sympathetic nerve activity in controlling airway inflammation severity. Some actions of
341 parasympathetic neural pathways may also be beneficial in airways disease, despite the association of
342 cholinergic neural processes with the development of airways hyperreactivity and excessive mucus
343 production⁴. For example, vagotomy (severing the preganglionic parasympathetic input to the lungs)
344 worsened *Escherichia coli* and influenza-induced acute lung injury^{66,67}, perhaps by reducing vagally-
345 mediated anti-inflammatory responses. Similarly, an alpha 7 nicotinic acetylcholine receptor (α 7nAChR)

346 agonist attenuated hyperoxia-induced lung injury by reducing the accumulation of pulmonary and
347 circulating HMGB1⁶⁸, and enhanced lung stem cell proliferation and transdifferentiation promoting lung
348 repair during LPS-induced lung injury⁶⁹. Whether these responses are due to local or systemic (e.g., via
349 the spleen) actions of the vagus nerve is unclear and appear specific for nicotinic cholinergic receptor
350 mechanisms, as the effects of muscarinic acetylcholine receptor activation are not beneficial in airways
351 disease⁵⁶.

352 β -adrenergic agonists and anticholinergics drugs that target autonomic neural processes have been a
353 mainstay of airways disease symptom management for decades and whilst anti-inflammatory properties
354 of such agents are predicted, and in some cases demonstrated in experimental studies, detailed clinical
355 evidence has yet to be fully collected. In a recent systematic review of the anti-inflammatory properties
356 of muscarinic receptor antagonists revealed current evidence is limited to studies of tiotropium,
357 demonstrating an impact on cytokine and other inflammatory mediator production, and inflammatory
358 cell counts induced by different pro-inflammatory stimuli⁷⁰. Limited data exist for other inflammatory
359 endpoint measures and for other anticholinergic agents used commonly in the clinic. Similarly, despite
360 the preclinical evidence supporting an anti-inflammatory action of beta-adrenergic agonists,
361 demonstrating this in patients with airways disease is complicated by the fact that many patients
362 receiving β -agonists also concomitantly receive corticosteroid therapy. Whether these drugs impact the
363 sequela of airways inflammation, for example sensory ganglia neuroinflammation and resultant
364 hypersensitivity and neuronal remodeling, is unclear although preclinical studies in rodents suggest at
365 least tiotropium may have direct sensory neuron modulating effects⁷¹.

366

367 **CENTRAL NEURAL PROCESSES**

368 The central nervous system (CNS) represents an important link between visceral sensory and
369 autonomic motor activity. The role of the CNS in visceral inflammatory disease has perhaps been best
370 studied with respect to the gastro-intestinal system, where the importance of the Gut-Brain axis is well
371 established, reviewed in⁷². In this regard, the local gastrointestinal environment, established by gut
372 microbiota, neuroendocrine and immune signaling, plays a critical role in shaping neural activity flowing
373 to and from the CNS. The ramification of this signaling is widespread, not only influencing gut function
374 but also impacting other organ systems, including neurological processes controlling anxiety, mood and
375 cognition. Although less developed in concept, a lung-brain axis exists, with the local lung environment
376 contributing to sensory signaling to the brain and impacting neurological function. Consequently, lung
377 inflammation and disease can have profound impacts on mood and cognitive function. For example, in
378 preclinical animal studies, airway allergic inflammation has been shown to influence emotional-like
379 behaviors through ‘bottom-up’ pathways impacting the prefrontal cortex and amygdala^{73,74}. In patients,
380 these pathways may be responsible for diverse neurological manifestations, including the development
381 of anxiety, depression and attention impairment, common in patients with asthma or other chronic lung
382 diseases, and perhaps even long-COVID syndrome⁷⁵⁻⁷⁷.

383 Preclinical and clinical studies have mapped the neural circuitry through which airway sensory
384 neuron inputs reach higher brain centers^{78,79}, including in patient populations^{80,81}, providing the
385 neuroanatomical substrates for the lung-brain axis. Notably, the lung-brain axis is unlikely to be
386 unidirectional. Indeed, emotive processes in the brain may contribute to disease severity in asthmatic
387 patients through ‘top-down’ processes^{82,83}. For example, a populational study showed that emotional
388 disorders may drive asthma exacerbations⁸⁴, and experimentally, it has been demonstrated that higher
389 brain activity associated with emotion, such as in the amygdala, can regulate airway resistance and
390 bronchoconstriction in anxiety-like behavior, reviewed in⁸⁵. Interestingly, recent studies have also
391 identified a role for the higher brain in storing immunological memory⁸⁶. In these preclinical studies,

