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## **Harnessing CD8<sup>+</sup> T-cell exhaustion to treat type 1 diabetes**

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### **Abstract**

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29 Although immune interventions have shown great promise in type 1 diabetes clinical trials, none  
30 is yet in routine clinical use or able to achieve insulin independence in patients. In addition to this,  
31 the principles of type 1 diabetes treatment remain essentially unchanged since the isolation of  
32 insulin, almost a century ago. Type 1 diabetes is characterised by insulin deficiency due to  
33 destruction of insulin-producing beta cells mediated by autoreactive T cells. Therapies that target  
34 beta-cell antigen specific T cells are needed to prevent type 1 diabetes. CD8<sup>+</sup> T-cell exhaustion is  
35 an emerging area of research in chronic infection, cancer immunotherapy, and more recently,  
36 autoimmunity. Recent data suggest that exhausted T cell populations are associated with improved  
37 markers of type 1 diabetes. T-cell exhaustion is both characterised and mediated by inhibitory  
38 receptors. This review aims to identify which inhibitory receptors may prove useful to induce T-  
39 cell exhaustion to treat type 1 diabetes and identify limitations and gaps in the current literature.

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## 42 **Introduction**

43 Type 1 diabetes mellitus (T1D) is due to destruction of insulin-producing beta cells in the  
44 pancreatic islets of Langerhans that causes insulin deficiency and hyperglycaemia. This is  
45 primarily mediated by T cells specific for islet autoantigens<sup>1</sup>. Globally, T1D is an emerging public  
46 health burden and its onset is most common amongst individuals <15 years old. Unfortunately,  
47 there are no disease-modifying prevention or intervention strategies for halting T1D progression  
48 in routine clinical use. Patients are dependent on exogenous insulin administration to maintain  
49 glucose homeostasis and this has been the case since it was first used in humans 100 years ago.  
50 Yet despite the evidence establishing the importance of glycaemic control in reducing  
51 complications, lifelong adherence to daily management regimes is both difficult for the individual  
52 and has suboptimal outcomes. Therefore, developing methods of conserving or reinstating  
53 endogenous insulin output constitutes the ultimate objective of T1D research. An ideal cure for  
54 diabetes would involve the arrest and potential reversal of the destruction of the beta-cell mass  
55 without prominent side effects, resulting in long-term independence from exogenous insulin.

## 56 **Loss of T-cell self-tolerance in T1D**

57 The aetiology of T1D, much like other autoimmune diseases, remains to be fully elucidated. The  
58 prevailing consensus is that the genetic, epigenetic and environmental factors in conjunction with

59 an initiation event(s) result in the successive breakdown of central and peripheral tolerance  
60 mechanisms, thus enabling disease onset<sup>1</sup>.

61 Disease progression is contingent on cytotoxic CD8<sup>+</sup> T cell lytic interactions triggered by  
62 endogenous peptide displayed in MHC class I on the beta-cell surface<sup>2</sup>. In reaching this end-state,  
63 successive failures in self-regulatory checkpoints are required to both achieve and perpetuate the  
64 immunopathology. Loss of self-tolerance in autoreactive T cells occurs in two distinct phases:  
65 escape from thymic deletion in central tolerance, and aberrant activation in peripheral tolerance.

#### 66 *Central tolerance*

67 Typically, T-cell development in the thymus ensures that only T cells that are appropriately  
68 unresponsive to self can migrate into the periphery. However, central tolerance does not  
69 completely eliminate autoreactive T cells<sup>3</sup> that can be detected in the peripheral circulation of T1D  
70 and healthy individuals<sup>4</sup>. In T1D, impaired central tolerance is due to intrinsic defects in antigen  
71 presentation to potentially autoreactive thymocytes. Reduced presentation by MHC types that  
72 convey high risk for diabetes, variable levels of thymic expression of islet-specific autoantigens  
73 especially proinsulin, post translationally modified and hybrid peptides and alternative splicing  
74 may create antigens present in the periphery but not in the thymus and impair negative selection  
75 leading to increased islet autoreactivity<sup>5</sup>.

#### 76 *Peripheral tolerance*

77 There is evidence to suggest that circulating islet-autoreactive clones can exist in both healthy and  
78 T1D subjects but there is preferential activation of these in the diseased state<sup>6</sup>. This indicates that  
79 mechanisms in peripheral tolerance are compromised in T1D pathogenesis.

80 Mechanisms of peripheral tolerance regulate naïve autoreactive T cells<sup>7, 8</sup>. Many autoreactive  
81 thymic emigrants undergo clonal deletion or are rendered anergic. This is achieved through low  
82 avidity interactions with tolerogenic DCs that drive the proliferative response to apoptosis or  
83 inactivation. In autoimmunity, avidity maturation of CTLs recognising islet antigens has been  
84 shown to circumvent this<sup>9</sup>. For autoreactive T cells that do become activated, CD4<sup>+</sup> CD25<sup>+</sup> FoxP3<sup>+</sup>  
85 natural regulatory T cells (nTreg) cells are the key regulators to avoid pathogenicity.

