

1 **Antibody targets on the surface of *P. falciparum*-infected erythrocytes that are**  
2 **associated with immunity to severe malaria in young children**

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4 Authors/Affiliations:

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6 Jo-Anne Chan<sup>1</sup>, Michelle J Boyle<sup>1</sup>, Kerryn A Moore<sup>1,3,4</sup>, Linda Reiling<sup>1</sup>, Zaw Lin<sup>1</sup>, Wina  
7 Hasang<sup>2</sup>, Marion Avril<sup>8</sup>, Laurens Manning<sup>5,7</sup>, Ivo Mueller<sup>6</sup>, Moses Laman<sup>5</sup>, Timothy  
8 Davis<sup>7</sup>, Joseph D Smith<sup>8</sup>, Stephen J Rogerson<sup>2</sup>, Julie A Simpson<sup>3</sup>, Freya JI Fowkes<sup>1,3,9</sup>,  
9 James G Beeson<sup>1,2,10</sup>

10

11 <sup>1</sup>Burnet Institute for Medical Research and Public Health, Melbourne, Australia

12 <sup>2</sup>Department of Medicine and <sup>3</sup>Centre for Epidemiology and Biostatistics, Melbourne  
13 School of Population and Global Health, University of Melbourne, Parkville, Australia

14 <sup>4</sup>Murdoch Children's Research Institute, Royal Children's Hospital, Melbourne, Australia

15 <sup>5</sup>Papua New Guinea Institute of Medical Research, Madang, Papua New Guinea

16 <sup>6</sup>Walter and Eliza Hall Institute of Medical Research, Parkville, Australia

17 <sup>7</sup>University of Western Australia, Perth, Australia

18 <sup>8</sup>Center for Infectious Diseases Research, Seattle, Washington, USA

19 <sup>9</sup>Department of Epidemiology and Preventive Medicine and Department of Infectious  
20 Diseases, <sup>10</sup>Department of Microbiology, Monash University, Melbourne, Victoria,  
21 Australia

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23

24 **ABSTRACT**

25

26 **Background**

27 Sequestration of *P. falciparum*-infected erythrocytes (IEs) in the microvasculature  
28 contributes to pathogenesis of severe malaria in children. This mechanism is mediated  
29 by antigens expressed on the IE surface. However, knowledge of specific targets and  
30 functions of antibodies to IE surface antigens that protect against severe malaria is  
31 limited.

32 **Methods**

33 Antibodies to IE surface antigens were examined in a case-control study of young  
34 children in Papua New Guinea presenting with severe or uncomplicated malaria (n=448),  
35 using isolates with a virulent phenotype associated with severe malaria, and functional  
36 opsonic phagocytosis assays. We used genetically-modified isolates and recombinant  
37 PfEMP1 domains to quantify PfEMP1 as a target of antibodies associated with disease  
38 severity.

39 **Results**

40 Antibodies to the IE surface and recombinant PfEMP1 domains were significantly higher  
41 in uncomplicated versus severe malaria and were boosted following infection. Using  
42 genetically-modified *P. falciparum* revealed that PfEMP1 was a major target of  
43 antibodies and PfEMP1-specific antibodies were associated with reduced odds of severe  
44 malaria. Furthermore, antibodies promoting the opsonic phagocytosis of IEs by  
45 monocytes were lower in those with severe malaria.

46 **Conclusion**

47 Findings suggest PfEMP1 is a dominant target of antibodies associated with reduced  
48 risk of severe malaria, and function in part by promoting opsonic phagocytosis.

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51 Abstract word count – 199

52 Word count in manuscript – 3500

53 Keywords – antibodies, immunity, *Plasmodium falciparum*, PfEMP1, severe malaria

54

## 55 **INTRODUCTION**

56 The global burden of malaria has declined in recent years due to improved access to  
57 malaria interventions [1]. However, challenges of resistance to anti-malarial drugs have  
58 escalated the need for an effective vaccine. The most advanced vaccine, RTS,S, has  
59 only ~30% efficacy in children [2]. To develop malaria vaccines with increased efficacy,  
60 especially against severe malaria, further understanding of the targets of antibody  
61 responses that protect against disease is required. Among endemic populations with a  
62 high transmission levels, severe malaria mainly affects young children [3]. The  
63 pathogenesis of severe malaria from *Plasmodium falciparum*, the major cause of human  
64 malaria, is in part due to the sequestration of large numbers of mature *P. falciparum*-  
65 infected erythrocytes (IEs) in the microvasculature of specific organs (reviewed in [4]).  
66 The mechanical obstruction of blood flow and associated inflammation contribute to the  
67 manifestation of severe disease complications such as cerebral malaria [5-8].