392 ensembles of neurons in the insula cortex, a region of the brain known for interoceptive and
393 exteroceptive sensory processing, were shown to acquire and retrieve specific immunological
394 information and provide powerful immunological neural drives to peripheral tissues. This is somewhat
395 reminiscent of much older studies demonstrating Pavlovian-style conditioning of immune cell activity⁸⁷,
396 presumably though higher brain-dependent processing. How and when these higher order control
397 systems are engaged, their interrelationship with the peripheral neural and immunological processes
398 more commonly studied and their true contribution to disease pathology in humans awaits further
399 investigations.

400

401 **EMERGING CLINICAL CONSIDERATIONS**

402 Understanding the complexities of neuroimmune crosstalk in different airways diseases may help
403 improve the delivery and efficacy of existing and emerging treatments and identify opportunities for
404 novel therapy development. For example, the potential role of the airway nervous system in regulating
405 immune cell function is intriguing considering recent advancements towards the use of targeted lung
406 denervation in the treatment of airways diseases, notably COPD and asthma^{88,89}. In a double-blind,
407 randomized, sham-controlled study targeted lung denervation in patients with moderate to severe
408 COPD, patients in the active arm demonstrated a significantly lengthened time-to-first severe COPD
409 exacerbation at 2 years post-denervation which remained largely stable over a total of 3 years of follow
410 up^{90,91}. However, no effects on markers of inflammation were assessed. In two patients with severe
411 asthma, targeted lung denervation improved cough in both patients, and one patient reported a marked
412 reduction in rescue medication use at 6 months, although lung function was unaltered in both and again
413 measures on inflammation were not collected⁸⁸. In preclinical studies in sheep, circumferential targeted
414 denervation of the mainstem bronchi produced a stable reduction in airway efferent nerves at and distal

415 to the denervation site, as evidenced by a reduction in nerve fibre profiles expressing a marker for
416 acetylcholine⁹². This may argue a potential effect on measures of airways inflammation in disease,
417 similar to that expected for anticholinergic therapy.

418 Recent years has seen a rapid progression of airway sensory nerve targeting drugs entering into
419 clinical trials for treating the symptoms of airways diseases (notably chronic cough)^{46,93}, including agents
420 targeting purinergic (P2X3) signaling, various TRP channels (TRPV1, TRPA1, TRPV4, TRPM8), and sensory
421 nerve specific voltage gated sodium channels which serve as the master regulator of action potential
422 formation and propagation. Although the agents have shown mixed efficacy on the primary endpoint
423 under investigation, the impacts on disease associated inflammation have largely not been recorded or
424 reported. Given the strong preclinical evidence for the role of sensory neuron derived neuropeptides in
425 regulating inflammation, assessment of this as an endpoint in clinical trials may assist with unpicking the
426 relative importance of this mechanism regulating human disease. Indeed, histological studies suggest
427 that neuropeptide expression in human airway tissues is significantly less abundant compared to
428 rodents⁴⁷, raising questions as to the translatability of the preclinical studies. Conversely, lavage
429 samples taken from patients with existing airways diseases frequently measure elevated levels of
430 substance P and CGRP, complicating the interpretation of histological findings. Specific endpoint
431 measures in clinical studies may help shed light on these conflicting observations.

432

433 **CONCLUSIONS**

434 In preclinical studies, a nexus between neural and immune signaling pathways has been
435 demonstrated, involving the interplay between different neural and immune cell types, supported by
436 common signaling pathways, close anatomical relationships, dedicated reflex pathways and specialized
437 airway structural cells. Plasticity in the signaling pathways contributing to this neuroimmune crosstalk

438 could involve changes in neural, immune or endocrine systems that in turn could have meaningful
439 impacts on disease severity and patient morbidity. The implications of these emerging preclinical
440 findings consolidate the notion that the nervous and immune systems are intimately intertwined in
441 performing the roles of airways protection, injury responses, and tissue remodeling and repair. Even
442 though translating these preclinical findings into improved management of patients with an airways
443 inflammatory disease has proved challenging, the strong preclinical frameworks supporting their
444 importance provide impetus for further work to be dedicated towards this outcome.