#### 86 **CD8<sup>+</sup> T cells in T1D pathogenesis**

87 Autoreactive CD8<sup>+</sup> T cells are the principal drivers of beta-cell destruction in both humans and the  
88 non-obese diabetic (NOD) mouse model of T1D, with effector CD4<sup>+</sup> T cells also having an  
89 important role. Deficiency in either CD4<sup>+</sup> or CD8<sup>+</sup> T cell populations protects mice from insulinitis  
90 and diabetes<sup>2</sup>. Naïve T-cell activation occurs in the pancreatic lymph node following encounter  
91 with islet-derived antigens<sup>10</sup>. CD4<sup>+</sup> T cells provide T-cell help, license dendritic cells and prevent  
92 the deletion of CD8<sup>+</sup> T cells<sup>11</sup>. Following activation in the pancreatic lymph node, T cells migrate  
93 to the pancreatic islets *via* the circulation. Access to the non-inflamed islet is restricted to activated  
94 T cells specific for beta-cell antigens<sup>12</sup>. Once T cells have entered the islets, the islet environment  
95 becomes increasingly proinflammatory and vulnerable to further immune infiltration<sup>13</sup>.  
96 Insulinitis describes the progressive infiltration of the islets by immune cells. CD8<sup>+</sup> T cells are the  
97 most abundant leukocytes, followed by macrophages and a comparatively smaller CD4<sup>+</sup> T-cell  
98 population<sup>14</sup>. Infiltration is marked by an increase in MHC class I expression in diseased islets<sup>15</sup>.  
99 Tetramer-staining of pancreatic sections collected from T1D patients demonstrated that CD8<sup>+</sup> T  
100 cells within affected islets are autoantigen-specific, recognising pre-proinsulin, insulin, IGRP,  
101 GAD65, pre-proislet amyloid protein and islet cell antigen 512<sup>16</sup>. Islet reactive CD8<sup>+</sup> T cells have  
102 also been demonstrated using staining with multimers *ex vivo* in the peripheral circulation of T1D  
103 and healthy subjects and *in situ* in the pancreas of T1D subjects<sup>4</sup>.  
104 CD8<sup>+</sup> T cells recognise peptide antigens which are presented by MHC class I proteins. The  
105 importance of this interaction has been demonstrated in NOD mice lacking MHC class I molecules  
106 on beta cells or lacking CD8<sup>+</sup> T cells in which no insulinitis develops<sup>2</sup>. In humans, increased levels  
107 of islet MHC class I expression is a consistently observed hallmark of the disease<sup>15, 16</sup>. The  
108 dominant method of beta-cell destruction utilised by CD8<sup>+</sup> T cells is the release of perforin and  
109 granzymes from secreted granules<sup>17</sup>. These combined findings highlight the importance of  
110 targeting CD8<sup>+</sup> T cells in any therapeutic approach.

### 111 **CD8<sup>+</sup> T-cell exhaustion**

112 T-cell exhaustion is an altered differentiation state of T cells that is normally associated with  
113 chronic antigen presentation and/or inflammation<sup>18</sup>. It manifests itself as a progressive loss of  
114 effector function, sustained upregulation of various coinhibitory receptors, changed expression and  
115 utilisation of key transcription factors and metabolic abnormalities<sup>18-20</sup> (Figure 1). Exhausted T  
116 cells differ from memory T cells in that they do not possess antigen-independent homeostatic  
117 responsiveness<sup>18, 21</sup>. Exhausted CD8<sup>+</sup> T cells progressively lose the ability to produce interleukin-

118 2 (IL-2), to efficiently kill target cells *ex vivo* and to produce pro-inflammatory cytokines including  
119 TNF- $\alpha$  and IFN $\gamma$ <sup>22</sup>.