68

69 Sequestration is mediated by the specific interaction of PfEMP1, expressed on the IE  
70 surface, with receptors on the host endothelium (reviewed in [4]). PfEMP1 is encoded by  
71 the *var* multigene family [9], which can be divided into three main groups (A, B, C) and a  
72 chimeric group B/A *var* gene (termed DC8) based on their upstream promoter regions  
73 [10]. Transcription of different *var* gene subgroups has been linked to clinical disease  
74 manifestations [11]. Expression of group A *var* genes has been associated with severe  
75 malaria in children from Tanzania and Papua New Guinea (PNG) [12-14]. Group A and

76 B *var* genes encode PfEMP1 variants involved in key pathogenic features of severe  
77 malaria, such as rosetting [15,16] and adhesion to ICAM-1 on brain endothelium [17].  
78 Despite the high rate of *var* gene recombination, certain tandem domain arrangements  
79 of the extracellular portion of PfEMP1, also known as domain cassettes (DCs), appear to  
80 be highly conserved. A subset of Group A *var* genes and the DC8 *var* gene can bind to  
81 endothelial protein C receptor (EPCR) expressed by human brain endothelial cells [18],  
82 contributing to the pathogenesis of severe malaria [19]. Severe malaria in children was  
83 associated with expression of PfEMP1 variants containing DC8 (Group B/A) and DC13  
84 (group A) domain arrangements [20-22], which bind to EPCR [18,23,24]. DC13 PfEMP1  
85 has dual specificity and adheres to EPCR and ICAM-1 on brain endothelial cells [25,26].  
86 Parasites from cerebral malaria patients were also more likely to bind EPCR and ICAM-1  
87 than those with uncomplicated malaria [19]. Other parasite proteins identified on the IE  
88 surface have also been proposed to play roles in disease pathogenesis, including RIFIN,  
89 STEVOR and SURFIN [27-31].

90

91 After repeated exposure to *P. falciparum*, individuals living in malaria-endemic regions  
92 can acquire immunity that protects against severe disease [32-34]. However, targets and  
93 mechanisms of immunity to severe malaria are poorly understood. PfEMP1 and other IE  
94 surface antigens have been identified as key targets of acquired antibodies (reviewed in  
95 [4]). Prior studies using genetically-modified *P. falciparum* with suppressed PfEMP1  
96 expression, and other approaches, demonstrated that PfEMP1 is a dominant IE surface  
97 target of naturally-acquired antibodies and found that PfEMP1-specific antibodies were  
98 associated with protection against uncomplicated pediatric malaria [35-37]. Some  
99 studies have found associations between antibodies to recombinant PfEMP1 domains  
100 and protection from uncomplicated malaria, although findings have not been highly  
101 consistent (reviewed in [4]).

102

103 Much less is known about responses mediating protection from severe malaria. Studies  
104 have suggested that young children tend to first acquire antibodies to PfEMP1 encoded  
105 by group A and DC8 *var* genes, that are associated with severe disease [12,38],  
106 compared to groups B and C; this may contribute to protection from severe disease  
107 [39,40]. In several small studies, it was reported that children with severe malaria had  
108 antibodies that recognized DC8 and DC13 PfEMP1 variants [20-22]. Antibodies to IEs  
109 can promote opsonic phagocytosis by monocytes. This is thought to play a major role in  
110 immunity, but the contribution of opsonic phagocytosis to immunity against severe  
111 malaria has not been investigated. Limited data is available on the association between  
112 antibodies to PfEMP1 and protection against severe malaria or quantifying PfEMP1 and  
113 other IE surface antigens as antibody targets on IEs during severe malaria. Currently,  
114 very little is known regarding immunity to severe malaria in non-African populations.

115

116 In the present study, we evaluated the acquisition of naturally-acquired antibodies to IE  
117 surface antigens in a case-control study of children (n=448) in Papua New Guinea  
118 (PNG), presenting with severe or uncomplicated malaria. We studied the importance of  
119 PfEMP1 and other IE surface antigens as targets of naturally-acquired antibodies and  
120 related these to protective associations. We compared antibody responses between  
121 severe and uncomplicated malaria, during acute infection and following convalescence,  
122 to evaluate the acquisition of immunity. We used *P. falciparum* isolates expressing  
123 PfEMP1 variants associated with severe malaria to quantify the levels of acquired  
124 antibodies. We investigated the significance of PfEMP1 as an antibody target using  
125 genetically-modified *P. falciparum* with substantially reduced PfEMP1 expression and  
126 using recombinant PfEMP1 domains. Additionally, we evaluated the functional

127 importance of acquired antibodies in their ability to mediate the opsonic phagocytosis of  
128 IEs.