445

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698
699

700 **Figure Legends**

701 **Figure 1:** Neural innervation to the airways. The airways receive sensory and motor neural innervation
702 carried by cranial (vagus) and spinal nerves. Sensory neurons arise from the vagal nodose, vagal jugular
703 and spinal dorsal root ganglia and can display both afferent and efferent signaling, while brainstem-
704 derived vagal motor neurons and spinal cord derived motor neurons are efferent only, representing the
705 parasympathetic and sympathetic innervation respectively. Each of these pathways can be impacted by
706 inflammation as well as act to regulate immune cell function.

707

708 **Figure 2:** Modes of sensory neuroimmune crosstalk in airways disease. The airway mucosa represents
709 an ideal location for neuroimmune interactions as airway epithelia, sensory nerve terminals and
710 immune cells share close spatial proximity. (a) Sensory neurons may directly interact with immune cells
711 through neuroimmune ‘synapses’ or (b) a functional interaction may be mediated by specialized
712 epithelia cells, including brush and neuroendocrine cells (NEBs).

713

714 **Figure 3:** Neuroinflammatory mechanisms in airways disease. Inflammation in the lungs has been
715 shown to promote the development of inflammation within the nervous system (neuroinflammation).
716 The mechanisms are not fully understood, but likely involve excessive inflammatory driven sensory
717 neuron signaling, leading to release of proinflammatory mediators (including HMGB1 and ATP) in the
718 sensory ganglia. Neuroinflammation leads to altered sensory neuron growth and sprouting (structure),
719 neurochemistry (phenotype) and sensitivity (excitability), contributing to the morbidity associated with
720 airways disease.

721

722 **Figure 4:** Anti-inflammatory reflex mechanisms in airways disease. Spinal sympathetic outflows via the
723 splanchnic nerve reach immune cell pools in multiple abdominal organs, including the spleen, stomach,
724 liver and intestines. Activation of these sympathetic pathways during systemic inflammation inhibits the
725 production of pro-inflammatory mediators and promotes the production of anti-inflammatory
726 mediators. Loss of the anti-inflammatory reflex results in dysregulated inflammation while mimicking it
727 can be therapeutic in airways disease.

728

729

730

731 **Table 1: Important concepts in neuroimmune crosstalk**

Concept	Example(s)
<i>Neuroimmune frameworks</i>	
Neurons and immune cells share common and complimentary signaling processes	<ul style="list-style-type: none"> • Immune cells can express receptors for classic neurotransmitters, including neuropeptides, acetylcholine and noradrenaline • Nerve fibers express receptors for classic inflammatory mediators, including interferons, prostaglandins and cytokines
Close spatial proximity between immune cells and airway nerve fibers facilitates bidirectional communication	<ul style="list-style-type: none"> • Eosinophils shown to concentrate around airway nerves in asthmatic patients • Sensory neuropeptides modulate immune cells in airway mucosa
Specialized airway epithelial cells can facilitate neuroimmune interactions	<ul style="list-style-type: none"> • Airway brush cells sense bacterial stimuli and activate neurons via ATP and other mediators • Neuroendocrine cells forming neuroepithelial bodies (NEBs) positioned at airway branch sites and help regulate allergic inflammation via neuronal signaling
<i>Sensory neural pathways</i>	
Sensory neuropeptides can facilitate or inhibit inflammatory cell actions	<ul style="list-style-type: none"> • Substance P and vasoactive intestinal peptide promote allergic inflammation • Calcitonin gene-related peptide negatively regulates ILC2s and neutrophils
Sensory neurons can respond to and become sensitized by tissue alarmins and pathogen-products	<ul style="list-style-type: none"> • ATP Binding to P2X receptors and HMGB1 binding to RAGE have actions on airway sensory neurons • Mycobacterium tuberculosis activates sensory neurons via cell wall derived glycolipid, sulfolipid-1
Sensory ganglia can become inflamed during airway pathologies	<ul style="list-style-type: none"> • Influenza infection shown to promote inflammatory cell recruitment and transcriptional changes in vagal ganglia • Patients with laryngeal dysfunction can show signs of vagal neuropathy
Sensory hypersensitivity contributes to disease morbidity	<ul style="list-style-type: none"> • Chronic cough is associated with cough hypersensitivity syndrome, characterized by heightened sensitivity to a broad range of triggers • Sensory innervation to the airways is increased in patients with asthma and chronic cough
<i>Autonomic neural pathways</i>	
An anti-inflammatory reflex regulates systemic components of inflammation	<ul style="list-style-type: none"> • The sympathetic nervous system suppresses systemic inflammation via splanchnic nerve