#### 120 *CD8<sup>+</sup> T-cell activation*

121 To better describe T-cell exhaustion, it is necessary to compare T-cell differentiation following  
122 acute infection/vaccination to that in chronic infection/cancer (Figure 2). In both cases, following  
123 antigen recognition and driven by transcriptional regulation, naïve CD8<sup>+</sup> T cells differentiate into  
124 short lived effector T cells and memory precursor effector cells<sup>23, 24</sup>. These cells produce cytokines  
125 and chemokines, acquire the ability to kill target cells and have migratory potential. Following  
126 antigen clearance in acute infection/vaccination, the majority of effector T cells die, and a subset  
127 of these effector CD8<sup>+</sup> T cells differentiate into long-lived memory T cells, driven by antigen  
128 stimulation in the presence of the cytokines IL-7, IL-15 and/or IL-21 and the transcription factors  
129 T-bet and eomesodermin (Eomes)<sup>23</sup>. While initially similar, the differentiation process in chronic  
130 situations begins to deviate from that in acute settings. Because precursor exhausted T cells are  
131 unable to fully clear antigen, they undergo an early exhaustion differentiation process in which T  
132 cells stably adjust their proliferative and effector capacity to a lower level and this phenotype is  
133 optimised to cause minimal tissue damage while still mediating a critical level of pathogen or  
134 tumour control. As the presence of antigen continues, early exhausted T cells further differentiate  
135 into terminal exhausted T cells which are eventually deleted<sup>25</sup>.

#### 136 *Differentiation process of CD8<sup>+</sup> T cells towards exhaustion*

137 A number of signals drive exhaustion. The most important is persistent antigen exposure, with  
138 antigen amount being more important than antigen strength<sup>26</sup>. Other factors that are thought to  
139 contribute to exhaustion include limited CD4<sup>+</sup> T-cell help<sup>27</sup>, minimal costimulation during T-cell  
140 priming, reduced common gamma chain cytokine signalling<sup>28, 29</sup>, and the tissue microenvironment  
141 (e.g. hypoxia, nutrients, pH)<sup>18, 30</sup>.

142 Precursors of exhausted CD8<sup>+</sup> T cells have been shown to possess memory potential<sup>23, 25</sup> but fail  
143 to acquire the ability to self-renew and mount robust recall responses. Virus specific exhausted  
144 CD8<sup>+</sup> T cells from mice with established chronic infection were unable to become memory CD8<sup>+</sup>  
145 T cells when removed from the infection. Even though a subset of exhausted T cells proliferated  
146 after transfer into naive mice (removal of antigen exposure), the proliferated T-cells maintained  
147 the exhausted phenotype they acquired during the initial exposure to chronic infection<sup>31</sup>.

148 Moreover, the durability of reinvigoration of exhausted T cells with PD-1 blockade is limited due  
149 to epigenetic stability of exhausted T cells<sup>32</sup>. Conversely, memory CD8<sup>+</sup> T cells that are primed  
150 during acute viral infection can be driven to exhaustion if transferred to a mouse with chronic viral  
151 infection<sup>25</sup>.

152 Both exhausted and memory T cells have been noted to arise from the same pool of KLRG-1<sup>lo</sup> and  
153 CD127<sup>hi</sup> effector cells<sup>23, 25, 30</sup>. High expression of the transcription factors TOX and TOX2 drive  
154 CD8<sup>+</sup> T cells down the exhaustion pathway instead of becoming memory precursors<sup>33-35</sup>. In this  
155 early form of exhaustion, the majority of cells are Tcf-1<sup>+</sup>, and display high expression of T-bet,  
156 intermediate expression of PD-1, and low expression of Eomes (T-bet<sup>hi</sup> PD-1<sup>int</sup> Eomes<sup>lo</sup>)<sup>23, 30</sup>. This  
157 pool of cells still possesses moderate proliferative capability and potential to produce effector  
158 cytokines, but exhibits limited cytotoxicity<sup>30</sup>.

159 In response to persistent antigen stimulation, progenitor exhausted CD8<sup>+</sup> T cells proliferate and  
160 give rise to terminal exhausted progeny<sup>36</sup>. Terminally exhausted cells differ from the progenitor  
161 pool in a number of ways. The majority are Tcf-1<sup>-</sup>, and exhibit low expression of T-bet, high  
162 expression of PD-1, and high expression of Eomes (T-bet<sup>lo</sup> PD-1<sup>hi</sup> Eomes<sup>hi</sup>)<sup>23, 30</sup>. Unlike their  
163 progenitors, these cells display poor proliferative capacity, less production of cytokines, but better  
164 cytotoxicity compared to their progenitors<sup>30, 36</sup>.

165 The presence of both T-box transcription factors, T-bet and Eomes, has been shown to be critical  
166 for the formation of early and terminal exhausted T cells<sup>23, 36</sup>. Temporal deletion of T-bet resulted  
167 in accelerated decay in the progenitor population indicating its importance in maintaining the  
168 progenitor pool of exhausted T cells<sup>36</sup>. Furthermore, temporal loss of Eomes resulted in a  
169 significant reduction in the number of terminal exhausted T cells, thus suggesting that Eomes plays  
170 a critical role in initiating or sustaining the terminal pool of cells<sup>36</sup>.