129

## 130 **METHODS**

131 A comprehensive description of the methods used in this study is in Supplementary  
132 Materials.

133

### 134 **Study population**

135 Samples for antibody measurement were extracted for a frequency-matched case-  
136 control study of children presenting with severe or uncomplicated malaria in Madang,  
137 Papua New Guinea from 2006 to 2009 [41]. This case-control study was nested within a  
138 cohort study described elsewhere [41]. Blood samples were collected from children  
139 (n=805; age range 2 months-10 years; Supplemental Table S1) at enrolment (acute  
140 infection) and 2 months post-infection (convalescence). A summary of demographic and  
141 malariometric characteristics of children presenting with uncomplicated and severe  
142 malaria is presented in Table 1.

143

### 144 **Ethics statement**

145 Ethics approval was obtained from the PNG Medical Research Advisory Committee,  
146 PNGIMR Institutional Review Board, and Alfred Hospital HREC. Written informed  
147 consent was obtained from all study participants or their legal guardians.

148

### 149 ***P. falciparum* culture and isolates**

150 *P. falciparum* isolates were maintained in continuous culture and synchronized as  
151 previously described [35,36]. 3D7vpkd [35,42] and 1E2 (IT4var19) parasites [20] were  
152 generated as previously described.

153

154 **Measuring antibodies to the IE surface by flow cytometry**

155 Measuring IgG binding to the IE surface of pigmented-trophozoites was performed with  
156 an established flow cytometry-based assay, as described [35].

157

158 **Measuring antibodies to recombinant PfEMP1 domains by ELISA**

159 Antibodies to recombinant domains of PfEMP1 (DBL $\alpha$ 2, CIDR $\alpha$ 1, DBL $\beta$ 12 and DBLy6)  
160 was measured by ELISA using established methods [43].

161

162 **Measuring opsonic phagocytosis**

163 The level of opsonic phagocytosis to the IE surface was measured by flow cytometry, as  
164 described [35,44].

165

166 **Statistical analyses**

167 For the primary analyses, multivariable logistic regression models were used to estimate  
168 the associations between total antibody responses and severe *P. falciparum* malaria,  
169 adjusting for age, sex, and ethnicity.

170

171 **RESULTS**

172

173 **PfEMP1 is a dominant target of naturally-acquired antibodies to the IE surface**  
174 **among young children with severe or uncomplicated malaria**

175 To quantify the role of PfEMP1 as a target of acquired antibodies, we measured  
176 antibody reactivity to the IE surface using an established flow cytometry-based assay  
177 [35]. We used a 3D7 isolate predominantly expressing PfEMP1 variants associated with  
178 virulent phenotypes that contribute to severe malaria [37]. The dominant PfEMP1

179 expressed by our 3D7 isolate is a group A type (PF11\_0521) with a DC13 domain  
180 structure that mediates adhesion to endothelial cells [37]. Two other group A *var* genes  
181 were also upregulated (PFD1235w and PFA0015c) [37]. We compared antibody levels  
182 of 3D7parental to a transgenic line with inhibited PfEMP1 surface expression due to  
183 endogenous *var* gene suppression (*var* promoter 'knock-down'; 3D7vpkd) [35,42]; this  
184 isolate has greatly reduced PfEMP1 expression, but still expresses other antigens,  
185 including RIFIN and STEVOR [35]. We measured the level of IgG binding to the IE  
186 surface in serum samples collected at enrolment (acute infection) from children with  
187 severe (SM; n=235) or uncomplicated malaria (UM; n=213). Antibody levels were further  
188 measured in serum samples collected 2 months post-infection (following convalescence)  
189 from the same children (SM, n=184; UM, n=173). All individuals at both time-points  
190 showed a marked reduction in IgG binding to 3D7vpkd compared to 3D7parental (Fig 1A,  
191 1C). Overall, during acute infection, IgG binding to 3D7vpkd was substantially reduced  
192 by 41.7% and 59.5% compared to 3D7parental, for SM and UM respectively (Fig 1B,  
193  $p<0.001$ ; Supplemental Fig S1A, S2). Similarly, at convalescence, IgG binding to  
194 3D7vpkd was reduced by 48.6% and 67.2% compared to 3D7parental for SM and UM  
195 respectively (Fig 1D,  $p<0.001$ ; Supplemental Fig S1B). While the antibody reactivity to  
196 3D7vpkd was greatly reduced compared to 3D7parental, in both SM and UM, there was  
197 a strong, positive correlation between antibody responses to 3D7parental and 3D7vpkd  
198 at acute infection (SM, Spearman rank correlation coefficient,  $r_s$  0.54,  $p<0.0001$ ; UM,  $r_s$   
199 0.68,  $p<0.0001$ ) and following convalescence (SM,  $r_s$  0.56,  $p<0.0001$ ; UM,  $r_s$  0.65,  
200  $p<0.0001$ ). Our findings suggest that PfEMP1 is a major target of naturally-acquired  
201 antibodies, consistent with our previous reports (which did not include individuals with  
202 severe malaria) [35,36].