	<p>innervation of the spleen and abdominal viscera</p> <ul style="list-style-type: none"> • The anti-inflammatory reflex can be initiated through vagal sensory neuron stimulation
Common therapies targeting autonomic neural processes can modify inflammation	<ul style="list-style-type: none"> • The muscarinic receptor antagonist tiotropium reduces cytokine and inflammatory mediator production/ cell recruitment induced by different pro-inflammatory stimuli • Endogenous noradrenaline and dopamine, as well as beta-adrenergic therapies, suppress immune cells • alpha 7 nicotinic acetylcholine receptor ($\alpha 7nAChR$) agonists attenuate hyperoxia-induced lung injury
Central nervous system	
A lung-brain axis may regulate neuroimmune interactions	<ul style="list-style-type: none"> • Dedicated CNS circuits encode sensory inputs from the airways • CNS neuroinflammation can occur in models of airways disease • High brain processes for regulating immunological memory have been described • Mood and cognitive comorbidities are common in patients with airways disease

732

733

734

735 **CME Questions/Answers/Rationale**

736 **Learning Objectives: At the conclusion of this activity, participants should be able to:**

- 737 • Describe the preclinical discoveries that form frameworks supporting neuroimmune interactions
738 and the regulation of airways inflammation.
- 739 • Discuss clinical implications of neuroimmune interactions in the presentation and management
740 of airways disease.

741

742 **Q1. Which of the following is NOT correct about neuroimmune interactions in the airways?**

- 743 a. Immune cell products can activate airway nerve fibers because neurons can express many
744 different receptors for inflammatory mediators.
- 745 b. Immune cells need to bind to airway nerve fibers before any interactions can occur.
- 746 c. Signaling mechanisms once thought to be specific for neurons have been identified in immune
747 cells and vice versa.
- 748 d. Sensory and motor neurons in the airways can both have efferent functions that serve to modify
749 immune cell activity.

750 **Q1 ANS: b.** *Immune cells need to bind to airway nerve fibers before any interactions can occur.*

751 **Rationale:** Whilst direct contact between nerve fibers and immune cells in the airways has been
752 reported, such interactions are not necessary for neuroimmune crosstalk. Close spatial relationships can
753 allow for neuroimmune 'synapse' formation, diffusible mediators may allow for signaling to occur over
754 larger distances and specialized intermediary cells, such as brush and neuroendocrine cells, may support
755 neuroimmune cross talk in airways.

756 **References:**

- 757 1. Drake MG, Lebold KM, Roth-Carter QR, et al. Eosinophil and airway nerve interactions in
758 asthma. *J Leukoc Biol* 2018; 104(1): 61-7.
- 759 2. Branchfield K, Nantie L, Verheyden JM, Sui P, Wienhold MD, Sun X. Airway neuroendocrine cells
760 function as airway sensors to control airway immune response. *Science* 2016; 351(6274): 707-
761 10.
- 762 3. Hollenhorst MI, Nandigama R, Evers SB, et al. Bitter taste signaling in tracheal epithelial brush
763 cells elicits innate immune responses to bacterial infection. *J Clin Invest* 2022; 132(13).

764

765 **Q2. Which of the following is NOT correct about neuroepithelial bodies (NEBs)?**

- 766 a. NEBs are often composed of clusters of 5-20 neuroendocrine cells concentrated at airway
767 branch points.
- 768 b. NEBs have been shown to be involved in generating type 2 immune responses to aeroallergen
769 inhalation.
- 770 c. NEBs express bitter taste receptors.
- 771 d. NEBs express markers commonly found in neurons and are densely innervated by vagal sensory
772 nerve fibers.

773 **Q2 ANS: C.** *NEBs express bitter taste receptors.*

774 **Rationale:** NEBs are comprised of neuroendocrine cells, Clara-like cells and sensory nerve fibers. They
775 therefore display features of neurons, but additionally have been shown to play active roles in co-
776 ordinating type 2 inflammatory responses during allergen exposure. The number of NEBs is highest
777 during development but expansion of cells within the NEB microenvironment during airway injury might
778 suggest a role for NEBs in injury repair. Other specialized airways cells involved in neuroimmune
779 interactions, namely brush cells, are known for their expression of bitter taste receptors.