171 Another notable difference between the progenitor and terminal exhausted T cells is in their  
172 response to PD-L1 blockade<sup>30, 37</sup>. Upon treatment with anti-PD-L1 antibody, the progenitor pool  
173 of cells showed significantly improved responsiveness compared to untreated cells<sup>37</sup>. In contrast,  
174 there was little improvement in the responsiveness of the terminal exhausted T cells following PD-  
175 L1 blockade<sup>37</sup>.

176 *Inhibitory receptors and negative regulatory pathways*

177 Elevated and sustained expression of inhibitory receptors is a key hallmark of CD8<sup>+</sup> T-cell  
178 exhaustion<sup>23, 30</sup>. While normally expressed by functional effector T cells during activation,  
179 multiple inhibitory receptors are progressively acquired and persistently expressed as T-cell  
180 exhaustion progresses. The major inhibitor receptors are PD-1 and CTLA-4, while others include  
181 LAG-3, CD244 (2B4), CD160, TIM-3 and others. The pattern of inhibitory receptor coexpression  
182 dictates the level of T-cell dysfunction due to exhaustion<sup>38</sup>.

183 Programmed Cell Death 1 (PD-1) is transiently expressed during the initial activation of T cells  
184 but is upregulated in a sustained manner on exhausted T cells<sup>23,39,40</sup>. PD-1 engages with its ligands,  
185 PD-L1 or PD-L2, which are expressed widely in nonlymphoid tissues and upregulated by pro-  
186 inflammatory cytokines such as IFN $\gamma$ . PD-1 is important for reducing T-cell activation in the  
187 periphery to minimise the damage to tissue during a local immune response. Ligation of PD-1  
188 results in negative regulation of the PI3K/AKT and RAS signalling pathways<sup>40-42</sup>. This, in turn,  
189 leads to inhibition of TCR-mediated lymphocyte proliferation and effector cytokine production<sup>43</sup>.  
190 PD-1 expression dominates the hierarchical expression of inhibitory receptors in exhausted T  
191 cells<sup>44, 45</sup>.

192 CTLA-4 is a receptor protein that competitively binds the B7 ligands (CD80 and CD86) with  
193 higher affinity than CD28<sup>46-48</sup>. Normally, engagement of the co-stimulatory protein CD28 releases  
194 positive signals that impact T-cell activation, expansion, and differentiation<sup>23</sup>. Following T-cell  
195 receptor engagement, CTLA-4 is upregulated and it counteracts these signals, inhibiting T-cell  
196 activation and initial T-cell priming in secondary lymphoid organs<sup>23, 40</sup>.

197 Following activation, CTLA-4 is also constitutively expressed on Tregs as it is a target of Foxp3,  
198 an important Treg transcription factor<sup>40</sup>. Therefore, aside from dampening T-cell activation and  
199 priming, CTLA-4 is also thought to play an important role in augmenting Treg-mediated immune  
200 suppression<sup>40</sup>.

201 T-cell immunoreceptor with Ig and ITIM domains (TIGIT) negatively regulates T-cell function by  
202 competitively binding CD155, a ligand shared by CD226<sup>49, 50</sup>. Binding between TIGIT and CD155  
203 results in increased IL-10 production and decreased IL-12 production by dendritic cells (DCs),  
204 leading to suppression of T-cell activation and promotion of T-cell exhaustion<sup>23, 51</sup>.

205 Lymphocyte activated gene-3 (LAG-3) inhibits T-cell activation and effector function<sup>40</sup>. It is  
206 thought to negatively regulate cell cycle progression and other cellular functions<sup>23</sup>. While it is  
207 normally expressed transiently following T-cell activation, LAG-3 has been shown to be highly

208 expressed in exhausted T cells in both chronic infection and cancer<sup>23, 40</sup>. T-cell immunoglobulin-  
209 and mucin-containing protein 3 (TIM-3) is significantly upregulated in exhausted T cells and is a  
210 marker of severe exhaustion in chronic LCMV, HCV, and HIV infections<sup>23</sup>. TIM-3 is normally  
211 co-expressed with PD-1<sup>23</sup>.

#### 212 *Stability of T-cell exhaustion*

213 CD8<sup>+</sup> T-cell exhaustion is a differentiation process where exhaustion-associated features are  
214 maintained by stable epigenetic modifications even if the antigen levels are reduced or cleared<sup>25</sup>,  
215 <sup>31, 32</sup>. These stable epigenetic modifications restrict T-cell expansion and clonal diversity during  
216 PD-1 blockade<sup>52</sup>. In addition to epigenetic programs, specific transcription factors, TOX and  
217 NR4A have been identified as critical regulators of formation and maintenance of the exhaustion  
218 program<sup>33-35, 53, 54</sup>. Exhausted T cells do not form in the absence of TOX. TOX is initially induced  
219 by calcineurin and NFAT2 but later becomes calcineurin-independent and is sustained in  
220 exhausted T cells. TOX drives T-cell exhaustion by mediating transcriptional and epigenetic  
221 changes in T cells<sup>33</sup>.