203

204 **Antibodies to the IE surface are higher in uncomplicated malaria**

205 Children with SM and UM had similar age, sex and ethnicity (characteristics used for  
206 matching), but SM children had significantly higher parasitemia, which is a common  
207 feature of SM (Table 1). IgG binding to the IE surface of 3D7parental was 57.5% and  
208 64.3% higher in UM compared to SM during acute infection (Fig 2A;  $p<0.0001$ ) and  
209 following convalescence (Fig 2B;  $p=0.0002$ ). In contrast, there was a trend of lower IgG  
210 binding to 3D7vpkd in UM compared to SM samples, at acute infection (Fig 2A;  $p=0.08$ )  
211 and following convalescence (Fig 2B;  $p=0.06$ ). Children with antibodies to 3D7parental  
212 and PfEMP1-specific antibodies (calculated as IgG levels to 3D7parental minus  
213 3D7vpkd) had reduced odds of SM relative to UM, but this was not observed for those  
214 with antibodies to 3D7vpkd (Supplemental Table S2). We also measured antibody levels  
215 towards the IE surface of a genetically different isolate, 1E2 (IT4var19) (20), which  
216 expresses a specific PfEMP1 variant (DC8-type) with a virulent phenotype. Similar to  
217 3D7parental, IgG binding to 1E2 (IT4var19) was higher in UM compared to SM, during  
218 acute infection (Fig 2C; 28.6% higher,  $p<0.0001$ ) and following convalescence (Fig 2D;  
219 26.4% higher,  $p=0.0008$ ). Children with antibodies to 1E2 (IT4var19) parasites had  
220 reduced odds of SM relative to UM (Supplemental Table S2).

221

### 222 **Antibodies to the IE surface are boosted by infection**

223 Next, we compared antibody responses within individuals using samples that were  
224 collected at acute infection and at convalescence to determine antibody boosting. IgG  
225 binding to 3D7parental and 3D7vpkd was higher following convalescence for both SM  
226 (Fig 3A; 18.4% higher for 3D7parental, 3.84% higher for 3D7vpkd,  $p<0.001$ ;  
227 Supplemental Fig S1C) and UM (Fig 3B; 31.4% higher,  $p=0.002$  for 3D7parental, 38.4%  
228 higher,  $p=0.04$  for 3D7vpkd; Supplemental Fig S1D). IgG binding to 1E2 (IT4var19)  
229 parasites was also slightly higher during convalescence for SM (Fig 3C; 6.2% higher,  
230  $p<0.0001$ ) and UM (Fig 3D; 3.3% higher,  $p=0.1$ ). Comparing the magnitude of antibody

231 boosting in children (calculated as antibody levels at convalescence minus acute), there  
232 was an increase in the magnitude of antibody levels observed for SM and UM, for both  
233 3D7parental and 3D7vpkd parasites (Supplemental Fig S3A). Antibody boosting to 1E2  
234 (IT4var19) parasites was only observed with SM (Supplemental Fig S3B). Greater  
235 boosting of antibodies to 3D7 may indicate that this isolate expresses antibody epitopes  
236 or antigenic determinants that are more common in our study population than those  
237 expressed by 1E2.

238

239 No significant correlation was observed between antibodies to 3D7parental and  
240 3D7vpkd for SM and UM, at acute and convalescence (Supplemental Table S3).  
241 However, there was a moderate, positive correlation between antibodies to 1E2  
242 (IT4var19) parasites for SM and UM, at acute and convalescence (Supplemental Table  
243 S3). There was a weak, positive correlation between antibodies to 3D7parental and 1E2  
244 (IT4var19) for SM measured at acute infection (Supplemental Table S4), but no  
245 correlation was observed for SM at convalescence. Similarly, no correlation was  
246 observed for antibodies to 3D7vpkd and 1E2 (IT4var19) parasites for SM at acute or  
247 convalescence (Supplemental Table S4). In UM, there was a strong, positive correlation  
248 between antibodies to 3D7parental and 1E2 (IT4var19), and moderate positive  
249 correlation between 3D7vpkd and 1E2 (IT4var19), at acute and convalescence  
250 (Supplemental Table S4).