780 **References:**

- 781 1. Brouns I, Adriaensen D, Timmermans JP. The airway neuroepithelial body microenvironment
782 represents an underestimated multimodal component in airway sensory pathways. *Anat Rec*
783 (Hoboken) 2023.
- 784 2. Sui P, Wiesner DL, Xu J, et al. Airway neuroendocrine cells amplify allergic asthma responses.
785 *Science* 2018; 360(6393).
- 786 3. Verckist L, Pintelon I, Timmermans JP, Brouns I, Adriaensen D. Selective activation and
787 proliferation of a quiescent stem cell population in the neuroepithelial body microenvironment.
788 *Respiratory research* 2018; 19(1): 207.

789

790 **Q3. Which of the following is evidence that sensory neurons can regulate immune function?**

- 791 a. Sensory neurons become hyperexcitable during inflammation.
- 792 b. Sensory neurons can respond directly to pathogens as they express receptors for other
793 pathogen-derived molecules.
- 794 c. Sensory neuron terminal density is increased in the airways in many inflammatory diseases.
- 795 d. Sensory neurons can release neuropeptides or other signaling molecules which have
796 immunomodulatory actions.

797 **Q3 ANS: d.** *Sensory neurons can release neuropeptides or other signaling molecules which have*
798 *immunomodulatory actions.*

799 **Rationale:** Whilst sensory neuron excitability and terminal field density can change during airways
800 inflammation and some pathogens may be able to directly interact with sensory neurons, for example
801 *Mycobacterium tuberculosis* via sulfolipid-1, these are not requisite processes for sensory neurons to

802 modulate immune cell function. Rather, the release of sensory neuron derived signaling molecules is
803 one mechanism via which sensory neurons mediate immunomodulation. For example, the
804 neuropeptide CGRP has been shown to act as a negative regulator of ILC2-mediated inflammation.

805 **References:**

- 806 1. Mazzone SB, Udem BJ. Vagal Afferent Innervation of the Airways in Health and Disease. *Physiol*
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- 808 2. Wang J, Kollarik M, Ru F, et al. Distinct and common expression of receptors for inflammatory
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810 by low input RNA sequencing. *PLoS One* 2017; 12(10): e0185985.
- 811 3. Talbot S, Abdulnour RE, Burkett PR, et al. Silencing Nociceptor Neurons Reduces Allergic Airway
812 Inflammation. *Neuron* 2015; 87(2): 341-54.

813

814 **Q4. What is the preclinical evidence that supports a role of autonomic nerves in immune cell**
815 **modulation:**

- 816 a. The anti-inflammatory effects of beta 2 (β 2)-adrenergic receptor agonists and muscarinic
817 acetylcholine receptor antagonists.
- 818 b. The anti-inflammatory effects of nicotinic acetylcholine receptor agonists.
- 819 c. The observation that sympathectomy exacerbates inflammation.
- 820 d. All of the above.

821 **Q4 ANS:** d. *All of the above*

822 **Rationale:** β -agonists and muscarinic antagonists, notably tiotropium, have demonstrable effects on
823 airways inflammation. Many immune cells express adrenergic receptors, and in general sympathetic

824 derived noradrenaline is anti-inflammatory through a β 2-adrenergic mechanism. Hence, denervating
825 the sympathetic supply to the airways exacerbates inflammation while a β 2-agonist is beneficial.
826 Cholinergic signaling may be either pro-inflammatory or anti-inflammatory, depending on the
827 expression of muscarinic or nicotinic receptors, respectively. Preclinical studies suggest nicotinic
828 receptor agonists have anti-inflammatory effects.

829 **References:**

- 830 1. Moriyama S, Brestoff JR, Flamar AL, et al. β (2)-adrenergic receptor-mediated negative regulation
831 of group 2 innate lymphoid cell responses. *Science* 2018; 359(6379): 1056-61.
- 832 2. Calzetta L, Coppola A, Ritondo BL, Matino M, Chetta A, Rogliani P. The Impact of Muscarinic
833 Receptor Antagonists on Airway Inflammation: A Systematic Review. *Int J Chron Obstruct*
834 *Pulmon Dis* 2021; 16: 257-79.
- 835 3. Gao ZW, Li L, Huang YY, et al. Vagal- α 7nAChR signaling is required for airway anti-inflammatory
836 responses and arginase 1 expression during an influenza infection. *Acta Pharmacol Sin* 2021;
837 42(10): 1642-52.