#### 222 **T-cell exhaustion and autoimmunity**

223 Manipulation of T-cell exhaustion has potential as a therapeutic opportunity for control of  
224 autoimmune disease. Several lines of evidence indicate that pathways related to T-cell exhaustion  
225 play an important role in restraining T cells in autoimmune diabetes. (1) It takes months (in mice)  
226 to years (in humans) after onset of autoimmunity to develop diabetes. (2) Autoimmune diabetes is  
227 rapidly induced by blocking the PD-1 pathway in NOD mice<sup>55</sup>. Treatment with PD-1 pathway  
228 blockers can induce diabetes in humans with or without pre-existing autoimmunity and the  
229 diabetes rapidly develops in subjects with pre-existing autoimmunity<sup>56-59</sup>. Deficiency of PD-1<sup>60</sup> or  
230 LAG-3<sup>61</sup>, or treatment with blocking anti-LAG-3 antibody accelerates autoimmune diabetes in  
231 NOD mice<sup>61</sup>. Similarly, blockade of TIM-3 has been shown to increase the clinical and  
232 pathological severity of experimental autoimmune encephalitis (EAE) in rats<sup>62</sup>. (3) Recent data  
233 show that T cells in the islets of NOD mice display an exhausted phenotype with high PD-1, TIM-  
234 3, TIGIT and TOX expression (see Abdelsamed *et al.*<sup>63</sup> and our unpublished data). (4)  
235 Transcriptomic profiling of T cells from patients with autoimmunity showed that a T-cell  
236 exhaustion signature correlated with a more benign form of autoimmune disease, indicating that  
237 mechanisms associated with T-cell exhaustion may be important in controlling autoimmunity<sup>57</sup>.

238 CD-2 costimulation was able to prevent the development of an exhausted phenotype in T cells  
239 displaying traits of exhaustion (failure to express IL-7R) due to persistent stimulation with  
240 antigen<sup>57</sup>. Cells maintained IL-7R expression, limited PD-1 upregulation and enhanced cell  
241 survival. However, this inhibition of exhaustion by CD-2 signalling was reversed by  
242 administration of the inhibitory receptor PD-1, which indicates that a state of exhaustion can be  
243 induced – at least, *in vitro*. In the context of T1D, alefacept, an immunomodulatory drug that binds  
244 to CD2, disrupts its signalling and also depletes memory T cells, was found to preserve C-peptide  
245 secretion, reduce insulin use and hypoglycaemic events in newly diagnosed patients, even when  
246 therapy had been ceased for a year<sup>64</sup>.

247 An inverse relationship exists between IL-7 receptor expression and PD-1, with PD-1 being  
248 upregulated on effector T cells infiltrating into the pancreas and in the pancreatic lymph nodes  
249 upon administration of IL-7R $\alpha$  antibody<sup>65, 66</sup>. IL-7R blockade reverses diabetes when administered  
250 to NOD mice<sup>65, 66</sup>.

### 251 **Therapeutic exhaustion for treatment of T1D**

252 There are broadly two potential goals of therapeutic exhaustion in the treatment of T1D. The first  
253 is the induction of exhaustion to slow T1D progression in individuals with a previously non-  
254 exhausted phenotype<sup>67, 68</sup>. Chronic antigen exposure may be one way to achieve this. The second  
255 is agonism of the inhibitory receptors expressed by exhausted T cells, as exhausted T cell  
256 populations are not always completely immunologically inactive<sup>69</sup>.

257 Therapeutic exhaustion may prevent progression to clinical disease, given the potential for  
258 exhaustion to inhibit epitope spreading in other autoimmune conditions (such as myasthenia  
259 gravis)<sup>70</sup>. However, preventative therapies such as this would be dependent on early identification  
260 of patients that would benefit.

261 One of the key benefits of potential therapeutic exhaustion (as opposed to systemic forms of  
262 immunosuppression) is that exhaustion is antigen-specific; it occurs within populations of CD8<sup>+</sup>  
263 T cells with an affinity for the same antigen. This suggests the potential for exhaustion-based  
264 therapies to selectively dampen the immune response, without impairing functional immunity.

#### 265 *Hurdles to achieving therapeutic exhaustion*

266 Currently, there are critical limitations to therapeutic exhaustion, regarding both its research and  
267 its future clinical implementation. In terms of research, therapeutic exhaustion (especially in T1D)  
268 is a relatively new area, and thus current data are limited. Early research on exhaustion was

269 conducted in mice, primarily in those with chronic LCMV infection. Much of the T1D data  
270 gathered recently are extrapolations from immune-related adverse events in cancer  
271 immunotherapy, NOD mice, or anecdotal patient evidence. Very little data are in the form of  
272 rigorous trials. Each of these data sources pose their own problems.