251

### 252 **Antibodies to specific recombinant 1E2 (IT4var19) PfEMP1 domains are higher in** 253 **uncomplicated malaria**

254 We tested serum samples for antibodies to four recombinant domains of the PfEMP1  
255 variant encoded by 1E2 (IT4var19) parasites (DBL $\alpha$ 2, CIDR $\alpha$ 1, DBL $\beta$ 12 and DBL $\gamma$ 6) to  
256 further evaluate the significance of PfEMP1 as a target of acquired antibodies and

257 examine whether responses to specific PfEMP1 domains may be important in protection  
258 from severe disease. During acute infection, the level of IgG binding was significantly  
259 higher in UM compared to SM for DBL $\alpha$ 2 (Fig 4A; 17.4% higher,  $p=0.04$ ) and DBL $\gamma$ 6 (Fig  
260 4D; 17.6% higher,  $p=0.006$ ). This was not observed for CIDR $\alpha$ 1 (Fig 4B; 7.6% lower,  
261  $p=0.28$ ) and DBL $\beta$ 12 (Fig 4C; 3.2% higher,  $p=0.39$ ). Children with antibodies to DBL $\alpha$ 2  
262 and DBL $\gamma$ 6 had reduced odds of SM relative to UM (Supplemental Table S5). Antibodies  
263 to recombinant PfEMP1 domains were correlated, suggesting co-acquisition  
264 (Supplemental Table S6). There was a strong, positive correlation observed between  
265 antibody responses to 1E2 (IT4var19) parasites and the recombinant PfEMP1 domains  
266 DBL $\alpha$ 2 and DBL $\gamma$ 6, but not CIDR $\alpha$ 1 or DBL $\beta$ 12, at acute infection for SM and UM  
267 (Supplemental Table S7).

268

### 269 **Antibodies that mediate the opsonic phagocytosis of IEs are higher in** 270 **uncomplicated malaria and target PfEMP1**

271 To quantify the functional capacity of antibodies targeting IE surface antigens, SM and  
272 UM samples at acute infection were tested in an established opsonic phagocytosis  
273 assay using undifferentiated THP-1 monocytes [35,44]. The majority of individuals  
274 showed a marked reduction in phagocytosis activity with 3D7vpkd compared to  
275 3D7parental (Fig 5A). Overall, the level opsonic phagocytosis activity was markedly  
276 reduced in 3D7vpkd for both SM and UM samples (Fig 5B;  $p<0.001$ ), indicating that  
277 PfEMP1 is a major target of functional antibodies that promote IE phagocytosis. Opsonic  
278 phagocytosis activity was higher in UM compared to SM for both 3D7parental (Fig 5C;  
279 24% higher,  $p=0.03$ ) and 3D7vpkd (Fig 5C; 27% higher,  $p<0.001$ ). Children with  
280 antibodies that promote opsonic phagocytosis of 3D7parental, 3D7vpkd and 3D7-  
281 PfEMP1 had reduced odds of SM relative to UM (Supplemental Table S2). The level of  
282 total IgG binding to the IE surface and opsonic phagocytosis activity was not directly

283 correlated for SM or UM samples for 3D7parental and 3D7vpkd (Supplemental Fig S4),  
284 suggesting that total IgG levels may not be a good measure of antibody function.

285

## 286 **DISCUSSION**

287 In this study we found that children with uncomplicated malaria had significantly higher  
288 antibodies to IE surface antigens and PfEMP1 specifically. We demonstrated this by  
289 quantifying antibodies with two different IE isolates that express virulent PfEMP1 types  
290 associated with severe malaria pathogenesis, alongside genetically-modified *P.*  
291 *falciparum* with suppressed PfEMP1 expression and using recombinant PfEMP1  
292 domains. We demonstrated that PfEMP1 is a major target of naturally-acquired  
293 antibodies to the IE surface in these children; importantly, PfEMP1-specific antibodies,  
294 quantified using native proteins expressed on the IE surface and recombinant antigens,  
295 were higher in those with uncomplicated malaria, and associated with reduced odds of  
296 SM. This suggests that PfEMP1-specific antibodies play a role in protection from severe  
297 malaria. Furthermore, antibodies to IE surface antigens were boosted following either  
298 severe or uncomplicated malaria. Antibodies promoting opsonic phagocytosis were  
299 higher in children with UM and associated with protection from SM, suggesting functional  
300 antibodies have important roles in immunity from severe malaria. Together, our results  
301 suggest the importance of antibodies to the IE surface, predominantly PfEMP1, in  
302 contributing to protective immunity against severe malaria in young children.

303

304 The overall level of IgG binding to the IE surface was higher in children with  
305 uncomplicated malaria, compared to severe malaria, for IEs of 3D7 and 1E2 (IT4var19).  
306 This difference was observed in samples collected at acute infection and following  
307 convalescence. The 3D7 and 1E2 (IT4var19) isolates were used because they are  
308 known to express virulent PfEMP1 types associated with severe malaria pathogenesis.