838

839 **Q5. Neuroimmune interactions may not be confined to the airways in inflammatory airways disease.**

840 **Which of the following statements supports this assertion?**

- 841 a. Sympathetic innervation to the spleen and other abdominal organs is part of a generalized anti-
842 inflammatory system that regulates systemic inflammation.
- 843 b. Pre-clinical studies have provided evidence of inflammation within the nerves
844 (neuroinflammation) innervating the airways in models of airways disease.
- 845 c. The brain may contribute to immunological responses through dedicated 'top-down' pathways.
- 846 d. All of the above.

847 **Q5 ANS:** *d. All of the above*

848 **Rationale:** The anti-inflammatory reflex involves immune-specific subset of sympathetic neurons arising
849 from the spinal cord that innervate sympathetic autonomic neurons pools in the celiac and splanchnic
850 prevertebral ganglia. Activation of this pathway suppresses the plasma levels of pro-inflammatory
851 cytokines TNF α , interferon gamma and interleukin (IL-6), while enhancing the levels of circulating anti-
852 inflammatory cytokines (e.g., IL-10). Lung inflammation during viral, allergen and bacterial exposure is
853 accompanied by inflammatory cell infiltration into the vagal sensory ganglia, a potential alternative site
854 where neuroimmune interactions become important in disease. The insula cortex in the brain may
855 contribute to generalized immunological responses by storing immunological memories.

856

857 **References:**

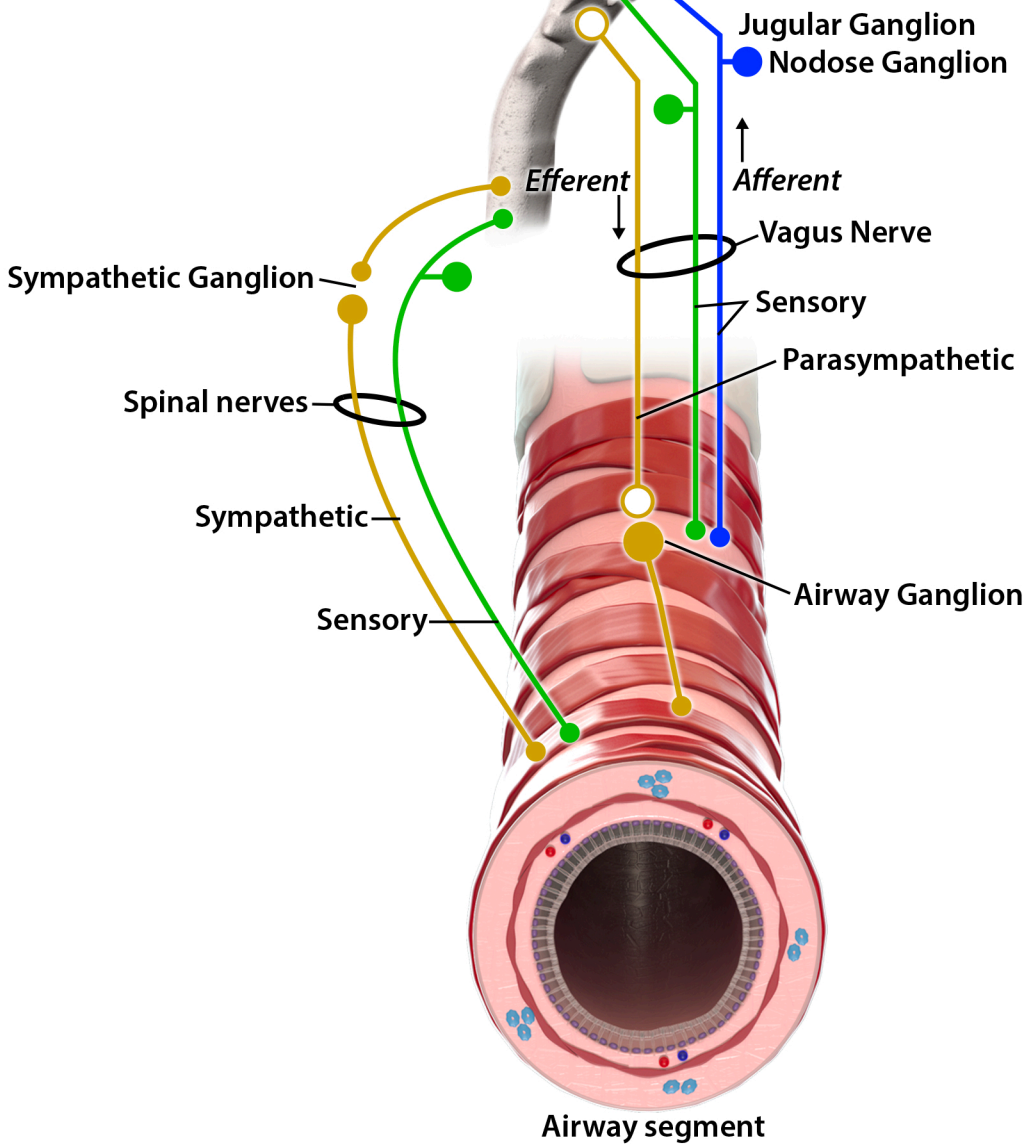
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861 nerves, not the vagus. *J Physiol* 2014; 592(7): 1677-86.