273 Firstly, a fundamental issue in the translation of cancer research to autoimmunity is that most  
274 exhaustion-based cancer immunotherapies antagonise inhibitory receptors that are upregulated  
275 within the exhausted T-cell phenotype. In autoimmunity, exhaustion appears inversely correlated  
276 with disease severity, *ipso facto*, inhibitory receptors are less common (and thus more difficult to  
277 agonise) in the patient populations that would most benefit from exhaustive therapy<sup>67</sup>. This may  
278 be because one of the definitive precipitants for exhaustion is chronic antigen exposure. By the  
279 time patients reach Stage 3 T1D (clinical diagnosis), there may be inadequate islet antigen  
280 concentration remaining to induce exhaustion in islet-specific populations of T cells. However, it  
281 is known from patients receiving exogenous insulin that their insulin-specific T cell populations  
282 have a more exhausted phenotype than other islet-specific antigens<sup>68</sup>. This suggests the potential  
283 for induction of exhaustion (with exogenous antigens) despite a relative lack of endogenous  
284 autoantigen. However, in subjects at high risk for diabetes, insulin administration did not delay or  
285 prevent T1D<sup>71</sup>. Also, it is possible that antigens could expand pre-existing effector T cells instead  
286 of inducing T-cell exhaustion<sup>72</sup>. It is thus important to understand other factors necessary to drive  
287 T-cell exhaustion. T-cell fate following peripheral encounter with self-antigen is dictated by the  
288 antigen level, the nature and site of exposure, T-cell avidity, activation state of the antigen  
289 presenting cells, tissue microenvironment, nature of cytokines and the response to cytokines and  
290 modulatory effects of other cells including B cells, CD4<sup>+</sup> T cell help, Treg cells, NK cells and  
291 stromal cells. Therefore, a lack of inhibitory receptors may be targeted by a dual approach; prior  
292 induction of exhausted populations may provide adequate upregulation of inhibitory receptors for  
293 subsequent agonism<sup>67, 69</sup>.

294 Secondly, it is not well understood how T1D predisposing factors such as HLA and insulin  
295 genotypes may interact with exhaustion. It is well understood that these factors are much more  
296 common in people with T1D than those without<sup>73</sup>. How HLA subtypes interact with exhaustion is  
297 of particular interest, because TCR-MHC class I binding may affect TCR-mediated exhaustion<sup>74</sup>.  
298 Thirdly, due to the prohibitive danger of pancreatic biopsies in humans, much of what is known  
299 about human T1D pathophysiology around the time of disease onset has been learnt from the NOD

300 mouse<sup>75</sup>. NOD mice are a model for human T1D because of features shared with humans, such as  
301 their spontaneous development of disease which is T-cell mediated. However, there are pros and  
302 cons to studying NOD mice<sup>75</sup>. Studies that use NOD mice are often criticised because their  
303 histological differences to that of diabetic human pancreata<sup>76</sup>. Many therapies that have proven  
304 effective in NOD models of disease have been ineffective in humans<sup>75</sup>. Pearson *et al.* argue that  
305 preclinical trials in NOD mice offer a false comparison, as many studies in NOD mice are done  
306 aetiologically earlier in the disease process than the first presentation of T1D in humans<sup>77</sup>. In  
307 addition, the genetic homogeneity of NOD mice and immunological differences to humans present  
308 further challenges for clinical translation<sup>75</sup>. A multicentre trial by Gill *et al.* also highlighted a lack  
309 of intersite reproducibility between trials conducted in the NOD mouse model<sup>78</sup>. The NOD mouse  
310 remains the most likely model for pathophysiological study and many preclinical trials, but  
311 researchers need to maintain awareness of its limitations. Relatively recent methods of  
312 ‘humanising’ NOD mice include transplantation of relevant human genes, cells, and microbiota  
313 into NOD mice<sup>79</sup>.

314 Fourthly, we need to ensure clinical validity of human trials. Some clinical trials have not been  
315 able to demonstrate an improvement in outcomes despite improvements in surrogate markers of  
316 disease. For example, teplizumab (anti-CD3 monoclonal antibody) studies have shown C peptide  
317 improvements in ‘responder’ populations, but without improvements in HbA1c or insulin  
318 requirements<sup>80</sup>.

#### 319 *Hurdles in clinical implementation*

320 There would also be several hurdles in the clinical implementation of therapeutic exhaustion. One  
321 such hurdle is in identifying responders to exhaustive therapies, given the heterogeneity of  
322 exhausted T-cell populations between patients<sup>68, 80</sup>. Patients would also have to be identified early  
323 in order to fully benefit from therapeutic exhaustion, which is difficult given that T1D is  
324 asymptomatic in its early stages, and the rapidity of progression in those who would benefit most  
325 from exhaustion therapy<sup>68</sup>. Screening children for high-risk HLA subtypes or islet antibodies, or  
326 targeting familial T1D, may increase the benefit of preventative exhaustive therapies<sup>73, 81</sup>.