309 The transcription level of both group A and DC8 EPCR-binding *var* genes is increased in  
310 severe malaria infections [18,22,45-47]. The dominant PfEMP1 expressed by our 3D7  
311 isolate is a group A type (PF11\_0521) that has a DC13 domain structure that mediates  
312 adhesion to endothelial cells [37], and two other group A *var* genes were also  
313 upregulated (PFD1235w and PFA0015c) [37]. The 1E2 (IT4var19) parasite line  
314 expresses a specific DC8 PfEMP1 that was upregulated when parasites were selected  
315 for adhesion to brain endothelial cells [20]. The PfEMP1 variant expressed by the 1E2  
316 (IT4var19) parasites is a DC8 arrangement associated with severe disease. Our findings  
317 suggest that higher levels of antibodies to group A and DC8 PfEMP1 contribute to  
318 protection from severe malaria. Future studies in additional populations might enable the  
319 identification of antibody thresholds for protection against severe malaria. In our studies  
320 we considered all children meeting the criteria of severe malaria in one group and did  
321 not perform analyses of sub-groups different severe malaria syndromes, which could be  
322 considered in future studies.

323

324 Comparing antibody responses between 3D7parental and 3D7vpkd IEs allowed  
325 quantification of PfEMP1-specific antibodies. Overall IgG binding to the IE surface of  
326 3D7vpkd was markedly reduced compared to 3D7parental, indicating that the majority of  
327 acquired antibodies to the IE surface are targeting PfEMP1. The decrease in IgG binding  
328 to 3D7vpkd was consistently observed with samples from children presenting with  
329 severe malaria and uncomplicated malaria, and among acute convalescent samples.  
330 This finding suggests that naturally-acquired antibodies to the IE surface are  
331 predominantly PfEMP1-specific, which is supported by our previous data in PNG [36,37]  
332 and Africa [35]. Low levels of antibodies to 3D7vpkd (which still expresses RIFIN,  
333 STEVOR, and other antigens [35]) suggest that other IE surface antigens play a minor  
334 role as antibody targets. Of note, antibodies specific to PfEMP1 were significantly higher

335 among children with uncomplicated malaria and associated with reduced odds of severe  
336 malaria. In contrast, there was no association between antibodies to 3D7vpkd and odds  
337 of severe malaria. Our data suggest that PfEMP1 is a major target of antibodies  
338 associated with protection from severe malaria, whereas antibodies to non-PfEMP1  
339 antigens represent a less important component of protective immunity. Further  
340 investigation of other antigens is warranted in future studies.

341

342 Antibodies to recombinant 1E2 (IT4var19) PfEMP1 domains were also significantly  
343 higher in uncomplicated malaria, further supporting the contribution of PfEMP1  
344 antibodies in immunity to severe malaria. Interestingly, only antibodies to two domains  
345 (DBL $\alpha$ 2 and DBL $\gamma$ 6) were significantly associated with disease severity, suggesting  
346 these may be more important contributors of protective immunity. Collectively, our  
347 findings suggest that PfEMP1-specific antibodies protect against severe malaria in PNG  
348 children. Published work has suggested the importance of other CIDR domains in  
349 immunity against severe malaria [48,49], indicating that more detailed analyses are  
350 required to assess the relative contribution of specific DC8 PfEMP1 domains in  
351 protective immunity. A recent study in children in Mali (n=78 severe, n=73 uncomplicated  
352 cases) evaluated antibodies to PfEMP1 fragments using a microarray approach [50].  
353 Antibodies were higher to recombinant PfEMP1 fragments in UM versus SM, but  
354 antibodies to the intact IE surface or antibody function were not evaluated. A potential  
355 limitation of the microarray approach is the use of protein fragments generated in an *E.*  
356 *coli* cell-free translation system; therefore, correct folding of PfEMP1 domains may be  
357 unlikely to occur, which may be important for antibody binding.

358

359 Antibodies to 3D7parental and 3D7vpkd were higher following convalescence; this was  
360 seen in uncomplicated and severe malaria, suggesting that naturally-acquired antibodies

361 to IE surface antigens are boosted upon infection. Interestingly, antibody levels to 1E2  
362 (IT4var19) IEs were higher at convalescence for severe malaria only. This might reflect  
363 the expression of PfEMP1 types that are antigenically similar to the 1E2 (IT4var19)  
364 PfEMP1 in severe malaria, but this expression may be rare in uncomplicated malaria.  
365 Complementary research conducted with samples from this same clinical study profiled  
366 antibodies using a recombinant PfEMP1 domain array. They found that severe malaria  
367 resulted in the induction of antibodies to EPCR-binding CIDR $\alpha$ 1 domains of PfEMP1  
368 [Rambhatla et al, submitted to J Infect Dis].