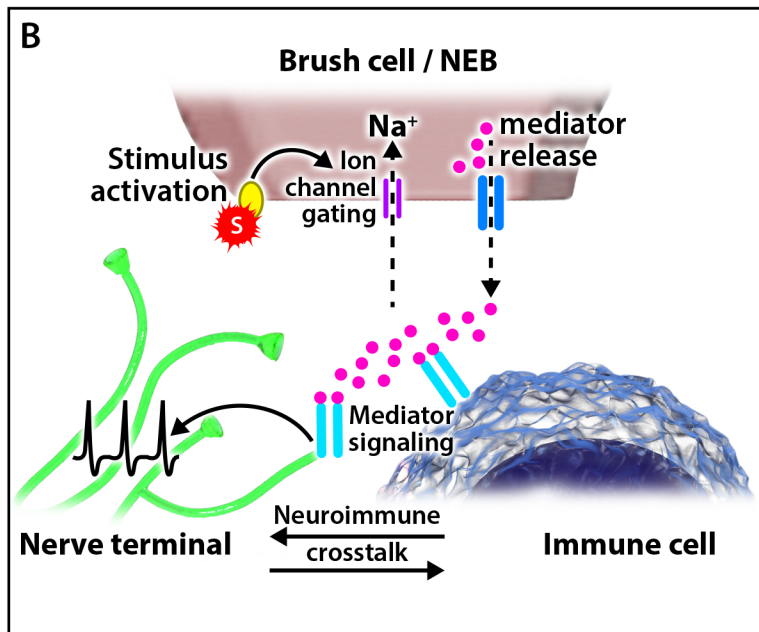
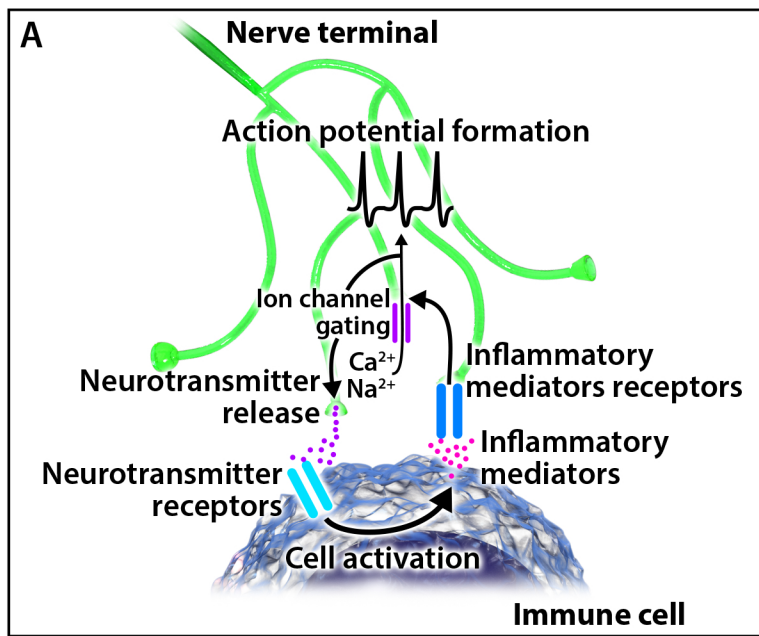
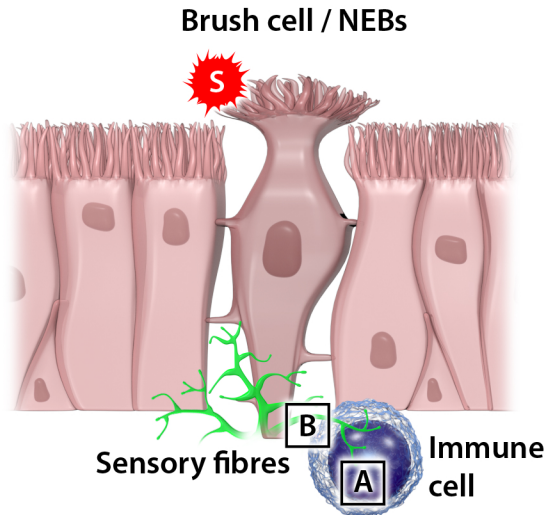
862