327 Secondly, evidence suggests that prolonged stimulation of inhibitory receptors is required, which  
328 may indicate a need for prolonged treatment<sup>67</sup>. Because T-cell exhaustion is set quite early and

329 memory T cells can be exhausted by chronic antigen exposure, timing of induction of exhaustion  
330 may not be important.

331 Thirdly, there is presently a lack of data regarding long-term follow-up. Therapeutic exhaustion is  
332 a new area of research, and little is known about exhaustion's long-term benefits on  
333 hyperglycaemia. Therapeutic exhaustion may not have long-term efficacy, as with other  
334 immunosuppressive therapies in the treatment of T1D. While studies suggest that T-cell exhaustion  
335 is a stable phenotype, it should be recognised that there is heterogeneity within exhausted T cells<sup>36</sup>  
336 and a subset of exhausted T cells can survive for a long time and retain the ability to mount a recall  
337 response following rechallenge. To promote the development of therapeutic approaches that  
338 harness T-cell exhaustion, it will be essential to understand the mechanisms that control  
339 maintenance of these subsets of exhausted T cells. However, given that exhausted CD8<sup>+</sup> T cells  
340 have recently been demonstrated to be favourable even in symptomatic T1D patients<sup>68, 82</sup>,  
341 exhaustion may still improve clinical outcomes as a non-curative treatment of T1D.

342 Lastly, the on-target and off-target side effect profiles of therapeutic exhaustion are not yet well  
343 characterised. Given that exhaustion is a form of immunosuppression, it is conceivable that there  
344 may be malignant or infective side effects that are not yet well known<sup>67</sup>. This may be particularly  
345 concerning in cases where viruses are a precipitant for T1D<sup>83, 84</sup>, as causing exhaustion during their  
346 presence may prevent viral clearance. The off-target effects of inhibitory receptor agonists on Treg  
347 cells is also not well characterised<sup>69</sup>.

348 It is also important to note that while these data are current at the time of writing, this is a swiftly  
349 moving field of research.

## 350 **Conclusion**

351 T1D is an ancient disease which has been nonfatal for almost a century, thanks to insulin therapy.  
352 Despite this success, clinically approved T1D therapy has stagnated. Development of  
353 immunotherapies for T1D is a major goal of research efforts worldwide. Inducing CD8<sup>+</sup> T-cell  
354 exhaustion to prevent autoimmune destruction of pancreatic beta cells represents an array of  
355 encouraging therapeutic avenues in the treatment of T1D. Even if exhaustion fails to permanently  
356 prevent progression to symptomatic diabetes, it may still have therapeutic value in slowing beta  
357 cell loss, which has been demonstrated to have favourable outcomes in preventing the sequelae of

358 T1D. However, this field is relatively new, and as such it is difficult to navigate, understand, and  
359 synthesise the current literature. The continuation of research that aims to understand the role of  
360 CD8<sup>+</sup> T-cell exhaustion in T1D is needed.

361

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366

## 367 **CONFLICT OF INTEREST**

368 The authors have no conflict of interest declare.

369

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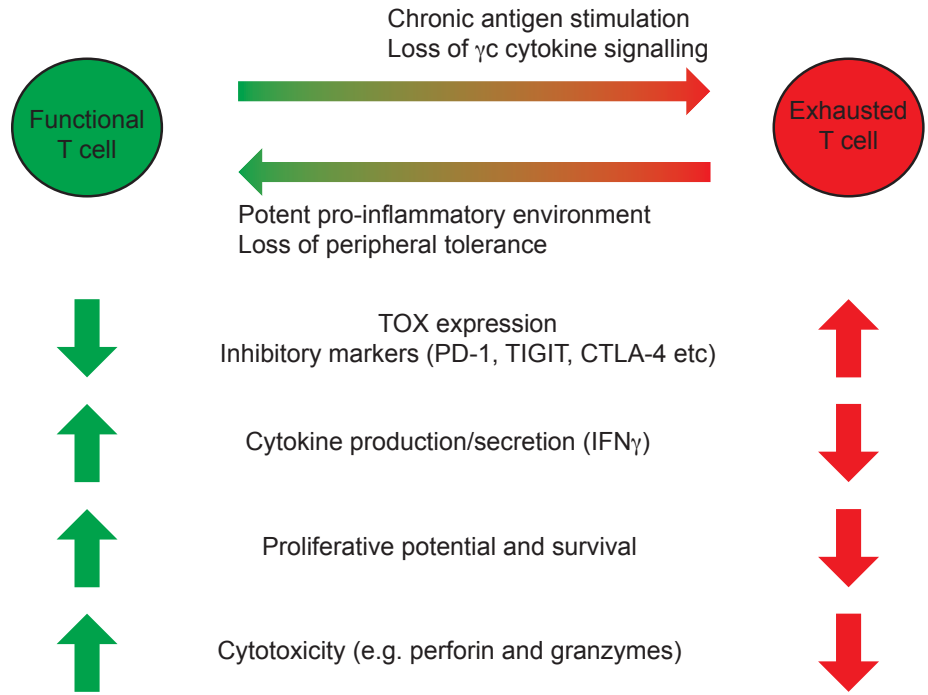
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572 **Figure legends**