369

370 Antibodies to IE surface antigens are believed to function, in part, by opsonizing IEs for  
371 clearance by phagocytes. Thus, we measured opsonic phagocytosis activity using  
372 undifferentiated THP-1 monocytes [35,44]. Opsonizing antibodies were significantly  
373 higher in uncomplicated malaria, suggesting a role for this mechanism in immunity.  
374 However, the modest extent of the difference suggests that other mechanisms are likely  
375 to play a role in immunity, such as inhibition of vascular adhesion, recruitment of  
376 complement, or interactions with other immune cells; these aspects need investigating in  
377 future studies. We showed that the level of opsonic phagocytosis activity was markedly  
378 reduced in 3D7vpkd compared to 3D7parental, further suggesting the importance of  
379 PfEMP1 as a major target of functional antibodies.

380

381 In conclusion, our study demonstrated a likely role of acquired antibodies to IE surface  
382 antigens in mediating protection against severe malaria in young children from PNG. We  
383 showed that PfEMP1 is a dominant target of naturally-acquired antibodies to the IE  
384 surface and a target of functional antibodies that promote opsonic phagocytosis of IEs.  
385 Furthermore, PfEMP1-specific antibodies were associated with protection against severe  
386 malaria in children, whereas antibodies to other IE surface antigens were not. These

387 findings significantly contribute to understanding malaria immunity and pathogenesis,  
388 and have implications for developing therapeutics or vaccines for preventing severe  
389 malaria.

390

#### 391 **FUNDING**

392 Funding was provided by the National Health and Medical Research Council of Australia  
393 (Program grant and senior research fellowship to JG Beeson, senior research fellowship  
394 to JA Simpson, Early Career Fellowship and Career Development award to MJ Boyle),  
395 and National Institutes of Health (RO1 AI114766 to JD Smith). JGB, FJF, IM, SJR and  
396 JAS were supported in part by the Australian Centre for Research Excellence in Malaria  
397 Elimination funded by the NHMRC. The authors gratefully acknowledge support for the  
398 Burnet Institute from the Victorian Operational Infrastructure Support Program, and  
399 NHMRC Independent Research Institutes Infrastructure Scheme.

400

#### 401 **ACKNOWLEDGEMENTS**

402 We thank all the study participants and their parents and staff involved in the study from  
403 Papua New Guinea Institute of Medical Research, Madang.

404

#### 405 **CONFLICT OF INTEREST**

406 The authors declare no financial or commercial conflict of interest.

407

#### 408 **CORRESPONDING AUTHOR**

409 James Beeson

410 Burnet Institute, 85 Commercial Road, Melbourne, VIC 3001, Australia

411 beeson@burnet.edu.au

412 Ph: 61-3-9282-2442

413 Fax: 61-3-9282-2100

414

415

416 **FIGURES/TABLES**

417

418 **Figure 1 Antibodies to the surface of *P. falciparum*-IEs are directed at PfEMP1**

419 **A, C.** A representative selection of serum samples tested for antibodies to 3D7parental  
420 and 3D7vpkd parasites. Samples were collected from severe malaria (SM) and  
421 uncomplicated malaria (UM) at acute infection (**A**; SMA and UMA) and following  
422 convalescence (**C**; SMC, UMC). Samples from non-exposed Melbourne residents were  
423 used as a negative control (Control). IgG binding to 3D7vpkd was substantially reduced  
424 in all individuals. There was minimal background reactivity observed among sera from  
425 Melbourne residents. IgG binding levels are expressed as geometric mean fluorescence  
426 intensity (MFI) for all graphs; assay was performed once; bars represent MFI values of  
427 samples tested in singles.

428 **B, D.** Total IgG binding to the surface of erythrocytes infected with 3D7vpkd was  
429 substantially reduced compared to 3D7parental parasites in both severe and  
430 uncomplicated malaria groups at acute infection (**B**) and following convalescence (**D**).  
431 Assay was performed once; bars represent median and interquartile ranges of samples  
432 that were classified as antibody positive to 3D7parental (**B**, n=182/235 for SM and  
433 n=177/213 for UM; **D**, n=157/184 for SM and n=153/173 for UM); *p* values were  
434 calculated using a paired Wilcoxon signed rank test.

435

436 **Figure 2 Antibodies to IE surface antigens are higher among young children**  
437 **presenting with uncomplicated malaria**

438 **A, B.** The level of IgG binding to the surface of erythrocytes infected with 3D7parental  
439 and 3D7vpkd parasites was higher in samples from UM compared to SM at both acute  
440 (**A**) and convalescence (**B**). Assay was performed once; bars represent median and  
441 interquartile ranges of samples that were classified as antibody positive to 3D7parental

442 (A, n=182/235 for SM and n=177/213 for UM; B, n=157/184 for SM and n=153/173 for  
443 UM); *p* values were calculated using an unpaired Mann-Whitney test.