863 **Key Messages**

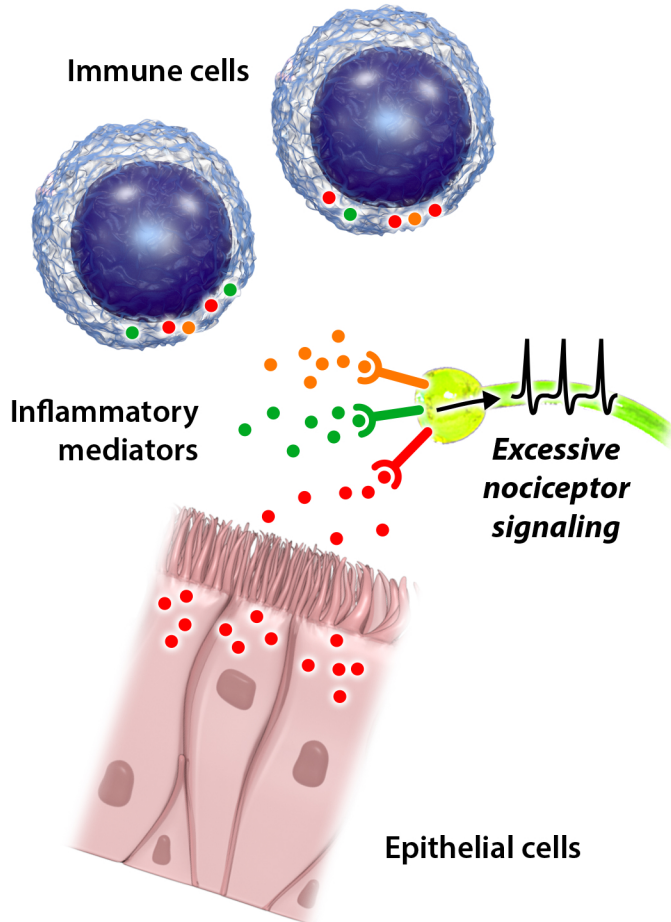
- 864 • The airways are densely innervated by sensory and motor nerves fibers which arise from cranial
865 and spinal nerve origins.
- 866 • Bidirectional interactions between nerve fibers and immune cells in the airways can occur
867 because of shared and complimentary signaling processes and close spatial relationships.

- 868
- Interactions between nerve fibers and immune cells in the airways can also be co-ordinated by
- 869
- specialized chemosensory airway cells and dedicated lung-brain reflex circuits.
- 870
- Airways inflammation can lead to neuroinflammation whereby the nerves supplying the airways
- 871
- become inflamed resulting in structural, phenotypic and functional neuroplasticity.
- 872
- Therapies targeting neural signaling may modify both the neurological symptoms of disease and
- 873
- the associated airways inflammation, although the clinical evidence for the latter is presently
- 874
- limited.
- 875





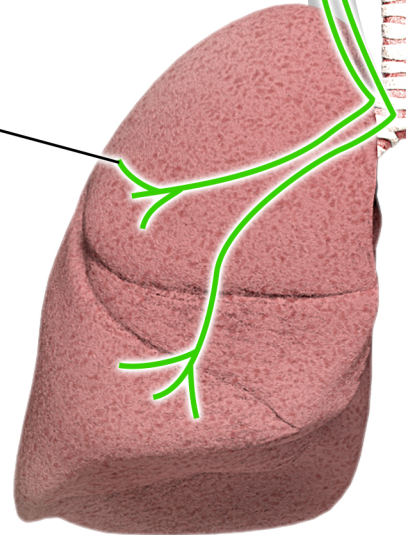
Lung Inflammation



To brainstem

Vagal ganglia

Vagus nerve



Lung

Neuroinflammation