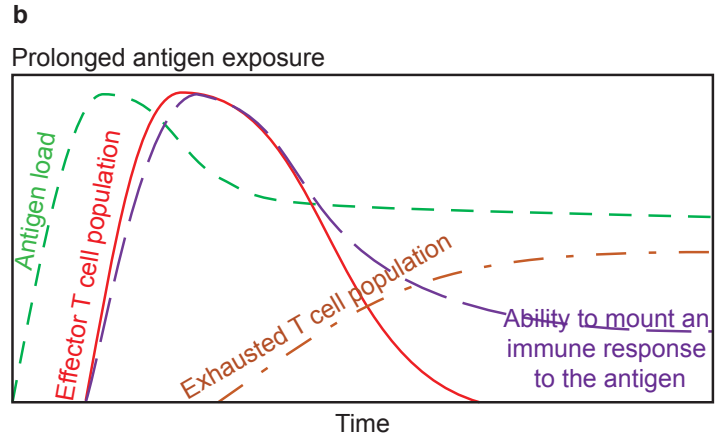
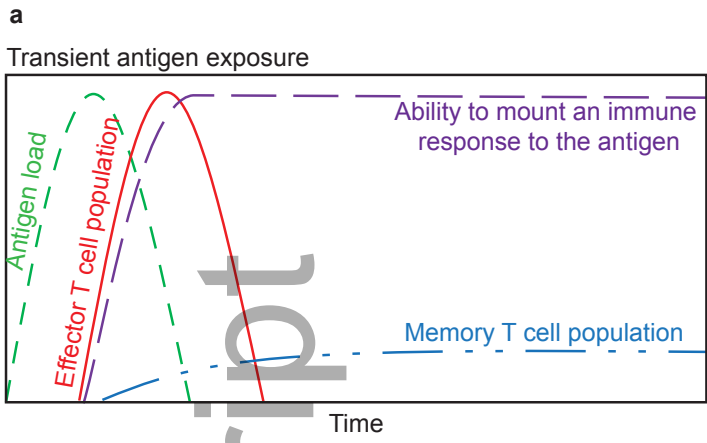
573 **Figure 1. Functional dichotomy of T-cell effector capacity.** T-cell functionality described on  
574 a spectrum from completely functional to severely exhausted (non-functional). Different  
575 external stimuli can push activated T cells towards either state. The exhaustion phenotype is  
576 desired as a therapeutic target in autoreactive memory T cells as this will prevent reconstitution  
577 of autoimmunity.

578 **Figure 2. (a)** Transient antigen exposure (e.g. acute infection) results in differentiation of naive  
579 CD8<sup>+</sup> T cells into effector T cells, allowing them to clear antigen. Once antigen is cleared  
580 effector T cells are outlived by a smaller population of memory T cells that express common  
581 gamma chain cytokine receptors and respond to these cytokines to survive for long periods in an  
582 antigen independent manner. Memory T cells proliferate and upregulate effector machinery upon  
583 reactivation following secondary antigen exposure.

584 **(b)** In contrast, during prolonged antigen exposure as a result of different treatments (e.g. during  
585 insulin therapy, after islet transplantation or inhibitory receptor agonism early in the disease), T  
586 cells are unable to fully clear antigen and gradually develop into exhausted T cells with  
587 decreased effector function, proliferation and self-renewal capacity. The exhausted T cells  
588 express low levels of the common gamma chain cytokine receptor and depend on persistent  
589 antigen stimulation for proliferation and survival. The exhausted phenotype results from a  
590 differentiation process in which T cells stably adjust their proliferative and effector capacity to a  
591 lower level and this phenotype is optimised to cause minimal tissue damage while still mediating  
592 a critical level of antigen control. Note that the ability of exhausted T cells to mount an immune  
593 response is disproportionately small for their population size, and thus antigen can persist even  
594 longer. In the setting of persisting antigen, early exhausted T cells differentiate into terminal  
595 exhausted T cells and in some cases can eventually be deleted entirely.



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