444 C, D. The level of IgG binding to the surface of erythrocytes infected with 1E2 (IT4var19)  
445 parasites was higher in samples from UM compared to SM at both acute (C) and  
446 convalescence (D). Assay was performed once; bars represent median and interquartile  
447 ranges (C, n=235 for SM and n=213 for UM; D, n=184 for SM and n=173 for UM); *p*  
448 value was calculated using an unpaired Mann-Whitney test.

449

450 **Figure 3 Antibodies to IE surface antigens are higher among convalescent**  
451 **samples**

452 A, B. Total IgG binding to the surface of erythrocytes infected with 3D7vpkd was  
453 substantially reduced compared to 3D7parental parasites at acute and convalescence  
454 for SM (A) and UM (B). Assay was performed once; bars represent median and  
455 interquartile ranges of samples that were classified as antibody positive to 3D7parental  
456 (A, n=182/235 for acute, n=157/184 for convalescence; B, n=177/213 for acute,  
457 n=153/173 for convalescence); *p* values were calculated using a paired Wilcoxon signed  
458 rank test.

459 C, D. The level of IgG binding to the surface of erythrocytes infected with 1E2 (IT4var19)  
460 parasites was higher in convalescence compared to acute samples for SM (C) and UM  
461 (D). Assay was performed once; bars represent median and interquartile ranges (C,  
462 n=235 for acute, n=184 for convalescence; D, n=213 for acute, n=173 for  
463 convalescence); *p* values were calculated using a paired Wilcoxon signed rank test.

464

465 **Figure 4 Comparing the levels of antibodies to recombinant antigens of PfEMP1 in**  
466 **samples at acute infection**

467 Total IgG binding to the recombinant antigens of PfEMP1 1E2 (IT4var19) is presented as  
468 (A) DBL $\alpha$ 2, (B) CIDR $\alpha$ 1, (C) DBL $\beta$ 12 and (D) DBL $\gamma$ 6. Significantly higher antibody levels  
469 to UM compared to SM was only observed for (A) DBL $\alpha$ 2 and (D) DBL $\gamma$ 6. Antibody  
470 levels are expressed as optical density (OD) values measured at 405nm. Assay was  
471 performed once; bars represent median and interquartile ranges of samples tested in  
472 duplicate (n=235 for SM and n=213 for UM); *p* values were calculated using an unpaired  
473 Mann-Whitney test.

474

#### 475 **Figure 5 Opsonic phagocytosis of IEs by undifferentiated THP-1 monocytes**

476 **A.** A representative selection of serum samples collected during acute infection was  
477 tested for opsonic phagocytosis activity to 3D7parental and 3D7vpkd parasites. Assay  
478 was performed once (n=235 for SM, n=213 for UM); bars represent the mean level of  
479 phagocytosis as a percentage of positive control.

480 **B.** Opsonic phagocytosis activity of serum antibodies was markedly reduced with  
481 3D7vpkd parasites compared to 3D7parental, for SM and UM. Bars represent the  
482 median and interquartile ranges of samples that were classified as antibody positive to  
483 3D7parental (n=80/235 for SM and n=96/213 for UM); *p* values were calculated using a  
484 paired Wilcoxon signed rank test.

485 **C.** There was a higher level of opsonic phagocytosis activity with samples from UM  
486 compared to SM. Bars represent the median and interquartile ranges of samples that  
487 were classified as opsonic phagocytosis activity that is positive to 3D7parental  
488 (n=80/235 for SM and n=96/213 for UM); *p* value was calculated using an unpaired  
489 Mann Whitney test.

490

491

492 **Table 1** Distribution of demographic characteristics of study participants at  
 493 enrolment (acute infection)

| Variables           | Median (25 <sup>th</sup> – 75 <sup>th</sup> percentile) or Number (%) |                           |
|---------------------|---|---------------------------|
|                     | Uncomplicated malaria<br>(n=213)                                      | Severe malaria<br>(n=235) |
| <b>Age (months)</b> | 42 (29–56)  | 40 (29–55)                |
| <b>Sex</b>          | 127 (60%)   | 131 (56%)                 |
| <b>Ethnicity</b>    |   |                           |
| Madang              | 174 (82%)   | 178 (76%)                 |
| Madang/Sepik        | 16 (8%)   | 23 (10%)                  |
| Other               | 13 (6%)   | 13 (6%)                   |
| Sepik               | 10 (5%)   | 20 (9%)                   |

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